

PA AEPS Summit Harrisburg PA

Thursday, June 17, 2010





- GATS Overview
- **❖** Account Registration
- **❖** REC Transfers
 - ❖ Single Record Transfer
 - Batch Transfer
 - Transfer History
- **❖** REC Retirement
- My RPS Compliance Report





GATS = Generation Attribute Tracking System

- GATS a regional <u>environmental registry</u> and <u>information system</u> that tracks the environmental and fuel attributes of generation.
- The system:
 - assists LSEs in their compliance with applicable emissions and fuel disclosure requirements and with state-level Renewable Portfolio Standard (RPS) regulation or renewable policy
 - enables voluntary bilateral markets and marketing claims for renewables.
 - provides state agencies with reporting and verification
 - provides renewable generators with a means to monetize their renewable attributes and qualification



GATS Design Overview

- All MWhs of PJM generation are tracked.
- A certificate market separate from energy is enabled for renewable generation.
 - Certificates (i.e., credits) traded separate from energy
 - Certificates can have different value depending on their attributes (e.g., fuel type, location, date of generation, etc).
- It is a *single information system* that will support a range of reporting, verification, and compliance requirements (e.g., disclosure, RPS, voluntary retail markets, etc).
- It is a *regional* system that will enable PJM states and market participants to support varying needs and priorities.
- A system that is flexible enough to meet evolving state requirements.



GATS Subscription Agreement/Fee Structure

- All GATS users must enter into a subscription agreement with PJM Environmental Information Services ("EIS")
- Users will be charged:

Annual Subscription Fee

- \$2,000/year fee for PJM load serving entities (LSE's)
- \$1,000/year for Non-LSE's, brokers, traders and renewable generators
- No annual fee for renewable generators with aggregate generating capability under 10MW's
- No annual fee for non-renewable generators

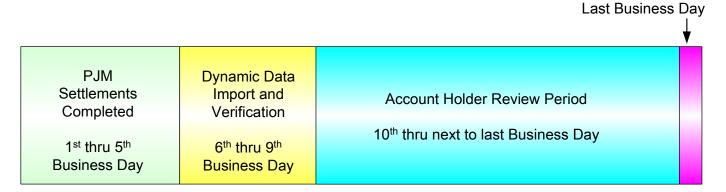
Volumetric Fees

- LSE's fee of \$0.006/MWh determined against net load served in states with GATS requirements
- Voluntary Market fee of \$0.008/MWh for certificate-only product sales directly to an end-use customer in PJM that does not have a GATS account
- Fee of \$0.25/MWh for certificates exported outside of PJM



Certificate Creation

Certificate Creation

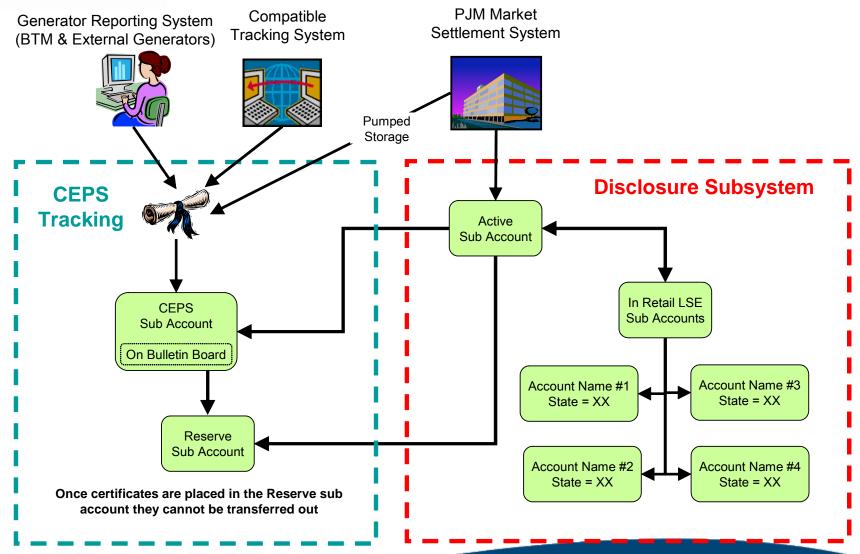


Certificate Creation Timeline - Month following month of generation)

- PJM Market Settlements data is loaded between the 6th and 10th business day
- Account Holder Review Period spans from the 10th business day to the day before certificates are created
 - The Account Holder Review Period is used to review the generation and load data that comes from the PJM Market Settlements Group
 - All Subscribers are encouraged to review their account monthly prior to certificate creation
- Certificates created monthly, on the last business day of the following month



GATS Account Structure





- ❖ To open a new account go to <u>www.pjm-eis.com</u> and click on the GATS Logon link
- From the main login page click on "Not A Member? Register Here"
- Fill out the required fields and Submit for Approval
- You will be asked to Accept the GATS Terms of Use
- You will receive an email with an activation link to activate your account
- Once the account is activated it will be reviewed within three (3) business days of submittal
- Once approved you will have full functionality of your account



- ❖ To register for a GATS Account go to the PJM EIS Website and click on GATS Logon (<u>www.pjm-eis.com</u>)
- ❖Once at the main login page click on "Not A Member? Register Here"





Select your account types(s)

New Account Application			
Account Type: *	☐ Generator ☑ LSE ☐ Trader		
PJM Member:	(if checked, DUNS Number and Org ID are required)		
DUNS Number:	(9 - 13 alphanumeric)		
Org ID:	(1 - 9 numeric characters)		
Parent Company Name:			
Wholly Owned Subsidiaries:			
Company Name: *	NA		

The Account Type would be LSE
Check PJM Member and Enter the PJM Org Id
The Company Name will populate once your submit for approval



Enter in Contact Information

GATS-Specific Contact Info	
Display Contact Info on Account Holder Report?	፟
Contact Person: *	Jaclynn Lukach
Address1: *	955 Jefferson Avenue
Address2:	
City: *	Norristown
State/Province: *	PENNSYLVANIA
Country: *	USA
Zip or Postal Code: *	19403 (format: US 12345 / Canadian a1b 2∞3)
Phone Number: *	610-666-2245 (format: 123-456-7890)
Fax Number:	610-771-4114 (format: 123-456-7890)
E-mail: *	GATSAdmin@pjm-eis.c (format: abc@apx.com)
Web Site:	(format: www.apx.com)

If you would like your contact information included in the Account Holders Report check the "Display Contact Info on Account Holder Report?" check box

If checked your contact information will be displayed to other subscribers only



Select PA as your Billable State

	☐ DELAWARE	☐ DISTRICT OF COLUMBIA
	☐ ILLINOIS	☐ INDIANA
	□ KENTUCKY	☐ MARYLAND
Billable States:		☐ NEW JERSEY
	□ NORTH CAROLINA	. 🗖 ОНЮ
	PENNSYLVANIA	☐ TENNESSEE
	☐ VIRGINIA	☐ WEST VIRGINIA

In order to retire RECs for compliance purposes PA must be selected as a billable state and the load must be tracked



Complete your Billing Information

Billing Information	☑ Use Subscriber Information
Contact Person: *	Jaclynn Lukach
Address1: *	955 Jefferson Avenue
Address2:	
City: *	Norristown
State/Province: *	PENNSYLVANIA
Country: *	USA
Zip or Postal Code: *	19403 (format: US 12345 / Canadian a1b 2c3)
Phone Number: *	610-666-2245 (format: 123-456-7890)
Fax Number:	610-771-4114 (format: 123-456-7890)
E-mail: *	GATSAdmin@pjm-eis.c (format: abo@apx.com)
Department/Location:	
* Required Field	
Subm	it for Approval Cancel

Submit for Approval once all of the information is completed



Accept the Terms of Use

SCHEDULE C - 1

Consent of Subscriber

- 1. I acknowledge that I have read the Terms of Use and that I understand that EIS may modify the Terms of Use from time to time pursuant to Sections 1 and 2 of said Terms of Use.
- 2. I affirm that I have authority to execute this Consent on behalf of Subscriber and that Subscriber shall not be given access to the GATS until this Consent has been signed by me and been received by the GATS Administrator.
 - 4. Subscriber will only use the GATS for creating its generator attributes tracking certificates and specifically acknowledges that it shall not use any other database for the same purpose.
- 5. Subscriber agrees that the Attributes for which a particular Certificate has been created in the GATS for said Subscriber have not previously been, nor will it be, used by or claimed by another entity or transferred to another Compatible Certificate Tracking System except as authorized under the Terms of Use or GATS Operating Rules.
- 6. Subscriber has not retired, sold, claimed, represented elsewhere or used, nor will it retire, sell, claim or represent elsewhere or use to satisfy obligations in any jurisdiction outside of the GATS any of the Attributes associated with Subscriber's Certificates without designating the disposition of the Certificates as such within the GATS.
 - Subscriber may transfer Certificates created in the GATS to a Compatible Certificate Tracking System to satisfy obligations in another jurisdiction that is not in the PJM Control Area.
 - 8. No other entity can claim the right to the Attributes for which Subscriber is seeking credit.
 - 9. All data and other information being provided to EIS and to the GATS by Subscriber and/or its agents and employees are true and correct.
 - 10. Subscriber agrees to pay the Fees that EIS may charge for use of the GATS.
- 12. I declare that all statements contained herein are true and correct, to the best of my knowledge, information and belief and are made with full knowledge that EIS and all users of the GATS that is the subject of these Terms of Use rely upon the truth of the statements contained in this consent.



Cancel

The GATS Terms of Use will be displayed and the subscriber must Accept them before the account is created

The Terms of Use can also be found on the PJM EIS Website





My Account

Congratulations, you have completed **the first step** of the Account Registration process. **The final step** <u>must still be completed</u> for your account to reach the GATS Administrator.

The final step requires you take action to activate Your Account.

An e-mail has been sent to you with a link. Click on the link in this e-mail and then login to the GATS. Once you have completed the login, you will have completed **the final step**.

An email will be sent to the email address you provided with an activation link

You must activate your account before it will be submitted to the GATS Administrator for approval

Please add GATSAdmin@pjm-eis.com to your address book or the email might get blocked

Congratulations, you have completed the first step of the Account Registration process. The final step must still be completed for your account to reach the GATS Administrator.

The final step requires you take action to activate Your Account.

Click on the following link in this e-mail and then login to the GATS. Once you have completed the login, you will have completed the final step.

http://216.52.68.27/myModule/reg/actAcc.asp?id=443

If an error message appears when you click on the link, or if your My Account window does not function properly, copy the link, paste it into the address line on your browser window, and press the Enter key to access the PJM-EIS GATS system. Make sure you select the entire link.

Once activated, you will have limited access and functionality until the GATS administrator approves your account. Approval should take no longer than one week. You will receive an e-mail message with approval verification or with a request for more information

GATS Administrator Phone: 610-666-2245

Email: GATSAdmin@pjm-eis.com



- The GATS Administrator will review and approve your account
- Once you receive the approval e-mail, you will have full functionality of your GATS account







- ❖ When you are preparing to report to PA for the PA AEPS you will need to retire the RECs that are to be used for Compliance in PA
- There are two types of transfers that can be done to retire for Compliance
 - Transfer to Retail LSE Subaccount
 - ❖ RECs from Active Subaccount are on the only RECs that can be transferred to the Retail LSE Subaccount
 - Transfer to Reserve Subaccount
 - ❖ RECs from both the Active Subaccount and CEPS Subaccount can be transferred to the Retail LSE Subaccount
- ❖ You will select RPS as the Reason, PA as the State, the Compliance Period you are reporting for and the price per certificate that was paid
- Once the RECs are placed into the Reserve Subaccount they cannot be transferred out by the subscriber





- GATs Account Holders can transfer certificates to other GATS Account Holders
- The Account Holder wishing to transfer certificates can select a recipient from a list of GATS Account Holders
- Observe the bound of the sender and recipient of the certificates will receive confirmation of the transaction
- The recipient must "OK to transfer" the certificates to their account
- ♦ The transferring Account Holder may cancel the transaction anytime before the recipient confirms or "OKs to transfer"



How do I Transfer Certificates?

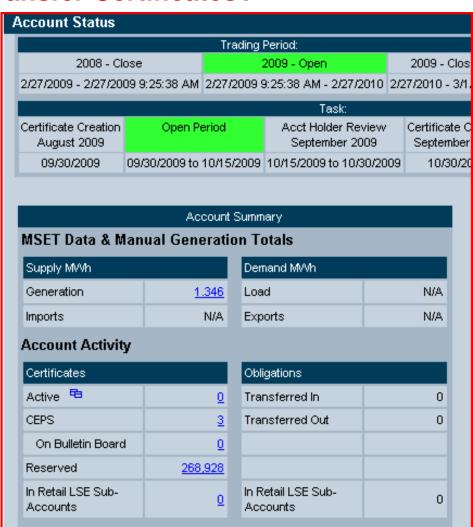
Go to the 'Account Status' box. It is on the right hand side of the screen. In this box, the first box labeled 'Generation' holds the pending generation that will be certified at the next Certificate Creation.

The second box labeled 'Certificates' has the SRECs after they have been created.

Across from 'Active' or 'CEPS' you will see a bright blue and underlined number.

Click on the bright blue and underlined 3 across from 'Active' or 'CEPS' located in the Certificates box.

This opens up the **Certificates** screen.







Once the "CEPS" certificates link is selected from the My Account screen, a listing of those certificates will appear

Select "Transfer" next to the certificates to be transferred.





Type in the number of certificates to be transferred

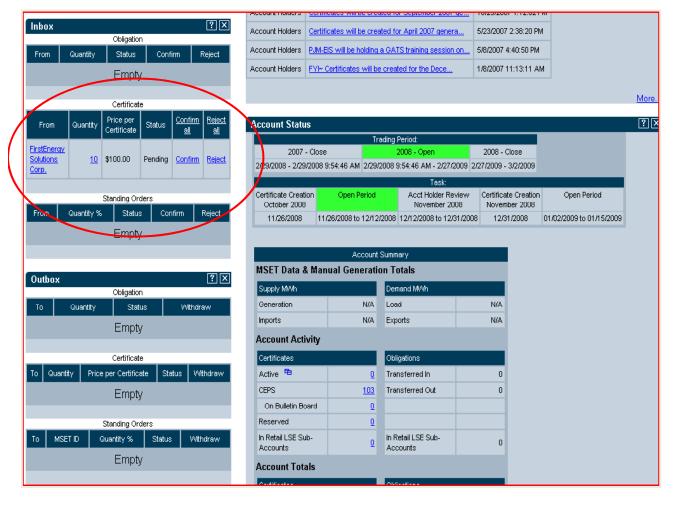
Select either a GATS Account Holder or another account you would like the certificates transferred
to

Enter the price per REC that was paid for all solar RECs

If the certificates are being transferred to a Retail LSE Subaccount for RPS purposes, the RPS compliance year and price paid per certificate must be entered

NOTE: Depending on what account the certificates are coming from will determine which accounts they can be transferred to





- Certificates will appear in either in the Inbox when transferring in or the Outbox when Transferring out
- Subscribers can either Confirm or withdraw the certificates from the Inbox and Outbox
- Both Parties will receive an email with the transfer details
- Counterparty must confirm the transfer before the certificates will be moved into their account

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pim EIS ®						logout
Environmental Information Sensions						
My Account						Help
customize page RileySolar - Riley1						<u>Change Password</u>
Registration ?X	Account Status					?≍
Change Profile		Tre	ading Period:			
	2007 - Close		2008 - Open	2008 - Close		
[Inbox ? ⊠	2/29/2008 - 2/29/2008	9:54:46 AM 2/29/	2008 9:54:46 AM - 2/27/2009	2/27/2009 - 3/2/2009		
Obligation			Task:			
From Quantity Status Confirm Reject	Certificate Creation August 2008	Open Period	Acct Holder Review September 2008	Certificate Creation September 2008	n Open Period	
Empty	09/30/2008 09	30/2008 to 10/14/	2008 10/14/2008 to 10/31/20	08 10/31/2008	11/03/2008 to 11/14/2008	
Certificate From Quantity Status Confirm Reject	MSET Data & Mar	Account	<u>·</u>	_		
Empty	Supply M/Vh	uai Generau	Demand MWh	_		
Standing Orders	Generation	N/A	Load	N/A		
From Quantity % Status Confirm Reject	Imports	N/A	Exports	N/A		
Empty	Account Activity					
	Certi/icates		Obligations Transferred In	0		
Outbox ?×	CEPS	<u>0</u> 6170	Transferred Out	0		
Obligation	On Bulletin Board	0	Transferred Out	- °		
To Quantity Status Withdraw	Reserved	0				
Empty	In Retail LSE Sub- Accounts	0	In Retail LSE Sub- Accounts	0		
Certificate	Account Totals					
To Quantity Status <u>VVithdraw all</u>	Certificates		Obligations			
FirstEnergy Solutions Corp. 1 Pending Withdraw	Certificate Total	6,170	Obligation Total	0		

Once the certificates are confirmed they will be placed in the Account where they were initiated from (if they came from the initiator's CEPS Account they will be deposited into the counterparty's CEPS Account)



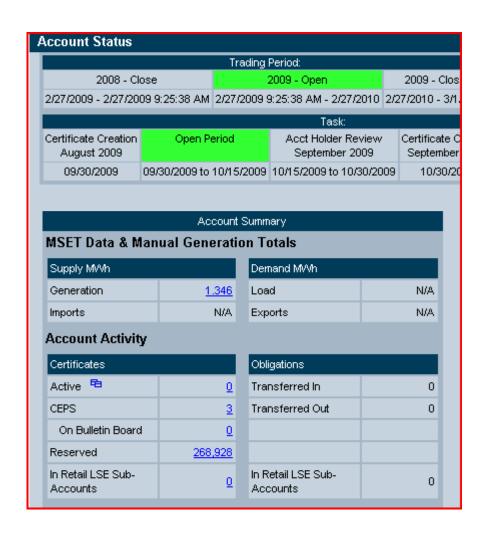
Go to the 'Account Status' box. It is on the right hand side of the screen. Within this box, the first box labeled 'Generation' holds the pending generation that will be certified at the next Certificate Creation.

The second box labeled 'Certificates' has the SRECs after they have been created.

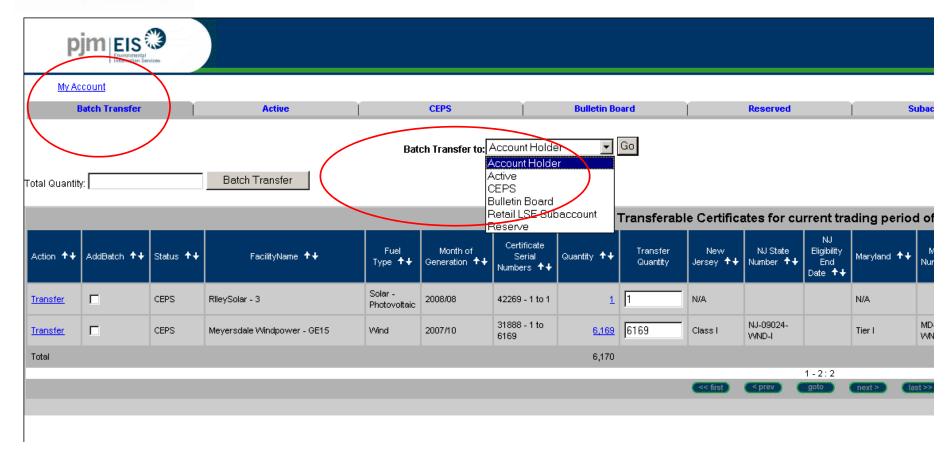
Across from 'CEPS' you will see a bright blue and underlined number.

Click on the bright blue and underlined number across from 'CEPS' located in the Certificates box.

This opens up the CEPS certificates screen.







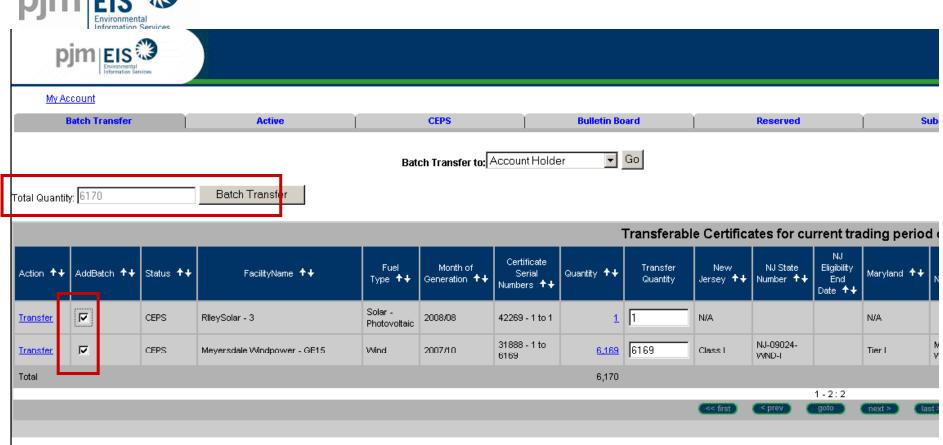
Click on the "Batch Transfer" tab, it is bright blue and located at the top of the screen on the far left side.

Select where you would like to transfer the certificates from the drop-down menu options

Click "Go"

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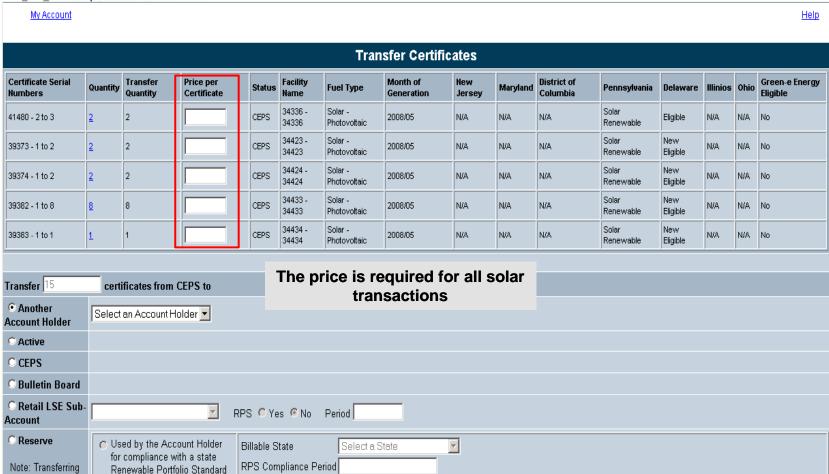


From the "Add Batch", column click check off the RECs that you want to transfer. You can also control the number of RECs that you want to transfer by changing "Transfer Quantity".

When you are complete click on the "Batch Transfer"

This opens up to the Transfer Certificates screen

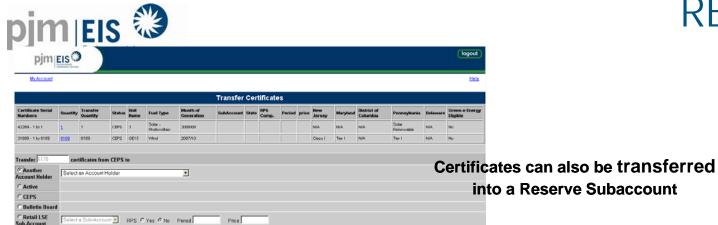




Enter the price per certificate. It will default to number of RECS you have selected to transfer.

Select the type of person that you would like to transfer the RECs to.

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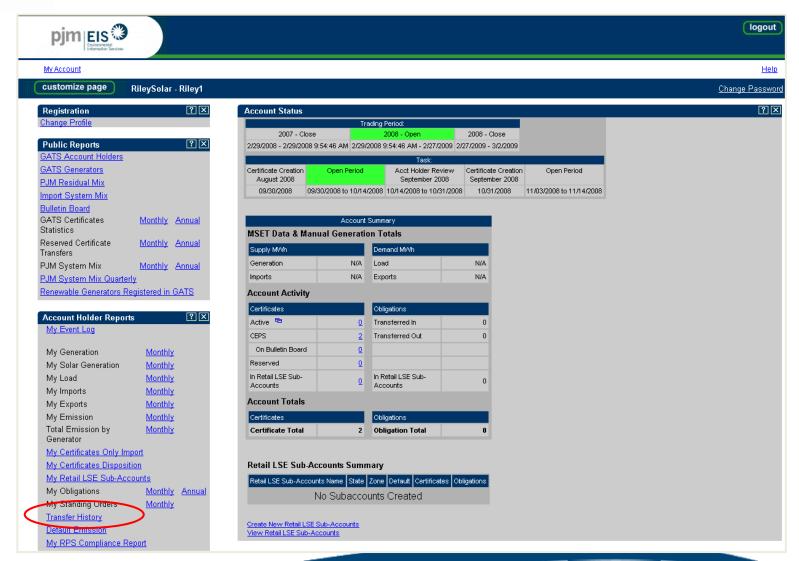
into the Sub-Acci

Select the appropriate option based on how the certificates will be used in the Reserve Subaccount

Select "Submit"

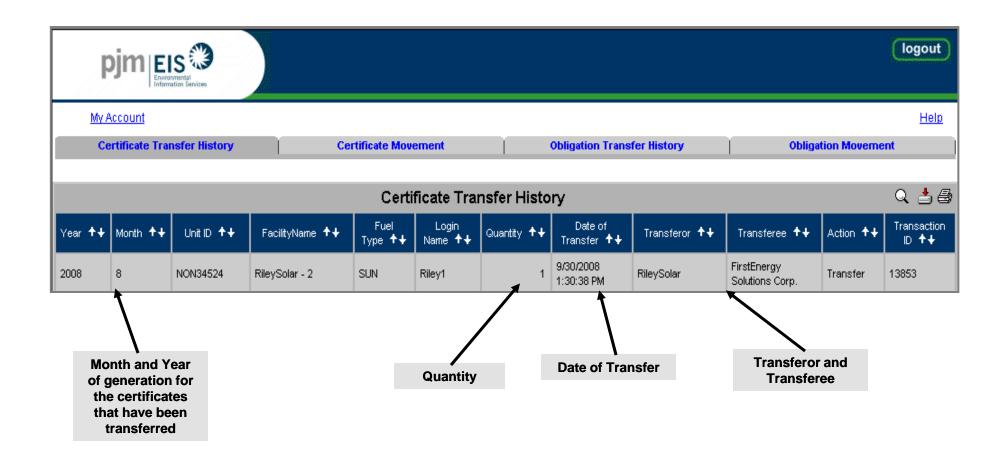
Reserve Note: Transferring certificates into the Reserve Sub-Account can only be	C Used by the Account Holder for compliance with a state Renewable Portfolio Standard	Billable State Sele RPS Compliance Period Price Retail LSE Subaccount Sele	ct a State 🔽
undone by the GATS Administrator.	C Used by the Account Holder to make environmental claims or to take out of circulation for environmental benefits reasons	Environmental Claim	
	C Sold as a part of a retail certificate-only product to an end-use customer in PJM that does not have a GATS account	Confirmation of Sale Purchaser	C Yes C No
	C Exported off-system to a third party in a region that does not have a compatible tracking system	Confirmation of Sale Purchaser	C Yes C No
	C Exported to a compatible tracking system	Compatible Tracking System Receiving Account Holder	Select a System 🔽
	C Other	Reservation Reason	
			Submit Cancel





You can view your Transfer History by clicking on the Transfer History link that is listed under the Account Holder Reports Module

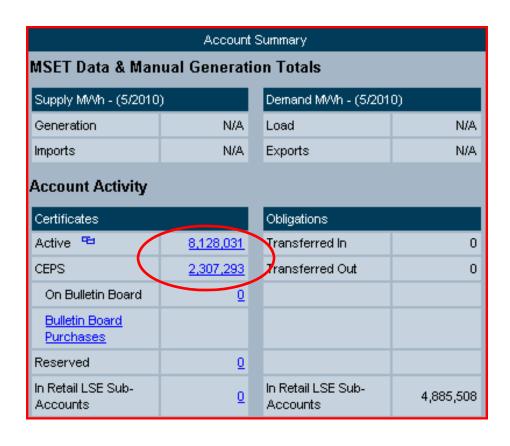




Comment of the Commen



To initiate a transfer click on either the Active or CEPS
Subaccount Number





ſ	INITY 750	count					
		Batch Tran	sfer	Active			С
	Action ↑↓	Unit ID ↑↓	FacilityName ↑ ↓	Fuel Type ↑ ↓	Month of Generation ★↓	Certificate Serial Numbers ↑↓	Quantity ↑ ↓
\langle	<u>Transfer</u>	NON34059	34059Gen - 34059Unit	Wood - Black Liquor	2007/06	27751 - 7528 to 7528	1
	<u>Transfer</u>	NON34283	34283Gen - 34283Unit	Captured Methane - Landfill Gas	2006/04	21825 - 1567 to 1575	9
	<u>Transfer</u>	NON34029	34029Gen - 34029Unit	Wood - Black Liquor	2008/01	37460 - 4810 to 10000	<u>5,191</u>

Click on the Transfer link to the left of the REC you want to retire



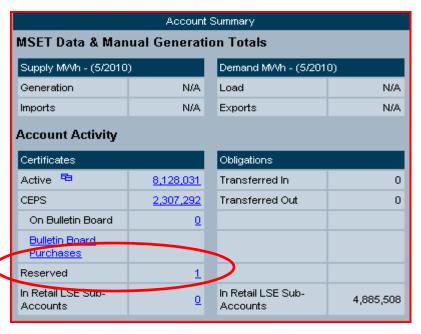
Reserve Note: Transferring certificates into the Reserve Sub-Account can only be undone by the GATS Administrator.	Used by the Account Holder for compliance with a state Renewable Portfolio Standard		PENNSYLVANIA Jun 2009-May 2010 250.00 Default (PA), Zone: PPL	•		
Submit Cancel						

Select the first Reserve Reason Code for RPS compliance Select PA as the billable state and the compliance period The price is a required field

Retail LSE Subaccount is an optional field that can used to associate that particular RECs to a Retail LSE Subaccount where the load is tracked

Click Submit to save the retirement to Reserve





You can view the REC(s) by clicking on the Reserve Subaccount Number

The details of the transfer will be listed (as shown below)

You can use the Reason Code filter to view those that were retired for each reason



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Once the RECs are retired for PA purposes they will appear on the My RPS Compliance Report

The My RPS Compliance Report is used by PA to determine compliance

My RPS Compliance Report

Account Holder Reports		? ×
My Event Log		
My Generation	Monthly	
My Solar Generation	Monthly	
My Annual Solar Generation		Annual
New! My Annual RECs		<u>Annual</u>
My Load	Monthly	
My Imports	Monthly	
My Exports	Monthly	
My Emission	Monthly	
Total Emission by Generator	Monthly	
My Certificates Only Import		
My Certificates Disposition		
My Retail LSE Sub-Accoun	<u>its</u>	
My Obligations	Monthly	<u>Annual</u>
My Standing Orders	Monthly	
<u>Transfer History</u>		
Default Emission		
My RPS Compliance Repor		
GATS Account Helders		



My RPS Compliance Report

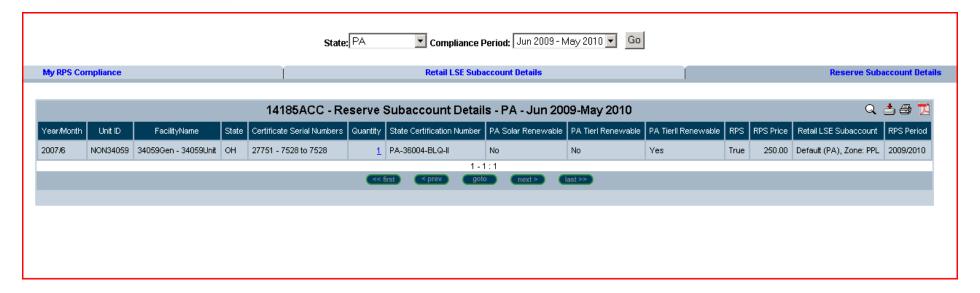


First tab is the summary tab

Two additional tabs for the REC details



My RPS Compliance Report



Once on the details tab the information can be drilled down further by clicking on the Quantity listed

The additional information that can be seen will include the location, nameplate capability, and month and year of generation





GATS Technical & Customer Service / Administrative Support

GATS Administrators

610-666-2245

1-877-750-GATS (4287)

610-771-4114 (fax)

GATSAdmin@pjm-eis.com

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