Pre-Bid Meeting BDISBO Presentation

PUC RFP 2024-2 Geophysical Engineering Services

Issuing Officer Robert Horenskyrhorensky@pa.gov

May 7, 2024 3:00 PM



What's the Point?



Diversity, Equity, and Inclusion RE- IMAGINING THE CULTURE OF YOUR WORKPLACE



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Diversity Defined

- Diversity is...
 - everything that makes us unique,
 - our cognitive skills and personality traits, and
 - also the things that shape our identity (e.g. race, age, gender, religion, sexual orientation, cultural background), etc.





Diversity is the Mix Inclusion is making the mix work. ~Andres Tapia

Baking a cake

Each ingredient has its own *distinct* taste and quality...



Baking a cake

Each ingredient adds *value* to the recipe...



Baking a cake

Recognizing the individuality and the value of *every* person is essential to understanding the concept of diversity.



CSheWearsMa



Equity Defined

Equity is the fair treatment, **access**, **opportunity**, and advancement for <u>all</u> people, while at the same time striving to identify and **eliminate barriers** that have prevented the full participation of *small businesses*.



EQUALITY IS THE END GOAL. EQUITY IS THE MEANS TO GET THERE.

0

Equality

Equity

Inclusion Defined

Inclusion is about:

- *Small Businesses* as prime contractors
- Primes subcontracting with SDB,VBE vendors
- Engaging with vendors representative of the community



Diversity, Equity, and Inclusion

Diversity Having a seat at the table

Equity Entering the conversation without barriers Inclusion Being heard and having a voice at the table



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Small Diverse Business Enterprise (SDB) and Veteran Business Enterprise (VBE) bid references:

Part I - General Information

I-11. Proposal Requirements

- Part II Criteria for Selection
 - II-1. Mandatory Responsive Requirements
- Part V Small Diverse Business Participation & Veteran Business Enterprise Participation Information
- Part VIII Small Diverse Business (SDB) and Veteran Business Enterprise (VBE) Participation Summary Sheet

ATTACHMENTS

- APPENDIX E SMALL DIVERSE BUSINESS PARTICIPATION FORMS
- APPENDIX F VETERAN BUSINESS ENTERPRISE PARTICIPATION FORMS
- APPENDIX G BDISDO MODEL FORM SDB VBE SUBCONTRACT AGREEMENT



Solicitation Specific Goals

| PUC RFP 2024-2 | SDB | VBE |
|----------------|-----|-----|
| | 9% | 3% |

- Available subcontracting opportunities across the entire state for the applicable services,
- Availability of DGS-verified SDB/VBEs to perform commercially useful functions, and
- Historical analysis of similar projects within the last 3 years.

Primes are welcome to exceed the goal!





SDB and VBE Classification

Vendors must self-certify as a Small Business (SB) prior to SDB/VBE validation.

SB Eligibility Requirements

- •The business must be a for-profit, United States business.
- •The business must be independently owned.
- •The business may not be dominant in its field of operation.
- •The business may not employ more than 100 full-time equivalent employees.
- •The business may not exceed threeyear average gross revenues of \$47 Million, regardless of business type.

Small Diverse Business (SDB)

Goal oriented

- Woman Business Enterprise (WBE)
- Service-Disabled Veteran Business Enterprise (SDVBE)
- Minority Business Enterprise (MBE)
- LGBT Business Enterprise (LGBTBE)
- Disability-Owned Business Enterprise (DOBE)

Veteran Business Enterprise (VBE)

Goal oriented

- Veteran Business
 Enterprise (VBE)
- Service-Disabled Veteran
 Business Enterprise
 (SDVBE)

SDBs and VBEs must be certified/valid as of bid close due date and time.

<u>Commonwealth Phone Directory | Department of</u> General Services | Commonwealth of Pennsylvania





Finding SDB/VBE vendors

- <u>http://www.dgs.internet.state.pa.us/suppliersearch</u>
- Access Search Guide -<u>https://www.dgs.pa.gov/Small%20Diverse%20Business</u> <u>%20Program/Documents/Finding%20SBs%20and%20S</u> <u>DBs.pdf</u>
- Supplier Search assistance available from Issuing Officer or Bureau of Diversity Procurement Liaison



Quick Search

| pennsylvania DEPARTMENT OF GENERAL SERVICES | | Supplie | er Search | | | |
|--|---|---------------|--|--|-------------------------------|----------------|
| Home PA eMarketplace - Search Contrac | sts - | | | | | |
| Note: The below searches (Quick Search and Advanced Inclusion and Small Business Opportunities (BDISBO) as Small, Small Diverse, ITQ Contracts, COSTARS and UNS | a small business or small diverse busines | | | | | |
| Quick Search | | | | | | |
| Supplier Name or SAP Number | Searc | new | ORTANT: <u>Alw</u> search to cle rches | <u>vays</u> click R ear paramete | eset prior to ers from pre | o a evious |
| Advanced Search | | | | | | |
| Show 10 V entries | | | | Export | to Excel Reset S | earch Criteria |
| Supplier (click on name to display full record) | Contact | Address | SB Validity Dates | SDB Validity Dates | VBE Validity Dates | COSTARS |
| 21ST CENTURY MEDIA NEWSPAPER LLC | ROBERT BUTKINS sales@nittanyvalley.com | | | | | No |
| 22 GROUP, LLC () | Caroline M. Harper | 757 PUBLIC RD | 9/23/2019- | 9/23/2019- | | No |

http://www.dgs.internet.state.pa.us/suppliersearch



DGS.PA.GOV

Advanced Search

Supplier Classifications Minority Business Enterprise Select one or more Woman Business Enterprise classifications. To pull LGBT Business Enterprise only SDBs, check Disabled-Owned Business Enterprise "Select all Small Service-Disabled Veteran Business Enterprise Diverse Business Veteran Business Enterprise Classifications" below. Small Business To Include SBs, check Stocking Supplier "Small Business". Non-Stocking Supplier Select all Classifications Select all Small Diverse Business Classifications O Find only vendors that have all selected classifications Find only vendors that have at least one selected classification OR UNSPSC Description Enter a brief code description (e.g. Door) Type in any part of a UNSPSC code description to find vendors who have selected that UNSPSC code. ex: Landscaping will find vendors who have selected any UNSPSC code that has landscaping anywhere in the description. Click here to download the entire list in Excel format Browse and select one or UNSPSC Codes 10000000 - Live Plant and Animal Material and Accessories and Supplies 11000000 - Mineral and Textile and Inedible Plant and Animal Materials more codes. Don't know 12000000 - Chemicals including Bio Chemicals and Gas Materials the codes you want? 13000000 - Resin and Rosin and Rubber and Foam and Film and Elastomeric Materials Click arrow to Download the entire list 14000000 - Paper Materials and Products expand in Excel. 15000000 - Fuels and Fuel Additives and Lubricants and Anti corrosive Materials Segment for 20000000 - Mining and Well Drilling Machinery and Accessories See slide 13 to additional 21000000 - Farming and Fishing and Forestry and Wildlife Machinery and Accessories understand the UNSPSC 22000000 - Building and Construction Machinery and Accessories codes 23000000 - Industrial Manufacturing and Processing Machinery and Accessories code hierarchy. 24000000 - Material Handling and Conditioning and Storage Machinery and their Accessories and 25000000 - Commercial and Military and Private Vehicles and their Accessories and Components 26000000 - Power Generation and Distribution Machinery and Accessories O Find only vendors that have all selected UNSPSC codes Find only vendors that have at least one selected UNSPSC code



UNSPSC Commodity Code Search



Find A Partner

UNv24.0301 of the UNSPSC Codeset is now available. Access codesets.

Search the Code

| Code Name: |
|------------|
| Code Name: |
| |

Welcome

The United Nations Standard Products and Services Code® (UNSPSC®), managed by GS1 US® for the UN Development Programme (UNDP), is an open, global, multi-sector standard for efficient, accurate classification of products and services.

The UNSPSC offers a single global classification system that can be used for:

- · Company-wide visibility of spend analysis
- Cost-effective procurement optimization
- · Full exploitation of electronic commerce capabilities







Menu

DGS.PA.GOV

UNSPSC Commodity Code Search



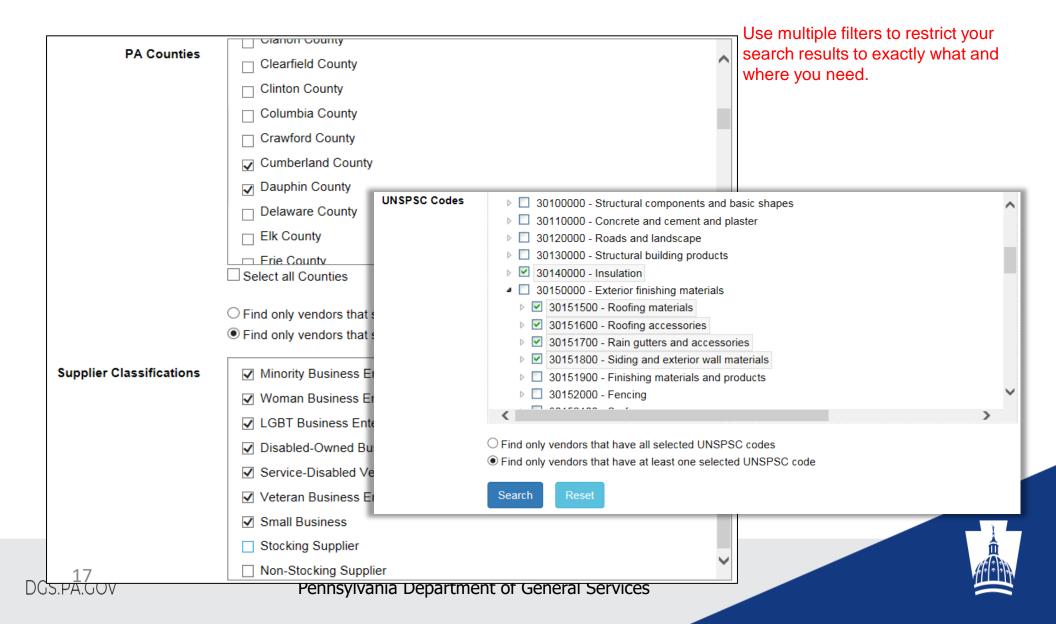
Write down your code selections

| OME | FAQS | SUBSCRIBE | LIBRARY | CODESET-DOWNLOADS | INITIATIVES | EDUCATION | FIND A PARTNE |
|-------------------|------------|-----------|----------|----------------------------------|-------------|-----------|----------------------|
| Versi | on 24.0301 | | | | | | |
| Searc | h Code | | | | | | |
| Searc | h Title | | painting | | | | |
| Sear | rch | | 1 | | | | |
| Code | | | Tit | tle | | | |
| 31211 | 1900 | | Pa | aint applicators and painting ac | cessories | | |
| 6012 | 1001 | | | aintings | | | |
| 6012 ⁻ | 1225 | | | atercolor painting mediums | | | |
| 60124 | 4101 | | | ulticultural painting products | | | |
| 7215 | 1300 | | Pa | inting and paper hanging serv | ices | | |
| 7215 | 1301 | | Re | esidential painting service | | | |
| 7215 | 1302 | | Co | ommercial painting service | | | |
| 7215 | 1303 | | In | dustrial painting service | | | |
| 7215 | 1304 | | Ai | rcraft painting service | | | |
| 7215 | 1305 | | Br | idge painting service | | | |
| 7215 | 1307 | | Sh | ip painting service | | | |
| 7318 | 1104 | | Pa | ainting services | | | |
| 7818 | 1501 | | Ve | hicle body repair or painting s | ervice | | |
| 7818 | 1836 | | | rcraft fixed wing coating and p | | | |
| 8613 | 1502 | | | pinting | - | | |



DGS.PA.GOV

Advanced Search



Search Results

| how 25 V entries | | | | Export to | Event Proved S | earch Criteria |
|--|--|---|---------------------------|---------------------------|-------------------------|----------------|
| Supplier (click on name to display full record) | Contact | Address | SB Validity Dates | SDB Validity Dates | VBE Validity Dates | COSTAR |
| ADVANCED AUDIO VISUAL SALES INC | JOHN GREENE DIRECTOR OF SALES John.Greene@advancedav.com (Phone) 610-719-6194 (Fax) 610-692-8421 | 208 CARTER DRIVE SUITE 7 WEST CHESTER, PENNSYLVANIA 19382 (Phone) 610-719-6194 | | | | No |
| ADVANCED BUILDING CONTROLS LLC (DBA ADVANCED BUILDING CONTROLS LLC) | Craig Connelly CCONNELLY@ADVANCEDBLDGCONTOLS (Phone) 215-520-9964 | PO BOX 303 COM HOLICONG, PENNSYLVANIA 18928 (Phone) 215-520-9964 | 2/11/2019- 2/28/2021 | г | | No |
| ADVANCED BUILDING PERFORMANCE INC () | Pei Pei Cavalier PEIPEI@ABPCX.COM (Phone) 301-760-9989 | 11225 HURDLE HILL DR POTOMAC, MARYLAND 20854 (Phone) 301-760-9989 abpcx.com | 12/20/2019- 12/20/2021 | 12/20/2019- 12/20/2021 | | No |
| ADVANCED CLEANING SOLUTIONS LLC (ADVANCED CLEANING SOLUTIONS LLC) | Nicholas Ward cleanteam@advancedsolutionsPA.com (Phone) 610-597-0012 | PO BOX 3223 ALLENTOWN, PENNSYLVANIA 18106 | 2/27/2018- 3/21/2820 | 2/27/2018- 3/31/2020 | 2/27/2018- 3/31/2020 | No |





Supplier Profile

| Name: | ADVANCED CLEAN | ING SOLUTIONS LLC | | | | | |
|---|----------------------|--|-------|--|--|--|--|
| SAP Number: | 511377 | 1377 | | | | | |
| Doing Business As: | ADVANCED CLEAN | VANCED CLEANING SOLUTIONS LLC | | | | | |
| Other Names: | | | | | | | |
| Keywords: | | | | | | | |
| Web site: | | | | | | | |
| SB Validity Dates: | 02/27/2018 - 03/31/2 | 02/27/2018 - 03/31/2020 | | | | | |
| SDB Validity Dates: 02/27/2018 - 03/31/2020 SB, SDB & VBE Expiration Dates | | | | | | | |
| VBE Validity Dates: 02/27/2018 - 03/31/2020 | | | | | | | |
| COSTARS Participant: No | | | | | | | |
| Supplier Classifications Small Business Service-Disabled Veteran Busin Veteran Business Enterprise Contact Information Contacts | | SB, SDB & VBE Classifications | | | | | |
| | | | | | | | |
| Contact Type | | Contact Details | Phone | | | | |
| | X | Contact Details Email: CLEANTEAM@ADVANCEDSOLUTIONSPA.COM | Phone | | | | |



DGS.PA.GOV

Search Results

| Show 25 V entries | | | (| Export to I | Excel Reset | arch Criteria |
|--|--|---|---------------------------|---------------------------|-------------------------|---------------|
| Supplier (click on name to display full record) | Contact | Address | SB Validity Dates | Dates | VBE alty Pates | COSTAR |
| ADVANCED AUDIO VISUAL SALES INC | JOHN GREENE DIRECTOR OF SALES John.Greene@advancedav.com (Phone) 610-719-6194 (Fax) 610-692-8421 | 208 CARTER DRIVE SUITE 7 WEST CHESTER, PENNSYLVANIA 19382 (Phone) 610-719-6194 | | | | No |
| ADVANCED BUILDING CONTROLS LLC (DBA ADVANCED BUILDING CONTROLS LLC) | Craig Connelly CCONNELLY@ADVANCEDBLDGCONTOLS.COM (Phone) 215-520-9964 | PO BOX 303 HOLICONG, PENNSYLVANIA 18928 (Phone) 215-520-9964 | 2/11/2019- 2/28/2021 | | | No |
| ADVANCED BUILDING PERFORMANCE INC () | Pei Pei Cavalier PEIPEI@ABPCX.COM (Phone) 301-760-9989 | 11225 HURDLE HILL DR POTOMAC, MARYLAND 20854 (Phone) 301-760-9989 abpcx.com | 12/20/2019- 12/20/2021 | 12/20/2019- 12/20/2021 | | No |
| ADVANCED CLEANING SOLUTIONS LLC (ADVANCED CLEANING SOLUTIONS LLC) | Nicholas Ward cleanteam@advancedsolutionsPA.com (Phone) 610-597-0012 | PO BOX 3223 ALLENTOWN, PENNSYLVANIA 18106 | 2/27/2018- 3/31/2020 | 2/27/2018- 3/31/2020 | 2/27/2018- 3/31/2020 | No |

Certified Small Businesses

- Advanced Building Controls LLC
- Advanced Building Performance Inc
- Advanced Cleaning Solutions LLC
- Small Diverse Businesses
- Advanced Building Performance Inc
- Advanced Cleaning Solutions LLC

Veteran Business Enterprise

Advanced Cleaning Solutions LLC





Excel Export Results – Supplier Contacts

Excel Export Results includes Vendor ID, Supplier Name, Contact Name, Email, Phone, Fax

| А | В | С | D | E | F | J | Н | 1 | J | К |
|------------|--------------------------------|--------------|--------------------|-------|---------------------------------------|---------------|-------------|---------|-----------|-----------|
| SAP Number | Supplier Name | Contact Name | Name | Title | Email | PhoneAreaCode | PhoneNumber | PhoneEx | FaxAreaCo | FaxNumber |
| 104279 | CA WEISS SALES LLC | CORPORATE | | | caweiss@comcast.net | | | | | |
| 104279 | CA WEISS SALES LLC | SB MAIN | KRISTY ZARICHNIAK | | kristyz@comcast.net | 610 | 4588864 | | 610 | 4588875 |
| 119565 | DUFF SUPPLY COMPANY | CORPORATE | ALEX DUFFINE | VP | DFRANK@DUFFCOMPANY.COM | 610 | 2754453 | | 610 | 2796299 |
| 119565 | DUFF SUPPLY COMPANY | REMITTO | BARB COHEN | | bcohen@duffco.com | 610 | 2754453 | 149 | 610 | 2756761 |
| 119565 | DUFF SUPPLY COMPANY | SB MAIN | ALEX DUFFINE | | aduffine@duffco.com | 610 | 2754453 | | | |
| 119565 | DUFF SUPPLY COMPANY | SB SECONDARY | | | info@duffco.com | | | | | |
| 122594 | HOUCK SERVICES INC | CORPORATE | | | jherrold@houcks.com | | | | | |
| 122594 | HOUCK SERVICES INC | SB MAIN | JARROD HERROLD | | jherrold@houcks.com | 717 | 6573302 | | 717 | 6579805 |
| 0 122594 | HOUCK SERVICES INC | SB SECONDARY | | | kgussler@houcks.com | | | | | |
| 1 134717 | PENN STATE ELECTRIC MECHANICAL | CORPORATE | | | razmataz33@aol.com | | | | | |
| 2 134717 | PENN STATE ELECTRIC MECHANICAL | SB MAIN | RAZ SUGARWALA | | razmataz33@aol.com | 717 | 2992090 | | 717 | 2992297 |
| 3 134717 | PENN STATE ELECTRIC MECHANICAL | SB SECONDARY | | | ksing6027@yahoo.com | | | | | |
| 4 135270 | BARBARA J SALES ASSOC INC | CORPORATE | | | barb@barbarajsles.com | | | | | |
| 5 135270 | BARBARA J SALES ASSOC INC | SB MAIN | BARBARA SMITH | | barb@barbarajsales.com | 412 | 5233398 | | 800 | 8137122 |
| 6 135270 | BARBARA J SALES ASSOC INC | SB SECONDARY | | | willsmith@willjservices.com | | | | | |
| 7 137893 | IDA YEAGER SALES INC | CORPORATE | | | idayeagersales@zoominternet.net | | | | | |
| 8 137893 | IDA YEAGER SALES INC | SB MAIN | IDA LAQUATRAYEAGER | | idayeagersales@zoominternet.net | 724 | 4525260 | | 724 | 4521072 |
| 9 144061 | CONSTRUCTION TOOL SERVICE INC | CORPORATE | | | ehuss@constructiontoolservice.com | | | | | |
| 0 144061 | CONSTRUCTION TOOL SERVICE INC | SB MAIN | BETTY CONNELLY | | bconnelly@constructiontoolservice.com | 412 | 6816673 | | 412 | 6819185 |
| 1 144061 | CONSTRUCTION TOOL SERVICE INC | SB SECONDARY | | | bcgoodwork@aol.com | | | | | |
| 2 145576 | BURKE & MICHAEL INC | CORPORATE | | | MARYFRANCES@BURKEANDMICHAEL.COM | | | | | |
| 3 145576 | BURKE & MICHAEL INC | SB MAIN | MARY FRANCES HOGAN | | maryfrances@burkeandmichael.com | 412 | 3212301 | | 412 | 3214582 |
| 4 153927 | COOPER TRADING INC | CORPORATE | | | cti@ctipa.com | | | | | |
| 5 153927 | COOPER TRADING INC | SB MAIN | PETER COOPER | | pete@ctipa.com | 724 | 8618830 | | 724 | 8618832 |
| 6 153927 | COOPER TRADING INC | SB SECONDARY | | | debbie@ctipa.com | | | | | |
| 7 157009 | CONTRACT HARDWARE AND SUPPLY | CORPORATE | | | cristil@chsupplyinc.com | | | | | |
| 8 157009 | CONTRACT HARDWARE AND SUPPLY | SB MAIN | BRAD BOTTEICHER | | bradb@chsupplyinc.com | 814 | 9412340 | | 814 | 9412342 |

Suppliers Supplier Addresses

Supplier Contacts Counties

Supplier Classifications

is ITQs

ITQ Contracts UNSPS

UNSPSC Codes



DGS.PA.GOV

Upcoming Supplier Search Training

The training will focus on the basics of the supplier search process including recognizing the UNSPSC Codes Structure, accessing the DGS Supplier Search Database and Searching for Small, Small Diverse and Small Veteran Owned Businesses to gain a better understanding of supplier search results.

The 30-minute sessions will be offered via Teams on-line presentations on the following dates in 2024: (No RSVP Required)

Targeted Audience (External): Potential prime contractors that conduct business with the commonwealth who want to learn the basics of finding DGS certified small diverse and veteran owned businesses.

- 10AM March 13 (Wed)
- 10AM March 28 (Thu)
- 10AM April 17 (Wed) •
- 10AM May 1 (Wed)
- 10AM May 22 (Wed)
- 10AM June 5 (Wed)
- 10AM June 20 (Thú)
- 10AM July 3 (Wed) 10AM July 17 (Wed)
- 10AM July 31 (Wed)
- 10AM Aug 14 (Wed)
- 10AM Aug 28 (Wed)
- 10AM Sep 4 (Wed)
- 10AM Sep 18 (Wed)
- 10AM Oct 16 (Wed)
- 10AM Oct 30 (Wed)
- 10AM Nov 13 (Wed)
- 10AM Nov 27 (Wed)
- 10AM Dec 11 (Wed)

BDISBO Events Page



New Forms and Processes

- SDB/VBE Instructions *SDB-1/VBE-1* **<u>READ**</u>
- SDB/VBE Participation Submittal *SDB-2/VBE-2*
- SDB/VBE Utilization Schedule *SDB-3/VBE-3*
- Letter of Commitment *SDB 3.1/VBE-3.1*
- Guidance for Good Faith Effort (GFE) Waiver –
 SDB-4/VBE-4 <u>READ</u>
- GFE Waiver *SDB-5/VBE-5*



Solicitation-Specific Goals

SDB-1 INSTRUCTIONS FOR COMPLETING THE SMALL DIVERSE BUSINESS (SDB) PARTICIPATION SUBMITTAL AND SDB UTILIZATION SCHEDULE.

PLEASE READ BEFORE COMPLETING THESE DOCUMENTS Bidders/Offerors do not need to return SDB-1 with their SDB Participation Submittal

The following instructions include details for completing the SDB Participation Submittal (SDB-2) which Bidders or Offerors must submit in order to be considered responsive.

The following instructions also include details for completing the SDB Utilization Schedule (SDB-3), which Bidders or Offerors must submit for any portion of the SDB participation

goal the Bidder or Offeror commits to meeting.

<u>A Bidder/Offeror's failure to meet the SDB participation goal in full or their failure to</u> receive an approved Good Faith Efforts waiver for any unmet portion of the SDB participation goal will result in the rejection of the Bid or Proposal as nonresponsive.

 SDB Participation Goal: The SDB participation goal is set forth in the Solicitation. The Bidder/Offeror is encouraged to use a diverse group of subcontractors and suppliers from the SDB classifications to meet the SDB participation goal.

II. SDB Eligibility:

 Finding SDB firms: Offerors can access the directory of DGS-verified SDB firms from the DGS Supplier Search directory at: <u>http://www.dgs.internet.state.pa.us/suppliersearch.</u>

<u>Only SDBs verified by DGS</u> and as defined herein may be counted for purposes of achieving the SDB participation goal. In order to be counted for purposes of achieving the SDB participation goal, the SDB firm, including an SDB prime, <u>must be DGS-verified for the services</u>, materials or supplies that it has committed to perform.

a. <u>SDB prime bidders or offerors</u>. An SDB prime firm whose SDB verification is pending or incomplete as of the bid or proposal due date and time may not satisfy the SDB participation goal through its own performance. <u>A self-certified SB prime that does</u> Pennsylvania Department of General Services



SDB Submittal – SDB-2



| | VBE-2 VBE PARTICIPATION SUBM | IIITAL | |
|--|--|---|-----------|
| Bidder/Offerer: | Solicitation | #: | |
| Discipline: | (.1 GC, .2 H | IVAC, .3 Plumbing, or .4 Electric | al) |
| Base Bid: (id | entify the corresponding Base Bid fo | or this VBE Participation Submitt | a1) |
| CHECK ONE, AND O REJECTION OF YOU Click on hold titles to no | NLY ONE, BOX. FAILURE TO R BID/PROPOSAL. | COMPLY WILL RESULT IN | |
| I agree to meet the VBE participation | I am requesting a partial waiver of the VBE participation goal. | I am requesting a full waiver of the VBE participation goal | |
| | outreach efforts as more fully described in the Guidance for Documenting Good Faith Efforts to Meet the VBE Participation Goal, I am unable to achieve the total VBE participation goal for this solicitation and am requesting a partial waiver of the VBE participation goal. I have completed and am submitting with my bid or proposal both of the following, which are required in order to be considered for award: 1. a VBE Utilization Schedule (VBE-3) for that portion of the VBE participation goal that I will meet; AND 2. a Good Faith Efforts Waiver Request for the portion of the VBE participation goals that I am unable to meet. are submitting as bidders or offeror BE-3) identifying any self-performant | | |
| Revised: January 26, 2024 | Construction_RFP_IFB_VBE_Partici | pation VBE- | ⊱1 |
| | | | |



25GS.PA.GOV

SDB Utilization Schedule – SDB-3 **CRITICAL** Verify SDB/VBE Validity

SDB-3 SDB UTILIZATION SCHEDULE

Bidder/Offeror:

Solicitation # :

Solicitation Title:

List in the chart below SDBs (including where applicable a prime bidder or offeror is self-performing a portion of the work) that will be used to meet the SDB participation goal (add additional pages if necessary). Submit a Letter of Commitment (SDB-3.1) for each SDB subcontractor, supplier, or manufacturer (add additional Letters of Commitment as necessary).

| SDB Name SAP Vendor Number (6-digit number provided by SDB) SDB Verification Number (located on DGS SDB verification) | Type of SDB (check all that apply) | Description of Work to be Performed (Statement of Work/Specification reference) | % Commitment (or % of work to be self-performed by SDB bidder/offeror) | Dollar Value of Commitment (after applying any calculation per SDB-1, Section IV, Calculating SDB participation) |
|---|--|--|---|--|
| Name: <u>ABC IT Solutions</u> SAP Vendor Number: <u>123456</u> SDB Verification Number: <u>123456-2023-09-SB-M</u> | MBE | IT staffing resources | % | \$ |
| Name: SAP Vendor Number: SDB Verification Number: | MBE WBE LGBTBE DOBE SDVBE | | % | |
| Name: SAP Vendor Number: SDB Verification Number: | MBE WBE LGBTBE DOBE SDVBE | | % | |
| Name: SAP Vendor Number: SDB Verification Number: | MBE WBE LGBTBE DOBE SDVBE | | % | |
| Name: SAP Vendor Number: SDB Verification Number: | MBE WBE LGBTBE DOBE SDVBE | | % | |
| Attach additional sheets if necessary | | | Total % SDB commitment: 0 | Total \$ amount: _ <u>\$ 0</u> |

Revised: January 26, 2024

Construction RFP IFB SDB Participation

SDB-3-1



Letter of Commitment SDB-3.1



SDB-3.1 LETTER OF COMMITMENT

This Letter of Commitment serves as confirmation of the commitment by the prime Bidder or Offeror to utilize the Small Diverse Business (SDB) on the below-referenced Solicitation/Project.

| Solicitation #: | Solicitation Name: | |
|------------------|----------------------------|-----------------|
| | Bidder/Offeror Information | SDB Information |
| Name | | |
| Address | | |
| Point of Contact | | |
| Telephone # | | |
| Email address | | |

<u>Services/Supplies and Time Frame</u>. If Bidder/Offeror is the successful vendor, the SDB shall perform or provide the following services or supplies during the term of the prime contract, as more specifically set forth below:

| Services or supplies the SDB will provide: | |
|---|--|
| Specific Time Frame the SDB will provide the services or supplies: | |

<u>Dollar Value of Commitment</u>. These service or supplies for contract/fee indicated in the table below shall be for the term of the contract.

| COMMITMENT TABLE | Total Contract/Fee Commitment Value | Allowable Participation Percentage | Allowable Participation Value equals Dollar Value Commitment on SDB-3 |
|--|--|--|--|
| | (c) | (d) | (c) x (d) = (e) |
| SDB Subcontractor - performs at least 50% of the value of the subcontract with its own forces | | 100% | |
| SDB Manufacturer - produces on premise material, supplies, articles or equipment required for contract. | | 100% | |
| SDB Stocking Suppliers - maintains materials on premises that are bought and stored and required for the contract. | | 60% | |
| SDB Non-stocking Supplier - Service Fee Only -Does not carry inventory. | | 100% | |

SDB verified*. By signing below, the SDB represents that it meets the SDB requirements set forth in the Solicitation and all required documentation has been provided to the Bidder/Offeror for its SDB submission.

Acknowledged

| Bidder/Offeror Point of Contact Printed name | SDB Point of Contact Printed name |
|--|-----------------------------------|
| | |
| | |

Bidder/Offeror Point of Contact Signature

Sincerely

SDB Point of Contact Signature

* The work performed by a firm that is dually verified as both an SDB and VBE will be counted by BDISBO towards fulfilling both the SDB and VBE commitments unless otherwise agreed to by the parties in writing and approved by BDISBO and the Issuing Office. See SDB-1 Instructions, Section II, Paragraph 4 for example of how work performed by a firm that is dually verified is credited.

Revised: January 26, 2024 Construction_RFP_IFB_SDB_Participation

SDB-3-1

SDB to expect a letter and SIGN it!



Guidance to Document GFE SDB-4

READ, READ, READ

- The ability or desire of a prime contractor to perform the work of a contract with its own organization does not relieve the Offeror of the responsibility to make Good Faith Efforts to meet the stated participation goal.
- Prime must complete all components of the GFE paperwork. Details/Evidence are important, proof is required.
- Carefully review SDB and VBE submittal Instructions, specifically Section VI of SDB/VBE-1 which lists pertinent items as Fatal errors.



Good Faith Efforts Packet SDB-5

Good Faith Efforts (GFE) Partial or Full Waiver

- □ Identified Items of Work Applicant Made Available to SDBs (Part 1)
- Identified SDBs and Record of Solicitations (Part 2)
- □ SDB Outreach Compliance Statement (Part 3)
- Additional Information Regarding Rejected SDB Quotes (Part 4)
- SDB Subcontractor Unavailability Certificate (Part 5)



SDB GFE Documentation – SDB-5

GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER REPUESTOR DUP AND ALLOCATE ALL FIVE PARTS

| Project Description: | |
|--------------------------------------|--|
| Commonwealth Agency Name: | |
| Solicitation #: | |
| Solicitation Due Date and Time: | |
| | |
| Bidder/Offeror Company Name: | |
| Bidder/Offeror Contact Name: | |
| Bidder/Offeror Contact Email: | |
| Bidder/Offeror Contact Phone Number: | |

Part 1 – Identified Items of Work Offeror Made Available to SDBs

Identify those items of contract work that the Offeror made available to SDBs. This includes, where appropriate, those items the Offeror identified and subdivided into economically feasible units to facilitate the SDB participation. For each item listed, show the anticipated percentage of the total contract cost. Offeror must demonstrate that enough work to meet the SDB participation goal was made available to SDBs, and the total percentage of the items of work identified for SDB participation met or exceeded the SDB participation goal set for the procurement.

| Identified Items of Work | Was this work listed in the solicitation? | Does Offeror normally self- perform this work? | Was this work made available to SDB Firms? If not, explain why. |
|--------------------------|---|---|---|
| | yes no | yes no | yes no |
| | yes no | yes no | yes no |
| | yes no | yes no | yes no |
| | yes no | yes no | yes no |
| | yes no | yes no | yes no |

Attach additional sheets if necessary.

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SDB-5-1



Identified Items of Work Offeror Made Available to SDBs

| Identify those items are a work that the Offeror made available to SDBs. This includes, where appropriate, those items the Offeror identified and subdivided in the state that enough work to meet the SDB participation. For each item listed, show the anticipated percentage of the total contract correct correct that enough work to meet the SDB participation goal was made available to SDBs, and the total percentage of the intervention of the inte | | | | | | | | |
|---|-----------|-----------|-----------|--|--|--|--|--|
| CR Complete all complete tisted in the solicitation? Was this work Does Offeror normally self- perform this work? Was this work made available to SDB Firms? If not, explain why | | | | | | | | |
| olsubco | yes no | yes no | yes no | | | | | |
| | yes no | yes no | yes no | | | | | |
| | yes no | yes no | yes no | | | | | |
| | yes no | yes no | yes no | | | | | |
| | yes no | yes no | yes no | | | | | |

Attach additional sheets if necessary.



Identified SDBs and Record of Solicitations

SDB-5

GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER REQUEST OF SDB PARTICIPATION GOAL

Part 2 - Identified SDBs and Record of Solicitations

CRITICAL CRITICAL Specifics and Details are important entify the SDBs solicited to provide quotes for the Identified Items of Work made available for SDB participation. Include the name of the SDB criticited, items of work for which quotes were solicited, date and manner of initial and follow-up solicitations, whether the SDB provided a quote, and whether the SDB is being used toward meeting the SDB participation goal. SDBs used to meet the SDB participation goal must be listed on the SDB Utilization Schedule (SDB-2).

Note: Copies of all written solicitations and documentation of follow-up calls to SDBs must be attached to this form. For each Identified SDB listed below. Offeror should submit an SDB Subcontractor Unavailability Certificate signed by the SDB or a statement from the Offeror that the SDB refused to sign the SDB Subcontractor Unavailability Certificate.

| Name of Identified SDB and Classification | Describe Item of Work Solicited | Initial Solicitation Date & Method | Follow-up Solicitation Date & Method | Details for Follow-up Calls | Quote Received? | Quote Used? | Reason Quote Rejected |
|--|------------------------------------|---|---|---------------------------------------|--------------------|----------------|---|
| SDB Name: | | Date: mail email | Date: mail email | Date and Time of Call: Spoke with: | yes no | yes no | Used other SDB Used non-SDB Self performing |
| MBE WBE LGBTBE DOBE SDVBE | | fax | fax | Left Message: | | | |
| SDB Name: | | Date: mail email | Date: mail email | Date and Time of Call: Spoke with: | yes no | yes no | Used other SDB Used non-SDB Self performing |
| MBE WBE LGBTBE DOBE SDVBE | | fax | fax | Left Message: | | | |

Attach additional sheets as necessary.

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Construction_RFP_IFB_SDB_Participation

SDB-5-2



SDB Outreach Compliance Statement



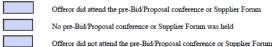
SDB-5 GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER REQUEST OF SDB PARTICIPATION GOAL

Part 3 - SDB Outreach Compliance Statement

- 1. List the Identified Items of Work for subcontracting opportunities for the solicitation along with specific work categories:
- 2. Attach to this form copies of written solicitations (with Bid or Proposal instructions) used to solicit Identified SDBs for these subcontract opportunities.
- 3. Offeror made the following attempts to contact the Identified SDBs:
- 4. Bonding Requirements (Please Check One):
 - - This project does not involve bonding requirements.
 - Offeror assisted Identified SDBs to fulfill or seek waiver of bonding requirements (DESCRIBE EFFORTS):

Construction_RFP_IFB_SDB_Participation

5. Pre-Bid/Proposal Conference or Supplier Forum (Please Check One):



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SDB-5-3





Additional Information Regarding Rejected SDB Quotes

SDB-5

GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER REQUEST OF SDB PARTICIPATION GOAL

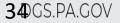
Part 4 - Additional Information Regarding Rejected SDB Quotes

This form must be completed if Part 2 indicates that an SDB quote was rejected because the Offeror is using a non-SDB or is self-performing the Identified Items of Work. List the Identified Items of Work, state whether the work will be self-performed or performed by a non-SDB, and if applicable, state the name of the non-SDB firm. Also include the names of all SDBs and non-SDB firms that provided a quote and the amount of each quote.

| Describe Identified Items of Work not being performed by SDBs (include specific section from bid or proposal) | Self-performing or using non-SDB (provide name of non- SDB if applicable) | Amount of non-SDB quote \$ | Name of other firms that provided quotes and whether they are SDB | Amount quoted \$ | Reason why SDB quote was rejected along with brief explanation |
|--|--|----------------------------------|---|---------------------|---|
| | self-performing using Non-SDB Name: | | SDB Non-SDB Name: | | price capabilities other |
| | self-performing using Non-SDB Name: | | SDB Non-SDB Name: | | price capabilities other |
| | self-performing using Non-SDB Name: | | SDB Non-SDB Name: | | price capabilities other |
| | self-performing using Non-SDB Name: | | SDB Non-SDB Name: | | price capabilities other |
| | self-performing using Non-SDB Name: | | SDB Non-SDB Name: | | price capabilities other |

Attach additional sheets as necessary.

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CRITICAL Documentation for Part 2

SDB Subcontractor Unavailability Certificate

| CR | TICA! | each 1 |
|------|------------------------|-----------|
| Red | uired for or listed | in Part 1 |
| vend | | |
| | | |

SDB-5 GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER REQUEST OF SDB PARTICIPATION GOAL

Part 5 – SDB Subcontractor Unavailability Certificate

| 1. It is hereby certified that the firm of | | | |
|--|-----------------------|-------------------|--------------------|
| | (Name of SDB) | | |
| located at | | | |
| (Number) | (Street) | | |
| | | | |
| 10%+> | | (Ctata) | (7:) |
| (City) | | (State) | (Zip) |
| was offered an opportunity to bid on Solicitation | n No | | |
| | | | |
| by(Name of E | Bidder/Offeror's Firm | ı) | |
| *************************************** | ****** | ****** | ***** |
| 2 | (SDB), is either un | available for the | work/sorrise or |
| unable to prepare a Proposal for this project for | | | work/service or |
| | - | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| (C) | 2001-04 D | | |
| (Signature of SDB's Representative) | (Title) | (Da | te) |
| | | | |
| | | | |
| (DGS SDB Certification #) | | (Tel | ephone #) |
| ******* | ****** | *********** | ************* |
| If the SDB does not complete this form, the bide | | | |
| To the best of my knowledge and belief, the abo | we-listed SDB is eith | er unavailable fo | r the work/service |
| for this project, is unable to prepare a Proposal, | or did not respond to | | |
| has not completed the above portion of this subr | mittal. | | |
| | | | |
| | | | |

(Signature of Bidder/Offeror) (Title) (Date) Revised: January 26, 2024 Construction_RFP_IFB_SDB_Participation

SDB-5-5



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How to Meet the Goal

3 Scenarios

- 1. Self-Perform as a DGS-verified SDB and/or VBE Prime vendor
- 2. Find Separate DGS-verified SDB and VBE vendors
- 3. Use a DGS Dually-verified SDB/VBE vendor

Submittal Forms

- 1. Complete SDB-2&3/VBE-2&3 by putting your company name as vendor with appropriate percentage commitment to fulfill each goal amount.
- 2. Complete SDB-2&3/VBE-2&3 by noting SDB vendor on SDB submittal and VBE vendor on VBE submittal with appropriate percentage commitment to fulfill each goal amount.
- 3. Complete SDB-2&3 /VBE-2&3 by noting same vendor on both SDB-2&3 and VBE-2&3 with highest percentage value commitment on BOTH forms.



DGS Dually Verified SDB/VBE

SDB-3 SDB UTILIZATION SCHEDULE

Sample Scenario - 10% SDB Goal ; \$1,000.00 total project cost

List in the chart below SDBs (including where applicable a prime bidder or offeror is self-performing a portion of the work) that will be used to meet the SDB participation goal (add additional pages if necessary). Submit a Letter of Commitment (SDB-3-1) for each SDB subcontractor (add additional Letters of Commitment as necessary).

| SDB Name SAP Vendor Number (6-digit number provided by SDB) SDB Verification Number (located on DGS SDB verification) | Type of SDB (check all that apply) | Description of Work to be Performed (Statement of Work/Specification reference) | % Commitment (or % of work to be self-performed by SDB bidder/offeror) | Associated Dollar Value of Commitment |
|---|--|--|---|---|
| Name: <u>ABC IT Solutions</u> SAP Vendor Number: <u>123456</u> SDB Verification Number: <u>123456-2016-09-SB-M</u> | MBE | IT staffing resources | % | s |
| NameName of Dually Verified Sub-Contractor SAP Vendor Number: 6 Digit Number SDB Verification Number: Obtain from SDB | MBE WBE LGBTBE DOBE SDVBE | | 6 % | \$60.00 |
| Name:DGS-verified SDB Sub-Contractor SAP Vendor Number: 6 Digit Number SDB Verification Number: Obtain from SDB | MBE WBE LGBTBE DOBE SDVBE | | 4 % | \$40.00 |
| Name: SAP Vendor Number: SDB Verification Number: | MBE WBE LGBTBE DOBE SDVBE | | % | |
| Name: SAP Vendor Number: SDB Verification Number: | MBE WBE LGBTBE DOBE SDVBE | | % | |
| Attach additional sheets if necessary | | | Total % SDB % | Total \$ amount: \$100.00 |

VBE-3 VBE UTILIZATION SCHEDULE

Sample Scenario - 3% VBE Goal ; \$1,000.00 total project cost

List in the chart below VBEs (including where applicable a prime bidder or offeror is self-performing a portion of the work) that will be used to meet the VBE participation goal (add additional pages if necessary). Submit a Letter of Commitment (VBE-3-1) for each VBE subcontractor (add additional Letters of Commitment as necessary).

| VBE Name SAP Vendor Number (6-digit number provided by VBE) VBE Verification Number (located on DGS VBE verification) | Type of VBE (check all that apply) | Description of Work to be Performed (Statement of Work/Specification reference) | % Commitment (or % of work to be self-performed by VBE bidder/offeror) | | Associated Dollar Value of Commitment |
|---|--|--|--|---|---|
| Name: <u>ABC IT Solutions</u> SAP Vendor Number: <u>123456</u> VBE Verification Number: <u>123456-2016-09-SB-M</u> | MBE | IT staffing resources | % | | \$ |
| NameOGS Dually-verified Sub-Contractor SAP Vendor Number: 6 Digit Number VBE Verification Number: Obtain from VBE | VBE SDVBE | | 6 | % | \$60.00 |
| Name: SAP Vendor Number: VBE Verification Number: | VBE SDVBE | This credit may exceed Participation Goal Perc | | % | |
| Name: SAP Vendor Number: VBE Verification Number: | VBE SDVBE | | | % | |
| Name: SAP Vendor Number: VBE Verification Number: | VBE SDVBE | | | % | |
| Attach additional sheets if necessary | | | Total % VBE commitment: 6 | % | so \$60.00 |

Sample Scenario:Solicitation Participation GoalsSDB = 10% VBE= 3%Estimated contract value = \$1,000.00



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Dually-Verified Vendor Instructions

If a DGS-verified SDB is dually verified as a VBE, the firm will receive credit towards both the SDB participation goal and the VBE participation goal as set forth on the SDB and VBE Participation Summary Sheet. This credit may exceed the participation goal percentage, as shown in the example below.

Example: The SDB participation goal is 10% and the VBE participation goal is 5%. A subcontractor is DGS-verified as both an SDB and a VBE and will perform 10% of the contract work. The prime contractor can satisfy both the SDB participation goal and the VBE participation goal through that dually verified subcontractor's performance of 10% of the contract work, unless otherwise agreed to by the parties in writing and approved by BDISBO and the Issuing Office.

Based on this example, the percentage commitment indicated on SDB-3 for SDB work on this contract would be 10%, and the VBE percentage commitment indicated on VBE-3 on this contract would be 10% as well. These contractual commitments meet the SDB participation goal of 10% and **exceed** the 5% VBE participation goal for this contract. Please note that the dually verified SDB/VBE firm only receives 10% of the contract work based on the example above.





Best Practices

<u>Do's</u>

- Read the solicitation and all instructions completely.
- Submit SEPARATE SDB and VBE submittal forms.
- Validate subcontractor SDB/VBE status in DGS Supplier Database.
- Ensure that all appropriate forms are completed and signed correctly.
- Submit questions early per the solicitation requirements.

<u>Don'ts</u>

- Make any assumptions.
- Copy SDB submittal paperwork. Download and complete the VBE submittal separately, titles and accuracy matter.
- Skip any portion of the GFE request documentation.
- No SDB/VBE submittal packets due for zero goal bids/discipline.



REMINDER

SEPARATE SDB/VBE SUBMITTALS WITH EACH DISCIPLINE AND BASE BID!

REMINDER

Failure to submit fully completed SDB and VBE submittal packets or GFE waiver request documentation will result in removal of your bid for award consideration







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BDISBO Contact Info

Bureau of Diversity, Inclusion and Small Business Opportunities North Office Building 401 North Street, Room 611 Harrisburg, PA 17120-0500 717.783.3119 <u>GS-BDISBO@pa.gov</u>



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