Rester-PA, LLC 1455 MARKET STREET SAN FRANCISCO, CA 94103 UBER.COM

Kathryn Clano SENIOR COUNSEL KATHRYN@UBER.COM

December 20, 2016

# **Via Electronic Filing**

Rosemary Chiavetta, Secretary PA Public Utility Commission P.O. Box 3265 Harrisburg, PA 17105-3265

Re: Application of Rasier-PA LLC for Transportation Network Service License

on Cam

Docket No. A-2016-XXXXXX

Dear Secretary Chiavetta:

Enclosed for electronic filing please find Raiser-PA LLC's Application for Transportation Network Service License with regard to the above-referenced matter. The \$350 filing fee is being paid electronically concurrently with this effling.

Sincerely,

Kathryn Ciano

# **Application for Transportation Network Service License**

THIS APPLICATION IS TO BE USED WHEN PROVIDING TRANSPORTATION FOR COMPENSATION BETWEEN POINTS IN PENNSYLVANIA USING A DIGITAL NETWORK TO FACILITATE PREARRANGED RIDES.

Le	gal Name of Applicant (Individual, Partnership or Corporation)
Ras	ier-PA LLC ("Applicant").
Tr N/A	ade Name (Attach a copy of fictitious name registration if applicable)
Ap	pplicant is:
	Sole Proprietor
	Partnership
	Limited Partnership (Provide list of partners and copy of Certificate of Limited Partnership)
	Limited Liability Partnership (Provide list of partners and copy of Statement of Registration)
	Limited Liability Company (Provide list of members and copy of Certificate of Organization) See Attachment 1. Rasier LLC is the sole member of Applicant. Corporation (Provide list of shareholders, distribution of shares, officers, and copy of Articles of Incorporation)
:	Foreign Association not formed in PA (Provide copy of Foreign Registration Statement)
reg	gistration with the Department of State - The Applicant certifies that the TNC is istered with the Pennsylvania Department of State to do business in the Commonwealth ase provide a copy of the TNC Applicant's registration with this application.
Sec	e Attachment 2.
Ple	ease check Applicant's PUC status:
	Does not now, nor never has had PUC Authority
	Does not now, but has previously held PUC Authority at A
X	Holds current PUC Authority at A-2014-2416127; A-2014-2424608; A-2015-2474715

6.	Dual Motor Carrier - Please indicat	e whether the Applicant is a call demand carrier.
	The Applicant WILL	BE operating as a Dual Motor Carrier.
	_X_ The Applicant WILL	NOT BE operating as a Dual Motor Carrier
7.	Physical Address (do not use PO Box)	
	114 S. 13th Street, Third Floor	
	Street Address	
	Philadelphia, PA 19107	
	City, State and Zip Code	
	202-386-2765	Philadelphia
	Telephone Number	County
8.	Mailing Address (if different from Physi	ical Address)
	1455 Market St., 4th Floor, Attn: Regu	latory
	Street Address	natory
	G F GA 04103	
	San Francisco, CA 94103 City, State and Zip Code	
9.	Website	
	www.uber.com/cities	
The	e Applicant certifies that it will establish a	nd maintain a website that complies with Chapter 26.
1110	o rippiroditi oordii oo daa ti wiii ostaoiisii d	na mamam a weeste that complete with chapter 20.
10.	Registered Agent	
	C T Corporation System	
	Agent's Name	
	116 Pine Street, Suite 320	
	Street Address	
	Harrisburg, PA 17101 City, State and Zip Code	
	City, State and Zip Code	
	n/a	Dauphin
	Telephone Number	County

# 11. Attorney (if applicable)

N/A	
Attorney's Name & Telephone Number for this Filing	

Attorney's Address

12. **Affiliated Interests** – List the applicant's affiliation (owner, manager, controls) with any other carrier, with the description of affiliation.

Rasier-PA is a single member limited liability company whose sole member is Rasier, LLC.

Affiliates of Rasier-PA include Gegen LLC, which provides certificated limousine services in the counties surrounding Philadelphia and is also a licensed broker authorized to provide brokerage services throughout Pennsylvania; Danach LLC, which operates as a limousine provider within the City of Philadelphia; and Portier, LLC, which is motor carrier of property in Pennsylvania. The common ownership of these affiliates is Uber Technologies, Inc.

13. General Description of Nature and Scope of Business - Provide a general description of the nature and scope of the proposed TNC service to be offered, including the company's business model, the use of independent drivers or employee drivers, the use of driver-owned vehicles or company-owned vehicles, the names and roles of any affiliates involved in providing the service, and other relevant features of the proposed TNC service.

Applicant, Rasier-PA LLC, a subsidiary of Rasier LLC, requests a license evidencing approval to operate a transportation network company ("TNC") between all permitted points in the Commonwealth of Pennsylvania.

Applicant is a limited liability company organized under the laws of Delaware and is registered as a foreign limited liability company in Pennsylvania with the Department of State at Corporation Bureau Entity Identification Number 4262217.

By this application, Applicant proposes to operate a transportation network service, as defined in Act 164, for passenger trips between all points in the Commonwealth of Pennsylvania, except for trips originating in Philadelphia. Applicant proposes to use an application service to connect passengers to independent transportation network drivers ("Operators") with whom Applicant intends to contract, in addition to Operators who are employees of the Applicant or one of its affiliates. Operators will use "personal vehicles," as defined in Act 164, for the purpose of providing transportation services. The personal vehicles may be owned, leased, or otherwise authorized for use by the Operators. Some of the personal vehicles may be owned by the Applicant or one of its affiliates, and authorized for use by the Operators. Applicant may also contract with individual owner-operators and/or fleet owners who authorize individual Operators to use vehicles in their fleet.

Applicant will maintain the records required under Chapter 26 of Act 164 and make them available for inspection by the Commission as necessary for the Commission to investigate complaints. Applicant will also maintain accurate records of each Operator and the vehicles used to provide the services for no less than three years. These records will include those specified in Chapter 26 of Act 164. Applicant will also provide written notice to each Operator of the scope and levels of insurance.

# 14. Driver Standards -- Please explain:

- a. Your standards for drivers;
- b. Your system for ensuring compliance with criminal background and license check requirements;
- c. Your driver training program;
- d. Your policy regarding alcohol and drug use by your drivers;
- e. How your policy or your written policy will ensure that drivers have the necessary insurance coverage;
- f. How your policy or your written policy will ensure your drivers will continuously comply with all requirements under Chapter 26, including providing service to people with disabilities;
- g. How your policy or your written policy will ensure your drivers will be informed of nondiscrimination policies.

Applicant will require Operators to meet standards that are at least consistent with or superior to the Commission's standards set forth in Sections 2604.1, 2604.3 and 2605 of Act 164, as described more fully below.

Criminal Background Checks. Applicant will conduct a local and national criminal background check that includes the Multi-State/Jurisdictional Criminal Records Locator and the National Sex Offender Registry database on each Operator before the Operator may access the digital app to receive requests for transportation. To the extent consistent with applicable law, a match on the national sex offender registry or a conviction that appears on a criminal background check related to certain crimes as defined in Act 164 shall automatically disqualify an individual from acting as an Operator.

Driving History Record. Applicant will have a driving history record conducted on each Operator before the Operator may offer TNC service. A major violation, or more than three moving violations that appear on a driving history check within the past three years, shall automatically disqualify an individual from acting as an Operator.

Training Program. Operators will receive training materials designed to ensure that each Operator understands safety and driving requirements while logged onto the application service or providing a prearranged ride. Training program materials will contain information related to providing service to people with disabilities. To the extent required by applicable law, Operators will be required to acknowledge receipt of program materials.

Drugs or Alcohol. Applicant has a zero tolerance policy on the use of drugs or alcohol while an Operator is providing TNC services. Notice of this zero tolerance policy is on the Applicant's website, along with procedures to report a complaint, including a telephone number for the Commission, about an Operator with whom the passenger was matched and for whom the passenger reasonably suspects was under the influence of drugs or alcohol during the course of the ride. Applicant immediately suspends an Operator upon receipt of a passenger complaint alleging a violation of the zero tolerance policy, and such suspension shall last the duration of the Applicant's investigation.

Non-Discrimination. Applicant has a non-discrimination policy that prohibits discrimination against riders based on race, religion, national origin, disability, sexual orientation, sex, marital status, gender identity, age or any other characteristic protected under applicable federal or state law. Such discrimination includes, but is not limited to, refusing to provide or accept services based on any of these characteristics. Any Operator found to have violated this prohibition will lose access to the application service. In addition, Applicant expects Operators to comply with all applicable state, federal, and local laws governing the transportation of riders with disabilities. Accordingly, service animals must be accommodated in compliance with applicable accessibility laws. Additionally, Operators are expected to accommodate riders using walkers, canes, folding wheelchairs or other assistive devices to the maximum extent possible. Any report of unlawful discrimination will result in the temporary deactivation of an Operator's account while Applicant reviews the incident. Confirmed violations of the law with respect to riders with disabilities may result in permanent loss of access to the application service. Notice of these non-discrimination policies are on the Applicant's website, and the policies are included in the training program described above.

Other Requirements. Operators must possess a current, valid driver's license, and must be at least 21 years of age. Applicant must also have on file proof of vehicle registration and proof of motor vehicle insurance before the Operator may use the vehicle on the application service. In addition, Applicant maintains an insurance policy that meets or exceeds the minimum requirements established in the Act, as evidence by a Form E to be filed with the Commission.

# 15. Vehicle Safety Program – Please explain:

- a. How your policy or your written policy will ensure that vehicles will continuously comply with Pennsylvania's equipment standards (67 Pa. Code, Chapter 175) and Chapter 26.
- b. Plans for ensuring that vehicles which no longer meet vehicle age and/or vehicle mileage standards shall be replaced in a timely fashion.
- c. How your policy or your written policy will ensure vehicles engaged in TNC service display their respective TNC placard in accordance with Chapter 26.

Applicant will require Vehicles to meet standards that are consistent with the Commission's standards particularly as to safety, as described more fully below.

Inspections. Applicant will require vehicles to undergo and pass an annual and comprehensive safety inspection before the vehicle provides a TNC service, which is consistent with the requirements detailed in 67 Pa. Code, Chapter 175 and Chapter 26 of Act 164. Such inspection must be performed by an official inspection station, and comply with all applicable vehicle laws and regulations. Additionally, Applicant acknowledges that vehicles are subject to inspection by Commission enforcement officers through routine enforcement inspections to ensure compliance with these requirements.

Vehicle Age. Vehicles may not be more than 10 years old, or 12 years for hybrid vehicles. By way of this application, Applicant requests that the Commission adjust the age limitation pursuant to Commission authority delineated under Section 2606(b) of the Public Utility Code, 66 C.S. § 2606(b), which permits the Commission to adjust the vehicle age requirements applicable to TNCs by regulation or order. Previously, at Docket P-2016-2556598, the Commission granted an exception to its vehicle age requirements for vehicles providing TNC services in the suburban counties surrounding Philadelphia, which allowed vehicles older than ten model years to be used. Specifically, the Commission permitted the use of vehicles, including electric, hybrid and alternative fuel vehicles that were up to fifteen model years in age, in Bucks, Chester, Delaware and Montgomery Counties. Vehicles up to fifteen years of age were operated from July 21, 2016 through September 30, 2016 without complaints or safety concerns arising. Should the Commission grant this request as part of its order issuing Applicant's license, the Applicant will continue to require, as it did during this prior waiver period, that all vehicles used in connection with its application service successfully complete inspections, as required by law. Accordingly, Applicant respectfully requests that the Commission permit vehicles throughout its licensed territory older than ten model years (and older than twelve model years in the case of electric, hybrid and alternative fuel vehicles), but not older than fifteen model years.

Vehicle Type. Vehicles must be designed to transport no more riders than there are factory-installed seat belts in the vehicle. Eligible vehicles include street-legal coupes, sedans, or light duty vehicles including vans, minivans, sport utility vehicles, hatchbacks, convertibles, and pickup trucks.

Other Vehicle Requirements. Vehicles will be required to be in a condition that meets or exceeds the standards set forth in Chapter 26, including seats in working order; vehicles in clean and sanitary condition; clean trunk compartments suitable for carrying luggage; exteriors free of large dents or gouges; 4 matching wheel covers; and operative air conditioning. In addition, all vehicles are required to display trade dress - i.e., the TNC placard attached as Attachment 3 - in accordance with Chapter 26.

16. Autonomous Vehicle Safety – Please certify that all autonomous vehicles and their operation in TNC service comply with all applicable PennDOT regulations.

See note below

The certification must be signed here by the applicant appearing on Line 1 by the named individual, all partners if a partnership, a member (if a limited liability company), or by the President or Officer (if a corporation)

Applicant's TNC services do not currently make use of autonomous vehicles, but do make use of vehicles with certain advanced technologies. PennDOT also has not yet adopted regulations governing autonomous vehicles.

- 17. Customer Service Standards Please describe your customer service standards. Within your description, please explain:
  - a. Your plan to inform customers of how to file complaints with the PUC;
  - b. Your intended customer complaint resolution procedure.

Applicant will maintain a website that provides two of the following methods for contacting Applicant: a customer service telephone number, hyperlink, or email address. Applicant will maintain records to demonstrate compliance with all of the requirements, standards, and obligations referenced in this Application.

In addition to the methods of contacting Applicant, Applicant maintains contact information for the Commission available on Applicant's website for the purpose of directing customers to the Commission to file relevant complaints.

18. Insurance – Please explain steps you have taken to determine if you can obtain and pay the premiums to maintain liability insurance coverage for your business. You must attach a copy of your Declarations Page with this application. (Upon approval of the application, you will be required to have Form E evidence of insurance filed by the insurance carrier.)

Applicant already purchases and pays for liability insurance to satisfy the requirements of the statute and is submitting to the Commission proof of adequate insurance evidencing policies and coverage that meet or exceed the minimum standards required by the Commission at Section 2603.1(a)

Certificates of insurance, Declarations Pages, and a copy of Form E as completed by the insurer are included as Attachment 4.

19. Financial Data – You must submit documentation as evidence of your current financial position.

A statement of current financial condition is attached as Attachment 5.

## 20. Certification:

Applicant certifies that it is not now engaged in unauthorized intrastate transportation for compensation between points in Pennsylvania and will not engage in said transportation unless and until authorization is received from the Pennsylvania Public Utility Commission.

Applicant further certifies that it understands the requirements of the Pennsylvania Public Utility Commission, especially as they relate to safety and insurance and that it may be subject to civil penalties, suspension or cancellation of the License for failure to comply with Commission requirements. TNC applicant certifies that it will comply with all of the requirements under Chapter 26.

Applicant further certifies that it understands that it is subject to an annual assessment based upon its reported annual gross Pennsylvania intrastate receipts derived from all fares charged to customers for the provision of TNC service. Applicant acknowledges that failure to report revenue and pay its annual assessment may result in civil penalties, suspension or cancellation of the certificate.

Attached as Attachment 6 is a Power of Attorney for limited purposes executed by a principal of Rasier-PA LLC and Rasier, LLC authorizing the following verification.

# Verification of Application

I/We hereby state that the statement(s) made in this application is/are true and correct to the best of my/our knowledge and belief.

The undersigned understands that false statements herein are made subject to the penalties of 18 Pa. C.S. Section 4904 relating to unsworn falsification to authorities.

(Print Name)

(Print Name)

(Signature)

(Date)

The verification of the application must be completed by the applicant appearing on Line 1 by the named individual, all partners if a partnership, a member (if a limited liability company), or by the President or Officer (if a corporation).





PAGE 1

# The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF

DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT

COPY OF THE CERTIFICATE OF FORMATION OF "RASIER-PA, LLC", FILED

IN THIS OFFICE ON THE ELEVENTH DAY OF APRIL, A.D. 2014, AT 11:17

O'CLOCK A.M.

5515373 8100

140459553

AUTHENTY CATION: 1286316

DATE: 04-11-14

You may verify this certificate online at corp.delaware.gov/authver.shtml

# STATE of DELAWARE LIMITED LIABILITY COMPANY CERTIFICATE of FORMATION

The undersigned, being duly authorized to execute and file this Certificate of Formation for the purposes of forming a limited liability company pursuant to the Delaware Limited Liability Company Act, 6 <u>Del. C.</u> §§ 18-101, <u>et seq.</u>, does hereby certify as follows:

First:

The name of the limited liability company is Rasier-PA, LLC.

Second:

The address of its registered office in the State of Delaware is 160

Greentree Drive, Suite 101, in the City of Dover, 19904.

The name of its registered agent at that address is National Registered

Agents, Inc.

IN WITNESS WHEREOF, the undersigned authorized person has executed this Certificate of Formation this 11<sup>th</sup> day of April, 2014.

/s/Andrew Ancheta Andrew Ancheta Authorized Person

State of Delaware Secretary of State Division of Corporations Delivered 11:20 AM 04/11/2014 FILED 11:17 AM 04/11/2014 SRV 140459553 - 5515373 FILE

# ALVED CHID CLUATE

interest of the above named comp Such benefits are subject to the membership duties a This named Limited Liability Compan day of Duelmber, 2016 A.D.	This certifies thatR	Organized in		TTC W
he above named con le membership dutie mited Llability Comp ber , 2016 A.D.	Rasier LLC	Delaware		E/MDE
interest of the above named company, which is entitled to the full benefits of such membership.  Such benefits are subject to the membership duties and obligations set forth in the Limited Liability Company opertating agreement.  This named Limited Liability Company has caused this conficate to be executed by its members this  day of Dielin ber , 2016 A.D.  And Many Member  Winness and/or member	is a member of the above named I imited I lability Company and holder 100 or	Company Name has a total of1_ member(s) at	Rasier-PA, LLC	LLC MEINIBERSHIF CERLIFICAT
such membership.  Such membership.  Such membership.  d by its members this  character  with 64 and/or member		12/15/16	W	
p. ertating agreement. this	100	date		ATE

If sold: to transfer the allocated interest in the books of the named Limited Liability Company with full power of substitution. Seller Ş represented within this certificate, and appoint received, I, Newly named member \_\_\_\_\_sell and transfer unto \_% of the membership interest, Witness Signature and name



# COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE

11/18/2016

# TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

# Rasier-PA, LLC

is duly registered to do business under the laws of the Commonwealth of Pennsylvania and remains a registered Foreign Limited Liability Company so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT this Certificate of Registration shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Secretary of the Commonwealth

Certification Number: TSC161118171556-1

Verify this certificate online at http://www.corporations.pa.gov/orders/verify.aspx

# COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE 12/01/2016

# TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

Rasier-PA, LLC

I, Pedro A. Cortés, Secretary of the Commonwealth of Pennsylvania, do hereby certify that the foregoing and annexed is a true and correct copy of

Amendment filed on Feb 4, 2016 - Pages (2)

which appear of record in this department.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Secretary of the Commonwealth

Certification Number: TSC161201161649-1

Verify this certificate online at http://www.corporations.pa.gov/orders/verify.aspx

# PENNSYLVANIA DEPARTMENT OF STATE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

Entity# : 4262217 Date Filed : 02/04/2016 Pedro A. Cortés Secretary of the Commonwealth

Return document by mail to:  Q(5)99; 50 Pt 344  Name  Address CT - COUNTER  City State Zip Code  L Return document by email to:	Amendment of Foreign Registration  TC0160211JD1114
Read all instructions prior to completing. This form may	be submitted online at <a href="https://www.corporations.pa.gov/">https://www.corporations.pa.gov/</a> .
Fee: \$250	
In compliance with the requirements of the applicab foreign registration statement), the undersigned registered for	e provisions of 15 Pa.C.S. § 413 (relating to amendment of eign association hereby states that:
1. The name of the association under which it is registered to	do business in this Commonwealth is:
Rasier-PA, LLC	
	(General) Partnership Professional Association Limited Partnership
Complete part (a) $OR$ (b) – not both:	
(a) Number and street City	State Zip County
(b) c/o: National Registered Agents, Inc.	Dauphin
(b) c/o: National Registered Agents, Inc.  Name of Commercial Registered Office Provider	County
4. Effective date of amendment of foreign registration (check   ☐ The Amendment of Foreign Registration shall be effect  ☐ The Amendment of Foreign Registration shall be effect	ve upon filing in the Department of State.

5. Check, and if appropriate complete, one of the following: The association desires that its registration be amended	
C T Corporation System	
Dauphin County	
	V-1
The amendment adopted by the association is set forth	in full in Exhibit A attached hereto and made a part hereof.
If the amendment reflects a change in name for the association which foreign association must adopt an alternate name that complies with from the association's governors adopting the name must be attached	15 Pa.C.S. §§ 201-209 for use in Pennsylvania and a resolution
IN TESTIMONY WHEREOF, the undersigned association has be signed by a duly authorized representative thereof this _20_16	
	Rasier-PA, LLC  Name of Association  Kinberly Steinmetz
	Signature
	Member Title
	Title

# COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE

11/21/2016

# TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

Rasier-PA, LLC

I, Pedro A. Cortés, Secretary of the Commonwealth of Pennsylvania, do hereby certify that the foregoing and annexed is a true and correct copy of

Creation Filing filed on Apr 15, 2014 - Pages (2)

which appear of record in this department.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Secretary of the Commonwealth

Certification Number: TSC161121110438-1

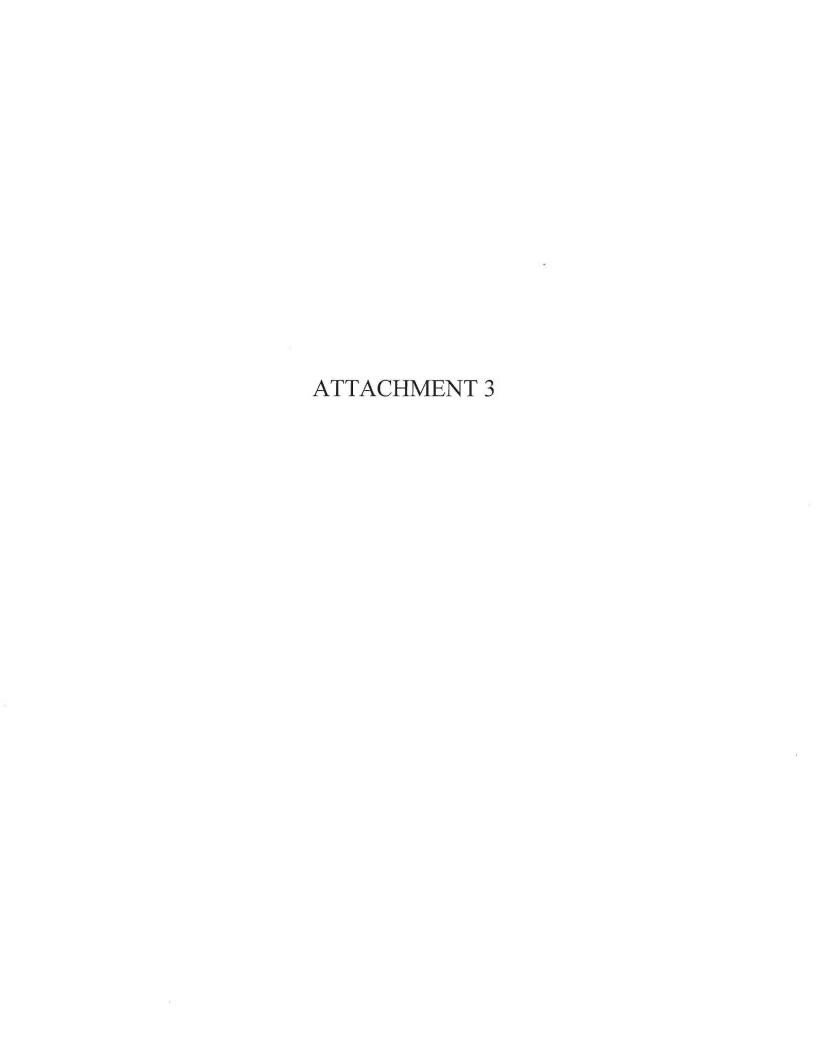
Verify this certificate online at http://www.corporations.pa.gov/orders/verify.aspx

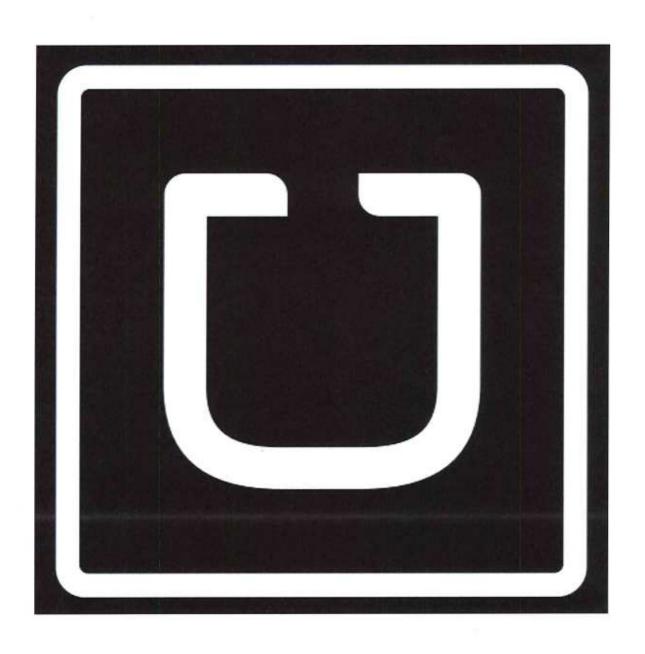
Entity #: 4262217 Date Filed: 04/15/2014 Carol Aichele Secretary of the Commonwealth

# PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU

Reg Lin	(15 Pa.0 istered Limited Liab istered Limited Liab ited Partnership (§ nited Liability Comp	C.S.) pility General P pility Limited P 8582)	oreign ertnership (§ 8211) ertnership (§ 8211)	
Name Selene Hakobyan			Document will be returned to the name and address you enter to	
Address 706 Mission St., 9th Floor			the left.	a dropi
City State San Francisco CA	Zip Code 94103		Commonwealth of Penn APPLICATION FOR REGISTRAT	rion 3 P
\$250			T1411137112	
ster to do business in this Commonweal	th, hereby states that	t:	ng to registration), the undersigned, o	
<ol> <li>The name of the limited liability co which it is formed:</li> </ol>	ompany/limited liabi	ility partnership	limited partnership in the jurisdiction in	
Rasier-PA, LLC				
				_
The name under which the limited register and do business in this Corr		mited liability p	artnership/limited partnership proposes to	0
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register and do business in this Cor Rasier-PA, LLC  3. The name of the jurisdiction under Jurisdiction: Delaware Date of 4. The (a) address of its initial register	the laws of which it Formation: 04/11/	was organized	and the date of its formation:	0

22.14			
22 Meyran Ave.	Pittsburgh	PA	15213
Number and street	City	State	Zip
of its principal office is:	ws of its jurisdiction of organiza	tion to maintain an offi	ce therein and the address
Number and street	City	State	Zip
For Restricted Professional E restricted professional compa	imited Liability Company Only ny organized to render the follo	. Strike out if inapplica wing professional servi	ible. The company is a ce(s):
ed Liability Partnership and	Limited Partnership: Com	plete paragraphs 7 a	nd 8
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Number and street  The registered partnership here	City by undertakes to keep those rewithdrawn.  IN this au	State Zip cords until its registration TESTIMONY WHER s Application for Registration for Re	County on to do business in the EOF, the undersigned has cau tration to be signed by a duly r or manager thereof this







# **BUSINESS AUTO DECLARATIONS**

JAMES RIVER INSURANCE COMPANY 6641 WEST BROAD STREET SUITE 300 RICHMOND, VA 23230



Policy Number: CA436100PA-01

ITEM O	NE		20	
		Rasier LLC, Rasier-CA LLC		th =
		Rasier-DC LLC. and	Mailing	1455 Market Street, 4 <sup>th</sup> Floor
Named	Insured:	Rasier-PA LLC	Address:	San Francisco, CA 94103
Policy	Period:			
From:	3/1/2016			
To:	3/1/2017	At 12:01 AM Standa	ard Time at your maili	ng address shown above
Form C	Of Busines	s:		<u> 2000.</u>
Co	orporation	X Limited	Liability Company	Individual
Pa	rtnership	Other:		
incept	ion:	is payable at Applicable): Annually	Semiannually	Quarterly X Monthly
IN DETI	IDN EOD	THE DAVMENT OF THE PR	EMILIM IN PELIANC	E LIPON THE STATEMENTS IN

IN RETURN FOR THE PAYMENT OF THE PREMIUM, IN RELIANCE UPON THE STATEMENTS IN THE APPLICATION(S) AND SUBJECT TO ALL THE TERMS OF THIS POLICY, WE AGREE WITH YOU TO PROVIDE THE INSURANCE AS STATED IN THIS POLICY.

### ITEM TWO

### Schedule of Coverages and Covered Autos

This policy provides only those coverages where a charge is shown in the premium column below. Each of these coverages will apply only to those "autos" shown as covered "autos". "Autos" are shown as covered "autos" for a particular coverage by the entry of one or more of the symbols from the Covered Autos Section of the Business Auto Coverage Form next to the name of the coverage.

Goverages	Covered Autos  Entry of coase of most entry symbols in the covered autop Suction of the Business Autop Suction of the autop Suction across with of autop Succession and autope		Premium
Liability	10	\$ 1,000,000	
Personal Injury Protection (Or Equivalent No-fault Coverage)	10	Separately Stated In Each Personal Injury Protection Endorsement	
Uninsured Motorists (UM)	10	\$ 1,000,000	Carried Street, Street
Underinsured Motorists (UIM) (When Not Included In UM Coverage)	10	\$ 1,000,000	
Physical Damage			
Physical Damage Comprehensive Coverage	Not Covered	Actual Cash Value or Cost of Repair, Whichever is Less, Minus \$ Deductible For Each Covered Auto, But No Deductible Applies to Loss Caused By Fire or Lightning	\$ Not Covered
Physical Damage Specified Causes of Loss Coverage	Not Covered	Actual Cash Value or Cost of Repair, Whichever Is Less, Minus \$ Deductible For Each Covered Auto, for Loss Caused By Mischief or Vandalism	\$ Not Covered

CRC Insurance Services, License #65024

The insurer which has issued this insurance is not licensed by the Pennsylvania Insurance Department and is subject to limited regulation. This insurance is NOT covered by the Pennsylvania Property and Casualty Insurance Guaranty Association.

Physical Damage Collision Coverage	Not Covered	Actual Cash Value or Cost of Repair, Whichever is Less, Minu	us & Not Covered
		\$ Deductib	\$ Not Covered
	24	For Each Covered Auto	
TEM THREE - Specifical	ly Described Autos	- Physical Damage	
		out only for the Physical Damage C	
shown on the Declarations	and only for the Lim	it(s) designated in the Declarations	for such premium charge.
None			
		Premium From Endorseme	ents
		Estimated Total Premi	ium
		Company Fee	
			134343461 1334
TOTAL SHOWN IS PAYA	BLE AT INCEPTION	Ne	
		Surplus Lines Tax	MALLE OF THE STATE OF
		Stamping Office Tax	The House are
		Total Premium	

**ENDORSEMENTS** 

THESE DECLARATIONS, TOGETHER WITH THE COMMON POLICY CONDITIONS AND COVERAGE FORM(S) AND ANY ENDORSEMENT(S), COMPLETE THE ABOVE NUMBERED POLICY.

ENDORSEMENTS ATTACHED TO THIS POLICY:

See attached schedule A – Schedule of Forms

# **BUSINESS AUTO DECLARATIONS**

JAMES RIVER INSURANCE COMPANY 6641 WEST BROAD STREET SUITE 300 RICHMOND, VA 23230



Policy Number: CA436200PA-01

ITEM ONE			V.	
Named Insure	Rasier LLC, Rad: Rasier-DC LLC		Mailing Address:	1455 Market St, 4 <sup>th</sup> Floor San Francisco, CA 94103
Policy Period:				
From: 3/01/20	016			
To: 3/01/20	017 At 12:0	11 AM Standard Tir	me at your mailir	ng address shown above
Form Of Busin Corporati Partnersh	on	X Limited Liab	ility Company	Individual
Premium show inception: Audit Period (	wn is payable at	Annually	Semiannually	Quarterly X Monthly

IN RETURN FOR THE PAYMENT OF THE PREMIUM, IN RELIANCE UPON THE STATEMENTS IN THE APPLICATION(S) AND SUBJECT TO ALL THE TERMS OF THIS POLICY, WE AGREE WITH YOU TO PROVIDE THE INSURANCE AS STATED IN THIS POLICY.

ITEM TWO

### Schedule of Coverages and Covered Autos

This policy provides only those coverages where a charge is shown in the premium column below. Each of these coverages will apply only to those "autos" shown as covered "autos". "Autos" are shown as covered "autos" for a particular coverage by the entry of one or more of the symbols from the Covered Autos Section of the Business Auto Coverage Form next to the name of the coverage.

Goverages	Governor Autos	Limit The Most We Will Pay for Any One Accident of Loss	Premium
Liability	10	\$50,000 each person BI \$100,000 each accident BI \$25,000 each accident PD	
Personal Injury Protection (Or Equivalent No-fault Coverage)	10	Separately Stated In Each Personal Injury Protection Endorsement	
Uninsured Motorists (UM)	Not Covered	\$ Not Covered	
Underinsured Motorists (UIM) (When Not Included in UM Coverage)	Not Covered	\$ Not Covered	
Physical Damage			
Physical Damage Comprehensive Coverage	Not Covered	Actual Cash Value or Cost of Repair, Whichever Is Less, Minus \$ Deductible For Each Covered Auto, But No Deductible Applies to Loss Caused By Fire or Lightning	\$ Not Covered
Physical Damage Specified Causes of Loss Coverage	Not Covered	Actual Cash Value or Cost of Repair, Whichever is Less, Minus \$ Deductible For Each Covered Auto, for Loss Caused By Mischief or Vandalism	\$ Not Covered

CRC Insurance Services, License #65024

The insurer which has issued this insurance is not licensed by the Pennsylvania Insurance Department and is subject to limited regulation. This insurance is NOT covered by the Pennsylvania Property and Casualty Insurance Guaranty Association.

Physical Damage Collision Coverage	Not Covered	Actual Cash Value or Cost of Repair, Whichever Is Less, Minus  \$ Deductible For Each Covered Auto	\$ Not Covered
TEM THREE - Specifica	lly Described Autos –		
		1 1 1 DI	THE RESERVE AND ADDRESS OF THE PARTY OF THE
shown on the Declarations	are covered "autos" bu and only for the Limit(	t only for the Physical Damage Cove (s) designated in the Declarations for	rage where a premium is such premium charge.
shown on the Declarations	are covered "autos" but and only for the Limit(	(s) designated in the Declarations for  Premium From Endorsements	such premium charge.
Vehicles described below a shown on the Declarations  None	are covered "autos" but and only for the Limit(	(s) designated in the Declarations for	such premium charge.
shown on the Declarations	are covered "autos" but and only for the Limit(	(s) designated in the Declarations for Premium From Endorsements	such premium charge.
shown on the Declarations	are covered "autos" but and only for the Limit(	(s) designated in the Declarations for  Premium From Endorsements  Estimated Total Premium	such premium charge.

THESE DECLARATIONS, TOGETHER WITH THE COMMON POLICY CONDITIONS AND COVERAGE FORM(S) AND ANY ENDORSEMENT(S), COMPLETE THE ABOVE NUMBERED POLICY.

# CRC Insurance Services, License #65024

ENDORSEMENTS ATTACHED TO THIS POLICY:

X | See attached schedule A – Schedule of Forms

The insurer which has issued this insurance is not licensed by the Pennsylvania Insurance Department and is subject to limited regulation. This insurance is NOT covered by the Pennsylvania Property and Casualty Insurance Guaranty Association.

DATE(MM/DD/YYYY)

12/01/2016

# CERTIFICATE OF LIABILITY INSURANCE

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES

	BELOW. THIS CERTIFICATE OF INS REPRESENTATIVE OR PRODUCER, A					ONTRACT E	BETWEEN T	HE ISSUING INSURER	(S), AUTHORIZEL	,	
5	MPORTANT: If the certificate holder is SUBROGATION IS WAIVED, subject to certificate does not confer rights to the	the	term	ns and conditions of	the policy,	certain polic					
-	DDUCER				CONTAC NAME:						
	Aon Risk Insurance Services West, Inc.				PHONE	NAME:   PHONE					
	n Francisco CA Office 5 Market Street				E-MAIL			(A/C, NO.):		-1	
Su	ite 2800				ADDRES	SS:				3	
San Francisco CA 94105 USA					INSURER(S) AFFORDING COVERAGE						
INISI	NSURED				INSURE	INSURER A: James River Insurance Company					
Rasier LLC, Rasier-CA LLC,				INSURER B:							
Ras	Rasier-DC LLC, Rasier-PA LLC 1455 Market Street, 4th Floor			INSURE	INSURER C:						
	san Francisco CA 94103 USA					INSURER D:					
					INSURER					-	
					INSUREF				-		
00	VERAGES CER	TIFIC	ATE	NUMBER: 5700646		ν.	RI	EVISION NUMBER:			
T IN C	HIS IS TO CERTIFY THAT THE POLICIES NDICATED. NOTWITHSTANDING ANY RE ERTIFICATE MAY BE ISSUED OR MAY I XCLUSIONS AND CONDITIONS OF SUCH	OF I QUIR PERT	NSUR EMEN AIN, T	ANCE LISTED BELOV IT, TERM OR CONDIT THE INSURANCE AFF LIMITS SHOWN MAY	V HAVE BEEN TON OF ANY ORDED BY T	CONTRACT THE POLICIE REDUCED B	THE INSURI OR OTHER I S DESCRIBE Y PAID CLAIN	ED NAMED ABOVE FOR T DOCUMENT WITH RESPE D HEREIN IS SUBJECT T IS. Limits sh	CT TO WHICH THE	S S,	
INSF	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMB	BER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMIT	s		
	COMMERCIAL GENERAL LIABILITY  CLAIMS-MADE OCCUR							EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence)			
								MED EXP (Any one person)			
GEN'L AGGREGATE LIMIT APPLIES PER:		-						PERSONAL & ADV INJURY		7.4	
								GENERAL AGGREGATE		570064600544	
	POLICY PRO- JECT LOC						PRODUCTS - COMP/OP AGG				
	OTHER:									707	
Α	AUTOMOBILE LIABILITY			CA436100PA-01		03/01/2016	03/01/2017	COMBINED SINGLE LIMIT (Ea accident)	\$1,000,0		
	ANY AUTO OWNED AUTOS ONLY HIRED AUTOS X NON-OWNED							BODILY INJURY ( Per person)			
								BODILY INJURY (Per accident)		4	
								PROPERTY DAMAGE (Per accident)		غ ا	
	ONLY AUTOS ONLY							Uninsured/Underinsured CSL	\$1,000,0	000	
_	UMBRELLA LIAB OCCUR							EACH OCCURRENCE		- 6	
	EXCESS LIAB CLAIMS-MADE							AGGREGATE			
		-				W '					
	DED RETENTION WORKERS COMPENSATION AND							PER STATUTE OTH-		_	
	EMPLOYERS' LIABILITY Y/N							I ER		-	
	ANY PROPRIETOR / PARTNER / EXECUTIVE OFFICER/MEMBER EXCLUDED?	N/A						E.L. EACH ACCIDENT			
	(Mandatory in NH)  If yes, describe under							E.L. DISEASE-EA EMPLOYEE		_	
	If yes, describe under DESCRIPTION OF OPERATIONS below	_						E.L. DISEASE-POLICY LIMIT		_=	
			1							호	
DES	CRIPTION OF OPERATIONS / LOCATIONS / VEHICL	ES (A	ORD 1	01. Additional Remarks Sch	hedule, may be a	ttached if more	space is require	d)			
CON	erage includes First-Party Medic ident basis for incidents involv a prearranged ride, including \$2	a le	anaf	its as required h	V 75 PA C	s 8 1711	(relating	to required henefits	on a per le while engaged		
CE	RTIFICATE HOLDER			-	CANCELLA	TION					
ĢΕ	RTIFICATE HOLDER						7				
						DATE THERE		BED POLICIES BE CANCELL ILL BE DELIVERED IN ACCOR			

AUTHORIZED REPRESENTATIVE

Aon Rish Insurance Services West Inc.

Rasier LLC, Rasier-CA LLC Rasier-DC LLC, Rasier-PA LLC 1455 Market Street, 4th Floor San Francisco CA 94103 USA

CORD

DATE(MM/DD/YYYY)

# CERTIFICATE OF LIABILITY INSURANCE

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS

CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER. IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s). PRODUCER CONTACT NAME: Aon Risk Insurance Services West, Inc. PHONE (A/C. No. Ext): FAX (A/C. No.): San Francisco CA Office 425 Market Street Suite 2800 E-MAIL ADDRESS: San Francisco CA 94105 USA NAIC # INSURER(S) AFFORDING COVERAGE 12203 James River Insurance Company INSURED INSURER A: Rasier LLC, Rasier-CA LLC, INSURER B Rasier-DC LLC, Rasier-PA LLC 1455 Market Street, 4th Floor San Francisco CA 94103 USA INSURER C INSURER D INSURER E: INSURER F: CERTIFICATE NUMBER: 570064600572 REVISION NUMBER: COVERAGES THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

Limits shown are as requeste Limits shown are as requested POLICY EFF POLICY EXP
(MM/DD/YYYY) (MM/DD/YYYY) TYPE OF INSURANCE POLICY NUMBER LIMITS COMMERCIAL GENERAL LIABILITY EACH OCCURRENCE DAMAGE TO RENTED CLAIMS-MADE OCCUR PREMISES (Ea occurrence) MED EXP (Any one person) PERSONAL & ADV INJURY GEN'L AGGREGATE LIMIT APPLIES PER: **GENERAL AGGREGATE** PRO-POLICY JECT LOC PRODUCTS - COMP/OP AGG OTHER: 03/01/2016 03/01/2017 CA436200PA-01 COMBINED SINGLE LIMIT AUTOMOBILE LIABILITY (Ea accident) BODILY INJURY ( Per person) \$50,000 ANYAUTO SCHEDULED BODILY INJURY (Per accident) \$100,000 OWNED AUTOS PROPERTY DAMAGE AUTOS ONLY HIRED AUTOS \$25,000 NON-OWNED AUTOS ONLY X (Per accident) EACH OCCURRENCE UMBRELLA LIAB OCCUR AGGREGATE CLAIMS-MADE **EXCESS LIAB** DED RETENTION WORKERS COMPENSATION AND PER STATUTE EMPLOYERS' LIABILITY
ANY PROPRIETOR / PARTNER / EXECUTIVE OFFICER/MEMBER EXCLUDED? E.L. EACH ACCIDENT NIA (Mandatory in NH) E.L. DISEASE-EA EMPLOYEE If yes, describe under DESCRIPTION OF OPERATIONS below E.L. DISEASE-POLICY LIMIT DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required) Coverage includes First-Party Medical Benefits as required under 75 PA.C.S. § 1711 (relating to required benefits), including \$25,000 for Pedestrians and \$5,000 for a Driver. CERTIFICATE HOLDER CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. Rasier LLC, Rasier-CA LLC Rasier-DC LLC, Rasier-PA LLC 1455 Market Street, 4th Floor San Francisco CA 94103 USA AUTHORIZED REPRESENTATIVE

. Am Pirk Insurance Services West Inc

ACORD

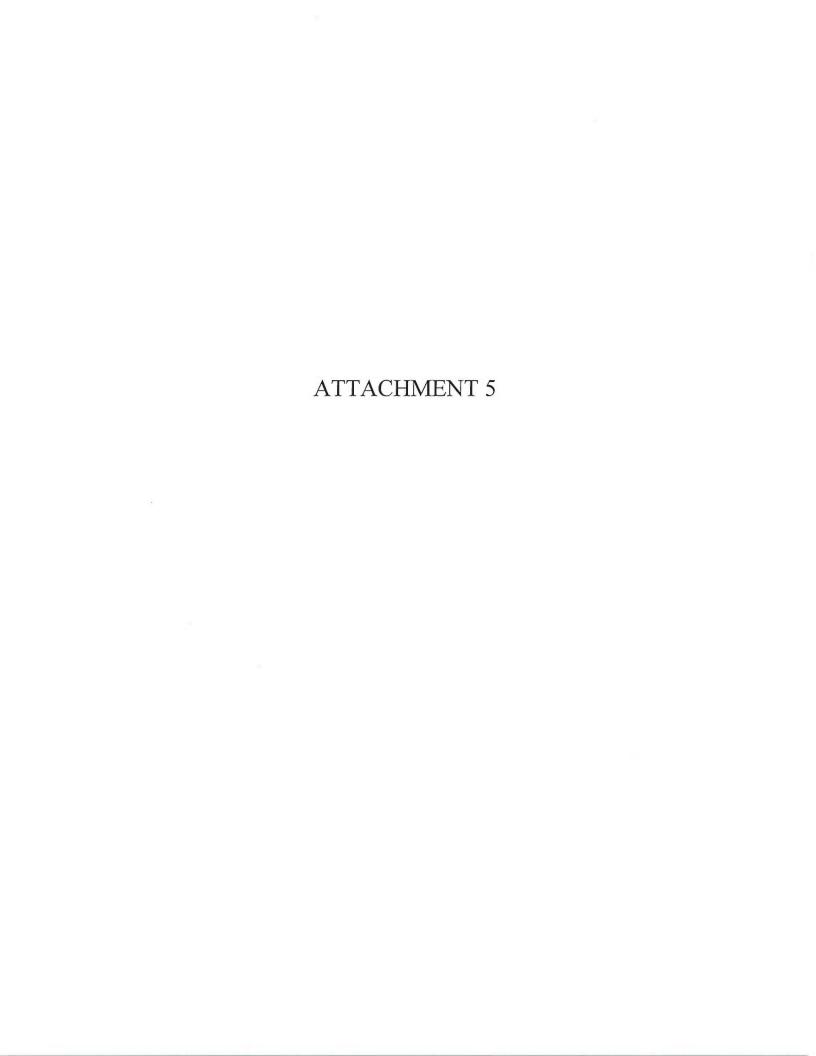
# Form E - TNC UNIFORM TNC BODILY INJURY AND PROPERTY DAMAGE LIABILITY CERTIFICATE OF INSURANCE

(Execute in Triplicate)

Filed with Pennsylvania Public Utility Commission (hereinafter called Commission)
(Name of Commission)
This is to certify, that the
(Name of Company)
(hereinafter called Company) of 6641 West Broad Street, Suite 300, Richmond, VA 23230
(Home Office Address of Company)
has issued to Rasier PA, LLC
(Name of Transportation Network Company or TNC)
of 1455 Market Street 4th Floor, San Francisco, CA 94103
(Address of TNC)
a policy or policies of insurance effective from <u>see below</u> 12:01 A M. standard time at the address of the
insured stated in said policy or policies and continuing until canceled as provided herein, which, by filing this Certificate
of Insurance and corresponding endorsements, has or have been amended to provide automobile bodily injury and
property damage liability insurance covering the obligations imposed upon such TNC by the provisions of the TNC law
of the State in which the Commission has jurisdiction or the Commission orders or regulations promulgated in
accordance therewith.
Whenever requested, the Company agrees to furnish the Commission a duplicate original of said policy or
policies and all endorsements thereon.
This certificate and the endorsement described herein may not be canceled without cancellation of the policy
to which it is attached. Such cancellation may be affected by the Company or the insured giving thirty (30) days' notice
in writing to the State Commission, such thirty (30) days' notice to commence to run from the date notice is actually
received in the office of the Commission.
Countersigned at 6641 West Broad Street, Suite 300, Richmond, VA 23230
(Street Address, City, State and Zip Code)
This 16th day of December, 2016.
Christine L. Milles Authorized Company Representative
Insurance Company File No(s):

Stage 1: Policy Number CA436200PA-01 effective 3/1/16 - Liability Limits \$50K/\$100K/\$25K

Stages 2 & 3: Policy Number CA436100PA-01 effective 3/1/16 – Liability Limit \$1,000,000



# STATEMENT OF CURRENT FINANCIAL POSITION

For calendar year 2014, Rasier-PA, LLC reported gross operating revenues in excess of \$1,000,000.

For calendar year 2015, Rasier-PA, LLC reported gross operating revenues in excess of \$10,000,000.

Rasier-PA, LLC experienced further increases in its gross operating revenues during calendar year 2016, which will be reported in March 2017.

Rasier-PA, LLC anticipates continued increases in its gross operating revenues during calendar year 2017.



# LIMITED POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That the undersigned, RASIER, LLC, a Delaware limited liability company (the "Principal"), hereby appoints JENNIFER KRUSIUS, an individual currently residing in Washington, D.C. (the "Agent"), to exercise the powers and discretions described below.

The Agent, acting singly, shall have the full power and authority to represent and act on behalf of the Principal in respect of the following:

any actions necessary by the Principal for Rasier-PA, LLC initially obtain and thereafter maintain the Pennsylvania TNC permit, and to do all such other acts and things in relation with the foregoing which the Agent, in his discretion, shall deem necessary, advisable or useful and in the interest of the Principal in respect of the foregoing.

This Limited Power of Attorney is granted in, and shall be governed by, the laws of the State of California; provided, that this Limited Power of Attorney shall be universally recognized and admissible to recordation (if applicable) in any jurisdiction in the United States of America and its territories.

This Limited Power of Attorney shall become effective immediately and the authority granted hereunder shall continue in effect until revoked in writing by the Principal.

IN WITNESS WHEREOF, the undersigned has executed this instrument on this <u>16</u> day of December, 2016, in San Francisco, California.

PRINCIPAL:

RASIER, LLC

Name: Gautam Gupta

Title: Manager

WITNESS 1: WITNESS 2:

ame: Willie Mah Name: Selene Hakobyo