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February 19, 2019

VIA eFiling

Rosemary Chiavetta, Secretary
Commonwealth of Pennsylvania
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street
Harrisburg, PA 17120

Re: Application of Pennsylvania-American Water Company pursuant to Sections 1102, and 1329 of the Public Utility Code for Approval of its Acquisition of the Water Assets of Steelton Borough Authority

Docket No. A-2019-3006880

Dear Secretary Chiavetta:

Enclosed for filing is the Amended Application of Pennsylvania-American Water Company for amending the Company's *pro forma tariff* with regards to the above-referenced case. This document is permitted to be filed electronically.

A copy of the Amended Application has been provided to the participants in the manner indicated on the enclosed Certificate of Service. Please contact me if you have any questions.

Respectfully submitted,

Susan Simms Marsh

Enclosures

cc: Bureau of Technical Utility Services VIA E-mail
Shaun Sparks, Esq. – Law Bureau VIA E-mail

**BEFORE THE
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

In re: Application of Pennsylvania-American Water Company under Section 1102(a) of the Pennsylvania Public Utility Code, 66 Pa. C.S. § 1102(a), for approval of (1) the transfer, by sale, of substantially all of the Steelton Borough Authority's assets, properties and rights related to its water treatment, transportation, and distribution facilities to Pennsylvania-American Water Company, and (2) the rights of Pennsylvania-American Water Company to begin to offer or furnish water service to the public in the Borough of Steelton and a portion of the Township of Swatara, Dauphin County, Pennsylvania.

Docket No. A-2019-3006880

In re: Application of Pennsylvania-American Water Company under Section 1329 of the Pennsylvania Public Utility Code, 66 Pa. C.S. § 1329, for approval of the use for ratemaking purposes of the lesser of the fair market value or the negotiated purchase price of the Steelton Borough Authority's assets related to its water treatment and distribution system.

Docket No. A-2019-_____

Petition of Pennsylvania-American Water Company, related to its acquisition of the Steelton Borough Authority's water treatment, transportation and distribution facilities, for approval under Section 1329 of the Pennsylvania Public Utility Code, 66 Pa. C.S. § 1329, to (i) collect a distribution system improvement charge, (ii) for book and ratemaking purposes, accrue Allowance for Funds Used During Construction for post-acquisition improvements not recovered through the distribution system improvement charge, and (iii) for book and ratemaking purposes, defer depreciation related to post-acquisition improvements not recovered through the distribution system improvement charge.

Docket No. P-2019-_____

In re: Filing by Pennsylvania-American Water Company under Section 507 of the Pennsylvania Public Utility Code, 66 Pa. C.S. § 507, the Asset Purchase Agreement Between Pennsylvania-American Water Company and the Steelton Borough Authority.

Docket No. U-2019-_____

**AMENDED APPLICATION OF
PENNSYLVANIA-AMERICAN WATER COMPANY**

TO THE HONORABLE PENNSYLVANIA PUBLIC UTILITY COMMISSION:

1. On January 2, 2019, Pennsylvania-American Water Company (“Pennsylvania-American”) by its attorneys filed an Application, pursuant to Sections 507, 1102(a) and 1329 of the Public Utility Code (66 Pa.C.S. §§ 507, 1102(a)), 1329 (“Application”).

2. By the Application filed on January 2, 2019, Pennsylvania-American respectfully requested that the Pennsylvania Public Utility Commission (“Commission”) issue such Certificates of Public Convenience as necessary to evidence its approval under Section 1102(a) of the Pennsylvania Public Utility Code (“Code”), 66 Pa. C.S. § 1102(a), of: (a) the transfer, by sale, of substantially all of the Steelton Borough Authority’s (“Steelton”) assets, properties and rights related to its water treatment, transportation, and distribution facilities (the “Steelton System”) to Pennsylvania-American and, (b) Pennsylvania-American’s right to begin to offer or furnish water service in the areas served by Steelton in the Borough of Steelton and a portion of Swatara Township, Dauphin County, Pennsylvania (including approval to make effective upon closing the *pro forma* tariff supplement included as part of the Application at Appendix A-13). Additionally, Pennsylvania-American respectfully requested under Section 1329 of the Pennsylvania Public Utility Code, 66 Pa. C.S. § 1329, approval of the use for ratemaking purposes of the lesser of the fair market value or the negotiated purchase price of the Steelton’s assets related to its water treatment, transportation and distribution system.

3. On January 9, 2019, Pennsylvania-American received from the Commission’s Bureau of Technical Utility Services (“TUS”) a list of missing application information necessary to perfect the Application.

4. On January 14, 2019, Pennsylvania-American filed supplemental materials in support of its Application.

5. By Secretarial Letter dated January 17, 2019, the Commission conditionally accepted for filing the Application and, *inter alia*, directed Pennsylvania-American to amend its Application to include a tariff or *pro forma* tariff supplement adopting rates equal to the rates of the selling entity at the time of the acquisition pursuant to Section 1329 of the Public Utility Code, 66 Pa. C.S. § 1329(d)(1)(v).

6. Pennsylvania-American now wishes to amend said Application, as directed by the Commission.

7. Pennsylvania-American Water amends Paragraph No. 32 of the Application to state as follows:

The Transaction will have no immediate effect on the rates for service to be charged to PAWC's existing customers. With regard to the water customers to be transferred by Steelton, PAWC will -- as shown in the *pro forma* tariff submitted with this Amended Application as **Revised Appendix A-13** -- adopt Steelton's rates existing at the time of closing of the Transaction. Additionally, immediately following closing of the Transaction, the customers in the Steelton service area will be subject to PAWC's prevailing water tariff on file with, and as approved by, the Commission with respect to all rates other than the customer charge (known under PAWC's current tariff as "monthly service charge"), including customers with 16, 18 and 20-inch meters public consumption charge, Metered and Unmetered Fire Protection Service, and Public and Private Fire Hydrants,¹ including but not limited to reconnection fees, late payment fees and the like, as well as non-rate related terms and conditions of service, including but not limited to, billing frequency, termination procedures, and the like. PAWC respectfully requests approval from the Commission to make effective, upon closing of the Transaction, its existing rules and regulations regarding conditions of service as revised with the proposed changes shown on the *pro forma* tariff supplement attached hereto as **Revised Appendix A-13**.

¹ Steelton does not currently charge separate rates for metered private fire protection, and does not charge at all for unmetered private fire protection, public and private hydrants, and public fire protection.

WHEREFORE, Pennsylvania-American Water Company respectfully requests that the Pennsylvania Public Utility Commission approve the Application, as amended herein, and order that:

(a) such Certificates of Public Convenience be issued as necessary to evidence its approval under 66 Pa. C.S. § 1102(a) and § 1329 of (i) the transfer, by sale, of substantially all of the Steelton Borough Authority's assets, properties and rights related to its water treatment, transportation, and distribution facilities to Pennsylvania-American Water Company, and (ii) the rights of Pennsylvania-American Water Company to begin to offer or furnish water service to the public in the Borough of Steelton and a portion of Swatara Township, Dauphin County, Pennsylvania; and

(b) the *pro forma* tariff supplement attached hereto as **Revised Appendix A-13**, including all rates, rules and regulations regarding conditions of Pennsylvania-American Water Company's water service as revised herein, be permitted to become effective immediately upon closing of the transaction.

Respectfully submitted,



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Date: February 19, 2019

Attorneys for *Pennsylvania-American Water Company*

**Supplement No. xx to
Tariff Water-PA P.U.C. No. 5**

**PENNSYLVANIA-AMERICAN WATER COMPANY
(hereinafter referred to as the "Company")
D/B/A
Pennsylvania American Water**

RATES, RULES AND REGULATIONS

**GOVERNING THE DISTRIBUTION AND SALE OF
WATER SERVICE**

IN CERTAIN MUNICIPALITIES AND TERRITORIES LOCATED ADJACENT THERETO IN:

ADAMS, ALLEGHENY, ARMSTRONG, BEAVER, BERKS, BUCKS,
BUTLER, CENTRE, CHESTER, CLARION, CLEARFIELD, CLINTON, COLUMBIA,
CUMBERLAND, DAUPHIN, FAYETTE, INDIANA, JEFFERSON, LACKAWANNA,
LANCASTER, LAWRENCE, LEBANON, LUZERNE, MCKEAN, MONROE, MONTGOMERY,
NORTHAMPTON, NORTHUMBERLAND, PIKE, SCHUYLKILL, SUSQUEHANNA,
UNION, WARREN, WASHINGTON, WAYNE, AND YORK COUNTIES.

Issued: xxxxx xx, xxxx

Effective: xxxxx xx, xxxx

Issued by:
Jeffrey McIntyre, President
Pennsylvania American Water
800 West Hersheypark Drive
Hershey, PA 17033

<https://www.amwater.com/paaw/>

The tariff authorizes Pennsylvania-American Water Company to furnish water service to the public in the Borough of Steelton and a portion of Swatara Township, Dauphin County. (Refer to pages 2, 4, 5, 9, 16.7, 29, 30 and 32)

NOTICE

PENNSYLVANIA-AMERICAN WATER COMPANY

LIST OF CHANGES

This tariff supplement authorizes Pennsylvania American Water Company to begin to offer or furnish water service to the public in the Borough of Steelton and a portion of Swatara Township, Dauphin County as ordered by the Pennsylvania Public Utility Commission at Docket No. A-xxxx – xxxxxxxx entered xxxxx xx, xxxx.

PENNSYLVANIA-AMERICAN WATER COMPANY

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(C) means Change

PENNSYLVANIA-AMERICAN WATER COMPANY

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(C) means Change

PENNSYLVANIA-AMERICAN WATER COMPANY

TERRITORIES SERVED

(By State Region and Company Water District)
(All territories are subject to Rate Zone 1 unless otherwise noted)

Central Pennsylvania

Berwick District

Columbia County

The Boroughs of Berwick and Briar Creek and the Township of Briar Creek

Luzerne County

The Borough of Nescopeck and the Township of Salem

Frackville District

Schuylkill County

The Borough of Frackville and adjacent territory in West Mahanoy, Butler, New Castle Townships and portions of Mahanoy and Walker Townships.

Hershey/Palmyra District

Dauphin County

Portions of the Townships of Conewago, Derry (which includes the area commonly referred to as "Hershey"), Londonderry, and West Hanover; adjacent territory in South Hanover,

Lebanon County

The Borough of Palmyra and the Townships of Annville, North Annville, North Londonderry, South Annville and South Londonderry

Lake Heritage District

Adams County

The Townships of Mount Joy, Mount Pleasant and Straban

Mechanicsburg District

Cumberland County

The Boroughs of Camp Hill, Lemoyne, New Cumberland, Shiremanstown, West Fairview and Wormleysburg and the Townships of East Pennsboro, Hampden, Lower Allen, Silver Spring and portions of Upper Allen Township

York County

Portions of Townships of Fairview and Newberry

Milton/White Deer District

Northumberland County – Rate Zone 1 and Rate Zone 3 (McEwensville)

The Boroughs of McEwensville, Milton, Northumberland and Watsontown and portions of the Townships of Delaware, East Chillesquaque, Point, Turbot, Upper Augusta and West Chillesquaque

Union County

The Borough of Lewisburg and portions of the Townships of Buffalo, East Buffalo, Gregg, Kelly and White Deer

Steelton District

Dauphin County

The Borough of Steelton and a portion of Swatara Township.

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Issued: xxxxx xx, xxxxx

Effective Date: xxxxx xx, xxxxx

PENNSYLVANIA-AMERICAN WATER COMPANY

SCHEDULE OF RATES

RATE ZONE 5 - GENERAL METERED SERVICE
FOR ALL RATE CLASSES

(C)

APPLICABILITY

The rates as set forth below apply in the Steelton service territory served under this tariff for service rendered on and after the Effective Date shown at the bottom of this page.

AVAILABILITY

The rates under this schedule are available to all customers in all rate classes.

METERED SERVICE

All water supplied by the Company under this rate schedule for any and all purposes except, Qualified Private Fire Hydrants and Public Fire Hydrants, shall be metered. All meters shall be read monthly or bimonthly and the water used shall be paid for in accordance with the following schedule of rates.

RATE

Service Charge For All Rate Classes

The following monthly service charge shall apply based on the size of meter required to render adequate service, as determined by the Company:

<u>Size of Meter</u>	<u>Service Charge Per Month</u>
5/8 inch	\$ 14.78
3/4 inch	14.78
1 inch	32.37
1-1/4 inch	58.29
1-1/2 inch	58.29
2 inch	84.20
3 inch	191.06
4 inch	249.52
6 inch	399.23
8 inch	579.97
16 inch	1,159.94
18 inch	1,304.93
20 inch	1,449.92

Consumption Charges For All Rate Classes

The following rates shall apply per 100 gallons per month:

	<u>Service Charge</u>
First 1,700 gallons per month	
Next 18,300 gallons per month	\$.8260
Next 30,000 gallons per month	\$.9120
All Over 50,000 gallons per month	\$.7880

(C) means Change

Issued: xxxxx xx, xxxx

Effective Date: xxxxx xx, xxxx

PENNSYLVANIA-AMERICAN WATER COMPANY

SCHEDULE OF RATES

PRIVATE FIRE SERVICE –UNMETERED

APPLICABILITY

The rates under this schedule apply throughout the territories served under this tariff for service rendered on and after the Effective Date shown at the bottom of this page

AVAILABILITY

The rates under this schedule are available to any existing unmetered private fire service customer who continues to utilize the service connection solely for fire prevention purposes in connection with the customer's fire sprinkler system, standpipe connection, or any other emergency or fire protection facilities.

RATE

Service Charge

The following shall apply based on the size of service line connection required to render adequate service, as determined by the Company:

<u>Size of Connection with Main</u>	<u>Service Charge Per Month</u>
1 inch	\$ 4.41
1-1/4 inch	4.41
1-1/2 inch	6.35
2 inch	11.29
3 inch	25.67
4 inch	45.19
6 inch	102.68
8 inch	182.77
10 inch	285.45
12 inch	410.72

Steelton District – Service Charge:

All size connections with main \$0.00 per month (C)

Qualified Private Fire Hydrants

Service under this classification is subject to regulations set forth in Section 2.11 "Qualified Private Fire Hydrants".

Qualified Private Fire Hydrants \$26.87 per month

Steelton District:

Qualified Private Fire Hydrants \$0.00 per month (C)

(C) means Change

PENNSYLVANIA-AMERICAN WATER COMPANY

SCHEDULE OF RATES

PRIVATE FIRE SERVICE –UNMETERED (CONT'D)

TERMS AND CONDITIONS

Billing. Bills for unmetered private fire service and Qualified Private Fire Hydrants will be rendered monthly in arrears.

Inspection. The customer shall permit Company personnel and the Company's authorized agents, representatives, consultants and experts access to the customer's facilities during regular business hours and upon not less than 24 hours' notice and shall permit inspection thereof to determine, to the Company's satisfaction, whether the customer is in compliance with the availability criteria and terms and conditions hereof. The Company shall have the right to install a consumption detection device on the service line or use other investigative measures to determine whether the service line is being utilized for purposes other than fire protection.

Metering Equipment. Whenever, inspection of consumption detection devices on the service line or other investigative measures, indicate the service connection is being utilized for purposes other than fire protection, the Company will require the installation of a meter of appropriate size, and shall charge for service at the rates set forth under the Company's metered private fire service.

Steelton District - Metering Equipment. Whenever, inspection of consumption detection devices on the service line or other investigative measures, indicate the service connection is being utilized for purposes other than fire protection, the Company will require the installation of a meter of appropriate size, and shall charge for service at the rates set forth under the Company's Rate Zone 5 – General Metered Service. (C)

(C) means Change

PENNSYLVANIA-AMERICAN WATER COMPANY

SCHEDULE OF RATES

PUBLIC FIRE SERVICE

APPLICABILITY

The rates under this schedule apply throughout the territories served under this tariff for service rendered on and after the Effective Date shown at the bottom of this page.

AVAILABILITY

The rates under this schedule are available to customers with applications accepted by the Company under Section 8.5 of this tariff. Service under this classification is subject to regulations set forth in Section No. 8 "Public Fire Hydrants".

RATE

Replacement of existing public fire hydrants with Company owned public fire hydrants will in all circumstances be charged at a rate of \$240.00 per annum or \$20 per month unless stated otherwise below.

The annual charge for each public fire hydrant is \$240.00 per annum or \$20.00 per month except as noted below:

All hydrants added after January 1, 2000, will be billed at 25% of the cost of service or \$17.11 per month.

<u>Municipalities</u>	<u>Monthly Charge for Each Public Fire Hydrant</u>
Moshannon Valley Area	
Bradford Township for hydrants contracted prior to February 18, 1988	\$ 6.25
Brownsville Area	\$17.55
California Area	\$18.05
Reading Area	\$18.19
Steelton District	\$0.00

(C)

(C) means Change

**BEFORE THE
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

In re: Application of Pennsylvania-American Water Company under Section 1102(a) of the Pennsylvania Public Utility Code, 66 Pa. C.S. § 1102(a), for approval of (1) the transfer, by sale, of substantially all of the Steelton Borough Authority's assets, properties and rights related to its water treatment, transportation, and distribution facilities to Pennsylvania-American Water Company, and (2) the rights of Pennsylvania-American Water Company to begin to offer, render, furnish or supply water service to the public in the Borough of Steelton and a portion of the Township of Swatara, Dauphin County, Pennsylvania. :
: Docket No. A-2019-3006880

In re: Application of Pennsylvania-American Water Company under Section 1329 of the Pennsylvania Public Utility Code, 66 Pa. C.S. § 1329, for approval of the use for ratemaking purposes of the lesser of the fair market value or the negotiated purchase price of the Steelton Borough Authority's assets related to its water treatment and distribution system. :
: Docket No. A-2019-_____

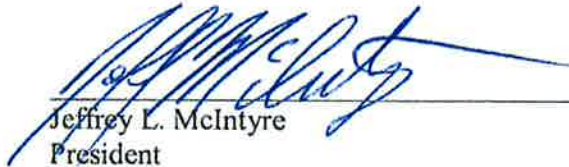
Petition of Pennsylvania-American Water Company, related to its acquisition of the Steelton Borough Authority's water treatment, transportation and distribution facilities, for approval under Section 1329 of the Pennsylvania Public Utility Code, 66 Pa. C.S. § 1329, to (i) collect a distribution system improvement charge, (ii) for book and ratemaking purposes, accrue Allowance for Funds Used During Construction for post-acquisition improvements not recovered through the distribution system improvement charge, and (iii) for book and ratemaking purposes, defer depreciation related to post-acquisition improvements not recovered through the distribution system improvement charge. :
: Docket No. P-2019-_____

In re: Filing by Pennsylvania-American Water :
Company under Section 507 of the Pennsylvania Public :
Utility Code, 66 Pa. C.S. § 507, the Asset Purchase : Docket No. U-2019-_____
Agreement Between Pennsylvania-American Water :
Company and the Steelton Borough Authority. :

VERIFICATION

I, Jeffrey L. McIntyre, President, for Pennsylvania-American Water Company, hereby state that the facts set forth in the Amended Application of Pennsylvania-American Water Company regarding the acquisition of Steelton Borough Authority's water treatment, transportation and distribution facilities are true and correct to the best of my knowledge, information and belief and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

Dated: February 19, 2019



Jeffrey L. McIntyre
President
Pennsylvania-American Water Company

**BEFORE THE
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

In re: Application of Pennsylvania-American Water Company under Section 1102(a) of the Pennsylvania Public Utility Code, 66 Pa. C.S. § 1102(a), for approval of (1) the transfer, by sale, of substantially all of the Steelton Borough Authority's assets, properties and rights related to its water treatment, transportation, and distribution facilities to Pennsylvania-American Water Company, and (2) the rights of Pennsylvania-American Water Company to begin to offer or furnish water service to the public in the Borough of Steelton and a portion of the Township of Swatara, Dauphin County, Pennsylvania.

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Docket No. A-2019-_____

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Docket No. P-2019-_____

In re: Filing by Pennsylvania-American Water Company under Section 507 of the Pennsylvania Public Utility Code, 66 Pa. C.S. § 507, the Asset Purchase Agreement Between Pennsylvania-American Water Company and the Steelton Borough Authority.

Docket No. U-2019-_____

CERTIFICATE OF SERVICE

I hereby certify that I have this day served a true copy of the foregoing Amended Application of Pennsylvania-American Water Company regarding the acquisition of Steelton Borough Authority's water treatment, transportation and distribution facilities, upon the parties, listed below, in accordance with the requirements of 52 Pa. Code § 1.54 (relating to service by a party).

VIA E-MAIL AND USPS FIRST-CLASS MAIL

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Christine Maloni Hoover
Senior Assistant Consumer Advocate
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The above-referenced Amended Application has been electronically filed on the Pennsylvania Public Utility Commission's eFiling system.

Dated: February 19, 2019



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