**BEFORE THE**

**PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Pennsylvania Public Utility Commission :

:

v. : R-2021-3023618

:

UGI Utilities, Inc. – Electric Division :

**ORDER GRANTING MOTION FOR PROTECTIVE ORDER**

On February 8, 2021, UGI Utilities, Inc. – Electric Division (UGI Electric) filed Supplement No. 26 to Electric Pa. P.U.C. No. 6 (“Tariff No. 6”) and Supplement No. 2 to UGI Electric Tariff – Pa. P.U.C. No. 2S (“Tariff No. 2S”) with the Pennsylvania Public Utility Commission (“Commission”). The Company proposed changes to UGI Electric’s base retail distribution rates designed to produce an increase in revenues of approximately $8.7 million, based upon data for a fully projected future test year ending September 30, 2022. By Order dated March 11, 2021, to Commission suspended the effective date of Tariff No. 6 and Tariff No. 2S until November 9, 2021.

The current active parties to this proceeding are the Commission’s Bureau of Investigation and Enforcement (I&E), the Pennsylvania Office of Consumer Advocate, the Pennsylvania Office of Small Business Advocate, the Commission on Economic Opportunity, ChargePoint, Inc., the Pennsylvania Utility Law Project, the Retail Energy Supply Association, NRG Energy, Inc. and Brandi Brace.

On April 5, 2021, UGI Electric filed a Motion for Protective Order (Motion) by which it seeks protection of Proprietary Information from public disclosure, within the scope of 52 Pa. Code § 5.365, that has been or may be requested in discovery during the course of this proceeding.

More specifically, UGI Electric identifies in its Motion and in an attached proposed Protective Order, three categories of protected information. The first is “CONFIDENTIAL” information, which is defined in UGI Electric’s proposed Protective Order as “those materials which customarily are treated by that party as sensitive or proprietary, which are not available to the public and which, if disclosed freely, would subject that party or its clients to risk of competitive disadvantage or other business injury.” The second is “HIGHLY CONFIDENTIAL PROTECTED MATERIAL,” which is defined in the proposed Protective Order as “those materials that are of such a commercially sensitive nature among the parties or of such a private, personal nature that the producing party is able to justify a heightened level of confidential protection with respect to those materials.” The third is “CONFIDENTIAL SECURITY INFORMATION,” which is defined in the proposed Protective Order as “those materials, as defined in Section 2 of Act 156 of 2006, P.L. 1425, No. 156, 35 P.S. § 2141.2 et seq. ‘The Public Utility Confidential Security Information Disclosure Protection Act,’ the disclosure of which creates a reasonable likelihood of endangering the physical security of public utility resources, infrastructure, facility or information storage system; and information regarding computer hardware, software and networks, including administrative and technical records, which, if disclosed, would be reasonably likely to jeopardize computer security.

UGI Electric represented in its Motion that it discussed the Motion and its proposed Protective Order with the other parties to this case and that no party has an objection to issuance by the Commission of the requested Protective Order. Having reviewed and considered UGI Electric’s Motion and its representation that there are no objections to its request, the Motion is granted, and the following Order is adopted:

ORDER

THEREFORE,

IT IS ORDERED:

1. The Motion of UGI Utilities, Inc. – Electric Division is hereby granted with respect to all materials and information identified in Paragraphs 2-3 below.

2. The information subject to this Protective Order is all correspondence, documents,

data, information, studies, methodologies and other materials, furnished in this proceeding, which are believed by the producing party to be of a proprietary or confidential nature and which are so designated by being marked “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL PROTECTED MATERIAL”, or “CONFIDENTIAL SECURITY INFORMATION.” Such materials will be referred to below as “Proprietary Information.” When a statement or exhibit is identified for the record, the portions thereof that constitute Proprietary Information shall be designated as such for the record.

3. The parties may designate as “CONFIDENTIAL” those materials which

customarily are treated by that party as sensitive or proprietary, which are not available to the

public, and which, if disclosed freely, would subject that party or its clients to risk of competitive

disadvantage or other business injury. The parties may designate as “HIGHLY CONFIDENTIAL PROTECTED MATERIAL” those materials that are of such a commercially sensitive nature among the parties or of such a private, personal nature that the producing party is able to justify a heightened level of confidential protection with respect to those materials. The parties shall endeavor to limit their designation of information as HIGHLY CONFIDENTIAL PROTECTED MATERIAL. The parties agree that materials containing specific, individual customer information shall be identified as HIGHLY CONFIDENTIAL PROTECTED MATERIAL and that access to these materials may be further restricted by the producing party. The parties may designate as “CONFIDENTIAL SECURITY INFORMATION” those materials, as defined in Section 2 of Act 156 of 2006, P.L. 1425, No. 156, 35 P.S. § 2141.2 et seq. “The Public Utility Confidential Security Information Disclosure Protection Act,” the disclosure of which creates a reasonable likelihood of endangering the physical security of public utility resources, infrastructure, facility or information storage system; and information regarding computer hardware, software and networks, including administrative and technical records, which, if disclosed, would be reasonably likely to jeopardize computer security.

4. Proprietary Information shall be made available to counsel for a party, subject to

the terms of this Protective Order. Such counsel shall use or disclose the Proprietary Information

only for purposes of preparing or presenting evidence, cross examination, argument, or settlement in this proceeding. To the extent required for participation in this proceeding, counsel for a party may afford access to Proprietary Information subject to the conditions set forth in this Protective Order.

5. Information deemed as “CONFIDENTIAL” shall be made available to a

“Reviewing Representative” who is a person that has signed a Non-Disclosure Certificate attached as Appendix A or Appendix B, and who is:

(a) An attorney who has entered an appearance in this proceeding for a party or

a statutory advocate pursuant to 52 Pa. Code § 1.8, if not an attorney;

(b) Attorneys, paralegals, and other employees associated for purposes of this

case with an attorney described in subparagraph 5(a);

(c) An expert or an employee of an expert retained by a party for the purpose

of advising, preparing for, or testifying in this proceeding; or

(d) Employees or other representatives of a party appearing in this proceeding

with significant responsibility for this docket.

With regard to the Bureau of Investigation and Enforcement (“I&E”), information deemed as “CONFIDENTIAL” shall be made available to the I&E Prosecutors subject to the terms of this Protective Order. The I&E Prosecutors shall use or disclose the CONFIDENTIAL information only for purposes of preparing or presenting evidence, cross examination, argument, or settlement in this proceeding. To the extent required for participation in this proceeding, the I&E Prosecutors may afford access to CONFIDENTIAL information only to I&E’s experts, supervisors of experts, Chief Prosecutor, Deputy Chief Prosecutor, and administrative support staff without the need for the execution of a Non-Disclosure Certificate, who are full-time employees of the Commission and bound by all the provisions of this Protective Order by virtue of the I&E Prosecutors’ execution of a Non-Disclosure Certificate.

6. Information deemed as “HIGHLY CONFIDENTIAL PROTECTED MATERIAL” may be provided to a “Reviewing Representative” who has signed a Non-Disclosure Certificate attached as Appendix B and who is:

(a) An attorney who has entered an appearance in this proceeding for a party or

a statutory advocate pursuant to 52 Pa. Code § 1.8, if not an attorney;

(b) An attorney, paralegal, or other employee associated for purposes of this

case with an attorney described in subparagraph 6(a);

(c) An outside expert or an employee of an outside expert retained by a party

for the purposes of advising, preparing for, or testifying in this proceeding;

or

(d) A person designated as a Reviewing Representative for purposes of

HIGHLY CONFIDENTIAL PROTECTED MATERIAL.

With regard to I&E, information deemed as “HIGHLY CONFIDENTIAL PROTECTED

MATERIAL” shall be made available to the I&E Prosecutors subject to the terms of this Protective Order. The I&E Prosecutors shall use or disclose the HIGHLY CONFIDENTIAL PROTECTED MATERIAL only for purposes of preparing or presenting evidence, cross examination, argument, or settlement in this proceeding. To the extent required for participation in this proceeding, the- I&E Prosecutors may afford access to HIGHLY CONFIDENTIAL PROTECTED MATERIAL, only to I&E’s experts, supervisors of experts, Chief Prosecutor, Deputy Chief Prosecutor, and administrative support staff without the need for the execution of a Non-Disclosure Certificate, who are full-time employees of the Commission and bound by all the provisions of this Protective Order by virtue of the I&E Prosecutors’ execution of a Non-Disclosure Certificate. Provided, further, that in accordance with the provisions of Sections 5.362 and 5.365(e) of the Commission’s Rules of Practice and Procedure, 52 Pa. Code §§ 5.362, 5.365(e), any party may, by subsequent objection or motion, seek further protection with respect to HIGHLY CONFIDENTIAL PROTECTED MATERIAL, including, but not limited to, total prohibition of disclosure or limitation of disclosure only to particular parties.

7. Information deemed as “CONFIDENTIAL SECURITY INFORMATION” may be provided to a “Reviewing Representative” who has signed a Non-Disclosure Certificate attached as Appendix B and who is:

(a) An attorney who has entered an appearance in this proceeding for a statutory

advocate pursuant to 52 Pa. Code § 1.8, or a statutory advocate if not an

attorney;

(b) An attorney, paralegal, or other employee associated for purposes of this

case with an attorney described in subparagraph 7(a); or

(c) An outside expert or an employee of an outside expert retained by a

statutory advocate for the purposes of advising, preparing for, or testifying

in this proceeding.

CONFIDENTIAL SECURITY INFORMATION will only be provided for inspection via: (a) in-person review at the offices of Post & Schell, P.C., 17 N. Second Street, 12th Floor,

Harrisburg, PA 1701; (b) in-person review, or upon request of a statutory advocate or an attorney

for a statutory advocate, at another location in the Harrisburg-metro area of the Commonwealth,

between the hours of 9 A.M. to 5 P.M., Monday through Friday; or (c) secure video-conference

link that will be supplied by Post & Schell, P.C., upon request of a statutory advocate or any

attorney for a statutory advocate that is subject to COVID-19 restrictions that would prohibit in person review. Such review may be proctored, and the Reviewing Representatives are prohibited

from reproducing such information in any form without the prior authorization of UGI Electric’s

counsel (including taking detailed notes, making photocopies, or taking pictures). If a statutory

advocate determines that it is necessary to use CONFIDENTIAL SECURITY INFORMATION

as part of their presentation of evidence in this proceeding, such statutory advocate shall request a copy from counsel for UGI Electric, which permission shall not be unreasonably withheld and

subject to that party confirming it understands and will abide by the terms of this Protective Order concerning use of such materials.

With regard to I&E, information deemed as “CONFIDENTIAL SECURITY

INFORMATION” shall be made available to the I&E Prosecutors subject to the terms of this

Protective Order. The I&E Prosecutors shall use or disclose the CONFIDENTIAL SECURITY

INFORMATION only for purposes of preparing or presenting evidence, cross examination,

argument, or settlement in this proceeding. To the extent required for participation in this

proceeding, the I&E Prosecutors may afford access to CONFIDENTIAL SECURITY

INFORMATION, only to I&E’s experts, supervisors of experts, Chief Prosecutor, Deputy Chief

Prosecutor, and administrative support staff without the need for the execution of a Non-Disclosure Certificate, who are full-time employees of the Commission and bound by all the provisions of this Protective Order by virtue of the I&E Prosecutors’ execution of a Non-Disclosure Certificate.

Provided, further, that in accordance with the provisions of Sections 5.362 and 5.365(e) of the Commission’s Rules of Practice and Procedure, 52 Pa. Code §§ 5.362, 5.365(e), any party may, by subsequent objection or motion, seek further protection with respect to CONFIDENTIAL SECURITY INFORMATION, including, but not limited to, total prohibition of disclosure or limitation of disclosure only to particular parties.

8. For purposes of this Protective Order, a Reviewing Representative may not be a

“Restricted Person.”

(a) A “Restricted Person” shall mean: (i) an officer, director, stockholder,

partner, or owner of any competitor of the parties or an employee of such an entity if the employee’s duties involve marketing or pricing of the competitor’s products or services; (ii) an officer, director, stockholder, partner, or owner of any affiliate of a competitor of the parties (including any association of competitors of the parties) or an employee of such an entity if the employee’s duties involve marketing or pricing of the competitor’s products or services; (iii) an officer, director, stockholder, owner or employee of a competitor of a customer of the parties if the Proprietary Information concerns a specific, identifiable customer of the parties; and (iv) an officer, director, stockholder, owner or employee of an affiliate of a competitor of a customer of the parties if the Proprietary Information concerns a specific, identifiable customer of the parties; provided, however, that no expert shall be disqualified on account of being a stockholder, partner, or owner unless that expert’s interest in the business would provide a significant motive for violation of the limitations of permissible use of the Proprietary Information. For purposes of this Protective Order, stocks, partnership, or other ownership interests valued at more than $10,000 or constituting more than a 1% interest in a business establishes a significant motive for violation.

(b) If an expert for a party, another member of the expert’s firm, or the expert’s

firm generally also serves as an expert for, or as a consultant or advisor to, a

Restricted Person, said expert must: (i) identify for the parties each Restricted

Person and each expert or consultant; (ii) make reasonable attempts to segregate

those personnel assisting in the expert’s participation in this proceeding from those personnel working on behalf of a Restricted Person; and (iii) if segregation of such personnel is impractical, the expert shall give to the producing party written assurances that the lack of segregation will in no way jeopardize the interests of the parties or their customers. The parties retain the right to challenge the adequacy of the written assurances that the parties’ or their customers’ interests will not be jeopardized. No other persons may have access to the Proprietary Information except as authorized by order of the Commission.

(c) The Office of Small Business Advocate’s (“OSBA”) consultant, Mr. Robert

D. Knecht, will not be considered to be a Restricted Person, and Paragraphs 8(a)

and 8(b) will not apply to Mr. Knecht, provided that Mr. Knecht does not share or

discuss the Proprietary Information with any person except authorized OSBA

representatives.

9. In the event that a party wishes to designate as a Reviewing Representative a person not described in Paragraphs 5(a) through 5(d), 6(a) through 6(c), or 7(a) through 7(c) above or a person that is a Restricted Person under Paragraph 8, the party shall seek agreement from the party providing the Proprietary Information. If an agreement is reached, that person shall be a Reviewing Representative with respect to those materials. If no agreement is reached, the party shall submit the disputed designation to the presiding Administrative Law Judge for resolution.

10. A qualified “Reviewing Representative” for “HIGHLY CONFIDENTIAL

PROTECTED MATERIAL” may review and discuss “HIGHLY CONFIDENTIAL

PROTECTED MATERIAL” with their client or with the entity with which they are employed or

associated, to the extent that the client or entity is not a “Restricted Person”, but may not share

with or permit the client or entity to review the “HIGHLY CONFIDENTIAL PROTECTED

MATERIAL.” Such discussions must be general in nature and not disclose specific “HIGHLY

CONFIDENTIAL PROTECTED MATERIAL”; provided, however, that counsel for I&E, the

Office of Consumer Advocate, and the OSBA may share proprietary information with the I&E

Director, Consumer Advocate, and Small Business Advocate, respectively, without obtaining a

Non-Disclosure Certificate from these individuals, so long as these individuals otherwise abide by the terms of the Protective Order.

11. Information deemed Proprietary Information shall not be used except as necessary

for the conduct of this proceeding, nor shall it be disclosed in any manner to any person except a

Reviewing Representative who is engaged in the conduct of this proceeding and who needs to

know the information in order to carry out that person’s responsibilities in this proceeding.

Reviewing Representatives may not use information contained in any Proprietary Information

obtained through this proceeding to give any party or any competitor of any party a commercial

advantage.

12. Reviewing Representatives shall execute a Non-Disclosure Certificate in order to

obtain access to Proprietary Information, and will be subject to the following conditions:

(a) A Reviewing Representative shall not be permitted to inspect, participate in

discussions regarding, or otherwise be permitted access to Proprietary Information

pursuant to this Protective Order unless that Reviewing Representative has first

executed a Non-Disclosure Certificate, provided that if an attorney qualified as a

Reviewing Representative has executed such a certificate, the paralegals,

secretarial personnel, and clerical personnel under the attorney’s instruction,

supervision, or control need not do so, nor do Commission employees assisting I&E as noted above in Paragraphs 5, 6 and 7. A copy of each Non-Disclosure Certificate shall be provided to counsel for the party asserting confidentiality prior to disclosure of any Proprietary Information to that Reviewing Representative.

(b) Attorneys and outside experts qualified as Reviewing Representatives are

responsible for ensuring that persons under their supervision or control comply with the Protective Order.

13. None of the parties waive their right to pursue any other legal or equitable remedies that may be available in the event of actual or anticipated disclosure of Proprietary Information.

14. The parties shall designate data or documents as constituting or containing

Proprietary Information by marking the documents “CONFIDENTIAL,” “HIGHLY

CONFIDENTIAL PROTECTED MATERIAL,” or “CONFIDENTIAL SECURITY

INFORMATION.” Where only part of data compilations or multi-page documents constitutes or

contains Proprietary Information, the parties, insofar as reasonably practicable within discovery

and other time constraints imposed in this proceeding, shall designate only the specific data or

pages of documents that constitute or contain Proprietary Information. The Proprietary

Information shall be served upon the parties hereto only, and the materials shall be separate from

the nonproprietary materials and conspicuously marked “CONFIDENTIAL,” “HIGHLY

CONFIDENTIAL PROTECTED MATERIAL,” or “CONFIDENTIAL SECURITY

INFORMATION.” For filing purposes, Proprietary Information shall be filed separately from the

nonproprietary materials and conspicuously marked “CONFIDENTIAL,” “HIGHLY

CONFIDENTIAL PROTECTED MATERIAL,” or “CONFIDENTIAL SECURITY

INFORMATION.”

15. The parties will consider and treat the Proprietary Information as within the

exemptions from disclosure provided in Section 335(d) of the Public Utility Code, 66 Pa. C.S. §

335(d), and the Pennsylvania Right-to-Know Law, 65 P.S. §§ 67.101 *et seq.*, until such time as the information is found to be non-proprietary. In the event that any person or entity seeks to compel the disclosure of Proprietary Information, the non-producing party shall promptly notify the producing party in order to provide the producing party an opportunity to oppose or limit such disclosure.

16. Any public reference to Proprietary Information by a party or its Reviewing

Representatives shall be to the title or exhibit reference in sufficient detail to permit persons with

access to the Proprietary Information to understand fully the reference and not more. The

Proprietary Information shall remain a part of the record, to the extent admitted, for all purposes

of administrative or judicial review.

17. Part of any record of this proceeding containing Proprietary Information, including but not limited to all exhibits, writings, testimony, cross examination, argument, and responses to discovery, and including reference thereto as mentioned in Paragraph 16 above, shall be sealed for all purposes, including administrative and judicial review, unless such Proprietary Information is released from the restrictions of this Protective Order, either through the agreement of the parties to this proceeding or pursuant to an order of the Commission.

18. The parties shall retain the right to question or challenge the confidential or

proprietary nature of Proprietary Information and to question or challenge the admissibility of

Proprietary Information. If a party challenges the designation of a document or information as

proprietary, the party providing the information retains the burden of demonstrating that the

designation is appropriate.

19. The parties shall retain the right to question or challenge the admissibility of

Proprietary Information; to object to the production of Proprietary Information on any proper

ground; and to refuse to produce Proprietary Information pending the adjudication of the objection.

20. Within 30 days after a Commission final order is entered in the above-captioned

proceeding, or in the event of appeals, within 30 days after appeals are finally decided, the parties, upon request, shall either destroy or return to the parties all copies of all documents and other materials not entered into the record, including notes, which contain any Proprietary Information. In the event that a party elects to destroy all copies of documents and other materials containing Proprietary Information instead of returning the copies of documents and other materials containing Proprietary Information to the parties, the party shall certify in writing to the producing party that the Proprietary Information has been destroyed.

Dated: April 7, 2021 /s/

Steven K. Haas

Administrative Law Judge

**APPENDIX A**

Pennsylvania Public Utility Commission :

:

v. : Docket No. R-2021-3023618

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UGI Utilities, Inc. – Electric Division :

**NON-DISCLOSURE CERTIFICATE**

**FOR CONFIDENTIAL MATERIALS**

TO WHOM IT MAY CONCERN:

The undersigned is the \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ of

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (the retaining party). The undersigned has

read and understands the Protective Order and the required treatment of Proprietary Information.

The undersigned agrees to be bound by and comply with the terms and conditions of said Protective Order.

\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

DATE SIGNATURE

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EMPLOYER

**APPENDIX B**

Pennsylvania Public Utility Commission :

:

v. : Docket No. R-2021-3023618

:

UGI Utilities, Inc. – Electric Division :

**NON-DISCLOSURE CERTIFICATE FOR**

**HIGHLY CONFIDENTIAL MATERIALS**

TO WHOM IT MAY CONCERN:

The undersigned is the \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ of

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (the retaining party). The undersigned

has read and understands the Protective Order and the required treatment of information designated as “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL PROTECTED MATERIAL” or “CONFIDENTIAL SECURITY INFORMATION” as defined in the Protective Order. The

undersigned agrees to be bound by and comply with the terms and conditions of said Protective

Order. The undersigned understands and agrees that, pursuant to Paragraphs 6 and 7, a party

providing HIGHLY CONFIDENTIAL PROTECTED MATERIAL and CONFIDENTIAL

SECURITY INFORMATION may seek further protection, including, but not limited to, total

prohibition of disclosure as to particular individuals, even where Appendix B has been executed.

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DATE SIGNATURE

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NAME (Printed)

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EMPLOYER

**R-2021-3023618 – PENNSYLVANIA PUBLIC UTILITY COMMISSION v. UGI UTILITIES, INC - ELECTRIC DIVISION**

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Emergency Order at M-2020-3019262”*

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