

APPENDIX "A"

TAX CERTIFICATION STATEMENT

Attached Hereto

**APPENDIX A**

COMMONWEALTH OF PENNSYLVANIA  
PUBLIC UTILITY COMMISSION

**TAX CERTIFICATION STATEMENT**

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 8 1/2" x 11" paper. Type or print all information requested.

1. CORPORATE OR APPLICANT NAME <b>ConocoPhillips Company</b>	2. BUSINESS PHONE NO. (918) 6615481 CONTACT PERSON(S) FOR TAX ACCOUNTS: <b>Jennifer Youngberg-Beal-Excise Tax licensing</b>
3. TRADE/FICTITIOUS NAME (IF ANY)	

4. LICENSED ADDRESS	(STREET, RURAL ROUTE, P.O. BOX NO.)	(POST OFFICE)	STATE	(ZIP)
600A Plaza Office Building, Bartlesville OK 74004				
5. TYPE OF ENTITY <input type="checkbox"/> SOLE PROPRIETOR <input type="checkbox"/> PARTNERSHIP <input checked="" type="checkbox"/> CORPORATION				

8. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICER(S)	
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
see attached list	_____ - _____ - _____
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)

9. LIST THE FOLLOWING STATE TAX IDENTIFICATION NUMBERS. (ALL ITEMS: A, B, AND C MUST BE COMPLETED).					
A. SALES TAX LICENSE (8 DIGITS)	APPLICATION PENDING	N/A	C. CORPORATE BOX NUMBER (7 DIGITS)	APPLICATION PENDING	N/A
9 9 - 5 8 7 3 9 - 0	<input type="checkbox"/>	<input type="checkbox"/>	2 4 3 9   3 1 0	<input type="checkbox"/>	<input type="checkbox"/>
B. EMPLOYER ID (EIN) (9 DIGITS)	APPLICATION PENDING	N/A			
7 3 - 0 4 0 0 3 4 5	<input type="checkbox"/>	<input type="checkbox"/>			

10. Do you have PA employees either resident or non-resident?	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
11. Do you own any assets or have an office in PA?	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO

NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS		
Dondee Epperson PA SALES AND USE TAX 918-661-0030	A. D. Gore EMPLOYER TAXES 918-661-7289	Chuck Reis CORPORATE TAXES 918-661-5249
PHONE	PHONE	PHONE

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers:  
(717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

APPENDIX "B"

SAMPLE DISCLOSURE STATEMENT

- Not Applicable -

ConocoPhillips Company will NOT be Servicing Retail Customers

APPENDIX "C"

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

See Attached Newspaper Notices

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

February 1, 2010

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
Application of ConocoPhillips Company for approval to Furnish Natural Gas Supply Services as a Marketer/Broker or Aggregator Engaged in the Business of Supplying Natural Gas Supply Services; To The Public in the Commonwealth of Pennsylvania, Docket No. A-125XXX  
On February 1, 2010, ConocoPhillips Company filed an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, (2) a broker/marketer engaged in the business of supplying natural gas, and (3) an aggregator engaged in the business of providing natural gas supply services. ConocoPhillips Company proposes to sell natural gas and related services throughout Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.  
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of ConocoPhillips Company may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. Please include the PUC's "docket number" on any correspondence, which is A-125XXX.  
By and through Counsel: Carolyn S. Hazel  
ConocoPhillips Company  
600 North Dairy  
Ashford, ML-2106

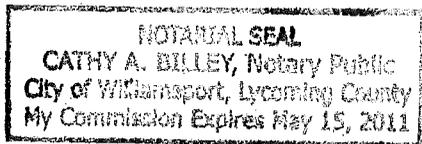
Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me

the 4<sup>th</sup> day of February, 2010

Cathy A. Billey  
Notary Public



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:  
For publishing the notice attached  
hereto on the above state dates.....\$ 247.57  
Probated same.....\$  
Total.....\$ 247.57

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE STATE  
and cert

may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. Please include the PUC's "docket number" on any correspondence, which is A-125XXX.

COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs have been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

The Patriot-News Co.  
812 Market St.  
Harrisburg, PA 17101  
Inquiries - 717-255-8213

The Patriot-News  
Now you know

CONOCOPHILLIPS COMPANY  
600 NORTH DAIRY  
ASHFORD ML 2106

HOUSTON TX 77079

THE PATRIOT NEWS  
THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929  
Commonwealth of Pennsylvania, County of Dauphin} ss

Marianne Miller, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 812 to 818 Market Street, in the City of Harrisburg, County of Dauphin, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 812 to 818 Market Street, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/Metro editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

PUBLICATION COPY

PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE

Application of ConocoPhillips Company for approval to Furnish Natural Gas Supply Services as a Marketer/Broker/Aggregator Engaged in the Business of Supplying Natural Gas Supply Services, To The Public in the Commonwealth of Pennsylvania, Docket No. A-15XXX

On February 14, 2010, ConocoPhillips company filed an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, (2) a broker/marketer engaged in the business of supplying natural gas, and (3) an aggregator engaged in the business of providing natural gas supply services. ConocoPhillips Company proposes to sell natural gas and related services throughout Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

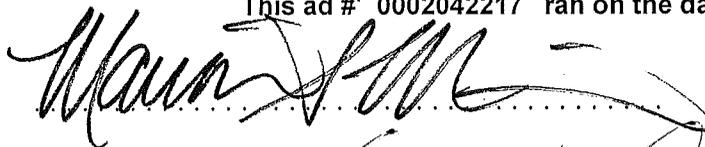
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of ConocoPhillips Company may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 265, Harrisburg, PA, 17105-3265. Please include the PUC's "docket number" on any correspondence, which is A-15XXX.

By and through Counsel: Carolyn S. Aziel

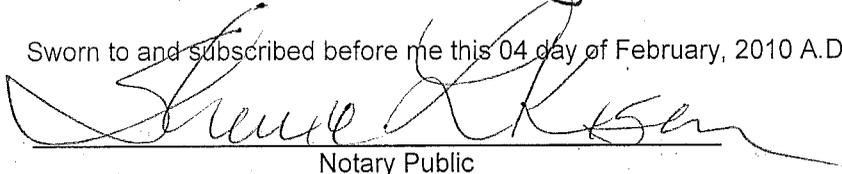
ConocoPhillips Company  
600 North Dairy Ashford, ML-2106  
Houston, Texas 77079  
Telephone: (281) 293-2939  
Facsimile: (281) 293-3700

This ad # 0002042217 ran on the dates shown below:

February 04, 2010



Sworn to and subscribed before me this 04 day of February, 2010 A.D.



Notary Public

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal

Shemie L. Kisner, Notary Public  
City Of Harrisburg, Dauphin County  
My Commission Expires Nov. 26, 2011

Member, Pennsylvania Association of Notaries

OATH of PUBLICATION  
In  
THE ERIE TIMES-NEWS  
COMBINATION EDITION

CONOCOPHILLIPS COMPANY  
600 NORTH DAIRY ASHFORD ML-2106  
HOUSTON TX 77079

REFERENCE: L0004497 Docket A-125XXX  
0000999707 PENNSYLVANIAPUBLICUT

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Rosanne Cheeseman being duly sworn, deposes and says that she is the Publisher of the Times Publishing Company, which publishes: the Erie Times-News, established October 2,2000, a daily newspaper of general circulation, successor, by consolidation, of the Morning News, established January 1957, and the Erie Daily Times, established April 1888, daily newspapers of general circulation and published at Erie, Erie County, Pennsylvania, and that the notice of which the attached is a copy published, in the regular editions of said newspaper of the dates referred to below. Affiant further deposes that she is duly authorized by the TIMES PUBLISHING COMPANY, publisher of The Erie Times-News to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the forgoing statement as to time, place and character of publication are true.

PUBLISHED ON: 02/03

TOTAL COST: 193.00 AD SPACE: 62 LINE  
FILED ON: 02/03/10

PENNSYLVANIA PUBLIC  
UTILITY COMMISSION  
NOTICE  
APPLICATION of Conoco-  
Phillips Company for ap-  
proval to Furnish Natural  
Gas Supply Services as a  
Marketer/Broker or Ag-  
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Business of Supplying  
Natural Gas Supply Ser-  
vices, To The Public in  
the Commonwealth of  
Pennsylvania, Docket No.  
A-125XXX  
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filed an application with  
the Pennsylvania Public  
Utility Commission  
("PUC") for a license to  
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ply services as (1) a sup-  
plier of natural gas, (2) a  
broker/marketer engaged  
in the business of supply-  
ing natural gas, and (3)  
an aggregator engaged in  
the business of providing  
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services throughout  
Pennsylvania under the  
provisions of the new  
Natural Gas Choice and  
Competition Act.  
The PUC may consider  
this application without a  
hearing. Protests directed  
to the technical or finan-  
cial fitness of ConocoPhl-  
lips Company may be  
filed within 15 days of the  
date of this notice with the  
Secretary of the PUC, P.O.  
Box 3265, Harrisburg, PA.  
17105-3265. Please include  
the PUC's "docket num-  
ber" on any correspon-  
dence, which is A-  
125XXX.  
By and through Counsel:  
Carolyn S. Hazel  
ConocoPhillips Company  
600 North Dairy Ashford,  
ML-2106  
Houston, Texas 77079  
Telephone: (281) 293-2939  
Facsimile: (281) 293-3700  
(2-999707-NT-3)

Sworn to and subscribed before me this

3 day of February 2010 Affiant: Rosanne Cheeseman

NOTARY: Tami J. Brown

NOTARIAL SEAL  
TAMI J. BROWN, NOTARY PUBLIC  
ERIE, ERIE COUNTY, PENNA.  
MY COMMISSION EXPIRES ON MARCH 30, 2010

THE SCRANTON TIMES LP  
AD AUTHORIZATION  
(CONTINUED)

Salesperson: VENTURI SHARON

Printed at 01/28/10 16:09 by \$LOGIN

Acct#: 447367

Ad#: 80162387 Status: N

**LEGAL NOTICE**

**PENNSYLVANIA  
PUBLIC UTILITY  
COMMISSION**

*Application of Conoco Phillips Company for approval to Furnish Natural Gas Supply Services as a Marketer/Broker or Aggregator Engaged in the Business of Supplying Natural Gas Supply Services, To The Public In the Commonwealth of Pennsylvania, Docket No. A-125XXX*

On February \_\_\_\_\_, 2010, ConocoPhillips Company filed an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, (2) a broker/marketer engaged in the business of supplying natural gas, and (3) an aggregator engaged in the business of providing natural gas supply services. ConocoPhillips Company proposes to sell natural gas and related services throughout Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of ConocoPhillips Company may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA, 17105-3265. Please include the PUC's "docket number" on any correspondence, which is A-125XXX.

By and through  
Counsel:  
Carolyn S. Hazel

ConocoPhillips  
Company  
600 North Dairy  
Ashford, ML-2106  
Houston, Texas 77079  
Telephone:  
(281) 293-2939  
Facsimile:  
(281) 293-3700

APPENDIX "D"

STANDARDS OF CONDUCT

- (1) The [natural gas distribution company] should apply its tariffs in a nondiscriminatory manner to its affiliate, its own marketing division and any non-affiliate.
- (2) The [natural gas distribution company] should likewise not apply a tariff provision in any manner that would give its affiliate or division an unreasonable preference over other marketers with regard to matters such as scheduling, balancing, transportation, storage, curtailment, capacity release and assignment, or non-delivery, and all other services provided to its affiliated suppliers.
- (3) If a tariff provision is mandatory, the [natural gas distribution company] should not waive the provision for its affiliate or division absent prior approval of the Commission.
- (4) If a tariff provision is not mandatory or provides for waivers, the [natural gas distribution company] should grant the waivers without preference to affiliates and divisions or non-affiliates.
- (5) The [natural gas distribution company] should maintain a chronological log of tariff provisions for which it has granted waivers. Entries should include the name of the party receiving the waiver, the date and time of the request, the specific tariff provision waived and the reason for the waiver. Any chronological log should be open for public inspection during normal business hours.
- (6) The [natural gas distribution company] should process requests for transportation promptly and in a nondiscriminatory fashion with respect to other requests received in the same or a similar period. The [natural gas distribution company] should maintain a chronological log showing the processing of requests for transportation services. Any chronological log should be open for public inspection during normal business hours.
- (7) Transportation discounts and fee waivers and rebates provided to the [natural gas distribution company's] or its marketing affiliate's favored customers should be offered to other similarly situated customers and should not be tied to any unrelated service, incentive or offer on behalf of either the parent or affiliate. A chronological log should be maintained showing the date, party, time and rationale for the action. Any chronological log should be open for public inspection during normal business hours.
- (8) The [natural gas distribution company] should not disclose any customer proprietary information to its marketing affiliate or division, and to the extent that it does disclose customer information, it should contemporaneously provide this same information to other similarly situated marketers in a similar fashion so as not to selectively disclose, delay disclosure, or give itself or its affiliate any undue advantage related to the disclosure. A chronological log should be maintained showing the date, time and

**ConocoPhillips Company**  
**Natural Gas Supplier License**  
**Application for Pennsylvania**

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rationale for the disclosure. Any chronological log should be open for public inspection during normal business hours. A natural gas distribution company should not provide information received from non-affiliated customers or suppliers to its affiliated natural gas suppliers.

- (9) The [natural gas distribution company] should justly and reasonably allocate to its marketing affiliate or division the costs or expenses for general administration or support services.
- (10) The [natural gas distribution company] selling surplus gas supplies and/or upstream capacity on a short-term basis (as defined by the Federal Energy Regulatory Commission) to its affiliate should make supplies available to similarly situated marketers on a nondiscriminatory basis. The [natural gas distribution company] should not make any gas supplies and/or upstream capacity available through private disclosure to the [natural gas distribution company's] affiliate unless the availability is made simultaneously with public dissemination in a manner that fairly appraises interested parties of the availability of the gas supplies and/or upstream capacity. The [natural gas distribution company] should maintain a chronological log of these public disseminations. Any chronological log should be open for public inspection during normal business hours.
- (11) The [natural gas distribution company] should not condition or tie agreements to release interstate pipeline capacity to any service in which the [natural gas distribution company] or affiliate is involved.
- (12) The [natural gas distribution company] should not directly or by implication . . . represent to any customer, supplier or third party that an advantage may accrue to any party through use of the [natural gas distribution company's] affiliate or subsidiary.
- (13) The [natural gas distribution company] should establish and file with the Commission a complaint procedure for dealing with any alleged violations of any of the standards listed in paragraphs (1) through (12), this paragraph or paragraphs (14) and (15), excepting for paragraph (9), which should be exclusively under the purview of the Commission. These procedures should be developed in consultation with interested parties during consideration of any tariff guided by this section and §69.191 (relating to general). The Commission may expect establishment of a complaint procedure or other recordkeeping requirements if warranted by subsequent facts or circumstances.
- (14) The [natural gas distribution company] should keep a chronological log of any complaints, excepting paragraph (9), regarding discriminatory treatment of natural gas suppliers. This chronological log should include the date and nature of the complaint and the [natural gas distribution company's] resolution of it. Any chronological log should be open for inspection during normal business hours.
- (15) Parties alleging violations of these standards may pursue their allegations through the Commission's established complaint procedures. A complainant bears the burden of proof consistent with 66 Pa. C.S. (relating to Public Utility Code) in regard to the allegations.

**ConocoPhillips Company**  
**Natural Gas Supplier License**  
**Application for Pennsylvania**

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- (16) Licensees shall provide accurate information about their natural gas supplier services using plain language and common terms. Where new terms are used, such terms must be defined again using plain language: Information should be provided in a format which will allow for comparison of the various natural gas supply services offered and the prices charged for each type of service.
- (17) Licensees shall provide notification of the change in conditions of service, intent to cease operation as a natural gas supplier, explanation of denial of service, proper handling of deposits and proper handling of complaints in accordance with Commission regulations where applicable.
- (18) Licensees shall maintain the confidentiality of customers' historic payment information and right of access to their own load and billing information.
- (19) Licensees shall not discriminate in the provision of natural gas supply services as to availability and terms of service based on race, color, religion, national origin, sex, marital status, age receipt of public assistance income, and exercise of rights under the Consumer Credit Protection Act, 15 U. S. C. §§1691-1691f; Regulation B, 12 C.F.R. §§202-202.14.
- (20) Licensees will be responsible for any fraudulent deceptive or other unlawful marketing or billing acts performed by their agents or representatives. Licensee shall inform consumers of state consumer protection laws that govern the cancellation or rescission of natural gas supply service contracts. 73 P. S. §201-7.
- (21) The natural gas distribution company shall not give any affiliate or marketing division preference over a non-traditional affiliate in the provision of goods and services such as processing requests for information, complaints and responses to service interruptions. The natural gas distribution company shall provide comparable treatment without regard to a customer's chosen natural gas supplier.
- (22) No transaction between the natural gas distribution company and an affiliated natural gas supplier shall involve an anti-competitive cross-subsidy and all such transactions shall comply with applicable law.
- (23) Natural gas distribution company employees who have responsibility for operating the distribution system, including natural gas delivery or billing and metering, shall not be shared with an affiliated or divisional Supplier, and their offices shall be physically separated from the office(s) used by those working for the Supplier. Such natural gas distribution company employees may transfer to a Supplier provided such transfer is not used as a means to circumvent these interim standards of conduct. Any supplier shall have its own direct line management. Any shared facilities shall be fully and transparently allocated between the natural gas distribution company function and the Supplier function. The natural gas distribution company accounts and records shall be maintained such that the costs a Supplier incurs may be clearly identified.
- (24) (a) Neither the natural gas distribution company nor an affiliated or divisional Supplier may directly or by implication falsely and unfairly represent:

**ConocoPhillips Company**  
**Natural Gas Supplier License**  
**Application for Pennsylvania**

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- that the Pa PUC jurisdictionally regulated services provided by the natural gas distribution company are of a superior quality when power is purchased from an affiliated or divisional Supplier; or
- that the merchant services (for natural gas) are being provided by the natural gas distribution company rather than an affiliated or divisional Supplier;
- that the natural gas purchased from a Supplier that is not an affiliate or division of the natural gas distribution company may not be reliably delivered;
- that natural gas must be purchased from an affiliate or divisional Supplier to receive Pa PUC jurisdictional regulated services.

(b) The natural gas distribution company shall not jointly market or jointly purchase its Pa PUC jurisdictional regulated services with the services of an affiliated or divisional Supplier. This prohibition includes prohibiting the natural gas distribution company from including bill inserts in its natural gas distribution company bills promoting an affiliated or divisional Supplier's services, and further precludes a reference or link from the natural gas distribution company's web-site to any affiliated or divisional supplier.

(c) When an affiliated or divisional Supplier markets or communicates to the public using the natural gas distribution company name or logo, it shall include a disclaimer that states:

(i) That the Supplier is not the same company as the natural gas distribution company; (2) that the prices of the Supplier are not regulated by the Pa PUC; and (3) that a customer does not have to buy natural gas or other products from the Supplier in order to receive the same quality service from the natural gas distribution company. When a Supplier advertises or communicates verbally through radio or television to the public using the natural gas distribution company name or logo, the Supplier shall include at the conclusion of any such communication a disclaimer that includes all of the disclaimers listed in this paragraph.

- (25) The natural gas distribution company must: (a) make interstate capacity available for release, assignment, or transfer to its affiliated or divisional Supplier only through the interstate pipeline electronic bulletin boards and the competitive bidding procedures in place on those interstate systems; (b) not give its affiliated or divisional Supplier any preference over non-affiliated or non-divisional Suppliers, or potential non-affiliated or non-divisional Suppliers, in matters relating to the assignment, release, or other transfer of the natural gas distribution company's capacity rights on interstate pipeline systems; and (c) not condition or tie its agreement to release, assign, or otherwise transfer interstate pipeline capacity to any agreement by a gas Supplier, customer or other third party relating to any service in which its marketing affiliate is involved.