



Eckert Seamans Cherin & Mellott, LLC
213 Market Street - 8th Floor
Harrisburg, PA 17101

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www.eckertseamans.com

Kevin J. Moody
717.237.7187
kmoody@eckertseamans.com

June 15, 2010

VIA HAND DELIVERY

Rosemary Chiavetta, Secretary
PA Public Utility Commission
400 North Street, Keystone Bldg., 2nd Fl.
Room N201
Harrisburg, PA 17105-3265

RECEIVED
2010 JUN 15 AM 9:21
PA PUC
SECRETARY'S BUREAU

Re: Application of Stream Energy Pennsylvania, LLC d/b/a Stream Energy for an EGS License; Docket No. A-2010-XXXXXXX

Dear Secretary Chiavetta:

On behalf of Stream Energy Pennsylvania, LLC ("Stream Pennsylvania"), enclosed are the original and three copies of its application for approval to offer, render, furnish or supply electricity and related services as an electric generation supplier ("EGS") to the public in the Commonwealth of Pennsylvania. Also enclosed is one electronic copy on a CD-ROM disk, and a check in the amount of \$350.00 for payment of the application fee.

Please note that application responses containing confidential information are marked "Confidential" and "Proprietary" and furnished separately under seal along with the original application. Stream Pennsylvania is a privately held company and is not subject to public reporting requirements with respect to the information being filed under seal. Stream Pennsylvania does not publish or otherwise make available for use by the general public or its competitors its financial, business operation or tax information. Accordingly, Stream Pennsylvania respectfully requests the Commission to maintain the confidentiality of the information filed under seal.

Please forward a Docket Number to my attention as soon as possible so we can publish the legal notices as required by the PUC's regulations. Proof of legal notice will be forwarded under separate cover as available.

Rosemary Chiavetta, Secretary
June 15, 2010
Page 2

If you have any questions or require additional information, please feel free to contact me at your convenience.

Very truly yours,



Kevin J. Moody
Enclosures

cc: Certificate of Service (w/enc)

**BEFORE THE
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Application of Stream Energy :
Pennsylvania, LLC d/b/a Stream Energy :
for an EGS License : Docket No. A-2010-_____
:
:

CERTIFICATE OF SERVICE

I hereby certify that this day I served a copy of the foregoing Application on the persons listed below in the manner indicated in accordance with the requirements of 52 Pa. Code Section 1.54.

VIA FIRST CLASS MAIL

Irwin A. Popowsky, Esquire
Office of Consumer Advocate
555 Walnut Street
5th Floor, Forum Place
Harrisburg, PA 17101

Carlo L. Ciabattoni
Manager of Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Office of Attorney General
Bureau of Consumer Protection
Strawberry Squire, 14th Floor
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
Commonwealth of Pennsylvania
Harrisburg, PA 17128-0946

William R. Lloyd, Jr., Esquire
Office of Small Business Advocate
Commerce Bldg., Suite 1102
300 North Second Street
Harrisburg, PA 17101

Candace Gilman
Executive Assistant
PA Government Affairs
First Energy
800 North Third Street, Suite 100
Harrisburg, PA 17102-2025

Frank M. Nadolny
General Manager of Regulatory Affairs
Duquesne Light Company
411 Seventh Street
Pittsburgh, PA 15230-1930

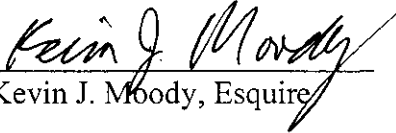
John P. Litz
Division Controller
UGI Utilities, Inc.
Electric Division
400 Stewart Road
Hanover Industrial Estates
Wilkes-Barre, PA 18773-3200

Paul E. Russell
Associate General Counsel
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Linda R. Evers, Esquire
FirstEnergy
2800 Pottsville Pike
Reading, PA 19612

John L. Munsch, Esquire
Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Date: June 15, 2010


Kevin J. Moody, Esquire



11 June 2010

Ms. Rosemary Chiavetta
Secretary
PENNSYLVANIA PUBLIC UTILITY COMMISSION
Commonwealth Keystone Building
Second Floor
400 North Street
Harrisburg, Pennsylvania 17120

RECEIVED
2010 JUN 15 AM 9:21
PA PUC
SECRETARY'S BUREAU

re Application to Offer, Render, Furnish or Supply Electricity

Dear Secretary Chiavetta:

Enclosed is the application of Stream Energy Pennsylvania LLC ("Stream Pennsylvania") for consideration as a aggregator and a supplier of electricity in the Commonwealth of Pennsylvania.

Stream Pennsylvania is a technically qualified and financially strong entity whose officers and staff bring long histories and understanding of both the retail and wholesale aspects of the power industry.

We look forward to discussing our application with the Public Utility Commission.

Best Regards.

A handwritten signature in black ink, appearing to read 'John P Littlejohn'.

John P Littlejohn
Vice President



***Stream Energy Pennsylvania LLC
Application to the Pennsylvania Public Utility Commission
to Offer, Render, Furnish, or Supply Electricity to the
Public in the Commonwealth of Pennsylvania***

<i>Section 1</i>	License application of Stream Energy Pennsylvania LLC to the Pennsylvania Public Utilities Commission for approval to offer, render, furnish or supply electricity to the public in the Commonwealth of Pennsylvania
<i>Section 2</i>	Exhibit 'A' – Response to application item 4 regarding filing of Form PA-953
<i>Section 3</i>	Exhibit 'B' – Response to application item 5 regarding business entity filings
<i>Section 4</i>	Exhibit 'C' – Response to application item 5 regarding Applicant's operating agreement which is <u><i>filed under Seal</i></u>
<i>Section 5</i>	Exhibit 'D' – Response to application item 16 regarding compliance which is <u><i>filed under Seal</i></u>
<i>Section 6</i>	Exhibit 'E' – Response to application item 17 regarding standards, billing practices, terms and conditions of providing service and consumer education which is <u><i>filed under Seal</i></u>
<i>Section 7</i>	Exhibit 'F' – Response to application item 19 regarding financial fitness which is <u><i>filed under Seal</i></u>
<i>Section 8</i>	Exhibit 'G' – Response to application item 20 regarding technical fitness which is <u><i>filed under Seal</i></u>
<i>Section 9</i>	Appendix A – Letter of Credit
<i>Section 10</i>	Appendix B – Tax certification statement which is <u><i>filed under Seal</i></u>
<i>Section 11</i>	Required Affidavits

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Stream Energy Pennsylvania, LLC, d/b/a Stream Energy, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #8 below] to the public in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

1. **IDENTITY OF THE APPLICANT:** The name, address, telephone number, and FAX number of the Applicant are:

*Stream Energy Pennsylvania, LLC
1500 Market Street
12th Floor, East Tower
Philadelphia, PA, 19102
Telephone: 215-279-8450*

Email: executiveteam.pennsylvania@streamenergy.net

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

None

2. a. **CONTACT PERSON:** The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are:

*John P. Littlejohn, Vice President, Stream Energy Pennsylvania, LLC
1500 Market Street, 12th Floor, East Tower, Philadelphia, PA 19102
Telephone: 215-279-8450 Email: executiveteam.pennsylvania@streamenergy.net*

- b. **CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY:** The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA:

*John P. Littlejohn, Vice President, Stream Energy Pennsylvania, LLC
1500 Market Street, 12th Floor, East Tower, Philadelphia, PA 19102
Telephone: 215-279-8450 Email: executiveteam.pennsylvania@streamenergy.net*

- 3.a. **ATTORNEY:** If applicable, the name, address, telephone number, and FAX number of the Applicant's attorney are:

*Daniel Clearfield
Kevin Moody
Eckert Seamans Cherin & Mellott, LLC
213 Market Street
8th Floor
Harrisburg, PA 17101
Telephone: (717) 237-6000
Facsimile: (717) 237-6019*

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2010 JUN 15 AM 9:21
PA PUC
SECRETARY'S BUREAU

- b. REGISTERED AGENT: If the Applicant does not maintain a principal office in the Commonwealth, the required name, address, telephone number and FAX number of the Applicant's Registered Agent in the Commonwealth are:

*CT Corporations System
116 Pine Street
Harrisburg, PA 17101
Telephone: (717) 234-6004
Facsimile: (717) 234-6024*

4. FICTITIOUS NAME: (select and complete appropriate statement)

The Applicant will be using a fictitious name or doing business as ("d/b/a"):

Stream Energy

Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

See Exhibit 'A'

or

The Applicant will not be using a fictitious name.

5. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (select and complete appropriate statement)

The Applicant is a sole proprietor.

If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

- The Applicant is a :
- domestic corporation (none)
 - foreign corporation (15 Pa. C.S. §4124)
 - domestic limited liability company (15 Pa. C.S. §8913)
 - foreign limited liability company (15 Pa. C.S. §8981)
 - Other _____

Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Additionally, provide a copy of the Applicant's Articles of Incorporation.

See Exhibit 'B', and Exhibit 'C' which is Filed Under Seal

Give name and address of officers.

*Robert L. Snyder, President and Manager
Lisa D. Holliday, Treasurer, Secretary and Manager
John P. Littlejohn, Vice President
1500 Market Street
12th Floor, East Tower
Philadelphia, PA, 19102
Telephone: 215-279-8450
Email: executiveteam.pennsylvania@streamenergy.net*

The Applicant is incorporated in the state of Delaware.

6. **AFFILIATES AND PREDECESSORS WITHIN PENNSYLVANIA:** (select and complete appropriate statement)

- Affiliate(s) of the Applicant doing business in Pennsylvania are:

Give name and address of the affiliate(s) and state whether the affiliate(s) are jurisdictional public utilities.

- If the Applicant or an affiliate has a predecessor who has done business within Pennsylvania, give name and address of the predecessor(s) and state whether the predecessor(s) were jurisdictional public utilities.

OR

- The Applicant has no affiliates doing business in Pennsylvania or predecessors which have done business in Pennsylvania.

7. **APPLICANT'S PRESENT OPERATIONS:** (select and complete the appropriate statement)

- The Applicant is presently doing business in Pennsylvania as a
- vertically-integrated provider of generation, transmission, and distribution services.
 - municipal electric corporation providing service outside its municipal limits.
 - electric cooperative
 - local gas distribution company
 - nonintegrated provider of electric generation, transmission or distribution services.
 - Other. (Identify the nature of service being rendered.)

OR

- The Applicant is not presently doing business in Pennsylvania.

8. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Generator and supplier of electric power.
- Municipal generator and supplier of electric power.
- Electric Cooperative and supplier of electric power
- Broker/Marketer engaged in the business of supplying electricity.
- Aggregator engaged in the business of supplying electricity
- Other (Describe): *Supplier of Electricity*

9. **PROPOSED SERVICES:** Generally describe the electric services or the electric generation services which the Applicant proposes to offer.

Stream Energy Pennsylvania, LLC will offer electricity services to residential and commercial customers in the Commonwealth of Pennsylvania

10. **SERVICE AREA:** Generally describe the geographic area in which Applicant proposes to offer services.

All electricity distribution companies' (each an "EDC") service territories in the Commonwealth of Pennsylvania

11. **CUSTOMERS:** Applicant proposes to initially provide services to:

- Residential Customers
- Commercial Customers - (25 kW and Under)
- Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):

12. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- Not applicable

13. **START DATE:** The Applicant proposes to begin delivering services on 01 October 2010 (approximate date).

14. **NOTICE:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of the signed and verified Application with attachments on the following:

Irwin A. Popowsky
Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

William R. Lloyd, Jr.
Commerce Building, Suite 1102
Small Business Advocate
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Any of the following Electric Distribution Companies through whose transmission and distribution facilities the applicant intends to supply customers:

Gary A. Jack, Assistant General Counsel
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

John P. Litz, Division Controller
UGI Utilities, Inc.
Electric Division
400 Stewart Road
P.O. Box 3200
Hanover Industrial Estates
Wilkes-Barre, PA 18773-3200

(Metropolitan Edison Company or Pennsylvania Electric Company)
Blaine W. Uplinger, Jr., Director of Governmental and Regulatory Affairs
FirstEnergy
100 APC Building
800 North third Street
Harrisburg, PA 17102-2025

Paul E. Russell, Associate
General Counsel
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Carlo L. Ciabattoni, Manage Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699
215.841.4210
carlo.ciabattoni@exeloncorp.com

Stephen L. Feld, Attorney
Pennsylvania Power Company
First Energy Corporation
76 South Main Street
Akron, OH 44308

John L. Munsch, Attorney
Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14.

15. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix B to this application.
See Appendix B, Filed under Seal
16. **COMPLIANCE:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings.
See Exhibit 'D', Filed under Seal
17. **STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND CONSUMER EDUCATION:** Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.
- Contacts for Consumer Service and Complaints: Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission or other agencies.
 - Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers.
 - If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a disclosure statement. A sample disclosure statement is provided as Appendix C to this Application.

See Exhibit 'E', Filed under Seal

18. **BONDING:** In accordance with 66 PA. C.S. Section 2809(C) (1)(l), the Applicant is:

- Furnishing a copy of initial bond , letter of credit or proof of bonding to the Commission in the amount of \$250,000.
Original submitted directly by Applicant's Bank and to be kept under Seal - Copy Contained in Exhibit 'F'
- Furnishing proof of other initial security for Commission approval, to ensure financial responsibility.
- Filing for a modification to the \$250,000 and furnishing a copy of an initial bond, letter of credit or proof of bonding to the Commission for the amount of \$_____. Applicant is required to provide information supporting an amount less than \$250,000.

At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.

19. **FINANCIAL FITNESS:**

- A. Applicant shall provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
 - Published parent company financial and credit information.
 - Applicant's balance sheet and income statement for the most recent fiscal year. Published financial information such as 10K's and 10Q's may be provided, if available.
 - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form or other independent financial service reports.

- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements
- Such other information that demonstrates Applicant's financial fitness.

B. Applicant must provide the following information:

- Identify Applicant's chief officers including names and their professional resumes.
- Provide the name, title, address, telephone number and FAX number of Applicant's custodian for its accounting records.

See Exhibit 'F', Filed under Seal

20. **TECHNICAL FITNESS:** To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- The identity of the Applicant's officers directly responsible for operations, including names and their professional resumes.
- Proposed staffing and employee training commitments
- Business plans
- Documentation of membership in ECAR, MAAC or other regional reliability councils shall be submitted if applicable to the scope and nature of the applicant's proposed services.
- An affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service.

See Exhibit 'G', Filed under Seal

21. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

22. **ASSESSMENT:** The Applicant acknowledges that Title 66, Chapter 5, Section 510 grants to the Commission the right to make assessments to recover regulatory expenses and that as a supplier of electricity or an electric generation supplier it will be assessed under that section of the Pennsylvania Code. The Applicant also acknowledges that the continuation of its license as a supplier of electricity or an electric generation supplier will be dependent upon the payment of all prior years assessments.

23. **UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.

24. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- a. Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- b. The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- c. Applicant shall report to the Commission the following information on an annual basis:
 - the percentages of total electricity supplied by each fuel source

Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

- 25. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.
- 26. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.
- 27. **FEE:** The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

Check Attached

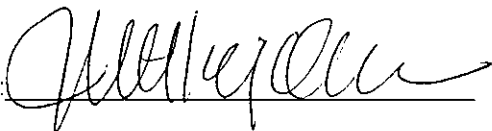
Applicant: 
By: John P. Littlejohn
Title: Vice President
Stream Energy Pennsylvania, LLC

Exhibit 'A'

to the Application of

STREAM ENERGY PENNSYLVANIA, LLC

before the

PENNSYLVANIA PUBLIC UTILITY COMMISSION

to

Offer, Render, Furnish or Supply Electricity

to the Public in the

Commonwealth of Pennsylvania

Response to Item 4, Fictitious Name

Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU

Application for Registration of Fictitious Name
24 Pa.C.S. §311

Name: G.T. Corporation System, ATTN: Kim Corrigan
Address: 1021 Main Street, Suite 2150
Houston, Texas 77002

Fee: \$70

In compliance with the requirements of 54 Pa.C.S. §311 (relating to registration), the undersigned certifies, deposing to register this fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby that (of the):

- The fictitious name of Stream Energy
- A brief statement of the nature or nature of the business or other activity for which the name is used or through its use may be used: to engage in the business and sale of energy at the state of Pennsylvania
- The address including number and so forth, of any, of the principal place of business or office where it will transact business: 1100 Market St. 12th Floor East Tower Philadelphia, PA 19102 (Philadelphia County)
- The name and address, including number and street, of any, of each individual member of the partnership:

06LJ92112

1. Check every name that is registered, unregistered or both heretofore in (over)

Stream Energy Pennsylvania, LLC INCORPORATED
Form of Organization: Other Not Specified
1500 Market St. 12th Floor East Tower Philadelphia, PA 19102
Principal Office Address
G.T. Corporation System Philadelphia County
PA Registered Office, if any

2. The applicant is familiar with the provisions of 54 Pa.C.S. §312 (relating to effect of registration) and understands that filing under the Fictitious Names Act does not create any statutory or other right in the fictitious name

3. I declare, for myself, or the person(s) for me, that I am not authorized to exercise any power or to compromise from or on behalf of this corporation on behalf of all other or other parties in the registration of this

APPLICANT'S SIGNATURE: Kim Corrigan
Name: _____ Title: _____
Individual or Partner: _____ Individual or Member: _____
Name and Title of Applicant: Stream Energy Pennsylvania, LLC Name: _____
Kim Corrigan Title: _____
Title: _____

Exhibit 'B'

to the Application of

STREAM ENERGY PENNSYLVANIA, LLC

before the

PENNSYLVANIA PUBLIC UTILITY COMMISSION

to

Offer, Render, Furnish or Supply Electricity

to the Public in the

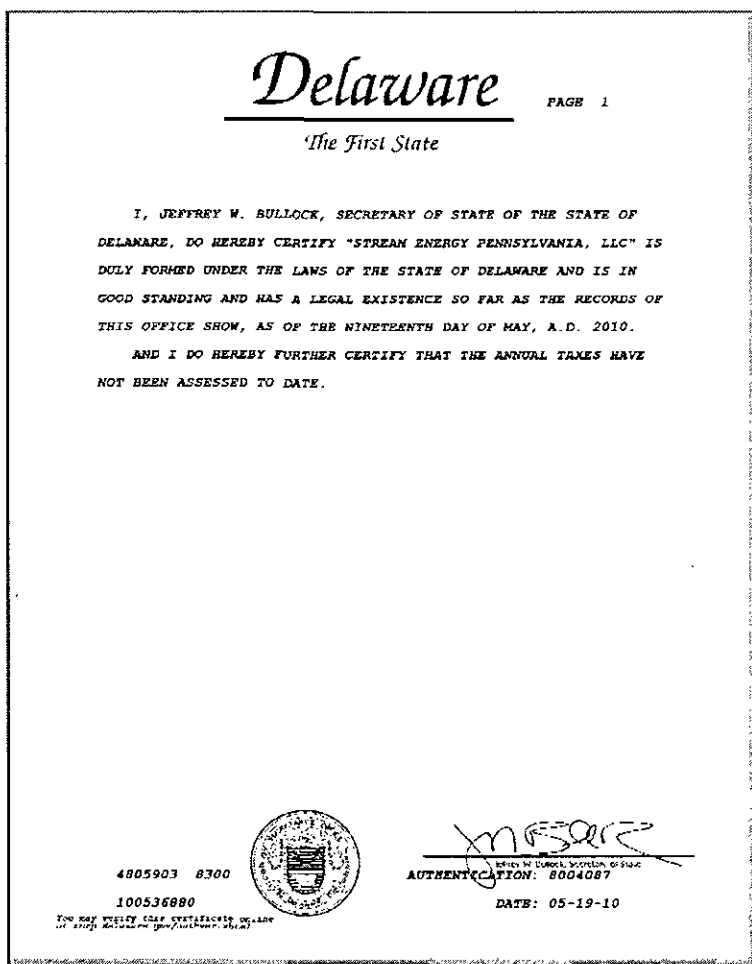
Commonwealth of Pennsylvania

Response to Item 5, Business Entity and Department of State Filings.

The applicant is a foreign limited liability company (15 Pa. C.S. §8981)

Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
Additionally, provide a copy of the Applicant's Articles of Incorporation.

Stream Energy Pennsylvania LLC ("Stream Pennsylvania") is a limited liability company organized under the laws of the State of Delaware and formed for the purpose of serving electricity customers in the Commonwealth of Pennsylvania. On 19 May 2010, Stream Pennsylvania was certified by the Secretary of State of the State of Delaware as having been duly formed.



On 28 May 2010, Stream Pennsylvania was duly authorized by the Pennsylvania Department of State to transact business in the Commonwealth of Pennsylvania as a foreign limited liability company.

A copy of the Business Entity Filing History indicating Stream Pennsylvania with active status is shown below as it appears on the Pennsylvania Department of State website.

The screenshot displays the Pennsylvania Department of State website interface. At the top left is the state seal and the text "PENNSYLVANIA Department of State". To the right, under the heading "Corporations", are links for "Online Services", "Corporations", "Forms", "Contact Corporations", and "Business Services". A search bar is present with the text "Business Entity Filing History" and a sub-note "(Follow the link above to view the Business Entity's Filing History)". Below this, a "Business Name History" table lists "Stream Energy Pennsylvania, LLC" as the "Current Name". A section titled "Limited Liability Company - Foreign - Information" provides the following details:

Entity Number:	3906600
Status:	Active
Entity Creation Date:	5/28/2010
State of Business:	DE
Registered Office Address:	C/o C1 Corporation System PA Philadelphia
Mailing Address:	No Address

At the bottom center is the Pennsylvania state seal and the text "Copyright © 2002 Pennsylvania Department of State. All Rights Reserved."

AFFIDAVIT


State of Texas

County of Dallas

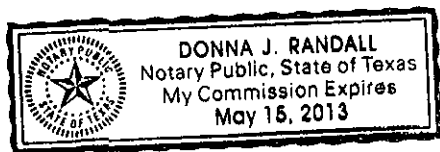
John P. Littlejohn, Affiant, being duly sworn/affirmed according to law, deposes and says that he is the Vice President of Stream Energy Pennsylvania, LLC and that he is authorized to and does make this affidavit for said Applicant;

That Stream Energy Pennsylvania, LLC, the Applicant will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that it agrees to comply with the operational requirements of the control areas(s) within which it provides retail service.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief.


Signature of Affiant

Sworn and subscribed before me this 11th day of June, 2010.




Signature of official administering oath

My commission expires 05-15-2013.

APPENDIX A

A Letter of Credit in the amount of \$250,000.00 issued in favor of the Pennsylvania Public Utility Commission has been submitted separately under seal.

AFFIDAVIT

[Commonwealth/State] of Texas :

SS.

County of Dallas :

John P. Littlejohn, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Vice President (Office of Affiant) of Stream Energy Pennsylvania, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Stream Energy Pennsylvania, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Stream Energy Pennsylvania, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Stream Energy Pennsylvania, LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That Stream Energy Pennsylvania, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

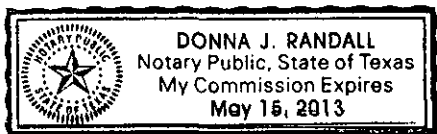
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 8th day of June, 18 2010.





Signature of official administering oath

My commission expires 5-15-13.

AFFIDAVIT

[Commonwealth/State] of Texas _____ :

_____ : SS.

County of Dallas _____ :

John P. Littlejohn, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Vice President (Office of Affiant) of Stream Energy Pennsylvania, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Stream Energy Pennsylvania, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Stream Energy Pennsylvania, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Stream Energy Pennsylvania, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

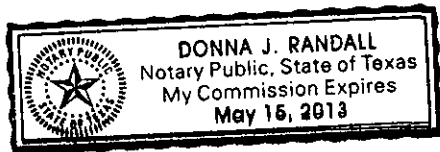
That the Applicant herein Stream Energy Pennsylvania, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



Signature of Affiant

Sworn and subscribed before me this 8th day of June, 2010





Signature of official administering oath

My commission expires 5-15-13