#### BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of TOTAL ENERGY RESEARCES, LLCd/b/a \_\_\_\_\_\_\_, for approval to furnish, or as a(n)\_ [as specified in item #8 below] to the public in the Commonwealth of Pennsylvania. \_, for approval to offer, render.

BROKER/MARKETER + ALMOREGATOR

To the Pennsylvania Public Utility Commission:

1. IDENTITY OF THE APPLICANT: The name, address, telephone number, and FAX number of the Applicant are:

TOTAL ENERGY RESOURCES, LLC 290 STOUP RO.

MARS, PA 16046

PHONE: 724-71de-5170

FAY : 724-538-4257

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

NIA

CONTACT PERSON: The name, title, address, telephone number, and FAX number of the person to whom 2. a. questions about this Application should be addressed are:

DANIEL STEFFY - PRESIDENT

PHONE: 724-766-5170

290 STOUP RO. MARS, DA MONHO

FAX: 724-538-4257

CÓNTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY: The name, title, address b. telephone number and FAX number of the person with whom contact should be made by PEMA:

DANIEL STEFFY- PRESIDENT

AHONG: 724-766- 5170

290 STOUP RO.

FAX: 724-538-4257

MARS, PA 16046

attorney are:

3.a.

ATTORNEY: If applicable, the name, address, telephone number, and FAX number of the Applicant's

GILLILAND VANASDALE EBERLE LAW OFFICE, LLC GARY T. VANASDALE

1667 ROUTE 228 SUITE 300

CEAUBERRY TWP, DA 16066

PHONE: 724-741-0536

FAX: 724-741-0538

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b.	REGISTERED AGENT: If the Applicant does not maintain a principal office in the Commonwealth, the required name, address, telephone number and FAX number of the Applicant's Registered Agent in the Commonwealth are:
	NIA
4.	FICTITIOUS NAME: (select and complete appropriate statement)
	The Applicant will be using a fictitious name or doing business as ("d/b/a"):
	Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.
	or
	The Applicant will not be using a fictitious name.
5.	<b>BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:</b> (select and complete appropriate statement)
	The Applicant is a sole proprietor.
	If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.
	or
	The Applicant is a:
	domestic general partnership (*)
	domestic limited partnership (15 Pa. C.S. §8511)
	foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201)
	foreign limited liability general partnership (15 Pa. C.S. §8211)
	foreign limited liability limited partnership (15 Pa. C.S. §8211)
	Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
	Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

	* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.
	or
	The Applicant is a:
	<b>,</b> _
	domestic corporation (none) foreign corporation (15 Pa. C.S. §4124)
	domestic limited liability company (15 Pa. C.S. §8913)
	foreign limited liability company (15 Pa. C.S. §8981)  Other
	Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
	Additionally, provide a copy of the Applicant's Articles of Incorporation.
	Give name and address of officers.
	DANIEL STEFFY
	290 Stoup 12a.
	Mares, PA 16046
	The Applicant is incorporated in the state of PENNSYLVANIA.
6.	AFFILIATES AND PREDECESSORS WITHIN PENNSYLVANIA: (select and complete appropriate statement)
	Affiliate(s) of the Applicant doing business in Pennsylvania are:
	Give name and address of the affiliate(s) and state whether the affiliate(s) are jurisdictional public utilities.
	Does the Applicant have any affiliation with or ownership interest in:
	<ul> <li>(a) any other Pennsylvania retail natural gas supplier licensee or licensee applicant,</li> <li>(b) any other Pennsylvania retail licensed electric generation supplier or license applicant,</li> </ul>
	(c) any Pennsylvania natural gas producer and/or marketer,
	(d) any natural gas wells or (e) any local distribution companies (LDCs) in the Commonwealth
affiliatio	If the response to parts a, b, c, or d above is affirmative, provide a detailed description and explanation of the on and/or ownership interest.
<b>□</b>	
<b>∟</b> Pro	ovide specific details concerning the affiliation and/or ownership interests involving:  (a) any natural gas producer and/or marketers,
	(b) any wholesale or retail supplier or marketer of natural gas, electricity, oil, propane or other energy sources.
	Provide the Pa PUC Docket Number if the applicant has ever applied:
	(a) for a Pennsylvania Natural Gas Supplier license, or (b) for a Pennsylvania Electric Generation Supplier license.

	If the Applicant or an affiliate has a predecessor who has done business within Pennsylvania, give name and address of the predecessor(s) and state whether the predecessor(s) were jurisdictional public utilities.
	or
	The Applicant has no affiliates doing business in Pennsylvania or predecessors which have done business in Pennsylvania.
7.	APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)
	The Applicant is presently doing business in Pennsylvania as a  natural gas interstate pipeline. municipal providing service outside its municipal limits. local gas distribution company retail supplier of natural gas services in the Commonwealth a natural gas producer Other. (Identify the nature of service being rendered.)
	or
	The Applicant is not presently doing business in Pennsylvania.
8.	APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:  supplier of natural gas services.  Municipal supplier of natural gas services.  Cooperative supplier of natural gas services.  Broker/Marketer engaged in the business of supplying natural gas services.  Aggregator engaged in the business of supplying natural gas services.  Other (Describe):

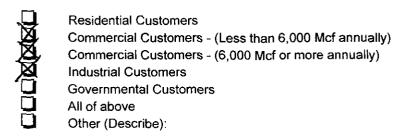
9. **PROPOSED SERVICES:** Generally describe the natural gas services which the Applicant proposes to offer.

APPLICANT TUTENDS TO PURCHASE NATURAL GAS FROM LOCAL PRODUCERS,
TRASPORT GAS ACROSS TUTERSTATE/TUTRASTATE APPLIALS, AND SELL GAS
TO COMMERCIAL AND TURKSTRIAL CONSUMERS.

 SERVICE AREA: Provide each Natural Gas Distribution Company (NGDC) in which Applicant proposes to offer services.

> NATIONAL FUEL COLUMBIA OF PA

11. CUSTOMERS: Applicant proposes to initially provide services to:



12. **START DATE**: The Applicant proposes to begin delivering services on <u>I)-1-2010</u> (approximate date).

13. NOTICE: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of the signed and verified Application with attachments on the following:

Irwin A. Popowsky Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120-1921

Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

William R. Lloyd, Jr. Commerce Building, Suite 1102 Small Business Advocate 300 North Second Street Harrisburg, PA 17101

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Any of the following Natural Gas Distribution Companies through whose transmission and distribution

facilities the applicant intends to supply customers:	
Valley Energy Inc.	National Fuel Gas Distribution Corp.
Robert Crocker	David D. Wolford
523 South Keystone Avenue	6363 Main Street
Sayre, PA 18840-0340	Williamsville, NY 14221
PH: 570.888-9664 FAX: 570.888.6199	PH: 716.857.7483 FAX: 716.857.7479
email: rcrocker@ctenterprises.org	e-mail: wolfordd@natfuel.com
PPL Gas Utilities Corporation	The Peoples Natural Gas Company
Curtis L. Rounds or Edward L. Farber	Joe Gregorini <u>or</u> Bill McKeown
555 Camargo Road	625 Liberty Avenue
Quarryville, PA 17566	Pittsburgh, PA 15222
PH: 717.519.2922 717.519.2923	e-mail: jgregorini@png.cng.com
FAX: 717.806.1907 717.806.1907	PH: 412.497.6851 <u>or</u> 412.497.6840
e-mail: clrounds@pplweb.com or elfarber@pplweb.com	FAX: 412.497.6630
T. W. Phillips Gas and Oil Company	UGI
Robert M. Hovanec	Chris Brown <u>or</u> Bob Krieger
205 North Main Street	PO Box 12677 or 225 Morgantown Rd
Butler, PA 16001	Reading, PA 15222 Reading, PA 15222
PH: 724.287.2725 FAX: 724.287.5021	PH: 610.796.3425 PH: 610.796.3516
e-mail: rhovanec@twphillips.com	FAX: 610.796.3559
PG Energy	Equitable Gas Company
Richard N. Marshall <u>or</u> Wendy K. Saxe	Jerald Moody
One PEI Center	225 North Shore Drive
Wilkes-Barre, PA 18711-0601	Pittsburgh, PA 15212-5352
e-mail: marshall@pgenergy.com or saxe@pgenergy.com	PH: 412.395.3209 FAX: 412.395.3335
PH: 570.829.8795 FAX: 570.829.8652	
Carnegie Natural Gas Company	Columbia Gas of PA, Inc.
Donald A. Melzer	Heather Bauer
	riodile: Eddel
800 Regis Avenue	200 Civic Center Drive
Pittsburgh, PA 19236	200 Civic Center Drive Columbus, OH 43215
	200 Civic Center Drive Columbus, OH 43215 PH: 614.460.6254 or 614.460.5554
Pittsburgh, PA 19236	200 Civic Center Drive Columbus, OH 43215 PH: 614.460.6254 or 614.460.5554 FAX: 614.460.4291
Pittsburgh, PA 19236	200 Civic Center Drive Columbus, OH 43215 PH: 614.460.6254 or 614.460.5554 FAX: 614.460.4291 PECO
Pittsburgh, PA 19236	200 Civic Center Drive Columbus, OH 43215 PH: 614.460.6254 or 614.460.5554 FAX: 614.460.4291 PECO Carlos Thillet, Manager, Gas Supply and
Pittsburgh, PA 19236 PH: 412.655.8510 ext. 331  Philadelphia Gas Works	200 Civic Center Drive Columbus, OH 43215 PH: 614.460.6254 or 614.460.5554 FAX: 614.460.4291 PECO Carlos Thillet, Manager, Gas Supply and Transportation
Pittsburgh, PA 19236 PH: 412.655.8510 ext. 331  Philadelphia Gas Works Eric Burgis	200 Civic Center Drive Columbus, OH 43215 PH: 614.460.6254 or 614.460.5554 FAX: 614.460.4291 PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2
Pittsburgh, PA 19236 PH: 412.655.8510 ext. 331  Philadelphia Gas Works Eric Burgis 800 West Montgomery Avenue	200 Civic Center Drive Columbus, OH 43215 PH: 614.460.6254 or 614.460.5554 FAX: 614.460.4291  PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103
Pittsburgh, PA 19236 PH: 412.655.8510 ext. 331  Philadelphia Gas Works  Eric Burgis 800 West Montgomery Avenue Philadelphia, PA 19122	200 Civic Center Drive Columbus, OH 43215 PH: 614.460.6254 or 614.460.5554 FAX: 614.460.4291  PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 Email: carlos.thillet@exeloncorp.com
Pittsburgh, PA 19236 PH: 412.655.8510 ext. 331  Philadelphia Gas Works  Eric Burgis 800 West Montgomery Avenue	200 Civic Center Drive Columbus, OH 43215 PH: 614.460.6254 or 614.460.5554 FAX: 614.460.4291  PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. 'Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14.

- 14. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix B to this application.
- 15. **COMPLIANCE:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings.
- 16. STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND CONSUMER EDUCATION: All services should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.
  - a. Contacts for Consumer Service and Complaints: Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant, the Distribution Company, the Pennsylvania Public Utility Commission or other agencies.
  - b. Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers.
  - If proposing to serve Residential and/or Small Commercial customers, provide a disclosure statement.
     A sample disclosure statement is provided as Appendix B to this Application.

#### 17. FINANCIAL FITNESS:

- A. Applicant shall provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published parent company financial and credit information.
- Applicant's balance sheet and income statement for the most recent fiscal year. Published financial information such as 10K's and 10Q's may be provided, if available.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended
  to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements
- Such other information that demonstrates Applicant's financial fitness.
- B. Applicant must provide the following information:
- Provide proof of compliance with bonding/credit requirements for each NGDC the applicant is proposing to provide service in. This requirement is designated by each NGDC and can commonly be found in the NGDC supplier tariff.
- Identify Applicant's chief officers including names and their professional resumes.

- Provide the name, title, address, telephone number and FAX number of Applicant's custodian for its accounting records.
- 18. **TECHNICAL FITNESS:** To ensure that the present quality and availability of service provided by natural gas utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
  - The identity of the Applicant's officers directly responsible for operations, including names and their professional resumes.
  - · A copy of any Federal energy license currently held by the Applicant.
  - Proposed staffing and employee training commitments.
  - Business plans.
- 19. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2208(D). Transferee will be required to file the appropriate licensing application.
- 20. UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.
- 21. **REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
  - a. Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on an annual basis no later than 30 days following the end of the calendar year.

Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 22 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive natural gas market.

- FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.
- 23. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.
- 24. FEE: The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

Applicant:: TOTAL FUERLY RESEARCES, LLC

By:

Γitle· 🗜

### **AFFIDAVIT**

[Commonwealth/State] of PENNSYLVANIA :
: ss.
County of BUTLER:
DANIEL R. STEFFY , Affiant, being duly [sworn/affirmed] according to law, deposes and says that:
[He/she is the Persident (Office of Affiant) of Resources, LLC (Name of Applicant);]
[That he/she is authorized to and does make this affidavit for said Applicant;]
That Executives, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.
That Total Europy Research the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.
That <u>Resources</u> , <u>L.C.</u> , the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).
Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Natural Gas Supplier License Application PA PUC Document #: 139346

That Torn Energy Resolves, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Commission's Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

and

That the facts above set forth are true and correct/true and belief.	correct to the best of his/her knowledge, information,
Signat	ure of Affiant
Sworn and subscribed before me this Z day of	dure , 2010.
Signature of of	A Voelungificial administering oath
My commission expires	COMMONWEALTH OF PENNSYLVANIA  Notarial Seal Christine A. Kaelln, Notary Public Cranberry Twp., Butler County My Commission Expires May 27, 2012  Member, Pennsylvania Association of Notaries

#### **AFFIDAVIT**

## RECEIVED

JUN 2 4 2010 [Commonwealth/State] of PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU , Affiant, being duly [sworn/affirmed] according to law, deposes and says that: (Office of Affiant) of Total Energy Resulted (Name of Applicant);] [That he/she is authorized to and does make this affidavit for said Applicant;] That Total Executives, the Applicant herein certifies that it has caused the notice of the filing of its license application published in the following newspapers on \_\_\_\_\_\_: (date) SEE ATTACHED A copy of the notice as it appeared in each of the above newspapers is attached. Noted on each copy is the newspaper section (name, number or letter), if applicable, and the page number on which the notice appeared. SEE ATTACHED That Total Engly Researces, the Applicant will submit to the Commission the proof of publication from each newspaper in which notice of the application filing was published as soon as it is available. That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing. Signature of Affiant Sworn and subscribed before me this Signature of official administering oath My commission expires

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Christine A. Kaelin, Notary Public Cranberry Twp., Butler County My Commission Expires May 27, 2012

Member, Pennsylvania Association of Notaries

### **AFFIDAVIT**

## RECEIVED

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Λ	0014 & # £010
[Commonwealth/State] of FENUSYLVANIA : ss.	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU
County of BUTLER	
DANIEL R. STEFFY, Affiant, being duly [sworn/affirmed] according to TOTAL ENERGY [He/she is the PRESIDENT (Office of Affiant) of President	
[That he/she is authorized to and does make this affidavit for said Applicant;]	
Total English  That the Applicant herein Resources, LLC has the burden of producing documentation demonstrating its technical and financial fitness to be licensed as a nat Pa. C.S. §2208(c)(1).  Total English	
That the Applicant herein <u>Resources</u> , <u>the</u> has answered the questions on the and completely and provided supporting documentation as required.	application correctly, truthfully,
That the Applicant herein <u>Resources</u> , <u>ILC</u> acknowledges that it is under a duty to answer to questions on this application and contained in supporting documents.	o update information provided in
That the Applicant herein Resources, LLC acknowledges that it is under a coprovided in answer to questions on this application and contained in supporting a Commission.	
That the facts above set forth are true and correct to the best of his/her knowledge, i he/she expects said Applicant to be able to prove the same at hearing.	nformation, and belief, and that
Circulation of Afficial	
Signature of Affiant	
Sworn and subscribed before me this day of	<u>0/05</u>
Signature of official administering oath	
My commission expires	
	•

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Christine A. Kaelin, Notary Public
Cranberry Twp., Butler County
My Commission Expires May 27, 2012

Member, Pennsylvania Association of Notaries

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#### APPENDIX A

JUN 2 4 2010

COMMONWEALTH OF PENNSYLVANIA PUBLIC UTILITY COMMISSION

TAX CERTIFICATION STATEMENT

PA PUBLIC UTILITY COMMISSION

SECRETARY'S BUREAU

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 81/2" x 11" paper. Type or print all information requested.

1. CORPORATE OR APPLICANT NAME	2. BUSINESS PHONE NO. (724) 766-5170
TOTAL ENERGY RESONACES, LLC	CONTACT PERSON(S) FOR TAX ACCOUNTS:  DANIEL STEFFY
3. TRADE/FICTITIOUS NAME (IF ANY)	
4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BO	OX NO.) (POST OFFICE) STATE) (ZIP)
290 STOUP ROAD MARS, PA 14	0046
5. TYPE OF ENTITY SOLE PROPRIETOR	☐ PARTNERSHIP CORPORATION
8. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICER(S)	)
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
DANIEL R. STEFFY	
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
9. LIST THE FOLLOWING STATE TAX IDENTIFICATION NUMBERS. (AL	LL ITEMS: A, B, AND C MUST BE COMPLETED).
A. SALES TAX LICENSE (8 DIGITS) APPLICATION	C. CORPORATE BOX NUMBER (7 DIGITS) APPLICATION
94-26544 D PENDING N/A	PENDING N/A
B. EMPLOYER ID (EIN) (9 DIGITS: APPLICATION	
27-2361274 0 D	
10. Do you have PA employes either resident or non-resident?	YES NO
11. Do you own any assets or have an office in PA?	YES NO
NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING	TAX RETURNS
DANIEL STEFFY PA SALES AND USE TAX  DANIEL STE EMPLOYER TAXES	CORPORATE TAXES
PHONE 724-71do-5170 PHONE 724-76	
Telephone inquiries about this form may be directed to the Pennsy	/Ivania Department of Revenue at the following numbers:

(717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

Entity #: 3949439 Date Filed: 04/19/2010 Pedro A. Cortés Secretary of the Commonwealth

## PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU

# Certificate of Organization Domestic Limited Liability Company (15 Pa.C.S. § 8913)

Name Gary T. Vanasdale, Esquir	re		Document will be r name and address; the left.		
Address 1667 Route 228 Suite 300	,		<b>(</b> =		
City State Cranberry Township PA	Zip Code		Come CERTIFICA	nonwealth of Pennsylva TE OF ORGANIZATION 3	nia Page/
: \$125	,			T1010960163	
In compliance with the requiring to organize a limited liabil			tting to certificate	of organization), the un	dersi
1. The name of the limited light	lity company (designa	tor is required, i.	e., "company", "lim	ited" or "limited	
liability company" or abbrev Total Energy Resources, I	TC			<del></del>	
liability company" or abbrev	TC				
liability company" or abbrev	LLC	nitial registered o	office in this Commo	nwealth or (b) name of	
liability company" or abbreve Total Energy Resources, I	LLC	nitial registered o	office in this Commo	nwealth or (b) name of County Butler	
Iiability company" or abbreve Total Energy Resources, I  2. The (a) address of the limited its commercial registered of (a) Number and Street	d liability company's iffice provider and the concept of the Control of the Contr	nitial registered ocumy of venue i State PA	office in this Commo s: Zip	County	
liability company" or abbreve Total Energy Resources, I  2. The (a) address of the limited its commercial registered of (a) Number and Street 290 Stoup Road  (b) Name of Commercial R	d liability company's iffice provider and the concept of the Control of the Contr	nitial registered ocumy of venue i State PA	office in this Commo s: Zip	County Butler	
Iiability company" or abbreve Total Energy Resources, I  2. The (a) address of the limited its commercial registered of (a) Number and Street 290 Stoup Road  (b) Name of Commercial R	d liability company's in the control of the control	nitial registered occurry of venue is State PA	office in this Commo s: Zip 16046	County Butler County	

PA DEPT. OF STATE

APR 1.9 2816

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Strike out if inapplicable: Management of the company is vested in a man	nager or managers.
The specified effective date, if any is: Date of month da	filing ate year hour, if any
Strike out if inapplicable: The company is a re- testricted professional service(s):	estricted professional company organized to render the following-
···	
For additional provisions of the certificate, if ar	ny, attach an 8½ x 11 sheet.
1 to 1	
	,
·	
	IN TESTIMONY WHEREOF, the organizer(s) has (have)
	signed this Certificate of Organization this
	signed this Certificate of Organization this  16th day of April 2010
÷	
·	

	Docketing Statement DSCB:15-134A (Rev 2001 Departments of State and Revenue	DOIGNA OUR OIL	Y: #
	One (1) copy required		
		Filing Period	Date 3 4 5
	•	SIC/NAICS	Report Code
	Check proper box:	<u> </u>	
:	business stock business non-stock professional nonprofit stock nonprofit non-stock statutory close management cooperative insurance X limited liability company restricted professional limited liability company business trust	restricted	ability company professional ability company rust
(_	1. Entity Name: Total Energy Resources, LLC		
	2. Individual name and mailing address responsib	ole for initial tax reports:	, , , , , , , , , , , , , , , , , , ,
	Daniel R. Steffy 290 Stoup	Road Mars,	PA 16046
	Name Number an	d street City	State Zip
	3. Description of business activity: Broad - services for the energy industry		
	4. Specified effective date, if any: Date of filing month/day/year hour, if any	5. EIN (Employer Identifica 27-2361874	tion Number), if any:
<i>.</i>	6. Fiscal Year End: Calendar - December 31	•	
;	7. Fictitious Name (only if foreign corporation is to n/a	transacting business in PA under	a fictitious name):

*(* 

1

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
CORPORATION BUREAU
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.STATE.PA.US/CORP

Total Energy Resources, LLC

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT <u>WWW.CORPORATIONS.STATE.PA.US/CORP</u> OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 3949439

### **Total Energy Resources, LLC**

290 Stoup Road Mars, PA 16046 (724) 584-3216

I, Ryan Williams, of Total Energy Resources, LLC, have sent an original application and three (3) copies to:

Rosemary Ghiavetta Keystone Building, 400 North Street 2<sup>nd</sup> Floor, Room N201 Harrisburg, PA 17120

I have also sent one (1) copy to each of the following addresses:

Irwin A. Popowsky
Office of Consumer Advocate
5<sup>th</sup> Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120-1921

Office of Attorney General Bureau of Consumer Protection Strawberry Square, 14<sup>th</sup> Floor Harrisburg, PA 17120

William R. Lloyd, Jr.
Commerce Building, Suite 1102
Small Business Advocate
Harrisburg, PA 17101

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221

Columbia Gas of PA, Inc. Heather Bauer 200 Civic Center Drive Columbus, OH 43215

#### **ATTACHMENTS:**

#### 16. Contacts for Consumer Service and Complaints:

Main Contact: Ryan Williams, Director of Business Development and Energy Sales

290 Stoup Road Mars, PA 16046

Phone: 724-584-3216 Fax: 724-538-4257

Alternate Contact: Dan Steffy, President

290 Stoup Road Mars, PA 16046

Phone: 724-766-5170 Fax: 724-538-4257

#### 17 A) See attached copy of Certificate of Liability Insurance

17 B)

- 1) Total Energy Resources, LLC is not yet licensed with any of the NGDC's in PA therefore is not aware of the required bonding/credit requirements as of now.
- 2) Total Energy Resources, LLC's chief officer is:

Daniel R. Steffy (See attached resume)

 Maloney & Associates, P.L.L.C. c/o Joyce F. Bernatowicz, C.P.A. P.O. Box 520 316 East Main Street Kingwood, WV 26537-0520 Phone: 304-329-2752

Fax: 304-329-3037



## CERTIFICATE OF LIABILITY INSURANCE OP ID WO

	<u> </u>					TOTALL-0	06/10/10
PRODUCER Liberty Insurance Agency Manor Oak Two, Suite 800 1910 Cochran Road			THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.				
Pittsburgh PA 15220 Phone: 412-571-5700 Fax: 412-571-9909			INSURERS A	INSURERS AFFORDING COVERAGE			
INSL	INSURED			INSURER A:	Travelers I	ngurance	00070
			INSURER 8:	114401010 1		30070	
		Total Energy Resou	rces, Luc	INSURER C:			
		290 Stoup Road		INSURER D:			
		Mars PA 16046		INSURER E.			
CO	VERA	GES					
Al M P	Y REC AY PER OLICIES	ICIES OF INSURANCE LISTED BELOW HAV RUIREMENT, TERM OR CONDITION OF ANY ITAIN, THE INSURANCE AFFORDED BY THE 5. AGGREGATE LIMITS SHOWN MAY HAVE	CONTRACT OR OTHER DOCUMENT WITE POLICIES DESCRIBED HEREIN IS SUBJE BEEN REDUCED BY PAID CLAIMS	H RESPECT TO WHICH ECT TO ALL THE TERM	H THIS CERTIFICATE M AS, EXCLUSIONS AND (	AY BE ISSUED OR	
NSR LTR	AUU'L INSRD	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YYYY)	POLICY EXPIRATION DATE (MM/DD/YYYY)	LIMITS	3
		GENERAL LIABILITY				EACH OCCURRENCE	\$1,000,000
A		X COMMERCIAL GENERAL LIABILITY	6801679R379	06/15/10	06/15/11	DAMAGE TO RENTED PREMISES (Ea occurence)	\$300,000
	1	CLAIMS MADE X OCCUR		<b>,</b> ,	•	MED EXP (Any one person)	\$5,000
	<u>'</u>	1 00 000 11 00 11 11 11 11 11 11 11 11 1				PERSONAL & ADV INJURY	\$1,000,000
						GENERAL AGGREGATE	s 2,000,000
	]						
		X POLICY PRO-				PRODUCTS - COMPIOP AGG	\$2,000,000
A		AUTOMOBILE LIABILITY  ANY AUTO	6801679R379	06/15/10	06/15/11	COMBINED SINGLE LIMIT (Ea accident)	\$1,000,000
		ALL OWNED AUTOS SCHEDULED AUTOS				BODILY INJURY (Per person)	\$
		X HIRED AUTOS X NON-OWNED AUTOS				BODILY INJURY (Per accident)	\$
						PROPERTY DAMAGE (Per accident)	\$
	i i	GARAGE LIABILITY				AUTO ONLY - EA ACCIDENT	\$;
		ANY AUTO				OTHER THAN EA ACC	\$
					}	AUTO ONLY: AGG	5
		EXCESS / UMBRELLA LIABILITY				EACH OCCURRENCE	\$1,000,000
A		X OCCUR CLAIMS MADE	CUP002743R392	06/15/10	06/15/11	AGGREGATE	\$1,000,000
							\$
		DEDUCTIBLE					\$
		X RETENTION \$5,000					5
		KERS COMPENSATION EMPLOYERS' LIABILITY			<u> </u>	X YORY LIMITS ER	
A	ANY	PROPRIETOR/PARTNER/EXECUTIVE 7	UB1680R053	06/15/10	06/15/11	E L. EACH ACCIDENT	s 100,000
	(Man	CER/MEMBER EXCLUDED?			Ì	E.L. DISEASE - EA EMPLOYEE	\$ 100,000
	If yes	, describe under ZAL PROVISIONS below			ļ	E.L. DISEASE - POLICY LIMIT	s 500,000
D F O	OTHE	R DN OF OPERATIONS / LOCATIONS / VEHIC	LES LEVELLISMUS ADDER BY SUSCESSED	MENT (SPECIAL BOX	ASSIONE.		
		NCE OF INSURANCE	, Englished report of Environ	marti y af bapab r Ad			
CERTIFICATE HOLDER		CANCELLATION					
			SHOULD ANY O	F THE ABOVE DESCRIE	ED POLICIES BE CANCELLED I	BEFORE THE EXPIRATION	
			PAPUBL	MOTICE TO THE	CERTIFICATE HOLDEI LIGATION OR LIABILITY	R WILL ENDEAVOR TO MAIL R NAMED TO THE LEFT, BUT FA OF ANY KIND UPON THE INSUI	JLURE TO DO SO SHALL
	PA PUBLIC UTILITY COMMISSION P.O. BOX 3265 HARRISBURG PA 17105-3265			REPRESENTATIVES. AUTHORIZED REPRESENTATIVE			
				Sauce Dock			

ACORD 25 (2009/01)

#### Daniel R. Steffy

290 Stoup Road Mars, PA 16046 Home (724) 538-4257 Cell (412) 766-5170

<u>Career Objective:</u> Work with Oil and Gas Company that wants a result-oriented person that knows how to control costs, maximize revenues and get s it done within a team environment. I want to share in the Marcellus shale excitement and help release its potential.

<u>Career Summary:</u> Professional with over thirty (30) years qualifying experience in all aspects of oil and natural gas exploration, drilling (horizontal and vertical), pipeline and production in the Appalachian Basin.

#### **Employment History**

#### Total Energy Resources, LLC

President April 2010 - Present

Marketing of Natural Gas and Electricity. Appalachian representation for New Prospect Company which places experience shale consultants with oil and gas operators. Consolidating deep right acreage and marketing them to Marcellus shale operators.

#### **New Prospect Company**

NE Business Development Manager January 2010 – Present

Place experienced engineering, drilling and completion consultants with companied that need help. New prospect has staff and contract consultants with years of SW horizontal shale experience. An operations man at heart!

#### Norse Energy Corp.

VP of Business Development and Operations December 2005-September 2009

Organized and sold Jamestown Medina Package to insure capital for present day Herkimer/shale play. Purchased 6000 acres in heart of play for \$12M, while leases were going for up to \$3K per acre. Ran operations for company, from horizontal drilling to TIL. Restructured company operations. Spent most of time in Norwich, NY. Wanted to work closer to Pittsburgh area.

#### **Dan Steffy Consulting**

President/Owner
July 2005-December 2005

Worked with three Appalachian companies (Norse Energy then hired me full-time), review all production and drilling procedures to lower costs, maximize production and revenue.

#### Freedom Oil & Gas Inc.

September 1997-June 2005 President/Owner

Owned and operated 240 wells in West Virginia and Pennsylvania. Maintained staff of well tenders for both company-owned and contract-operated wells. Operated service rigs and associated equipment. Sold company.

Page 2 (Dan Steffy)

#### Cabot Oil and Gas Corporation

April 1980 – September 1997 Eastern Regional Operations Manager

Started as a drilling engineer and finished my last ten (10) years as Operations Manager over both Pittsburgh and Charleston operations. Directed all production, pipeline, gas measurement, compression, drilling and workovers in Appalachian Basin. This included seven district offices, Franklin Brine Treatment and regional engineering/operations department. Directly supervised over 200 employees. Responsible for LOE/drilling budget for entire region.

#### Education

Indiana University of Pennsylvania (BA- Geology)

#### **Professional Activities**

Society of Petroleum Engineers
Independent Oil & Gas Association of NY member (past board member)
American Association of Drilling Engineers (present board member)
PA Independent Oil & Gas Association of PA member
Independent Oil & Gas Association of WW member

**References Supplied on Request** 

### 18) Technical Fitness:

Total Energy Resources, LLC's officer directly responsible for operations is:

Daniel R. Steffy (see attached resume)

#### Daniel R. Steffy

290 Stoup Road Mars, PA 16046 Home (724) 538-4257 Cell (412) 766-5170

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#### **Professional Activities**

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American Association of Drilling Engineers (present board member)
PA Independent Oil & Gas Association of PA member
Independent Oil & Gas Association of WW member

**References Supplied on Request** 

## PROOF OF PUBLICATION OF NOTICE IN BUTLER COUNTY LEGAL JOURNAL

STATE OF PENNSYLVANIA: SS. COUNTY OF BUTLER:

LEO M. STEPANIAN, Editor of THE BUTLER COUNTY LEGAL JOURNAL, published by the Butler County Bar Association, a non-profit corporation of the County and State aforesaid, being duly sworn, deposes and says that the BUTLER COUNTY LEGAL JOURNAL is a legal newspaper with its mailing address at 222 South Main Street, Butler, Pennsylvania 16001, which was established as a weekly publication August 1, 1959, since which date said publication has been regularly issued in said County, and that a copy of the printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said publication on the following dates,

viz <u>April 30, 2010</u>

Affiant further deposes that he is an office duly authorized by the BUTLER COUNTY BAR ASSOCIATION, a non-profit corporation, publisher or said BUTLER COUNTY LEGAL JOURNAL, a weekly publication, to verify the foregoing statement under oath and all allegations in the foregoing statement as to time, place and character of publication are true.

#### Copy of Notice or Publication

#### NOTICE

NOTICE IS HEREBY GIVEN that a Certificate of Organization was filed with the Department of State of the Commonwealth of Pennsylvania, for a limited liability company formed under the Limited Liability Company Law of 1994. The name of the company is TOTAL ENERGY RESOURCES, LLC.

GARY T. VANASDALE, ESQUIRE Gililland Vanasdale Law Office, LLC 1667 Roule 228, Suite 300 Cranberry Township, PA 16066

8.C.L.J.-April 30, 2010

RECEIVED For publishing t

JUN 2 4 2010

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

For publishing the notice of advertisement

attached hereto on the above stated dates -\$ 73.00

STATEMENT OF ADVERTISING COSTS

THE BUTLER COUNTY LEGAL JOURNAL

Affidavit -----\$ 2.00

Total ----\$ 75.00

Butler County Legal Journal

Sworn to and subscribed before me this 30<sup>th</sup>/day of April, 2010.

Notary Public

My Commission Expires

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal

Janet M. Sybert, Notary Public
Butler Twp., Butler County
My Commission Expires Jan. 6, 2012

Member, Pennsylvania Association of Notaries

# Proof of Publication of Notice in Butler Eagle Under Act No. 587, Approved May 16, 1929

State of Pennsylvania, County of Butler.		
Donna Bobby  deposes and says that the BUTLER EAGLE, a newspaper of gene Butler, County and State aforesaid, was established 1869, since w County, and that the printed notice or publication attached herete editions and issues of the said BUTLER EAGLE on the following	which date the BUTLER EAGLE has been regularly issued o is exactly the same as was printed and published in the	ty of d in said
	and	l the
22nd Day of April A.D. 2010	)	
Affiant further deposes that the Ad Taker is duly authorize publisher of said BUTLER EAGLE, a newspaper of general circula is not interested in the subject matter of the aforesaid notice or ad as to time, place and character of publication are true.  Copy of Notice or Publication  Copy of Notice of Publication  Copy of Notice or Publication  Copy of Notice of Publication  Copy of Notice or Publicatio	lation, to verify the foregoing statement under oath, and a	Affiant atements2010
	NEUTARIAL Ronald A. Vodenichar-No CITY OF BUTLER, BUTLE MY COMMISSION EXPIRES AS Statement of Advertising Costs	SEAL otary Public R COUNT
	Gilliland Vanasdale Law Office	
	1667 Rt. 228, Suite 300	
	Cranberry Twp. PA 16066	
RECEIVED		<del></del>
JUN 2 4 2010	TO BUTLER EAGLE, Dr. For publishing the notice or publication attached Hereto on the above stated dates \$ 36	5.00
PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU	,	2.00
•	Total\$ 38	8.00
Publisher's Receipt The EAGLE PRINTING COMPANY, publisher of the BUTLER E receipt of the aforesaid notice and publication costs and certifies	for Advertising Costs EAGLE, a newspaper of general circulation, hereby acknown that the same have been duly paid.	owledge

EAGLE PRINTING CO., a Corporation, Publisher Of BUTLER EAGLE, a Newspaper of General Circulation.

TOTAL ENERGY RESOURCES, LIC 290 STOUP RD.

MARS, PA 16046



U.S. PF CRANBER JUN AMU \$

THIS HAS BEEN SCANNED

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Delivered

United States Postal Service®

DELIVERY CONFIRMATION TO

38F2 45E2 5000 0840 01E0

ROSEMARY GHIAVETTA

KEYSTONE BUILDING, 400 DOETH ST.

Z^D FLOOR, ROOM NOO!

HARRISBURG, PA 17120

FIRST [LÁSS