

# BRICE

**ASSOCIATES, LLC**  
ENGINEERS & CONSULTANTS

477 Cafferty Road  
Erwinna, PA 18920

Tel: 610-294-9024  
Fax: 610-294-9815  
www.briceassociates.com

1 August 2010

Office of the Secretary  
Commonwealth of Pennsylvania  
Pennsylvania Public Utility Commission  
PO Box 3265  
Harrisburg, PA 17105-3265

**Re: Brice Associates, LLC application to supply electric generation services (marketer/broker); commission letter regarding deficiencies dated 14 July 2010.**

Dear Gentlemen:

Reference the attached deficiency letter from the Commission. Enclosed are three notarized affidavits, letter stating certificates of service were served and to which entities.

As to the articles of incorporation, Brice Associates is an LLC, articles of incorporation do not exist. In the original application we provided the Business Entity Filing History from the Pennsylvania Department of State. Another copy is attached here.

Sincerely,



Richard P Brookman, PE, CEM  
Brice Associates, LLC

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2010 AUG -4 AM 9:36  
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SECRETARY'S BUREAU

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1 August 2010

Commonwealth of Pennsylvania  
Pennsylvania Public Utility Commission  
PO Box 3265  
Harrisburg, PA 17105-3265

**Re: Brice Associates, LLC application for electric generation license;  
CERTIFICATE OF SERVICE**

Let this notice certify that Brice Associates, LLC served our application for an Electric Generation License to the following parties on 1 July 2010:

Irwin a Popowsky  
Office of Consumer Advocate  
5<sup>th</sup> Floor, forum Place  
555 Walnut Street  
Harrisburg, PA 17120

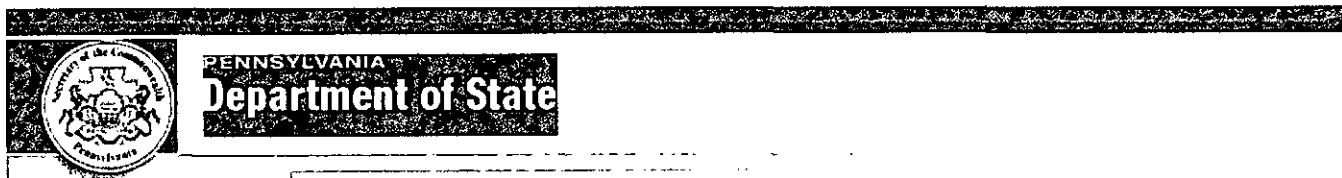
William R Lloyd, Jr.  
Commerce Building, suite 1102  
Small Business Advocate  
300 North Second Street  
Harrisburg, PA 17101

Office of Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14<sup>th</sup> Floor  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Richard P Brookman, PE, CEM  
President/Owner  
Brice Associates, LLC

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**Corporations**

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- Verify Certification
- Online Orders
- Register for Online Orders
- Order Good Standing
- Order Certified Documents
- Order Business List
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- Search for Images

**Business Entity Filing History**

**Date:** 8/1/2010 (Select the link above to view the Business Entity's Filing History)

**Business Name History**

Name	Name Type
BRICE ASSOCIATES LLC	Current Name

**Limited Liability Company - Domestic - Information**

**Entity Number:** 3075844  
**Status:** Active  
**Entity Creation Date:** 6/5/2002 11:34:03 AM  
**State of Business.:** PA  
**Registered Office Address:** 477 CAFFERTY RD  
 ERWINNA PA 18970-0  
 Bucks  
**Mailing Address:** No Address

**Officers**

**Name:** RICHARD P BROOKMAN  
**Title:** President  
**Address:** [Address Not Available]

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**Name:** RICHARD P BROOKMAN  
**Title:** Treasurer  
**Address:** ATTN RICHARD BROOKMAN 477  
 CAFFERTY RD  
 ERWINNA PA 18920-09

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[Commonwealth/State] of PENNSYLVANIA :

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PA.P.U.C.  
SECRETARY'S BUREAU

County of BUCKS :

BRICE ASSOC. LLC, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the OWNER (Office of Affiant) of BRICE ASSOC. LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That BRICE ASSOC. LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That BRICE ASSOC. LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That BRICE ASSOC. LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2 ), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That BRICE ASSOC. LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

*Richard P. [Signature]*  
Signature of Affiant

Sworn and subscribed before me this 2<sup>nd</sup> day of August, 20/10.

*Lisa M. Krol*  
Signature of official administering oath

COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Lisa M. Krol, Notary Public  
Doylestown Boro, Bucks County  
My Commission Expires Dec. 12, 2013  
Member, Pennsylvania Association of Notaries

My commission expires \_\_\_\_\_

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[Commonwealth/State] of PENNSYLVANIA :

2010 AUG -4 AM 9:37

ss.

County of BUCKS :

PA.P.U.C.  
SECRETARY'S BUREAU

BRICE ASSOC. LLC, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the DOWNER (Office of Affiant) of BRICE ASSOC. LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That BRICE ASSOC. LLC, the Applicant herein certifies that it has caused the notice of the filing of its electric generation license application to be published in the following newspapers on

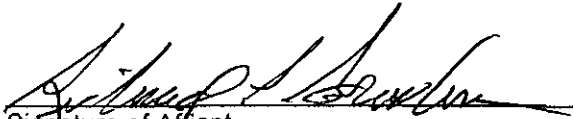
THE INTELLIGENCER

3 August 2010  
(date)

A copy of the notice as it appeared in each of the above newspapers is attached. Noted on each copy is the newspaper section (name, number or letter), if applicable, and the page number on which the notice appeared.

That BRICE ASSOCIATES LLC, the Applicant will submit to the Commission the proof of publication from each newspaper in which notice of the application filing was published as soon as it is available.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

  
Signature of Affiant

Sworn and subscribed before me this 2nd day of August, 20 10

  
Signature of official administering oath

COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Lisa M. Krol, Notary Public  
Doylestown Boro, Bucks County  
My Commission Expires Dec. 12, 2013  
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**AFFIDAVIT**

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SS.

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County of BUCKS

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[He/she is the OWNER (Office of Affiant) of BRICE ASSOC. LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein BRICE ASSOC. LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein BRICE ASSOC. LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein BRICE ASSOC. LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein BRICE ASSOC. LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Signature]  
Signature of Affiant

Sworn and subscribed before me this 2nd day of August, 2010.

[Signature]  
Signature of official administering oath

My commission expires \_\_\_\_\_

COMMONWEALTH OF PENNSYLVANIA  
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Doylestown Boro, Bucks County  
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3 AUG 2010

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2 August 2010

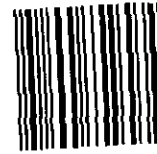
Notice is hereby given that Brice Associates, LLC, Bucks County, PA has a filing before the Pennsylvania Public Utility Commission in the form of an application, for issuance of an electric generation license.

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OFFICE OF THE SECRETARY  
COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY  
COMMISSION  
PO BOX 3265  
HARRISBURG, PA 17105-3265