

# BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Total Energy Resources, LLC, d/b/a \_\_\_\_\_, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Broker/Marketer engaged in the business of supplying electricity to the public in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

1. **IDENTITY OF THE APPLICANT:** The name, address, telephone number, and FAX number of the Applicant are:

Total Energy Resources, LLC  
290 Stoup Road  
Mars, PA 16046  
Phone: 724-766-5170  
Fax: 724-432-3699

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

2. a. **CONTACT PERSON:** The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are:

Ryan Williams  
Director of Business Development and Energy Sales  
290 Stoup Road  
Mars, PA 16046  
Phone: 724-584-3216  
Fax: 724-432-3699

- b. **CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY:** The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA:

Ryan Williams  
Director of Business Development and Energy Sales  
290 Stoup Road  
Mars, PA 16046  
Phone: 724-584-3216  
Fax: 724-432-3699

3. a. **ATTORNEY:** If applicable, the name, address, telephone number, and FAX number of the Applicant's attorney are:

Gilliland Vanasdale Eberle Law Office, LLC  
1667 Route 228  
Suite 300  
Cranberry Township, PA 16066  
Phone: 724-741-0536  
Fax: 724-741-0538

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- b. **REGISTERED AGENT:** If the Applicant does not maintain a principal office in the Commonwealth, the required name, address, telephone number and FAX number of the Applicant's Registered Agent in the Commonwealth are:

4. **FICTITIOUS NAME:** (select and complete appropriate statement)

- The Applicant will be using a fictitious name or doing business as ("d/b/a"):

Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

**OR**

X The Applicant will not be using a fictitious name.

5. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:** (select and complete appropriate statement)

- The Applicant is a sole proprietor.

If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**OR**

- The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**or**

X The Applicant is a :

- domestic corporation (none)  
 foreign corporation (15 Pa. C.S. §4124)  
X domestic limited liability company (15 Pa. C.S. §8913)  
 foreign limited liability company (15 Pa. C.S. §8981)  
 Other \_\_\_\_\_

Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Additionally, provide a copy of the Applicant's Articles of Incorporation.

Give name and address of officers.

Daniel R. Steffy - President  
290 Stoup Road  
Mars, PA 16046

The Applicant is incorporated in the state of \_\_\_\_\_ Pennsylvania \_\_\_\_\_.

6. **AFFILIATES AND PREDECESSORS WITHIN PENNSYLVANIA:** (select and complete appropriate statement)

- Affiliate(s) of the Applicant doing business in Pennsylvania are:

Give name and address of the affiliate(s) and state whether the affiliate(s) are jurisdictional public utilities.

- If the Applicant or an affiliate has a predecessor who has done business within Pennsylvania, give name and address of the predecessor(s) and state whether the predecessor(s) were jurisdictional public utilities.

**OR**

- X The Applicant has no affiliates doing business in Pennsylvania or predecessors which have done business in Pennsylvania.

7. **APPLICANT'S PRESENT OPERATIONS:** (select and complete the appropriate statement)

- The Applicant is presently doing business in Pennsylvania as a
- vertically-integrated provider of generation, transmission, and distribution services.
  - municipal electric corporation providing service outside its municipal limits.
  - electric cooperative
  - local gas distribution company
  - nonintegrated provider of electric generation, transmission or distribution services.
  - Other. (Identify the nature of service being rendered.)

**OR**

- X The Applicant is not presently doing business in Pennsylvania.

8. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Generator and supplier of electric power.
- Municipal generator and supplier of electric power.
- Electric Cooperative and supplier of electric power
- X Broker/Marketer engaged in the business of supplying electricity.
- Aggregator engaged in the business of supplying electricity
- Other (Describe):

9. **PROPOSED SERVICES:** Generally describe the electric services or the electric generation services which the Applicant proposes to offer.

Total Energy Resources, LLC is proposing to act as an Agent for Electric Generation and Supply companies. Total Energy Resources, LLC will not be taking title to the electricity. We will merely be acting as an agent/broker/marketer.

10. **SERVICE AREA:** Generally describe the geographic area in which Applicant proposes to offer services.

Pennsylvania and Ohio

11. **CUSTOMERS:** Applicant proposes to initially provide services to:

- Residential Customers
- Commercial Customers - (25 kW and Under)
- X Commercial Customers - (Over 25 kW)
- X Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):

12. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number \_\_\_\_\_.
- X Not applicable

13. **START DATE:** The Applicant proposes to begin delivering services on \_\_October 1, 2010\_\_\_\_  
(approximate date).

14. **NOTICE:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of the signed and verified Application with attachments on the following:

Irwin A. Popowsky  
Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

William R. Lloyd, Jr.  
Commerce Building, Suite 1102  
Small Business Advocate  
300 North Second Street  
Harrisburg, PA 17101

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Any of the following Electric Distribution Companies through whose transmission and distribution facilities the applicant intends to supply customers:

Gary A. Jack, Assistant General Counsel  
**Duquesne Light Company**  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

John P. Litz, Division Controller  
**UGI Utilities, Inc.**  
**Electric Division**  
400 Stewart Road  
P.O. Box 3200  
Hanover Industrial Estates  
Wilkes-Barre, PA 18773-3200

(Metropolitan Edison Company or Pennsylvania Electric Company)  
Blaine W. Uplinger, Jr., Director of Governmental and Regulatory Affairs  
**FirstEnergy**  
100 APC Building  
800 North third Street  
Harrisburg, PA 17102-2025

Paul E. Russell, Associate  
General Counsel  
**PPL**  
Two North Ninth Street  
Allentown, PA 18108-1179

Carol C. Reilly, Manager, Energy Acquisition  
**PECO Energy Company**  
2301 Market Street  
Philadelphia, PA 19101-8699  
215.841.4512  
[carol.reilly@peco-energy.com](mailto:carol.reilly@peco-energy.com)

Stephen L. Feld, Attorney  
**Pennsylvania Power Company**  
**First Energy Corporation**  
76 South Main Street  
Akron, OH 44308

John L. Munsch, Attorney  
**Allegheny Power**  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14.

15. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix B to this application.

16. **COMPLIANCE:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings.

Applicant has never been convicted of a crime involving fraud or similar activity.

17. **STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND CONSUMER EDUCATION:** Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

a. **Contacts for Consumer Service and Complaints:** Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission or other agencies.

Ryan Williams  
Director of Business Development and Energy Sales  
290 Stoup Road  
Mars, PA 16046  
Phone: 724-584-3216  
Fax: 724-432-3699

Dan Steffy  
President  
290 Stoup Road  
Mars, PA 16046  
Phone: 724-766-5170  
Fax: 724-432-3699

b. Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers.

Not applicable. Total Energy Resources, LLC will be acting as an agent/broker/marketer and all contracts will be between supplier and customer. Total Energy Resources, LLC will not be a party on the contracts.

c. If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a disclosure statement. A sample disclosure statement is provided as Appendix C to this Application.

N/A

18. **BONDING:** In accordance with 66 PA. C.S. Section 2809(C) (1)(I), the Applicant is:

- Furnishing a copy of initial bond , letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing proof of other initial security for Commission approval, to ensure financial responsibility.
- X Filing for a modification to the \$250,000 and furnishing a copy of an initial bond, letter of credit or proof of bonding to the Commission for the amount of \$\_10,000\_\_\_. Applicant is required to provide information supporting an amount less than \$250,000.

Applicant will be working as an agent/broker/marketer for EGS companies and will not be taking title to the electricity nor being a direct supplier to companies.

At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.

19. **FINANCIAL FITNESS:**

A. Applicant shall provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

Total Energy Resources, LLC is applying to be an agent/marketer/broker of electricity supply and will not be taking title to any power/electricity. We will be agents for EGS companies. Therefore, financial fitness is not a need for Total Energy Resources as we will not be a party to any contracts and will not be supplying any customers.

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published parent company financial and credit information.
- Applicant's balance sheet and income statement for the most recent fiscal year. Published financial information such as 10K's and 10Q's may be provided, if available.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements
- Such other information that demonstrates Applicant's financial fitness.

B. Applicant must provide the following information:

- Identify Applicant's chief officers including names and their professional resumes.

Daniel R. Steffy - President

- Provide the name, title, address, telephone number and FAX number of Applicant's custodian for its accounting records.

Maloney & Associates, P.L.L.C.  
Joyce F. Bernatowicz, C.P.A.  
P.O. Box 520  
316 Main Street  
Kingwood, WV 26537-0520  
Phone: 304-329-2752  
Fax: 304-329-3037

20. **TECHNICAL FITNESS:** To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

Total Energy Resources, LLC is applying to act as an agent/marketer/broker for EGS companies and therefore Total Energy Resources, LLC will not be providing electrical service to customers. We will merely be acting as an agent so quality and availability deteriorating is not a valid concern for Total Energy Resources, LLC.

- The identity of the Applicant's officers directly responsible for operations, including names and their professional resumes.
- Proposed staffing and employee training commitments
- Business plans
- Documentation of membership in ECAR, MAAC or other regional reliability councils shall be submitted if applicable to the scope and nature of the applicant's proposed services.
- An affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service.

21. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

22. **ASSESSMENT:** *The Applicant acknowledges that Title 66, Chapter 5, Section 510 grants to the Commission the right to make assessments to recover regulatory expenses and that as a supplier of electricity or an electric generation supplier it will be assessed under that section of the Pennsylvania Code. The Applicant also acknowledges that the continuation of its license as a supplier of electricity or an electric generation supplier will be dependent upon the payment of all prior years assessments.*

23. **UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.

24. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- a. **Reports of Gross Receipts:** Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- b. The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- c. Applicant shall report to the Commission the following information on an annual basis:
  - the percentages of total electricity supplied by each fuel source

**Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.**

25. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.

- 26. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.
- 27. **FEE:** The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

Applicant: Total Energy Resources, LLC

By: Daniel R. Steffy



Title: President

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CORPORATION BUREAU

Certificate of Organization  
Domestic Limited Liability Company  
(15 Pa.C.S. § 8913)

Name Gary T. Vanasdale, Esquire		
Address 1667 Route 228 Suite 300		
City Cranberry Township PA	State PA	Zip Code 16066

Document will be returned to the name and address you enter to the left.



Commonwealth of Pennsylvania  
CERTIFICATE OF ORGANIZATION 3 Page(s)



Fee: \$125

In compliance with the requirements of 15 Pa.C.S. § 8913 (relating to certificate of organization), the undersigned desiring to organize a limited liability company, hereby certifies that:

1. The name of the limited liability company (designator is required, i.e., "company", "limited" or "limited liability company" or abbreviation):  
Total Energy Resources, LLC

2. The (a) address of the limited liability company's initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and Street 290 Stoup Road	City Mars	State PA	Zip 16046	County Butler
(b) Name of Commercial Registered Office Provider c/o:				County

3. The name and address, including street and number, if any, of each organizer is (all organizers must sign on page 2):

Name Daniel R. Steffy	Address 290 Stoup Road Mars PA 16046

PA DEPT. OF STATE  
APR 19 2010

*Handwritten initials*

One (1) copy required

**BUREAU USE ONLY:**  
Dept. of State Entity # \_\_\_\_\_  
Dept. of Rev. Box # \_\_\_\_\_  
Filing Period \_\_\_\_\_ Date 3 4 5 \_\_\_\_\_  
SIC/NAICS \_\_\_\_\_ Report Code \_\_\_\_\_

Check proper box:

*Pennsylvania Entities*

business stock  
 business non-stock  
 professional  
 nonprofit stock  
 nonprofit non-stock  
 statutory close  
 management  
 cooperative  
 insurance  
 limited liability company  
 restricted professional  
 limited liability company  
 business trust

*Foreign Entities*

State/Country \_\_\_\_\_ Date \_\_\_\_\_

business  
 nonprofit  
 limited liability company  
 restricted professional  
 limited liability company  
 business trust

*Other*

domestication  
 division  
 consolidation

1. Entity Name:  
Total Energy Resources, LLC

2. Individual name and mailing address responsible for initial tax reports:

<u>Daniel R. Steffy</u>	<u>290 Stoup Road</u>	<u>Mars,</u>	<u>PA</u>	<u>16046</u>
Name	Number and street	City	State	Zip

3. Description of business activity:  
Broad - services for the energy industry

4. Specified effective date, if any:  
Date of filing \_\_\_\_\_  
month/day/year hour, if any

5. EIN (Employer Identification Number), if any:  
27-2361874

6. Fiscal Year End:  
Calendar - December 31

7. Fictitious Name (only if foreign corporation is transacting business in PA under a fictitious name):  
n/a

4. *Strike out if inapplicable term*

A member's interest in the company is to be evidenced by a certificate of membership interest.

5. *Strike out if inapplicable:*

Management of the company is vested in a manager or managers.

6. The specified effective date, if any is: Date of filing

month date year hour, if any

7. *Strike out if inapplicable:* ~~The company is a restricted professional company organized to render the following restricted professional service(s):~~

8. For additional provisions of the certificate, if any, attach an 8½ x 11 sheet.

IN TESTIMONY WHEREOF, the organizer(s) has (have) signed this Certificate of Organization this

16th day of April, 2010.



Signature

Signature

Signature

**Daniel R. Steffy**  
290 Stoup Road  
Mars, PA 16046  
Home (724) 538-4257 Cell (412) 766-5170

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Career Objective: Work with Oil and Gas Company that wants a result-oriented person that knows how to control costs, maximize revenues and get s it done within a team environment.. I want to share in the Marcellus shale excitement and help release its potential.

Career Summary: Professional with over thirty (30) years qualifying experience in all aspects of oil and natural gas exploration, drilling (horizontal and vertical), pipeline and production in the Appalachian Basin.

### **Employment History**

#### **Total Energy Resources, LLC**

President

April 2010 - Present

Marketing of Natural Gas and Electricity. Appalachian representation for New Prospect Company which places experience shale consultants with oil and gas operators. Consolidating deep right acreage and marketing them to Marcellus shale operators.

#### **New Prospect Company**

NE Business Development Manager

January 2010 – Present

Place experienced engineering, drilling and completion consultants with companied that need help. New prospect has staff and contract consultants with years of SW horizontal shale experience. An operations man at heart!

#### **Norse Energy Corp.**

VP of Business Development and Operations

December 2005-September 2009

Organized and sold Jamestown Medina Package to insure capital for present day Herkimer/shale play. Purchased 6000 acres in heart of play for \$12M, while leases were going for up to \$3K per acre. Ran operations for company, from horizontal drilling to TIL. Restructured company operations. Spent most of time in Norwich, NY. Wanted to work closer to Pittsburgh area.

#### **Dan Steffy Consulting**

President/Owner

July 2005-December 2005

Worked with three Appalachian companies (Norse Energy then hired me full-time), review all production and drilling procedures to lower costs, maximize production and revenue.

**Freedom Oil & Gas Inc.**  
September 1997-June 2005  
President/Owner

Owned and operated 240 wells in West Virginia and Pennsylvania. Maintained staff of well tenders for both company-owned and contract-operated wells. Operated service rigs and associated equipment. Sold company.

Page 2 (Dan Steffy)

**Cabot Oil and Gas Corporation**

April 1980 – September 1997

Eastern Regional Operations Manager

Started as a drilling engineer and finished my last ten (10) years as Operations Manager over both Pittsburgh and Charleston operations. Directed all production, pipeline, gas measurement, compression, drilling and workovers in Appalachian Basin. This included seven district offices, Franklin Brine Treatment and regional engineering/operations department. Directly supervised over 200 employees. Responsible for LOE/drilling budget for entire region.

**Education**

Indiana University of Pennsylvania  
(BA- Geology)

**Professional Activities**

Society of Petroleum Engineers  
Independent Oil & Gas Association of NY member (past board member)  
American Association of Drilling Engineers (present board member)  
PA Independent Oil & Gas Association of PA member  
Independent Oil & Gas Association of WW member

**References Supplied on Request**

# AFFIDAVIT

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County of \_\_\_ Butler \_\_\_\_\_

Daniel R. Steffy, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the \_\_\_ President \_\_\_ (Office of Affiant) of Total Energy Resources, LLC \_\_\_\_\_ (N of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That \_Total Energy Resources, LLC\_, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That \_Total Energy Resources, LLC\_, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That \_Total Energy Resources, LLC\_, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2 ), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That \_Total Energy Resources, LLC\_, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

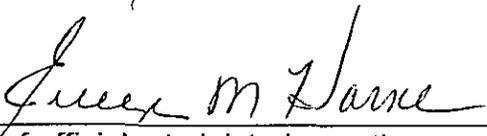
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

  
Signature of Affiant

Sworn and subscribed before me this 7 day of September, 192010

COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Eileen M. Home, Notary Public  
Cranberry Twp., Butler County  
My Commission Expires Oct. 29, 2013

  
Signature of official administering oath

My commission expires 10/29/2013

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SECRETARY'S BUREAU

[Commonwealth/State] of  Pennsylvania  :

ss.

County of  Butler  :

Daniel R. Steffy , Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the  President  (Office of Affiant) of  Total Energy Resources, LLC  (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

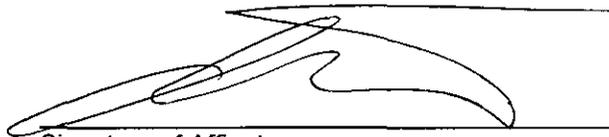
That  Total Energy Resources, LLC , the Applicant herein certifies that it has caused the notice of the filing of its electric generation license application to be published in the following newspapers on

(date)

A copy of the notice as it appeared in each of the above newspapers is attached. Noted on each copy is the newspaper section (name, number or letter), if applicable, and the page number on which the notice appeared.

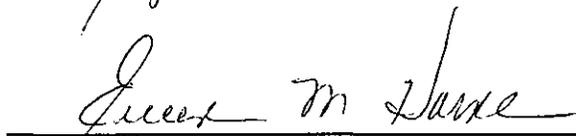
That  Total Energy Resources, LLC , the Applicant will submit to the Commission the proof of publication from each newspaper in which notice of the application filing was published as soon as it is available.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

  
Signature of Affiant

Sworn and subscribed before me this  17  day of  Sept ,  2010

COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Eileen M. Home, Notary Public  
Cranberry Twp., Butler County  
My Commission Expires Oct. 29, 2013

  
Signature of official administering oath

My commission expires  10/29/2013

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SECRETARY'S BUREAU

[Commonwealth/State] of  Pennsylvania  :

ss.

County of  Butler  :

Daniel R. Steffy , Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the  President  (Office of Affiant) of  Total Energy Resources, LLC  (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

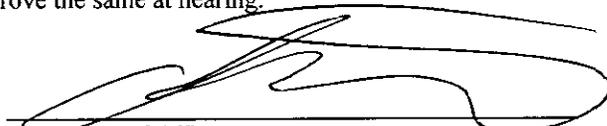
That the Applicant herein  Total Energy Resources, LLC  has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein  Total Energy Resources, LLC  has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein  Total Energy Resources, LLC  acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

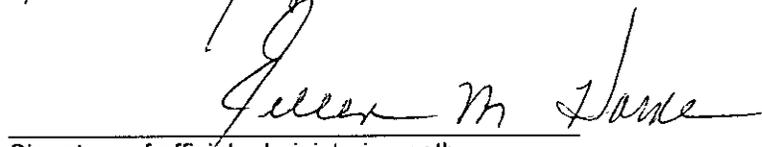
That the Applicant herein  Total Energy Resources, LLC  acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

  
Signature of Affiant

Sworn and subscribed before me this  7  day of  Sept ,  2010

COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Eileen M. Horne, Notary Public  
Cranberry Twp., Butler County  
My Commission Expires Oct. 29, 2013

  
Signature of official administering oath

My commission expires  10/29/2013

**APPENDIX B**

**COMMONWEALTH OF  
PENNSYLVANIA  
PUBLIC UTILITY COMMISSION**

**TAX CERTIFICATION  
STATEMENT**

RECEIVED  
2010 SEP 13 AM 10:39

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 8 1/2" x 11" paper. Type or print all information requested.

1. CORPORATE OR APPLICANT NAME <b>TOTAL ENERGY RESOURCES, LLC</b>	2. BUSINESS PHONE NO. <b>(724) 776-5170</b> CONTACT PERSON(S) FOR TAX ACCOUNTS: <b>DANIEL STEFFY</b>
----------------------------------------------------------------------	---------------------------------------------------------------------------------------------------------

3. TRADE/FICTITIOUS NAME (IF ANY)

4. LICENSED ADDRESS	(STREET, RURAL ROUTE, P.O. BOX NO.)	(POST OFFICE)	STATE	(ZIP)
<b>290 STROUP ROAD</b>		<b>MARS</b>	<b>PA</b>	<b>16046</b>

5. TYPE OF ENTITY     SOLE PROPRIETOR                       PARTNERSHIP                       CORPORATION

8. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICER(S)

NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
<b>DANIEL R. STEFFY</b>	
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)

9. LIST THE FOLLOWING STATE TAX IDENTIFICATION NUMBERS. (ALL ITEMS: A, B, AND C MUST BE COMPLETED).

<b>A. SALES TAX LICENSE (8 DIGITS)</b> APPLICATION PENDING      N/A <table style="width:100%; border-collapse: collapse;"> <tr> <td style="border: 1px solid black; width: 20px;">9</td><td style="border: 1px solid black; width: 20px;">4</td><td style="border: 1px solid black; width: 20px;">-</td><td style="border: 1px solid black; width: 20px;">2</td><td style="border: 1px solid black; width: 20px;">6</td><td style="border: 1px solid black; width: 20px;">5</td><td style="border: 1px solid black; width: 20px;">4</td><td style="border: 1px solid black; width: 20px;">4</td><td style="border: 1px solid black; width: 20px;">-</td><td style="border: 1px solid black; width: 20px;">2</td> <td style="width: 20px; text-align: center;"><input type="checkbox"/></td> <td style="width: 20px; text-align: center;"><input type="checkbox"/></td> </tr> </table>	9	4	-	2	6	5	4	4	-	2	<input type="checkbox"/>	<input type="checkbox"/>	<b>C. CORPORATE BOX NUMBER (7 DIGITS)</b> APPLICATION PENDING      N/A <table style="width:100%; border-collapse: collapse;"> <tr> <td style="border: 1px solid black; width: 20px;">0</td><td style="border: 1px solid black; width: 20px;">4</td><td style="border: 1px solid black; width: 20px;">0</td><td style="border: 1px solid black; width: 20px;">7</td><td style="border: 1px solid black; width: 20px;">4</td><td style="border: 1px solid black; width: 20px;">7</td><td style="border: 1px solid black; width: 20px;">7</td> <td style="width: 20px; text-align: center;"><input type="checkbox"/></td> <td style="width: 20px; text-align: center;"><input type="checkbox"/></td> </tr> </table>	0	4	0	7	4	7	7	<input type="checkbox"/>	<input type="checkbox"/>
9	4	-	2	6	5	4	4	-	2	<input type="checkbox"/>	<input type="checkbox"/>											
0	4	0	7	4	7	7	<input type="checkbox"/>	<input type="checkbox"/>														
<b>B. EMPLOYER ID (EIN) (9 DIGITS)</b> APPLICATION PENDING      N/A <table style="width:100%; border-collapse: collapse;"> <tr> <td style="border: 1px solid black; width: 20px;">2</td><td style="border: 1px solid black; width: 20px;">7</td><td style="border: 1px solid black; width: 20px;">-</td><td style="border: 1px solid black; width: 20px;">2</td><td style="border: 1px solid black; width: 20px;">3</td><td style="border: 1px solid black; width: 20px;">6</td><td style="border: 1px solid black; width: 20px;">1</td><td style="border: 1px solid black; width: 20px;">8</td><td style="border: 1px solid black; width: 20px;">7</td><td style="border: 1px solid black; width: 20px;">4</td> <td style="width: 20px; text-align: center;"><input type="checkbox"/></td> <td style="width: 20px; text-align: center;"><input type="checkbox"/></td> </tr> </table>	2	7	-	2	3	6	1	8	7	4	<input type="checkbox"/>	<input type="checkbox"/>										
2	7	-	2	3	6	1	8	7	4	<input type="checkbox"/>	<input type="checkbox"/>											

10. Do you have PA employes either resident or non-resident?                       YES                       NO

11. Do you own any assets or have an office in PA?                       YES                       NO

NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS

<b>DANIEL R. STEFFY</b> PA SALES AND USE TAX	<b>DANIEL R. STEFFY</b> EMPLOYER TAXES	<b>DANIEL R. STEFFY</b> CORPORATE TAXES
-------------------------------------------------	-------------------------------------------	--------------------------------------------

PHONE **724-766-5170**                      PHONE **724-766-5170**                      PHONE **724-766-5170**

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

TOTAL ENERGY RESOURCES, LLC

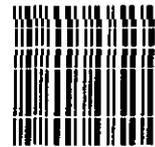
290 STOUT RD.

MARS, PA 16046



UNITED STATES  
POSTAL SERVICE

1000



17120

U.S. POSTAGE  
PAID  
CRANFERRY TWP. PA  
16066  
SEP 08, '10  
AMOUNT

\$2.24

00040769-07

PUC

ROSEMARY CHIAVETTA, SECRETARY

KEYSTONE BUILDING

2<sup>ND</sup> FLOOR ROOM N201

HARRISBURG, PA 17120