

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Aspen Energy Corp., d/b/a _____, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) _____ [as specified in item #8 below] to the public in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

1. **IDENTITY OF THE APPLICANT:** The name, address, telephone number, and FAX number of the Applicant are: Aspen Energy Corp., 9550 Dublin Road, Suite C, Powell, Ohio 43065, Phone 614-884-5300, Fax 614-336-8362

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number. N/A

2. a. **CONTACT PERSON:** The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are: Jonathan Peele, President, 9550 Dublin Road, Suite C, Phone 614-884-5300 ext. 216, Fax 614-336-8362

- b. **CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY:** The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA: Jonathan Peele, President, 9550 Dublin Road, Suite C, Phone 614-884-5300 ext. 216, Fax 614-336-8362

- 3.a. **ATTORNEY:** If applicable, the name, address, telephone number, and FAX number of the Applicant's attorney are: Granger Co.,L.P.A., Mark Granger, 132 Northwoods Blvd., Columbus, Ohio 43235, Phone 614-854-0615, Fax 614-885-7574

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- b. REGISTERED AGENT: If the Applicant does not maintain a principal office in the Commonwealth, the required name, address, telephone number and FAX number of the Applicant's Registered Agent in the Commonwealth are: Melanie Gaglie, 13791 Main Street, Ft. Loudon, PA 17224. Fax: 888-679-8222 Phone 888-681-9777

4. **FICTITIOUS NAME:** (select and complete appropriate statement)

- The Applicant will be using a fictitious name or doing business as ("d/b/a"):N/A

Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

- x The Applicant will not be using a fictitious name.

5. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:** (select and complete appropriate statement)

- The Applicant is a sole proprietor.

If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

- The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

- The Applicant is a :
- domestic corporation (none)
 - foreign corporation (15 Pa. C.S. §4124)
 - domestic limited liability company (15 Pa. C.S. §8913)
 - foreign limited liability company (15 Pa. C.S. §8981)
 - Other _____

Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Additionally, provide a copy of the Applicant's Articles of Incorporation.

See Exhibit A & B

Give name and address of officers.

Jonathan Peele	Chris Peele
9550 Dublin Road, Suite C	8935 Dunn Court
Powell, OH 43065	Dublin, OH 43017
President	Secretary

The Applicant is incorporated in the state of Ohio.

6. AFFILIATES AND PREDECESSORS WITHIN PENNSYLVANIA: (select and complete appropriate statement)

- Affiliate(s) of the Applicant doing business in Pennsylvania are: N/A

Give name and address of the affiliate(s) and state whether the affiliate(s) are jurisdictional public utilities. N/A

Exhibit A

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU

Application for Certificate of Authority
(15 Pa.C.S.)

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- Foreign Business Corporation (§ 4124)
 Foreign Nonprofit Corporation (§ 6124)

PA PUBLIC UTILITY COMMISSION
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Name Jonathan Peele		
Address 9550 Dublin Road		
City Powell	State Ohio	Zip Code 43065

Document will be returned to the
name and address you enter to
the left.



Commonwealth of Pennsylvania
CERTIFICATE OF AUTHORITY 3 Page(s)

Fee: \$250



T1027047052

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations), the undersigned, hereby states that:

1. The name of the corporation is:
Aspen Energy Corporation

2. Complete only when the corporation must adopt a corporate designator for use in Pennsylvania.
The name which the corporation adopts for use in this Commonwealth is:

3. If the name set forth in paragraph 1 or 2 is not available for use in this Commonwealth, complete the following:
The fictitious name which the corporation adopts for use in transacting business in this Commonwealth is:

The corporation shall do business in Pennsylvania only under such fictitious name pursuant to the attached resolution of the board of directors under the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations) and the attached form DSCB:54-311 (Application for Registration of Fictitious Name).

4. The name of the jurisdiction under the laws of which the corporation is incorporated is: **Ohio**

5. The address of its principal office under the laws of the jurisdiction in which it is incorporated is:

9550 Dublin Road, Suite C	Powell	Ohio	43065
Number and street	City	State	Zip

PA DEPT. OF STATE

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PA DEPT. OF STATE

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6. The (a) address of this corporation's proposed registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street	City	State	Zip	County
13791 Main Street	Etchendon	PA	17224	Franklin

(b) Name of Commercial Registered Office Provider _____ County _____

c/o: _____

7. Check one of the following:

Business Corporation: The corporation is a corporation incorporated for a purpose or purposes involving pecuniary profit, incidental or otherwise.

Nonprofit Corporation: The corporation is a corporation incorporated for a purpose or purposes not involving pecuniary profit, incidental or otherwise.

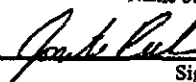
IN TESTIMONY WHEREOF, the undersigned corporation has caused this Application for Certificate of Authority to be signed by a duly authorized officer thereof this

9 day of Sept.

2010

Aspen Energy Corporation

Name of Corporation


Signature

President

Title



Exhibit B

Prescribed by **J. Kenneth Blackwell**

Please obtain fee amount and mailing instructions from the **Forms Inventory List** (using the 3 digit form # located at the bottom of this form). To obtain the **Forms Inventory List** or for assistance, please

call Customer Service:

Central Ohio: (614)-466-3910 Toll Free: 1-877-SOS-FILE (1-877-767-3453)

Expedite this form Yes

ARTICLES OF INCORPORATION

(Under Chapter 1701 of the Ohio Revised Code)

Profit Corporation

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

The undersigned, desiring to form a corporation, for profit, under Sections 1701.01 et seq. of the Ohio Revised Code, do hereby state the following:

FIRST. The name of said corporation shall be:
Aspen Energy Corporation

SECOND. The place in Ohio where its principal office is to be located is
Delaware, Delaware County, Ohio
(city, village or township)

THIRD. The purpose(s) for which this corporation is formed is:
Market and sell energy services to commercial, industrial and residential customers. Aspen Energy Corp. will be acting as a consultant (broker) for master company.

FOURTH. The number of shares which the corporation is authorized to have outstanding is: 850
(Please state whether shares are common or preferred, and their par value, if any. Shares will be recorded as common with no par value unless otherwise indicated.)

IN WITNESS WHEREOF, we have hereunto subscribed our names, on 5/24/00
(date)

Signature: [Signature], Incorporator
Name: Jonathan D. Paele

Signature: _____, Incorporator
Name: _____

Signature: _____, Incorporator
Name: _____



Prescribed by **J. Kenneth Blackwell**

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Central Ohio: (614)-466-3910 Toll Free: 1-877-SOS-FILE (1-877-767-3453)

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ORIGINAL APPOINTMENT OF STATUTORY AGENT

The undersigned, being at least a majority of the incorporators of Aspen Energy Corporation

hereby appoint Jonathan D Poole, to be statutory agent upon whom any process, notice or

demand required or permitted by statute to be served upon the corporation may be served. The complete address of the agent is:

4202 Klondike Road
(street name and number P.O. Boxes are not acceptable)
Delaware, Ohio 43015
(city, village or township) (zip code)

Signature: [Signature]
Name: Jonathan D Poole

Signature: _____
Name: _____

Signature: _____
Name: _____

ACCEPTANCE OF APPOINTMENT

The undersigned, Jonathan D Poole, named herein as the statutory agent for, Aspen Energy Corporation, hereby acknowledges and accepts the appointment of statutory agent for said corporation.

Signature: [Signature]
Statutory Agent

- If the Applicant or an affiliate has a predecessor who has done business within Pennsylvania, give name and address of the predecessor(s) and state whether the predecessor(s) were jurisdictional public utilities. N/A

or

- X The Applicant has no affiliates doing business in Pennsylvania or predecessors which have done business in Pennsylvania.

7. **APPLICANT'S PRESENT OPERATIONS:** (select and complete the appropriate statement)

- The Applicant is presently doing business in Pennsylvania as a
- vertically-integrated provider of generation, transmission, and distribution services.
 - municipal electric corporation providing service outside its municipal limits.
 - electric cooperative
 - local gas distribution company
 - nonintegrated provider of electric generation, transmission or distribution services.
 - Other. (Identify the nature of service being rendered.)

or

- X The Applicant is not presently doing business in Pennsylvania.

8. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Generator and supplier of electric power.
- Municipal generator and supplier of electric power.
- Electric Cooperative and supplier of electric power
- X Broker/Marketer engaged in the business of supplying electricity.
- Aggregator engaged in the business of supplying electricity
- Other (Describe):

9. **PROPOSED SERVICES:** Generally describe the electric services or the electric generation services which the Applicant proposes to offer.

Aspen Energy will be calling on Pennsylvania companies to sell electricity services on behalf of certified suppliers. We will be selling over the phone and face to face appointments. We will be utilizing our staff of fourteen Senior Energy Consultants to obtain business. We do not provide billing statements.

10. **SERVICE AREA:** Generally describe the geographic area in which Applicant proposes to offer services.

UGI Utilities Inc.

Duquesne Light Company

Citizens' Electric Company

Wellsboro Electric Company

Pennsylvania Power Company

Pennsylvania Electric Company

PPL Electric Utilities

Allegheny Power

Metropolitan Edison Company

PECO Energy Company

11. **CUSTOMERS:** Applicant proposes to initially provide services to:

- Residential Customers
 Commercial Customers - (25 kW and Under)
 Commercial Customers - (Over 25 kW)
 Industrial Customers
 Governmental Customers
 All of above
 Other (Describe):

12. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
 Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
 Not applicable

13. **START DATE:** The Applicant proposes to begin delivering services on 9/1/2010 (approximate date).

14. **NOTICE:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of the signed and verified Application with attachments on the following:

Irwin A. Popowsky
Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

William R. Lloyd, Jr.
Commerce Building, Suite 1102
Small Business Advocate
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Any of the following Electric Distribution Companies through whose transmission and distribution facilities the applicant intends to supply customers:

Gary A. Jack, Assistant General Counsel
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

John P. Litz, Division Controller
UGI Utilities, Inc.
Electric Division
400 Stewart Road
P.O. Box 3200
Hanover Industrial Estates
Wilkes-Barre, PA 18773-3200

(Metropolitan Edison Company or Pennsylvania Electric Company)
Blaine W. Uplinger, Jr., Director of Governmental and Regulatory Affairs
FirstEnergy
100 APC Building
800 North third Street
Harrisburg, PA 17102-2025

Paul E. Russell, Associate
General Counsel
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Carol C. Reilly, Manager, Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699
215.841.4512
carol.reilly@peco-energy.com

Stephen L. Feld, Attorney
Pennsylvania Power Company
First Energy Corporation
76 South Main Street
Akron, OH 44308

John L. Munsch, Attorney
Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14.



Aspen Energy Corp.

9550 Dublin Rd., Suite C
Powell, Ohio 43065
614-884-5300
614-336-8362 fax
www.aspenenergy.com

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**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**

October 6, 2010

Pennsylvania Public Utility Commission,

We at Aspen Energy have signed and delivered a signed copy of this application to the following:

Irwin A. Popowsky
Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

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Bureau of Consumer Protection
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800 Cabin Hill Drive
Greensburg, PA 15601-1689

Jonathan Peele,

President

10/6/10

15. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix B to this application.
16. **COMPLIANCE:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings. Aspen Energy, Jonathan Peele, any affiliates, predecessors identified in this Application have not been convicted of a crime involving fraud or similar activity.
17. **STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND CONSUMER EDUCATION:** Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.
- a. Contacts for Consumer Service and Complaints: Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission or other agencies. Jonathan Peele, President, 9550 Dublin Road, Suite C, Powell, Ohio 43065, (P)614-884-5300, (F)614-336-8362.
- b. Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers. *N/A*
- c. If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a disclosure statement. A sample disclosure statement is provided as Appendix C to this Application.
N/A
18. **BONDING:** In accordance with 66 PA. C.S. Section 2809(C) (1)(I), the Applicant is:
- Furnishing a copy of initial bond , letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing proof of other initial security for Commission approval, to ensure financial responsibility.
- X Filing for a modification to the \$250,000 and furnishing a copy of an initial bond, letter of credit or proof of bonding to the Commission for the amount of \$10,000.00. Applicant is required to provide information supporting an amount less than \$250,000. PA PUC said Brokers are to furnish a \$10,000 bond or letter of credit.

At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.

19. **FINANCIAL FITNESS:**
- A. Applicant shall provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
 - Published parent company financial and credit information.
 - Applicant's balance sheet and income statement for the most recent fiscal year. Published financial information such as 10K's and 10Q's may be provided, if available.

- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- *Audited financial statements*
- Such other information that demonstrates Applicant's financial fitness.

B. Applicant must provide the following information:

- Identify Applicant's chief officers including names and their professional resumes.
Jonathan Peele – Resume attached
- Provide the name, title, address, telephone number and FAX number of Applicant's custodian for its accounting records. Mark Hulka (accountant)
1894 Tewksbury Road
Columbus, OH 43221 (P) (614) 481-8880 (F) (614) 481-3227

20. **TECHNICAL FITNESS:** To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- The identity of the Applicant's officers directly responsible for operations, including names and their professional resumes.

Jonathan Peele. See Exhibit C

- Proposed staffing and employee training commitments - All Aspen Energy staff are W2'ed employees that work out of our Powell, Ohio office. We employ intelligent consultants with strong moral character, an excellent work ethic and a desire to improve themselves. We value our team members and treat them with respect, providing an environment where diverse individuals can develop and are expected to perform to their full potential. We train all our employees in house.
- Business plans – Continue to grow into deregulated market areas when the market demands.
- Documentation of membership in ECAR, MAAC or other regional reliability councils shall be submitted if applicable to the scope and nature of the applicant's proposed services. N/A
- An affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. N/A

21. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

22. **ASSESSMENT:** The Applicant acknowledges that Title 66, Chapter 5, Section 510 grants to the Commission the right to make assessments to recover regulatory expenses and that as a supplier of electricity or an electric generation supplier it will be assessed under that section of the Pennsylvania Code. The Applicant also acknowledges that the continuation of its license as a supplier of electricity or an electric generation supplier will be dependent upon the payment of all prior years assessments. N/A

23. **UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.

Exhibit C

JONATHAN D. PEELE
9550 Dublin Road
Suite C
Powell, Ohio 43065
Work: 614-884-5300 ext. 216
Cell: 614-348-0023

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SUMMARY: Accomplished executive with extensive experience managing people and resources in sales, marketing, customer service, and operations for regional and national organizations. Dynamic sales management style has created marked improvement in revenue, market share, profits and customer satisfaction. Consistently ranked top sales manager in the country.

EDUCATION: The Ohio State University (OSU); Columbus, OH
Human Ecology (3.2 GPA)

WORK EXPERIENCE: Aspen Energy Corporation, Powell, OH
President (October 2000-Present)

Reliant Energy, Columbus, OH
Regional Manager (October 1996-October 2000)

Responsibilities

- Manage and oversee all sales and administrative processes for regional office
- Train sales staff and monitor performance results relative to established goals and objectives
- Implement energy management programs to commercial, industrial and institutional users through natural gas sales in deregulated markets
- Recommend changes to local business goals or business tactics and processes based on changes in business environment
- Establish annual budgets, control budgets and meet EBIT on a quarterly basis
- Report and document sales deal information for monitoring and management of sales obligations and performance results, tracking accounts for changes in customer needs, *maintaining awareness of competitive environment*
- Keep abreast of gas marketing trends and current pricing strategies, develop sales objectives for assigned territory providing creative input into product and service innovation; understand LDC tariffs
- Handle recruitment hiring and termination of employees

Accomplishments

- Opened the first retail office for Reliant Energy; remained most profitable office in the country since inception
- Built and developed the Ohio office to 5,829 commercial customers with \$4,938,525 of booked profit and little advertising support
- Most profitable office in sales and sales management for four consecutive years
- Remained third largest marketer behind Columbia Gas of Ohio with market share (confirmed by Columbia Gas of Ohio)
- Marketed behind Columbia Gas of Ohio, East Ohio Gas, Cincinnati Gas & Electric and West Ohio Gas Citygates

**Enron Energy Services, Columbus, Ohio
Sales Representative (January 1996-October 1996)**

Responsibilities

- Conducted daily customer visits through cold calling to promote and sell natural gas and related energy products
- Required an understanding of customer needs; product and service offerings, proposed benefits, as well as negotiating sales and preparing contract documentation for accepted sales proposals

Accomplishments

- Most profitable sales representative in Ohio each month
- Generated more market share than any other sales rep in Ohio

**PaineWebber, Columbus, Ohio
Stock and Commodity Broker (1995-January 1996)**

**Merrill Lynch, Columbus, Ohio
Stock and Commodity Broker (1994-January 1995)**

**OTHER
EXPERIENCE:**

- 1995 Paine Webber's three week sales training in New Jersey
- 1996 Enron's two week sales and product training
- 1996 "Gorilla Sales Training" seminar
- 1997 "Mastering The Art of Selling" seminar with Tom Hopkins
- 1997 "How To Gain, Train & Maintain A Dynamic Sales Force" seminar with Tom Hopkins
- 1999 "How To Upgrade Your Sales Force in Less Than 30 Days" by Dave Hiatt & Dave Kurlan

Exhibit A-12 'Corporate Structure'

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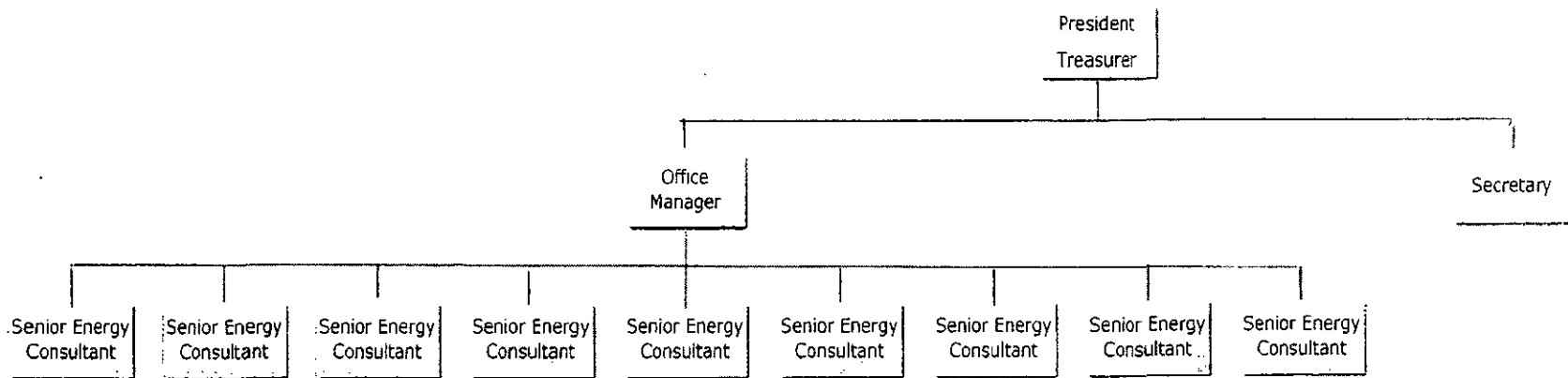


EXHIBIT C-7 Credit Report

Credit eValuator Report

Aspen Energy Corporation

9550 Dublin Rd Ste C
Powell, OH 43065
Phone: 614 884-5300
D-U-N-S Number: 01-720-7478

Report as of : September 15, 2009 **

Want the most **up-to-date** information? Upgrade to Auto-Refresh and keep this report current for a year.

Need more in-depth information and analysis? Upgrade to the Comprehensive Insight Plus Report and receive the credit for the amount of your evaluator purchase

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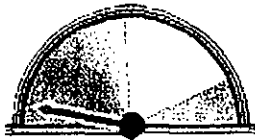
How much credit should you extend this business?
Upgrade to the Credit eValuator Plus and receive a Credit Limit Recommendation and Payment Trend information on this company

[Learn More](#)

[Upgrade Now](#)

**Included with this Credit eValuator Report are continuous tracking of key business changes and free Alert messages in the View My Reports/Alerts page. You can also choose to receive e-mail notifications of the important changes. IMPORTANT NOTE: You will not receive e-mail alerts if you have opted out of receiving communications from D&B.

Risk Summary



Lower Risk Higher Risk

Risk of Late Payment

Risk of late payment is based on the following prioritized factors in addition to other information in D&B's files:

- No factors available

Indications of slowness can be the result of disputes over merchandise, skipped invoices, etc.



Unchanged

Payment Performance Trend

The payment performance trend for this company is Unchanged. The most recent payment information in D&B's files is:

- Payments currently: on terms.
- Payments 3 months ago: on terms.
- Industry average: on terms.

*Note: Payments to suppliers are averaged weighted by dollar amounts.

Company Profile

Chief Executive:
Type of business:

Jonathan Peele, President
Corporation

Line of business:
Commodity Contracts

29

E.A.B. Co.

Years in business: 9 Brokers, Dealers
Annual Sales: \$517,000
Employees total: 5

Legal Filings and Other Important Information

Bankruptcies: None
Judgments: None
Liens: None
Suits: None

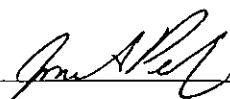
Negative Payment Experiences: None
Payments Placed for Collection: None

Need more in-depth information and analysis? Upgrade to the Comprehensive Insight Plus Report and receive the credit for the amount of your evaluator purchase

This report is prepared and provided under contract for the exclusive use of Jonathan Peele, Aspen Energy Corp.

This report may not be reproduced in whole or in part by any means of reproduction.

24. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
- a. Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
 - b. The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
 - c. Applicant shall report to the Commission the following information on an annual basis:
 - the percentages of total electricity supplied by each fuel source
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.**
25. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.
26. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.
27. **FEE:** The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

Applicant: 
By: Jonathan Peelo
Title: President

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

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[Commonwealth/State] of Ohio :

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

County of Delaware :

Jonathan Peele, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Aspen Energy Corporation (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Aspen Energy Corporation, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Aspen Energy Corporation, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Aspen Energy Corporation, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That Aspen Energy Corporation, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2). §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

[Handwritten Signature]
Signature of Affiant

Sworn and subscribed before me this 28 day of sep, 192010.



REKHA JOON
Notary Public, State of Ohio
My Commission Expires Aug. 24, 2014

[Handwritten Signature]
Signature of official administering oath

My commission expires Aug 24, 2014.

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SECRETARY'S BUREAU

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OCT 8 2010

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

[Commonwealth/State] of Ohio :

County of Delaware :

Jonathan Peele, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Aspen Energy Corporation (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Aspen Energy Corporation, the Applicant herein certifies that it has caused the notice of the filing of its electric generation license application to be published in the following newspapers on

(date)

A copy of the notice as it appeared in each of the above newspapers is attached. Noted on each copy is the newspaper section (name, number or letter), if applicable, and the page number on which the notice appeared.

That Aspen Energy Corporation, the Applicant will submit to the Commission the proof of publication from each newspaper in which notice of the application filing was published as soon as it is available.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

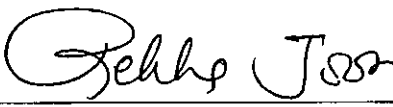


Signature of Affiant

Sworn and subscribed before me this 28 day of Sep, 2010.



REKHA JOON
Notary Public, State of Ohio
My Commission Expires Aug. 24, 2014



Signature of official administering oath

My commission expires Aug 24, 2014

AFFIDAVIT

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OCT 8 2010

ss.

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

[Commonwealth/State] of Ohio :

County of Delaware :

Jonathan Peele, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Aspen Energy Corporation (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]


That the Applicant herein Aspen Energy Corporation has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Aspen Energy Corporation has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Aspen Energy Corporation acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Aspen Energy Corporation acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



Signature of Affiant

Sworn and subscribed before me this 28 day of Sep, 192010.



REKHA JOON
Notary Public, State of Ohio
My Commission Expires Aug. 24, 2014



Signature of official administering oath

My commission expires Aug 24, 2014.

APPENDIX A

License Item Number 18, Page 7

Page 1 of 2

Electric Generation Supplier License Bonds

Bonds submitted in order to satisfy the licensing process must comply with the following:

The bond in the amount of \$10,000 should name the Pennsylvania Public Utility Commission as the obligee or beneficiary, and should contain the following language:

This bond is written in accordance with Section 2809(c)(1)(i) of the Public Utility Code, 66 Pa. C.S. § 2809(c)(1)(i), to assure compliance with applicable provisions of the Public Utility Code, 66 Pa. C.S. §§101, et seq., and the rules and regulations of the Pennsylvania Public Utility Commission by the Principle as a licensed electric generation supplier; to ensure the payment of Gross Receipts Tax as required by Section 2810 of the Public Utility Code, 66 Pa. C.S. § 2810; and to ensure the supply of electricity at retail in accordance with contracts, agreements or arrangements. Payment of claims shall have the following priority: (i) The Commonwealth; (ii) Electric Distribution Companies for the reimbursement of Gross Receipts Tax; and (iii) Private individuals. Proceeds of the bond may not be used to pay any penalties or fines levied against the Principal for violations of the law, or for payment of any other tax obligations owed to the Commonwealth.

The original and two copies of the bond must be submitted to the Office of the Secretary, Pa. Public Utility Commission, P.O. Box 3265, Harrisburg, PA 17105-3265. An approved copy of the bond will be returned with a letter acknowledging receipt of the bond. The entry date of the Commission's Order will be the effective date for the license.

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**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**

APPENDIX B

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COMMONWEALTH OF PENNSYLVANIA PUBLIC UTILITY COMMISSION

TAX CERTIFICATION STATEMENT

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 8 1/2" x 11" paper. Type or print all information requested.

1. CORPORATE OR APPLICANT NAME Aspen Energy Corporaton 2. BUSINESS PHONE NO. (614)884-5300 CONTACT PERSON(S) FOR TAX ACCOUNTS: Jonathan Peele

3. TRADE/FICTITIOUS NAME (IF ANY)

4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BOX NO.) (POST OFFICE) STATE (ZIP) 9550 Dublin Road, Suite C, Powell, OH 43065

5. TYPE OF ENTITY [] SOLE PROPRIETOR [] PARTNERSHIP [X] CORPORATION

8. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICER(S)

Table with 2 columns: NAME (PRINT) and SOCIAL SECURITY NUMBER (OPTIONAL). Row 1: Jonathan Peele, [][][]-[][][]-[][][][][][][]

9. LIST THE FOLLOWING STATE TAX IDENTIFICATION NUMBERS. (ALL ITEMS: A, B, AND C MUST BE COMPLETED)

A. SALES TAX LICENSE (3 DIGITS) APPLICATION PENDING [] N/A [X] B. EMPLOYER ID (EIN) (9 DIGITS): APPLICATION PENDING [] N/A [] C. CORPORATE BOX NUMBER (7 DIGITS) APPLICATION PENDING [] N/A []

10. Do you have PA employes either resident or non-resident? [] YES [X] NO 11. Do you own any assets or have an office in PA? [] YES [X] NO

NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS PA SALES AND USE TAX Jonathan Peele EMPLOYER TAXES Jonathan Peele CORPORATE TAXES Jonathan Peele PHONE 614-884-5300

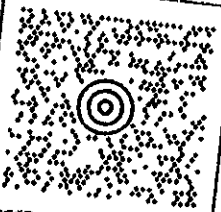
Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

JOHN PEELE
(614) 884-5300
THE UPS STORE #3485
3982 POWELL RD
POWELL OH 43065

2 LBS 1 OF 1
SHP WT: 2 LBS
DATE: 07 OCT 2010

SHIP JAMES J. MCNULTY, SECRETARY
TO: KEYSTONE BUILDING
2ND FLOOR ROOM N201
400 NORTH ST

HARRISBURG PA 17120-0200



PA 171 9-20



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TRACKING #: 1Z 67X 5R6 03 4282 8448



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TO: MCNULTY, J. PUC (MCNL)
Agency: PUC
Floor:
External Carrier: UPS



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