



Duke Energy Retail Sales, LLC  
139 E. Fourth Street  
Cincinnati, OH 45202

November 2, 2010

James J. McNulty, Secretary  
State of Pennsylvania  
Commonwealth Keystone Building  
400 North Street  
2<sup>nd</sup> Floor, Room N201  
Harrisburg, PA 17120


Re: Application as Electric Generation Supplier by Duke Energy Retail Sales, LLC  
Docket No. A-2010-2199236

Dear Mr. McNulty:

I have enclosed the original and three copies of the revised Tax Certification Statement of Duke Energy Retail Sales, LLC for filing as part of its Pennsylvania Retail License Application. This filing replaces and supersedes the earlier version of this document filed on or about October 27, 2010 in this proceeding.

Please contact me at (513) 419-5951 if you have any questions or concerns regarding this license application.

Very truly yours,

  
John J. Finnigan, Jr.  
Account Executive

**RECEIVED**

NOV 02 2010

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

COMMONWEALTH OF  
PENNSYLVANIA  
PUBLIC UTILITY COMMISSION

TAX CERTIFICATION  
STATEMENT

RECEIVED

NOV 02 2010

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 8 1/2" x 11" paper. Type or print all information requested.

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

1. CORPORATE OR APPLICANT NAME Duke Energy Retail Sales, LLC		2. BUSINESS PHONE NO. (980) 373-5223 CONTACT PERSON(S) FOR TAX ACCOUNTS: Cooper Monroe	
3. TRADE/FICTITIOUS NAME (IF ANY) N/A			
4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BOX NO.) (POST OFFICE) STATE (ZIP) 139 East Fourth Street, Room EA 600, Cincinnati, Ohio 45202			
5. TYPE OF ENTITY <input type="checkbox"/> SOLE PROPRIETOR <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> CORPORATION x <input checked="" type="checkbox"/> LLC <input type="checkbox"/> OTHER (Describe...)			

6. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICERS(S)

NAME (PRINT) Barry W. Wood, Jr. - Vice President Rick G. Beach - Assistant Treasurer M. Allen Carrick - Assistant Treasurer	SOCIAL SECURITY NUMBER (OPTIONAL) [ ] [ ] [ ] - [ ] [ ] [ ] - [ ] [ ] [ ] [ ] [ ] [ ]
NAME (PRINT) Steven K. Young - Chief Financial Officer, VP & Comptroller Donna T. Council - Assistant Treasurer Stephen G. De May - Treasurer	SOCIAL SECURITY NUMBER (OPTIONAL) [ ] [ ] [ ] - [ ] [ ] [ ] - [ ] [ ] [ ] [ ] [ ] [ ]
NAME (PRINT) Kodwo Ghartey-Tagoe - VP & Assistant Secretary Sherwood Lee Love - Assistant Treasurer	SOCIAL SECURITY NUMBER (OPTIONAL) [ ] [ ] [ ] - [ ] [ ] [ ] - [ ] [ ] [ ] [ ] [ ] [ ]
NAME (PRINT) L. Gwen Pate - VP & Assistant Comptroller David S. Maltz - Secretary Teresa M. O'Neill - Assistant Secretary	SOCIAL SECURITY NUMBER (OPTIONAL) [ ] [ ] [ ] - [ ] [ ] [ ] - [ ] [ ] [ ] [ ] [ ] [ ]
NAME (PRINT) Robert J. Ringel - Assistant Secretary Paul G. Smith - Vice President Charles R. Whitlock - President	SOCIAL SECURITY NUMBER (OPTIONAL) [ ] [ ] [ ] - [ ] [ ] [ ] - [ ] [ ] [ ] [ ] [ ] [ ]

7. LIST THE FOLLOWING STATE & FEDERAL TAX IDENTIFICATION NUMBERS (ALL ITEMS A, B, & C MUST BE COMPLETED)  
Applicant must provide explanation if submitting N/A for any items

Item A - Designated by the Pennsylvania Department of Revenue.  
Item B - Designated by the Internal Revenue Service.  
Item C - Designated by the Pennsylvania Department of Revenue. The Corporate Box number may also be referred to as the Corporate Account number.

<b>A. SALES TAX LICENSE (8 DIGITS)</b> [ 8 ] [ 5 ] - [ 2 ] [ 4 ] [ 2 ] [ 6 ] [ 8 ] - [ 2 ] APPLICATION PENDING <input type="checkbox"/> N/A <input type="checkbox"/>	<b>C. CORPORATE BOX NUMBER (7 DIGITS)</b> [ 2 ] [ 8 ] [ 8 ] [ 6 ] [ 1 ] [ 9 ] [ 6 ] APPLICATION PENDING <input type="checkbox"/> N/A <input type="checkbox"/>
<b>B. EMPLOYER ID (EIN) (9 DIGITS)</b> [ 3 ] [ 7 ] - [ 1 ] [ 4 ] [ 8 ] [ 4 ] [ 2 ] [ 5 ] [ 0 ] APPLICATION PENDING <input type="checkbox"/> N/A <input type="checkbox"/>	

8. Do you have PA employees; resident or non-resident?  YES x NO

9. Do you own any assets or have an office in PA?  YES x NO

NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS

Cooper Monroe PA SALES AND USE TAX	Sharon Walker EMPLOYER TAXES	Cooper Monroe CORPORATE TAXES
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PHONE (980) 373-5223      PHONE (704) 382-9539      PHONE (980) 373-5223

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

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2. **Fold the printed label at the solid line below.** Place the label in a UPS Shipping Pouch. If you do not have a pouch, affix the folded label using clear plastic shipping tape over the entire label.
3. **GETTING YOUR SHIPMENT TO UPS Customers without a Daily Pickup**  
Schedule a same day or future day Pickup to have a UPS driver pickup all your CampusShip packages.  
Hand the package to any UPS driver in your area.  
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To find the location nearest you, please visit the Resources area of CampusShip and select UPS Locations.

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JOHN FINNIGAN 513-419-5950 DUKE ENERGY / ATRIUM TWO 221 E. FOURTH STREET CINCINNATI OH 45202	0.0 LBS LTR	1 OF 1
<b>SHIP TO:</b> JAMES J. MCNULTY, SECRETARY STATE OF PENNSYLVANIA 2ND FLOOR, ROOM N201 400 NORTH STREET COMMONWEALTH KEYSTONE BUILDING HARRISBURG PA 17120-0200		
	<b>PA 171 9-20</b> 	
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