



November 14, 2010

COMMONWEALTH OF PENNSYLVANIA PENNSYLVANIA PUBLIC UTILITY COMMISSION P.O. BOX 3265, HARRISBURG, PA 17105-3265 RECEIVED

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

To Whom it May Concern,

Enclosed is our Application Form for Parties Wishing to Offer, Render, Furnish, or Supply Electricity or Electric Generation Services to the Public in the Commonwealth of Pennsylvania. We are a small brokerage and we will not be taking title to any gas or electricity, nor will we be collecting any receipts, Sales tax or Gross Receipts tax from customers.

Thank you for your consideration. Below is a list of the attached Exhibits for your ease of reference. If anything was overlooked, please contact me and I will forward the missing information.

Exhibit 1: Proof of compliance with appropriate Dept of State filing requirements.

Exhibit 2: Articles of Incorporation

Exhibit 3: Compliance Exhibit 1

Exhibit 4: Compliance Exhibit 2

Exhibit 5: Bond original and copy [I am filing for a modification to the \$250,000 Bond requirement as I do not take title to any electricity, nor will I be collecting any money or paying any bills on behalf of my customers.]

Exhibit 6: Current Balance Sheet

Exhibit 7: Current Bank Account Statement

Exhibit 8: Tax Certification Statement

Exhibit 9: Biography and related material

Exhibit 10: Principle's Resume

Exhibit 11: Application Affidavit

Exhibit 12: Operations Affidavit

Exhibit 13a-g: 7 Newspaper Publications Proofs

Exhibit 14: LSE contract with Washington Gas Energy

Exhibit 15: Proof of Service Certificate

Thank you for considering Tobelmann Energy Brokers, Inc.'s application for this license.

Regards

John Tobelmann

President

Tobelmann Energy Brokers, Inc.

5401 Lister Court

Chester Springs, PA 19425

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of _	Tobelmann Energy Brokers, Inc. , d/b/a	, for approval to offer,
	or supply electricity or electric generation services as a(n)	Broker/Marketer to the public in the
Commonwealth	n of Pennsylvania (Pennsylvania).	

To the Pennsylvania Public Utility Commission:

1. <u>IDENTIFICATION AND CONTACT INFORMATION</u>

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

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Tobelmann Energy Brokers, Inc. 5401 Lister Court Chester Springs, PA 19425 www.tobelmann.net 610-639-1406

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

- b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania. N/A
- c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

John Tobelmann
President
Tobelmann Energy Brokers, Inc.
5401 Lister Court
Chester Springs, PA 19425
john@tobelmann.net
610-639-1406
Fax- 484-204-0618

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Joann L Drust, Esq Transition Strategies, LLC 88 Militia Hill Drive Wayne, PA 19087 610-640-5373 610-640-3736 fax idrust@comcast.net

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

John Tobelmann
President
5401 Lister Court
Chester Springs, PA 19425
john@tobelmann.net
610-639-1406
Fax- 484-204-0618

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a.	FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.)
	The Applicant will be using a fictitious name or doing business as ("d/b/a")
	Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.
	Or ☐ The Applicant will not be using a fictitious name.
b.	BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)
	The Applicant is a sole proprietor.
	 If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.
	or
	The Applicant is a:
	domestic general partnership (*) domestic limited partnership (15 Pa. C.S. §8511) foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201) foreign limited liability general partnership (15 Pa. C.S. §8211) foreign limited liability limited partnership (15 Pa. C.S. §8211)
	 Provide proof of compliance with appropriate Department of State filing requirements as indicate above.
	 Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
	 * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

x The Applicant is a:

domestic corporation (15 Pa. C.S. §1308)
foreign corporation (15 Pa. C.S. §4124)
domestic limited liability company (15 Pa. C.S. §8913)
foreign limited liability company (15 Pa. C.S. §8981)
Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Attached Exhibit 1
- Provide the state in which the business is incorporated and provide a copy of the Applicant's Articles of Incorporation. PA –attached Exhibit 2
- Give name and address of officers.

John Tobelmann
President
Tobelmann Energy Brokers, Inc.
5401 Lister Court
Chester Springs, PA 19425
john@tobelmann.net
610-639-1406
Fax- 484-204-0618

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

N/A, the applicant has no affiliates doing business, nor any applying to do so.

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

N/A, the applicant does not have any predecessors.

4. **OPERATIONS**

a.	API	PLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)
		The Applicant is presently doing business in Pennsylvania as a
<u>Čurr</u> en	illy m	municipal electric corporation electric cooperative local gas distribution company provider of electric generation, transmission or distribution services X Other; Identify the nature of service being rendered. arketing electricity trying to secure clients for the Jan 2011 when the rate caps come off.
		or
		The Applicant is not presently doing business in Pennsylvania.
b.	API	PLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (may check multiple):
		Generator of electricity Supplier of electricity Aggregator engaged in the business of supplying electricity Broker/Marketer engaged in the business of supplying electricity services Electric Cooperative and supplier of electric power Other (Describe):
		<u>Definitions</u>
		 Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC. Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers. Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.
c.		DPOSED SERVICES: Describe in detail the electric services or the electric generation services which the elicant proposes to offer.
	wiji	licant will be providing options for Pennsylvania electricity users to switch to a 3 rd party supplier. Applicant provide quotes from competing suppliers and facilitate a supply contract between the end-user and the plier.
d.		OPOSED SERVICE AREA: Provide a list of each Electric Distribution Company for which the Applicant poses to provide service.
	PEC	O, PPL, Met-Ed, Penelec, Penn Power, Duquesne, UGI

e.	CUSTOMERS: Applicant proposes to provide services to:					
	00000:x0	Residential Customers Small Commercial Customers - (25 kW and Under) Large Commercial Customers - (Over 25 kW) Industrial Customers Governmental Customers All of above Other (Describe):				
f.	PROP	OSED MARKETING METHOD (check all that apply)				
	×000 0	Internal – Applicant will use its own internal resources/employees for marketing External EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer Affiliate – Applicant will use a NON-EGS affiliate marketing company and or individuals. External Third-Party – Applicant will contract with a NON-EGS third party marketing company and or individuals Other (Describe):				
g.	DOOR	TO DOOR SALES: Will the Applicant be implementing door to door sales activities?				
	XX	Yes No				
		If yes, will the Applicant be using a Third Party Verification procedure?				
	0	Yes No If yes, describe the Applicant's Third Party Verification procedures.				
h.		DATE: Provide the approximate date the Applicant proposes to begin services within the powealth. 01/01/2011				

5. <u>COMPLIANCE</u>

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

See Exhibit 3

Closed Civil Litigation: National Business Adjusters, Inc. and Gary Morgan v. NuEnergy Group, Inc., Paul Hydok and John Tobelmann; US District Court, District of NJ, Docket No. 06-698, appealed to Third Circuit Court of Appeals, Docket No. 08-3606.

b. SUMMARY: If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

See Exhibit 4

Plaintiffs filed litigation against John Tobelmann (person identified in this Application) individually in his capacity as bookkeeper/borrowed servant of NuEnergy Group and Paul Hydok, owner of NuEnergy. Plaintiffs alleged non-payment of earned commissions. On July 28, 2008, US District Court Judge Sheridan granted the defendants' Motions for Summary Judgment, including the Motion of John Tobelmann, and dismissed all of Plaintiffs' claims against him. (A copy of Judge Sheridan's order is attached). Plaintiffs appealed Judge Sheridan's dismissal order to the Third Circuit where the matter was placed before Mediator Penny Conly Ellison for resolution. Through Mediator Conly Ellison, the parties achieved full and final settlement of the claims, and the matter was dismissed with prejudice on September 4, 2009 (a copy of the dismissal order is also attached). Per its terms, the Settlement Agreement is confidential.

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

Applicant has no ACTIONS OR COMPLAINTS to list

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

6. PROOF OF SERVICE

(Appendix 15)

a.) STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

b.) EDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, aprovide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Allegheny Power:

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Duquesne Light:

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

Met-Ed, Penelec, and Penn Power:

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company:

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

Wellsboro Electric Company:

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

PECO:

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

PPL:

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

UGI:

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Pike County Light & Power Company:

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

7. FINANCIAL FITNESS

a.		NDING: In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or er instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant
		Furnishing the original (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
١		Furnishing the original (along with copies) of another initial security for Commission approval, to ensure financial responsibility.
ź	ξ.	Filing for a modification to the \$250,000 requirement and furnishing the original (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is

- initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.
 - At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.
 - Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.
 - Any deviation from these examples must be identified in the application and may not be acceptable to the Commission. See Exhibit 5
- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
 - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
 - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K).
 (SEC/EDGAR web addresses are sufficient)
 - Applicant's accounting statements, including balance sheet and income statements for the past two years. Recently hired accounting firm to perform these tasks. Attached is a statement. Exhibit 6
 - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
 - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
 - Audited financial statements exhibiting accounts over a minimum two year period.
 - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness. Bank Account Statement attached - Exhibit 7.
- c. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

John Tobelmann – President - 5401 Lister Court Chester Springs, PA 19425 john@tobelmann.net phone: 610-639-1406 Fax: 484-204-0618

d. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

Attached - Exhibit 8

Tax certification explanation for N/A answer to Question 7a.

Tobelmann Energy Brokers, Inc. does not have a Sales and Use tax ID, because Tobelmann Energy Brokers, Inc. will not be collecting payments from customers, including sales tax.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a.) EXPERIENCE, PLAN, STRUCTURE: such information may include: See Attached Exhibit 9
 - Applicant's previous experience in the electricity industry. See Attached Bio
 - Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
 No other licenses.
 - Type of customers and number of customers Applicant currently serves in other jurisdictions.
 TEB has 25 restaurants, high rises and nursing home customers in New York and Connecticut
 - Staffing structure and numbers as well as employee training commitments.
 John Tobelmann is the only employee. He subcontracts sales reps [currently 4 companies]

Business plans for operations within the Commonwealth.
 1099 Sales reps will be supplying leads and closing deals. John will be procuring the pricing and contracts and preparing the proposals.

- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth. No memberships. John has sufficient experience and competency.
- Any other information appropriate to ensure the technical capabilities of the Applicant.
 See Attached Bio.
- b.) OFFICERS: Identify Applicant's chief officers including names and their professional resumes.

 See Attached resume. Only one Officer/employee [John Tobelmann]-Exhibit 10

c.)	FERC	FILING: Applicant has:
		Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
		Received approval from FERC to be a Power Marketer at Docket or Case Number
	X	Not applicable

9. <u>DISCLOSURE STATEMENT:</u>

- a. Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.
 - Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated.
 Penalties and procedures for ending contracts should be clearly communicated.

N/A: Tobelmann Energy Brokers will not have any contracts with any customers.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either: N/A
 - proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

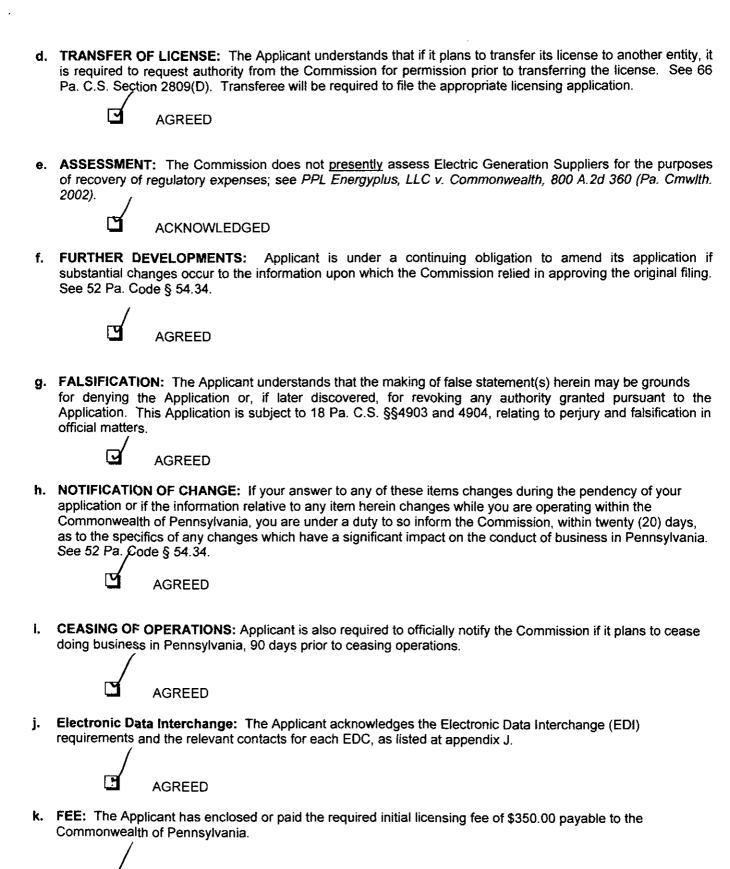
(Select	only one of the following)
⊴	AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10). Exhibit 14
	AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
ם	ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.



- **c. REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
 - Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201—54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
 - Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
 - The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
 - Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
 - Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.





PAYMENT ENCLOSED

11. AFFIDAVITS

- a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A. Exhibit 11
- b.) OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B. Exhibit 12

12. NEWSPAPER PUBLICATIONS Exhibit 13a-g

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

		Duquesne	Met Ed	PECO	Penelec	Penn Power	PPL	<u>UGI</u>	West Penn	Entire Commonwealth
a	Philadelphia Daily News		X	Х			х			x
b	Harrisburg Patriot- News		Х		Х		х		х	х
C	Scranton Times Tribune		Х		×		х	Х		X
d	Williamsport Sun Gazette				X		Х		Х	Х
e	Johnstown Tribune Democrat				Х				Х	X
F	Erie Times-News				Х	Х				Х
9	Pittsburgh Post-Gazette	X				X			X	X

(Example Publication is provided at Appendix H)

13. SIGNATURE

Applicant:: JOBELMANN	ENERGY	BROKERS, INC
By: John Jobel	-	
Title: PRESIBENT		

CHECKLIST 14.

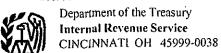
For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: TOBELMANN ENERGY BROKERS, INC.

	/	Signature						
		Filing Fee						
,	/	<i>≦xHiBiT</i> // Application Affidavit						
Use	V	EXHIBIT 12 Operations Affidavit						
Applicant's Use	V	Extibits Proof of Publication 13a-g						
App		Exhibit 5 Bond or Letter of Credit						
	V	Ahibit & Tax Certification Statement						
	V	Commonwealth Department of State Verification						
	/	Exh. 15 Certificate of Service						

IRS USE ONLY

17953-539-00942-9 264679562



011054.569817.0040.001 1 AT 0.357 532 ելլեն գլանել է բանականում է հայարարան և արտական արագահանի և հայարան և արագահանի և հայարան և հայարան և հայարան ա Notice Number: CP261 Date: June 8, 2009

For assistance, call:

1-800-829-0115

Taxpayer Identification Number:

26-4679562 Tax Form: Tax Period:

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NOV 1 7 2010

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU



Notice of Acceptance as an S Corporation

5401 LISTER CT

CHESTER SPRGS

TOBELMANN ENERGY BROKERS INC

We have accepted your election to be treated as an S corporation beginning January 1, 2009. Your accounting period will end in December.

19425-8764013

We would also like to take this opportunity to inform you of your tax obligations related to the payment of compensation to shareholder-employees of S corporations.

When a shareholder-employee of an S corporation provides services to the S corporation, reasonable compensation generally needs to be paid. This compensation is subject to employment taxes.

Tax practitioners and subchapter S shareholders need to be aware that Revenue Ruling 74-44 states that the Internal Revenue Service (IRS) will re-characterize small business corporation dividends paid to shareholders as salary when such dividends are paid to the shareholders in lieu of reasonable compensation for services.

The IRS may also re-characterize distributions other than dividend distributions as salary. This position has been supported in several recent court decisions.

If you have any questions about this notice or the action we have taken, please call us at the telephone number listed above. If you prefer, you may write to us at the address shown at the top of this notice. If you write to us, please provide your telephone number and the most convenient time for us to call so we can resolve-your inquiry. Please return the bottom part of this notice to help us identify your case.

For tax forms, instructions and information visit www.irs.gov. (Access to this site will not provide you with your specific taxpayer account information.)

PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU Domestic Signature Form

Document must be completed and mailed to the address listed below.

Department of State Corporation Bureau P.O. Box 8722 Harrisburg, PA 17105-8722 (717) 787-1057

- 1. The enterprise structure is:

 Corporation
- 2. The enterprise legal name is: Tobelmann Energy Brokers, Inc.
- 3. The enterprise's fictitious name is:

IN TESTIMONY WHEREOF, the undersigned have caused this application to be executed this // day of February 2009.

Individual Signature of John Tobelmann

OF TENTANDOTTE

lar til

FEB 17 2009

To avoid any delay or rejection, signature form(s) should be received within 7-10 days of the registration submission date.

COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU 206 NORTH OFFICE BUILDING P.O. BOX 8722 HARRISBURG, PA 17105-8722 WWW.CORPORATIONS.STATE.PA.US/CORP

Tobelmann Energy Brokers, Inc.

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT <u>WWW.CORPORATIONS.STATE.PA.US/CORP</u> OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS. PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 3863450

Tobelmann, John 5401 Lister Court Chester Springs, PA 19425

Commonwealth of Pennsylvania DEPARTMENT OF REVENUE

BUREAU OF CORPORATION TAXES PO BOX 280705 HARRISBURG PA 17128-0705

AUG 23 2010



TOBLEMANN ENERGY BROKERS INC 5401 LISTER CT CHESTER SPRGS PA 19425 Corporate Account No. Fiscal Year End

0252-516 DEC

Federal EIN #

DI

Incorporation-Authority Date

02-17-2009

NAICS

7389

Taxes Subject

AA

CAPITAL STOCK LOANS

CORPORATE NET INCOME

Dear Taxpayer:

Welcome to Pennsylvania's business community. The Department of Revenue has been advised that you are authorized to conduct business within the Commonwealth of Pennsylvania.

A Corporate Account Number (file number) has been assigned for tax reporting purposes and is listed above. Please reference this number on all correspondence. The types of taxes that you are subject to report annually are also listed. Carefully review this information and make sure that your name, address and other tax information is complete and accurate. If no Federal Employer Identification Number (EIN) is indicated above, please provide this number as soon as it is available to you from the Federal Government. You should write the Federal EIN in the top right hand corner in the blank space and return this letter to us. If there are changes or additions, make the appropriate adjustments on a copy of this letter and return it promptly to:

PA Department of Revenue Processing Division-Registry Unit PO BOX 280705 Harrisburg PA 17128-0705

PLEASE NOTE: Section 601 of the Tax Reform Code of 1971 includes Limited Liability Companies and Business Trusts in the definition of a "corporation" for Capital Stock/Foreign Franchise Tax purposes, regardless of how the entity files with the IRS. Under Section 401, any entity that elects to file as a corporation with the IRS is subject to Corporate Net Income Tax. In the case of Limited Liability Companies and Business Trusts, this determination will be made at the settlement of the PA Corporation Tax Report.

Pay particular attention to the month your business or fiscal year ends for the following reasons:

- * For most taxes, the annual report must be filed within 105 days after the close of your tax year.
- * For Capital Stock, Foreign Franchise, Corporate Net Income, and Mutual Thrift Taxes, your first quarterly estimated payments are due within 75 days following the incorporation/authority date.
- * A Federal Sub-Chapter S Corporation desiring not to be taxed as a PA S Corporation is required to file form REV-976. REV-976 must be filed on or before the due date or extended due date of the first tax period for which it is to be in effect. REV-976 is available at www.revenue.pa.us.

Entity #: 3863450 Date Filed: 02/17/2009 Pedro A. Cortés Secretary of the Commonwealth

 $extstyle{ iny Exhibit}$

Z

ST, PAO4B

From:

pao4b@state.pa.us

Sent:

Monday, February 16, 2009 3:13 PM

To:

ST. PAO4B

Subject: PAO4B New Registration 246 260

PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU Articles of Incorporation-For Profit

RECEIVED

NOV 1 7 2010

x Business-stock (§ 1306) Business-nonstock (§ 2102)

Business-statutory close(§ 2303)

Management (§ 2703) Professional (§ 2903)

Cooperative(§ 7102)

Insurance (§ 3101)

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Document will be returned to the name and address you enter below.

John Tobelmann Address 5401 Lister Court Address

City

State Zip Code

Chester Springs

19425

Email:

jtobelmann@comcast.net

Fee: \$125

Commonwealth of Pennsylvania ARTICLES OF INCORPORATION 2 Page(s)



In compliance with the requirements of the applicable provisions (relating to corporation and unincorporated associations), the under desiring to incorporate a corporation for profit, hereby states that:

The name of the corporation (corporate designator required, i.e., "corporation", "incorporated", "limited" "company" or any abbreviation. "Professional corporation" or "P.C."):

Tobelmann Energy Brokers, Inc.

The (a) address of this corporation's current registered office in this Commonwealth (post office box, alone, is not acceptable; name of its commercial registered office provider and the county of venue is:

(a) Number and Street

City

State Zip County

5401 Lister Court

Chester Springs

19425

15

(b) Name of Commercial Registered Office

County

- The corporation is incorporated under the provisions of the Business Corporation Law of 1988.
- The aggregate number of shares authorized:

100

The name and address, including number and street, if any, of each incorporator (all incorporators must sign below): Address(es)

John Tobelmann

5401 Lister CourtChester SpringsPA19425

The specified effective date, if any: 01

Day

01 Month

2009

Year hour, if any U9125

- Additional provisions of the articles, if any, attach an 8½ x 11 sheet.
- Statutory close corporation only: Neither the corporation nor any shareholder shall make an offering of any of its shares of any

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY

NATIONAL BUSINESS ADJUSTERS, INC., et al.,

Civil Action No. 06-698 (PGS)

RECEIVED

ORDER

NOV 1 7 2010

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Plaintiffs,

v.

NUENERGY GROUP, INC., et al.,

Defendants.

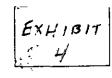
This matter comes before the Court on Defendants' motions for summary judgment and Plaintiffs' motion for leave to file an amended and supplemental complaint and for partial summary judgment. The Court, having considered the parties' submissions, and for the reasons set forth in the record on July 25, 2008, and for good cause having been shown,

IT IS on this 28th day of July 2008 hereby

ORDERED that the motions for summary judgment submitted by Defendants NuEnergy
Group, Inc. and Paul Hydok and by Defendant John Tobelmann are both granted, and that
Plaintiffs' motion for leave to file an amended and supplemental complaint and for partial
summary judgment is denied. Plaintiffs' Complaint is dismissed with prejudice.

July 28, 2008

PETER G. SHERIDAN, U.S.D.J.



UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT

08-3606

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National Business Adjusters, I, et al v. Nuenergy Grp Inc, et al

NOV 1 7 2010

2-06-cv-00698

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

ORDER

In accordance with the agreement of the parties in the above-captioned case, the matter is hereby dismissed pursuant to Fed. R. App. P. 42(b), without cost to either party. A certified copy of this order is issued in lieu of a formal mandate.

For the Court,

Marcia M. Waldron, Clerk

Marcia M. Waldron

Dated: September 4, 2009

trj/cc: Joann L. Drust, Esq. Susan G. Durant, Esq.

James P. Manahan, Esq.

GREAT AMERICAN INSURANCE COMPANY®

Administrative Office: 580 WALNUT STREET • CINCINNATI, OHIO 45202 • 513-369-5000 • FAX 513-723-2740

The number of persons authorized by this power of attorney is not more than one

POWER OF ATTORNEY

No 6872918

KNOW ALL MEN BY THESE PRESENTS: That the GREAT AMERICAN INSURANCE COMPANY, a corporation organized and existing under and by virtue of the laws of the State of Ohio, does hereby nominate, constitute and appoint the person or persons named below, each individually if more than one is named, its true and lawful attorney-in-fact, for it and in its name, place and stead to execute on behalf of the said Company, as surety, any and all bonds, undertakings and contracts of suretyship, or other written obligations in the nature thereof; provided that the liability of the said Company on any such bond, undertaking or contract of suretyship executed under this authority shall not exceed the limit stated below.

Name

Address

Limit of Power

Michael J. Herranen

22601 N 19th Avenue, Suite 210

\$10000----

Phoenix, AZ 85027-1326

This Power of Attorney revokes all previous powers issued on behalf of the attorney(s)-in-fact named above.

IN WITNESS WHEREOF the GREAT AMERICAN INSURANCE COMPANY has caused these presents to be signed and attested by its appropriate officers and its corporate seal hereunto affixed this 29th day of June 2009

Attest

GREAT AMERICAN INSURANCE COMPANY



My C. B.

Divisional Senior Vice President

DAVID C. KITCHIN (\$13-412-4602)

STATE OF OHIO, COUNTY OF HAMILTON - ss:

On this 29th day of June . 2009 , before me personally appeared DAVID C. KITCHIN, to me known, being duly sworn, deposes and says that he resides in Cincinnati, Ohio, that he is the Divisional Senior Vice President of the Bond Division of Great American Insurance Company, the Company described in and which executed the above instrument: that he knows the seal of the said Company; that the seal affixed to the said instrument is such corporate seal: that it was so affixed by authority of his office under the By-Laws of said Company, and that he signed his name thereto by like authority.



KAREN L. GROSHEIM NOTARY PUBLIC, STATE OF OHIO MY COMMISSION EXPIRES 02-20-11 Karen L. Grosheim

This Power of Attorney is granted by authority of the following resolutions adopted by the Board of Directors of Great American Insurance Company by unanimous written consent dated June 9, 2008.

RESOLPED: That the Divisional President, the several Divisional Senior Vice Presidents, Divisional Vice Presidents and Divisional Assistant Vice Presidents, or any one of them, be and hereby is authorized, from time to time, to appoint one or more Attorneys-in-Fact to execute on behalf of the Company, as surety, any and all bonds, undertakings and contracts of suretyship, or other written obligations in the nature thereof; to prescribe their respective duties and the respective limits of their authority; and to revoke any such appointment at any time.

RESOLVED FURTHER: That the Company seal and the signature of any of the aforesaid officers and any Secretary or Assistant Secretary of the Company may be affixed by facsimile to any power of attorney or certificate of either given for the execution of any bond, undertaking, contract of suretyship, or other written obligation in the nature thereof, such signature and seal when so used being hereby adopted by the Company as the original signature of such officer and the original seal of the Company, to be valid and binding upon the Company with the same force and effect as though manually affixed.

CERTIFICATION

I. STEPHEN C. BERAHA, Assistant Secretary of Great American Insurance Company, do hereby certify that the foregoing Power of Attorney and the Resolutions of the Board of Directors of June 9, 2008 have not been revoked and are now in full force and effect.

Signed and sealed this 5th

day of

October

2010



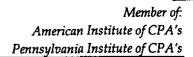
My L. C. B.

Assurant Secretar



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FXHIBIT

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

The Shareholders of Tobelmann Energy Brokers Inc. 5401 Lister Court Chester Springs, PA 19425

ACCOUNTANT'S COMPILATION REPORT

We have compiled the accompanying balance sheet of Tobelmann Energy Brokers Inc as of July 31, 2010 and the related statement of income for the period January 1 through July 31, 2010 in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants.

A compilation is limited to presenting in the form of financial statements information that is the representation of management. We have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or any other form of assurance on them.

Management has elected to omit substantially all disclosures and the statement of cash flows required by generally accepted accounting principles. If the omitted disclosures and statement of cash flows were included in the financial statements, they might influence the user's conclusions about the financial statements, results of operations, and cash flows. Accordingly, these financial statements are not designed for those who are not informed about such matters.

Michael P. Rudy, CPA

September 1, 2010

TOBELMANN ENERGY BROKERS INC Balance Sheet

As of July 31, 2010

	Jul 31, 10
ASSETS Current Assets Checking/Savings	
Checking	12,667.04
Total Checking/Savings	12,667.04
Accounts Receivable Trade	148,743.96
Total Accounts Receivable	148,743.96
Total Current Assets	161,411.00
Fixed Assets Furniture and Equipment Accumulated Depreciation	3,127.46 -625.49
Total Fixed Assets	2,501.97
TOTAL ASSETS	163,912.97
LIABILITIES & EQUITY Liabilities Current Liabilities Accounts Payable	24.247.00
Various Vendors	34,817.00
Total Accounts Payable	34,817.00
Total Current Liabilities	34,817.00
Long Term Liabilities Deferred Revenue	110,145.33
Total Long Term Liabilities	110,145.33
Total Liabilities	144,962.33
Equity Shareholder Draw Capital Stock Net Income	-22,649.22 1,000.00 40,599.86
Total Equity	18,950.64
TOTAL LIABILITIES & EQUITY	163,912.97

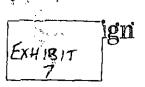
3. S.

TOBELMANN ENERGY BROKERS INC Profit & Loss

January through July 31, 2010

	Jan - Jul 10
Ordinary Income/Expense income	
Direct Commissions	4,458.20
Hess Commissions	21,212.19
Hudson Commissions	27,200.93
Spark Commissions	1,500.21
UGI Commissions	2,462.52
Total Income	56,834.05
Cost of Goods Sold	
Contract Labor	14,524.65
Total COGS	14,524.65
Gross Profit	42,309.40
Expense	
Depreciation Expense	625.49
Meals and Entertainment	100.00
Office Supplies	559.05
Travel Expense	425.00
Total Expense	1,709.54
Net Ordinary Income	40,599.86
Net Income	40,599.86

Sovereign is part of Santander, "Global Bank of the Year."*





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Statement Period 10/01/10 TO 10/31/10 Primary Account #: 251091953

For your convenience our Business Customer Contact Center is available Monday through Friday, 8:00 a.m. to 6:00 p.m. and Saturday, 8:00 a.m. to 5:00 p.m. Call us at 1-877-768-1145. www.sovereignbank.com

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70300

PAPHREIC UTILITY COMMISSION **DECRETARY'S BUREAU**

116302 4843 JOHN ROBERT TOBELMANN DBA **TOBELMAN CONSULTING** TOBELMANN ENERGY BROAKERS INC 5401 LISTER CT CHESTER SPRGS PA 19425-8764

Important Notice regarding Federal Tax Deposit Coupon Payments also known as TT&L Payments

For customers making tax payments using paper coupons you should have received notification from the IRS announcing the elimination of paper coupons and information on making your payments electronically or via voice response system. To comply with IRS regulatory changes, Sovereign will no longer accept Federal Tax Deposit Coupon payments beginning on December 1, 2010.

To avoid service interruptions and ensure timely payments please enroll in the free Electronic Federal Tax Payment Service. To learn more about the service, please visit the Government website www.EFTPS.gov or call EFTPS Customer Service at 1.800.555.4477.

FREE BUSINESS CHECKING

JOHN ROBERT TOBELMANN DBA **TOBELMAN CONSULTING** TOBELMANN ENERGY BROAKERS INC

Account # 251091953

Balances

Beginning Balance.	\$9,424.66	Ending Balance	\$8,569.32
Deposits/Credits	+ \$3,320.31	Average Daily Balance	\$9,078.31
Withdrawals/Debits	治院(175.65) 学 學		第200年新月里300万年

Checks Posted

Check #	Date Paid	Amount	Reference	Check #	Date Paid	Amount	Reference
1025	10/01	\$2,000.00	970972360	1028* : : f	10/28	\$2,000.00	993430445
1026	10/25	\$25.65	980970915	1029	10/28	\$150.00	993430450

4 Check(s) Posted = \$4,175.65

An asterisk (*) indicates a skip in sequential check numbers.

An (E) indicates check was converted to an electronic item.

Account Activity

Date	Description	Credits	Debits	Balance
	Beginning Balance			\$9,424.66
%10 - 01°	CHECK 1025		\$2,000.00	\$7,424.66
10-06		\$2,125.52		\$9,550.18
10-25	CHECK 1026		\$25.65	\$9,524.53
	DE BUSINESS LLC PAYA BLES NTE*2ZZ*909514_	\$1,194.79		\$10,719.32
	SEP10			





Account Activity (Cont. for Acct# 251091953)

Date	Description	Credits	Debits	Balance 1
10-28	CHECK 1028 11 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	ها داد ایاست دیبری دیبی ا آنهٔ اثر ادامی ایران ایران د	\$2,000.00	\$8,719,32
10-28	CHECK 1029		\$150.00	\$8,569.32
10-31	Ending Balance			\$8,569.32

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC TRANSFERS CALL OUR BUSINESS CUSTOMER CONTACT CENTER AT 1-877-768-1145 OR WRITE TO THE BANK

ATTN: BUSINESS CUSTOMER CONTACT CENTER

One Sovereign Way RIJ EPV 02 23

East Providence, RI 02915

Please contact us if you think your statement or receipt is wrong or if you need additional information about a transfer on the statement or receipt. We must hear from you no later than 30 days after we sent you the FIRST statement on which the error appeared.

Important information about your Sovereign Debit Card
The networks through which some of your Sovereign Debit Card purchases are processed have begun allowing merchants to process your purchases without either a signature or a PIN. If you are not required to enter your PIN when you make a purchase, your purchase may be processed either through the Visa network or through the STAR or NYCE networks. If your purchase is processed through STAR or NYCE, different terms apply and you will not be eligible for the rights and protections available through Visa. Please see your Business Deposit Account Agreement for more information.

Appendix F

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EXHIBIT 8

COMMONWEALTH OF PENNSYLVANIA PUBLIC UTILITY COMMISSION

PHONE

TAX CERTIFICATION STATEMENT

Nhy 1 7 2010

PHONE 610-639-1406

PA PUBLIC UTILITY COMMISSION

SECRETARY'S DUPLATE

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 81/2" x 11" paper. Type or print all information requested.) 639-1406 1. CORPORATE OR APPLICANT NAME 2. BUSINESS PHONE NO. (610 CONTACT PERSON(S) FOR TAX ACCOUNTS: John Tobelmann Tobelmann Energy Brokers, Inc. 3. TRADE/FICTITIOUS NAME (IF ANY) N/A 4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BOX NO.) (POST OFFICE) STATE) (ZIP) 5401 Lister Court Chester Springs 19425 PA SOLE PROPRIETOR 5. TYPE OF ENTITY PARTNERSHIP CORPORATION LLC OTHER (Describe...) 6. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICERS(S) NAME (PRINT) SOCIAL SECURITY NUMBER (OPTIONAL) John Tobelmann NAME (PRINT) SOCIAL SECURITY NUMBER SOCIAL SECURITY NUMBER NAME (PRINT) (OPTIONAL) SOCIAL SECURITY NUMBER NAME (PRINT) (OPTIONAL) SOCIAL SECURITY NUMBER NAME (PRINT) (OPTIONAL) 7. LIST THE FOLLOWING STATE & FEDERAL TAX IDENTIFICATION NUMBERS (ALL ITEMS A,B, & C MUST BE COMPLETED) Applicant must provide explanation if submitting N/A for any items Item A- Designated by the Pennsylvania Department of Revenue. Item B - Designated by the Internal Revenue Service. Item C - Designated by the Pennsylvania Department of Revenue. The Corporate Box number may also be referred to as the Corporate Account number. A. SALES TAX LICENSE (8 DIGITS) APPLICATION C. CORPORATE BOX NUMBER (7 DIGITS) APPLICATION PENDING N/A PENDING N/A \boxtimes П B. EMPLOYER ID (EIN) (9 DIGITS: APPLICATION PENDING N/A 8. Do you have PA employees; resident or non-resident? NO 🔀 9. Do you own any assets or have an office in PA? NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS N/A
PA SALES AND USE TAX John Tobelwann EMPLOYER TAXES

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

PHONE 610-639-1406



() (3.2)

Tax certification explanation for N/A answer to Question 7a.

Tobelmann Energy Brokers, Inc. does not have a Sales and Use tax ID, because Tobelmann Energy Brokers, Inc. will not be collecting payments from customers, including sales tax.



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NOV 1 7 2010

Powering Your Business

EXH 1817

PA PUBLIC UTILITY COMMISSION

Tobelmann Energy Brokers, Inc. Bio SEGRETARY'S BUREAU

January 2010

Tobelmann Energy Brokers, Inc. is an S Corp, incorporated in the State of Pennsylvania, January 1, 2009. Its primary business function is to procure energy products for small to mid-size commercial entities operating in the North East US. Energy products include Electricity, Natural Gas and Fuel Oil.

Tobelmann Energy Brokers is solely owned by John Tobelmann, an energy professional who has 18 years experience in energy consulting, operations management and energy channel sales.

Tobelmann Energy Brokers employs independent sales representatives to solicit new business. Total current contracted companies number 4, including approximately 10 total sales people.

Tobelmann Energy Brokers currently has 60 customers in the North East totaling approximately \$30 million in annual energy usage. Customers consist of Restaurants, Nursing Homes, Office Buildings and Hotels.

Tobelmann Energy Brokers has facilitated energy contracts for customers using the following ESCO's:

- o Hess Corporation
- o ConEdison Solutions
- o Hudson Energy Services
- Spark Energy Services
- Direct Energy/Strategic Energy
- o UGI Energy Services
- GDF Suez
- o CenterPoint Energy Services
- World Energy Exchange
- Washington Gas Energy Services

About the President

John Tobelmann graduated in 1985 with a BS degree in Quantitative Business Analysis from The Pennsylvania State University.

John has been procuring energy for customers since 1992. John was the Operations Manager for an energy procurement company for over a decade. The company sold electricity and natural gas for ConEd Solutions, Strategic Energy (now Direct Energy), Keyspan Energy (now part of National Grid), PSE&G Energy Technologies, Select Energy (now Hess) and Duke Energy and was originally NYAHSA's recommended energy procurer. Some of John's customer's have included Hilton Hotels of NYC, Silverstein Properties, Darden Restaurants, Helmsley Spear — including The Empire State Building to name just a few. By 2001, energy procurement customers used over \$350 Million in electricity annually. John also trained approximately 100 sales representatives in the process of energy procurement from 1998-2005.

In 2005, John started his own company and in 2009 incorporated under the name Tobelmann Energy Brokers. Because of their simplified approach, Tobelmann Energy Brokers has a distinguished portfolio of clients including Hotels, Medical Facilities, Restaurants, Metering Companies and other large commercial buildings.



Our Services

- Tobelmann Energy Brokers will provide advanced brokering services. From minimal information that we obtain from you, our customer, we will create an attractive package for our suppliers to bid on.
- TEB will compile the quotes, present them on your time table in an easy-to-understand format making your choice as painless as possible. We will present you with the supplier contract and review it with you in depth. If necessary, we will negotiate changes to the agreement that fit your needs.
- If your package is better suited for a reverse auction, TEB will facilitate that through World Energy Exchange, who developed the premier energy auction platform in the world.
- TEB will ensure your accounts are enrolled in the selected program. If you have any billing issues, TEB will help resolve them, rather than you dealing with an anonymous customer service representative.

"I have known Mr. John Tobelmann for almost 10 years. He has been my exclusive electricity and gas broker during this period. John has always taken the time to listen to my individual needs and to provide real solutions when required.

John has always been and will continue to be an invaluable source of professional resources to my company."

Joel Seiler, President

Consolidated Electric Meter Co., Inc.



Energy Procurement

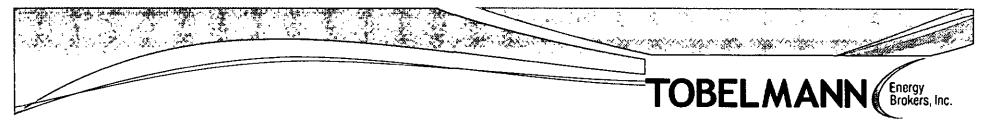
- Tobelmann Energy Brokers, Inc. procures Electricity, Natural Gas and Fuel Oil for commercial customers in all deregulated states with our highest concentration of clients in the Northeastern states of NY, CT, MA, NJ, DE, MD and PA.
- Tobelmann Energy Brokers specializes in High Rise Office Buildings, Management Companies, Hotels, Restaurants, Residential Communities and Nursing Homes.
- Tobelmann Energy Brokers will negotiate on your behalf for competitive energy pricing. Whether it be by brokering, Reverse Auctions or standard RFP's, the bottom line is we are here to save you money and to help your organization become more efficient.



Our Suppliers

- Tobelmann Energy Brokers has the ability to solicit bids for your energy from some of the most prominent suppliers in the country. Our vast array of relationships allow us some advantages over most brokers, like negotiating power, competition for your business, aggregating ability, and various product offerings. We even have the ability to put your energy needs to auction, where realtime bidding for your business can significantly lower your costs.
- TEB is in touch with our suppliers on a daily basis. Our constant contact with multiple suppliers allows us to keep abreast of competitive markets and industry trends. We have our ears to the ground.

- CenterPoint Energy Services
- ConEdison Solutions
- Direct Energy
- Exelon Energy
- GDF Suez
- Hess Corporation
- Hudson Energy Services
- Spark Energy
- UGI Energy
- Washington Gas Energy Services
- World Energy Exchange



History

About the President

John Tobelmann graduated in 1985 with a BS degree in Quantitative Business Analysis from The Pennsylvania State University.

In 1992 John became Operations Manager for an energy procurement company where he served for 13 years. John managed the sales for over 1,000 commercial customers in the Northeast, including Hilton Hotels of NYC, Silverstein Properties, Darden Restaurants, Cushman Wakefield, Helmsley-Spear – including The Empire State Building – to name just a few. By 2001, John's energy procurement customers used over \$350 Million in electricity annually. In this time period, John trained approximately 100 sales representatives in the process of energy procurement.

In 2005, John started his own company and in 2009 incorporated under the name Tobelmann Energy Brokers, Inc. Because of their simplified approach, Tobelmann Energy Brokers has a distinguished portfolio of clients including Hotels, Medical Facilities, Restaurants, Metering Companies and other large commercial buildings.

CONSOLIDATED ELECTRIC METER CO. INC.

450 SEVENTH AVENUE



NEW YORK, N.Y. 10123-0083

TEL.: 212-563-1191

FAX: 212-268-1624

June 15, 2009

To whom it may concern:

I have known Mr. John Tobelmann for almost 10 years. He has been my exclusive electricity and gas broker during this period. John has always taken the time to listen to my individual needs and to provide real solutions when required.

John has always been and will continue to be an invaluable source of professional resources to my company.

Very truly yours,

CONSOLIDATED ELECTRIC METER CO. INC.

BY:

OEL SEILER, PRESIDENT

NOV 1 7 2010

PA FUBLIC UTILITY COMMISSION SECHETARY'S BUREAU 5401 Lister Court Chester Springs, PA 19425 H (610) 639-1406 F (484) 204-0618 EXHIBIT

John Tobelmann Resume

Experience

2005-Present

Tobelmann Energy Brokers, Inc.

Chester Springs, PA

President

Provide Energy Broker Services for Commercial Entities in New York

Partial Customer List

Consolidated Electric Meter Company Inc.

New York

Metropolitan Jewish Health Systems

Brooklyn

Augustana Lutheran Nursing Home

Bronx

The Animal Medical Center

New York

Frank Tsimis Restaurants

New York

1991-2005

AUI associates

West Chester, PA

Operations Manager - Electricity and Natural Gas Division

· Supported account representatives in daily sales.

Developed company policy on sales programs.

 Managed customer service and sales tracking for \$400 Million in revenues

Developed all computer related systems including multiple databases.

Maintained computer systems.

1987-1991

Controller, Asst. Controller, Accounting

Atlantic Palace Resort,

Atlantic City, NJ

Trump Taj Mahal,

Atlantic City, NJ

· Associated Motor Inns,

Cleveland, OH

Education

1981-1985

Pennsylvania State University

University Park, PA

B.S., Quantitative Business Analysis.

Interests

Soccer, general fitness, computers, research.

Married with 3 Children, Sarah 9, Gertrude 1, Beatrix 1

NOV 1 7 2010

APPLICATION AFFIDAVIT

EXHIBIT

PA PUBLIC UTILITY	COMMISSION
SECRETARY'S	gUREAU

[Commonwealth/State] of Pennsylvania :		
; ss .		
County of Chester :		
John Tobelmann, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:		
[He/she is the President (Office of Affiant) of Tobelmann Energy Brokers, Inc. (Name of Applicant);]		
[That he/she is authorized to and does make this affidavit for said Applicant;]		
That the Applicant herein <u>Tobelmann Energy Brokers</u> , <u>Inc.</u> has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).		
That the Applicant herein Tobelmann Energy Brokers, Inc. has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.		
That the Applicant herein <u>Tobelmann Energy Brokers</u> , Inc. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.		
That the Applicant herein <u>Tobelmann Energy Brokers</u> , Inc. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.		
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.		
Signature of Affiant		
Sworn and subscribed before me this 10th day of November, 20 10.		
Feederand M. Latel Signature of official administering oath		
My commission expires		

Commonwealth of Pennsylvania

NOTARIAL SEAL
FERDINAND N LATCH
Notary Public
Uwchlan Twp, Chester County
My Commission Expires Jan 13, 2013

NOV 1 7 2010

OPERATIONS AFFIDAVIT

PA PUBLIC UTILITY COMMISSION SECTION : Pennsylvania :	į
: SS.	
County of <u>Chester</u> :	
<u>John Tobelmann</u> , Affiant, being duly [sworn/affirmed] according to deposes and says that:	law,
[He/she is thePresident (Office of Affiant) of <u>Tobelmann Energy Br</u> (Name of Applicant);]	okers, Inc
[That he/she is authorized to and does make this affidavit for said Applicant;]	l
That <u>Tobelmann Energy Brokers, Inc.</u> the Applicant herein, acknowledges that [Applicant have obligations pursuant to this Application consistent with the Public Utility Code Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; of other applicable statutes or regulations including Emergency Orders which may be inverbally or in writing during any emergency situations that may unexpectedly develop from the time in the course of doing business in Pennsylvania.	of the or with issued

That <u>Tobelmann Energy Brokers, Inc.</u> the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That <u>Tobelmann Energy Brokers</u>, Inc, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Sec. 54. 5

(Continued)

That <u>Tobelmann Energy Brokers, Inc.</u>, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Signature of Affiant

Fudinarial M. Futch
Signature of official administering path

My commission expires 1/373

Commonwealth of Pennsylvania

NOTARIAL SEAL
FERDINAND N LATCH
Notary Public
Uwchian Twp, Chester County
My Commission Expires Jan 13, 2013

PA PUBLIC UTILITY COMMISSION Pro

Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Anna Dickerson being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published, daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

October 22, 2010

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

ans Dickerson

Sworn to and subscribed before me this 22nd day of October, 2010.

Mary anne Ligar

My Commission Expires:

NOTARIAL SEAL Mary Anne Logan, Notary Public City of Philadelphia, Phila. County My Commission Expires March 30, 2013 Copy of Notice of Publication

PUBLIC UTILITY COMMISSION

I. Application of Tobelmann Energy Brokers, Inc., For Approval, To-Offer, Render, Furnish, Or, Supply Electricity Of, Electric Generation; Services, As "Aligenerator," And Supplier, Of, Electric Power, A. Marketer/Broker, Engaged; Inn The Business Of Supplying Electricity, And "An Aggregator, Engaged in The Business Of Supplying Electricity," And "An Aggregator, Engaged in The Business Of Supplying Electricity," And "An Aggregator, Engaged in The Business Of Supplying Electricity," And "An Aggregator of the Public Inflit Commission, (PUC") for a license, to supply electricity of, electricity, and (2) an aggregator fengaged in the business of supplying electricity and (2) an aggregator fengaged in the business of supplying electricity and (2) an aggregator fengaged in the business of supplying electricity and related services, throughout all of Pennsylvania under, the provisions of the new Electricity, Generation, Customer, Choice and Competition Act.

Tobelmann Energy Brokers, Inc., proposes for and Competition Act.

Transition Strategies, Lic.

Transition Strategies, Lic.

By and through Counsel: Joann Drust of Tobelmann Energy Brokers, Inc., and through the address listed below.

By and through Counsel: Joann Drust of Transition Strategies, Lic.

Wayne, PA19937, 19

phone 3736 fax. . 610-640-3736 fax

The Patriot-News Co. 2020 Technology Pkwy Mechanicsburg, PA 17050 Inquiries - 717-255-8213

EXHIBIT

The Datriot-News Now you know

RECEIVED

NOV 1 7 2010

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

TOBELMANN ENERGY BROKERS, INC. 5401 LISTER COURT

CHESTER SPRINGS

PA 19425

THE PATRIOT NEWS THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929 Commonwealth of Pennsylvania, County of Dauphin) ss

Holly Blain, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 812 to 818 Market Street, in the City of Harrisburg, County of Dauphin, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 812 to 818 Market Street, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Metro editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the PENNSYLVANIA: pectors of the said Company and subsequently duly recorded in the office for the Recording of Deeds Public Utility Commission phin in Miscellaneous Book "M", Volume 14, Page 317.

Application of Tobelmann Energy.

Application of Tobelmann Energy.

Brokers, Inc. For Approval To Offer.

Render, Furnish Or Supply Electricity.

This ad # 0002102052 ran on the dates shown below:

October 22, 2010

Sworn to and subscribed before me this 01 day of November, 2010 A.D.

Notary Public

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Sherrie L. Kisner, Notary Public Lower Paxton Twp., Dauphin County My Commission Expires Nov. 26, 2011

Member, Pennsylvania Association of Notaries

The Public In The Commonwealth Of Pennsylvania.

Tobelmann Energy Brokers, Inc. will be filing an application with the Pennsylvania Public Utility Commission

(*PUC*) for a license to supply electricity or electric peneration services as (1) to broker/marketer engaged in the business of supplying electricity, and (2) an aggregator, engaged in the business of supplying electricity. Tobelmann Energy Brokers, Inc. proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer, Choice and Competition Act. tomer Choice and Competition Act. 1 The PUC may consider this applica-

Or Electric Generation Services As A & Generator. And Supplier Of Electric And Supplier Of Elect

The Business Of Supplying Electricity. And An Aggregator Engaged in The Aggregator The Aggregator Engaged in The Aggregator The Public In The Commonwealth Of ..."

The Public

tion without a hearing. Protests directed to the technical or financial fitness of, Tobelmann Energy Brokers, Inc., may be filed within 15 days of the date of this notice with the Secretary of the PUC. P.O. Box 3265, Harrisburg. PA 17105-3265. You should send copies of any pro-3265. You should send copies of test to Tobelmann Energy Brokers, inc.'s afterney at the address listed be send to the send test to the send test. ", g. ! . ;

By and through Counsel: Joann Drust Transition Strategies, LLC 88 Militia Hill Drive Wayne, PA 19087 610-640-5373 phone Wayne, PA 19087 610-640-5373 phone 7

The Scranton Times (Under act P.L. 877 No 160. July 9,1976)

Commonwealth of Pennsylvania, County of Lackawanna

TOBELMANN ENERGY BROKERS INC JOHN TOBELMANN 5401 LISTER COURT CHESTER SPRINGS PA 19425

RECEIVED

NOV 1 7 2010

PA PUBLIC UTILITY COMMISSION SEGRETARY'S BURFAU

Account # 528648 Order # 80405976 Ad Price: 226.13

Gina Krushinski

Being duly sworn according to law deposes and says that she is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

10/21/2010

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true

Sworn and subscribed to before me this 21st day of October A.D., 2010

(Notary Public)

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Sharon Venturi, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Feb. 12, 2014
Member, Renneytvania Association of Notaries

LEGAL NOTICE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Tobelmann Energy Brokers, Inc. For Approval To Offer, Render, Furnish Or Supply Electricity, Or Electric Generation Services As A Generator, And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged in The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Tobelmann Energy Brokers, Inc. will. be filing an application with the Pennsylvania public Utility Commission. (*PUC.) for a license to supply electricity or electric generation services as (1) a broker/markster engaged in the business of supplying electricity, and (2) an aggregator engaged in the business of supplying electricity, and (2) an aggregator engaged in the business of supplying electricity. Tobelmann Energy 'Brokers,' Inc. proposes to sell electricity and related services' throughout 'all of Pennsylvania under the provisions of the new Electricity Generation. Customer Choice and Competition Act. The PUC may consider this application without a hearing Protests directed to the technical or finan-

NOV 1 7 2010

No.

Term, 19

PA PUBLIC UTILITY COMPROME OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SECRETARY'S BURESUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

EXHIBIT 138

STATE OF PENNSYLVANIA				
COUNTY OF LYCOMING	SS:			
	un-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor			
Williamsport, Pennsylvania, being duly sworn, deposes and Bulletin was established in 1801, since which dates said s	laily newspapers of general circulation, published at 252 West Fourth Street, I says that the Williamsport Sun was established in 1870 and the Gazette & uccessor, the Williamsport Sun-Gazette, has been regularly issued and pubof the printed notice is attached hereto exactly as the same was printed and Gazette on the following dates, viz:			
(/atal : 237010)				
	Color of 11 V Ci -			
to verify the foregoing statement under oath and also declar of publication, and that all the allegations in the foregoing s	ed by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, res that affiant is not interested in the subject matter of the aforesaid notice tatement as to time, place and character of publication are true.			
COMMISSION	Dent A. Chr			
Application of Tobel	SUN-GAZETTE COMPANY			
Office in Approval To I	Sworn to and subscribed before me			
As A Contraction Services	the 78 tday of October 2010			
Mer Of Electric Power A	0 7 7 10 m			
gaged in The Business Of Supplying Electricity, And	(alley & / Silley			
Supplying Electricity, And An Aggregator Engaged in The Eusiness Of Supply ing Electricity, To The Public In The	Notary Public			
monwoolth The Com	NOTARIAL SEAL			
Tobalant	CATHY A. BILLEY, Notary Public			
eng an application with the	City of Williamsport, Lycoming County My Commission Expires May 15, 2011			
for a line (PUC")	riy comments			
eration condenses gen-	STATEMENT OF ADVERTISING COSTS			
in the business and aged in	· 1			
plying electricity, and (2) an aggregator engaged in the business of sured in	and the second s			
the business of supplying electricity. Tobelmann proposes to supplying brokers, inc.	To the Sun-Gazette Company, Dr.:			
	For publishing the notice attached			
sylvania under the provi- sions of the new Electri-	hereto on the above state dates\$			
city Generation - Customer Choice and Competition	Probated same			
Act. The PUC may consider this application	Probated same \$797.00 Total \$797.00			
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halm miss of Tall				
1. Within 10 at 77 99 (1)(80)				
Secretary of the PUC				
Y011 - ehaula 100-3205]	•			
mann' eath of Operal				
dress listed below ad-				
By and through				

PA PUBLIC UTILITY COMMISSION

EXHIBIT 13e

NOV 1 7 2010

SECRETARY'S BUHEAU On this 3rd day of November A.D.

2010, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law,

deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger

September 8,1952, of the Johnstown

Tribune, established December 7,1853; and of the Johnstown Democrat, established

March 5, 1863, nty of Cambria, and Commonwealth of Pennsylvania and

COMMONWEALTH OF PENNSYLVANIA

County of Cambria

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Application of Tobelmann Energy Brokers. Inc. For Approval To Offer, Render, Furnish Or Supply Electricity Of Electric Generation Services, As A Generator And Supplier of Electric Power, A Marketer/Broker, Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania. The Public In The Commonwealth Of Perinsylvania.

Tobelmann Energy Brokers, Inc. will be filing an application. with the Pennsylvania. Public Utility Commission ("PUC") for allicense to supply electricity or electric generation services as (1) a broker/marketer engaged in the business; of supplying electricity. Tobelmann Energy, Brokers, Inc. proposes to sell electricity, and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation. Customer Choice and Competition Act.

Choice and Competition Act
The PUC may consider this application without a hearing.
Protests directed to the technical or financial fitness of.
Tobelmann Energy Brokers, Inc. may be filed withing 15 days of the date of this notice with the Secretary of the PUC P.O. Box, 3265. Harrisburg, PA.17105-3265. You should send copies of any protest to Tobelmann Energy Brokers inc.'s attorney, at the address listed below.

By and through Counsel Joann Drust that the By and through Counsel Joann Drust of The 188 Militia Hill Drive, Wayne, PA.19087.

610-640-5373 phone interests.

character of said publication are true.

bve matter published in said publication in the regular issues 'A, on October 29th, 2010; and that the Affiant is not ertising and that all of the allegations as to time, place and

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 3rd day of November, 2010.

> COMMONWEALTH OF PENNSYLVANIA Notarial Seal Vivian Ohs, Notary Public City of Johnstown, Cambria County My Commission Expires Dec. 6, 2012

Member, Pennsylvania Association of Notaries

0.00 0.00 Lines @ \$2.50 per line 6.5 Inches @ \$25.00 per inch 162.50 5.00Notary Fee Clerical Fee 2.50 Total Cost 170.00

> To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

for publisher	of
a newspaper of general circulation, hereby a and publication costs and certifies tha	
	(Name of Newspaper)
Ву	

NOV 1 7 2010

PA PUBLIC UTILITY THREE STON SECRETARY'S bunking

OATH of PUBLICATION Tη THE ERIE TIMES-NEWS

COMBINATION EDITION

TOBELMANN ENERGY BROKERS 5401 LISTER CT CHESTER SPRINGS PA 19425

REFERENCE: L0004857

1947728 PUC NOTICE

STATE OF PENNSYLVANIA)

COUNTY OF ERIE) SS:

Rosanne Cheeseman being duly sworn, deposes and says that she is the Publisher of the Times Publishing Company, which publishes: the Erie Times-News, established October 2,2000, a daily newspaper of general circulation, successor, by consolidation, of the Morning News, established! January 1957, and the Erie Daily Times, established April 1888, daily newspapers of general circulation and published at Erie, Erie County, Pennsylvania, and that the notice of which the attached is a copy published, in the regular editions of said newspaper of the dates referred to below. Affiant further deposes that she is duly authorized by the TIMES PUBLISHING COMPANY, publisher of The Erie Times-News to verify the foregoing statement under oath, and affiant is not! interested in the subject matter of the aforesaid | notice or advertisement, and that all allegations | in the forgoing statement as to time, place and character of publication are true.

PUBLISHED ON: 10/22

TOTAL COST:

253.00

AD SPACE: 6.000 INCH

FILED ON:

10/22/10

Sworn to and subscribed before me this

2010 Affiant:

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL

Tami J. Brown, Notary Public City of Erie, Erie County

My commission expires April 14, 2014

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE PENNSTLYAMIA PUBLIC UTILITY CUMMISSION NOTICE
Application of Tobelmann Energy Brokers. Inc. For Approval To Offer,
Render, Furnish Or Supplie Electricity Or Electric Generation Services As
A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged
In The Business Of Supplying Electricity, And An Aggregator Engaged
In The Business Of Supplying Electricity To The Public in The
Commonwealth Of Pennsylvania.

Tobelmann Energy Brokers, Inc. will be filing an application with the Pennsylvania Public Utility Commission (PIDT) for a license to supply electricity or electric generation services as (1) a broker/marketer. engaged in the business of supplying electricity, and (2) an aggregator, engaged in the business of supplying electricity, and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Tobelmann Energy**Brokers, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Tobelmann Energy Brokers, Inc. a attorney at the address fisted below.

By and through Counsel Joann Drust Transition Strategies, LLC 88 Militia Hill Drive Wayne, PA 19087 610-640-5373 phone 610-640-3736 fax

				EXHIB!
		No.	Term,	139
	Proof of Publics	ation of Notice in Pittsburgh Post	-Gazette	
	Under Act No 587, Approved May 16	5, 1929, PL 1784, as last amended by Act No	409 of September 29, 19	951
Per GP bp	Commonwealth of Pennsylvania, County of Allittsburgh Post-Gazette, a newspaper of general cistablished in 1993 by the merging of the Pittsburgh Cazette and Sun-Telegraph was established in 1911 itsburgh Gazette established in 1786 and the Pittsburgh Gazette established in 1786 and the Pittsburgh Gazette established in 1786 and the Pittsburgh Gazette in said County and that a printed and published in the ewspaper of general circulation on the following	irculation published in the City of Pittsburgh, Courgh Post-Gazette and Sun-Telegraph and The Pittsburgh Post-Gazette was established in 1842, since which do copy of said printed notice or publication is at regular editions and issues	ittsburgh Press and the Pitts blished in 1927 by the me ate the said Pittsburgh Post	sburgh Post- erging of the e-Gazette has the same was
tl o	2 of October, 2010 Affiant further deposes that he/she is an agent for hat, as such agent, affiant is duly authorized to verify the afore said notice or publication, and that alone. COPY OF NOTICE OR PUBLICATION	erify the foregoing statement under oath, that affin	ant is not interested in the si	ubject matter
	PENNSYLVANIA. Y PUBLIC UTILITY	PG Publishing Compa	<u> </u>	
	COMMISSION: NOTICE1	Sworn to and subscribed before n October 22, 2010		
	Application of Tobel- mann Energy Brokers, Inc. For Approval To Of- fer; Render; Furnish Or Supply: Electricity/Or Electric Generation Ser- vices As: A Generator, And Supplier Of Electric Power, A Marketer/Bro- ker: Engaged in The Busil- ness Of Supplying Elec- it ricity: An ds/An Aggregator Engaged in The Business Of Supply- ing Electricity: To The Public in The Common- wealth Of Pennsylvania. Tobel mann Energy Brokers, inc. will be fill; ing an application with	COMMONWEALTH OF PENI Notarial Seal Linda M. Gaertner, Notary City Of Pittsburgh, Alleghen My Commission Expires Jan. Member, Pennsylvania Association	Public Public y County 31, 2011	
	the Pennsylvania Public ("PUC"):for a license to supply electricity or elec; tric generation services as (1) a broker/marketer engaged in the business of supplying electricity, and;(2) an aggregator engaged in the business of supplying electricity, Tobelmann Energy Brokers, inc. proposes:to self electricity and relat- ed services throughout all of Pennsylvania un- der the provisions of the new Electricity Genera:	STATEMENT OF ADVE Tobelmann Energy Br Attn: John Tobelman 5401 LISTER CT CHESTER SPRINGS	okers	Commission Bureau

To PG Publishing Company

Total

Total

Total

Total

Total

Total

Publisher's Receipt for Advertising Costs

PG PUBL

Box 3265. Harrisburg. PA

NY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, isend/copies of any properties to Tobelmann Enersy be of the aforsaid advertising and publication costs and certifies that the same have been fully below.

Only this notice with the Section of the Pittsburgh Post-Gazette, a newspaper of general circulation, and publication costs and certifies that the same have been fully below. O By and through
Counsel: Joann Drust:
Transition Strategies. PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation 88 Militia Hill Drive PITTSBUR[]]

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

engaged in the business of supplying electricity. Tobelmann Energy Brokers, Inc. proposes the self-lectricity and related services throughout all of Pennsylvania. under the provisions of the new Electricity Generation Customer Choice and Competition Act.

Wayne, PA 190875 610-640-5373 phone

610-640-3736 fax -

Phone 41

NOV 1 7 2010

XHIBIT

Brokerage Agreement PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

This Agreement is made on June 09, 2010 (the "Effective Date"), between Tobelmann Energy Brokers, Inc (Broker) with offices at 5401 Lister Court, Chester Springs, PA 19425 and Washington Gas Energy Services, Inc. (WGES) with offices at 13865 Sunrise Valley Drive, Suite 200, Herndon, Virginia 20171.

Whereas WGES is a retail seller of electricity, renewable energy credits and natural gas and operates in Maryland, the District of Columbia, Virginia, Delaware, and Pennsylvania.

Whereas, Broker is engaged in soliciting electricity, renewable energy credits and/or natural gas sales bids by retail sellers of same to businesses who are or may become customers of WGES or other retail sellers.

Whereas, Broker is authorized and qualified to do business in the jurisdictions in which WGES is operating. and represents that it has obtained, and will maintain, all necessary authorizations, permits and brokerage licenses, as may be required by a state or county agency or by the public service commission, in such jurisdictions.

Whereas WGES wishes to participate in providing bids to Broker for the purpose of competing to obtain contracts for retail electricity, renewable energy credits and/or natural gas sales to customers in jurisdictions in which WGES is licensed.

Therefore, in consideration of the mutual promises and agreements hereinafter set forth the Parties agree as follows:

- 1. BROKER'S OBLIGATIONS. WGES and Broker agree that Broker, in its sole discretion, may solicit price quotes from WGES to sell WGES products and services to customers and prospective customers of WGES. In connection with such solicitations, Broker agrees as follows:
- (a) Broker agrees that for all price quotes it solicits from WGES hereunder, Broker shall not make any representation to existing or prospective customers that Broker is an agent of WGES. Broker shall only be authorized to represent that Broker is acting in its capacity as a broker presenting customers with price quotes from WGES, and that customers can decide whether or not to enter into sales contracts with WGES based on such price quotes.
- (b) Broker shall have no authority under this Agreement to alter any of the terms and conditions of WGES standard sales contracts with customers, or to provide any interpretation of any provision in such contracts that is inconsistent with the terms and conditions therein. Broker shall have no authority to negotiate any provision in any contract between WGES and a customer. Broker shall indemnify WGES from any loss under any contract executed hereunder that is obtained in violation of this Paragraph 1 (b).
- (c) If a customer accepts a price quote provided by WGES to Broker hereunder, Broker agrees to use reasonable efforts to assist WGES to obtain final execution of the contract between the customer and WGES.
- (d) Broker shall be responsible for ensuring that the customer's contract signatory is authorized to execute the contract on behalf of the customer. Broker shall obtain and provide WGES with documentation of such authority.
- 2. NON-EXCLUSIVE AGREEMENT. This Agreement is of a non-exclusive nature, and each Party retains the unconditional right to market their respective products and services to customers in their own respective name or jointly with other entitles. Accordingly, Broker acting in its capacity as a broker may submit competing proposals from other sellers to prospective customers, and prospective customers may seek proposals directly from WGES during the term of this Agreement.
- 3. WGES' OBLIGATIONS. WGES shall in a timely manner provide Broker with retail electricity, renewable energy credits and/or natural gas price quotes that shall include Brokerage Fees that comply with Paragraph 4 below. In connection with providing such price quotes to Broker, WGES agrees to perform the following in a timely manner:
- (a) WGES shall execute contracts executed by customers who have selected WGES price quotes provided to Broker hereunder, provided that WGES shall have the sole right to determine whether or not to execute a contract with a customer based on a price quote provided to Broker, to enforce the terms and conditions of the contract and to terminate any such contract for non-payment.

- (b) WGES shall enroll such contracts with the applicable utility, and
- (c) WGES shall edminister the contracts and bill the customer during the term of the customer's contract.
- 4. BROKERAGE FEES. WGES agrees to compensate Broker for contracts executed by customers pursuant to this Agreement and in accordance with Brokerage Fees set forth in Addende executed by Broker and WGES.
- (a) WGES shall pay Brokerage Fees that are included in WGES price quotes provided to Broker and accepted by customers in contracts for specific customer accounts. Broker agrees that Brokerage Fees shall be subject to review by WGES and shall be set forth in separately executed Addenda to this Agreement.
- (b) That Brokerage Fees are included in price quotes WGES provided to Broker shall be acknowledged in contracts and confirmations executed by customers that accept such quotes. Brokerage Fees shall be payable (on a quarterly basis as specified in Section c below) for each electricity kilowatt hour (kWh), renewable energy credit and/or natural gas (therm) delivered by WGES to the specific accounts during the applicable terms of executed confirmations, subject to the receipt by WGES of payments from the customers for usage.
- (c) WGES shall pay Broker within thirty (30) business days after the end of each calendar quarter during the calendar year. Payments shall be based on utility meter readings that have been received by WGES prior to the end of the applicable calendar quarter. In the case of cancelled and subsequently re-billed usage, WGES shall make payment adjustments to reflect the period that usage is received. All payments shall be subject to reduction to reflect Brokerage Fees previously paid for any prior quarter where customer payments have not been received.
- (d) If Broker falls to obtain or maintain a brokerage license required by a public service commission where a customer with an executed contract obtained hereunder is located, WGES shall have the right to terminate this agreement for cause, and Broker shall not have the right to payment for Brokerage Fees in contracts in such jurisdiction(s).
- (e) If a customer seeks protection under federal or state bankruptcy laws or is otherwise forced into a bankruptcy proceeding by its creditors, and as a result of an order or other bankruptcy court action, the customer pays WGES less that the full amount due under the customer's contract with WGES, any Brokerage Fee payments to Broker hereunder shall be reduced commensurately.
- (f) If Broker violates any provision of this Agreement, including but not limited to, representing itself as an agent of WGES in presenting bids to customers or as being able to after, or promising to after, a term or condition in the standard WGES sales contract, then, in addition to its obligation under Paragraph 1 (b), Broker shall forfeit any Brokerage Fee payments related to such contracts and shall be liable for the return of any previously paid Brokerage Fee payments.
- 5. TERM. This Agreement shall be for an initial term of twelve months beginning on the Effective Date and shall remain in effect month to month thereafter, unless either Party terminates this Agreement for cause pursuant Paragraph 6 or by giving the other Party thirty days written notice of termination pursuant to Paragraph 8. In the event this Agreement is terminated, WGES shall continue to pay Fees specified in executed Addenda that are in effect at the date of termination.
- 6. TERMINATION FOR CAUSE. Either Party may terminate this Agreement immediately upon written notice if the other Party has: (a) falsified information that underlies this Agreement; (b) is found to be conducting its business in a manner which is not proper or which is illegal; (c) materially breaches this Agreement or any of its obligations hereunder; or (d) is unable to pay its debts generally as they come due, is declared insolvent or bankrupt, is the subject of any proceedings relating to its iliquidation, insolvency or the appointment of a receiver or similar officer, makes an assignment for the benefit of all, or substantially all, of its creditors, or enters into an agreement for the composition, extension, or readjustment of all or substantially all of its obligations.
- 7. TERMINATION FOR CHANGES IN LAWS OR REGULATIONS. Either Party shall have the right to terminate this Agreement, without liability to the other, in the event that any judicial, regulatory, or legislative action makes a change in applicable law or regulation that renders the affected Party's performance under this Agreement impossible, illegal, or economically impracticable. The affected Party shall provide the other with written notice of such termination as promptly as possible, but in no event less than five (5) days prior to the termination date.

- 8. TERMINATION FOR OTHER REASONS. Either Party may terminate this Agreement for any reason upon thirty (30) days prior written notice to the other.
- NOTICES. Notices and other required communications under this Agreement shall be made to the Parties at the following addresses:

Broker: Tobelmann Energy Brokers, Inc 5401 Lister Court Chester Springs PA, 19425 Phone: 610-639-1406 Fax: E-Mail: john@tobelmann.net Contact: John Tobelmann, President

WGES: Washington Gas Energy Services, Inc. 13865 Sunrise Valley Drive, Suite 200

Herndon, VA 20171 Phone: 703-793-7500 Fax: 703-793-0668 Contact: Tracy Gallant

- 10. GOVERNING LAW. This Agreement shall be governed by, and construed in accordance, with the laws of the State of Marvland.
- 11. CONFIDENTIALITY. The Parties agree to maintain the confidentiality of all information provided by WGES to Broker and by Broker to WGES hereunder, including information pertaining to pricing, price quotes, brokerage fees, contracts, products, and marketing activities ("Confidential Information") which is identified as Confidential Information in writing when provided. Broker shall be entitled to disclose such Confidential Information to customers or prospective customers, provided however, Broker shall have no responsibility or liability to WGES should a customer or prospective customer disclose such Confidential Information to others. Except as provided above, the Parties agree not to disclose any Confidential Information to any third party without the prior written consent of the non-disclosing Party. The term "Confidential Information" does not include information that (i) is part of the public domain; (ii) becomes part of the public domain other than as a result of a disclosure by a Party in breach of this Agreement; (iii) was known to the non-disclosing Party prior to disclosure and (iv) is required to be disclosed by a court or governmental agency which exercises appropriate jurisdiction over the disclosing Party.
- 12. ASSIGNMENT AND AMENDMENT. This Agreement shall be binding upon the heirs, successors, and assigns of the Parties hereto. Neither Party may assign this Agreement without the written consent of the other Party. This Agreement may not be altered or amended in any way except in writing signed by both Parties.
- 13. ENTIRE AGREEMENT. This Agreement constitutes the entire agreement of the Parties, supercedes all the prior fee agreements between the Parties, and no oral agreements shall be considered part of this Agreement.
- 14. INDEPENDENT CONTRACTOR. Broker is an independent contractor, not an employee of WGES, and WGES will not provide Broker with fringe benefits, paid vacation, or any other employee benefit.
- 15. INDEMNIFICATION. Each Party (an Indemnifying Party) agrees to indemnify the other Party (the Indemnified Party) and hold the Indemnified Party and its officers, directors, shareholders, agents and employees harmless from any and all claims, losses, liabilities, damages, costs, expenses, and judgments that may result from any negligent acts or willful misconduct of the Indemnifying Party hereunder, subject to the following:
- (a) Notwithstanding any other provision of this Agreement to the contrary, the entire liability of one Party to the other for any and all claims of any kind arising from, or relating to, this Agreement will not exceed the full amount paid, or due and payable under this Agreement, which amount will include Brokerage Fees set forth in executed Addenda and any amount that may become due and payable under Paragraph 1 (b), and such amount will be limited to direct actual damages only, subject in all cases to an affirmative obligation on the part of each Party to mitigate damages.

- (b) EACH PARTY SHALL INDEMNIFY THE OTHER FOR ANY CLAIM OR ACTION BROUGHT BY A THIRD PARTY FOR BREACH OF A DUTY ASSUMED HEREUNDER, AND EXCEPT TO THE EXTENT NECESSARY TO FULLFILL SUCH INDEMNITY OBLIGATIONS, AND EXCEPT FOR A BREACH OF SECTION 13 REGARDING CONFIDENTIALITY, NEITHER PARTY SHALL BE LIABLE TO THE OTHER FOR ANY SPECIAL, INDIRECT, INCIDENTAL, EXEMPLARY, CONSEQUENTIAL OR PUNITIVE DAMAGES OF ANY CHARACTER, IRRESPECTIVE OF WHETHER CLAIMS OR ACTIONS FOR SUCH DAMAGES ARE BASED UPON CONTRACT, WARRANTY, NEGLIGENCE, STRICT LIABILITY OR ANY OTHER REMEDY AT LAW OR EQUITY. Each party shall pay its own attorney fees.
- 16. INSURANCE. During the effectiveness of this Agreement, Broker stipulates that in compliance with all applicable federal and state laws it has, or shall obtain, all required workers compensation, business liability and auto insurance coverage with respect to its brokerage activities hereunder.

Tobelmann Energy Brokers, Inc	Washington Gas Energy Services, inc.
By: Sch Mehre	By: M. Compt
Name: Tohn Tobelmann	Name: M. Edward Compton
Title: President	Title: Director Account Sales
Date: /0-/5-/0	Dete: <u>//3//0</u>

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Example CERTIFICATE OF SERVICE

On this the <u>13</u> day of <u>November</u> 20 10, I certify that a true and correct copy of the foregoing application form for licensing within to Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120 EXHIBIT

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

John Tobelmann, President Tebelmann Energy Brokers From: Origin ID: NMZA (610) 639-1406 John Tobelmann

5401 Lister Court

AO LEISTEI COURT

Chester Springs, PA 19425

Fedex.

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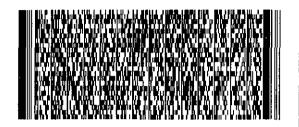
SHIP TO: (717) 783-5242

BILL SENDER

Secretary

Penn Public Utility Commission
KEYSTONE BUILDING 2ND FL RM N201

HARRISBURG, PA 17120



Ship Date: 17NOV10 ActWgt: 1.0 LB CAD: 102045943/INET3090

Delivery Address Bar Code



Ref # 0925443 Invoice #

PO# Dept#

TRK# 7941 2638 6514

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