

# BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Clear Energy Solutions, LLC, d/b/a \_\_\_\_\_, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

RECEIVED

To the Pennsylvania Public Utility Commission:

JAN 04 2011

## 1. IDENTIFICATION AND CONTACT INFORMATION PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Clear Energy Solutions  
32250 Sandpiper Drive (Nassau Acres) LEWES, DE 19958  
302-750-3217  
www.clearenergysolutions.org

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Bill Welsh  
541 East Ridley Circle  
Morton, PA. 19070

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Eric Brunhammer (President)  
T-302-750-3217  
F-302-691-7279  
eric@clearenergysolutions.org

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

No Attorney

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Eric Brunhammer (President)  
T-302-750-3217  
F-302-691-7279  
eric@clearenergysolutions.org

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

**OR**

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**OR**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**OR**

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

### 3. AFFILIATES AND PREDECESSORS

*(both in state and out of state)*

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

The applicant has no affiliates

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

The applicant has no predecessors

#### 4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

- The Applicant is presently doing *business in Pennsylvania* as a
- municipal electric corporation
  - electric cooperative
  - local gas distribution company
  - provider of electric generation, transmission or distribution services
  - Other; Identify the nature of service being rendered.

OR

- The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
- Electric Cooperative and supplier of electric power
- Other (Describe):

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

The applicant will be an electric Broker. The Firm will represent multiple suppliers.



h. **START DATE:** Provide the approximate date the Applicant proposes to begin services within the Commonwealth.

12/31/2010

## 5. COMPLIANCE

a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

No Proceedings to list

b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

No actions to List

d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

## 6. PROOF OF SERVICE

*(Example Certificate of Service is attached at Appendix C)*

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of the Small Business Advocate  
Commerce Building, Suite 1102  
300 North Second Street  
Harrisburg, PA 17101

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

- b.) **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

**Allegheny Power:**  
Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

**PECO:**  
Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

**Duquesne Light:**  
Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

**PPL:**  
Legal Department  
Attn: Paul Russell  
PPL  
Two North Ninth Street  
Allentown, PA 18108-1179

**Met-Ed, Penelec, and Penn Power:**  
Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

**UGI:**  
UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

**Citizens' Electric Company:**  
Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

**Pike County Light & Power Company:**  
Director of Customer Energy Services  
Orange and Rockland Company  
390 West Route 59  
Spring Valley, NY 10977-5300

**Wellsboro Electric Company:**  
Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

## 7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **original** (along with copies) of another initial security for Commission approval, to ensure financial responsibility.
- Filing for a modification to the \$250,000 requirement and furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.
  - *At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.*
  - *Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.*
  - *Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.*

b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.  
*(1) Business Personal Financial Statements attached See attachment 1A*
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.  
*(2) credit report credit rating attached See attachment 1B & 1C*
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

- multi state tax
- Accountant
- 92 East mainst, Newark, DE 19711
- Phone 302 453 0127
- Fax - unavailable
- Email - unavailable

## FINANCIAL STATEMENT OF INDEMNITY

Name of Indemnity (s)	Eric Brunhammer		
Business Address	32250 Sandpiper Drive (Nassau Acres) Lewes, DE. 19958		
Residence Address	32250 Sandpiper Drive (Nassau Acres) Lewes, DE. 19958		
Occupation	Energy Broker		
Annual Income:	\$ 100,000	Married?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

ASSETS		
Cash on hand (not in Bank)		\$ 41,500
Cash in Bank (as per Sched. A)		\$41,500
Stocks, Bonds etc. (per Sched. B)		\$4,500
Accounts Receivables (per Sched. C)		
Notes Receivable (per Sched. D)		0
Merchandise inventories etc. (at cost)		0
Equipment (conservative value)		
Real Estate (per Sched. E)		0
Other Assets (per Sched. F)		0

LIABILITIES		
Loans from bank (as per Sched. A)		\$ 5500
Borrowed on stocks (as per Sched. B)		0
Accounts Payable (per Sched. C)		0
Notes payable (per Sched. D)		0
Other Real Estate (per Sched. E)		0
All other Liabilities (per Sched. F)		0

Total Assets: \$ 0.00

Total Liabilities: \$ 0.00

Net Worth (Total Assets minus Total Liabilities): \$0.00

### Schedule A - At Bank

Name and Location of Bank	Amount of Deposit	Borrowed or Due Bank	When Due
PNC BANK (accounts)	\$ 16,000	\$ 0	
Interactive Brokers	\$ 10,000	\$ 0	
Forex.com	\$ 5,500	\$ 0	
Generic Trade	\$ 10,040	\$ 0	

### Schedule B - Stocks

Description	Market Where Listed	Par Value	Market Value	Borrowed on Same
Td ameritrade	nasdaq	\$ 4500	4500	
		\$		
		\$		

### Schedule C - Accounts

Receivable		Payable	
From Whom Due	Amount	To Whom Payable	Amount
	\$		\$
	<del>\$ 10,000</del>	0	<del>\$ 10,000</del>
Forex.com	\$		\$

### Schedule D - Notes

For Whom Due	Amount	To Whom Payable	Amount
	\$		\$
	\$		\$
	\$		\$

**Schedule E - Real Estate**

Description	Location of Real Estate			Amount of Mortgage	Appraised Market Value
	Street and No.	City	State		
				\$	\$
				\$	\$
				\$	\$
				\$	\$
				\$	\$
				\$	\$
				\$	\$
Date of Appraisal	Name of Appraiser	Date Property Acquired	Purchase Price	Assessed Value	Annual Rental
			\$	\$	\$
			\$	\$	\$
			\$	\$	\$
			\$	\$	\$
			\$	\$	\$
			\$	\$	\$

**Schedule F - Other Assets and Liabilities**

For Whom Due	Amount	To Whom Payable	Amount
	\$		\$
	\$		\$
	\$		\$
	\$		\$
	\$		\$


Have you ever filed for bankruptcy?  Yes  No  
 If yes, provide details on a separate sheet of paper

Do you currently have any unsatisfied judgments or liens against you?  Yes  No  
 If yes, provide details on a separate sheet of paper

Are you currently an endorser or indemnity upon any bond, note or other obligation, not in your liabilities shown above?  Yes  No  
 If yes, provide details on a separate sheet of paper

**References:**

Name	Occupation	Address
Jake Mccarty	Energy Broker	Cockeysville, MD
Ben Bunce	Energy Broker	Baltimore, MD
Matt Vietri	Energy Broker	Newark, DE.

Sign Here  Date 11/5/2010

Account: ██████████

Name: Eric Brunhammer

Currency: USD

2010 November 9, 15:15

## Closed Transactions:

Ticket	Open Time	Type	Volume	Item	Price	S/L	T/P	Close Time	Price	Commission	Taxes	Swap	Profit
13751534	2010.06.10 13:45	sell	0.01	usdchffxf	1.1398	0.0000	0.0000	2010.06.11 13:09	1.1486	0.00	0.00	-0.04	-7.66
13798544	2010.06.01 12:20	buy	0.10	xausdxfxf	1228.50	0.00	0.00	2010.06.10 14:04	1217.03	0.00	0.00	-0.16	-11.47
13798549	2010.06.03 16:16	sell	0.10	xausdxfxf	1208.00	0.00	0.00	2010.06.07 21:06	1241.25	0.00	0.00	0.00	-33.25
13955729	2010.06.10 09:33	sell	0.10	xausdxfxf	1220.00	0.00	0.00	2010.06.11 12:57	1228.85	0.00	0.00	0.00	-8.85
14004943	2010.06.11 12:55	buy	0.10	xausdxfxf	1228.00	0.00	0.00	2010.06.11 12:57	1228.20	0.00	0.00	0.00	0.20
14328056	2010.07.15 13:16	buy	0.03	eurusdxfxf	1.2880	0.0000	0.0000	2010.08.10 03:14	1.3159	0.00	0.00	-2.19	83.70
14431050	2010.07.28 01:51	buy	0.20	gbpcadxfxf	1.6178	0.0000	0.0000	2010.07.28 21:16	1.6191	0.00	0.00	-1.35	25.04
14470409	2010.07.29 08:35	buy	0.02	gbpcadxfxf	1.6120	0.0000	0.0000	2010.08.05 12:34	1.6103	0.00	0.00	-0.36	-3.36
14470410	2010.08.05 06:35	sell	0.02	gbpcadxfxf	1.6135	1.6135	0.0000	2010.08.05 12:41	1.6135	0.00	0.00	0.00	0.00
													[sl]
14530261	2010.08.10 02:45	sell	0.03	eurusdxfxf	1.3165	0.0000	0.0000	2010.08.10 03:14	1.3162	0.00	0.00	0.00	0.90
14534413	2010.08.06 11:03	buy	0.02	gbpcadxfxf	1.6231	0.0000	0.0000	2010.08.12 21:23	1.6224	0.00	0.00	-0.43	-1.34
14534414	2010.08.12 15:54	sell	0.02	gbpcadxfxf	1.6235	0.0000	0.0000	2010.08.12 21:23	1.6232	0.00	0.00	0.00	0.58
14545065	2010.08.09 00:15	buy stop	0.02	gbpcadxfxf	1.6543	0.0000	0.0000	2010.08.11 01:28	1.6303				cancelled by dealer
14545069	2010.08.09 00:16	sell stop	0.02	gbpcadxfxf	1.6175	0.0000	0.0000	2010.08.11 01:28	1.6291				cancelled by dealer
14545083	2010.08.09 00:19	buy stop	0.02	eurusdxfxf	1.3422	0.0000	0.0000	2010.08.10 02:36	1.3178				cancelled by dealer
14545085	2010.08.09 00:20	sell stop	0.02	eurusdxfxf	1.3136	0.0000	0.0000	2010.08.10 02:36	1.3175				cancelled by dealer
14563242	2010.08.10 18:36	buy stop	0.03	eurusdxfxf	1.3362	0.0000	0.0000	2010.08.11 01:35	1.3103				cancelled by dealer
14563249	2010.08.10 18:36	sell stop	0.03	eurusdxfxf	1.3055	0.0000	0.0000	2010.08.11 01:35	1.3100				cancelled by dealer
14567241	2010.08.11 01:31	buy stop	0.02	gbpcadxfxf	1.6543	1.6175	0.0000	2010.08.12 21:24	1.6234				cancelled by dealer
14567254	2010.08.11 01:36	buy stop	0.03	eurusdxfxf	1.3362	1.3055	0.0000	2010.08.12 21:24	1.2825				cancelled by dealer
14666708	2010.08.20 03:28	buy stop	0.10	xausdxfxf	1240.00	0.00	0.00	2010.08.24 03:20	1221.53				cancelled by dealer
14666711	2010.08.24 00:13	sell	0.10	xausdxfxf	1220.00	1219.00	0.00	2010.08.24 13:32	1219.00	0.00	0.00	0.00	1.00
													[sl]
14739227	2010.08.31 12:47	buy	0.30	xausdxfxf	1243.50	0.00	0.00	2010.08.31 21:10	1247.23	0.00	0.00	-0.01	11.19
14739233	2010.08.30 02:11	sell stop	0.30	xausdxfxf	1226.00	0.00	0.00	2010.09.02 21:38	1250.98				cancelled by dealer
14822548	2010.09.07 12:30	buy	0.50	xausdxfxf	1257.00	0.00	0.00	2010.09.08 13:44	1259.43	0.00	0.00	-0.01	12.15
14822549	2010.09.07 01:43	sell stop	0.50	xausdxfxf	1245.00	0.00	0.00	2010.09.08 13:44	1260.03				cancelled by dealer
14822698	2010.09.07 02:26	buy stop	0.01	eurusdxfxf	1.2924	0.0000	0.0000	2010.09.07 02:29	1.2802				cancelled by dealer

15210993	2010.10.25 00:12	sell stop	0.09	audnzdfxf	1.3089	1.3233	0.0000	2010.10.25 00:56	1.3195	cancelled by dealer
15211093	2010.10.25 00:20	buy stop	0.05	eurcadfxf	1.4403	1.4211	0.0000	2010.10.25 00:56	1.4340	cancelled by dealer
15211095	2010.10.25 00:21	sell stop	0.05	eurcadfxf	1.4211	1.4403	0.0000	2010.10.25 00:56	1.4333	cancelled by dealer
15211104	2010.10.25 00:24	buy stop	0.22	eurgbpfxf	0.8963	0.8935	0.0000	2010.10.25 00:32	0.8915	cancelled by dealer
15211167	2010.10.25 00:31	buy stop	0.22	eurgbpfxf	0.8974	0.8846	0.0000	2010.10.25 00:56	0.8916	cancelled by dealer
15211178	2010.10.25 00:32	sell stop	0.22	eurgbpfxf	0.8846	0.8974	0.0000	2010.10.25 00:56	0.8913	cancelled by dealer
15211184	2010.10.25 00:34	buy stop	0.03	eurusdfxf	1.4097	1.3825	0.0000	2010.10.25 00:56	1.4014	cancelled by dealer
15211186	2010.10.25 00:35	sell stop	0.03	eurusdfxf	1.3825	1.4097	0.0000	2010.10.25 00:56	1.4010	cancelled by dealer
15211222	2010.10.25 00:43	buy stop	0.07	audcadfxf	1.0160	1.0021	0.0000	2010.10.25 00:56	1.0138	cancelled by dealer
15211224	2010.10.25 00:43	sell stop	0.07	audcadfxf	1.0021	1.0160	0.0000	2010.10.25 00:56	1.0133	cancelled by dealer
15222387	2010.10.26 03:44	sell stop	0.20	xausdfxf	1313.00	1338.00	0.00	2010.10.29 17:58	1357.50	cancelled by dealer
15255659	2010.10.29 11:55	balance Transfers								-2 700.00

0.00 0.00 -7.22 -87.57  
**Closed P/L: -94.79**

**Open Trades:**

Ticket	Open Time	Type	Volume	Item	Price	S / L	T / P	Price	Commission	Taxes	Swap	Profit
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No transactions

0.00 0.00 0.00 0.00

**Floating P/L: 0.00**

**Working Orders:**

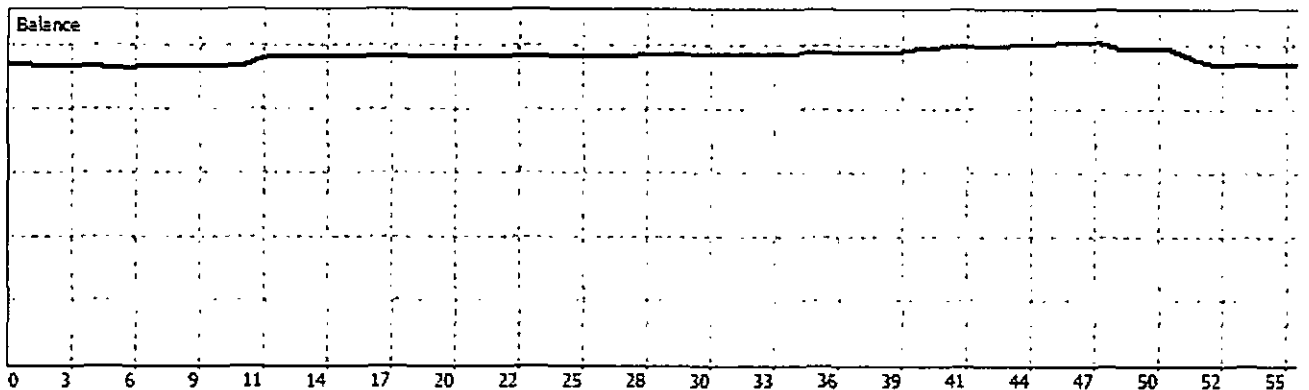
Ticket	Open Time	Type	Volume	Item	Price	S / L	T / P	Market Price
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No transactions

**Summary:**

<b>Deposit/Withdrawal:</b>	<b>-2 700.00</b>	<b>Credit Facility:</b>	<b>0.00</b>
<b>Closed Trade P/L:</b>	<b>-94.79</b>	<b>Floating P/L:</b>	<b>0.00</b>
<b>Balance:</b>	<b>2 722.69</b>	<b>Equity:</b>	<b>2 722.69</b>
		<b>Margin:</b>	<b>0.00</b>
		<b>Free Margin:</b>	<b>2 722.69</b>

**Details:**



**Gross Profit: 264.97**      **Gross Loss: 359.76**      **Total Net Profit: -94.79**  
**Profit Factor: 0.74**      **Expected Payoff: -3.39**

Account: ~~XXXXXXXXXX~~

Name: Eric Brunhammer

Currency: USD

2010 November 9, 15:17

**Closed Transactions:**

Ticket	Open Time	Type	Volume	Item	Price	S/L	T/P	Close Time	Price	Commission	Taxes	Swap	Profit
13718434	2010.10.29 11:55	balance	Transfers										2 700.00
										0.00	0.00	0.00	0.00
													<b>Closed P/L: 0.00</b>

**Open Trades:**

Ticket	Open Time	Type	Volume	Item	Price	S/L	T/P		Price	Commission	Taxes	Swap	Profit
No transactions													
										0.00	0.00	0.00	0.00
													<b>Floating P/L: 0.00</b>

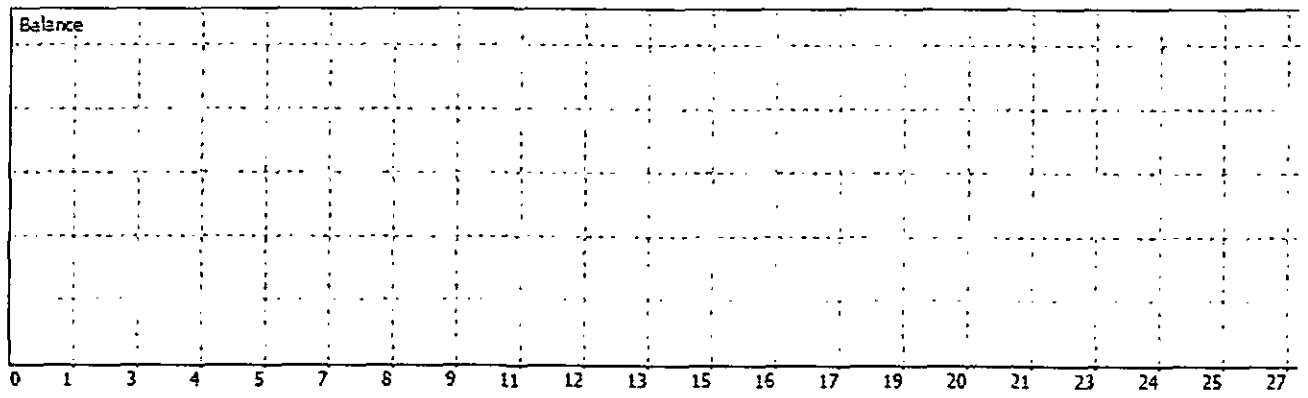
**Working Orders:**

Ticket	Open Time	Type	Volume	Item	Price	S/L	T/P	Market Price	
No transactions									

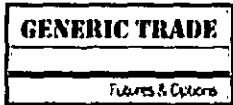
**Summary:**

<b>Deposit/Withdrawal:</b>	<b>2 700.00</b>	<b>Credit Facility:</b>	<b>0.00</b>
<b>Closed Trade P/L:</b>	<b>0.00</b>	<b>Floating P/L:</b>	<b>0.00</b>
<b>Balance:</b>	<b>2 700.00</b>	<b>Equity:</b>	<b>2 700.00</b>
		<b>Margin:</b>	<b>0.00</b>
		<b>Free Margin:</b>	<b>2 700.00</b>

**Details:**



<b>Gross Profit:</b>	<b>0.00</b>	<b>Gross Loss:</b>	<b>0.00</b>	<b>Total Net Profit:</b>	<b>0.00</b>
<b>Profit Factor:</b>		<b>Expected Payoff:</b>	<b>0.00</b>		
<b>Absolute Drawdown:</b>	<b>0.00</b>	<b>Maximal Drawdown:</b>	<b>0.00 (0.00%)</b>	<b>Relative Drawdown:</b>	<b>0.00% (0.00)</b>
<b>Total Trades:</b>	<b>0</b>	<b>Short Positions (won %):</b>	<b>0 (0.00%)</b>	<b>Long Positions (won %):</b>	<b>0 (0.00%)</b>
		<b>Profit Trades (% of total):</b>	<b>0 (0.00%)</b>	<b>Loss trades (% of total):</b>	<b>0 (0.00%)</b>
<b>Largest</b>		<b>profit trade:</b>	<b>0.00</b>	<b>loss trade:</b>	<b>0.00</b>
<b>Average</b>		<b>profit trade:</b>	<b>0.00</b>	<b>loss trade:</b>	<b>0.00</b>
<b>Maximum</b>		<b>consecutive wins (\$):</b>	<b>0 (0.00)</b>	<b>consecutive losses (\$):</b>	<b>0 (0.00)</b>
<b>Maximal</b>		<b>consecutive profit (count):</b>	<b>0.00 (0)</b>	<b>consecutive loss (count):</b>	<b>0.00 (0)</b>
<b>Average</b>		<b>consecutive wins:</b>	<b>0</b>	<b>consecutive losses:</b>	<b>0</b>



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Account: 34610014 [Log Out](#)

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# Account History

Select Month / Day / Year

Available Dates: 08/31/2010 to 11/05/2010

FARR FINANCIAL INC.  
 1073 S. WINCHESTER BLVD.  
 SAN JOSE, CA 95128  
 TELE: (408) 246-9000

COMBINED COMMODITY STATEMENT

05-NOV-10

ERIC M BRUNHAMMER  
 1320 DELAWARE AVE APT #1E  
 WILMINGTON, DE 19806

Account: ~~XXXXXXXXXX~~  
 Salesman: 00346

INTRODUCED BY: GENERIC TRADE LLC

Account Summary as of 11/05/10

	Reg. USD	BASE CURRENCY USD
SPOT RATE	1.00000000	1.00000000
OPENING CASH BALANCE	10,037.61 CR	10,037.61 CR
FX TRADES	0.00 CR	0.00 CR
REALIZED PROFIT/LOSS	0.00 CR	0.00 CR
PREMIUMS	0.00 CR	0.00 CR
COMMISSIONS & FEES	0.00 CR	0.00 CR
CLIENT FEES	0.00 CR	0.00 CR
CLEARING	0.00 CR	0.00 CR
EXCHANGE	0.00 CR	0.00 CR
NFA	0.00 CR	0.00 CR
BROKERAGE	0.00 CR	0.00 CR
COMMISSION	0.00 CR	0.00 CR
CLOSING CASH BALANCE	10,037.61 CR	10,037.61 CR
OPEN TRADE EQUITY	0.00 CR	0.00 CR
TOTAL EQUITY	10,037.61 CR	10,037.61 CR
OPTION MARKET VALUE	0.00 CR	0.00 CR
NET LIQUIDATING VALUE	10,037.61 CR	10,037.61 CR
SECURITIES ON DEPOSIT	0.00 CR	0.00 CR
INITIAL MARGIN	0.00 CR	0.00 CR

# Free Business Checking

For 24-hour account information, sign-on to  
[pnc.com/mybusiness/](http://pnc.com/mybusiness/)

For the Period 10/01/2010 to 10/29/2010  
 Clear Energy Solutions Llc  
 Primary Account Number: [REDACTED]  
 Page 2 of 4

Free Business Checking Account Number: [REDACTED] - continued

countries.

Bank deposit products and services provided by PNC Bank, National Association. MEMBER FDIC

## Free Business Checking Summary

Clear Energy Solutions Llc

Account number: 56-0568-8947

Overdraft Protection Provided By: Contact PNC to establish Overdraft Protection

### Balance Summary

Beginning balance	Deposits and other additions	Checks and other deductions	Ending balance
11,520.32	2,742.50	3,167.96	11,094.86
		Average ledger balance	Average collected balance
		11,003.54	11,002.88

### Overdraft and Returned Item Fee Summary

	Total for this Period	Total Year to Date
Total Overdraft Fees	.00	50.00
Total NSF/OD Refunds	.00	50.00

### Deposits and Other Additions

Description	Items	Amount
Deposits	1	119.98
ACH Additions	1	2,622.52
<b>Total</b>	<b>2</b>	<b>2,742.50</b>

### Checks and Other Deductions

Description	Items	Amount
Checks	4	1,460.49
Check Card Purchases	14	384.06
POS Purchases	4	228.28
ATM/Misc. Check Card Transactions	3	367.71
ACH Deductions	3	727.42
<b>Total</b>	<b>28</b>	<b>3,167.96</b>

### Daily Balance

Date	Ledger balance	Date	Ledger balance	Date	Ledger balance
10/01	11,520.32	10/12	9,362.23	10/19	11,173.07
10/04	11,361.53	10/13	9,159.35	10/21	11,140.68
10/06	11,270.52	10/15	11,771.59	10/25	10,974.88
10/07	10,896.24	10/18	11,188.07	10/28	11,094.86
10/08	10,861.24				

### Activity Detail

#### Deposits and Other Additions

##### Deposits

Date posted	Amount	Transaction description	Reference number
10/28	119.98	Deposit	520046330

##### ACH Additions

Date posted	Amount	Transaction description	Reference number
10/15	2,622.52	ACH Credit Payables Glacial Clear001	00010288003146588

# Free Business Checking

PNC Bank



For the Period 10/01/2010 to 10/29/2010

Primary Account Number

Page 1 of 4

Number of enclosures: 0

CLEAR ENERGY SOLUTIONS LLC  
32250 SANDPIPER DR  
LEWES DE 19958-3640

For 24-hour banking sign on to  
 PNC Bank Online Banking on [pnc.com](http://pnc.com)  
FREE Online Bill Pay

For customer service call 1-877-BUS-BNKG  
Monday - Friday: 7 AM - 10 PM ET  
Saturday & Sunday: 8 AM - 5 PM ET

Para servicio en español, 1-877-BUS-BNKG

**Moving?** Please contact your local branch

Write to: Customer Service  
PO Box 609

Pittsburgh, PA 15230-9738

Visit us at [pnc.com/mybusiness/](http://pnc.com/mybusiness/)

TDD terminal: 1-800-531-1648

For hearing impaired clients only

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## Cash Flow Options for Your Business -PNC Online Payroll(1)

Make payroll processing faster, more accurate and less expensive with PNC Online Payroll - part of the innovative array of Cash Flow Options that work with your PNC Business Checking account to help improve your cash flow. PNC Online Payroll guides you through the entire payroll process - from setup and paycheck calculations to W-2s and electronic tax filings - saving you time and money and allowing you to better manage your cash flow.

### PNC Online Payroll New Features

- \* new maximum number of employees- handles payroll for up to 150 employees
- \* tax filing for multi -state employers
- \* and coming soon...automatic time tracking - choice of online timesheets on a password protected website or multi-user online time clock where employees can clock in and out

### PNC Online Payroll Makes Running Your Business Easier

- \* Use PNC Online Payroll anytime you want from anywhere you have Internet access.
- \* Access detailed payroll and payroll tax reports.
- \* Export payroll data to QuickBooks®(2) and other popular accounting software packages.

This is the best time! The easiest, most efficient time of the year to start using a new payroll solution is right now with the new year approaching - no payroll history to enter, simply setup basic payroll information and get started.

Setup now and be ready to run payroll January 1st!

To learn more, visit [www.PNC.com/payroll](http://www.PNC.com/payroll) or contact our payroll specialists toll-free (877) 762-7975, M-F, 9:30 am - 9:30 pm ET.

1. PNC Online Payroll service is provided by Paycycle®, an Intuit Inc. company and requires a PNC Bank Business Checking account with Online Banking. Paycycle is a registered mark of PayCycle, Inc. PNC does not provide legal tax or accounting advice.
2. Quickbooks® is a registered trademark and service mark of Intuit, Inc in the United States and other

# Free Business Checking

For 24-hour account information, sign-on to  
pnc.com/mybusiness/

For the Period 10/01/2010 to 10/29/2010

Clear Energy Solutions Lic

Primary Account Number ~~XXXXXXXXXX~~

Page 3 of 4

Free Business Checking Account Number ~~XXXXXXXXXX~~ continued

## Checks and Other Deductions

### Checks and Substitute Checks

\* Gap in check sequence

Date posted	Check number	Amount	Reference number	Date posted	Check number	Amount	Reference number	Date posted	Check number	Amount	Reference number
10/07	000	326.28	084601218	10/18	000	326.82	084684042	10/21	000	32.39	068645483
10/12	000	775.00	085506105								

### Check Card Purchases

Date posted	Amount	Transaction description	Reference number
10/04	30.00	2865 Check Card Purchase Sunoco 0368294501 Penns Grove NJ	02336950035722865277
10/06	33.00	2865 Check Card Purchase Tokyo Sushi Wilmingtono	99635950035722865279
10/08	35.00	2865 Check Card Purchase Mikimotos Restaurant Wilmington De	72180950035722865281
10/12	25.00	2865 Check Card Purchase Ristorante Mona Lisa Wilmington De	47088950035722865283
10/12	16.30	2865 Check Card Purchase Continental Midtown Philadelph PA	60999950035722865284
10/13	59.65	2865 Check Card Purchase Kenneth Cole 800-Kencole PA	94365950035722865286
10/13	12.56	2865 Check Card Purchase Salad Works Wilmingtono	94363950035722865286
10/15	10.28	2865 Check Card Purchase Salad Works Wilmingtono	15911950035722865288
10/18	14.97	2865 Check Card Purchase Salad Works Wilmingtono	54310950035722865290
10/18	14.50	2865 Check Card Purchase Scratch Magoos Wilmington De	18228950035722865291
10/18	9.00	2865 Check Card Purchase Catherine Rooneys Iris Wilmington De	18229950035722865291
10/25	72.00	2865 Check Card Purchase Seymours Cleaners Inc Wilmington De	95603950035722865297
10/25	28.80	2865 Check Card Purchase Zee Bar & Restaurant # Philadelph PA	76317950035722865298
10/25	23.00	2865 Check Card Purchase Concord Pike Amoco Wilmington De	95602950035722865297

### POS Purchases

Date posted	Amount	Transaction description	Reference number
10/07	48.00	POS Purchase Sunoco 0520206 Wilmington De	POS42136901 1149571
10/12	55.30	POS Purchase Banana Republi Newark De	POS84720001 5176827
10/18	109.98	POS Purchase Best Buy #465 Wilmington De	POS00000010 4129793
10/19	15.00	POS Purchase Delaware Auto New Castle De	POS02539416 1053767

### ATM/Misc. Check Card Transactions

Date posted	Amount	Transaction description	Reference number
10/04	128.79	2865 Recurring Check Card GEICO 800-8413000 Dc	02335950035722865277
10/13	130.67	2865 Recurring Check Card Attm*464016511700Phi 800-3310500 Ga	94364950035722865286
10/18	108.25	2865 Recurring Check Card Comcast Of Wilmington 800-Comcast De	54311950035722865290

# Free Business Checking

For 24-hour account information, sign-on to  
[pnc.com/mybusiness/](http://pnc.com/mybusiness/)

For the Period 10/01/2010 to 10/29/2010

Clear Energy Solutions Llc

Primary Account Number: ~~XXXXXXXXXX~~

Page 4 of 4

Free Business Checking Account Number: ~~XXXXXXXXXX~~ - continued

## ACH Deductions

Date posted	Amount	Transaction description	Reference number
10/06	58.01	Corporate ACH Ericbrunha Glacial Energy 35428816	00010279008221679
10/12	627.41	ACH Web-Single Epay Chase 999626845	00010285006868236
10/25	42.00	Corporate ACH Ericbrunha Glacial Energy 35428816	00010298003044647

## Detail of Services Used During Current Period

Note: The total charge for the following services will be posted to your account on 11/01/2010 and will appear on your next statement as a single line item entitled Service Charge Period Ending 10/29/2010.

\*\* Combined Transactions include ACH Credits, ACH Debits, Checks Paid, Deposited Item - Consolidated, Deposit Tickets Processed

Description	Volume	Amount	
Account Maintenance Charge		.00	Required Balance Met
Combined Transactions	10	.00	Included in Account
ACH Credits	1	.00	
ACH Debits	3	.00	
Checks Paid	4	.00	
Deposited Item - Consolidated	1	.00	
Deposit Tickets Processed	1	.00	
Total For Services Used This Period		.00	
Total Service Charge		.00	

# Free Checking Account Statement

PNC Bank



Primary account number: [REDACTED]

Page 1 of 2

Number of enclosures: 0

For the period 09/22/2010 to 10/20/2010

ERIC BRUNHAMMER  
1320 DELAWARE AVE APT 1E  
WILMINGTON DE 19806-4724

- For 24-hour banking, and transaction or interest rate information, sign-on to
- PNC Bank Online Banking at pnc.com
- For customer service call 1-888-PNC-BANK
- Monday - Friday: 7 AM - 10 PM ET
- Saturday & Sunday: 8 AM - 5 PM ET
- Para servicio en español, 1-866-HOLA-PNC

**Moving?** Please contact us at 1-888-PNC-BANK

- Write to: Customer Service  
PO Box 609  
Pittsburgh, PA 15230-9738

- Visit us at pnc.com
- TDD terminal: 1-800-531-1648
- For hearing impaired clients only

## Free Checking Account Summary

Eric Brunhammer

Account number: [REDACTED]

Overdraft Protection Provided By: Contact PNC to establish Overdraft Protection

### Balance Summary

Beginning balance	Deposits and other additions	Checks and other deductions	Ending balance
2,195.47	11,894.50	1,567.38	12,522.59
		Average monthly balance	Charges and fees
		3,113.72	2.50-

35,231 - points balance as of October 20, 2010 For CheckCard xxxx xxxx xxxx 0983

### Transaction Summary

Checks paid/withdrawals	Check Card POS signed transactions	Check Card/Bankcard POS PIN transactions
3	7	8
Total ATM transactions	PNC Bank ATM transactions	Other Bank ATM transactions
4	3	1

### Activity Detail

#### Deposits and Other Additions

There were 6 Deposits and Other Additions totaling \$11,894.50.

Date	Amount	Description
09/29	820.00	Direct Deposit - Citi ACH Citi Prepaid
10/12	250.00	ATM Deposit 1009 N Union St Wilmington De
10/14	820.00	Direct Deposit - Citi ACH Citi Prepaid
10/19	10,000.00	ATM Deposit 1009 N Union St Wilmington De
10/20	2.00	ATM Transaction Fee Reimbursement
10/20	2.50	Non-PNC ATM Surcharge Reimbursement

#### Checks and Substitute Checks

Check number	Amount	Date paid	Reference number	Check number	Amount	Date paid	Reference number
635	40.00	10/04	086987770	637	250.00	10/13	521611107
636	125.00	10/06	084149077				

\* Gap in check sequence

There were 3 checks listed totaling \$415.00.

# Free Checking Account Statement

For 24-hour information, sign on to PNC Bank Online Banking on pnc.com

For the period 09/22/2010 to 10/20/2010

ERIC BRUNHAMMER

Primary account number:

Page 2 of 2

Account Number: [Redacted] - continued

## Banking/Check Card Withdrawals and Purchases

Date	Amount	Description
09/22	122.31	POS Purchase Trader Joe's # Wilmington De
09/22	6.99	POS Purchase 7-Eleven Wilmington De
09/22	3.23	POS Purchase Sunoco 0363506 Philadelphia PA
09/27	7.54	0983 Check Card Purchase Paypal *Ebay Inc
09/28	66.36	0983 Check Card Purchase Vitaglo Com 315-43745
09/29	100.57	POS Purchase Trader Joe's # Wilmington De
09/29	40.00	0983 Check Card Purchase Park Tickets 085921
10/04	100.00	ATM Withdrawal Stumpy Lane - N SD Pennsgrove NJ
10/04	52.00	0983 Check Card Purchase YMCA Delaware EFT Draf
10/04	4.49	POS Purchase Walgreen Compa Wilmington De
10/05	10.28	0983 Check Card Purchase Salad Works Wilmington
10/07	120.69	POS Purchase Trader Joe's # Wilmington De
10/13	8.17	POS Purchase 7-Eleven Wilmington De
10/14	22.50	ATM Withdrawal 1801 Pennsylvania Wilmington De
10/14	2.00	ATM Withdrawal Fee
10/18	135.25	POS Purchase Trader Joe's # Wilmington De
10/18	90.00	0983 Check Card Purchase Delaware Corp Filings
10/18	35.00	0983 Check Card Purchase Hair Affair Elsmere D

There were 2 Banking Machine withdrawals totaling \$122.50.  
 There were 8 Check Card/Bank card PIN POS purchases totaling \$501.70.  
 There were 8 other Banking Machine/Check Card deductions totaling \$303.18.

## Online and Electronic Banking Deductions

Date	Amount	Description
10/14	75.00	Direct Payment - Studntloan Dlss Eda Pad 0000
10/20	140.00	Direct Payment - Pre Paymnt Delaware Lxxxxxxx6-10
10/20	10.00	Payment,E-Check Check Pymt Chase 0639


There were 3 Online or Electronic Banking Deductions totaling \$225.00.

## Daily Balance Detail

Date	Balance	Date	Balance	Date	Balance	Date	Balance
09/22	2,062.94	10/04	2,471.98	10/12	2,466.01	10/18	2,668.09
09/27	2,055.40	10/05	2,461.70	10/13	2,207.84	10/19	12,668.09
09/28	1,989.04	10/06	2,336.70	10/14	2,928.34	10/20	12,522.59
09/29	2,668.47	10/07	2,216.01				

 **IRS** DEPARTMENT OF THE TREASURY  
INTERNAL REVENUE SERVICE  
CINCINNATI OH 45999-0023

004740.799216.0014.002 1 SP 0.440 532

  
DIRECT TRADING SOLUTIONS LLC  
ERIC BRUNHAMMER SOLE MBR  
32250 SANDPIPER DR NASSAU ACRES  
LEWES DE 19958

004740

Date of this notice: 10-22-2010

Employer Identification Number:  
61-1625191

Form: SS-4

Number of this notice: CP 575 G

For assistance you may call us at  
1-800-829-4933

IF YOU WRITE, ATTACH THE  
STUB OF THIS NOTICE.

**WE ASSIGNED YOU AN EMPLOYER IDENTIFICATION NUMBER**

Thank you for applying for an Employer Identification Number (EIN). We assigned you EIN 61-1625191. This EIN will identify you, your business accounts, tax returns, and documents, even if you have no employees. Please keep this notice in your permanent records.

When filing tax documents, payments, and related correspondence, it is very important that you use your EIN and complete name and address exactly as shown above. Any variation may cause a delay in processing, result in incorrect information in your account, or even cause you to be assigned more than one EIN. If the information is not correct as shown above, please make the correction using the attached tear off stub and return it to us.

A limited liability company (LLC) may file Form 8832, Entity Classification Election, and elect to be classified as an association taxable as a corporation. If the LLC is eligible to be treated as a corporation that meets certain tests and it will be electing S corporation status, it must timely file Form 2553, Election by a Small Business Corporation. The LLC will be treated as a corporation as of the effective date of the S corporation election and does not need to file Form 8832.

To obtain tax forms and publications, including those referenced in this notice, visit our Web site at [www.irs.gov](http://www.irs.gov). If you do not have access to the Internet, call 1-800-829-3676 (TTY/TDD 1-800-829-4059) or visit your local IRS office.

**IMPORTANT REMINDERS:**

- \* Keep a copy of this notice in your permanent records. This notice is issued only one time and IRS will not be able to generate a duplicate copy for you.
- \* Use this EIN and your name exactly as they appear at the top of this notice on all your federal tax forms.
- \* Refer to this EIN on your tax-related correspondence and documents.

If you have questions about your EIN, you can call us at the phone number or write to us at the address shown at the top of this notice. If you write, please tear off the stub at the bottom of this notice and send it along with your letter. If you do not need to write us, do not complete and return this stub. Thank you for your cooperation.

**RECEIVED**

JAN 04 2011

PA PUBLIC UTILITY COMMISSION  
SECRET BUREAU



## Activity Statement

Activity Statement for October 22, 2010 - November 05, 2010

[Expand All](#) | [Contract All](#) | [Print](#)

INTERACTIVE BROKERS LLC, Two Pickwick Plaza, Greenwich, CT 06830

Click on the slate blue bars to expand sections, or the cream color summary lines to expand details.

### Account Information

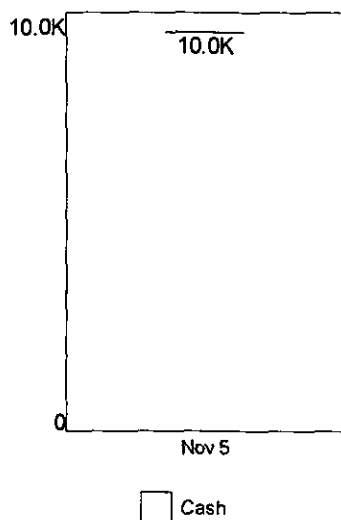
Name	Direct Trading Solutions LLC
Account	<del>XXXXXXXXXX</del>
Investment Advisor	Direct Trading Solutions LLC
Account Type	Advisor Client
Customer Type	Limited Liability Company
Account Capabilities	Cash
Trading Permissions	Forex,Futures,Futures Options
Base Currency	USD

### Net Asset Value (NAV) In Base Currency ( October 22, 2010 - November 05, 2010 )

	Current Period			Prior Period	
	Total	Long	Short	Total	% Change
Cash	10,000.00	10,000.00	0.00	0.00	--
<b>Total</b>	<b>10,000.00</b>	<b>10,000.00</b>	<b>0.00</b>	<b>0.00</b>	<b>--</b>

**Net Asset Value (NAV) Time Series ( October 22, 2010 - November 05, 2010 )**

**Net Asset Value (NAV) Distribution**



**Cash Report ( October 22, 2010 - November 05, 2010 )**

	Total	Securities	Commodities	Month to Date	Year to Date
<b>Base Currency Summary</b>					
Starting Cash	0.00	0.00	0.00		
Deposits	10,000.00	10,000.00	0.00	10,000.00	10,000.00
Ending Cash	10,000.00	10,000.00	0.00		
Ending Settled Cash	10,000.00	10,000.00	0.00		

**Deposits & Withdrawals ( October 22, 2010 - November 05, 2010 )**

Settle Date	Description	Amount
<b>USD</b>		
2010-11-04, 20:30:00	CASH RECEIPTS / PERSONAL CHECK	10,000.00
<b>Total in USD</b>		<b>10,000.00</b>

**Codes**

**Notes/Legal Notes**

**Notes**

1. Stock transactions settle on the trade date plus three days and securities options settle on the trade date plus one day. Ending settled cash reflects the cash which has actually settled.
2. Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
3. Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of mutual fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees which are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer.
4. Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases. The settlement date for stock transactions is trade date + 3 business days, except for transactions on XETRA, which settle on trade date + 2 business days. Option transactions settle on trade date + 1 business day.
5. In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.



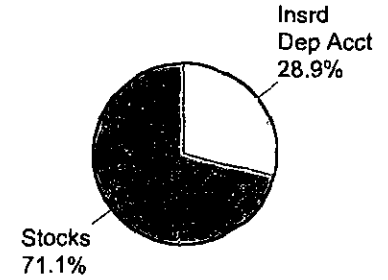
Statement Reporting Period:  
10/01/10 - 10/31/10

800-669-3900  
TD Ameritrade  
DIVISION OF TD Ameritrade INC  
PO BOX 2209  
OMAHA, NE 68103-2209

Statement for Account # [REDACTED]  
ERIC M BRUNHAMMER  
1320 DELAWARE AVE  
APT 1E  
WILMINGTON, DE 19806-4724

**Announcements:**  
NEW ENHANCEMENTS TO YOUR ACCOUNT STATEMENT. YOU WILL NOW SEE TWO NEW SECTIONS TO HELP ORGANIZE YOUR CASH MANAGEMENT ACTIVITIES WITHIN YOUR NON-RETIREMENT ACCOUNT.

Portfolio Summary							
Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	\$ -	\$ -	\$ -	-	\$ -	-	
Insr Dep Acct	1,256.20	1,256.15	0.05	-	-	0.05%	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	3,085.67	1,845.12	1,240.55	67.2%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	-	-	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$4,341.87</b>	<b>\$3,101.27</b>	<b>\$1,240.60</b>	<b>40.0%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	



Cash Activity Summary			Income & Expense Summary			Performance Summary	
	Current	YTD	Reportable	Non Reportable	YTD		
<b>Opening Balance</b>	\$ 0.00	\$ -	<b>Income</b>			<b>Cost Basis As Of - 10/31/10</b>	<b>\$743.23</b>
Securities Purchased	-	-	Dividends	\$ -	\$ -	Unrealized Gains	2,484.17
Securities Sold	-	-	Interest	-	-	Unrealized Losses	(141.73)
Funds Deposited	-	-	Other	-	-	Funds	-
Funds Disbursed	-	-	<b>Expense</b>			Deposited/(Disbursed) <sup>YTD</sup>	-
Income	-	-	Interest	-	-	Income/(Expense) <sup>YTD</sup>	-
Expense	-	-	Fees	-	-	Securities	-
Other	-	-	Other	-	-	Received/(Delivered) <sup>YTD</sup>	0.00
<b>Closing Balance</b>	<b>\$ 0.00</b>	<b>\$0.00</b>	<b>Net</b>	<b>\$0.00</b>	<b>\$0.00</b>		

Attachment 1B

FAQ | Contact Us | Identity Theft News | Member Logout

Member Home | Credit Reports | ScoreTracker | Credit Monitoring | Dispute Center | Tools | ID Theft | Special Offers | Account

Save Time and Money!

Credit reports can differ from bureau to bureau...

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Compare your reports side by side and see what lenders see

### Your Credit Information

[View Archived Reports\(s\)](#)

**EXP Credit Score**

**Credit Report**

[Printer Friendly Version](#)

- [Credit Information](#)
- [Collections](#)
- [Consumer Statement](#)
- [Aliases](#)
- [Addresses](#)
- [Report Key](#)
- [Public Records](#)
- [Credit Inquiries](#)
- [Employment](#)
- [Contact Information](#)

## YOUR CREDIT REPORT

**Name:** eric brunhammer  
**Address:** 1320 delaware ave  
**City, State Zip:** wilmington DE 19806

**Report #:** 201011084606178

**Date of Report:** 2010/11/08

### CREDIT INFORMATION



What's this?

**Account:** HSBC BANK

**Account #:** 001631xxxx

**Type:** Revolving

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2005-08	305	-	-	2007-10	-	-

[view details](#)



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What's this?

**Account:** HSBC BANK

**Account #:** 009635xxxx

**Type:** Revolving

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2008-04	750	-	-	2008-12	-	-

[view details](#)



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What's this?

Account: CHASE

Account #: 1083091047xxxx

Type: Installment

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2008-11	15786	326	10587	2010-10	This is an account in good standing	-

[view details](#)



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What's this?

Account: US DEPT OF EDUCATION

Account #: 221729xxxx

Type: Installment

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2000-02	2925	-	1038	2010-10	This is an account in good standing	-

[view details](#)



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What's this?

Account: HSBC/VLCTY

Account #: 36xxxx

Type: Revolving

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2008-06	0	-	-	2007-09	-	-

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What's this?

**Mortgage APRs Hit 3.62%**

Select Your State

AL	AK	AZ	AR
CA	CO	CT	DE
FL	GA	HI	ID
IL	IN	IA	KS
KY	LA	ME	MD
MA	MI	MN	MS
MO	MT	NE	NV
NH	ND	NM	NY
NC	ND	OH	OK
OR	PA	RI	SC
SD	TN	TX	UT
VT	VA	WA	WV
WI	WY		

[Calculate New Payment](#)

LowerMyBills.com

Account: CHASE Account #: 41041400xxxx Type: Revolving

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Joint	2004-09	10000	-	-	2009-01	-	-

[view details](#)



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What's this?

Account: CHASE Account #: 41858680xxxx Type: Revolving

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2005-12	3000	9	9	2010-10	This is an account in good standing	-

[view details](#)



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What's this?

Account: MCYDSNB Account #: 430479513xxxx Type: Revolving

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2007-10	-	7	0	2010-10	This is an account in good standing	-

[view details](#)



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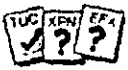


What's this?

Account: CAP ONE Account #: 43886417xxxx Type: Revolving

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2000-08	262	-	-	2000-12	-	-

[view details](#)



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What's this?

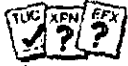
Account: CAP ONE

Account #: 48623671xxxx

Type: Revolving

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2005-02	1000	-	-	2009-03	-	-

[view details](#)



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What's this?

Account: SUNTRUST BANK

Account #: 490000443253xxxx

Type: Installment

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Cosigner	2004-03	3506	-	-	2005-10	-	-

[view details](#)



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What's this?

Account: CAP ONE

Account #: 51780572xxxx

Type: Revolving

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2004-06	2800	-	-	2009-08	-	-

[view details](#)



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What's this?

Account: CITI

Account #: 54241803xxxx

Type: Revolving

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	1999-12	3000	-	0	2002-12	This is an account in good standing	-

[view details](#)



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What's this?

Account: DELAWARE FCU/LSI

Account #: 577xxxx

Type: Installment

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2008-09	8000	138	6196	2010-10	This is an account in good standing	-

[view details](#)



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What's this?

Account: DELAWARE FCU/LSI

Account #: 577xxxx

Type: Installment

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2007-03	8906	-	-	2008-11	-	-

[view details](#)



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What's this?

Account: DELAWARE FCU/LSI

Account #: 577xxxx

Type: Installment

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2007-12	7000	-	-	2008-12	-	-

[view details](#)



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What's this?

Account: GEMB/BANANA REP

Account #: 60185945xxxx

Type: Revolving

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due

EXP Individual 2007-09 450 - - 2007-11 - -

[view details](#)



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What's this?

Account: GEMB/PAYPAL SMART CONN Account #: 60440710xxxx Type: Revolving

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2007-01	700	-	-	2008-07	-	-

[view details](#)



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What's this?

Account: WEBBANK/DFS Account #: 687945011904141xxxx Type: Revolving

Bureau	Tradeline Type	Date Open	High Limit	Monthly Payment	Account Balance	Last Reported	Account Status	Amount Past Due
EXP	Individual	2006-11	2500	15	0	2010-09	This is an account in good standing	-

[view details](#)



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## COLLECTIONS

No Entries Found

## PUBLIC RECORDS

Bureau	Status	Type	Filing Date	Amount	Comment	Court	Court City	Court State	Reference Number	Plaintiff
EXP	Judgment	Individual	2006-12	75		JUSTICE PEACE CRT #13	-	-	J0612003113	CITY OF WILMINGTON
EXP	Bankruptcy chapter 7-discharged	Individual	2003-10	-		US BKPT CT DE WILMINGT	-	-	0311932PJW	-

## CONSUMER STATEMENT

No Entries Found

## CREDIT INQUIRIES

Bureau	Inquiry Date	Subscriber Name
EXP	2010-04	AVANTUS LLC
EXP	2009-05	CAP ONE
EXP	2009-05	DELAWARE FCU/LSI
EXP	2009-03	LANDSAFE
EXP	2008-11	LANDSAFE
EXP	2008-11	FIFTH THIRD BANK
EXP	2008-11	CBC/NBT
EXP	2008-11	CAPITAL ONE AUTO FIN

## ALIASES

Bureau	Type	Name
EXP	Primary	ERIC M BRUNHAMMER

## EMPLOYMENT INFORMATION

Bureau	Name Indicator
EXP	GLACIAL ENERGY
EXP	SOFTWARE SVC OF DE

## ASSOCIATED ADDRESSES

Bureau	Address	City	State	Zip
EXP	1320 DELAWARE AVE	WILMINGTON	DE	198064724
EXP	32250 SANDPIPER DR	LEWES	DE	199583640
EXP	2105 GILLES ST	WILMINGTON	DE	198053720

## CONTACT INFORMATION

Bureau	Name	Address	City	State	Zip	Phone
EXP	AVANTUS LLC	600 SAW MILL RD -	WEST HAVEN	CT	06516	(203)931-2000
EXP	CAP ONE	PO BOX 30281 -	SALT LAKE CITY	UT	84130	()-

EXP	CAP ONE	PO BOX 85520 --	RICHMOND	VA	23285	(BYM)AIL-ONLY
EXP	CAPITAL ONE AUTO FIN	3901 DALLAS PKWY --	PLANO	TX	75093	(972)247-0777
EXP	CBC/NBT	PO BOX 1838 --	COLUMBUS	OH	43216	(877)237-8317
EXP	CHASE	201 N WALNUT ST # DE1-10 --	WILMINGTON	DE	19801	(BYM)AIL-ONLY
EXP	CHASE	PO BOX 15298 --	WILMINGTON	DE	19850	(800)955-9900
EXP	CITI	PO BOX 8241 --	SIOUX FALLS	SD	57117	(BYM)AIL-ONLY
EXP	DELAWARE FCU/LSI	150 E WATER ST --	DOVER	DE	19901	(302)739-4496
EXP	FIFTH THIRD BANK	800 SUPERIOR AVE E --	CLEVELAND	OH	44114	(216)896-5308
EXP	GEMB/BANANA REP	PO BOX 981400 --	EL PASO	TX	79998	(800)234-7455
EXP	GEMB/PAYPAL SMART CONN	PO BOX 981064 --	EL PASO	TX	79998	(866)571-3012
EXP	HSBC BANK	PO BOX 5253 --	CAROL STREAM	IL	60197	(800)477-6000
EXP	HSBC/VLCTY	PO BOX 15524 --	WILMINGTON	DE	19850	(BYM)AIL-ONLY
EXP	JUSTICE PEACE CRT #13	820 N FRENCH ST --	WILMINGTON	DE	19801	(BYM)AIL-ONLY
EXP	LANDSAFE	8400 LEGACY DR PTX-87 --	PLANO	TX	75024	(800)475-9516
EXP	MCYDSNB	9111 DUKE BLVD --	MASON	OH	45040	(800)243-6552
EXP	SUNTRUST BANK	PO BOX 85052 --	RICHMOND	VA	23285	(800)826-7959
EXP	US BKPT CT DE WILMINGT	US BANKRUPTCY COURT --	WILMINGTON	DE	19899	(BYM)AIL-ONLY
EXP	US DEPT OF EDUCATION	PO BOX 5609 --	GREENVILLE	TX	75403	(800)848-0979
EXP	WEBBANK/DFS	1 DELL WAY --	ROUND ROCK	TX	78682	(800)283-2210

### Credit Report Key

How to Use this Credit Report Key:

First, check to see that your account and personal information is correct.

Then, to understand each section, please refer to the corresponding numbered explanations below.



- 12. Credit Bureau**  
Indicates which of the three main credit reporting agencies (Equifax, Experian, TransUnion) reported the information.
- 13. Days Past Due 30/60/90**  
Any balance that is past due will appear in the Past Due 30/60/90 amount columns. Past Due 30/60/90 indicates the number of times an account was overdue 30, 60 or 90 days within the past seven years.
- 14. History Date**  
Date at which current history begins.
- 15. 24 Month History**  
For chronological reference. Indicates whether the account was current or past due on a month-to-month basis over the last 24 months including the date shown under "History Date."
 

<ul style="list-style-type: none"> <li><input type="checkbox"/> Not reported, unknown</li> <li><input type="checkbox"/> Current Account No Balance</li> <li><input type="checkbox"/> 30 30 days late</li> <li><input type="checkbox"/> 90 90 days late</li> <li><input type="checkbox"/> 150 150+ days late</li> <li><input type="checkbox"/> WB Wage earner plan or bankruptcy</li> </ul>	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Current Account</li> <li><input type="checkbox"/> TN Too new to rate; approved but not used</li> <li><input type="checkbox"/> 60 60 days late</li> <li><input type="checkbox"/> 120 120 days late</li> <li><input type="checkbox"/> CO Collection, charge off, bankruptcy</li> <li><input type="checkbox"/> RF Repossession, foreclosure, voluntary surrender</li> </ul>
--	---
- 16. Tradeline comments**  
Any file comments for the account

**Credit Dispute Assistance**

To dispute incorrect information on your Equifax credit report:

You may contact Equifax directly by calling their customer service department at 1-800-685-1111 for assistance or you can click on the link <https://www.ef.equifax.com> to file any dispute and/or corrections online.

**Equifax Information Services, LLC**

P.O. Box 740256  
Atlanta, GA 30374-0256

To dispute incorrect information on your Experian credit report:

Click on the link below to file any disputes and/or corrections you have with data contained in your Experian credit file. [www.experian.com/rs/fi59.html](http://www.experian.com/rs/fi59.html)

To dispute incorrect information on your TransUnion credit report:

You may contact TransUnion directly by calling their customer service department at 1-800-916-8800 for assistance or you can click on the link <http://annualcreditreport.transunion.com/entry/disputeonline> to file any disputes and/or corrections online.

**Trans Union Customer Relations**

P.O. Box 2000  
Chester, PA 19022

Para informacion en espanol, visitewww.ftc.gov/credito escribe a la FTC Consumer ResponseCenter, Room 130-A 600 Pennsylvania Ave. N.W., Washington, D.C. 20580.

**A Summary of Your Rights Under the Fair Credit Reporting Act**

The federal Fair Credit Reporting Act (FCRA) promotes the accuracy, fairness, and privacy of information in the files of consumer reporting agencies. There are many types of consumer reporting agencies, including credit bureaus and specialty agencies (such as agencies that sell information about check writing histories, medical records, and rental history records). Here is a summary of your major rights under the FCRA. For more information, including information about additional rights, go to [www.ftc.gov/credit](http://www.ftc.gov/credit) or write to: Consumer Response Center, Room 130-A, Federal Trade Commission, 600 Pennsylvania Ave. N.W., Washington, D.C. 20580.

You must be told if information in your file has been used against you. Anyone who uses a credit report or another type of consumer report to deny your application for credit, insurance, or employment - or to take another adverse action against you - must tell you, and must give you the name, address, and phone number of the agency that provided the information.

You have the right to know what is in your file. You may request and obtain all the information about you in the files of a consumer reporting agency (your "file disclosure"). You will be required to provide proper identification, which may include your Social Security number. In many cases, the disclosure will be free. You are entitled to a free file disclosure if:

a person has taken adverse action against you because of information in your credit report

you are the victim of identity theft and place a fraud alert in your file;

- your file contains inaccurate information as a result of fraud;
- you are on public assistance;
- you are unemployed but expect to apply for employment within 60 days.

In addition, by September 2005 all consumers will be entitled to one free disclosure every 12 months upon request from each nationwide credit bureau and from nationwide specialty consumer reporting agencies. See [www.ftc.gov/credit](http://www.ftc.gov/credit) for additional information.

**You have the right to ask for a credit score.** Credit scores are numerical summaries of your credit-worthiness based on information from credit bureaus. You may request a credit score from consumer reporting agencies that create scores or distribute scores used in residential real property loans, but you will have to pay for it. In some mortgage transactions, you will receive credit score information for free from the mortgage lender.

**You have the right to dispute incomplete or inaccurate information.** If you identify information in your file that is incomplete or inaccurate, and report it to the consumer reporting agency, the agency must investigate unless your dispute is frivolous. See [www.ftc.gov/credit](http://www.ftc.gov/credit) for an explanation of dispute procedures.

**Consumer reporting agencies must correct or delete inaccurate, incomplete, or unverifiable information.** Inaccurate, incomplete or unverifiable information must be removed or corrected, usually within 30 days. However, a consumer reporting agency may continue to report information it has verified as accurate.

**Consumer reporting agencies may not report outdated negative information.** In most cases, a consumer reporting agency may not report negative information that is more than seven years old, or bankruptcies that are more than 10 years old.

**Access to your file is limited.** A consumer reporting agency may provide information about you only to people with a valid need – usually to consider an application with a creditor, insurer, employer, landlord, or other business. The FCRA specifies those with a valid need for access.

**You must give your consent for reports to be provided to employers.** A consumer reporting agency may not give out information about you to your employer, or a potential employer, without your written consent given to the employer. Written consent generally is not required in the trucking industry. For more information, go to [www.ftc.gov/credit](http://www.ftc.gov/credit).

**You may limit "prescreened" offers of credit and insurance you get based on information in your credit report.** Unsolicited "prescreened" offers of credit and insurance must include a toll-free phone number you can call if you choose to remove your name and address from the lists these offers are based on. You may opt-out with the nationwide credit bureaus at 1-888- 587-8688.

**You may seek damages from violators.** If a consumer reporting agency, or, in some cases, a user of consumer reports or a furnisher of information to a consumer reporting agency violates the FCRA, you may be able to sue in state or federal court.

**Identity theft victims and active duty military personnel have additional rights.** For more information, visit [www.ftc.gov/credit](http://www.ftc.gov/credit).

States may enforce the FCRA, and many states have their own consumer reporting laws. In some cases, you may have more rights under state law. For more information, contact your state or local consumer protection agency or your state Attorney General. Federal enforcers are:

TYPE OF BUSINESS:	CONTACT:
Consumer reporting agencies, creditors and others not listed below	Federal Trade Commission: Consumer Response Center - FCRA Washington, DC 20580 1-877-382-4357
National banks, federal branches/agencies of foreign banks (word "National" or initials "N.A." appear in or after bank's name)	Office of the Comptroller of the Currency Compliance Management, Mail Stop 6-6 Washington, DC 20219 800-613-6743
Federal Reserve System member banks (except national banks, and federal branches/agencies of foreign banks)	Federal Reserve Board Division of Consumer & Community Affairs Washington, DC 20551 202-452-3693
Savings associations and federally chartered savings banks (word "Federal" or initials "F.S.B." appear in federal institution's name)	Office of Thrift Supervision Consumer Complaints Washington, DC 20552 800-842-6929

Attachment 1c

- FAQ
- Contact Us
- Identity Theft News
- Member Logout

- Member Home
- Credit Reports
- ScoreTracker
- Credit Monitoring
- Dispute Center
- Tools
- ID Theft
- Special Offers
- Account

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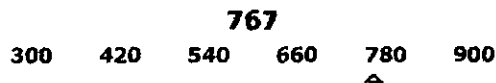
# CREDIT SCORES

## EXPERIAN CREDIT SCORE & ANALYSIS

### Background

Your Creditreportscore credit score is based on the information contained in your credit bureau report. Creditreportscore credit scores are between 300 and 900 and are meant as a predictor of the likelihood of a future serious delinquency (90 days late or greater) on any type of trade account. The higher your credit score, the better risk you are to not be late on payments. With a higher credit score, you are more likely to be eligible for the best credit card and loan offers, including terms and conditions, such as interest, fees, and benefits. Keep in mind that when lenders evaluate a credit application or existing credit account, credit scores are not the only factor they use in making their decision. They usually ask for additional information (such as income and monthly payments) to determine your ability to repay the loan. They may also check other credit score algorithms such as a bankruptcy risk predictor credit score, or a transaction credit score that look at spending patterns for signs you might become a higher risk.

### Your Credit Score:



### How does my score compare to others?

Your credit score is in the top 47% of the population

### What does that mean to me?

Congratulations! Your diligent credit habits have paid off; your credit score is in the top tier. You should be rewarded with the best offers from lenders. You will probably find companies competing for your business. While it may be possible to raise your score even higher, you are not likely to see a difference in terms of credit offers. Stay focused on maintaining your rating. A blemish at this level may have a greater impact on your score than it would on a lower score. Potential Pitfall: You may receive offers for a credit card with no limit. While such a card may sound good and may even come with appealing perks, be aware that your highest balance may be used as a substitute for your credit limit on such accounts. This could give the

appearance of being maxed out on that account—something that is typically seen as a negative when your score is calculated.

### Credit Analysis

There are many factors that can directly affect your credit score. Some factors improve your credit score and others can bring it down. The most important factors affecting your personal credit score are shown below. While some of the factors listed may be having a large impact to your credit score, some may be barely influencing it all. For example, if you have a very high credit score, some negative factors may not make much difference to your score.



## Factors

### Explanation

There are many factors that can influence your credit score. The most important factors are listed below, in their order of importance. Remember, these factors vary in how strongly they impact your credit score.

Additional details are provided for some factors to help you better understand how they relate to your credit accounts.

### Here are the top factors affecting your score:

#### Account balances

**Total available credit on recently reported open revolving accounts is too low** - A major part of your score is determined by analyzing how much you owe, particularly in proportion to your available credit. When a high percentage of your available credit has been used, it can raise concerns that you are overextending yourself and are more likely to make late payments or none at all. In addition to considering the amount owed on all accounts, the amount you owe on specific types of accounts, such as revolving accounts, is also a factor. Keep in mind that even if you pay your bills in full each month, your credit report will usually show the balance from your last statement. Keeping low balances (30% or less of available credit) can have a positive impact on your credit score.

#### Credit accounts

**Insufficient number of recently reported open real estate loans** - It is difficult to predict how you will manage credit if you aren't using credit. A small part of your credit score is determined by analyzing the type of credit you have including real estate loans. So, it is generally better for your score if you have recent credit activity rather than none at all. Keeping older accounts open and active, especially with lower balances, may extend your credit history overall as well as for specific types of credit, and can give potential lenders a broader base on which to base your credit history.

#### Credit history

**Average time revolving accounts have been open is too short** - In general, a longer credit history will have a positive impact on your credit score; but, there is little you can do to hurry the process. The length of your credit history has a greater impact if there is minimal other information in your file. Keeping older accounts open – even if you aren't using them – may extend your credit history overall as well as for specific types of credit. Most important is to

Your other  
**2 Credit Reports and Scores**  
are ready for your review!

Fast, secure delivery online!

**See My 3!**

show responsibility with the accounts you have while waiting for time to be on your side.

---

Para informacion en español, visite [www.ftc.gov/credit](http://www.ftc.gov/credit) o escriba a la FTC Consumer Response Center, Room 130-A 600 Pennsylvania Ave. N.W., Washington, D.C. 20580.

#### **A Summary of Your Rights Under the Fair Credit Reporting Act**

The federal Fair Credit Reporting Act (FCRA) promotes the accuracy, fairness, and privacy of information in the files of consumer reporting agencies. There are many types of consumer reporting agencies, including credit bureaus and specialty agencies (such as agencies that sell information about check writing histories, medical records, and rental history records). Here is a summary of your major rights under the FCRA. For more information, including information about additional rights, go to [www.ftc.gov/credit](http://www.ftc.gov/credit) or write to: Consumer Response Center, Room 130-A, Federal Trade Commission, 600 Pennsylvania Ave. N.W., Washington, D.C. 20580.

**You must be told if information in your file has been used against you.** Anyone who uses a credit report or another type of consumer report to deny your application for credit, insurance, or employment - or to take another adverse action against you - must tell you, and must give you the name, address, and phone number of the agency that provided the information.

**You have the right to know what is in your file.** You may request and obtain all the information about you in the files of a consumer reporting agency (your "file disclosure"). You will be required to provide proper identification, which may include your Social Security number. In many cases, the disclosure will be free. You are entitled to a free file disclosure if:

- a person has taken adverse action against you because of information in your credit report
- you are the victim of identity theft and place a fraud alert in your file;
- your file contains inaccurate information as a result of fraud;
- you are on public assistance;
- you are unemployed but expect to apply for employment within 60 days.

In addition, by September 2005 all consumers will be entitled to one free disclosure every 12 months upon request from each nationwide credit bureau and from nationwide specialty consumer reporting agencies. See [www.ftc.gov/credit](http://www.ftc.gov/credit) for additional information.

**You have the right to ask for a credit score.** Credit scores are numerical summaries of your credit-worthiness based on information from credit bureaus. You may request a credit score from consumer reporting agencies that create scores or distribute scores used in residential real property loans, but you will have to pay for it. In some mortgage transactions, you will receive credit score information for free from the mortgage lender.

**You have the right to dispute incomplete or inaccurate information.** If you identify information in your file that is incomplete or inaccurate, and report it to the consumer reporting agency, the agency must investigate unless your dispute is frivolous. See [www.ftc.gov/credit](http://www.ftc.gov/credit) for an explanation of dispute procedures.

**Consumer reporting agencies must correct or delete inaccurate, incomplete, or unverifiable information.** Inaccurate, incomplete or unverifiable information must be removed or corrected, usually within 30 days. However, a consumer reporting agency may continue to report information it has verified as accurate.

**Consumer reporting agencies may not report outdated negative information.** In most cases, a consumer reporting agency may not report negative information that is more than seven years old, or bankruptcies that are more than 10 years old.

**Access to your file is limited.** A consumer reporting agency may provide information about you only to people with a valid need - usually to consider an application with a creditor, insurer, employer, landlord, or other business. The FCRA specifies those with a valid need for access.

**You must give your consent for reports to be provided to employers.** A consumer reporting agency may not give out information about you to your employer, or a potential employer, without your written consent given to the employer. Written consent generally is not required in the trucking industry. For more information, go to [www.ftc.gov/credit](http://www.ftc.gov/credit).

**You may limit "prescreened" offers of credit and insurance you get based on information in your credit report.** Unsolicited "prescreened" offers for credit and insurance must include a toll-free phone number you can call if you choose to remove your name and address from the lists these offers are based on. You may opt-out with the nationwide credit bureaus at 1-888- 567-8688.

**You may seek damages from violators.** If a consumer reporting agency, or, in some cases, a user of consumer reports or a furnisher of information to a consumer reporting agency violates the FCRA, you may be able to sue in state or federal court.

**Identity theft victims and active duty military personnel have additional rights.** For more information, visit [www.ftc.gov/credit](http://www.ftc.gov/credit).

**States may enforce the FCRA, and many states have their own consumer reporting laws.** In some cases, you may have more rights under state law. For more information, contact your state or local consumer protection agency or your state Attorney General. Federal enforcers are:

TYPE OF BUSINESS:	CONTACT:
Consumer reporting agencies, creditors and others not listed below	Federal Trade Commission: Consumer Response Center - FCRA Washington, DC 20580 1-877-382-4357
National banks, federal branches/agencies of foreign banks (word "National" or initials "N.A." appear in or after bank's name)	Office of the Comptroller of the Currency Compliance Management, Mail Stop 6-6 Washington, DC 20219 800-613-8743
Federal Reserve System member banks (except national banks, and federal branches/agencies of foreign banks)	Federal Reserve Board Division of Consumer & Community Affairs Washington, DC 20551 202-452-3693
Savings associations and federally chartered savings banks (word "Federal" or initials "F.S.B." appear in federal institution's name)	Office of Thrift Supervision Consumer Complaints Washington, DC 20552 800-842-6929
Federal credit unions (words "Federal Credit Union" appear in institution's name)	National Credit Union Administration 1775 Duke Street Alexandria, VA 22314 703-519-4600
State-chartered banks that are not members of the Federal Reserve System	Federal Deposit Insurance Corporation Consumer Response Center 2345 Grand Avenue, Suite 100 Kansas City, Missouri 64108-2638 1-877-275-3342
Air, surface, or rail common carriers regulated by former Civil Aeronautics Board or Interstate Commerce Commission	Department of Transportation Office of Financial Management Washington, DC 20590 202-366-1306
Activities subject to the Packers and Stockyards Act	1921 Department of Agriculture Office of Deputy Administrator - GIPSA Washington, DC 20250 202-720-7051

For Credit Report questions, call 866-883-3309. This report is furnished by CreditReport.com at your request. This credit report is issued to permissible users as defined by the Fair Credit Reporting Act (Public Law 91-508) and is done in the strictest of confidence. Good faith effort has been made to obtain information from sources deemed as reliable, but the accuracy of this information is not guaranteed. CreditReport.com, PO Box 1829, Agoura Hills, Ca 91376.

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d. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

appendix F attached see attachment 1D

### 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a.) **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

I have a technical fitness plan attached (see attachment 1E),  
Business plan (see attachment 1F),  
Resume (see attachment 1G)  
and articles of Incorporation (see attachment 1H)

b.) **OFFICERS:** Identify Applicant's chief officers including names and their professional resumes.

Eric Brunhammer (President) resume attached

c.) **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number \_\_\_\_\_.
- Not applicable

Attachment 10

Appendix F

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COMMONWEALTH OF PENNSYLVANIA PUBLIC UTILITY COMMISSION

TAX CERTIFICATION STATEMENT

JAN 04 2011

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 8 1/2" x 11" paper. Type or print all information requested.

1. CORPORATE OR APPLICANT NAME: Clear Energy Solutions
2. BUSINESS PHONE NO. (302) 453-3217
CONTACT PERSON(S) FOR TAX ACCOUNTS:

3. TRADE/FICTITIOUS NAME (IF ANY):

4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BOX NO.) (POST OFFICE) STATE (ZIP)
32250 Sand piper drive (Nissan Acres) LEWES, DE. 19958

5. TYPE OF ENTITY
SOLE PROPRIETOR PARTNERSHIP CORPORATION
[X] LLC [ ] OTHER (Describe...)

6. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICERS(S)

Table with 2 columns: NAME (PRINT) and SOCIAL SECURITY NUMBER (OPTIONAL). Multiple rows for listing owners/partners/officers.

7. LIST THE FOLLOWING STATE & FEDERAL TAX IDENTIFICATION NUMBERS (ALL ITEMS A, B, & C MUST BE COMPLETED)

Applicant must provide explanation if submitting N/A for any items
Item A - Designated by the Pennsylvania Department of Revenue.
Item B - Designated by the Internal Revenue Service.
Item C - Designated by the Pennsylvania Department of Revenue. The Corporate Box number may also be referred to as the Corporate Account number.

A. SALES TAX LICENSE (8 DIGITS) APPLICATION PENDING N/A
C. CORPORATE BOX NUMBER (7 DIGITS) APPLICATION PENDING N/A

B. EMPLOYER ID (EIN) (9 DIGITS) APPLICATION PENDING N/A
I have my application to the Department of State attached See Attachment 10A

8. Do you have PA employees; resident or non-resident? YES NO
9. Do you own any assets or have an office in PA? YES NO

NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS
multi state tax PA SALES AND USE TAX
multi state tax EMPLOYER TAXES
multi state tax CORPORATE TAXES

PHONE 302 453 0127 PHONE 302 453 0127 PHONE 302 453 0127

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

Attachment 1 DA

Docketing Statement DSCB:15-134A (Rev 2001)  
Departments of State and Revenue

One (1) copy required

**BUREAU USE ONLY:**  
 Dept. of State Entity # \_\_\_\_\_  
 Dept. of Rev. Box # \_\_\_\_\_  
 Filing Period \_\_\_\_\_ Date 3 4 5 \_\_\_\_\_  
 SIC/NAICS \_\_\_\_\_ Report Code \_\_\_\_\_

Check proper box:

*Pennsylvania Entities*

business stock  
 business non-stock  
 professional  
 nonprofit stock  
 nonprofit non-stock  
 statutory close  
 management  
 cooperative  
 insurance  
 limited liability company  
 restricted professional  
 limited liability company  
 business trust

*Foreign Entities*

State/Country DE Date 11/9/2010

business  
 nonprofit  
 limited liability company  
 restricted professional  
 limited liability company  
 business trust

*Other*

domestication  
 division  
 consolidation

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JAN 04 2011

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

1. Entity Name:  
Clear Energy Solutions LLC

2. Individual name and mailing address responsible for initial tax reports:  
Eric Brunhammer 32250  
 Name Number and street City State Zip

3. Description of business activity:  
Electricity Broker

4. Specified effective date, if any:  
 \_\_\_\_\_  
 month/day/year hour, if any

5. EIN (Employer Identification Number), if any:  
391601567

6. Fiscal Year End:  
December 31

7. Fictitious Name (only if foreign corporation is transacting business in PA under a fictitious name):  
 \_\_\_\_\_

DSCB:15-8981/8211/8582-2

5. Check and complete one of the following:

The address of the office required to be maintained by it in the jurisdiction of its organization by the laws of that jurisdiction is:

32250 Sandpiper Drive (Nassau Acres) LEWES, DE. 19958

Number and street	City	State	Zip
-------------------	------	-------	-----

It is not required by the laws of its jurisdiction of organization to maintain an office therein and the address of its principal office is:

Number and street	City	State	Zip
-------------------	------	-------	-----

6. ~~For Restricted Professional Limited Liability Company Only. Strike out if inapplicable: The company is a restricted professional company organized to render the following professional service(s):~~

**Limited Liability Partnership and Limited Partnership: Complete paragraphs 7 and 8**

7. The name and business address of each general partner.

Name	Business Address

8. The address of the office at which is kept a list of the names and addresses of the limited partners and their capital contribution is:

Number and street	City	State	Zip	County
-------------------	------	-------	-----	--------

The registered partnership hereby undertakes to keep those records until its registration to do business in the Commonwealth is canceled or withdrawn.

IN TESTIMONY WHEREOF, the undersigned has caused this Application for Registration to be signed by a duly authorized officer/member or manager thereof this

9<sup>th</sup> day of November

Clear Energy Solutions LLC

Name of Partnership/Company

[Signature]

Signature

PRESIDENT

Title

PENNSYLVANIA DEPARTMENT OF STATE  
CORPORATION BUREAU

Application for Registration - Foreign

(15 Pa.C.S.)

- Registered Limited Liability General Partnership (§ 8211)
- Registered Limited Liability Limited Partnership (§ 8211)
- Limited Partnership (§ 8582)
- Limited Liability Company (§ 8981)

Name <u>Clear Energy Solutions LLC</u>			
Address <u>32250 Sandpiper Drive (NASSAU ALLES)</u>			
City <u>LEWES</u>	State <u>DE</u>	Zip Code <u>19958</u>	

Document will be returned to the name and address you enter to the left.

RECEIVED

JAN 04 2011

Fee: \$250

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in this Commonwealth, hereby states that:

1. The name to be registered is:  
Clear Energy Solutions LLC

2. (If the name set forth in paragraph 1 is not available for use in this Commonwealth, complete the following):  
The name under which the limited liability company/limited liability partnership/limited partnership proposes to register and do business in this Commonwealth is:

3. The name of the jurisdiction under the laws of which it was organized and the date of its formation:  
Jurisdiction: DE Date of Formation: 4-12-2010

4. The (a) address of its initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street	City	State	Zip	County
(b) Name of Commercial Registered Office Provider				County

1 E

## **8. TECHNICAL FITNESS**

Clear Energy Solutions was formed by Eric Brunhammer. Eric worked in the deregulated energy markets as a sales rep for a company called Glacial Energy.

Glacial Energy is a third party supply that services every deregulated state in the United States. Glacial Energy was strictly an indexed based product.

He worked for Glacial for a year and a half gaining experience in deregulated electricity as well as natural gas in the MD, DE, DC, NJ, NY market place.

Clear Energy Solutions will bid electric loads among major third party suppliers while also giving advice on different products. Products will included fixed, indexed and demand response.

Billing statements will be provided by suppliers and our commission will be collected by the suppliers.

Clear Energy Solutions currently has 59 commercial customers with a load amount of around 61 million kilowatt hours. The customers are in the Delmarva, Pepco, A.C. electric, Con Ed, PSE&G and BGE utilities.

1F

## CLEAR ENERGY SOLUTIONS

Our business plan for Clear Energy Solutions is to gradually grow our portfolio. We currently have \$61,000 of net revenue. By the end of 2010 we anticipate to have about \$150,000 of net revenue in sales.

We want to grow the business internally and gradually add salaried sales reps. I have an example below of how we plan to expand and grow.

150K--2 Employee

Me--55k

First Salesman--35k + 30% commission

Reserves--60k / 10k-Google adwords / Benefits--5k

TOTAL RESERVES=45K (reinvested)

250K--3 Employees

Me--60k

First Salesman--45k / 30% commission

Second Salesman--45k / 30% commission

Reserves--100k minus 20k--- / Google adwords--15k / Benefits--5k / Bonding--\$500 / goldmine--\$180

TOTAL RESERVES--80k (reinvested)

400k--4 Employees

Me--65k

Sales Staff--3 sales people (45kx3)=135k + 30% commission

Reserves--200k minus 61k--- / Google adwords--25k / Benefits--20k / Bonding--5k /

goldmine--\$240 / Office (\$7200), utilities (\$1440), phones (\$1920), computers/outlook

TOTAL RESERVES--140K (reinvested)

Loan--Start to look at loans for more growth

500k--5 Employess

Me--75k--Move into another market

Sales Manger--75k + Their Commissions + Percentage of all sales reps commissions (promote this person from within)--Also acts as marketing director for google ad words, receptionist and priicng analyst for index products.

Sales Staff--3 sales people (45kx3)=135k + 30% commission

Reserves--215k minus 66k--- / Google adwords(marketing)--25k / Benefits--25k / Bonding--5k / goldmine--\$240 / Office (\$7200), utilities (\$1440), phones (\$1920),

computers/outlook

TOTAL RESERVES--150K (reinvested)

Take out a 500k loan--

**OBJECTIVE**

Currently seeking a position where experience and education will be of immediate application

**QUALIFICATIONS SUMMARY**

Dynamic professional with sales and customer management experience gained through employment in staffing, finance, and energy sales industries. Broad base of readily transferable skills include:

Sales and demand side marketing  
 Bid and proposal generation  
 Account and development negotiations

Business development  
 Problem research and resolution  
 Client relationship management

**WORK EXPERIENCE****President**

Clear Energy Solutions

Wilmington, DE (June 2010-Present)

- President and Founder of Clear Energy Solutions. Electricity and Natural Gas sales to medium and large corporations in every deregulated market. Currently employ a sales force of 3 employees.
- Portfolio currently contains over 61 million kilowatt hours among 59 clients.
- Brokered major power agreements for companies such as: Constellation New Energy, Hess and Washington Gas
- Maintained strong client relationships by providing consulting services on demand response, efficiency, risk management and forecasting. Developed a high level of expertise in forecasting and modeling the PJM market, including peak/off peak load and time of use.

**Business Development Manager**

Glacial Energy

Wilmington, DE (Feb 2009-May 2010)

- Responsible for new client development. Sold Electricity contracts to medium and large corporations in the MD/DC/DE deregulated markets.
- Combination of inside and outside sales. Sold over 23 million kilowatt hours to 52 clients after 16 months achieving 85% of yearly quota. Ranked in top 10% of the sales force. Generated roughly \$2.5 million of gross revenue and \$875,000 of net revenue.
- Was July sales rep of the month with over 7 million kilowatt hours sold. Developed one of the largest sales pipelines of all BDMS for Glacial with over 125 million kilowatts and over 250 new sales leads.
- Acquired pricing and bid on some of the largest potential customers for Glacial Energy including DuPont.
- Maintained strong client relationships by providing after sale consulting services on risk management, and forecasting. Developed a high level of expertise in forecasting and modeling the PJM market, including peak/off peak load and time of use.

**Account Executive**

Blue Marble Logistics

Wilmington, DE (Dec 2006-Jan. 2009)

- Responsible for new client development. Sold logistic services to medium sized companies.
- Did a combination of inside and outside sales. Would meet with company owners to discuss program pricing and options
- Main source of client development was done through cold calling out of database, cold walking, and networking
- *Have currently tripled sales quota while at Blue Marble. Ranked number one new sales hire for Blue Marble. Doubled total required call time. Currently required to make 70 calls per day current average of 150. Tripled current revenue quota.*

**Financial Advisor**

Morgan Stanley

Wilmington, DE (March 2006-Oct 2006)

- Fully licensed: series 7,63,31
- Prospect and generate new client accounts. Sold wide range of financial products. Advise clients on financial plans utilizing knowledge of tax and investment strategies, securities, insurance, pension plans, and real estate. Raised 3 million in capital in six months exceeding yearly quota by 1.5 million.
- Develop and implement portfolios for high net worth clients. Duties include assessing clients' assets, liabilities, cash flow, insurance coverage, tax status, and financial objectives to establish investment strategies. Helped clients set up and execute personal trades.

Technology Recruiter **16**  
Impact Technologies  
Wilmington, DE. (August 2000-February 2006)

- Responsible for business development within the high technology sector in the New York City, Denver, Seattle, and San Jose labor markets.
- Assist clients in defining job responsibilities and compensation structures. Client companies include CISCO, AT&T and Extreme Networks.
- Cold call potential candidates, and introduce them to clients' opportunities. Secure appointments and interviews. Conduct reference checks on late stage candidates.

#### EDUCATION

University of Delaware (May 2000)  
B.S.H.R. Degree in Consumer Economics

- Related course work: *Economics*; *Marketing*; *Statistical Analysis*; *Business information systems*; *Organizational and management behavior*
- Four year scholarship recipient

1320 Delaware Ave. Wilmington, DE

ericbrunhammer@gmail.com

1H

**IRS** DEPARTMENT OF THE TREASURY  
INTERNAL REVENUE SERVICE  
CINCINNATI OH 45999-0023

005155.684892.0015.002 1 SP 0.440 532

Date of this notice: 04-12-2010

Employer Identification Number:  
37-1601867

Form: SS-4

Number of this notice: CP 575 G

For assistance you may call us at  
1-800-829-4933



CLEAR ENERGY SOLUTIONS LLC  
ERIC BRUNHAMMER SOLE MBR  
32250 SANDPIPER DR NASSAU ACRES  
LEWES DE 19958

IF YOU WRITE, ATTACH THE  
STUB OF THIS NOTICE.

005155

**-WE ASSIGNED YOU AN-EMPLOYER IDENTIFICATION NUMBER**

Thank you for applying for an Employer Identification Number (EIN). We assigned you EIN 37-1601867. This EIN will identify you, your business accounts, tax returns, and documents, even if you have no employees. Please keep this notice in your permanent records.

When filing tax documents, payments, and related correspondence, it is very important that you use your EIN and complete name and address exactly as shown above. Any variation may cause a delay in processing, result in incorrect information in your account, or even cause you to be assigned more than one EIN. If the information is not correct as shown above, please make the correction using the attached tear off stub and return it to us.

A limited liability company (LLC) may file Form 8832, Entity Classification Election, and elect to be classified as an association taxable as a corporation. If the LLC is eligible to be treated as a corporation that meets certain tests and it will be electing S corporation status, it must timely file Form 2553, Election by a Small Business Corporation. The LLC will be treated as a corporation as of the effective date of the S corporation election and does not need to file Form 8832.

To obtain tax forms and publications, including those referenced in this notice, visit our Web site at [www.irs.gov](http://www.irs.gov). If you do not have access to the Internet, call 1-800-829-3676 (TTY/TDD 1-800-829-4059) or visit your local IRS office.

**IMPORTANT REMINDERS:**

- \* Keep a copy of this notice in your permanent records. This notice is issued only one time and IRS will not be able to generate a duplicate copy for you.
- \* Use this EIN and your name exactly as they appear at the top of this notice on all your federal tax forms.
- \* Refer to this EIN on your tax-related correspondence and documents.

If you have questions about your EIN, you can call us at the phone number or write to us at the address shown at the top of this notice. If you write, please tear off the stub at the bottom of this notice and send it along with your letter. If you do not need to write us, do not complete and return this stub. Thank you for your cooperation.

## 9. DISCLOSURE STATEMENT:

- a. **Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

WE are not going to serve residential clients or  
Businesses under 25KW

## 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

*(Select only one of the following)*

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

- b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.

AGREED

c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201–54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.



AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.



AGREED

e. **ASSESSMENT:** The Commission does not presently assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see *PPL Energyplus, LLC v. Commonwealth*, 800 A.2d 360 (Pa. Cmwlth. 2002).



ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.



AGREED

**g. FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

**h. NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

**i. CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

**j. Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at appendix J.

AGREED

**k. FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

PAYMENT ENCLOSED

## 11. AFFIDAVITS

- a.) APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b.) OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Delaware :

ss.

County of New Castle :

Eric Brunhammer, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:  
[He/she is the President (Office of Affiant) of Clear Energy Solutions LLL (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Clear Energy Solutions LLL has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Clear Energy Solutions LLL has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Clear Energy Solutions LLL acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Clear Energy Solutions LLL acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Signature]  
Signature of Affiant

Sworn and subscribed before me this 10 day of Nov, 2010.

[Signature]  
Signature of official administering oath

My commission expires \_\_\_\_\_  
BRIAN THOMAS ORSETTI  
NOTARY PUBLIC  
STATE OF DELAWARE  
My Comm. Expires Aug. 13, 2014

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SECRETARY'S BUREAU

Appendix B

OPERATIONS AFFIDAVIT

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JAN 04 2011

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

[Commonwealth/State] of Delaware :

ss.

County of New Castle :

Eric Brunhammer, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Clear Energy Solutions LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Clear Energy Solutions LLC the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Clear Energy Solutions LLC the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Clear Energy Solutions LLC the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2 ), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

That Clear Energy Solutions LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. *Materials and information* requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

  
\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 10 day of Nov, 2010.

  
\_\_\_\_\_  
Signature of official administering oath

My commission expires \_\_\_\_\_  
BRIAN THOMAS ORSETTI  
NOTARY PUBLIC  
STATE OF DELAWARE  
My Comm. Expires Aug. 13, 2014

## 12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	<u>Duquesne</u>	<u>Met Ed</u>	<u>PECO</u>	<u>Penelec</u>	<u>Penn Power</u>	<u>PPL</u>	<u>UGI</u>	<u>West Penn</u>	<u>Entire Commonwealth</u>
Philadelphia Daily News		X	X			X			X
Harrisburg Patriot-News		X		X		X		X	X
Scranton Times Tribune		X		X		X	X		X
Williamsport Sun Gazette				X		X		X	X
Johnstown Tribune Democrat				X				X	X
Erie Times-News				X	X				X
Pittsburgh Post-Gazette	X				X			X	X

(Example Publication is provided at Appendix H)

## 13. SIGNATURE

Applicant: Clear Energy Solutions  
 By: [Signature]  
 Title: PRESIDENT

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PA PUBLIC UTILITY COMMISSION  
 SECRETARY'S BUREAU

eric brunhammer

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**From:** Moscato, Jim [jmoscato@phillynews.com]  
**Sent:** Tuesday, November 16, 2010 4:15 PM  
**To:** eric@clearenergysolutions.org  
**Attachments:** \_Certification\_.txt

<b>Clear Energy Solutions</b> 32250 Sandpiper Drive, Lewes DE 19958 Phone (302)750-3217 Fax: 302-691-7279
---

**Ad running for 14 days, Inquirer & Daily News, 11/18-12/1, 2010**

**\$113.40 paid.**

**Thanks.**

**Philadelphia Inquirer, Daily News & Philly.com**  
**Phone: (800)341-3413 option 1 (option 2 for help wanted advertising)**  
**Fax: (888)711-1335**  
**jmoscato@phillynews.com**



**philly.com monster**

[philly.com/monster](http://philly.com/monster)

**For security purposes, do not include credit card information via email. Philadelphia Newspapers, LLC will contact you directly for credit card information.**

 Please consider the environment before printing this e-mail.

11/17/2010

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Appendix C

Example  
CERTIFICATE OF SERVICE

On this the 10<sup>th</sup> day of November 2010 I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate X  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

X Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Small Business Advocate X  
Commerce Building, Suite 1102  
300 North Second Street  
Harrisburg, PA 17101

X Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

X Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Legal Department  
Attn: Paul Russell  
PPL  
Two North Ninth Street  
Allentown, PA 18108-1179

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

UGI Utilities, Inc.  
Attn: Rates Dept. - Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Director of Customer Energy Services  
Orange and Rockland Company  
390 West Route 59  
Spring Valley, NY 10977-5300

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

 PRESIDENT Clear Energy Solutions LLC  
John Doe, President ABC Corp.

## 14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

**Applicant:** \_\_\_\_\_

	<b>Signature</b>	
	<b>Filing Fee</b>	
	<b>Application Affidavit</b>	
	<b>Operations Affidavit</b>	
	<b>Proof of Publication</b>	
	<b>Bond or Letter of Credit</b>	
	<b>Tax Certification Statement</b>	
	<b>Commonwealth Department of State Verification</b>	
	<b>Certificate of Service</b>	

Applicant's Use

PUC Secretary's Bureau Use

## Appendix I

### Electronic Data Interchange and Internet Requirements

Prior to doing business in an EDC service territory, the EGS must meet the Electronic Data Interchange (EDI) certification requirements of the EDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the EGS is encouraged to contact the EDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. EDC requirements of new suppliers may be found on the respective EDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at [http://www.puc.state.pa.us/electric/electric\\_electronic\\_data\\_exchange.aspx](http://www.puc.state.pa.us/electric/electric_electronic_data_exchange.aspx). The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

To keep current with Pennsylvania EDI practices and policies, a newly licensed EGS is strongly encouraged to participate in the EDEWG by contacting the following:

#### PA EDEWG EDI Contacts

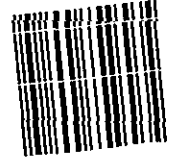

Entity Name	Contact Name	Telephone	Email	Preference
PA PUC	Annunciata Marino	717-772-2151	<a href="mailto:annmarino@state.pa.us">annmarino@state.pa.us</a>	None
PA EDEWG LDC Co-Chair	Sue Scheetz	610-774-3616	<a href="mailto:smscheetz@pplweb.com">smscheetz@pplweb.com</a>	Email
PA EDEWG ESP Co-Chair	George Behr	717-975-1927	<a href="mailto:GBehr@EnergyServicesGroup.net">GBehr@EnergyServicesGroup.net</a>	Email
PA EDEWG Secretary & Regional EDI Change Control Manager	Brandon Siegel	412-817-8004	<a href="mailto:bsiegel@ista-na.com">bsiegel@ista-na.com</a>	Email

#### PA EDC EDI Contacts

Company Name	Contact Name	Telephone	Email	Preference
Allegheny Power	Tom Graham	724-838-6528	<a href="mailto:EDISupport-EDC@alleghenypower.com">EDISupport-EDC@alleghenypower.com</a>	Email
Duquesne Light Co	Supplier Service Center	412-393-6169 OR 412-393-8310	<a href="mailto:DLC_SSC@duqlight.com">DLC_SSC@duqlight.com</a>	Email
FirstEnergy - Metropolitan Edison Co, Pennsylvania Electric, Penn Power, & JCP&L	Supplier Support	330-761-4348	<a href="mailto:SupplierSupport@firstenergycorp.com">SupplierSupport@firstenergycorp.com</a>	Email
PECO	Electric & Gas Choice Hotline	215-841-3700	<a href="mailto:egc@exeloncorp.com">egc@exeloncorp.com</a>	Email
PPL Electric Utilities	Donna M. Hirst	610-774-6349	<a href="mailto:dmhirst@pplweb.com">dmhirst@pplweb.com</a>	None
	Susan Scheetz	610-774-3616	<a href="mailto:smscheetz@pplweb.com">smscheetz@pplweb.com</a>	Email
	Supplier Support	610-774-6396	<a href="mailto:PPLUtilitiesSupplier@pplweb.com">PPLUtilitiesSupplier@pplweb.com</a>	Email
	EDI Team	610-774-5757	<a href="mailto:EDIUtilAdm@pplweb.com">EDIUtilAdm@pplweb.com</a>	Email
UGI Utilities Inc.	EDI Technical Support	610-736-5471	<a href="mailto:edi@ugi.com">edi@ugi.com</a>	Email

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Wilmington, DE 19804

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Secretary  
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Harrisburg, PA 17105-3265

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