PHILIP A. BAUMGARTEN Attorney-at-Law 2005 PALMER AVENUE - #191 LARCHMONT, NY 10538 Tel. (914) 656-6705 Fax (914) 834-0610 Email: philbaum89@aol.com

2011 MAR 23 AM 9: 49
PA.P.U.C.
SECRETARY'S BUREAU

Via Priority Mail

March 19, 2011

Pennsylvania Public Utility Commission Secretary P.O. Box 3265 Harrisburg, PA 17105-3265

Re: Resource Energy System LLC Docket No. A-2011-2224748

Dear Sir or Madam:

Enclosed herewith is our response to Mr. Vogelsong's request for additional information (see copy of letter enclosed), which was previously emailed to him. Please update your records accordingly.

Should you need any additional information, please contact me:

Very truly yours,

Philip A. Baumgarten

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BUREAU OF FIXED UTILITY SERVICES Resource Energy Systems, LLC Electric Generation Supplier Application Docket No. A-2011-2224748

Data Requests

EGS-1 CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS

(EGS Application Item 1.e)

Provide the name, title, address, telephone number, fax number and e-mail address for the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies.

Richard Plutzer, Chief Financial Officer Resource Energy Systems, LLC 1177 High Ridge Road Stamford, CT 06905 Tel. (201) 937-4210 Fax (203) 321-2198 Rplutzer@resglobal.com

No

Scott Reinstein, Chief Operating Officer Resource Energy Systems, LLC Same

> PA.P.U.C. SECRETARY'S BUREAU

EGS-2 PROPOSED MARKETING METHOD (EGS Application Items 4.f & 4.g)

(Check all that apply)
 Internal – Applicant will use its own internal resources/employees for marketing External EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer
 Affiliate – Applicant will use a NON-EGS affiliate marketing company and or individuals.
 External Third-Party – Applicant will contract with a NON-EGS third party marketing company and or individuals
 Other (Describe):

DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

 Yes

		If yes, will the Applicant be using a Third Party Verification procedure?
		Yes No
EGS-3		If yes, describe the Applicant's Third Party Verification procedures. PLIANCE Application Items 5.a – 5.d)
a.	affiliat	INAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an e, a predecessor of either, or a person identified in this Application, has been or is the the defendant of a criminal or civil proceeding within the last five (5) years.
	before	y all such proceedings (active or closed), by name, subject and citation; whether an administrative body or in a judicial forum. If the Applicant has no edings to list, explicitly state such.
	None	
b.		MARY: If applicable; provide a statement as to the resolution or present status of ch proceedings listed above.
c.	prosec identificuston	COMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or ted actions or complaints filed with or by a customer, regulatory agency, or utory agency against the Applicant, an affiliate, a predecessor of either, or a person fied in this Application, for the prior five (5) years, including but not limited to hers, Utility Commissions, and Consumer Protection Agencies such as the Offices orney General. If the Applicant has no actions or complaints to list, explicitly such.
	None	
d.		MARY: If applicable; provide a statement as to the resolution or present status of tions listed above.

EGS-4 FINANCIAL FITNESS

(EGS Application Item 7.a)

In support of the Applicant's request for a modification to the \$250,000 bonding requirement, explicitly verify the following:

- a. That the Applicant will not be taking title to electricity.

 Resource Energy Systems, LLC will not be taking title to electricity.
- b. That the Applicant will not be paying electricity bills on behalf of its customers Resource Energy Systems, LLC will not be taking title to electricity.

EGS-5 TECHNICAL FITNESS

(EGS Application Item 8.a)

Provide all or some of the following information:

- Applicant's previous experience in the electricity industry.

 See attachment to original application referred to as page 9, item 8
- Documentation of any licenses or certifications to operate as a supplier or broker/marketer of electric services in other states or jurisdictions.
 See attached
- Type of customers and number of customers Applicant currently serves in other jurisdictions.

Large industrial and commercial customers using over 25kw

- Staffing structure and numbers as well as employee training commitments.

 See attachment to original application referred to as page 9, item 8
- Business plans for operations within the Commonwealth.

 See attachment to original application referred to as page 9, item 8
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth. None

- Any other information appropriate to ensure the technical capabilities of the Applicant. None

Please note that if you deem any information you provide to be confidential, you may clearly designate it as such and the Commission will treat it accordingly.

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Certificate Number: 09-182E (1)

Íssued Pursuant to Case Number(s):

09-1<u>804-EL-AGG</u>

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, Resource Energy Systems, LLC whose office or principal place of business is located at 350 West Passaic Street, Rochelle Park, NJ 07662 to provide aggregation and power broker services within the State of Ohio effective December 17, 2009.

The certification of competitive retail-electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code:

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules, and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: December 21, 2009

By Order of

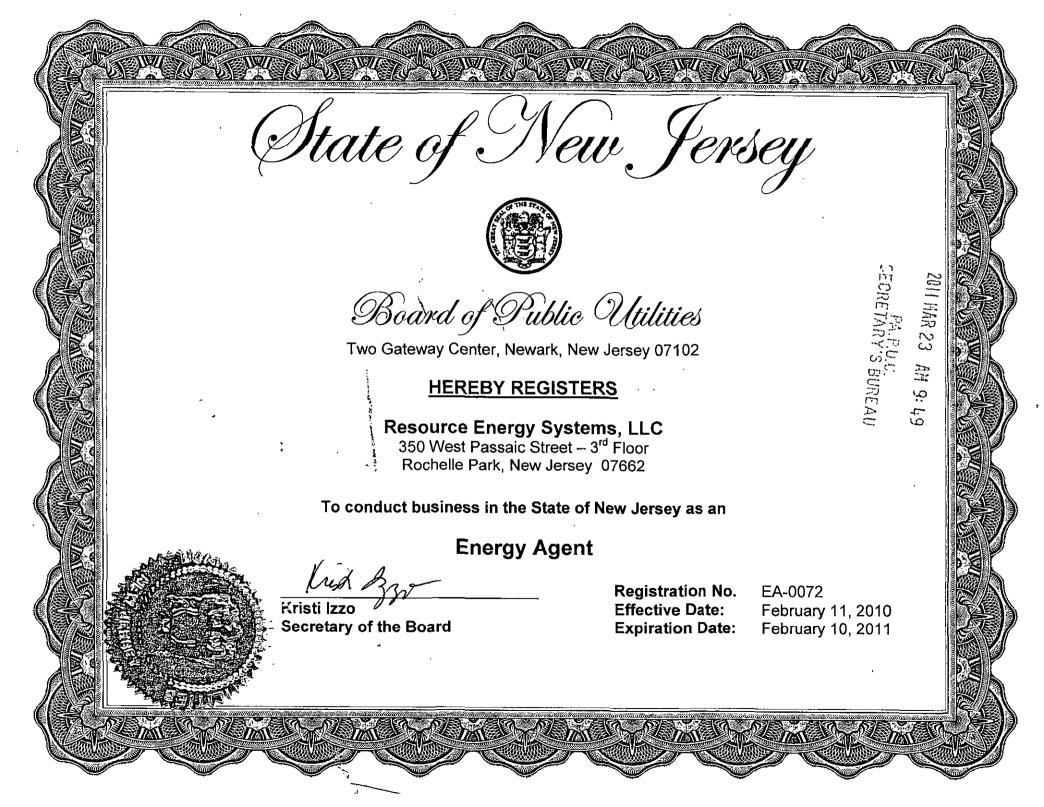
PUBLIC UTILITIES COMMISSION OF OHIO

Renee' J. Jenkins, Secretary

Betty McCauley, Acting Secretary

Mariruth C. Wright, Acting Secretary

Certificate Expires: <u>December 17, 2011</u>





THE COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

DEVAL L. PATRICK GOVERNOR

TIMOTHY P. MURRAY LIEUTENANT GOVERNOR:

> IAN A BOWLES SECRETARY

ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500 PAUL J. HIBBARD CHAIRMAN

W. ROBERT KEATING COMMISSIONER

> TIM WOOLF COMMISSIONER

December 6, 2010

Richard Plutzer, CFO Resource Energy Systems, LLC 1177 High Ridge Road Stamford, CT 06905

Re: Electricity Broker Application

Dear Mr. Plutzer:

The Department of Public Utilities ("Department") has reviewed Resource Energy Systems, LLC's application for an Electricity Broker license in the Commonwealth of Massachusetts and is pleased to inform you that the Department has approved your application. Please find enclosed a copy of your approved application. Your license number is EB-170.

As a condition of maintaining this license, Resource Energy Systems, LLC must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 11.05(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 C.M.R. §§ 11.00, 12.00 et seq. If you decide to request renewal of your license next year, please submit your renewal application no later than January 1, 2012.

Sincerely,

Mark D. Marini, Secretary

Enclosure (approved application without exhibits)

DOUGLAS R. M. NAZARIAN CHAIRMAN

HAROLD D. WILLIAMS SUSANNE BROGAN LAWRENCE BRENNER THERESE M. GOLDSMITH



PUBLIC SERVICE COMMISSION

#10, 1/12/11 AM; ML#s 126799 and 127569

License Reference No.: IR-2115

January 12, 2011

Richard Plutzer, CFO Resource Energy Systems, LLC 1177 High Ridge Road Stamford, CT 06905

Dear Mr. Plutzer:

On November 16, 2010, Resource Energy Systems, LLC filed an Application for a license to supply electricity or electric generation services in Maryland under COMAR 20.51. Additional information was filed on December 27, 2010. The Company proposes to provide electricity supplier services in Maryland for commercial and industrial customers as described in the application.

After considering this matter at the January 12, 2011 Administrative Meeting, the Commission granted Resource Energy Systems, LLC a license to supply electricity or electric generation services in Maryland in accordance with its Application (License Reference Number IR-2115). The license granted by the Commission under this Letter Order is limited solely to electricity broker services to commercial and industrial customers.

Finally, Resource Energy Systems, LLC is reminded that it is under a continuing obligation to notify the Commission of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

Terry J. Roming Executive Secretary

TJR/rmw

c:

Phil VanderHeyden, Electricity Division

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806 ;

410-767-8000

Toll Free: 1-800-492-0474

FAX: 410-333-6495

MDRS: 1-800-735-2258 (TTY/Voice)

Website: www.psc.state.md.us/psc/



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

DIVISION OF PUBLIC UTILITIES AND CARRIERS

Thomas F. Ahern ADMINISTRATOR 89 Jefferson Boulevard Warwick, R.I. 02888 OFFICE (401) 941-4500 x115 FAX (401) 941-9207 -TDD (401) 941-4500

December 20, 2010

Mr. Richard Plutzer Chief Financial Officer Resource Energy Systems, LLC. 1177 High Ridge Rd Stamford, CT 06905

Re: Resource Energy Systems, LLC's Application for Registration as a Non Regulated Power Producer pursuant to R.I.G.L. 39-1-27.1(c). Division Docket D-96-6 (R4)

Dear Mr. Plutzer:

The Division of Public Utilities has reviewed Resource Energy Systems, LLC.'s application for registration as a nonregulated power producer, pursuant to R.I.G.L. 39-1-27.1(c) and the Division's Rules Applicable to Nonregulated Power Producers. The application is complete as to the statutory requirements of 39-1-27.1(c), itemized in statute at (i) through (ix) and the Division's rules.

The Division accepts the registration application of Resource Energy Systems, LLC.as a nonregulated power producer. In conformance with the law, please note that the registration must be updated within ten days of any change to the information included in your application.

Sincerely,

pc: Luly Massaro, Commission Clerk John Bell, Utility Analyst

THE STATE OF NEW HAMPSHIRE

CHAIRMAN Thomas B. Getz

COMMISSIONERS Clifton C. Below Amy L. Ignatius

EXECUTIVE DIRECTOR AND SECRETARY Debra A. Howland



PUBLIC UTILITIES COMMISSION 21 S. Fruit Street, Suite 10 Concord, N.H. 03301-2429

Tel. (603) 271-2431

FAX (603) 271-3878

TDD Access: Relay NH 1-800-735-2964

> Website: www.puc.nh.gov

December 20, 2010

Richard Plutzer, CFO 1177 High Ridge Road Stamford, CT 06905

Re:

DM 10-305, Notification of Resource Energy Systems, LLC's Intent to Provide Electric

Aggregation Service

Dear Mr. Plutzer:

This is to confirm that the New Hampshire Public Utilities Commission (Commission) has received Resource Energy Systems, LLC's notice of intent to provide electric aggregation service in the State of New Hampshire, pursuant to New Hampshire Administrative Rules Puc 2003.05. Staff has reviewed the application provided and believes that it meets the requirements of Puc 2003.05. Based on Staff's recommendation and the Commission's review, the Commission approves Resource Energy Systems, LLC's application to provide electric aggregation service in New Hampshire.

Please note the following provision of Puc 2003.05 (f): "[E]ach approved aggregator shall re-notify the commission after the initial 2 year period, or after the 5 year renewal period as applicable, by filing with the commission an application for renewal at least 60 days prior to the expiration of the currently effective registration." Resource Energy Systems, LLC's original notice anniversary date is November 4, 2010 and therefore it is required to refile an up-to-date written notice on or before September 14, 2012 if it continues to offer and provide electric aggregation service. In addition, Resource Energy Systems, LLC is subject to the provisions of Puc 2004.03, telephone solicitation of customers, and Puc 2004.08, customer protections provided by aggregators.

If you have any questions regarding these provisions, please contact the Commission. Thank you for your cooperation in this matter.

Sincerely,

Debra A. Howland

Dele A. Louland

Executive Director



11:57





Board of Public Utilities

Two Gateway Center, Newark, New Jersey 07102

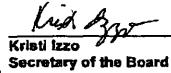
HEREBY REGISTERS

Resource Energy Systems, LLC

350 West Passaic Street - 3rd Floor Rochelle Park, New Jersey 07662

To conduct business in the State of New Jersey as an

Energy Agent



Registration No. Effective Date: Expiration Date:

EA-0072 February 11, 2010 February 10, 2011



VERIFICATION

I, Richard Plutzer, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities).

PU PULS

PA.P.U.C.

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Secretary

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Harrisburg, PA 17105-3265

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