

# BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Option One Energy LLC., d/b/a \_\_\_\_\_, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

## 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (*including any fictitious name or d/b/a*), primary address, web address, and telephone number of Applicant:

Option One Energy, LLC.  
321 N. Clark Street 5th Floor  
Chicago, IL. 60654  
www.OptionOneEnergy.com  
312.985.7987 (Phone)

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

MARLENE SPIOTTO  
147 S. Lincoln Ave  
Scranton, PA. 18504  
(P) 888.681.9777  
(F) 888.679.8222

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

ADAM MORRIS (CEO)  
321 N. Clark Street  
5th Floor  
Chicago IL. 60654  
(P) 312.985.7987 (F) 866.302.1708 Info@OptionOneEnergy.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

CURTIS STAROPOLI  
1389 Wilmington Pike (2nd Floor)  
West Chester, PA 19382  
(P) 888.667.2778 Curt@CSTAROPOLILAW.COM

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSSs.

ADAM MORRIS (CEO)  
321 N. Clark Street  
5th Floor  
Chicago IL. 60654  
(P) 312.985.7987 (F) 866.302.1708 Info@OptionOneEnergy.com

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

**a. FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

☐ The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

**or**

☒ The Applicant will not be using a fictitious name.

**b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

☐ The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**or**

☐ The Applicant is a:

- ☐ domestic general partnership (\*)
- ☐ domestic limited partnership (15 Pa. C.S. §8511)
- ☐ foreign general or limited partnership (15 Pa. C.S. §4124)
- ☐ domestic limited liability partnership (15 Pa. C.S. §8201)
- ☐ foreign limited liability general partnership (15 Pa. C.S. §8211)
- ☐ foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**or**

☒ The Applicant is a:

- ☐ domestic corporation (15 Pa. C.S. §1308)
- ☐ foreign corporation (15 Pa. C.S. §4124)
- ☐ domestic limited liability company (15 Pa. C.S. §8913)
- ☒ foreign limited liability company (15 Pa. C.S. §8981)
- ☐ Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. **See Registration of Foreign LLC, filed w/ the PA Department of State, Attachment 1, hereto.**
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. **\*\*\*\*\*Entity# 3943293**  
**See Statement of Authorized Person for Option One Energy LLC. and**  
**\* State of Delaware** **Certificate of Formation of Option One Energy LLC a Delaware LLC., Also**
- Give name and address of officers. **assigned EIN # documentation from IRS Attachment 2, hereto.**

**Option One Energy LLC. is a single member LLC. Adam Morris is the only officer.**

**Adam Morris**  
**1 Plateau Ct**  
**Newark DE. 19711**

### **3. AFFILIATES AND PREDECESSORS**

*(both in state and out of state)*

- a. AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

**Option One Energy LLC has no affiliates**

- b. PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

**Option One Energy LLC has no predecessors**

## 4. OPERATIONS

**a. APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

- ☐ The Applicant is presently doing business in Pennsylvania as a
- ☐ municipal electric corporation
  - ☐ electric cooperative
  - ☐ local gas distribution company
  - ☐ provider of electric generation, transmission or distribution services
  - ☐ Other; Identify the nature of service being rendered.

**or**

- ☒ The Applicant is not presently doing business in Pennsylvania.

**b. APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- ☐ Generator of electricity
- ☐ Supplier of electricity
- ☐ Aggregator engaged in the business of supplying electricity
- ☒ Broker/Marketer engaged in the business of supplying electricity services
- ☐ Electric Cooperative and supplier of electric power
- ☐ Other (Describe):

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

**c. PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Option One Energy LLC. will act as an intermediary in the sale and purchase of electric energy but will not take title to electric energy or receive payments from end user customers for electricity.

d. **PROPOSED SERVICE AREA:** Provide a list of each Electric Distribution Company for which the Applicant proposes to provide service.

1) Met Ed, 2) PECO, 3) PPL, 4) UGI, 5) Citizens Electric Company,  
6)Wellsboro Electric Company 7) Pike County Light & Power Company

e. **CUSTOMERS:** Applicant proposes to provide services to:

- ☐ Residential Customers
- ☐ Small Commercial Customers - (25 kW and Under)
- ☐ Large Commercial Customers - (Over 25 kW)
- ☐ Industrial Customers
- ☐ Governmental Customers
- ☒ All of above
- ☐ Other (Describe):

f. **PROPOSED MARKETING METHOD** (*check all that apply*)

- ☒ Internal – Applicant will use its own internal resources/employees for marketing
- ☐ External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- ☐ Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- ☐ External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- ☐ Other (Describe):

g. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- ☐ Yes
- ☒ No

If yes, will the Applicant be using a Third Party Verification procedure?

- ☐ Yes
- ☐ No **N/A**

If yes, describe the Applicant's Third Party Verification procedures.

**N/A**

- h. **START DATE:** Provide the approximate date the Applicant proposes to begin services within the Commonwealth.

6/01/2011 \*\*Applicant will begin services upon approval of this application

## 5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Option One Energy LLC. has no such proceedings

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

N/A

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

## 6. PROOF OF SERVICE

*(Example Certificate of Service is attached at Appendix C)*

### **Certificate of Service See Attachment 3**

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of the Small Business Advocate  
Commerce Building, Suite 1102  
300 North Second Street  
Harrisburg, PA 17101

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

- b.) **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

**Allegheny Power:**

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

**PECO:**

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

**Duquesne Light:**

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

**PPL:**

Legal Department  
Attn: Paul Russell  
PPL  
Two North Ninth Street  
Allentown, PA 18108-1179

**Met-Ed, Penelec, and Penn Power:**

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

**UGI:**

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

**Citizens' Electric Company:**

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

**Pike County Light & Power Company:**

Director of Customer Energy Services  
Orange and Rockland Company  
390 West Route 59  
Spring Valley, NY 10977-5300

**Wellsboro Electric Company:**

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

## 7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- ☐ Furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- ☐ Furnishing the **original** (along with copies) of another initial security for Commission approval, to ensure financial responsibility.
- ☒ Filing for a modification to the \$250,000 requirement and furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

**The Applicant is a broker/marketer that will not be required to collect/pay gross receipts tax. The applicant will not take title to electricity and will not pay electricity bills on behalf of its customers.**

- *At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.*
- *Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.*
- *Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.*

**\*\*\*Application is being filed Electronically so the original Bond is being filed separately. Attachment 4**

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.  
**Organizational Structure of applicant See Attachment 5**
  - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient) **Not Applicable**
  - Applicant's accounting statements, including balance sheet and income statements for the past two years. **Explanation and proposed P&L for fiscal year 2011 attached as CONFIDENTIAL ATTACHMENT 6**
  - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports. **D&B # is 833259448**
  - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- April 2011 Bank Statement attached as CONFIDENTIAL ATTACHMENT 7**
- Audited financial statements exhibiting accounts over a minimum two year period.  
**N/A Applicant began generating revenues in Jan 2011.**
  - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

**Option One Energy LLC was created as a Delaware LLC in 2010 but did not complete Licensing with the Illinois ICC to begin doing business until January 2011 and as such does not have audited financial statements. There is no parent, affiliate, or subsidiaries. It is a privately held single member LLC.**

- c. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

**SHARON P GILKEY - ACCOUNTANT**

**404B West Pulaski Highway**

**Elkton, MD. 21921 (P) 410.920.7215 (F) 410.741.3335 SGILKEY@ZOOMINTERNET.NET**



d. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

**\*See Tax Certification Statement - Attachment 8**

## 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a.) **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions. **See Resume - Attachment 9**
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

**\*\*Certificate of Service Authority Authorizing Option One Energy to operate as an Agent,, Broker or consultant by Illinois Commerce Commission. See Attachment 10    \*\*We're not supplier only Broker..**

b.) **OFFICERS:** Identify Applicant's chief officers including names and their professional resumes.

**Option One Energy is a single member LLC. Adam Morris is the only officer and his resume has been attached.**

c.) **FERC FILING:** Applicant has:

- ☐ Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- ☐ Received approval from FERC to be a Power Marketer at Docket or Case Number \_\_\_\_\_.
- ☒ Not applicable

## 9. DISCLOSURE STATEMENT:

- a. **Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

**Option One Energy will act as an intermediary only and as such will provide approved disclosures statements of licensed suppliers.**

## 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

**Option One Energy LLC is applying for broker/marketer license only and will not take title to electricity.**

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

*(Select only one of the following)*

- ☐ AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- ☐ AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ☒ ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

- b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.

- ☒ AGREED

c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.



AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.



AGREED

e. **ASSESSMENT:** The Commission does not presently assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see *PPL Energyplus, LLC v. Commonwealth*, 800 A.2d 360 (Pa. Cmwlth. 2002).



ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.



AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

☒ AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

☒ AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

☒ AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at appendix J.

☒ AGREED

- k. **FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania. **Application is being filed electronically proof of payment will be provided with originals sent to the Secretary's Office.**

☒ PAYMENT ENCLOSED

## 11. **AFFIDAVITS**

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A. **See signed and notarized Application Affidavit ATTACHMENT 11**  
**\*\*ORIGINAL FILED WITH SECRETARY OFFICE**
- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

**See signed and notarized Operations Affidavit ATTACHMENT 12**  
**\*\*ORIGINAL FILED WITH SECRETARY OFFICE**

## 12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	<u>Duquesne</u>	<u>Met Ed</u>	<u>PECO</u>	<u>Penelec</u>	<u>Penn Power</u>	<u>PPL</u>	<u>UGI</u>	<u>West Penn</u>	<u>Entire Commonwealth</u>
Philadelphia Daily News		X	X			X			X
Harrisburg Patriot-News		X		X		X		X	X
Scranton Times Tribune		X		X		X	X		X
Williamsport Sun Gazette				X		X		X	X
Johnstown Tribune Democrat				X				X	X
Erie Times-News				X	X				X
Pittsburgh Post-Gazette	X				X			X	X

(Example Publication is provided at Appendix H)

**PROOF OF PUBLICATIONS SEE ATTACHMENT 13**

## 13. SIGNATURE

Applicant: Adam Morris - OPTION ONE ENERGY LLC.  
 By: [Signature]  
 Title: CEO

#### 14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: OPTION ONE ENERGY LLC.

Applicant's Use	X	Signature		PUC Secretary's Bureau Use
	X	Filing Fee		
	X	Application Affidavit		
	X	Operations Affidavit		
	X	Proof of Publication		
	X	Bond or Letter of Credit		
	X	Tax Certification Statement		
	X	Commonwealth Department of State Verification		
	X	Certificate of Service		

## Appendix I

### Electronic Data Interchange and Internet Requirements

Prior to doing business in an EDC service territory, the EGS must meet the Electronic Data Interchange (EDI) certification requirements of the EDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the EGS is encouraged to contact the EDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. EDC requirements of new suppliers may be found on the respective EDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at [http://www.puc.state.pa.us/electric/electric\\_electronic\\_data\\_exchange.aspx](http://www.puc.state.pa.us/electric/electric_electronic_data_exchange.aspx). The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

To keep current with Pennsylvania EDI practices and policies, a newly licensed EGS is strongly encouraged to participate in the EDEWG by contacting the following:

#### PA EDEWG EDI Contacts

Entity Name	Contact Name	Telephone	Email	Preference
PA PUC	Annunciata Marino	717-772-2151	<a href="mailto:annmarino@state.pa.us">annmarino@state.pa.us</a>	None
PA EDEWG LDC Co-Chair	Sue Scheetz	610-774-3616	<a href="mailto:smscheetz@pplweb.com">smscheetz@pplweb.com</a>	Email
PA EDEWG ESP Co-Chair	George Behr	717-975-1927	<a href="mailto:GBehr@EnergyServicesGroup.net">GBehr@EnergyServicesGroup.net</a>	Email
PA EDEWG Secretary & Regional EDI Change Control Manager	Brandon Siegel	412-817-8004	<a href="mailto:bsiegel@ista-na.com">bsiegel@ista-na.com</a>	Email

#### PA EDC EDI Contacts

Company Name	Contact Name	Telephone	Email	Preference
Allegheny Power	Tom Graham	724-838-6528	<a href="mailto:EDISupport-EDC@alleghenypower.com">EDISupport-EDC@alleghenypower.com</a>	Email
Duquesne Light Co	Supplier Service Center	412-393-6282	<a href="mailto:DLC_SSC@duglight.com">DLC_SSC@duglight.com</a>	Email
FirstEnergy - Metropolitan Edison Co, Pennsylvania Electric, Penn Power, & JCP&L	Supplier Support	330-761-4348	<a href="mailto:SupplierSupport@firstenergycorp.com">SupplierSupport@firstenergycorp.com</a>	Email
PECO	Electric & Gas Choice Hotline	215-841-3700	<a href="mailto:egc@exeloncorp.com">egc@exeloncorp.com</a>	Email
PPL Electric Utilities	Donna M. Hirst	610-774-6349	<a href="mailto:dmhirst@pplweb.com">dmhirst@pplweb.com</a>	None
	Susan Scheetz	610-774-3616	<a href="mailto:smscheetz@pplweb.com">smscheetz@pplweb.com</a>	Email
	Supplier Support	610-774-6396	<a href="mailto:PPLUtilitiesSupplier@pplweb.com">PPLUtilitiesSupplier@pplweb.com</a>	Email
	EDI Team	610-774-5757	<a href="mailto:EDIUtilAdm@pplweb.com">EDIUtilAdm@pplweb.com</a>	Email
UGI Utilities Inc.	EDI Technical Support	610-736-5471	<a href="mailto:edi@ugi.com">edi@ugi.com</a>	Email

**ATTACHMENT 1**

**OPTION ONE ENERGY, LLC.**

**COMMONWEALTH DEPARTMENT OF STATE VERIFICATION**



COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
CORPORATION BUREAU  
401 NORTH STREET, ROOM 206  
P.O. BOX 8722  
HARRISBURG, PA 17105-8722  
WWW.CORPORATIONS.STATE.PA.US/CORP

OPTION ONE ENERGY LLC

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 3943293

Application For Registration - Foreign

ST, PA04B

From: pao4b@state.pa.us

Sent: Friday, January 22, 2010 4:15 PM

To: ST, PA04B

Subject: PA04B New Registration

279664

PENNSYLVANIA DEPARTMENT OF STATE  
CORPORATION BUREAU  
Application For Registration - Foreign

- ☐ Registered Limited Liability General Partnership (§ 6211)  
☐ Registered Limited Liability Limited Partnership (§ 6211)  
☐ Limited Partnership (§ 6562)  
☒ Limited Liability Company (§ 6081)

Document will be returned to the name and address you enter below.

Name  
Adam Morris  
Address  
10 E. Goethe Street  
Address

City State Zip  
Chicago IL 60610

Email: amorris112@gmail.com

Fee: \$250

Commonwealth of Pennsylvania  
APPLICATION FOR REGISTRATION 2 Page(s)



In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in this Commonwealth, hereby states that:

- The name to be registered is:  
OPTION ONE ENERGY LLC
- (If the name set forth in paragraph 1 is not available for use in this Commonwealth, complete the following):  
The name under which the limited liability company/limited liability partnership/limited partnership proposes to register and do business in this Commonwealth is:
- The name of the jurisdiction under the laws of which it was organized and the date of its formation:  
Jurisdiction: DE Date of Formation: 01/12/2010
- The (a) address of this initial registered office in this Commonwealth or (b) name of its commercial registered office;  
and the county of venue is:  
(a) Number and Street 147 S. LINCOLN AVE. City State P.A. Zip 18504 County LACKAWANNA  
(b) Name of Commercial Registered Office Provider
- Check and complete one of the following:  
The address of the office required to be maintained by it in the jurisdiction of its organization by the laws of that jurisdiction is:  
10 E. Goethe Street Chicago IL 60610  
Number and Street City State Zip  
It is not required by the laws of its jurisdiction of organization to maintain an office therein and the address of its principal office is:  
Number and Street City State Zip CC#250
- For Restricted Professional Limited Liability Company Only. Strike out if inapplicable: The company is a restricted professional company organized to render the following professional service(s):  
Energy (Gas, Electric, Green alternative) Consulting, Broker

1/25/2010

PA DEPT. OF STATE

PA DEPT. OF STATE

FEB 22 2010

MAR 22 2010

PA DEPT. OF STATE

MAR 22 2010

PENNSYLVANIA DEPARTMENT OF STATE  
CORPORATION BUREAU  
Foreign Signature Form

Document must be completed and mailed to the address listed below.

Department of State  
Corporation Bureau  
P.O. Box 8722  
Harrisburg, PA 17105-8722  
(717) 787-1067

1. The enterprise structure is:  
Limited Liability Company
2. The enterprise legal name is:  
OPTION ONE ENERGY LLC
3. The enterprise's fictitious name is:

IN TESTIMONY WHEREOF, the undersigned have caused this application to be executed this  
Tuesday of January, 2010

  
Signature of: Adam Morris

MEMBER  
Title

0968420100122

To avoid any delay or rejection, signature form(s) should be received within 7-10 days  
of the registration submission date.

PA DEPT. OF STATE  
JAN 22 2010

RECEIVED TIME JAN. 22. 4:23PM

PRINT TIME JAN. 22. 4:24PM

**ATTACHMENT 2**

**OPTION ONE ENERGY, LLC.**

**CERTIFICATE OF FORMATION DOCUMENTATION  
AND ASSIGNED EIN # FROM IRS**

# STATEMENT OF AUTHORIZED PERSON

\*\*\*\*\*

## STATEMENT OF ORGANIZATION

### OF THE AUTHORIZED PERSON OF

Option One Energy LLC

I, Richard H. Bell, being the Authorized Person of Harvard Business Services, Inc., the Formation Agent of Option One Energy LLC -- a Delaware Limited Liability Company -- hereby certify pursuant to Section 18-201 of the Delaware Limited Liability Company Act and to the best of my knowledge:

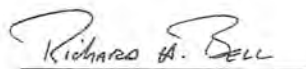
1. The Certificate of Formation of Option One Energy LLC was filed with the Secretary of State of Delaware on January 12, 2010.

2. On January 12, 2010 the following person(s) were named to serve as the Managing Member(s) of the Limited Liability Company until their successors are elected and qualify:

Adam Morris

3. The undersigned authorized person hereby resigns as the Formation Agent of the above named LLC as of the date of its formation.

In witness whereof, I have signed this instrument as of the date when these actions were so taken this 12th day of January, 2010.



HARVARD BUSINESS SERVICES, INC.

By Richard H. Bell, Organizer

\*\*\* This document is not part of the public record. Keep it in a safe place. \*\*\*

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 04:17 PM 01/12/2010  
FILED 03:59 PM 01/12/2010  
SRV 100031673 - 4776209 FILE

## CERTIFICATE OF FORMATION

OF

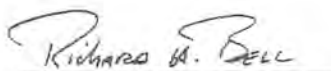
### Option One Energy LLC

(A Delaware Limited Liability Company)

**First:** The name of the limited liability company is: Option One Energy LLC

**Second:** Its registered office in the State of Delaware is located at 16192 Coastal Highway, Lewes, Delaware 19958, County of Sussex. The registered agent in charge thereof is Harvard Business Services, Inc.

IN WITNESS WHEREOF, I Richard H. Bell, being fully authorized to execute and file this document have signed below and executed this Certificate of Formation on this 12th day of January, 2010.



Harvard Business Services, Inc.  
By: Richard H. Bell, Organizer

Date of this notice: 01-12-2010

Employer Identification Number:  
27-1648987

Form: SS-4

Number of this notice: CP 575 G

For assistance you may call us at:  
1-800-829-4933

OPTION ONE ENERGY LLC  
ADAM MORRIS SOLE MBR  
1 PLATEAU CT  
NEWARK, DE 19711

IF YOU WRITE, ATTACH THE  
STUB AT THE END OF THIS NOTICE.

WE ASSIGNED YOU AN EMPLOYER IDENTIFICATION NUMBER

Thank you for applying for an Employer Identification Number (EIN). We assigned you EIN 27-1648987. This EIN will identify you, your business accounts, tax returns, and documents, even if you have no employees. Please keep this notice in your permanent records.

When filing tax documents, payments, and related correspondence, it is very important that you use your EIN and complete name and address exactly as shown above. Any variation may cause a delay in processing, result in incorrect information in your account, or even cause you to be assigned more than one EIN. If the information is not correct as shown above, please make the correction using the attached tear off stub and return it to us.

A limited liability company (LLC) may file Form 8832, *Entity Classification Election*, and elect to be classified as an association taxable as a corporation. If the LLC is eligible to be treated as a corporation that meets certain tests and it will be electing S corporation status, it must timely file Form 2553, *Election by a Small Business Corporation*. The LLC will be treated as a corporation as of the effective date of the S corporation election and does not need to file Form 8832.

To obtain tax forms and publications, including those referenced in this notice, visit our Web site at [www.irs.gov](http://www.irs.gov). If you do not have access to the Internet, call 1-800-829-3676 (TTY/TDD 1-800-829-4059) or visit your local IRS office.

**IMPORTANT REMINDERS:**

- \* Keep a copy of this notice in your permanent records. **This notice is issued only one time and the IRS will not be able to generate a duplicate copy for you.**
- \* Use this EIN and your name exactly as they appear at the top of this notice on all your federal tax forms.
- \* Refer to this EIN on your tax-related correspondence and documents.

If you have questions about your EIN, you can call us at the phone number or write to us at the address shown at the top of this notice. If you write, please tear off the stub at the bottom of this notice and send it along with your letter. If you do not need to write us, do not complete and return the stub. Thank you for your cooperation.

(IRS USE ONLY) 575G

01-12-2010 OPTI O 9999999999 SS-4

Keep this part for your records.

CP 575 G (Rev. 7-2007)

Return this part with any correspondence  
so we may identify your account. Please  
correct any errors in your name or address.

CP 575 G

9999999999

Your Telephone Number Best Time to Call  
( ) -

DATE OF THIS NOTICE: 01-12-2010  
EMPLOYER IDENTIFICATION NUMBER: 27-1648987  
FORM: SS-4 NOBOD

INTERNAL REVENUE SERVICE  
CINCINNATI OH 45999-0023  
|||||

OPTION ONE ENERGY LLC  
ADAM MORRIS SOLE MBR  
1 PLATEAU CT  
NEWARK, DE 19711



**ATTACHMENT 3**

**OPTION ONE ENERGY, LLC.**

**CERTIFICATE OF SERVICE**

## Appendix C

### CERTIFICATE OF SERVICE

On this the 3rd day of May 2011, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Small Business Advocate  
Commerce Building, Suite 1102  
300 North Second Street  
Harrisburg, PA 17101

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Legal Department  
Attn: Paul Russell  
PPL  
Two North Ninth Street  
Allentown, PA 18108-1179

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

Director of Customer Energy Services  
Orange and Rockland Company  
390 West Route 59  
Spring Valley, NY 10977-5300

 5/3/2011  
Adam Morris, CEO Option One Energy LLC.

**ATTACHMENT 4**

**OPTION ONE ENERGY, LLC.**

**ORIGINAL 10,000 BOND**

**\*\*ORIGINAL HAS BEEN FILED WITH THE SECRETARY NO COPY  
ATTACHED TO E-FILED APPLICATION**

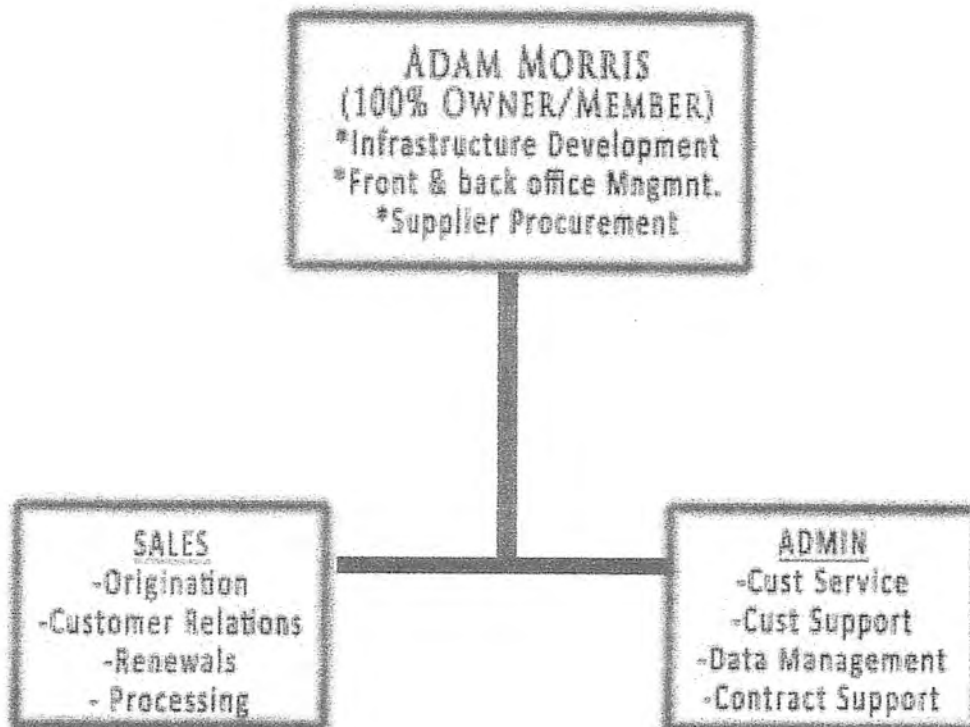
**ATTACHMENT 5**

**OPTION ONE ENERGY, LLC.**

**ORGANIZATIONAL CHART**

## Organizational Chart

(Adam B. Morris will be directly controlling and managing all key areas listed)



**ATTACHMENT 6**

**\*CONFIDENTIAL AND FILED UNDER SEAL**

**OPTION ONE ENERGY, LLC.**

**PROPOSED FISCAL YEAR 2011 P&L**

**-Filed with Secretary**

**ATTACHMENT 7**

**\*CONFIDENTIAL AND FILED UNDER SEAL**

**OPTION ONE ENERGY, LLC.**

**APRIL 2011 BANK STATEMENT**

**-Filed with Secretary**

**ATTACHMENT 8**

**OPTION ONE ENERGY, LLC.**

**TAX CERTIFICATION STATEMENT**



## Appendix F

### COMMONWEALTH OF PENNSYLVANIA PUBLIC UTILITY COMMISSION

### TAX CERTIFICATION STATEMENT

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 8 1/2" x 11" paper. Type or print all information requested.

<b>1. CORPORATE OR APPLICANT NAME</b> Option One Energy LLC.	<b>2. BUSINESS PHONE NO.</b> ( 312 ) 985-7987 <b>CONTACT PERSON(S) FOR TAX ACCOUNTS:</b>
---	---

**3. TRADE/FICTITIOUS NAME (IF ANY)**

<b>4. LICENSED ADDRESS</b>	(STREET, RURAL ROUTE, P.O. BOX NO.)	(POST OFFICE)	STATE	(ZIP)
321 N. Clark Street (5th Floor) Chicago IL. 60654				

**5. TYPE OF ENTITY**

<input type="checkbox"/> SOLE PROPRIETOR	<input type="checkbox"/> PARTNERSHIP	<input type="checkbox"/> CORPORATION
<input checked="" type="checkbox"/> LLC	<input type="checkbox"/> OTHER (Describe...)	

**6. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICERS(S)**

NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)										
Adam Morris	<table style="width: 100%; text-align: center;"> <tr> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> </tr> </table>										

**7. LIST THE FOLLOWING STATE & FEDERAL TAX IDENTIFICATION NUMBERS (ALL ITEMS A,B, & C MUST BE COMPLETED)**

**Applicant must provide explanation if submitting N/A for any items**

Item A - Designated by the Pennsylvania Department of Revenue.

Item B - Designated by the Internal Revenue Service.

Item C - Designated by the Pennsylvania Department of Revenue. The Corporate Box number may also be referred to as the Corporate Account number.

<b>A. SALES TAX LICENSE (8 DIGITS)</b> <table style="width: 100%;"> <tr> <td style="width: 80%;"> <table style="width: 100%; text-align: center;"> <tr> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> </tr> </table> </td> <td style="width: 20%;"> <b>APPLICATION</b>                  PENDING <input type="checkbox"/> N/A <input checked="" type="checkbox"/> </td> </tr> </table> <p><small>We do not charge sales tax. We Strictly market</small></p>	<table style="width: 100%; text-align: center;"> <tr> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> <td style="border: 1px solid black; width: 25px;"> </td> </tr> </table>									<b>APPLICATION</b> PENDING <input type="checkbox"/> N/A <input checked="" type="checkbox"/>	<b>C. CORPORATE BOX NUMBER (7 DIGITS)</b> <table style="width: 100%;"> <tr> <td style="width: 80%;"> <table style="width: 100%; text-align: center;"> <tr> <td style="border: 1px solid black; width: 25px;">2</td> <td style="border: 1px solid black; width: 25px;">8</td> <td style="border: 1px solid black; width: 25px;">5</td> <td style="border: 1px solid black; width: 25px;">9</td> <td style="border: 1px solid black; width: 25px;">6</td> <td style="border: 1px solid black; width: 25px;">1</td> <td style="border: 1px solid black; width: 25px;">5</td> </tr> </table> </td> <td style="width: 20%;"> <b>APPLICATION</b>                  PENDING <input type="checkbox"/> N/A <input type="checkbox"/> </td> </tr> </table>	<table style="width: 100%; text-align: center;"> <tr> <td style="border: 1px solid black; width: 25px;">2</td> <td style="border: 1px solid black; width: 25px;">8</td> <td style="border: 1px solid black; width: 25px;">5</td> <td style="border: 1px solid black; width: 25px;">9</td> <td style="border: 1px solid black; width: 25px;">6</td> <td style="border: 1px solid black; width: 25px;">1</td> <td style="border: 1px solid black; width: 25px;">5</td> </tr> </table>	2	8	5	9	6	1	5	<b>APPLICATION</b> PENDING <input type="checkbox"/> N/A <input type="checkbox"/>
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<table style="width: 100%; text-align: center;"> <tr> <td style="border: 1px solid black; width: 25px;">2</td> <td style="border: 1px solid black; width: 25px;">8</td> <td style="border: 1px solid black; width: 25px;">5</td> <td style="border: 1px solid black; width: 25px;">9</td> <td style="border: 1px solid black; width: 25px;">6</td> <td style="border: 1px solid black; width: 25px;">1</td> <td style="border: 1px solid black; width: 25px;">5</td> </tr> </table>	2	8	5	9	6	1	5	<b>APPLICATION</b> PENDING <input type="checkbox"/> N/A <input type="checkbox"/>												
2	8	5	9	6	1	5														
<b>B. EMPLOYER ID (EIN) (9 DIGITS):</b> <table style="width: 100%;"> <tr> <td style="width: 80%;"> <table style="width: 100%; text-align: center;"> <tr> <td style="border: 1px solid black; width: 25px;">2</td> <td style="border: 1px solid black; width: 25px;">7</td> <td style="border: 1px solid black; width: 25px;">1</td> <td style="border: 1px solid black; width: 25px;">6</td> <td style="border: 1px solid black; width: 25px;">4</td> <td style="border: 1px solid black; width: 25px;">8</td> <td style="border: 1px solid black; width: 25px;">9</td> <td style="border: 1px solid black; width: 25px;">8</td> <td style="border: 1px solid black; width: 25px;">7</td> </tr> </table> </td> <td style="width: 20%;"> <b>APPLICATION</b>                  PENDING <input type="checkbox"/> N/A <input type="checkbox"/> </td> </tr> </table>	<table style="width: 100%; text-align: center;"> <tr> <td style="border: 1px solid black; width: 25px;">2</td> <td style="border: 1px solid black; width: 25px;">7</td> <td style="border: 1px solid black; width: 25px;">1</td> <td style="border: 1px solid black; width: 25px;">6</td> <td style="border: 1px solid black; width: 25px;">4</td> <td style="border: 1px solid black; width: 25px;">8</td> <td style="border: 1px solid black; width: 25px;">9</td> <td style="border: 1px solid black; width: 25px;">8</td> <td style="border: 1px solid black; width: 25px;">7</td> </tr> </table>	2	7	1	6	4	8	9	8	7	<b>APPLICATION</b> PENDING <input type="checkbox"/> N/A <input type="checkbox"/>									
<table style="width: 100%; text-align: center;"> <tr> <td style="border: 1px solid black; width: 25px;">2</td> <td style="border: 1px solid black; width: 25px;">7</td> <td style="border: 1px solid black; width: 25px;">1</td> <td style="border: 1px solid black; width: 25px;">6</td> <td style="border: 1px solid black; width: 25px;">4</td> <td style="border: 1px solid black; width: 25px;">8</td> <td style="border: 1px solid black; width: 25px;">9</td> <td style="border: 1px solid black; width: 25px;">8</td> <td style="border: 1px solid black; width: 25px;">7</td> </tr> </table>	2	7	1	6	4	8	9	8	7	<b>APPLICATION</b> PENDING <input type="checkbox"/> N/A <input type="checkbox"/>										
2	7	1	6	4	8	9	8	7												

<b>8. Do you have PA employees, resident or non-resident?</b>	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
<b>9. Do you own any assets or have an office in PA?</b>	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

**NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS**

SHARON GILKEY PA SALES AND USE TAX	SHARON GILKEY EMPLOYER TAXES	SHARON GILKEY CORPORATE TAXES
PHONE 410.920.7215	PHONE 410.920.7215	PHONE 410.920.7215

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

**ATTACHMENT 9**

**OPTION ONE ENERGY, LLC.**

**EXECUTIVE RESUME**

# Adam B. Morris

321 N. Clark St 5<sup>th</sup> Floor Chicago, IL. 60654

---

## Professional Summary

- Career path and experience has prepared me for all key aspects of business operations and management
- Proven track record of driving multi-million dollar growth and expansion
- Owned, operated, and managed multiple companies requiring extraordinary organizational and management ability
- Master in team building and training
- Expert relationship builder, channel developer, negotiator and sales strategist

---

## Key Skills

Recruitment & Retention	Organizational Mgmt & Systems Pro
Interpersonal & Communication Skills	Marketing & Development
Sales Team Training & Management	Business & Channel Development
Complex Negotiations & Sales Cycles	Forecasting & Market Analytics

---

## Career Progression

### Option One Energy LLC. — IL, PA, DE, NJ, NY - Present

#### Owner & Founder, Jan 2010 - Present

Energy Consulting Company working on behalf of companies to lock in optimum rates, predict market changes, manage risks and budget for long-term stability.

- ◆ Manage every aspect of operations - training, recruitment, client and supplier relations
- ◆ Created core systems allowing company to run efficiently and grow while keeping margins under control
- ◆ Generated multi-million dollar pipeline within first month
- ◆ Obtained interest and offers from private venture capital companies within months of incorporating

### EliteFinancial.com LLC. — IL, FL, DE, MD - '05- '10

#### Owner, 2005-2010

A mortgage brokerage company specializing in A-Paper conforming loans and FHA financing. Operated in Florida, Maryland, Delaware, and Illinois.

- ◆ Built key teams that generated over 100 million in volume within our 1<sup>st</sup> year
- ◆ Secured wholesale contracts with the top lenders in the nation
- ◆ Developed quality control systems that kept our closing ratios near 100%
- ◆ Led all aspects of operations for all territories

---

## Achievement Highlights

*As Loan Officer (AMC)  
(July '03 to March '04):  
As Branch Manager  
(April '04 to Feb '05)*

*In My first job after graduating from the University of Delaware, before Option One, & Elite, I was hired as a Loan Officer with a mortgage lender in Wilmington DE.*

Shattered records and set standards that became contagious to others in the office

Promoted within 9 months and delegated the task of taking over the lowest grossing branch in the company at the time located in Chicago IL. (See progression next)

Built a core team of Loan Officers and Processors

Transformed the atmosphere and created a winning environment that closed 4 times the average volume in our first quarter

Built an A-caliber branch that demanded attention quickly

Key members from branch promoted throughout the company monthly in order to duplicate our system that drove sales while maintaining quality and recruiting talent

Left position to begin EliteFinancial.com in Feb 2005

---

---

**Education****University of Delaware 1998 – 2003***Bachelor Degree*

Major: Economics &amp; Leadership

Minor: Psychology

---

**Organizations**

Member of Alpha Tau Omega Fraternity

Delaware Alumni Organization

---

**Technology**Proficient in all PC & Mac systems as well as key software including MS Office, Adobe, Quickbooks,

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**ATTACHMENT 10**

**OPTION ONE ENERGY, LLC.**

**CERTIFICATE OF SERVICE AUTHORITY AUTHORIZING OPTION  
ONE ENERGY LLC. TO OPERATE AS AN AGENT, BROKER, OR  
CONSULTANT BY ILLINOIS COMMERCE COMMISSION.**

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Option One Energy, LLC

Application for Licensure of  
Agents, Brokers and Consultants  
under Section 16-115C of the  
Public Utilities Act.

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10-0633

ORDER

By the Commission:

**I. PRELIMINARY MATTERS**

On November 3, 2010, Option One Energy, LLC ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454 ("Part 454"). The Administrative Law Judge requested additional information and clarification of certain matters contained in the Application. The Applicant filed a verified response providing the requested information. Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

**II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT**

Applicant is a business organized under the laws of Delaware and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data.

### **III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C**

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant submitted an original surety bond in satisfaction of Section 454.80.

Applicant is required by Part 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment A. Attachment A includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment A includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

Applicant is required by Part 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Part 454.70 with the information provided, including the information included in Attachment B and the response to the Administrative Law Judge. Attachment B includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

The Applicant indicates in its Application that it is not currently licensed in any other jurisdictions as an agent, broker or consultant; and that it has had no complaints filed against it for its provision of services in the gas or electric industry.

Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

### **IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY**

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical and managerial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements.

The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Option One Energy, LLC is granted service authority to operate as an agent, broker or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

**V. FINDINGS AND ORDERING PARAGRAPHS**

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Option One Energy, LLC, a business organized under the laws of Delaware, seeks a certificate of service authority to operate as an agent, broker or consultant under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial and technical resources and abilities to provide services as an agent, broker or consultant for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) Option One Energy, LLC should be granted the certificate of service authority to operate as an agent, broker or consultant as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Option One Energy, LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that Option One Energy, LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.



IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 5th day of January, 2011.

(SIGNED) MANUEL FLORES

Acting Chairman

**ATTACHMENT 11**

**OPTION ONE ENERGY, LLC.**

**APPLICATION AFFIDAVIT**

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Delaware :

: ss.

County of New Castle :

ADAM MORRIS, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the CEO/PRESIDENT (Office of Affiant) of OPTION ONE ENERGY LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]


That the Applicant herein Option One Energy LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Option One Energy LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Option One Energy LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Option One Energy LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

  
Signature of Affiant

Sworn and subscribed before me this 4<sup>th</sup> day of May, 2011.

  
Signature of official administering oath

My commission expires 4-13-2013.



**ATTACHMENT 12**

**OPTION ONE ENERGY, LLC.**

**OPERATIONS AFFIDAVIT**

## Appendix B

### OPERATIONS AFFIDAVIT

[Commonwealth/State] of Delaware :

: ss.

County of New Castle :

ADAM MORRIS, Affiant, being duly [sworn/affirmed] according to law,  
deposes and says that:

[He/she is the President/CEO (Office of Affiant) of Option One Energy LLC.  
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Option One Energy LLC., the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Option One Energy LLC., the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Option One Energy LLC., the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2 ), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

## Appendix B (Continued)

That Option One Energy LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

  
\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 4 day of May, 2011.

  
\_\_\_\_\_  
Signature of official administering oath

My commission expires 4-13-2013.



**ATTACHMENT 13**

**OPTION ONE ENERGY, LLC.**

**PROOF OF PUBLICATIONS**

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT  
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF LYCOMING

SS:

Bernard A. Oravec

Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

April 27, 2011

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of publicat

PENNSYLVANIA  
PUBLIC UTILITY  
COMMISSION  
NOTICE

Application of Option One Energy LLC For Approval To Offer, Render, Furnish Or Supply Electricity, As A Marketer / Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Option One Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a broker/marketer engaged in the business of supplying electricity. Option One Energy LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Option One Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Option One Energy LLC attorney at the address listed below.

By and through Counsel:  
Curtis Staropoli  
1389 Wilmington Pike  
2nd Floor  
West Chester, PA 19382

an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice delegations in the foregoing statement as to time, place and character of publication are true.

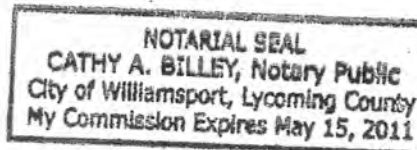
Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me

the 27<sup>th</sup> day of April, 2011

Cathy A. Billey  
Notary Public



## STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:

For publishing the notice attached

hereto on the above state dates.....\$ 719.48

Probated same.....\$

Total.....\$ 719.48

## PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec



Proof of Publication in The Philadelphia Daily News  
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA

Anna Dickerson being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

April 28, 2011

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

*Anna Dickerson*

Sworn to and subscribed before me this 28<sup>th</sup> day of  
April, 2011

*Mary Anne Logan*  
Notary Public

My Commission Expires:

NOTARIAL SEAL  
Mary Anne Logan, Notary Public  
City of Philadelphia, Phila. County  
My Commission Expires March 30, 2013

Copy of Notice of Publication

PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE

Application of **Option One Energy LLC** For Approval To Offer, Render, Furnish Or Supply Electricity. As A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Option One Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a broker/marketer engaged in the business of supplying electricity. Option One Energy LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Option One Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Option One Energy LLC attorney at the address listed below.

By and through Counsel: **Curtis Staropoli**  
1389 Wilmington Pike 2nd Floor  
West Chester, PA 19382

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)  
Commonwealth of Pennsylvania, County of Lackawanna

OPTION ONE ENERGY LLC  
ADAM MORRIS  
321 N CLARK STREET CHICAGO IL 60654

Account # 537702  
Order # 80545345  
Ad Price: 177.90

Gina Krushinski  
Being duly sworn according to law deposes and says that she is Billing clerk  
for The Scranton Times, owner and publisher of The Scranton Times, a newspaper  
of general circulation, established in 1870, published in the city of Scranton,  
county and state aforesaid, and that the printed notice or publication hereto  
attached is exactly as printed in the regular editions of the said newspaper  
on the following dates:

04/26/2011

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as to time, place and character or publication are true.

Sworn and subscribed to before me  
this 26th day of April A.D., 2011

Sharon Kentum  
(Notary Public)

**COMMONWEALTH OF PENNSYLVANIA**

**Notarial Seal**

Sharon Venturi, Notary Public  
City of Scranton, Lackawanna County  
My Commission Expires Feb. 12, 2014

Member, Pennsylvania Association of Notaries

The Patriot-News Co.  
2020 Technology Pkwy  
Suite 300  
Mechanicsburg, PA 17050  
Inquiries - 717-255-8213

**The Patriot-News**  
Now you know

OPTION ONE ENERGY  
321 N. CLARK STREET  
5TH FLOOR

CHICAGO

IL 60654

---

**THE PATRIOT NEWS  
THE SUNDAY PATRIOT NEWS**

**Proof of Publication**

Under Act No. 587, Approved May 16, 1929  
Commonwealth of Pennsylvania, County of Dauphin} ss

Holly Blain, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

**PUBLICATION COPY**

**This ad # 0002140097 ran on the dates shown below:**

**April 27, 2011**

*Holly Blain*  
.....

Sworn to and subscribed before me this 04 day of May, 2011 A.D.

*Sherril L. Kisner*  
\_\_\_\_\_  
Notary Public

**COMMONWEALTH OF PENNSYLVANIA**

Notarial Seal  
Sherril L. Kisner, Notary Public  
Lower Paxton Twp., Dauphin County  
My Commission Expires Nov. 26, 2011  
Member, Pennsylvania Association of Notaries

*will att*

The Patriot-News Co.  
2020 Technology Pkwy  
Suite 300  
Mechanicsburg, PA 17050  
Inquiries - 717-255-8213

**The Patriot-News**  
Now you know

OPTION ONE ENERGY  
321 N. CLARK STREET  
5TH FLOOR

CHICAGO

IL 60654

## **INVOICE**

**ALL CHARGES ARE NET**

<u>ACCT #</u>	<u>NAME</u>	<u>AD ORDER #</u>	<u>DATE</u>	<u>EDITION</u>	<u>ADDTL. INFO.</u>	<u>TYPE OF CHARGE</u>	<u>AMOUNT</u>
225858	OPTION ONE ENERGY	0002140097	04/27/11	REGULAR		BASIC AD CHARGE	\$171.59
225858	OPTION ONE ENERGY	0002140097	04/27/11	REGULAR		BOLD TEXT CHARGE	\$4.00
						AFFIDAVIT CHARGE	\$5.00
<b>TOTAL:</b>							<b>\$180.59</b>

**REMITTANCE ADDRESS**

The Patriot-News Co.  
23794 Network PL  
Chicago, IL 60673-1237

**Please include the Account # or Ad Order # (above) with your remittance--Thank You**

NOTE: This Invoice replaces the Order Confirmation which we previously sent with Proofs of Publication

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

Application of **Option One Energy LLC** For Approval To Offer, Render, Furnish Or Supply Electricity. As A Marketer/Broker Engaged In The Business Of supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Option One Energy LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. you should send copies of any protest to **Option One Energy LLC** attorney at the address listed below.

By and through Counsel: Curtis Staropoli  
1389 Wilmington Pike 2nd Floor  
West Chester, PA 19382