

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of HB Hayes @ Associates, LLC, d/b/a Alternative Energy Source, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Broker/Marketer to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

HB Hayes & Associates, LLC dba Alternative Energy Source
8225 Farnsworth Road, Suite A-10
Waterville, Ohio 43566
567-202-2728
www.aes-nrg.com

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Corporation Service Company
2595 Interstate Drive, Suite 103
Harrisburg, PA 17110
800-927-9807 - (p) 302.636.5454 - (f)

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Cozen O'Connor ATTN: Stacy Mitchell
457 Haddonfield Road, Suite 300
Cherry Hill, NJ 08002
856.910.5019 (p) 856.910.5075 (f)

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Cozen O'Connor ATTN: Stacy Mitchell
457 Haddonfield Road, Suite 300
Cherry Hill, NJ 08002
856.910.5019 (p) 856.910.5075 (f)

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

HB Hayes & Associates, LLC dba Alternative Energy Source
8225 Farnsworth Road, Suite A-10
Waterville, Ohio 43566
567-202-2728 Phone 419-878-0599 - Fax
Attn: Huck B. Hayes
HuckHayes@aes-nrg.com

Alternate contact:

Julie Hayes
8225 Farnsworth Road, Suite A-10
Waterville, Ohio 43566
567-202-2728 Phone 419-878-0599 - Fax
JulieHayes@aes-nrg.com

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2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

Refer to Appendix M

OR

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

OR

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OR

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Refer to Appendix K

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

Refer to Appendix K

- Give name and address of officers.

Huck B. Hayes, President
6171 Brimley Court
Whitehouse, OH 43571

Julie V. Hayes, CFO
6171 Brimley Court
Whitehouse, OH 43571

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

The applicant does not have any affiliates doing business in Pennsylvania, nor do they have affiliates that are currently applying to do so.

b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

The applicant does not have any predecessors.

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

- The Applicant is presently doing business in Pennsylvania as a
- municipal electric corporation
 - electric cooperative
 - local gas distribution company
 - provider of electric generation, transmission or distribution services
 - Other; Identify the nature of service being rendered.

or

- The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
- Electric Cooperative and supplier of electric power
- Other (Describe):

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

As a broker, Alternative Energy Source will be selling electric generation provided by multiple suppliers. Alternative Energy Source will not take title to the electric energy at any time.

d. **PROPOSED SERVICE AREA:** Provide a list of each Electric Distribution Company for which the Applicant proposes to provide service.

All nine (9) EDCs listed on page 7, paragraph 6. b.

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):

f. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

g. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using a Third Party Verification procedure?

- Yes
- No

If yes, describe the Applicant's Third Party Verification procedures.

- h. **START DATE:** Provide the approximate date the Applicant proposes to begin services within the Commonwealth.

August 15, 2011 or upon issuance of a license, whichever comes first.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Alternative Energy Source and its sole member have not been a defendant in a criminal or civil proceeding.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not Applicable

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

Alternative Energy Source has not received any formal actions or complaints to date.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not Applicable

6. PROOF OF SERVICE

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

- b.) **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Allegheny Power:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Pike County Light & Power Company:
Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Wellsboro Electric Company:
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **original** (along with copies) of another initial security for Commission approval, to ensure financial responsibility.
- Filing for a modification to the \$250,000 requirement and furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well. **Appendix D**

- *At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.*
- *Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.*
- *Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.*

b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

APPENDIX N ATTACHED SEPARATELY IN CONFIDENTIAL SEALED ENVELOPE.

c. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Julie V. Hayes, CFO, Alternative Energy Source
8225 Farnsworth Road, Waterville, OH 43566 567-202-2728 (p) 419-878-0599 (f)
JulieHayes@aes-nrg.com

d. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a.) **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions. **Certified in OH, certificate attached, Appendix K.**
- Type of customers and number of customers Applicant currently serves in other jurisdictions. **Between 175 - 200 Commercial and Industrial customers located in Ohio**
- Staffing structure and numbers as well as employee training commitments. **6 full time sales and administrative staff and 15 outside sales reps.**
- Business plans for operations within the Commonwealth. **To market electricity options at a lower rate for industrial and commercial users.**
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth. **See below**
- Any other information appropriate to ensure the technical capabilities of the Applicant.

Certified in the state of Ohio as an retail electric service provider, and a retail natural gas broker, certified as an energy procurement professional by the Association of Energy Engineers. Refer to Appendix L

b.) **OFFICERS:** Identify Applicant's chief officers including names and their professional resumes.

Huck B. Hayes - Member

Personal Resume attached - Appendix J

c.) **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- Not applicable

9. DISCLOSURE STATEMENT:

- a. **Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.
- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not Applicable

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED** - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED** - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED** - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

- b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.

AGREED

c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

AGREED

e. **ASSESSMENT:** The Commission does not presently assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see *PPL Energyplus, LLC v. Commonwealth*, 800 A.2d 360 (Pa. Cmwlth. 2002).

ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

g. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

h. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

i. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

j. Electronic Data Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at appendix J.

AGREED

Not Applicable for Brokers

k. FEE: The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

PAYMENT ENCLOSED

11. AFFIDAVITS

a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

Refer to Appendix A

b.) OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

Refer to Appendix B

12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	<u>Duquesne</u>	<u>Met Ed</u>	<u>PECO</u>	<u>Penelec</u>	<u>Penn Power</u>	<u>PPL</u>	<u>UGI</u>	<u>West Penn</u>	<u>Entire Commonwealth</u>
Philadelphia Daily News		X	X			X			X
Harrisburg Patriot-News		X		X		X		X	X
Scranton Times Tribune		X		X		X	X		X
Williamsport Sun Gazette				X		X		X	X
Johnstown Tribune Democrat				X				X	X
Erie Times-News				X	X				X
Pittsburgh Post-Gazette	X				X			X	X

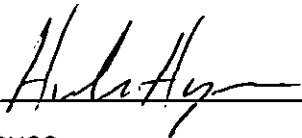
(Example Publication is provided at Appendix H)

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SECRETARY'S BUREAU

13. SIGNATURE

Applicant: 
 By: Huck Hayes
 Title: Member

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: HB Hayes & Associates, LLC d/b/a/
Alternative Energy Source

Applicant's Use	Signature		PUC Secretary's Bureau Use
	Filing Fee		
	Application Affidavit		
	Operations Affidavit		
	Proof of Publication		
	Bond or Letter of Credit		
	Tax Certification Statement		
	Commonwealth Department of State Verification		
	Certificate of Service		

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Ohio :

: SS.

County of Lucas :

Huck B. Hayes, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/ is the Member/Owner (Office of Affiant) of HB Hayes & Associates, LLC (Name of Applicant);]

[That he/ is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Huck B. Hayes has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Huck B. Hayes has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Huck B. Hayes acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Huck B. Hayes acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Huck B. Hayes
Signature of Affiant

Sworn and subscribed before me this 15 day of August, 2011.



JOYCE HERMAN
Notary Public, State of Ohio
My Commission Expires 09-17-2012

Joyce Herman
Signature of official administering oath

My commission expires 9-17-2012

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SECRETARY'S BUREAU

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Ohio :

SS.

County of Lucas :

Huck B. Hayes, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/~~she~~ is the Sole Member (Office of Affiant) of HB Hayes & Associates, LLC (Name of Applicant);]

[That he/~~she~~ is authorized to and does make this affidavit for said Applicant;]

That HB Hayes & Associates, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That HB Hayes & Associates, LLC, the Applicant herein, asserts that ~~he/she~~/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That HB Hayes & Associates, LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

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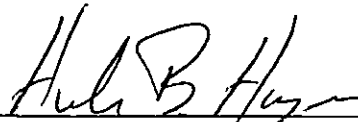
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Appendix B (Continued)

That HB Hayes & Associates, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/ her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 15 day of August, 2011.



JOYCE HERMAN
Notary Public, State of Ohio
My Commission Expires 09-17-2012



Signature of official administering oath

My commission expires 9-17-2012.

Appendix C

CERTIFICATE OF SERVICE

On this the 15th day of August 2011, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

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AUG 16 2011

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



Huck B. Hayes, Member
HB Hayes & Associates, LLC

Appendix D

Electric Generation Supplier License Bonds

Bonds submitted in order to satisfy the licensing process must comply with the following:

The bond in the amount of \$250,000 should name the Pennsylvania Public Utility Commission as the obligee or beneficiary, and should contain the following language:

This bond is written in accordance with Section 2809(c)(1)(i) of the Public Utility Code, 66 Pa. C.S. § 2809(c)(1)(i), to assure compliance with applicable provisions of the Public Utility Code, 66 Pa. C.S. §§101, et seq., and the rules and regulations of the Pennsylvania Public Utility Commission by the Principle as a licensed electric generation supplier; to ensure the payment of Gross Receipts Tax as required by Section 2810 of the Public Utility Code, 66 Pa. C.S. § 2810; and to ensure the supply of electricity at retail in accordance with contracts, agreements or arrangements. Payment of claims shall have the following priority: (i) The Commonwealth; (ii) Electric Distribution Companies for the reimbursement of Gross Receipts Tax; and (iii) Private individuals. Proceeds of the bond may not be used to pay any penalties or fines levied against the Principal for violations of the law, or for payment of any other tax obligations owed to the Commonwealth.

The original and two copies of the bond must be submitted to the Office of the Secretary, Pa. Public Utility Commission, P.O. Box 3265, Harrisburg, PA 17105-3265. The entry date of the Commission's Order will be the effective date for the license.

Not applicable to brokers. Modifying to \$10,000 per page number 8, Section 7. Financial Fitness, sub-paragraph a. Refer to Appendix E attached.

\$10,000 Bond attached - Appendix D-1

Appendix F

**COMMONWEALTH OF
PENNSYLVANIA
PUBLIC UTILITY COMMISSION**

**TAX CERTIFICATION
STATEMENT**

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 8 1/2" x 11" paper. Type or print all information requested.

1. CORPORATE OR APPLICANT NAME HB Hayes & Associates, LLC	2. BUSINESS PHONE NO. (567) 202-2728 CONTACT PERSON(S) FOR TAX ACCOUNTS: Julie V. Hayes
3. TRADE/FICTITIOUS NAME (IF ANY) Alternative Energy Source	
4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BOX NO.) (POST OFFICE) STATE (ZIP) 8225 Farnsworth Road, Suite A-10 Waterville OH 43566	
5. TYPE OF ENTITY <input type="checkbox"/> SOLE PROPRIETOR <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> CORPORATION <input checked="" type="checkbox"/> LLC <input type="checkbox"/> OTHER (Describe...)	

6. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICERS(S)

NAME (PRINT) Huck B. Hayes	SOCIAL SECURITY NUMBER (OPTIONAL) _ _ - _ _ - _ _ _ _
NAME (PRINT) Julie V. Hayes	SOCIAL SECURITY NUMBER (OPTIONAL) _ _ - _ _ - _ _ _ _
NAME (PRINT) PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU	SOCIAL SECURITY NUMBER (OPTIONAL) _ _ - _ _ - _ _ _ _
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL) _ _ - _ _ - _ _ _ _

RECEIVED
AUG 16 2011

7. LIST THE FOLLOWING STATE & FEDERAL TAX IDENTIFICATION NUMBERS (ALL ITEMS A, B, & C MUST BE COMPLETED)

Applicant must provide explanation if submitting N/A for any items

Item A - Designated by the Pennsylvania Department of Revenue.

Item B - Designated by the Internal Revenue Service.

Item C - Designated by the Pennsylvania Department of Revenue. The Corporate Box number may also be referred to as the Corporate Account number.

A. SALES TAX LICENSE (8 DIGITS) _ _ - _ _ _ _ _ _ _ _ APPLICATION PENDING <input type="checkbox"/> N/A <input checked="" type="checkbox"/>	C. CORPORATE BOX NUMBER (7 DIGITS) _ _ _ _ _ _ _ APPLICATION PENDING <input checked="" type="checkbox"/> N/A <input type="checkbox"/>
B. EMPLOYER ID (EIN) (9 DIGITS) 3 4 - 1 8 3 7 1 7 1 APPLICATION PENDING <input type="checkbox"/> N/A <input type="checkbox"/>	

8. Do you have PA employees; resident or non-resident?	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO
9. Do you own any assets or have an office in PA?	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO

NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS

Julie V. Hayes		
PA SALES AND USE TAX	EMPLOYER TAXES 567-202-2728	CORPORATE TAXES
PHONE	PHONE	PHONE

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

Appendix G

**Sample Disclosure Statement Format
for Electric Generation Suppliers**

This is an agreement for electric generation service, between EGS name and customer's name and full address.

Background

- We at EGS's name are licensed by the Pennsylvania Public Utility Commission to offer and supply electric generation services in Pennsylvania. Our PUC license number is A-XXXX-XXXXXXX.
- We set the generation prices and charges that you pay. The Public Utility Commission regulates distribution prices and services. The Federal Energy Regulatory Commission regulates transmission prices and services.
- Include one of the two following options:
 - 1) You will receive a single bill from billing agent name that will contain distribution utility name charges and EGS's name charges.
 - 2) You will receive a separate bill from EGS Name for generation charges and a separate bill from distribution utility name for its charges.
- Right of Rescission - You may cancel this agreement at any time before midnight of the third business day after receiving this disclosure.

Definitions

- Generation Charge - Charge for production of electricity.
- Transmission Charge - Charge for moving high voltage electricity from a generation facility to the distribution lines of an electric distribution company.
- Nonbasic Charges - *Define each nonbasic service being offered.*

Terms of Service

1. (a) Basic Service Prices - *Itemize Basic Services you are billing for and their prices.*

You will pay EGS rate per kWh for electric generation service.
Suppliers are to include any variable pricing conditions and limits, if charging a variable rate.

(Note to EGS applicants: this statement should include transmission charges if applicable. Contact the electric distribution utility to determine if transmission charges are included in their price-to-compare.)

(b) Nonbasic Service Prices - *Itemize Nonbasic Services you are offering and their prices.*

2. Length of Agreement

You will buy your electricity generation service for the above street address from EGS's name beginning date through date of expiration, if any.

Appendix G (Continued)

3. Special Terms and Conditions - List and explain all that apply.

- Sign-up bonuses
- Add-ons
- Limited time offers
- Other Sales Promotions
- Exclusions

4. Special Services - Provide explanation of price, terms and conditions, including advanced metering deployment, if applicable.

5. Penalties, Fees and Exceptions - List any that apply including a late payment charge. The print size for this section must be larger than the print in the rest of the agreement.

6. Cancellation Provisions - This category may consist of both customer initiated cancellation provisions and supplier initiated cancellation provisions.

7. Renewal Provision/Agreement Expiration/Change in Terms

If you have a fixed term agreement with us and it is approaching the expiration date or if we propose to change our terms of service, we will send you two advance written notices either in our bills or in separate mailings between 45 and 90 days before either the expiration date or the effective date of the changes. We will explain your options in these two advance notices.

8. Dispute Procedures

Contact us with any questions concerning our terms of service. You may call the PUC if you are not satisfied after discussing your terms with us.

9. Contact Information

Generation Supplier Name:

Address:

Phone Number:

Internet Address:

Electric Distribution Company Name:

Appendix G (Continued)

Provider of Last Resort Name:

Address:

Phone Number:

Public Utility Commission (PUC)

Address:

P.O. Box 3265 Harrisburg, PA 17105-3265

Choice Hotline Number:

1-800-692-7380

Universal Service Program Name:

Phone Number:

NOTICE PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of HB Hayes & Associates, LLC d/b/a Alternative Energy Source, for approval to offer electric generation services as a marketer/broker engaged in the business of supplying electricity to the public in the Commonwealth of Pennsylvania.

HB Hayes & Associates will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. HB Hayes & Associates proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of HB Hayes & Associates may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to HB Hayes & Associates' attorney at the address listed below.

By and through Counsel:

Mr. Jeffrey Norton
Cozen O'Connor
1900 Market Street
Philadelphia, PA 19103-3508
215-665-2000 (P) 215-665-2013 (F)
www.cozen.com

RECEIVED

AUG 16 2011

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

22 of July, 2011

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

COPY OF NOTICE OR PUBLICATION

**PENNSYLVANIA
PUBLIC UTILITY
COMMISSION**

NOTICE

Application of HB Hayes & Associates, LLC d/b/a Alternative Energy Source, for approval to offer electric generation services as a marketer/broker engaged in the business of supplying electricity to the public in the Commonwealth of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of HB Hayes & Associates may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to HB Hayes & Associates' attorney at the address listed below.

K. Flaherty
PG Publishing Company

Sworn to and subscribed before me this day of:
July 22, 2011

Linda M. Gaertner

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Linda M. Gaertner, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires Jan. 31, 2015

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

STATEMENT OF ADVERTISING COSTS

Cozen O'Connor
1900 MARKET ST
Attn: Marc Adler
PHILADELPHIA PA 19103-3527

To PG Publishing Company

Total ----- \$495.00

Publisher's Receipt for Advertising Costs

By and through
Counsel:
PG PUBLISHERS
hereby acknowledge
been fully paid for
Of:
34 Boulevard of the Allies
PITTSBURGH, PA 15222
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation, hereby certifies that the same have

PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Maria Lucia

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

ADVERTISING INVOICE

Pittsburgh Post-Gazette
Published by PG Publishing Co.

P.O. Box 400536
PITTSBURGH, PA 15268-0536
FEDERAL ID. 94-0692700

CLASSIFIED ADV. (412)263-1201
BILLING QUESTIONS (412)263-5050

NAME AND ADDRESS
COZEN O'CONNOR 1900 MARKET ST ATTN: MARC ADLER PHILADELPHIA, PA 19103-3527

DOCUMENT NUMBER	BILLING DATE
L0000000000	07/24/11
BILLING PERIOD	
07/18 to 07/24	
ACCOUNT NUMBER	
94777751	

PLEASE SEE OTHER SIDE OF INVOICE FOR DEFINITION OF CODES AND TERMS

START DATE	EXPIRE DATE	AD NUMBER	DESCRIPTION	PAPER	SECTION	RATE CLASS	LINES	TIMES	TERM	RATE	AMOUNT
07/23	07/22	16614860	Prepaid cash from ClassSpeed PENNSYLVIA/PUC- HB HAYE M		445	Cl a	66	1		7.50	-495.00 495.00

NOTICE

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of HB Hayes & Associates may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to HB Hayes & Associates attorney at the address listed below.

By and through Counsel:
Stacy A. Mitchell, Esq.
Cozen O'Connor
1900 Market Street
Philadelphia, PA 19103-3508
215-665-2000 (P)
215-665-2013 (F)
www.cozen.com

Previous Billing Date: 03/28/10

AMOUNT DOES NOT INCLUDE PAYMENTS RECEIVED AFTER	07/24/11	PREVIOUS BALANCE	PAYMENTS & CREDITS	CURRENT CHARGES	AMOUNT DUE
YOUR PAYMENT IS DUE	08/04/11	0.00	-495.00	495.00	0.00

TO INSURE PROPER CREDIT: DETACH AND RETURN THIS PORTION OF THE BILL WITH REMITTANCE

Pittsburgh Post-Gazette

Published by PG Publishing Co.
Federal ID # 94-0692700

DUE DATE
08/04/11

ACCOUNT NUMBER
94777751

AMOUNT DUE	AMOUNT ENCLOSED
0.00	

Pittsburgh Post Gazette
Credit Department
PO Box 566
Pittsburgh, PA 15230-0566

COZEN O'CONNOR
1900 MARKET ST
ATTN: MARC ADLER
PHILADELPHIA, PA 19103-3527

594777751J

0000049500

0000000000

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

COZEN O'CONNOR
STACY MITCHELL, ESQ
457 HADDONFIELD ROAD CHERRY HILL NJ 08002

Account # 545920
Order # 80656312
Ad Price: 209.75

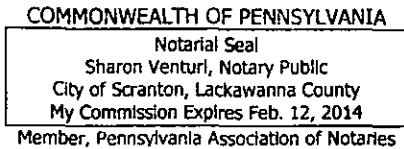
Gina Krushinski
Being duly sworn according to law deposes and says that she is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

07/21/2011

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me
this 21st day of July A.D., 2011

Sharon Venturi
(Notary Public)



LEGAL NOTICE

PENNSYLVANIA
PUBLIC UTILITY COMMISSION

Application of HB Hayes & Associates, LLC d/b/a Alternative Energy Source, for approval to offer electric generation services as a marketer/broker engaged in the business of supplying electricity to the public in the Commonwealth of Pennsylvania.

HB Hayes & Associates will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. HB Hayes & Associates proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of HB Hayes & Associates may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to HB Hayes & Associates' attorney at the address listed below.

By and through Counsel:
Stacy A. Mitchell, Esq.
Cozen O'Connor
1900 Market Street
Philadelphia, PA 19103-3508
215-665-2000 (Phone)
215-665-2013 (Fax)
www.cozen.com

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Anna Dickerson being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

July 22, 2011

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Anna Dickerson

Sworn to and subscribed before me this 22nd day of July, 2011.

Mary Anne Logan
Notary Public

My Commission Expires:

NOTARIAL SEAL
Mary Anne Logan, Notary Public
City of Philadelphia, Phila. County
My Commission Expires March 30, 2013

Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Application of HB Hayes & Associates, LLC d/b/a Alternative Energy Source, for approval to offer electric generation services as a marketer / broker engaged in the business of supplying electricity to the public in the Commonwealth of Pennsylvania. HB Hayes & Associates will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/ marketer engaged in the business of supplying electricity. HB Hayes & Associates proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of HB Hayes & Associates may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3266, Harrisburg, PA 17105-3266. You should send copies of any protest to HB Hayes & Associates' attorney at the address listed below.
By and through Counsel:
Stacy A. Mitchell, Esq.
Cozen O'Connor
1900 Market Street
Philadelphia, PA 19103-3508
215-665-2000 (P)
215-665-2013 (F)
www.cozen.com

OATH of PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

COZEN O CONNOR
457 HADDONFIELD RD STE 300
CHERRY HILL NJ 08002

REFERENCE: L0005237
1998816 PUC NOTICE

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Rosanne Cheeseman being duly sworn, deposes and says that she is the Publisher of the Times Publishing Company, which publishes: the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, successor, by consolidation, of the Morning News, established January 1957, and the Erie Daily Times, established April 1888, daily newspapers of general circulation and published at Erie, Erie County, Pennsylvania, and that the notice of which the attached is a copy published, in the regular editions of said newspaper of the dates referred to below. Affiant further deposes that she is duly authorized by the TIMES PUBLISHING COMPANY, publisher of The Erie Times-News to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the forgoing statement as to time, place and character of publication are true.

PUBLISHED ON: 07/22

TOTAL COST: 294.00 AD SPACE: 7.000 INCH
FILED ON: 07/22/11

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of HB Hayes & Associates, LLC d/b/a Alternative Energy Source, for approval to offer electric generation services as a marketer/broker engaged in the business of supplying electricity to the public in the Commonwealth of Pennsylvania. HB Hayes & Associates will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. HB Hayes & Associates proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

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By and through Counsel:
Stacy A. Mitchell, Esq.
Cozen O'Connor
1900 Market Street
Philadelphia, PA 19103-3508
215-665-2000 (P)
215-665-2013 (F)
www.cozen.com

1998816

Sworn to and subscribed before me this

22 day of July 2011 Affiant: Rosanne Cheeseman
NOTARY: Tami J. Davis

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Tami J. Davis, Notary Public
City of Erie, Erie County
My commission expires April 14, 2014

COMMONWEALTH OF PENNSYLVANIA }
County of Cambria } SS

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

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Stacy A. Mitchell, Esq.
Cozen O'Connor
1900 Market Street
Philadelphia, PA 19103-3508
215-665-2000 (P)
215-665-2013 (F)
www.cozen.com

*publish
that the
of The
the sub
said pu*

*County of Cambria, and Commonwealth of Pennsylvania and
ove matter published in said publication in the regular issues
PA, on July 22, 2011; and that the Affiant is not interested in
that all of the allegations as to time, place and character of*

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this
26th day of July, 2011.

[Signature]

0.00 Lines @ \$2.50 per line	0.00
6.5 Inches @ \$25.00 per inch	162.50
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	170.00

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Vivian Ohs, Notary Public
City of Johnstown, Cambria County
My Commission Expires Dec. 6, 2012
Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA
For publishing the notice or publication
attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

July 27, 2011

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION

NOTICE

Application of HB Hayes & Associates, LLC d/b/a Alternative Energy Source, for approval to offer electric generation services as a marketer/broker engaged in the business of supplying electricity to the public in the Commonwealth of Pennsylvania.

HB Hayes & Associates will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. HB Hayes & Associates proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or

financial fitness of HB Hayes & Associates may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to HB Hayes & Associates' attorney at the address listed below.

THE SUN-G
and certifies

By and through Counsel:

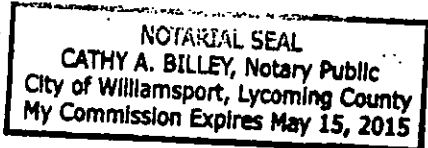
Stacy A. Mitchell, Esq.
Cozen O'Connor
1900 Market Street
Philadelphia, PA
19103-3508
215.665.2000

Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me
the *25th* day of *July* 20*11*

Cathy A. Billey
Notary Public



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:	
For publishing the notice attached	
hereto on the above state dates.....	\$ <i>240.60</i>
Probated same.....	\$
Total.....	\$ <i>240.60</i>

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

I hereby acknowledge receipt of the aforesaid advertising and publication costs been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

The Patriot-News Co.
2020 Technology Pkwy
Suite 300
Mechanicsburg, PA 17050
Inquiries - 717-255-8213

The Patriot-News
Now you know

COZEN O'CONNER ASSOCIATES
1900 MARKET STREET
ATTN: STACY MITCHELL

PHILADELPHIA PA 19103

THE PATRIOT NEWS
THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929
Commonwealth of Pennsylvania, County of Dauphin} ss

Holly Blain, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

This ad # 0002158269 ran on the dates shown below:

July 21, 2011

Holly Blain

Sworn to and subscribed before me this 25 day of July, 2011 A.D.

Sherrile L. Kisner

Notary Public

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal

Sherrile L. Kisner, Notary Public
Lower Paxton Twp., Dauphin County
My Commission Expires Nov. 26, 2011

Member, Pennsylvania Association of Notaries

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE
Application of HB Hayes & Associates, LLC d/b/a Alternative Energy Source, for approval to offer electric generation services as a marketer/broker engaged in the business of supplying electricity to the public in the Commonwealth of Pennsylvania.
HB Hayes & Associates will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. HB Hayes & Associates proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of HB Hayes & Associates may be filed within 15 days of the date of this notice with the Secretary of the PUC.

P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to HB Hayes & Associates' attorney at the address listed below:
By and through Counsel:
Stacy A. Mitchell, Esq.
Cozen O'Connor
1900 Market Street
Philadelphia, PA 19103-3508
215-665-2000 (P)
215-665-2013 (F)
www.cozen.com

Appendix I

Electronic Data Interchange and Internet Requirements

Prior to doing business in an EDC service territory, the EGS must meet the Electronic Data Interchange (EDI) certification requirements of the EDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the EGS is encouraged to contact the EDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. EDC requirements of new suppliers may be found on the respective EDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at http://www.puc.state.pa.us/electric/electric_electronic_data_exchange.aspx. The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

To keep current with Pennsylvania EDI practices and policies, a newly licensed EGS is strongly encouraged to participate in the EDEWG by contacting the following:

PA EDEWG EDI Contacts

Entity Name	Contact Name	Telephone	Email	Preference
PA PUC	Annunciata Marino	717-772-2151	annmarino@state.pa.us	None
PA EDEWG LDC Co-Chair	Sue Scheetz	610-774-3616	smscheetz@pplweb.com	Email
PA EDEWG ESP Co-Chair	George Behr	717-975-1927	GBehr@EnergyServicesGroup.net	Email
PA EDEWG Secretary & Regional EDI Change Control Manager	Brandon Siegel	412-817-8004	bsiegel@ista-na.com	Email

PA EDC EDI Contacts

Company Name	Contact Name	Telephone	Email	Preference
Allegheny Power	Tom Graham	724-838-6528	EDISupport-EDC@alleghenypower.com	Email
Duquesne Light Co	Supplier Service Center	412-393-6282	DLC_SSC@duqlight.com	Email
FirstEnergy - Metropolitan Edison Co, Pennsylvania Electric, Penn Power, & JCP&L	Supplier Support	330-761-4348	SupplierSupport@firstenergycorp.com	Email
PECO	Electric & Gas Choice Hotline	215-841-3700	egc@exeloncorp.com	Email
PPL Electric Utilities	Donna M. Hirst	610-774-6349	dmhirst@pplweb.com	None
	Susan Scheetz	610-774-3616	smscheetz@pplweb.com	Email
	Supplier Support	610-774-6396	PPLUtilitiesSupplier@pplweb.com	Email
	EDI Team	610-774-5757	EDIUtilAdm@pplweb.com	Email
UGI Utilities Inc.	EDI Technical Support	610-736-5471	edi@ugi.com	Email

Huck B. Hayes

8225 Farnsworth Rd., Suite A-10, Waterville, OH 43566

Tel: 866-646-7322 Fax: 866-865-8740

Email: Huckhayes@aes-nrg.com

EXPERIENCE

Owner 1991 to Present
Alternative Energy Source / HB Hayes & Associates, LLC *Waterville, Ohio*

- Successfully founded and continue to grow and manage the daily operations of an energy consulting company serving commercial and industrial accounts in Ohio, Michigan, Indiana and Illinois.
- Responsible for developing market share and for maintaining customer relationships, customer retention exceeds ninety (90%) percent.
- Responsible for developing supplier relationships and new market opportunities.
- Develop and manage energy supply programs for our clients, negotiate supply agreements, develop procurement/pricing strategies to best meet the needs of the customer.

Controller 1989 to 1991
Westhaven Pharmacy *Maumee, Ohio*

- Responsible for daily operations of accounting departments - \$12 million dollar company.
- Worked extensively with department managers developing and implementing budgets and internal controls.
- Managed a staff of twelve (12) full time office personnel.

Accounting Manager 1987 to 1989
Senior Auditor 1984 to 1987
Zale Corporation *Dallas, Texas*

- Involved with project team that assisted in developing and implementing the financial internal controls of the company's distribution centers accounting and inventory system.
- Worked extensively with department buyers and operation personnel coordinating activities to ensure proper financial control over all movement of merchandise ranging between \$10 Million to over \$500 Million.
- Managed a staff of six (6) full time office personnel.

Staff Accountant 1982 to 1984
Placid Oil Company *Dallas, Texas*

- Responsible for inventory system for domestic and overseas operations.

Page 2 – Resume of Huck Hayes

EDUCATION

Bachelors of Science, Major in Accounting
Business College, Ohio Northern University

1982
Ada, Ohio

INTERESTS

Boating, Golf, Coaching Youth Baseball

OHIO SECRETARY OF STATE
PROCESSING STATEMENT
10/03/97

CORPORATION:
HB HAYES & ASSOCIATES, LLC

CHARTER NUMBER: 993664
ROLL AND FRAME: 6026-0508

06026-0508

DOCUMENT NUMBER	CODE	FEE
97100901701	LCA	85.00
97100901701	MIS	10.00

036683

RETURN TO: CORPORATE SERVICES OF OHIO, INC.
ATTN R M HARRISTON
50 W BROAD ST STE 1120
COLUMBUS OH 43215

TOTAL : 95.00

0662

06026-0509



The State of Ohio

Bob Taft

Secretary of State

993664

Certificate

It is hereby certified that the Secretary of State of Ohio has custody of the Records of Incorporation and Miscellaneous

Filings; that said records show the filing and recording of: LCA MIS

of:

HB HAYES & ASSOCIATES, LLC

United States of America
State of Ohio
Office of the Secretary of State

Recorded on Roll 6026 at Frame 0510 of
the Records of Incorporation and Miscellaneous Filings.

Witness my hand and the seal of the Secretary of State at
Columbus, Ohio, this 8TH day of OCT .

A.D. 1997 .




Bob Taft
Bob Taft
Secretary of State



06026-0510

Prescribed by
Bob Taft, Secretary of State
30 East Broad Street, 14th Floor
Columbus, Ohio 43266-0418
Form LCA (July 1994)

Approved 
Date 10-8-97
Fee \$85.00
97100901701

ARTICLES OF ORGANIZATION

(Under Section 1705.04 of the Ohio Revised Code)
Limited Liability Company

The undersigned, desiring to form a limited liability company, under Chapter 1705 of the Ohio Revised Code, do hereby state the following:

FIRST: The name of said limited liability company shall be BB Hayes & Associates, LLC
(the name must include the words "limited liability company", "limited", "Ltd" or "Ltd.")

SECOND: This limited liability company shall exist for a period of until the earlier of 1/1/07, the death, insanity, bankruptcy, retirement, resignation, expulsion, or withdrawal of a member unless otherwise agreed by the percentage of members set forth in the Operating Agreement for the company.

THIRD: The address to which interested persons may direct requests for copies of any operating agreement and any bylaws of this limited liability company is:
Robison, Curphey & O'Connell
Ninth Floor, Four Seagate
(street or post office box)
Toledo, OH 43604
(city, village or township) (state) (zip code)

Please check this box if additional provisions are attached hereto

Provisions attached hereto are incorporated herein and made a part of these articles of organization.

Appendix K

06026-0511

FOURTH: Purpose (optional)

IN WITNESS WHEREOF, we have hereunto subscribed our names, this 30th day of September, 19 97.

Signed: [Signature] Buck B. Hays, Member Signed: [Signature] Julie V. Hays

(If insufficient space for all signatures, please attach a separate sheet containing additional signatures)

INSTRUCTIONS

- 1. The fee for filing Articles of Organization for a limited liability company is \$85.00.
2. Articles will be returned unless accompanied by a written appointment of agent signed by all or a majority of the members of the limited liability company which must include a written acceptance of the appointment by the named agent.
3. A limited liability company must be formed by a minimum of two persons.
4. Any other provisions that are from the operating agreement or that are not inconsistent with applicable Ohio law and that the members elect to set out in the articles for the regulation of the affairs of the limited liability company may be attached.

[Ohio Revised Code Section 1705.04]



06026-0512

Prescribed by
Bob Taft, Secretary of State
30 East Broad Street, 14th Floor
Columbus, Ohio 43266-0418
Form LCO (July 1994)

ORIGINAL APPOINTMENT OF AGENT
(for limited liability company)

The undersigned, being at least a majority of the members of HB Hayes & Associates, LLC, hereby appoint
Ruck B. Hayes (name of limited liability company)
Ruck B. Hayes (name of agent) to be the agent

upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The complete address of the agent is:

5640 Southwyck Blvd., Suite 2H
(street address)
Toledo Ohio 43614
(city, village or township) (zip code)

Ruck B. Hayes (member) Ruck B. Hayes
Julie V. Hayes (member) Julie V. Hayes
(member) (member)

(If insufficient space for all signatures, please attach a separate sheet containing additional signatures)

ACCEPTANCE OF APPOINTMENT

The undersigned, named herein as the statutory agent for HB Hayes & Associates, LLC
Ruck B. Hayes hereby acknowledges and accepts the
appointment of agent for said limited liability company.
Ruck B. Hayes
Agent's Signature

INSTRUCTIONS

1. Articles of organization must be accompanied by an original appointment of agent R.C. 1705.06(B).
2. The agent for a limited liability company must be an individual who is a resident of Ohio, an Ohio corporation, or a foreign corporation holding an Ohio license as a foreign corporation. R. C. 1705.06(A)
3. An original appointment of agent form must be signed by at least a majority of the members of the limited liability company. R.C. 1705.06(B)

06026-0513
CORPORATE SERVICES OF OHIO, INC.

3



Telephone
(614) 464-2400
FAX
(614) 464-1505

50 West Broad Street, Suite 1120
Columbus, Ohio 43215

EXPEDITE

TO: SECRETARY OF STATE
FROM: RUTH M. HARRISTON
DATE: 10-8-97

EXPEDITED FILING FEE \$95.00

Articles of ~~Dissolution~~ Organization, ~~Ed Hayes & Associates, LLC~~

Certificate of:

- Amendment/Amended Articles
- Restatement of Art. of Org.
- Amendment to Art. of Org.
- Limited Partnership
- Amendment of Limited Partnership
- Restated Limited Partnership
- Cancellation of Ltd. Partnership
- Dissolution
- Merger/Consolidation
- Partnership with Limited Liability
- Withdrawal/Reinstatement
- Annual Report
- Foreign License Application
- Name Change/Merger Recital
- Surrender/Reinstatement
- Agent or Form 7 Report
- Foreign Limited Liability Company
- Correction/Cancellation
- Foreign Limited Partnership
- Correction
- Trade Name/Fictitious Name
- Trade Mark/Service Mark Regis.
- Agent Miscellaneous Filing

EXPEDITE
CORRESPONDENCE

OTHER:

CERTIFICATION:

* EXPEDITED FILING *HOLD FOR PICK UP

RETURN FILED DOCUMENT TO:

EXPEDITE

CS 111/6/95

PROMPT PROFESSIONAL SERVICE



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
02/09/2007	200703902362	TRADE NAME/ORIGINAL FILING (RNO)	50.00	.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

ALTERNATIVE ENERGY SOURCE
8225 FARNSWORTH ROAD
STE A-10
WATERVILLE, OH 43566

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jennifer Brunner

1677253

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

ALTERNATIVE ENERGY SOURCE

and, that said business records show the filing and recording of:

Document(s)

TRADE NAME/ORIGINAL FILING

Date of First Use: 02/23/1995
Expiration Date: 02/02/2012

Document No(s):

200703902362

HUCK B HAYES
8225 FARNSWORTH RD
A-10
WATERVILLE, OH 43566



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 2nd day of February, A.D.
2007.

Ohio Secretary of State



Prescribed by:

The Ohio Secretary of State
Central Ohio: (614) 466-3910
Toll Free: 1-877-SOS-FILE (1-877-767-3453)

www.sos.state.oh.us

e-mail: busserv@sos.state.oh.us

Expedite this Form: (Select One)

Mail Form to one of the following:

Yes PO Box 1390
Columbus, OH 43216
*** Requires an additional fee of \$100 ***

No PO Box 670
Columbus, OH 43216

NAME REGISTRATION
(For Domestic/Foreign Profit or Nonprofit)
Filing Fee \$50.00

2001 FEB -2 PM 1:10

THE UNDERSIGNED HEREBY STATES THE FOLLOWING:

(CHECK ONLY ONE (1) BOX)

<p>(1) <input checked="" type="checkbox"/> Trade Name (167-RNO) Date of first use <u>2/23/95</u> MM/DD/YYYY</p>	<p>(2) <input type="checkbox"/> Fictitious Name (169-NFO)</p>	<p>(3) Name Reservation (160-NRO) <input type="checkbox"/> Original <input type="checkbox"/> Renewal Registration No. _____</p>
---	---	---

Complete the information in this section if box (1) or (2) is checked.

The exact name being registered or reported is

Alternative Energy Source

The Registrant is (Check Appropriate Box)

- | | |
|---|--|
| <input checked="" type="checkbox"/> Individual | <input type="checkbox"/> Foreign Corporation incorporated in the state of _____ holding Ohio license no. _____ |
| <input type="checkbox"/> Limited Partnership: Reg. No. _____ | <input type="checkbox"/> Unincorporated Association |
| <input type="checkbox"/> Ohio Limited Liability Co., Reg. No. _____ | <input type="checkbox"/> Foreign Limited Liability Co. holding Ohio Reg. No. _____ organized in the state of _____ |
| <input type="checkbox"/> Ohio Corporation, Charter No. _____ | |
| <input type="checkbox"/> General Partnership | |
| <input type="checkbox"/> Other _____ | |

The name of the registrant designated above is

Huck B Hayes

NOTE: Where the registrant is a partnership, the name of the partnership must appear on this line. If the registrant is a foreign corporation licensed in Ohio under an assumed name, both the assumed name and actual corporate title of such corporation must appear on this line.

The business address of the registrant is

8225 Farnsworth Rd **A-10**

(Street)

NOTE: P.O. Box Addresses are NOT acceptable.

Waterville

(City)

Lucas

(County)

Ohio

(State)

43566

(Zip Code)

Appendix K

Complete the information in this section if box (1) or (2) is checked Cont.

Complete only if registrant is a general partnership

NAME OF ALL GENERAL PARTNERS

COMPLETE RESIDENTIAL ADDRESSES (including zip code)

NOTE: Pursuant to OAG 89-081, if a general partner is a foreign (out-of-state) corporation, it must be licensed to transact business in Ohio; if a general partner is a foreign corporation licensed in Ohio under an assumed name, please note both the assumed name and actual corporate title of such general partner.

The nature of the business conducted by the registrant under the trade or fictitious name is (please be specific)

Commerical and Industrial Energy Broker / Management

Complete the information in this section if box (3) is checked.

Please reserve the name listed below. (only one name per form)

Please reserve the first name available in the order of my preference.

I understand that I am not guaranteed the reservation UNTIL I RECEIVE WRITTEN CONFIRMATION FROM THE SECRETARY OF STATE'S OFFICE STATING THAT THE NAME HAS BEEN REGISTERED TO ME

The name reservation is valid for a period of 180 days.

(First Choice)

(Second Choice)

(Third Choice)

(Applicant)

(Print Name)

(Address)

(City, State and Zip Code)

REQUIRED

Must be authenticated (signed) by an authorized representative (See Instructions)

Handwritten Signature

Authorized Representative

1-31-07

Date

Authorized Representative

Date

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Corrected

Certificate Number:

09-180E (1)

Issued Pursuant to Case Number(s):

09-1058-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **HB Hayes & Associates, LLC d/b/a Alternative Energy** whose office or principal place of business is located at **8225 Farnsworth Rd., Waterville, OH 43566** to provide **aggregation and power broker** services within the State of Ohio effective **December 04, 2009**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: December 16, 2009

RECEIVED

AUG 16 2011

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO



Rence J. Jenkins, Secretary

Betty McCauley, Acting Secretary

Mariruth C. Wright, Acting Secretary

Certificate Expires: **December 04, 2011**

The Association of Energy Engineers certifies that



Huck Hayes CEP



*has completed the prescribed standards for certification,
has demonstrated a high level of competence and ethical fitness
for energy procurement, and is hereby granted the title of*

**CERTIFIED ENERGY PROCUREMENT
PROFESSIONAL**

Expiration Date:

December 31, 2006

Richard J. Catello

CEP Board Chairman

Jessie J. Walker

CEP Administrator



PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU

Application for Registration of Fictitious Name
54 Pa.C.S. § 311

Name Alternative Energy Source		
Address 8225 Farnsworth Road. Suite A-10		
City Waterville	State OH	Zip Code 43566

Document will be returned to the name and address you enter to the left.



RECEIVED
AUG 16 2011
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Fee: \$70

In compliance with the requirements of 54 Pa.C.S. § 311 (relating to registration), the undersigned entity(ies) desiring to register a fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby state(s) that:

1. The fictitious name is:
Alternative Energy Source

2. A brief statement of the character or nature of the business or other activity to be carried on under or through the fictitious name is:
Broker of Natural Gas and Electricity

3. The address, including number and street, if any, of the principal place of business (P.O. Box alone is **not** acceptable):

8225 Farnsworth Road, Suite A-10	Waterville	OH	43566	Lucas
Number and street	City	State	Zip	County

4. The name and address, including number and street, if any, of each individual interested in the business is:

Name	Number and Street	City	State
Huck Hayes	6171 Brimley Court	Whitehouse	Ohio

5. Each entity, other than an individual, interested in such business is (are):

HB Hayes & Associates, LLC	Limited Liability Company	Ohio
Name	Form of Organization	Organizing Jurisdiction
8225 Farnsworth Road, Suite A-10, Waterville, Ohio 43566		
Principal Office Address		
N/A		
PA Registered Office, if any		
Name	Form of Organization	Organizing Jurisdiction
Principal Office Address		
PA Registered Office, if any		

6. The applicant is familiar with the provisions of 54 Pa.C.S. § 332 (relating to effect of registration) and understands that filing under the Fictitious Names Act does not create any exclusive or other right in the fictitious name.

7. Optional): The name(s) of the agent(s), if any, any one of whom is authorized to execute amendments to, withdrawals from or cancellation of this registration in behalf of all then existing parties to the registration, is (are): Corporation ServiceCompany, Registered Agent or Cozen O'Connor, Attn: Stacy Mitchell

IN TESTIMONY WHEREOF, the undersigned have caused this Application for Registration of Fictitious Name to be executed this

15th day of August, 2011.

 _____ Individual Signature	_____ Individual Signature
_____ Individual Signature	_____ Individual Signature
HB Hayes & Associates, LLC _____ Entity Name	_____ Entity Name
 _____ Signature	_____ Signature
Member _____ Title	_____ Title

APPENDIX N – CONFIDENTIAL

Financial Fitness – Attached separately in a confidential sealed envelope.

RECEIVED

AUG 16 2011

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

United States Postal Service®

DELIVERY CONFIRMATION™



0310 2010 0002 1427 9112



ALTERNATIVE
Energy Source

PA Public Utility Commission
ATTN: Secretary
Keystone Bldg., 2nd Floor, Room N201
Harrisburg, PA 17120



1006



17120

U.S. POSTAGE
PAID
WATERVILLE, OH
43566
AUG 16, 11
AMOUNT

\$6.90
00047520-01

First Class Mail
First Class Mail

Label 1078, January 2008

VISIT US AT usps.com
UNITED STATES POSTAL SERVICE

