

THE LEGACY ENERGY GROUP, LLC

32 WATERLOO STREET
WARRENTON, VIRGINIA 20186

MICHAEL L. R. HOUSLEY
PRESIDENT

TELEPHONE (540) 351-0981 TELECOPIER (540) 351-0984

September 13, 2011

Pennsylvania Public Utility Commission James J. McNulty, Secretary 400 North Street Keystone Building – 2nd Floor Harrisburg PA 17120 RECEIVED

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Dear Secretary McNu.ty:

Please accept the enclosed Broker/Marketer Natural Gas Application. It is my sincere wish that you will find the application fully in compliance with the Pennsylvania Public Utility Commission's requirements.

Please contact me on phone number 540-351-0981 if you have any questions or need any additional information.

Very Best Regards,

For THE LEGACY ENERGY GROUP, LLC

Mike Stumpo

Energy Management Services

/Attachment

- Original Broker/Marketer Natural Gas Application and associated attachments, to include:
 - o Application Fee check in the amount of \$350
 - o (3) Copies of Broker/Marketer Natural Gas Application
 - o Digital version of Broker/Marketer Natural Gas Application

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of The Legacy Energy Group, LLC, d/b/a Legacy Energy, for approval to offer, render, furnish, or as a(n) [as specified in item #8 below] to the public in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

 IDENTITY OF THE APPLICANT: The name, address, telephone number, and FAX number of the Applicant are:

The Legacy Energy Group, LLC 32 Waterloo Street - 3rd Floor

Warrenton VA 20186 Phone:540-351-0981 FAX:540-351-0984

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

2. a. **CONTACT PERSON:** The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are:

Mike Stumpo, Energy Management Services 32 Waterloo Street, Warrenton VA 20186 Phone:540-351-0981 FAX:540-351-0984

b. CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY: The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA:

Michael Housley, President

32 Waterloo Street, Warrenton VA 20186 Phone:540-351-0981 FAX:540-351-0984

3.a. **ATTORNEY:** If applicable, the name, address, telephone number, and FAX number of the Applicant's attorney are:

N/A

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b.	REGISTERED AGENT : If the Applicant does not maintain a principal office in the Commonwealth, the required name, address, telephone number and FAX number of the Applicant's Registered Agent in the Commonwealth are:
	National Registered Agents, Inc County of Dauphin
4.	FICTITIOUS NAME: (select and complete appropriate statement)
	The Applicant will be using a fictitious name or doing business as ("d/b/a"):
	Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.
	or
	The Applicant will not be using a fictitious name.
5.	BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (select and complete appropriate statement)
	The Applicant is a sole proprietor.
	If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.
	or
	The Applicant is a:
	domestic general partnership (*) domestic limited partnership (15 Pa. C.S. §8511) foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201) foreign limited liability general partnership (15 Pa. C.S. §8211) foreign limited liability limited partnership (15 Pa. C.S. §8211)
	Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
	Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.
or
The Applicant is a:
domestic corporation (none) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913) foreign limited liability company (15 Pa. C.S. §8981) Other
Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Additionally, provide a copy of the Applicant's Articles of Incorporation. See Exhibit A - PA Proof of Compliance, and Exhibit B - Articles of Incorporation Give name and address of officers.
See Exhibit C - List of Partners
The Applicant is incorporated in the state of
6. AFFILIATES AND PREDECESSORS WITHIN PENNSYLVANIA: (select and complete appropriate statement)
Affiliate(s) of the Applicant doing business in Pennsylvania are:
Give name and address of the affiliate(s) and state whether the affiliate(s) are jurisdictional public utilities. Affiliated Power Purchasers International, LLC 224 Philip Morris Drive - Suite 402
Salisbury MD 21804 Does the Applicant have any affiliation with or ownership interest in: (a) any other Pennsylvania retail natural gas supplier licensee or licensee applicant, (b) any other Pennsylvania retail licensed electric generation supplier or license applicant, (c) any Pennsylvania natural gas producer and/or marketer, (d) any natural gas wells or (e) any local distribution companies (LDCs) in the Commonwealth
If the response to parts a, b, c, or d above is affirmative, provide a detailed description and explanation of the affiliation and/or ownership interest.
Provide specific details concerning the affiliation and/or ownership interests involving: (a) any natural gas producer and/or marketers, (b) any wholesale or retail supplier or marketer of natural gas, electricity, oil, propane or other energy sources.
Applicant is a 10.02% owner in marketer Affiliated Power Purchasers International, LLC
Provide the Pa PUC Docket Number if the applicant has ever applied: (a) for a Pennsylvania Natural Gas Supplier license, or (b) for a Pennsylvania Electric Generation Supplier license. Natural Gas Supplier License Application Ph BUC Document #, 19946
PAPUC Document # 139346 Pa PUC Natural Gas Supplier license PUC Docket Number: A-2010-2197853

		If the Applicant or an affiliate has a predecessor who has done business within Pennsylvania, give name and address of the predecessor(s) and state whether the predecessor(s) were jurisdictional public utilities.
		or
		The Applicant has no affiliates doing business in Pennsylvania or predecessors which have done business in Pennsylvania.
7.	AP	PLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)
	X	The Applicant is presently doing business in Pennsylvania as a natural gas interstate pipeline. municipal providing service outside its municipal limits. local gas distribution company retail supplier of natural gas services in the Commonwealth a natural gas producer Other. (Identify the nature of service being rendered.) Electric marketer/broker.
		or
		The Applicant is not presently doing business in Pennsylvania.
8.	AP	PLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:
		supplier of natural gas services. Municipal supplier of natural gas services. Cooperative supplier of natural gas services. Broker/Marketer engaged in the business of supplying natural gas services. Aggregator engaged in the business of supplying natural gas services. Other (Describe):

9. PROPOSED SERVICES: Generally describe the natural gas services which the Applicant proposes to offer.

Natural gas procurement consulting, natural gas broker services, energy conservation consulting, green energy consulting and procurement, energy efficiency.

10. **SERVICE AREA:** Provide each Natural Gas Distribution Company (NGDC) in which Applicant proposes to offer services.

Philadelphia Gas Works

UGI Utilities, Inc. - UGI Central Penn - UGI Penn Natural Gas

PPL

Columbia Gas of PA

National Fuel Gas Distribution

PECO

Peoples Natural Gas

11. CUSTOMERS: Applicant proposes to initially provide services to:

Residential Customers
Commercial Customers - (Less than 6,000 Mcf annually)
Commercial Customers - (6,000 Mcf or more annually)
Industrial Customers
Governmental Customers
All of above
Other (Describe):

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12. **START DATE:** The Applicant proposes to begin delivering services on October 1, 2011 (approximate date).

NOTICE: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of 13. the signed and verified Application with attachments on the following:

Irwin A. Popowsky Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120-1921

William R. Lloyd, Jr. Commerce Building, Suite 1102 Small Business Advocate 300 North Second Street Harrisburg, PA 17101

Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvan RECEIVED Department of Revenue Bureau of Compliance

Harrisburg, PA 17128-0946

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PA PUBLIC UTILITY COMMISSION

Any of the following Natural Gas Distribution Companies through whose transmission and distribution SECRETARY'S BUREAU

facilities the applicant intends to supply customers:					
Valley Energy Inc.	National Fuel Gas Distribution Corp.				
Robert Crocker	David D. Wolford				
523 South Keystone Avenue	6363 Main Street				
Sayre, PA 18840-0340	Williamsville, NY 14221				
PH: 570.888-9664 FAX: 570.888.6199	PH: 716.857.7483 FAX: 716.857.7479				
email: rcrocker@ctenterprises.org	e-mail: wolfordd@natfuel.com				
PPL Gas Utilities Corporation	The Peoples Natural Gas Company				
Curtis L. Rounds <u>or</u> Edward L. Farber	Joe Gregorini <u>or</u> Bill McKeown				
555 Camargo Road	625 Liberty Avenue				
Quarryville, PA 17566	Pittsburgh, PA 15222				
PH: 717.519.2922 717.519.2923	e-mail: jgregorini@png.cng.com				
FAX: 717.806.1907 717.806.1907	PH: 412.497.6851 <u>or</u> 412.497.6840				
e-mail: clrounds@pplweb.com or elfarber@pplweb.com	FAX: 412.497.6630				
T. W. Phillips Gas and Oil Company	UGI				
Robert M. Hovanec	Chris Brown or Bob Krieger				
205 North Main Street	PO Box 12677 or 225 Morgantown Rd				
Butler, PA 16001	Reading, PA 15222 Reading, PA 15222				
PH: 724,287,2725 FAX: 724,287,5021	PH: 610.796.3425 PH: 610.796.3516				
e-mail: rhovanec@twphillips.com	FAX: 610.796.3559				
PG Energy	Equitable Gas Company				
Richard N. Marshall or Wendy K. Saxe	Jerald Moody				
Richard N. Marshall <u>or</u> Wendy K. Saxe One PEI Center	Jerald Moody 225 North Shore Drive				
Richard N. Marshall <u>or</u> Wendy K. Saxe One PEI Center Wilkes-Barre, PA 18711-0601	Jerald Moody 225 North Shore Drive Pittsburgh, PA 15212-5352				
Richard N. Marshall <u>or</u> Wendy K. Saxe One PEI Center Wilkes-Barre, PA 18711-0601 e-mail: marshall@pgenergy.com <u>or</u> saxe@pgenergy.com	Jerald Moody 225 North Shore Drive				
Richard N. Marshall <u>or</u> Wendy K. Saxe One PEI Center Wilkes-Barre, PA 18711-0601 e-mail: marshall@pgenergy.com <u>or</u> saxe@pgenergy.com PH: 570.829.8795 FAX: 570.829.8652	Jerald Moody 225 North Shore Drive Pittsburgh, PA 15212-5352 PH: 412.395.3209 FAX: 412.395.3335				
Richard N. Marshall <u>or</u> Wendy K. Saxe One PEI Center Wilkes-Barre, PA 18711-0601 e-mail: marshall@pgenergy.com <u>or</u> saxe@pgenergy.com	Jerald Moody 225 North Shore Drive Pittsburgh, PA 15212-5352				
Richard N. Marshall <u>or</u> Wendy K. Saxe One PEI Center Wilkes-Barre, PA 18711-0601 e-mail: marshall@pgenergy.com <u>or</u> saxe@pgenergy.com PH: 570.829.8795 FAX: 570.829.8652 Carnegie Natural Gas Company Donald A. Melzer	Jerald Moody 225 North Shore Drive Pittsburgh, PA 15212-5352 PH: 412.395.3209 FAX: 412.395.3335 Columbia Gas of PA, Inc. Heather Bauer				
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Richard N. Marshall One PEI Center Wilkes-Barre, PA 18711-0601 e-mail: marshall@pgenergy.com or saxe@pgenergy.com PH: 570.829.8795 FAX: 570.829.8652 Carnegie Natural Gas Company Donald A. Melzer 800 Regis Avenue Pittsburgh, PA 19236 PH: 412.655.8510 ext. 331 FAX: 412.655.0335 Philadelphia Gas Works	Jerald Moody 225 North Shore Drive Pittsburgh, PA 15212-5352 PH: 412.395.3209 FAX: 412.395.3335 Columbia Gas of PA, Inc. Heather Bauer 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.6254 or 614.460.5554 FAX: 614.460.4291 PECO Amy Hamilton, Manager Gas Regulatory and Transportation				
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Richard N. Marshall One PEI Center Wilkes-Barre, PA 18711-0601 e-mail: marshall@pgenergy.com or saxe@pgenergy.com PH: 570.829.8795 FAX: 570.829.8652 Carnegie Natural Gas Company Donald A. Melzer 800 Regis Avenue Pittsburgh, PA 19236 PH: 412.655.8510 ext. 331 FAX: 412.655.0335 Philadelphia Gas Works Eric Burgis 800 West Montgomery Avenue Philadelphia, PA 19122	Jerald Moody 225 North Shore Drive Pittsburgh, PA 15212-5352 PH: 412.395.3209 FAX: 412.395.3335 Columbia Gas of PA, Inc. Heather Bauer 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.6254 or 614.460.5554 FAX: 614.460.4291 PECO Amy Hamilton, Manager Gas Regulatory and Transportation 2301 Market Street, S9-1 Philadelphia, PA 19103 Email: amy_hamilton@exeloncorp.com				
Richard N. Marshall or Wendy K. Saxe One PEI Center Wilkes-Barre, PA 18711-0601 e-mail: marshall@pgenergy.com or saxe@pgenergy.com PH: 570.829.8795 FAX: 570.829.8652 Carnegie Natural Gas Company Donald A. Melzer 800 Regis Avenue Pittsburgh, PA 19236 PH: 412.655.8510 ext. 331 FAX: 412.655.0335 Philadelphia Gas Works Eric Burgis 800 West Montgomery Avenue	Jerald Moody 225 North Shore Drive Pittsburgh, PA 15212-5352 PH: 412.395.3209 FAX: 412.395.3335 Columbia Gas of PA, Inc. Heather Bauer 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.6254 or 614.460.5554 FAX: 614.460.4291 PECO Amy Hamilton, Manager Gas Regulatory and Transportation 2301 Market Street, S9-1 Philadelphia, PA 19103				

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14.

See Exhibit D - Proof of Service of Application

- 14. **TAXATION:** Complete the <u>TAX CERTIFICATION STATEMENT</u> attached as Appendix B to this application.
 - See Appendix B Tax Certification Statement
- 15. **COMPLIANCE:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings.

The applicant has not been convicted of a crime involving fraud or similar activity. The applicant has not been a defendent or a respondent in any proceeding in the last 5 years.

- 16. STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND CONSUMER EDUCATION: All services should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.
 - a. Contacts for Consumer Service and Complaints: Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant,

the Distribution Company, the Pennsylvania Public Utility Commission or other agencies.

Michael Housley, President, 32 Waterloo Street, Warrenton VA 20186, Ph:540-351-0981 FAX:540-351-0984

Mike Stumpo, Dir., En. Mgmt. Svcs., 32 Waterloo Street, Warrenton VA 20186, Ph:540-351-0981 FAX:540-351-0984

b. Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to

Applicant will not be serving residential customers.

c. If proposing to serve Residential and/or Small Commercial customers, provide a disclosure statement. A sample disclosure statement is provided as Appendix B to this Application.

Applicant is not a natural gas supplier.

17. FINANCIAL FITNESS:

residential customers.

- A. Applicant shall provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
 - Please see Exhibit E Income Statement and Balance Sheet
- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published parent company financial and credit information.
- Applicant's balance sheet and income statement for the most recent fiscal year. Published financial information such as 10K's and 10Q's may be provided, if available.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended
 to provide for or support its financial fitness to perform its obligations as a licensee.
- · Audited financial statements
- Such other information that demonstrates Applicant's financial fitness.
- B. Applicant must provide the following information:
- Provide proof of compliance with bonding/credit requirements for each NGDC the applicant is proposing
 to provide service in. This requirement is designated by each NGDC and can commonly be found in the
 NGDC supplier tariff.

 Not applicable. Applicant is not a natural gas supplier.
- Identify Applicant's chief officers including names and their professional resumes.
 - Please see Exhibit F Chief Officers and their professional resumes.

 Provide the name, title, address, telephone number and FAX number of Applicant's custodian for its accounting records.

Michael Housley, President, 32 Waterloo Street, Warrenton VA 20186 Phone: 540-351-0981 FAX: 540-351-0984

- 18. **TECHNICAL FITNESS:** To ensure that the present quality and availability of service provided by natural gas utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
 - The identity of the Applicant's officers directly responsible for operations, including names and their
 professional resumes. A. Applicant is applying for license to provide Broker and Marketer
 services only. Please see Exhibit F Chief Officers and Professional Resumes.
 - A copy of any Federal energy license currently held by the Applicant.
 - Proposed staffing and employee training commitments.
 - Business plans.
- 19. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2208(D). Transferee will be required to file the appropriate licensing application.

 Applicant acknowledges Transfer Of License requirements.
- 20. **UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.

Applicant agrees to conform to Commission-mandated Uniform Standards of Conduct.

- 21. **REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
 - a. Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on an annual basis no later than 30 days following the end of the calendar year.

Applicant acknowledges that it will report its gross receipts annually.

Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 22 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive natural gas market.

Applicant acknowledges that it is required to meet periodic reporting requirements as may be

Applicant acknowledges that it is required to meet periodic reporting requirements as may be issued by the Commission.

22. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.

Applicant acknowledges that it is under a continuing obligation to amend its application if

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substantial changes occur in the information provided to the Commission.

23. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

Applicant acknowledges that making false statement(s) herein may be ground for denying its

Application or, if later discovered, for revoking any authority granted pursuant to the Application. 24. FEE: The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

Please see Exhibit G - Initial Licensing Fee

Applic	ant:: The Legacy Energy Group,	LLC
By:	Michael Housley	
Title:	President	

AFFIDAVIT

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Virginia	
[Commonwealth/State] of	- : SEP 13 2011
	; SS .
County of Fauquier	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU
Michael Housley , Affiant, being duly [sworn/affirm	ned] according to law, deposes and says that: The Legacy Energy
	Group, LLC (Name of Applicant);]
[That he/she is authorized to and does make this affidavit for s	aid Applicant;]
The Legacy Energy	
That Group, LLC , the Applicant herein, ack pursuant to this Application consistent with the Public Utility Code the Pennsylvania Consolidated Statutes; or with other applicable s which may be issued verbally or in writing during any emergency s to time in the course of doing business in Pennsylvania.	tatutes or regulations including Emergency Orders
The Legacy Energy	
That Group, LLC, the Applicant herein, asserts managerial, and financial fitness to render natural gas supply servithat the Applicant will abide by all applicable federal and state Pennsylvania Public Utility Commission.	
The Legacy Energy	
That Group, LLC, the Applicant herein, certifies to the and in the past has paid, the full amount of taxes imposed by Article 2), known as the Tax Reform Act of 1971 and any tax imposed acknowledges that failure to pay such taxes or otherwise comply we the Commission to revoke the license of the Applicant. The A Commission its jurisdictional natural gas sales for ultimate consumply the Commission. The Applicant also acknowledges that it is su of facilities and records).	osed by Chapter 22 of Title 66. The Applicant with the taxation requirements of, shall be cause for pplicant acknowledges that it shall report to the option, for the previous year or as otherwise required
Applicant, by filing of this application waives confidentiality with rof the Department of Revenue, regardless of the source of the in Revenue providing that information to the Pennsylvania Public Utili	formation, and shall consent to the Department of
The Legacy Energy	
That Group, LLC, the Applicant herein, acknowledge 66 Pa. C.S. §506, and the standards and billing practices of 52 PA. C.	ges that it has a statutory obligation to conform with ode Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Commission's Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and/correct to belief. Signature of A	
	RECEIVED
Sworn and subscribed before me this 12th day of	
Signature of official ad	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU Iministering oath
My commission expires 7-31-12	KAREN KAREN

AFFIDAVIT

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	Virginia		OCI - P FOIL
[Commonwealth/State] of	VII 911114	_ : :	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU
Fauquier		:	
Michael Housley	, Affiant, being duly [sworn/affir	med] according to lav	w, deposes and says that:
[He/she is the President	(Office of Affiant) of	The Legacy Ener Group, LLC	⁻gy (Name of Applicant);]
[That he/she is authorized to	and does make this affidavit for s	said Applicant;]	
The Legacy Energy That Group, LLC published in the following new (date)		hat it has caused the r	notice of the filing of its license application
A copy of the notice as it appeasection (name, number or letter	eared in each of the above newspaper), if applicable, and the page number	rs is attached. Noted or on which the notice ap	on each copy is the newspaper ppeared.
	Y, the Applicant will submit to the the application filing was published as		
	re true and correct to the best of his/h to be able to prove the same at hearing		ation, and belief, and that .
Sworn and subscribed befor	re me this day of	eptemberroz	<u>D/</u> /
	Signature of	official administering	g oath
	My commission expires	7-31-12	
Supplier Linearce Application	, commoder expires		REG * POLITION OF VIRGINIA

AFFIDAVIT

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[Commonv	wealth/State] of _	Virginia		:		SEP 13 2011
				:	SS.	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU
County of	Fauquier			;		
Michael	. Housley	, Affiant, bei	ng duly [sworn/afl	firmed] acco	ording to law	v, deposes and says that:
[He/she is	the Presiden	t(Office of Affiant)	The Leg of Group,	acy Energ	(Name of Applicant);]
[That he/sl	he is authorized	to and does ma	ke this affidavit fo	r said Appli	cant;]	
documentat Pa. C.S. §22	208(c)(1). Th	Group, LLC its technical and Legacy End	has the financial fitness t			information and supporting l gas supplier pursuant to 66
That the Apand comple	pplicant herein Gitely and provided	roup, LLC supporting docur the Legacy Ene	has answered mentation as require ergy		ns on the app	olication correctly, truthfully,
That the Ap	oplicant herein Gr uestions on this ar	coup, LLC	acknowledges that itained in supportin	nat it is unde		odate information provided in
That the A provided in Commission	pplicant herein <u>(</u> n answer to ques	roup, LLC	acknowledges			to supplement information uments as requested by the
he/she expe	cts said Applicant	to be able to pro	ve the same at hear Signature o	ing!	owledge, info	rmation, and belief, and that
Sworn and	subscribed befo	ore me this <u>//</u>	day of	eptom	<u> Deno</u> 2	<u></u>
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My commis	ssion expires	7-31-13)		COMMON!	REG. # 7211881 PUBLIC VEALTH OF
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APPENDIX B

COMMONWEALTH OF
PENNSYLVANIA
PUBLIC UTILITY COMMISSION

TAX CERTIFICATION STATEMENT

SEP 13 2011

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 81/2" x 11" paper. Type or print all information requested.

1. CORPORATE OR APPLICANT NAME The Legacy Energy Group, LLC	2. BUSINESS PHONE NO. (540) 351-0981 CONTACT PERSON(S) FOR TAX ACCOUNTS: Michael Housley
3. TRADE/FICTITIOUS NAME (IF ANY)	
4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BO 32 Waterloo Street, 3rd Floor, Warrenton VA 201	
5. TYPE OF ENTITY SOLE PROPRIETOR	↑ PARTNERSHIP ☐ CORPORATION
8. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICER(S)	
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
Michael Housley	
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
Delbert Roberts	
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
Thomas Ihrig	
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
9. LIST THE FOLLOWING STATE TAX IDENTIFICATION NUMBERS. (AL.	L ITEMS: A, B, AND C MUST BE COMPLETED).
A. SALES TAX LICENSE (8 DIGITS) APPLICATION PENDING N/A - X	C. CORPORATE BOX NUMBER (7 DIGITS) APPLICATION PENDING N/A 2 7 7 4 2 2 6
8. EMPLOYER ID (EIN) (9 DIGITS: APPLICATION PENDING N/A 5 4 - 1 9 4 7 8 0 8	
10. Do you have PA employes either resident or non-resident?	YES X NO
11. Do you own any assets or have an office in PA?	☐ YES 🕱 NO
NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING	
Victoria D. Buster Victoria D. Buster	uster Victoria D. Buster
PA SALES AND USE TAX EMPLOYER TAXES	CORPORATE TAXES
PHONE 502-882-4465 PHONE 502-882-	4465 PHONE 502-882-4465

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the

following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

APPENDIX C EXAMPLE FORM OF NOTICE

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of The Legacy Energy Group, LLC (d/b/a "Legacy Energy") For Approval To Offer, Render and Furnish Natural Gas Supply Services As A Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services To The Public In The Commonwealth Of Pennsylvania, Docket No. A-125xxxxxxx.

On September xx, 2011, Legacy Energy filed an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of supplying natural gas services. Legacy Energy proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Legacy Energy may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to the applicant at the address listed below. Please include the PUC's "docket number" on any correspondence, which is A-125xxxxxxx.

The Legacy Energy Group, LLC

32 Waterloo Street 3rd Floor Warrenton VA 20186 Phone: 540-351-0981

FAX: 540-351-0984

Exhibit A Pennsylvania Proof of Compliance

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU 401 NORTH STREET, ROOM 206 P.O. BOX 8722 HARRISBURG, PA 17105-8722 WWW.CORPORATIONS.STATE.PA.US/CORP

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

The Legacy Energy Group, LLC

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT <u>WWW.CORPORATIONS.STATE.PA.US/CORP</u> OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 3892032

Stumpo, Mike 32 Waterloo Street Warrenton, VA 20186

Entity #: 3892032 Date Filed: 06/24/2009 Pedro A. Cortés Secretary of the Commonwealth

PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU RECEIVED Application for Registration - Foreign SEP 13 2011 Registered Limited Liability General Partnership (§ 8211) Registered Limited Liability Limited Partnership (§ 8211) Limited Partnership (§ 8582) PA PUBLIC UTILITY COMMISSION Limited Liability Company (§ 8981) Document will be returned to the SECRETARY'S BUREAU Mike Stumpo name and address you enter to the left. 32 Waterloo Street Commonwealth of Pennsylvania City Zip Code State APPLICATION FOR REGISTRATION 3 Page(s) Warrenton ٧A 20186 Fee: \$250 In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in this Commonwealth, hereby states that: The name to be registered is: The Legacy Energy Group, LLC 2. (If the name set forth in paragraph I is not available for use in this Commonwealth, complete the following): The name under which the limited liability company/limited liability partnership/limited partnership proposes to register and do business in this Commonwealth is: 3. The name of the jurisdiction under the laws of which it was organized and the date of its formation: Jurisdiction: Kentucky Date of Formation: 6/11/1999 4. The (a) address of its initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is: (a) Number and street City State Zip County (b) Name of Commercial Registered Office Provider County National Registered Agents, Inc. County of Dauphin

PA DEPT. OF STATE

JUL 0 6 2009

Check and complete one of the The address of the office re	•	y it in the jurisdiction of	its organization by the laws of
that jurisdiction is:		,	
Number and street	City	State	Zip
It is not required by the law of its principal office is:	vs of its jurisdiction of or	ganization to maintain ar	office therein and the address
2 Waterloo Street	Warrenton	VA	20186
Number and street	City	State	Zip
For Restricted Professional to restricted professional compe			
mited Liability Partnership a			17 and 8
Name	• •	usiness Address	
			
			1
			
· · · 			
The address of the office at v capital contribution is:	which is kept a list of the	names and addresses of t	he limited partners and their
Number and street	City	State	Zip County
The registered partnership he Commonwealth is canceled		hose records until its reg	istration to do business in the
		this Application for	WHEREOF, the undersigned has cau r Registration to be signed by a duly nember or manager thereof this
		ioun day of Jun	e
			ergy Group, LLC
		Muil	of Partnership/Company
		President	Signature
		LICSIUCHI	
			Title

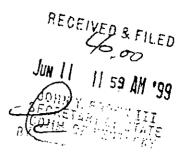
Exhibit B Articles of Incorporation

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SEP 13 2011

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

ARTICLES OF ORGANIZATION FOR THE LEGACY ENERGY GROUP, LLC



The undersigned person forms a Kentucky limited liability company pursuant to the Kentucky Limited Liability Company Act (the "Act"), KRS Chapter 275, as follows:

- 1. The name of the limited liability company (the "Company") shall be The Legacy Energy Group, LLC
- 2. The street address of the Company's initial registered office shall be 400 West Market Street, 32nd Floor, Louisville, Kentucky 40202-3363. The name of the Company's initial registered agent at that office shall be BTH Inc.
- 3. The mailing address of the initial principal office of the Company shall be 32 Waterloo Street, Warrenton, Virginia 20186.
- 4. The Company is to be managed by one or more managers. The Company shall have a Board of Directors which shall serve as the Company's "manager" as that term is contemplated in the Act. The Company's Board of Directors shall act through the Company's duly authorized officers.

5. The existence of the Company will be perpetual, except as provided in the Company's Operating Agreement.

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Dolson, as organizer

SEP 13 2011

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

FILED IN OFFICE

JUN 4 1 1999

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Exhibit C List of Partners

Name;	Title:	Address:
Michael Housley	President	32 Waterloo Street, Warrenton VA 20186
Delbert Roberts	Senior Vice President	32 Waterloo Street, Warrenton VA 20186
Thomas Ihrig	Vice President	32 Waterloo Street, Warrenton VA 20186

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SEP 13 2011

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Exhibit D Proof of Service of Application

RECEIVED

SEP 13 2011

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Shipment Receipt Address Information

Ship to: Ship from:
Joe Gregorini Cassie Scott

The Peoples Natural Gas THE LEGACY ENERGY

Company GROUP

625 LIBERTY AVE 32 WATERLOO STREET

3RD FLOOR

PITTSBURGH, PA WARRENTON, VA

15222-3110 20186 US US

4124976851 5403510981

Shipping Information

Tracking number: 797494823308

Ship date: 09/09/2011

Estimated shipping charges: 12.19

Package Information

Service type: FedEx Express Saver Package type: FedEx Envelope Number of packages: 1

Total weight: ILBS
Declared value: 0.00USD

Special Services:

Pickup/Drop-off: Drop off package at FedEx location

Billing Information

Bill transportation to: MyAccount-993

Your reference: PA NatGasApp-Peoples Notice

P.O. no.: Invoice no.: Department no.: RECEIVED

SEP 13 2011

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Thank you for shipping online with Fedex ShipManager at fedex.com.

Please Note

FedEc will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, or misinformation, unless you declars a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any tops, including intrinsic value of the package, loss of sales, income interest, profit, attorney's hase, costs, and other forms of damage whether direct income interest, profit, attorney's hase, costs, and other forms of damage whether direct income interest, profit, attorney's hase, costs, and other forms of damage whether direct income interest, absolute file actual control and the second control of t

Shipment Receipt
Address Information

Ship to: Ship from: Amy Hamilton Cassie Scott

PECO THE LEGACY ENERGY

GROUP

2301 MARKET ST 32 WATERLOO STREET

S9-1 3RD FLOOR

PHILADELPHIA. PA WARRENTON, VA

19103-1338 20186 US US

2158416783 5403510981

Shipping Information

Tracking number: 797494804828

Ship date: 09/09/2011

Estimated shipping charges: 12.13

Package Information

Service type: FedEx Express Saver Package type: FedEx Envelope Number of packages: 1 Total weight: ILBS Declared value: 0.00USD

Special Services:

Pickup/Drop-off: Drop off package at FedEx location

Billing Information

Bill transportation to: MyAccount-993 Your reference: PA NatGasApp-PECO Notice

P:O. no.: Invoice no.: Department no.:

Thank you for shipping online with Fedex ShipManager at fedex.com.

Please Note

Shipment Receipt Fed Address Information

Ship to: Ship from: David D. Wolford Cassie Scott

National Fuel Gas THE LEGACY ENERGY

Distribution **GROUP**

6363 MAIN ST 32 WATERLOO STREET

3RD FLOOR

WILLIAMSVILLE, NY WARRENTON, VA

20186 14221-5855 US US

7168577483 5403510981

Shipping Information

Tracking number: 797494786332

Ship date: 09/09/2011

Estimated shipping charges: 12.19

Package Information

Service type: FedEx Express Saver Package type: FedEx Envelope

Number of packages: I Total weight: ILBS Declared value: 0.00USD

Special Services:

Pickup/Drop-off: Drop off package at FedEx location

Billing Information

Bill transportation to: MyAccount-993

Your reference: PA NatGasApp-NFGD Notice

P.O. no.: Invoice no.: Department no.:

Thank you for shipping online with Fedex ShipManager at fedex.com.

Please Note

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Shipment Receipt Fed Address Information

Ship to: Ship from: Cassie Scott Heather Bauer

Columbia Gas of PA THE LEGACY ENERGY

GROUP

200 CIVIC CENTER DR 32 WATERLOO STREET

3RD FLOOR

COLUMBUS, OH WARRENTON, VA

43215-4138 20186 US US

6144606254 5403510981

Shipping Information

Tracking number: 795164463189

Ship date: 09/09/2011

Estimated shipping charges: 12.24

Package Information

Service type: FedEx Express Saver Package type: FedEx Envelope Number of packages: 1

Total weight: 1LBS Declared value: 0,00USD Special Services:

Pickup/Drop-off: Drop off package at FedEx location

Billing Information

Bill transportation to: MyAccount-993

Your reference: PA NatGasApp-Col G of PA-Not

P.O. no.: Invoice no.: Department no.:

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Please Note

FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-derivery, or mainformation, unless you declare a higher value, pay en additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Golde apply. Your ngit to recover from FedEx for any loss, including informacy value of the package, loss of sales, income interest, priorit, attorney's test, oots and other forms of damage whether direct, concerndents, consequented for specials of sales. Income interest, priorit, attorney's test, oots and other forms of damage whether direct, concerndents, consequented for specials is smitled to the greater of \$100 or the authorized declared value. Recovery cannot exceed equal documented loss. Assument for terms of extraordinary value is \$500, e.g., jeweyry, precous metals, negotiable instruments and other farms lead of our Service Guide. Written claims must be filed within sing time similar, consult the applicable for EdeX Service Guide for datage.

The estimated shipping charges are calculated.

or the FedEx Rate
Sheets for defays on how shipping charges are calculated.

Shipment Receipt
Address Information

Ship to:

Ship from:

Curtis L. Rounds

Cassie Scott

PPL Gas Utilities Corp

THE LEGACY ENERGY

GROUP

555 CAMARGO RD

32 WATERLOO STREET

3RD FLOOR

QUARRYVILLE, PA 17566-9210 WARRENTON, VA 20186

17566-921 US

US

7175192922

5403510981

Shipping Information

Tracking number: 795164442868

Ship date: 09/09/2011

Estimated shipping charges: 14.26

Package Information

Service type: FedEx Express Saver Package type: FedEx Envelope

Number of packages: 1 Total weight: 1LBS Declared value: 0.001/SD

Special Services:

Pickup/Drop-off: Drop off package at FedEx location

Billing Information

Bill transportation to: MyAccount-993

Your reference: PA Nat Gas App - PPL Notice

P.O. no.: Invoice no.: Department no.:

Thank you for shipping online with Fedex ShipManager at fedex.com.

Please Note

FIGES will not be responsible for any class in excess of \$100 per package, whether the result of loss damage, delay, non-delivery, or misinformetion, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely class. Lumisations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, evaluaring minimac value of the package, loss of sales, income interest, profit, attorneys less, costs, and other from 6 dearning evidenter direct, considerable. Consequented for special is limited to the authorized declared value. Recovery cannot stoced actual documented cass. Maximum for fames of extraordinary value is \$500, e.g., sevely, precious mateis, responsible instruments and other fame ised in our Service Guide. Writen claims must be filed within strict three fames, Consult the applicable FedEx Service Guide for details. The astimated shipping charge any edifferent than the actual charges for your shipment. Differences may occur based on actual weight, dimensions, and other factors. Consult the applicable from the file of the fedEx Refe.

Fed

Shipment Receipt

Address Information

Ship to:

Ship from:

Chris Brown

Cassie Scott

UGI Utilities

THE LEGACY ENERGY

GROUP

225 MORGANTOWN RD 32 WATERLOO STREET

3RD FLOOR

READING, PA

WARRENTON, VA

19611-1949

20186

US

US

6107963516

5403510981

Shipping Information

Tracking number: 797494713679

Ship date: 09/09/2011

Estimated shipping charges: 12.13

Package Information

Service type: FedEx Express Saver Package type: FedEx Envelope Number of packages: 1 Total weight: 1LBS Declared value: 0.00USD

Special Services:

Pickup/Drop-off: Drop off package at FedEx location

Billing Information

Bill transportation to: MyAccount-993

Your reference: PA Nat Gas App - UGI Notice

P.O. no.: Invoice no.: Department no.:

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Please Note

FedEx will not be responsible for any clears in excess of \$100 per package, whether the result of loss, demage, delay, non-definely, misdelivery, or misinformation, unless you declars a higher value, pay an additional charge, document your actual loss and file a timety clears. Limitations found in the current FedEy Service Guide apply. Your right for accover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's feet, costs, and other forms of demage whether direct, honderate, consequentiat, or specials is kineted to the greater of \$100 pie explored and use forced actual documented loss. Maximum for sems of actraordinary value is \$500, eg., jewely, precious metals, negotiable instruments and other farms lised in our Service Guide Written clears must be filed within sinct time fired. Consult the applicable FedEx Service Guide for details. The estimated ahipping charge may be different than the actual charges for your shipment. Differences may occur besed on actual weight dimensions, and other factors. Consult the applicable of the package is or the FedEx Rete.

Shipment Receipt
Address Information

Ship to: Ship from:
Department of Revenue Cassie Scott

Commonwealth of THE LEGACY ENERGY

Pennsylvania GROUP

Bureau of Compliance 32 WATERLOO STREET

3RD FLOOR

HARRISBURG, PA WARRENTON, VA

171280946 20186 US US

7177873847 5403510981

Shipping Information

Tracking number: 797494654448

Ship date: 09/09/2011

Estimated shipping charges: 12.13

Package Information

Service type: FedEx Express Saver Package type: FedEx Envelope Number of packages: 1

Number of packages: 1 Total weight: ILBS Declared value: 0.00USD

Special Services:

Pickup/Drop-off: Drop off package at FedEx location

Billing Information

Bill transportation to: MyAccount-993 Your reference: PA Nat Gas App - Atty Gen

P.O. no.: Invoice no.: Department no.:

Thank you for shipping online with Fedex ShipManager at fedex.com.

Please Note

FIGEL will not by responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, insidelivery, or maintormation, unless you dectare a higher value, pay an additional charge, document, your excual loss and tide a timely claim. Limitations found in the current FeoEx Service Golds apply. Your right to recover from FeoEx for any loss, including intrinsic value of the package, loss of sales, income interest, priot, atomay's fees, costs and other forms, or defended, consequentable, or specials is winded to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Atacmym for items of extraordinary value is \$500, e.g., [every], practicus metals, negotiable instruments and other fems lised in our Service Golde. Writish claims must be filled within attitution terms. Consult the applicable for effect Service Counts for details. The astimated extending charges may deliferent than the actual charges for your shorment. Differences may occur based on actual weight, demansions, and other factors. Consult the applicable of actual charges for your shorment.

Fed

Shipment Receipt Address Information

Ship to: Bureau of Consumer Ship from:

Cassie Scott

Protection

Office of the Attorney

THE LEGACY ENERGY

General

GROUP

STRAWBERRY SQ FL 14 32 WATERLOO STREET

3RD FLOOR

HARRISBURG, PA

WARRENTON, VA

171200001

20186

US

US

7177879707

5403510981

Shipping Information

Tracking number: 797494630197

Ship date: 09/09/2011

Estimated shipping charges: 12.13

Package Information

Service type: FedEx Express Saver Package type: FedEx Envelope Number of packages: 1 Total weight: 1LBS

Declared value: 0.00USD

Special Services:

Pickup/Drop-off: Drop off package at FedEx location

Billing Information

Bill transportation to: MyAccount-993 Your reference: PA Nat Gas App - Atty Gen

P.O. no.: Invoice no.: Department no.:

Thank you for shipping online with Fedex ShipManager at fedex.com.

Please Note

FIGEX will not be responsible for any claim in excess of \$100 per peckage, whether the result of loss, damage, delay, non-delivery, or insufformation, unless you declars a higher value, pay an additional charge, document you actual loss and file a timety claim. Limitations found in the current FedEX Service Guide apply. Your right to recover him FedEx for any loss, including intrinsic value of the package, loss of sales, anome interest, profit, attorney's test, doss and other forms of demander whether dered, inconsequential, consequential, consequential, consequential, or several is lamited to the quenty of \$100 the exthemer declared value. Recovery cannot extree adjusted consequential destinations are several extraordinary value is.
\$500 a.g., jewelry, precious metals, negotiable instruments and other family is a several extraordinary value is a several extraordinary value in the several extraordinary value is a several extraordinary value in the several extraordinary value is a several extraordinary value in the several extraordinary value is a several extraordinary value in the several extraordinary value is a several extraordinary value in the several extraordinary value is a several extraordinary value in the several extraordinary value is a several extraordinary value in the several extraordinary value is a several extraordinary value in the several extraordinary value is a several extraordinary value in the several extraordinary value is a several extraordinary value in the several extraordinary value is a several extraordinary value in the several extraordinary value is a several extraordinary value in the several extraordinary value is a several extraordinary value in the several extraordinary value is a several extraordinary value in the several extraordinary value is a several extraordinary value in the several extraordinary val

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Shipment Receipt **Address Information**

Ship to:

Ship from:

William Lloyd, Jr.

Cassie Scott

Small Business Advocate

THE LEGACY ENERGY

GROUP

300 N 2ND ST

32 WATERLOO STREET

COMMERCE BUILDING, 3RD FLOOR

SUITE 1102

HARRISBURG, PA

WARRENTON, VA

171011313

20186

US

US

7177832525

5403510981

Shipping Information

Tracking number: 797494614320

Ship date: 09/09/2011

Estimated shipping charges: 12.13

Package Information

Service type: FedEx Express Saver Package type: FedEx Envelope Number of packages: 1 Total weight: 1LBS Declared value: 0.00USD

Special Services:

Pickup/Drop-off: Drop off package at FedEx location

Billing Information

Bill transportation to: MyAccount-993

Your reference: PA Nat Gas App - Small Bus Adv

P.O. no.: Invoice no.: Department no.:

Thank you for shipping online with Fedex ShipManager at fedex.com.

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Shipment Receipt Fed **Address Information**

Ship to: Ship from: Cassie Scott Irwin A. Popowsky

Office of Consumer THE LEGACY ENERGY

Advocate **GROUP**

555 WALNUT ST 32 WATERLOO STREET

5TH FLOOR, FORUM 3RD FLOOR

PLACE

HARRISBURG, PA WARRENTON, VA

171011925 20186 US US

5403510981 7177835048

Shipping Information

Tracking number: 797494590914

Ship date: 09/09/2011

Estimated shipping charges: 12.13

Package Information

Service type: FedEx Express Saver Package type: FedEx Envelope Number of packages: 1

Total weight: 1LBS Declared value: 0.00USD Special Services:

Pickup/Drop-off: Drop off package at FedEx location

Billing Information

Bill transportation to: MyAccount-993 Your reference: PA Electric Application

P.O. no.: Invoice no.: Department no.: .

Thank you for shipping online with Fedex ShipManager at fedex.com.

Please Note

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Shipment Receipt **Address Information**

Ship to:

Ship from:

Eric Burgis

Cassie Scott

Philadelphia Gas Works

THE LEGACY ENERGY

GROUP

800 W MONTGOMERY

32 WATERLOO STREET

AVE

3RD FLOOR

PHILADELPHIA, PA

WARRENTON, VA

19122-2806

20186

US

US

2156846907

5403510981

Shipping Information

Tracking number: 797494571161

Ship date: 09/09/2011

Estimated shipping charges: 12.13

Package Information

Service type: FcdEx Express Saver Package type: FedEx Envelope Number of packages: 1 Total weight: ILBS Declared value: 0.00USD

Special Services:

Pickup/Drop-off: Drop off package at FedEx location

Billing Information

Bill transportation to: MyAccount-993

Your reference: PA Nat Gas App - PGW Notice P.O. no.:

Invoice no.: Department no.:

Thank you for shipping online with Fedex ShipManager at fedex.com.

Please Note

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Exhibit E Income Statement and Balance Sheet

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The Legacy Energy Group Profit & Loss 2010 YTD

		Aggregat
<u> </u>		Accrual
		YTD 2010
Ord	inary Income/Expense	
<u> </u>	Income	
	ION	3,000.00
<u> </u>	BI	847.65
_	Al	58,945:00
	Ol	116.73
	CMI	941,931.61
L.	CI	617,322.03
	Total Income	1,622,163.02
	Expense	
	Accounting Services	5,925.00
	Advertising	8,369.10
	Bad Debt	0.00
	Bank Service Charges	1,016.23
	Conference	1,625.00
	Consulting Expense	39,520.86
	Contributions	2,110.00
	Depreciation Expense	13,543.53
	Dues and Subscriptions	6,640.04
	Equipment Rental	0.00
	Gifts	0.00
	Insurance-Business	5,790.99
	Insurance-Disability	114,550.43
	Interest Expense	41.07
	Licenses and Permits	2,781.32
	Misc	2,080.80
	Non Deductible Expenses	0.00
	Office Expense	1,121.20
	Office Supplies	11,709.46
	Outside Services	47,809.08
	Payroll Expenses:	0.00
	Guaranteed Payments	897,273.35
	Payroll Taxes	27,047.06
	Penalties	75.00
	Pension Expense	28,065.47
	Postage and Delivery	2,054.15
	Printing	0.00
	Professional Fees	6,169.68
	Rent	26,390.09
	Repairs:Computer	196.28
	Building Repairs	0.00
	Taxes-State	9,725.00
	Taxes-Property	590.82
	Telephone	31,120.24
	Travel	21,025.88
	Travel & Ent: Meals	5,481.68
	Total Expense	1,319,848.81
Net	Ordinary Income	302,314.21
	r r	•

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The Legacy Energy Group Balance Sheet

Accrual Basis

Accrual Basis	
	Dec 2010
ASSETS	
Current Assets	
Checking/Savings	_
Petty Cash	0
TFB Operating Account TFB Payroll Account	98,849 (7, 275)
·	(7,275) 91,574
Total Checking/Savings	\$1,314
Accounts Receivable	
Accounts Receivable	74,708
Total Accounts Receivable	74,708
Other Current Assets	
Accounts Receivable-Other	1,494,319
Employee Advances	1,500
Total Other Current Assets	1,495,819
Total Current Assets	1,662,101
Fixed Assets	
Office Equipment & Furniture	(400 E24)
Accumulated Depreciation	(100,534) 100,534
Office Equipment - Other	
Total Office Equipment	(0)
Total Fixed Assets	(0)
Other Assets	
investment in AP	67,660
Investment in EE	48,669
Notes Receivable	67,920
Interest Receivable	24,241
Loans Receivable-Shareholder	12,614
Deposits	2,149
Prepaid Expense	8,128
Total Other Assets	231,381
TOTAL ASSETS	1,893,482
TOTAL ASSETS	1,000,402
LIABILITIES & EQUITY	
Llabilities	
Current Liabilities	
Accounts Payable	
Accounts Payable	16,704
Total Accounts Payable	16,704
Other Current Liabilities	
Accrued Expenses	64,159
Loan-Cisco	2,944
Deferred Revenue	1,660,495
Pension Liability	24,321
Payroll Liabilities	4,333
Total Other Current Liabilities	1,756,252
Total Current Liabilities	1,772,955
Total Liabilities	1,772,955
	., 300
Equity	
Opening Bal Equity	
Retained Earnings	405 044
Shareholder Capital Shareholder Distribution	195,344
Snarenoider Distribution Net Income	(377,132)
Net income Total Equity	302,314 120,526
rotes Equity	120,320
TOTAL LIABILITIES & EQUITY	1,893,481

Exhibit F Chief Officers Professional Resumes

Michael L. R. Housley
President
The Legacy Energy Group, LLC

Michael Housley is the founder and President of The Legacy Energy Group, LLC (Legacy Energy), as well as a managing partner of Executive Energy Services, LLC. As President of Legacy Energy, Mr. Housley is responsible for managing Legacy's relationships with its key energy management clients and business partners. Mr. Housley is also responsible for planning and managing Legacy Energy's strategic growth in five primary business lines: energy procurement, risk management, information technology solutions, tariff analysis, and demand side management. Mr. Housley has negotiated venture capital/credit agreements, and expanded Legacy Energy's energy management business through an equity investment in Affiliated Power Purchasers International, LLC.

Mr. Housley has over twenty-three years of experience in the energy industry. Prior to founding Legacy Energy in 1998, Mr. Housley was Vice President of LG&E Energy Marketing (a subsidiary of Louisville Gas and Electric Company) where he managed its coal, natural gas, power, and risk management businesses in 23 states. Total revenues across the business lines under Mr. Housley's management exceeded \$300 million in 1997.

Mr. Housley received a Bachelor of Science in Chemical Engineering from North Carolina State University and his Masters Degree in Business Administration from Pacific Lutheran University in the state of Washington. Currently, Mr. Housley serves as the Power Marketing Representative on the Southeastern Electric Reliability Council ("SERC") Executive Committee. He is past Chairman of the Council of Industrial Boiler Owners' NOx Committee and is a frequent speaker at industry-sponsored seminars.

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Delbert L. Roberts, Jr.
Senior Vice President
The Legacy Energy Group, LLC

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Delbert Roberts is a partner and Senior Vice President of The Legacy Energy Group, LLC (Legacy Energy). Since joining Legacy Energy in 1999, Mr. Roberts has been responsible for negotiating and executing company wholesale power and transmission contracts and establishing operations systems to support wholesale power sales and purchases; training Legacy Energy staff to use the operations systems to reliably execute marketing and trading transactions; marketing Legacy Energy's consulting services to independent power and utility power development companies, energy marketing firms, retail energy customers, control areas and ecommerce system development companies; securing marketing agreements with companies and municipalities owning distributed generation resources for Legacy Energy's wholesale marketing business; executing retail supplier sales agency agreements; managing customers' retail electricity tariff rate evaluations throughout the United States and Canada; coordinating electricity supply procurement for retail electricity customers in CAISO, ERCOT, PJM, NYISO and ISO-NE; and developing and maintaining operational functionality for achieving the company's objective of excellence in business relationships.

Mr. Roberts has over thirty years of energy industry experience. Prior to joining Legacy Energy, Mr. Roberts was the Southeastern Regional Marketing Executive for LG&E Energy Marketing (a subsidiary of Louisville Gas and Electric Company) for three years where he was responsible for developing contractual infrastructure and originating wholesale energy transactions in the Southeastern United States. Prior to working with LG&E, Mr. Roberts was the Superintendent of Electric System Control for the City of Tallahassee, Florida. He managed the control and operations division of Tallahassee's 600 MW electric generation, transmission and distribution system with 20 employees and an annual operating budget of over \$40 million. Mr. Roberts' responsibilities included management of electric transmission and distribution systems operations, electric generation commitment and dispatch, energy management, load management and geographical information systems operations, wholesale energy sales and purchase transactions, transmission services sales and purchases, utility training and safety programs, relay and communications operation and maintenance, power sale and purchase contracts administration, fuels management and procurement, and energy accounting for the municipal's energy adjustment calculation

Mr. Roberts is a past Chairman of the Florida Electric Power Coordinating Group, Inc.'s ("FCG") Operating Committee and a past independent power representative on the Southeastern Electric Reliability Council's ("SERC") Engineering Executive Committee.

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Thomas Ihrig
Vice President
The Legacy Energy Group, LLC

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Thomas Ihrig is the Vice President of The Legacy Energy Group, LLC (Legacy Energy). He is also Secretary of Executive Energy Services, LLC (Executive Energy), an operations partner with Legacy Energy. Mr. Ihrig's principal responsibilities are following regulatory framework across the nation, modeling local distribution companies' (LDCs) tariffs, maintaining supplier relationships with energy service providers (ESPs), and commodity risk management.

Mr. Ihrig has over twenty years of experience in the energy industry. In those twenty years, Mr. Ihrig has held positions of increasing responsibility working with commercial, industrial and municipal customers, both on the retail and wholesale level. Prior to joining Legacy Energy in August of 2000, Mr. Ihrig managed the gas and electric commodities for a number of nationwide clients of CMS Energy. Mr. Ihrig has extensive experience in the regulatory arena working with State Public Utility Commissions (PUCs) in their efforts to implement a viable competitive retail electric supply market. For the past ten years, Mr. Ihrig has analyzed the unbundling of the LDCs tariffs in those states with retail open access programs and modeled savings to determine opportunities for clients.

In 1988, Mr. Ihrig earned a Bachelor of Science degree in Mechanical Engineering from Ohio State University, and in 1996, he received his master of Business Administration degree from Central Michigan University.

Exhibit G Initial Licensing Fee

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU From: (540) 351-0981 Cassie Scott THE LEGACY ENERGY GROUP 32 WATERLOO STREET 3RD FLOOR WARRENTON, VA 20186 Origin ID: DGNA



J11201104290225

BILL SENDER

SHIP TO: (717) 787-8763

James J. McNulty, Secretary Pennsylvania Public Utility Commiss 400 NORTH ST KEYSTONE BUILDING - 2ND FLOOR HARRISBURG, PA 17120 Ship Date: 13SEP11 ActWgt: 3.0 LB CAD: 4074783/INET3180

Delivery Address Bar Code



Ref# Invoice# **PUC Nat Gas Application**

PO# Dept#

> WED - 14 SEP A1 STANDARD OVERNIGHT

TRK# 0201

7975 0961 8300

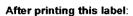
NJ MDTA

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