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September 28, 2011

BY HAND

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2nd Floor North
P.O. Box 3265
Harrisburg, PA 17105-3265

RE: Pennsylvania Public Utility Commission et al. v. United Water Pennsylvania, Inc.;
Docket No. R-2011-2232985 et al.; JOINT STIPULATION FOR ADMISSION OF
EVIDENCE

Dear Secretary Chiavetta:

Enclosed, for filing, are the original and three (3) copies of the Joint Stipulation for Admission of Evidence in the above-referenced proceeding. Copies have been provided to the persons as indicated by the certificate of service

Respectfully Submitted,

Michael W. Hassell
MWH/kmg
Enclosures

cc: Honorable David A. Salapa (*via e-mail and hand delivery*)
Honorable Mary D. Long (*via e-mail and overnight delivery*)
Certificate of Service

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CERTIFICATE OF SERVICE
Docket No. R-2011-2232985

I hereby certify that I have this day served true and correct copies of the foregoing document upon the following persons, in the manner indicated, in accordance with the requirements of 52 Pa. Code § 1.54 (relating to service by a participant).

VIA ELECTRONIC MAIL AND FIRST CLASS MAIL:


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DATED: September 28, 2011



Michael W. Hassell
Counsel for *United Water Pennsylvania Inc.*

**BEFORE THE
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Administrative Law Judges
David A. Salapa and
Mary D. Long

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| | | |
|---|---|----------------------------|
| Pennsylvania Public Utility Commission, | : | |
| Office of Small Business Advocate, | : | Docket Nos. R-2011-2232985 |
| Office of Consumer Advocate | : | C-2011-2243146 |
| | : | C-2011-2243242 |
| v. | : | |
| | : | |
| United Water Pennsylvania Inc. | : | |

JOINT STIPULATION FOR ADMISSION OF EVIDENCE

United Water Pennsylvania Inc. (“United Water” or the “Company”), the Bureau of Investigation & Enforcement (“I&E”) of the Pennsylvania Public Utility Commission (“Commission”), the Office of Consumer Advocate (“OCA”), and the Office of Small Business Advocate (“OSBA”), all parties to the above-captioned proceeding (hereinafter, collectively referred to as the “Stipulating Parties”), file this “Joint Stipulation for Admission of Evidence” (“Stipulation”) in the above-captioned proceeding.¹ In support of the Stipulation, the Stipulating Parties represent as follows:

1. Paragraphs 1 through 12 (regarding “Background”) of the “Joint Petition for Settlement of Rate Proceeding” (“Settlement”), filed on this same date in the above-captioned proceeding, is hereby incorporated by reference.

¹ All Stipulating Parties are signatory parties to the “Joint Petition for Settlement of Rate Proceeding,” filed on September 28, 2011 in the above-captioned proceeding. There are no other parties.

2. The Stipulating Parties hereby jointly stipulate to the authenticity of and admission into the evidentiary record in this matter of the following filings, statements, and exhibits:

A. United Water Statements and Exhibits.

- (a) United Water Statement No. 1, Direct Testimony of John D. Hollenbach, together with United Water Exhibit No. 1.
- (b) United Water Statement No. 2, Direct Testimony of David G. Njuguna, together with United Water Exhibit No. 2.
- (c) United Water Statement No. 3, Direct Testimony of Peiling Lin, together with United Water Exhibit No. 3.
- (d) United Water Statement No. 4, Direct Testimony of Elda Gil, together with United Water Exhibit No. 4.
- (e) United Water Statement No. 5, Direct Testimony of James C. Cagle, together with United Water Exhibit No. 5.
- (f) United Water Statement No. 5 (Supp.), Supplemental Direct Testimony of James C. Cagle, together with United Water Exhibit No. 5 (Supp.).
- (g) United Water Statement No. 6, Direct Testimony of Paul Herbert, together with United Water Exhibit Nos. 6-A and 6-B.
- (h) United Water Statement No. 7, Direct Testimony of Pauline M. Ahern, together with United Water Exhibit No. 7.
- (i) United Water Statement No. 8, Direct Testimony of Bruce G. Hawthorne.
- (j) United Water Statement No. 1R, Rebuttal Testimony of John D. Hollenbach, together with United Water Exhibit No. 1R.
- (k) United Water Statement No. 2R, Rebuttal Testimony of David G. Njuguna, together with United Water Exhibit No. 2R.
- (l) United Water Statement No. 3R, Rebuttal Testimony of Peiling Lin, together with United Water Exhibit No. 3R.
- (m) United Water Statement No. 4R, Rebuttal Testimony of Elda Gil, together with United Water Exhibit No. 4R.
- (n) United Water Statement No. 5R, Rebuttal Testimony of James C. Cagle, together with United Water Exhibit No. 5R.

- (o) United Water Statement No. 6R, Rebuttal Testimony of Paul Herbert, together with United Water Exhibit Nos. 6R-1 and 6R-2.
- (p) United Water Statement No. 7R, Rebuttal Testimony of Pauline M. Ahern, together with United Water Exhibit No. 7R.
- (q) United Water Statement No. 6-SR, Surrebuttal Testimony of Paul R. Herbert.
- (r) Filing Requirements I through XI, which include the following:
 - (i) Filing Requirement I, Statement of Income.
 - (ii) Filing Requirement II, Operating Revenues.
 - (iii) Filing Requirement III, Operating Expense.
 - (iv) Filing Requirement IV, Taxes (including a separately-bound "Confidential" volume).
 - (v) Filing Requirement V, Rate Base.
 - (vi) Filing Requirement VI, Depreciation.
 - (vii) Filing Requirement VII, Rate of Return.
 - (viii) Filing Requirement VIII, Rate Structure – Cost of Service.
 - (ix) Filing Requirement IX, Quality of Service.
 - (x) Filing Requirement X, Balance Sheet.
 - (xi) Filing Requirement XI, Other Data.
- (s) United Water 2010 AON Actuarial Report.
- (t) United Water 2010 AON Actuarial Report for Accounting (FAS 106).
- (u) United Water 2009 AON Actuarial Report.
- (v) United Water 2009 AON Actuarial Report for Accounting (FAS 106).

B. I&E Statements and Exhibits

- (a) I&E Statement No. 1, Direct Testimony of Amanda Gordon, together with I&E Exhibit No. 1.
- (b) I&E Revised Exhibit No. 1, Schedules 1, 6, 8, 9, and 11.

- (c) I&E Statement No. 2, Direct Testimony of Dorothy Morrissey, together with I&E Exhibit No. 2.
- (d) I&E Statement No. 3, Direct Testimony of Jeremy B. Hubert, together with I&E Exhibit No. 3.
- (e) I&E Statement No. 1-SR, Surrebuttal Testimony of Amanda Gordon, together with I&E Exhibit No. 1-SR.
- (f) I&E Statement No. 3-SR, Surrebuttal Testimony of Jeremy B. Hubert, together with I&E Exhibit No. 3-SR.

C. OCA Statements and Exhibits.

- (a) Revised OCA Statement No. 1, Revised Direct Testimony of Michael A. Bleiweis, together with Appendix A and Second Revised OCA Schedules MAB-1 through MAB-41.
- (b) OCA Statement No. 2, Direct Testimony of David C. Parcell, together with Attachment 1 and OCA Exhibit No. DCP-1.
- (c) OCA Statement No. 3, Direct Testimony of Glenn A. Watkins, together with OCA Schedules GAW-1 through GAW-4.
- (d) OCA Statement No. 2-S, Surrebuttal Testimony of David C. Parcell, together with OCA Exhibit DCP-2.
- (e) OCA Statement No. 3-S, Surrebuttal Testimony of Glenn A. Watkins.

D. OSBA Statements and Exhibits.

- (a) OSBA Statement No. 1, Direct Testimony of Brian Kalcic, together with OSBA Schedules BK-1 through BK-5.
- (b) OSBA Statement No. 2, Rebuttal Testimony of Brian Kalcic.
- (c) OSBA Statement No. 3, Surrebuttal Testimony of Brian Kalcic.

3. This Stipulation is presented by the Stipulating Parties in conjunction with the Settlement, which is intended to settle all issues in the above-captioned proceeding. If the Commission rejects or otherwise modifies the Settlement, the Stipulating Parties reserve their respective procedural rights to object to the admission of the above-referenced statements and exhibits, submit additional testimony and exhibits, and cross-examine witnesses at on-the-record

evidentiary hearings.

4. This Stipulation is being presented, in conjunction with the Settlement, only to resolve issues in the above-captioned proceeding. Regardless of whether this Stipulation is approved or not, no adverse inference shall be drawn, nor shall prejudice result to any Signatory Party in this or any future proceeding as a consequence of this Stipulation, or any of its terms or conditions.


5. Two copies of the foregoing filings, statements, and exhibits are being filed with the Commission's Secretary for inclusion in the official case record upon approval of this Stipulation. "Confidential" materials being filed with the Secretary's Bureau of the Commission are so marked and should be placed in non-public folders by the Secretary's Bureau.²

6. Attached hereto as **Appendix A** is a proposed "Order Granting Joint Stipulation for Admission of Evidence" for consideration by the Presiding Officers.

WHEREFORE, the Stipulating Parties, by their respective counsel, respectfully request that the Honorable Administrative Law Judges David A. Salapa and Mary D. Long admit the foregoing filings, statements, and exhibits into the record in this proceeding on the terms and conditions set forth in the Stipulation.

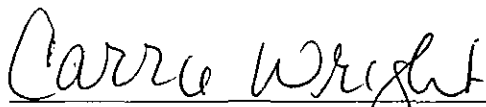
² Such materials are subject to the Stipulated Protective Agreement separately entered into by I&E, OCA, and OSBA with United Water.

Respectfully submitted,



Date: 9/28/2011

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Christopher T. Wright, Esquire
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Date: 9/28/11

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Date: 9/28/11

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APPENDIX A

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**BEFORE THE
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

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|---|---|----------------------------|
| Pennsylvania Public Utility Commission, | : | |
| Office of Small Business Advocate, | : | Docket Nos. R-2011-2232985 |
| Office of Consumer Advocate | : | C-2011-2243146 |
| | : | C-2011-2243242 |
| v. | : | |
| | : | |
| United Water Pennsylvania Inc. | : | |

**ORDER GRANTING JOINT STIPULATION
FOR ADMISSION OF EVIDENCE**

On September 28, 2011, United Water Pennsylvania Inc., the Bureau of Investigation & Enforcement of the Pennsylvania Public Utility Commission (“Commission”), the Office of Consumer Advocate, and the Office of Small Business Advocate (collectively, the “Stipulating Parties”) filed a “Joint Stipulation for Admission of Evidence” (“Stipulation”) in the above-captioned proceeding. Each of the Stipulating Parties stipulated to the authenticity of the filings, statements, and exhibits listed in the Stipulation and requested that they be admitted into the record of this proceeding on the terms and conditions set forth in the Stipulation. Certain filings contain information marked as “Confidential.” The Stipulating Parties requested that such materials be placed in non-public folders by the Secretary’s Bureau. The Stipulation is attached to this Order.

As this request is reasonable, it will be granted.

THEREFORE,

IT IS ORDERED:

1. That the Stipulation, filed on September 28, 2011, and the filings, statements, and

exhibits listed therein are admitted into the record of this proceeding on the terms and conditions set forth in the Stipulation;

2. That two copies of each filing, statement, and exhibit listed in the Stipulation be filed with the Secretary's Bureau of the Commission, unless previously filed; and,

3. That all filings designated as "Confidential" be placed in non-public folders by the Secretary's Bureau of the Commission.

Date: _____

David A. Salapa
Administrative Law Judge

Mary D. Long
Administrative Law Judge