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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

2701 E. President George Bush Tollway Suite 200 Plano TX 75074

(972) 782 9052

www.nationalenergy.com

November 10, 2011

Pennsylvania Public Utility Commission
Secretary
P.O. Box 3265
Harrisburg, PA 17105-3265

RE: Pennsylvania Energy Consultant License – Application Submission Package

To whom it may concern:

Please find enclosed our application documentation for Energy Broker/Marketer Licensing. Attached you will find the following information:

- A completed Energy Agent License application form;
- Applicant Checklist;
- Appendices A,B,C & D – Applicant Affidavit, Operations Affidavit, Certificate of Service and Executed Bond template;
- \$10,000 Surety Bond;
- Tax Certification Statement;
- Commonwealth Department of State registration verification;
- Proof of Publication;
- Exhibit A – Company profile and supporting documentation;
- Application fee of \$350 payable to the Commonwealth of Pennsylvania.

An electronic version in .pdf format on CD-rom has also been enclosed. Please direct any correspondence concerning this application to my attention at the address listed within the application.

Thank you for your prompt attention to this matter. We look forward to a mutually successful partnership with the State of Pennsylvania and its Deregulated Energy partners.

Sincerely,

Ethan Kortkamp
Comptroller

National Energy, LLC

Office: 972-782-9052 • Fax: 972-782-4139 • Cell: 469-939-0452

Email: ekortkamp@nationalenergy.com

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of NATIONAL 1 ENERGY, LLC, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

NATIONAL1 ENERGY, LLC
2701 E. PRESIDENT GEORGE BUSH HWY
SUITE 210
PLANO TX 75074
972-782-9052
www.national1energy.com

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

INCORP SERVICES, INC
7208 Red Top Road
Hummelstown, PA 17036
Dauphin County

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

STEPHANE BITTON, CEO
NATIONAL1 ENERGY
2701 E. PRESIDENT GEORGE BUSH HWY
SUITE 210
PLANO TX 75074
972-782-9052 (ph)
972-782-4139 (fax)
sbitton@national1energy.com

OR

ETHAN KORTKAMP, COMPTROLLER
NATIONAL1 ENERGY
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- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Applicant is not using an attorney at this time but reserves the right to do so in the future.

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

DEBORAH C. JAMES, VP OPERATIONS
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2. BUSINESS ENTITY FILINGS AND REGISTRATION

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

Or

The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**
(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

Or

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

No affiliates have done, or are doing business.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

No predecessors have been, or are doing business.

4. OPERATIONS

- a. **APPLICANT'S PRESENT OPERATIONS:** (*select and complete the appropriate statement*)

- The Applicant is presently doing business in Pennsylvania as a
- municipal electric corporation
 - electric cooperative
 - local gas distribution company
 - provider of electric generation, transmission or distribution services
 - Other; Identify the nature of service being rendered.

OR

- The Applicant is not presently doing business in Pennsylvania.

- b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a (*may check multiple*):

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
- Electric Cooperative and supplier of electric power
- Other (Describe):

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

- c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

National1 Energy is a direct marketing organization acting as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

d. **PROPOSED SERVICE AREA:** Provide a list of each Electric Distribution Company for which the Applicant proposes to provide service.

- *Duquesne Light Company*
- *PPL*
- *PECO*
- *Metropolitan Edison Company (Met-Ed)*
- *Pennsylvania Electric Company (Penelec)*
- *UGI*
- *West Penn Power Company (Allegheny)*
- *Pennsylvania Power Company (Penn Power)*

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):

f. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

g. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using a Third Party Verification procedure?

- Yes
- No

If yes, describe the Applicant's Third Party Verification procedures.

National1 Energy will engage independent certified contractors to enroll electricity customers. An integral part of the enrollment process will require all customers be identified via recorded third party verification calls undertaken with independent call-in service providers as well as identified in concert with all practical means initiated by Pennsylvania PUC approved electricity suppliers.

- h. **START DATE:** Provide the approximate date the Applicant proposes to begin services within the Commonwealth.

Operations will commence upon approval and issuance of all required licensing.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

No legal proceedings have been initiated or are currently in process against the applicant.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not applicable.

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

No formal or escalated actions or complaints have been filed.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not applicable.

6. PROOF OF SERVICE

(Example Certificate of Service is attached at Appendix C)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

- b.) **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Allegheny Power:

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Met-Ed, Penelec, and Penn Power:

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Pike County Light & Power Company:

Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Wellsboro Electric Company:

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **original** (along with copies) of another initial security for Commission approval, to ensure financial responsibility.
- Filing for a modification to the \$250,000 requirement and furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

- At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.
- Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.
- Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- *Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies. - PROVIDED*
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- *Applicant's accounting statements, including balance sheet and income statements for the past two years. - PROVIDED*
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- *Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness. -BANK REFERENCE LETTER PROVIDED*

c. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

*ETHAN KORTKAMP, COMPTROLLER
 NATIONAL1 ENERGY, LLC
 2701 E. PRESIDENT GEORGE BUSH FWY
 PLANO, TX 75074
 972-782-9052 (PH)
 972-782-4139 (FAX)
 ekortkamp@national1energy.com*

d. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a.) **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- *Applicant's previous experience in the electricity industry.*
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- *Type of customers and number of customers Applicant currently serves in other jurisdictions.*
- *Staffing structure and numbers as well as employee training commitments.*
- *Business plans for operations within the Commonwealth.*
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- *Any other information appropriate to ensure the technical capabilities of the Applicant.*

b.) **OFFICERS:** Identify Applicant's chief officers including names and their professional resumes.

STEPHANE BITTON, CEO
NATIONAL1 ENERGY
2701 E. PRESIDENT GEORGE BUSH HWY
SUITE 210
PLANO TX 75074
972-782-9052 (ph)
972-782-4139 (fax)
sbitton@national1energy.com

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972-782-9052 (ph)
972-782-4139 (fax)
djames@national1energy.com

RAMIRO GONZALES, VP MARKETING
NATIONAL1 ENERGY
2701 E. PRESIDENT GEORGE BUSH HWY
SUITE 210
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972-782-9052 (ph)
972-782-4139 (fax)
rgonzales@national1energy.com

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PLANO TX 75074
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972-782-4139 (fax)
ekortkamp@national1energy.com

c.) **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- Not applicable

9. DISCLOSURE STATEMENT:

- a. **Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.
- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

- b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.

AGREED

c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.



AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.



AGREED

e. **ASSESSMENT:** The Commission does not presently assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see *PPL Energyplus, LLC v. Commonwealth, 800 A.2d 360 (Pa. Cmwlth. 2002)*.



ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.



AGREED

g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.



AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.



AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.



AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at appendix J.



AGREED

- k. **FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.



PAYMENT ENCLOSED

11. AFFIDAVITS

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	<u>Duquesne</u>	<u>Met Ed</u>	<u>PECO</u>	<u>Penelec</u>	<u>Penn Power</u>	<u>PPL</u>	<u>UGI</u>	<u>West Penn</u>	<u>Entire Commonwealth</u>
Philadelphia Daily News		X	X			X			X
Harrisburg Patriot-News		X		X		X		X	X
Scranton Times Tribune		X		X		X	X		X
Williamsport Sun Gazette				X		X		X	X
Johnstown Tribune Democrat				X				X	X
Erie Times-News				X	X				X
Pittsburgh Post-Gazette	X				X			X	X

(Example Publication is provided at Appendix H)

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NOV 21 2011

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SECRETARY'S BUREAU

13. SIGNATURE

Applicant:  _____

By: STEPHANE BITTON

Title: CHIEF EXECUTIVE OFFICER

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: NATIONAL1 ENERGY, LLC

Applicant's Use	✓	Signature	
	✓	Filing Fee	
	✓	Application Affidavit	
	✓	Operations Affidavit	
	✓	Proof of Publication	
	✓	Bond or Letter of Credit	
	✓	Tax Certification Statement	
	✓	Commonwealth Department of State Verification	
	✓	Certificate of Service	

PUC Secretary's Bureau Use

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Appendix A

APPLICATION AFFIDAVIT

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[Commonwealth/State] of TEXAS :

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ss.

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

County of COLLIN :

STEPHANE BITTON, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He is the CHIEF EXECUTIVE OFFICER (Office of Affiant) of NATIONAL1 ENERGY, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein NATIONAL1 ENERGY, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein NATIONAL1 ENERGY, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

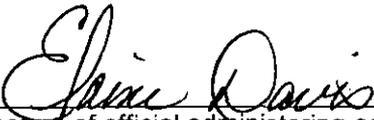
That the Applicant herein NATIONAL1 ENERGY, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



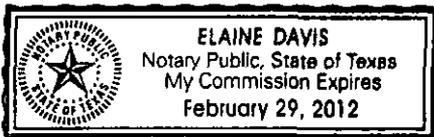
Signature of Affiant

Sworn and subscribed before me this 9th day of November, 20 11.



Signature of official administering oath

My commission expires 2-29-12.



Appendix B

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

OPERATIONS AFFIDAVIT

[Commonwealth/State] of _____ TEXAS _____ :

: ss.

County of _____ COLLIN _____ :

STEPHANE BITTON, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

[He/she is the CHIEF EXECUTIVE OFFICER (Office of Affiant) of NATIONAL1 ENERGY, LLC
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That NATIONAL1 ENERGY, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That NATIONAL1 ENERGY, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That NATIONAL1 ENERGY, LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That NATIONAL1 ENERGY, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



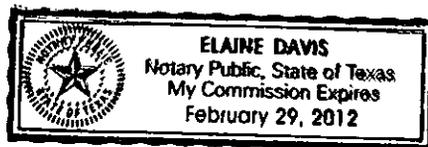
Signature of Affiant

Sworn and subscribed before me this 9th day of November, 2011.



Signature of official administering oath

My commission expires 2-29-12.



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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix C

Example CERTIFICATE OF SERVICE

On this the ____ day of _____, 20__, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

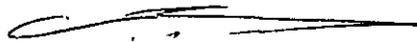
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

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SECRETARY'S BUREAU


STEPHANE BITTON, CEO,
NATIONAL1 ENERGY, LLC

Appendix D

Page 1 of 2

Electric Generation Supplier License Bonds

Bonds submitted in order to satisfy the licensing process must comply with the following:

The bond in the amount of \$250,000 should name the Pennsylvania Public Utility Commission as the obligee or beneficiary, and should contain the following language:

This bond is written in accordance with Section 2809(c)(1)(i) of the Public Utility Code, 66 Pa. C.S. § 2809(c)(1)(i), to assure compliance with applicable provisions of the Public Utility Code, 66 Pa. C.S. §§101, et seq., and the rules and regulations of the Pennsylvania Public Utility Commission by the Principle as a licensed electric generation supplier; to ensure the payment of Gross Receipts Tax as required by Section 2810 of the Public Utility Code, 66 Pa. C.S. § 2810; and to ensure the supply of electricity at retail in accordance with contracts, agreements or arrangements. Payment of claims shall have the following priority: (i) The Commonwealth; (ii) Electric Distribution Companies for the reimbursement of Gross Receipts Tax; and (iii) Private individuals. Proceeds of the bond may not be used to pay any penalties or fines levied against the Principal for violations of the law, or for payment of any other tax obligations owed to the Commonwealth.

The original and two copies of the bond must be submitted to the Office of the Secretary, Pa. Public Utility Commission, P.O. Box 3265, Harrisburg, PA 17105-3265. The entry date of the Commission's Order will be the effective date for the license.

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix F

**COMMONWEALTH OF
PENNSYLVANIA
PUBLIC UTILITY COMMISSION**

**TAX CERTIFICATION
STATEMENT**

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**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 8 1/2" x 11" paper. Type or print all information requested.

1. CORPORATE OR APPLICANT NAME NATIONAL ENERGY, LLC 3. TRADE/FICTITIOUS NAME (IF ANY) N/A	2. BUSINESS PHONE NO. (972) 782 - 9052 CONTACT PERSON(S) FOR TAX ACCOUNTS: ETHAN KORTKAMP, COMPTROLLER
4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BOX NO.) (POST OFFICE) STATE (ZIP) 2701 E. PRESIDENT GEORGE BUSH HWY SUITE 210 PLANO TX 75074	
5. TYPE OF ENTITY <input type="checkbox"/> SOLE PROPRIETOR <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> CORPORATION <input checked="" type="checkbox"/> LLG <input type="checkbox"/> OTHER (Describe...)	

6. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICERS(S)

NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
STEPHANE BITTON	_____ - _____ - _____
DEBORAH C. JAMES	_____ - _____ - _____
RAMIRO GONZALES	_____ - _____ - _____
ETHAN KORTKAMP	_____ - _____ - _____
	_____ - _____ - _____

7. LIST THE FOLLOWING STATE & FEDERAL TAX IDENTIFICATION NUMBERS (ALL ITEMS A, B, & C MUST BE COMPLETED)

Applicant must provide explanation if submitting N/A for any items

Item A- Designated by the Pennsylvania Department of Revenue.

Item B - Designated by the Internal Revenue Service.

Item C - Designated by the Pennsylvania Department of Revenue. The Corporate Box number may also be referred to as the Corporate Account number.

A. SALES TAX LICENSE (8 DIGITS) _____ - _____ - _____ APPLICATION PENDING <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	C. CORPORATE BOX NUMBER (7 DIGITS) _____ - _____ - _____ APPLICATION PENDING <input checked="" type="checkbox"/> N/A <input type="checkbox"/>
B. EMPLOYER ID (EIN) (9 DIGITS): 3 2 - 0 2 2 0 9 8 8 APPLICATION PENDING <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	

8. Do you have PA employees, resident or non-resident? YES NO

9. Do you own any assets or have an office in PA? YES NO

NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS		
ETHAN KORTKAMP (972) 782-9052	ETHAN KORTKAMP (972) 782-9052	ETHAN KORTKAMP (972) 782-9052
PA SALES AND USE TAX	EMPLOYER TAXES	CORPORATE TAXES
PHONE 972-782-9052	PHONE 972-782-9052	PHONE 972-782-9052

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

**Sample Disclosure Statement Format
for Electric Generation Suppliers**

This is an agreement for electric generation service, between EGS name and customer's name and full address.

Background

- We at EGS's name are licensed by the Pennsylvania Public Utility Commission to offer and supply electric generation services in Pennsylvania. Our PUC license number is A-XXXX-XXXXXXX.
- We set the generation prices and charges that you pay. The Public Utility Commission regulates distribution prices and services. The Federal Energy Regulatory Commission regulates transmission prices and services.
- Include one of the two following options:
 - 1) You will receive a single bill from billing agent name that will contain distribution utility name charges and EGS's name charges.
 - 2) You will receive a separate bill from EGS Name for generation charges and a separate bill from distribution utility name for its charges.
- Right of Rescission - You may cancel this agreement at any time before midnight of the third business day after receiving this disclosure.

Definitions

- Generation Charge - Charge for production of electricity.
- Transmission Charge - Charge for moving high voltage electricity from a generation facility to the distribution lines of an electric distribution company.
- Nonbasic Charges - Define each nonbasic service being offered.

Terms of Service

1. (a) **Basic Service Prices** - Itemize Basic Services you are billing for and their prices.

You will pay EGS rate per kWh for electric generation service.
Suppliers are to include any variable pricing conditions and limits, if charging a variable rate.

(Note to EGS applicants: this statement should include transmission charges if applicable. Contact the electric distribution utility to determine if transmission charges are included in their price-to-compare.)

(b) **Nonbasic Service Prices** - Itemize Nonbasic Services you are offering and their prices.

2. **Length of Agreement**

You will buy your electricity generation service for the above street address from EGS's name beginning date through date of expiration, if any.

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SECRETARY'S BUREAU

Appendix G (Continued)

3. Special Terms and Conditions - List and explain all that apply:

Sign-up bonuses
Add-ons
Limited time offers
Other Sales Promotions
Exclusions

4. Special Services - Provide explanation of price, terms and conditions, including advanced metering deployment, if applicable.

5. Penalties, Fees and Exceptions - List any that apply including a late payment charge. The print size for this section must be larger than the print in the rest of the agreement.

6. Cancellation Provisions - This category may consist of both customer initiated cancellation provisions and supplier initiated cancellation provisions.

7. Renewal Provision/Agreement Expiration/Change in Terms

If you have a fixed term agreement with us and it is approaching the expiration date or if we propose to change our terms of service, we will send you two advance written notices either in our bills or in separate mailings between 45 and 90 days before either the expiration date or the effective date of the changes. We will explain your options in these two advance notices.

8. Dispute Procedures

Contact us with any questions concerning our terms of service. You may call the PUC if you are not satisfied after discussing your terms with us.

9. Contact Information

Generation Supplier Name:

Address:

Phone Number:

Internet Address:

Electric Distribution Company Name:

Appendix G (Continued)

Provider of Last Resort Name:

Address:

Phone Number:

Public Utility Commission (PUC)

Address:

P.O. Box 3265 Harrisburg, PA 17105-3265

Choice Hotline Number:

1-800-692-7380

Universal Service Program Name:

Phone Number:

N/A

EXHIBIT A

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
CORPORATION BUREAU
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.STATE.PA.US/CORP

National Energy, LLC

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4049904

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Kortkamp, Ethan
2701 E President George Bush Hwy, Suite 210
Plano, TX 75074

From:
Sent:
To:
Subject:

pao4b@state.pa.us
Tuesday, June 14, 2011 4:39 PM
ST, PAO4B
PAO4B New Registration **327602**

Entity #: 4049904
Date Filed: 07/19/2011
Carol Aichele
Secretary of the Commonwealth

**PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU
Application For Registration - Foreign**

- Registered Limited Liability General Partnership (§ 8211)
- Registered Limited Liability Limited Partnership (§ 8211)
- Limited Partnership (§ 8582)
- Limited Liability Company (§ 8981)

Document will be returned to the name and address you enter below.

Name
Ethan Kortkamp
Address
2701 E President George Bush Hwy
Address
Suite 210

City Plano State Zip Code TX 75074

Commonwealth of Pennsylvania
Application for Registration 3 Page(s)

Email: ekortkamp@national1energy.com



T1122841060

Fee: \$250

In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in this Commonwealth, hereby states that:

1. The name to be registered is:
National1 Energy, LLC
2. (If the name set forth in paragraph 1 is not available for use in this Commonwealth, complete the following):
The name under which the limited liability company/limited liability partnership/limited partnership proposes to register and do business in this Commonwealth is:
3. The name of the jurisdiction under the laws of which it was organized and the date of its formation:
Jurisdiction: NV Date of Formation: 10/18/2007
4. The (a) address of this initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and Street City State Zip County

(b) Name of Commercial Registered Office Provider County
Incorp Services, Inc. Dauphin

5. Check and complete one of the following:
The address of the office required to be maintained by it in the jurisdiction of its organization by the laws of that jurisdiction is:

Number and Street City State Zip
 It is not required by the laws of its jurisdiction of organization to maintain an office therein and the address of its principal office is:
2701 E President George Bush Hwy Suite 210 Plano, TX 75074
 Number and Street City State Zip

6. ~~For Restricted Professional Limited Liability Company Only. Strike out if inapplicable: The company is a restricted professional company organized to render the following professional service(s):~~
7. The name and business address of each general partner:

Name Address(es)

PA DEPT. OF STATE

AUG 15 2011

Number and Street

City

State

Zip

The registered partnership hereby undertakes to keep these records until its registration to do business in the Commonwealth is canceled or withdrawn.

IN TESTIMONY WHEREOF, the undersigned has caused this Application for Registration to be signed by a duly authorized officer/member or manager thereof this _____ day of _____.

Name of Partnership/Company

Signature

Title

D780220110614

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU
Foreign Signature Form

Document must be completed and mailed to the address listed below.

Department of State
Corporation Bureau
P.O. Box 8722
Harrisburg, PA 17105-8722
(717) 787-1057

1. The enterprise structure is:
Limited Liability Company
2. The enterprise legal name is:
National1 Energy, LLC
3. The enterprise's fictitious name is:

IN TESTIMONY WHEREOF, the undersigned have caused this application to be executed this
15th day of July, 2011.

NATIONAL ENERGY, LLC
Enterprise Name
[Signature]
Signature
MANAGER
Title

0760220110614

To avoid any delay or rejection, signature form(s) should be received within 7-10 days
of the registration submission date.

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SECRETARY'S BUREAU

PA DEPT. OF STATE

JUL

PA DEPT. OF STATE

JUL 19 2011

Proof of Publication of Notice in Pittsburgh Post-Gazette

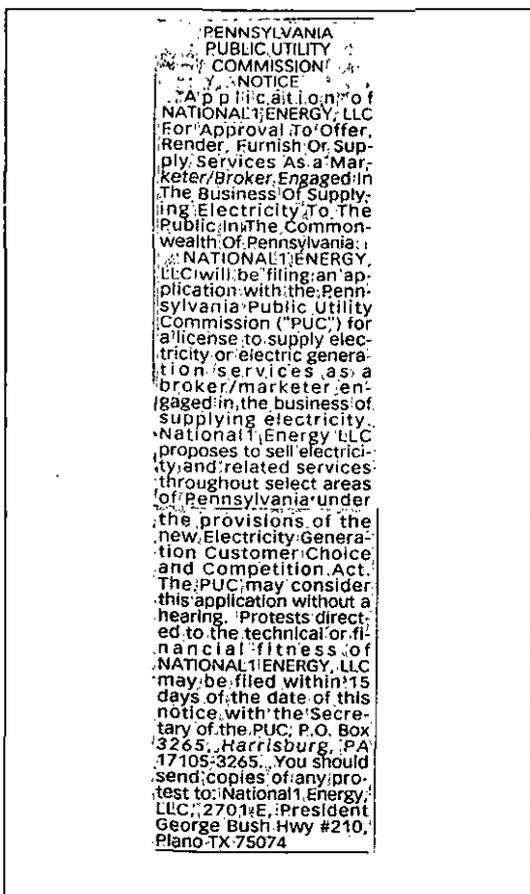
Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss H. Java, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

11 of November, 2011

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

COPY OF NOTICE OR PUBLICATION



H. Java
PG Publishing Company
Sworn to and subscribed before me this day of:
November 11, 2011

Linda M. Gaertner
COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Linda M. Gaertner, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires Jan. 31, 2015
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

RECEIVED
STATEMENT OF ADVERTISING COSTS
National Energy, LLC
BRET KORTKAMP
2701 E. PRES. GEORGE BUSH HWY
STE 210
Plano TX 75074
NOV 21 2011
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU
To PG Publishing Company

Total ----- \$382.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
34 Boulevard of the Allies
PITTSBURGH, PA 15222
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation
By Marcia Jucee

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

The Scranton Times (Under act P.L. 877 No 160. July 9,1976)
Commonwealth of Pennsylvania, County of Lackawanna

NATIONAL1 ENERGY LLC
BRET KORTKAMP
SUITE 210 2701 E PRESIDENT GEORGE BUSH H
PLANO TX 75074

Account # 552971
Order # 80758525
Ad Price: 159.70

Gina Krushinski
Being duly sworn according to law deposes and says that she is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

11/11/2011

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true *Anna Burmistrova*

Sworn and subscribed to before me
this 11th day of November A.D., 2011

Sharon Venturi
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Sharon Venturi, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Feb. 12, 2014
Member, Pennsylvania Association of Notaries

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of NATIONAL1 ENERGY, LLC For Approval to Offer, Render, Furnish or Supply Services as a Marketer/Broker Engaged in the Business of Supplying Electricity to the Public in the Commonwealth Of Pennsylvania.

NATIONAL1 ENERGY, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services, as a broker/marketer, engaged in the business of supplying electricity. National1 Energy LLC proposes to sell electricity and related services throughout select areas of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of NATIONAL1 ENERGY, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to: National1 Energy, LLC, 2701 E. President George Bush Hwy., #210, Plano, TX 75074.

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Anna Dickerson being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

November 14, 2011

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Anna Dickerson

Sworn to and subscribed before me this 14th day of
November, 2011.

Mary Anne Logan
Notary Public

My Commission Expires:

NOTARIAL SEAL
Mary Anne Logan, Notary Public
City of Philadelphia, Phila. County
My Commission Expires March 30, 2013

Copy of Notice of Publication

PENNSYLVANIA PUBLIC UTILITY COMMISSION
NOTICE
Application of NATIONAL ENERGY, LLC For Approval To Offer, Render, Furnish Or Supply Services As a Marketer/Broker Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.
NATIONAL ENERGY, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. National Energy LLC proposes to sell electricity and related services throughout select areas of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of NATIONAL ENERGY, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to: National Energy, LLC, 2701 E. President George Bush Hwy. #210, Plano, TX 75074.

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



Company Profile

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Name of business: National1 Energy, LLC
Business address: 2701 E. President George Bush Hwy
Suite 200
Plano, Tx
USA 75074

Contact Details: **Stephane Bitton, President**
Tel: 469-939-0461
Fax: 972-671-4001
Email: sbitton@national1energy.com
Web: www.national1energy.com

Background Information

National1 Energy, LLC was established following the separation of the Residential and Commercial Divisions of Telga Corporation in 2008. Telga Corp, originally a subsidiary of closely held Canadian and UK based entities, has operated in the U.S. since 2002 in multiple states. Telga Corporation consists of 3 companies: Tecomax, LLC for Energy Residential Sales and Marketing Programs; National1 Energy, LLC for Commercial Energy Brokerage and Consulting (includes Renewable Energy Programs); and Telga Corporation for Energy Management, Operations and Support of Other Energy and Asset Entities.

Telga Corporation
Consulting
Administration
HR Services
Accounting/Payroll
Customer Services / QA
Data Processing
IT Services
Market Development

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Tecomax, LLC
Residential Sales
Direct Marketing
Special Events

National1 Energy, LLC
B2B Sales
Direct Marketing
Telesales
Special Events

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Throughout their extensive 18 years experience in Deregulated Energy Markets in Canada, the UK and US, the management team of the group has led the way in the direct marketing of utilities in multiple countries and has accumulated a combined experience of over 100 years. The Energy customer bases established for utility companies in the US alone account for over 300,000 residential and 30,000 commercial accounts.

LEADERSHIP TEAM:

President:

Mr. Stephane Bitton

Experience and Industry Knowledge

- Civil Engineer, Utility Deregulation Specialist
- 18 Yr experience in Marketing and Management of deregulated markets (Canada 5 Years, France 1 Year, UK 5 Years, US 7 Year)
- Energy Deregulation & Direct Marketing Professional, New markets and Project development director.
- Specialized in setting up and establishing new corporate offices and sales operations.
- Opened and Developed Marketing Programs and Systems in Electricity, Gas and Telecommunications in these countries with organizations servicing over 20,000,000 Customers and managing over 500 sales agents and administration teams.
- Total Customer base established in 4 countries over 18 years: 1,200,000

V-P Operations:

Ms. Debbie James

Experience and Industry Knowledge

- Last Year with Telga Energy as Operations Director.
- 12 Years with our group as Customer Service Manager, Sales Training and Administration Manager.
- 12 Years at Norweb as Energy Advisor, Sales and Customer Service Manager.
- 1 Year at British Gas in Customer Advisor Bureau
- Specialist in regulated and deregulated markets, Recruitment, Sales and Utility Training, Customer Service Expertise
- Total Customer base established in 2 countries over 12 years with the group: 550,000

V-P Marketing B2B Sales TX:

Mr. Ramiro Gonzales

Experience and Industry Knowledge

- With our group on various projects since 2003
- Sales Agent, Team Leader, Regional Sales Manager, VP Marketing B2B.
- Electricity deregulation Experience in the US since 2003.
- Responsible for Sales Teams of 100+ Agents.
- 23 Years Management Experience: Operations Center Director at Bank of America
- Total Customer base established USA over 6 years with the group: 21,000 B2B accounts

Comptroller – Head Office:

Mr. Ethan Kortkamp

Experience and Industry Knowledge

- Finance and Accounting Specialist
- 5 Years Utility Industry Experience, Corporate Finance Manager
- 6 Years Retail Banking as Senior Officer, Lending and Compliance
- 4 Years County Planner, La Paz County Arizona
- Bachelor of Environmental Science, University of California Santa Barbara

PRINCIPAL ACTIVITIES

Energy Brokerage & Consulting:	Electricity, Natural Gas & Renewable Energy
Marketing Areas:	United States – Multiple States Previously in Canada and United Kingdom.
Target Customers:	Electricity and Gas Small, Medium, Large Commercial and Industrial
Current Customer base:	3,000 Commercial Accounts (Since November 2008) (Under the new company format) From 2003 to 2009, Established a 21,000 Commercial account base as Exclusive Agent for Reliant Energy (TX)

BUSINESS OBJECTIVES

National1 Energy represents several large State Licensed Utility Retailers across the United States who cover a certain spectrum of the market. We are now negotiating with multiple large accounts with multi-state coverage and larger capacity supply agreements.

Our objective is to build a solid and long lasting relationship with a leading North American Energy Providers (Electricity and Gas) and expand our business as deregulated markets allow. National1 aims to provide sales Quantity AND Quality to satisfy our Energy Partner and customers, with the lowest level of complaints and the highest levels of satisfaction in the industry.

Focus will be initially Commercial B2B Sales. The scope of services can grow to cover many other aspects of Direct Marketing and Brokerage, such as call center operations, mass markets, and even special events, but our industry leading areas of expertise on top of direct B2B sales is undeniably direct residential sales through our sister companies.

Every Deregulated State is a potential market for National1 and its associated companies, with the right Energy Company as a partner.

KEY INFORMATION

Business experience

(Direct Marketing and Brokerage: Electricity, Natural Gas, Telecom, etc...)

Since 1992 in the UK, Canada and the USA, our group has built 25+ Offices, 1.2+ Million Customer base, Administrative Staff, Full Data Entry and Payroll Systems, Customer Service Department and managed over 500 Sales Agents in 3 countries simultaneously.

- Sales 1 200 000+ since 1992
- Marketing and Brokerage History 5 Utilities in 4 Countries
- Staff Management, Sales Management A Total team of over 150 People

- | | |
|--|---|
| ▪ Staff and Sales Recruitment | Trained 20,000+ People since 1992 |
| ▪ Telephone & Customer Service Center | Based in Dallas, TX |
| ▪ Motivational Speaking, and Conferences | Daily & Weekly with our Agents |
| ▪ Psychology of Sales & Customers | Ongoing support for our agents |
| ▪ Customer Service | We grow when customers stay |
| ▪ Quality Control | Ethics, QA and Ongoing Training |
| ▪ General Administration | Data Entry, HR & Payroll |
| ▪ Sales Administration | Deals are all verified/processed in house |

Past Clients

1992:	Energy Marketing Inc.	Canada
1993 - 1994:	Sprint Canada	Canada
1995 - 1996:	Telecom Services Int'l	Canada & France
1997 - 1998:	Northern Electric Plc.	UK
1999:	Independent Energy	UK
2000 - 2001:	Scottish Power-Manweb	UK
2002:	Atlantic Electric & Gas	UK
2000 - 2002:	Direct Energy	Canada
2002:	Direct Energy	USA
2004 - 2008	US Energy Savings Corp	USA
2009	TXU Energy	USA

Current Clients

2003 - present	Reliant Energy	US - TX (B2B Broker)
2008 - present	Green Mountain Energy	US - TX (B2B Broker)
2008 - present	StarTex Power	US - TX (B2B Broker)
2011 - present	Constellation NewEnergy	US - TX

OFFICES AND STAFFING

Head Office

Plano, TX

Regional Sales Offices

Dallas, TX
 Fort Worth, TX
 Houston, TX
 Tyler, TX

Current Staffing

Total number of Employees	30
Total number of Sales Agents	60 (B2B) and 150 (Residential - Sister Company)

REGULATORY COMPLIANCE

We are familiar and able to operate in compliance with the Regulatory bodies of the following Countries and States:

- ❖ Texas
- ❖ New York
- ❖ Illinois
- ❖ Pennsylvania
- ❖ New Jersey
- ❖ Canada
- ❖ UK
- ❖ France



ROSS MILLER
 Secretary of State
 208 North Carson Street
 Carson City, Nevada 89701-4299
 (775) 684 5708
 Website: secretaryofstate.biz

Articles of Organization
Limited-Liability Company
 (PURSUANT TO NRS 86)

Filed in the office of Ross Miller Secretary of State State of Nevada	Document Number 20070711995-51
	Filing Date and Time 10/18/2007 11:00 AM
	Entity Number E0727602007-1

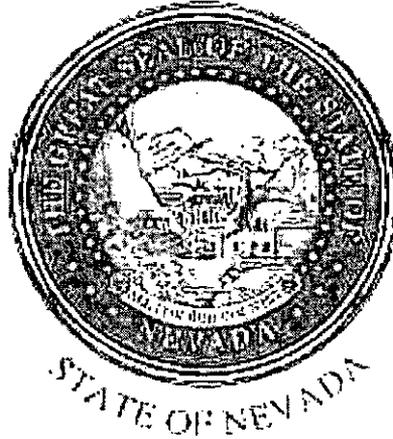
USE BLACK INK ONLY - DO NOT HIGHLIGHT ABOVE SPACE IS FOR OFFICE USE ONLY

1. Name of Limited Liability Company: <i>(Must contain accurate limited liability company markings per instructions)</i>	National Energy, LLC	Check box if a Series Limited-Liability Company <input type="checkbox"/>
2. Resident Agent Name and Street Address: <i>(Must be a Nevada address when company may be served)</i>	United States Corporation Agents, Inc. 500 N. Rainbow Blvd. Ste. 300-A (MANDATORY) Physical Street Address (OPTIONAL) Mailing Address	Las Vegas City Nevada State 89107 Zip Code
3. Disposition Date: <i>(OPTIONAL - see instructions)</i>	Latest date upon which the company is to dissolve (if existence is not perpetual):	
4. Management:	Company shall be managed by <input checked="" type="checkbox"/> Manager(s) OR <input type="checkbox"/> Members <i>(check only one box)</i>	
5. Name and Address of each Manager or Member: <i>(Attach additional pages if more than 1)</i>	Ethan Kortkamp Name 120 E. Fm 544, Ste. 72, Pmb 274 Address Murphy City TX State 75094 Zip Code Name Address City State Zip Code Name Address City State Zip Code	
6. Name, Address and Signature of Organizer: <i>(Attach additional pages if more than 1)</i>	Tania Lemus Name Signature 7083 Hollywood Blvd., Suite 180 Address Los Angeles City CA State 90028 Zip Code	
7. Certificate of Acceptance of Appointment of Resident Agent:	I hereby accept appointment as Resident Agent for the above named limited-liability company. <input checked="" type="checkbox"/> Authorized Signature of R.A. or On Behalf of R.A. Company Date 10/17/2007	

This form must be accompanied by appropriate fees.

Revised 10/17/07
 Revised on 10/17/07

SECRETARY OF STATE



CERTIFICATE OF EXISTENCE WITH STATUS IN GOOD STANDING

I, ROSS MILLER, the duly elected and qualified Nevada Secretary of State, do hereby certify that I am, by the laws of said State, the custodian of the records relating to filings by corporations, non-profit corporations, corporation soles, limited-liability companies, limited partnerships, limited-liability partnerships and business trusts pursuant to Title 7 of the Nevada Revised Statutes which are either presently in a status of good standing or were in good standing for a time period subsequent of 1976 and am the proper officer to execute this certificate.

I further certify that the records of the Nevada Secretary of State, at the date of this certificate, evidence, **NATIONAL1 ENERGY, LLC**, as a limited liability company duly organized under the laws of Nevada and existing under and by virtue of the laws of the State of Nevada since October 18, 2007, and is in good standing in this state.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the Great Seal of State, at my office on February 25, 2011.




ROSS MILLER
Secretary of State

Electronic Certificate
Certificate Number: C20110225-0503
You may verify this electronic certificate
online at <http://www.nvsos.gov/>

Form 304

Secretary of State
P.O. Box 13697
Austin, TX 78711-3697
FAX: 512/463-5709

Filing Fee: \$750



**Application for
Registration of
a Foreign Limited Liability
Company**

Filed in the Office of the
Secretary of State of Texas
Filing #: 801315153 09/07/2010
Document #: 325957880002
Image Generated Electronically
for Web Filing

1. The entity is a foreign limited liability company. The name of the entity is :

National1 Energy, LLC

2A. The name of the entity in its jurisdiction of formation does not contain the word "limited liability company" or "limited company" (or an abbreviation thereof). The name of the entity with the word or abbreviation which it elects to add for use in Texas is:

2B. The entity name is not available in Texas. The assumed name under which the entity will qualify and transact business in Texas is:

National Energy Marketing, LLC

3. Its federal employer identification number is: **320220988**

Federal employer identification number information is not available at this time.

4. It is organized under the laws of: **NEVADA, USA**
and the date of its formation in that jurisdiction is: **10/18/2007**

5. As of the date of filing, the undersigned certifies that the foreign limited liability company currently exists as a valid limited liability company under the laws of the jurisdiction of its formation.

6. The purpose or purposes of the limited liability company that it proposes to pursue in the transaction of business in Texas are set forth below. The entity also certifies that it is authorized to pursue such stated purpose or purposes in the state or country under which it is organized.

Energy Brokerage and Consulting

7. The date on which the foreign entity intends to transact business in Texas, or the date on which the foreign entity first transacted business in Texas is: **11/15/2007**

8. The principal office address of the limited liability company is:

2701 E President George Bush Hwy, Suite 210, Plano, TX, USA 75074

9A. The initial registered agent is an organization by the name of:

9B. The initial registered agent is an individual resident of the state whose name is:

Stephane Bitton

9C. The business address of the registered agent and the registered office address is:

2701 E President George Bush Hwy
Suite 200 Plano TX 75074

Consent of Registered Agent

A. A copy of the consent of Registered Agent is attached.

OR

B. The consent of the registered agent is maintained by the entity.

10. The entity hereby appoints the Secretary of State of Texas as its agent for service of process under the circumstances set forth in section 5.251 of the Texas Business Organizations Code.

11. The name and address of each governing person is:

NAME OF GOVERNING PERSON (Enter the name of either an individual or an organization, but not both):
IF INDIVIDUAL
OR
IF ORGANIZATION
B1 Holdings, Inc
ADDRESS OF GOVERNING PERSON :
2701 E President George Bush Hwy Suite 210 Plano TX, USA 75074
NAME OF GOVERNING PERSON (Enter the name of either an individual or an organization, but not both):
IF INDIVIDUAL
Stephane Bitton
OR
IF ORGANIZATION
ADDRESS OF GOVERNING PERSON :
2701 E President George Bush Hwy Suite 200 Plano TX, USA 75074
NAME OF GOVERNING PERSON (Enter the name of either an individual or an organization, but not both):
IF INDIVIDUAL
Deborah C James
OR
IF ORGANIZATION
ADDRESS OF GOVERNING PERSON :
2701 E President George Bush Hwy Suite 200 Plano TX, USA 75074
NAME OF GOVERNING PERSON (Enter the name of either an individual or an organization, but not both):
IF INDIVIDUAL
Ramiro Gonzales
OR
IF ORGANIZATION
ADDRESS OF GOVERNING PERSON :
2701 E President George Bush Hwy Suite 210 Plano TX, USA 75074
NAME OF GOVERNING PERSON (Enter the name of either an individual or an organization, but not both):
IF INDIVIDUAL
Ethan Kortkamp
OR
IF ORGANIZATION

ADDRESS OF GOVERNING PERSON :

120 E FM 544 Suite 72 PMB 274 Murphy TX, USA 75094

Supplemental Provisions / Information

[The attached addendum, if any, is incorporated herein by reference.]

Effectiveness of Filing

A. This document becomes effective when the document is filed by the secretary of state.

OR

B. This document becomes effective at a later date, which is not more than ninety (90) days from the date of its signing. The delayed effective date is:

Execution

The undersigned affirms that the person designated as registered agent has consented to the appointment. The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument and certifies under penalty of perjury that the undersigned is authorized under the provisions of law governing the entity to execute the filing instrument.

Date: **September 7, 2010**

Stephane Bitton

Signature and title of authorized person on behalf of the foreign entity

FILING OFFICE COPY

National1 Energy LLC
Profit & Loss
January through December 2010

EXHIBIT A - FINANCIALS (page 1 of 2)
--

	<u>Jan - Dec 10</u>	<u>Jan - Dec 09</u>
Ordinary Income/Expense		
Income		
110.01 - Income - Green Mountain	867,337.44	483,502.55
110.02 - Income - TXU	0.00	92,913.96
110.03 - Income - Star Tex	108,757.12	19,845.00
110.04 - Income - Reliant	82,170.29	0.00
Total Income	<u>1,058,264.85</u>	<u>596,261.51</u>
Cost of Goods Sold		
512.00 - Commissions	550,384.26	356,884.85
Total COGS	<u>550,384.26</u>	<u>356,884.85</u>
Gross Profit	507,880.59	239,376.66
Expense		
505.00 - Advertising	38,897.48	8,327.60
565.00 - Office Supplies	13,269.30	1,491.26
568.00 - Travel	4,753.53	3,422.44
711.00 - Auto - Gas	271.00	0.00
734.00 - Computers and Internet	6,526.16	350.00
735.00 - Consulting	12,400.00	0.00
736.00 - Administrative	132,000.00	33,700.00
761.00 - Meals and Entertainmen	3,201.50	1,016.29
818.00 - Postage	1,028.90	60.32
819.00 - Professional Fee	6,344.00	0.00
822.00 - Rent	171,981.25	60,568.82
849.00 - Bank Service Charge	394.05	726.32
865.00 - Telephone	3,519.38	1,544.77
876.00 - Cleaning	2,850.00	0.00
989.00 - nt identified expenses	20,000.00	0.00
Total Expense	<u>417,436.55</u>	<u>111,207.82</u>
Net Ordinary Income	<u>90,444.04</u>	<u>128,168.84</u>
Net Income	<u><u>90,444.04</u></u>	<u><u>128,168.84</u></u>

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7:00 PM
08/01/11
Accrual Basis

National1 Energy LLC
Balance Sheet
As of January 1, 2010

EXHIBIT A-7
FINANCIALS
(page 2 of 2)

	Jan 1, 10	Jan 1, 09
ASSETS		
Current Assets		
Checking/Savings		
101.00 BoA - 2135	62,896.80	1,866.50
Total Checking/Savings	62,896.80	1,866.50
Total Current Assets	62,896.80	1,866.50
TOTAL ASSETS	62,896.80	1,866.50
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
210.00 - Accounts Payable	-2,773.54	0.00
Total Accounts Payable	-2,773.54	0.00
Total Current Liabilities	-2,773.54	0.00
Total Liabilities	-2,773.54	0.00
Equity		
Contributions "SBitton"	22,700.00	2,200.00
Distributions "DJames"	-21,765.00	0.00
Distributions "SBitton"	-70,300.00	-7,200.00
Members Equity	135,035.34	6,866.50
Total Equity	65,670.34	1,866.50
TOTAL LIABILITIES & EQUITY	62,896.80	1,866.50

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SECRETARY'S BUREAU



EXHIBIT A
BANK REFERENCE
LETTER

November 8, 2011

Telga Corp DBA Tecomax
2701 E President George Bush Hwy. STE. 200
Plano, TX 75074-3731

To whom it may concern,

Thank you for your business with Chase Bank. We have been working together since March of 2003 and your accounts have been exceptional!

We appreciate your loyalty to us and look forward to supporting your business in the future.

Sincerely,

Traca Ruiz
Branch Manager
Vice President
W. Parker and Independence
Plano, TX
972-596-3248 office

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Total Postage & Fees	\$ 10.10	

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 City, State, ZIP+4 HARRISBURG, PA 17128-0946
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Total Postage & Fees	\$ 10.10		11/21/2011

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OFFICE of Small Business Advocate
Street, Apt. No., or PO Box No. Commerce Building Suite 102
City, State, ZIP+4 355 N. SECOND ST HARRISBURG PA 17101
PS Form 3800, August 2006 See Reverse for Instructions

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Total Postage & Fees	\$ 10.10		11/21/2011

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ALLEGANY Town Learn Dept
Street, Apt. No., or PO Box No. 800 CABIN Hill Dr
City, State, ZIP+4 GREENSBURG, PA 15601-1689
PS Form 3800, August 2006 See Reverse for Instructions

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Street, Apt. No., or PO Box No. 411 SEVENTH ST MD 16-4
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Total Postage & Fees	\$ 10.10		11/21/2011

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PENNSYLVANIA APPLICATION MAILING LIST

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Allegheny Power:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18101-1179

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

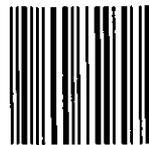
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TO *PENNSYLVANIA PUBLIC
UTILITY COMMISSION
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P.O. BOX 3265
HARRISBURG, PA 17105*

