

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of RJT Energy Consultants, LLC, d/b/a RJT Energy Consultants, LLC, for approval to offer, render, furnish, or supply electricity or electric generation services as a broker/marketer to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

RJT Energy Consultants, LLC
110 Washington Ave., 4th Floor
North Haven, CT 06473
203 234-6390
877 209-8153 (fax)

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FEB 21 2012

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

National Registered Agents, Inc.
600 North Second Street, Suite 401
Harrisburg, PA 17101
800 767-1553 phone
609 716-0820 fax
Email: info@nrai.com

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Thomas O'Connell, Member, CFO
110 Washington Ave., 4th Floor
North Haven, CT 06473
203 234-6341
877 209-8153 (fax)
tucker@rjtenergy.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Applicant is not using an attorney

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer

complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Richard Katz, VP Sales
110 Washington Ave., 4th Floor
North Haven, CT 06473
877 209-8152
877 209-8153 (fax)
rkatz@rjtenergy.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. FICTITIOUS NAME: *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

OR

The Applicant will not be using a fictitious name.

b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

OR

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OR

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Approved to do business in PA, entity number 4042969; copy of Dept of State letter attached

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

Copy of Articles of Organization attached

- Give name and address of officers.

The three members of RJT Energy Consultants, LLC:

**John Abella
20 Split Rock Road
Bethany, CT 06524**

**Richard Katz
66 Newton Road
Woodbridge, CT 06525**

**Thomas O'Connell
331 Hemlock Road
Fairfield, CT 06824**

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

RJT Energy Consultants, LLC does not have any affiliates.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Applicant does not have any predecessors that have done business in Pennsylvania.

4. OPERATIONS

- a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

- The Applicant is presently doing business in Pennsylvania as a
- municipal electric corporation
 - electric cooperative
 - local gas distribution company
 - provider of electric generation, transmission or distribution services
 - Other; Identify the nature of service being rendered.

OR

- The Applicant is not presently doing business in Pennsylvania.

- b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
- Electric Cooperative and supplier of electric power
- Other (Describe):

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.

- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

RJT Energy Consultants, LLC is an energy procurement consulting firm headquartered in North Haven, CT. RJT's goal is to become the premier procurement organization in the country. In general, RJT will be helping individual corporations acquire properly priced and structured electric contracts. Where applicable, RJT will look to aggregate usage of multiple customers and bid that usage to suppliers in an effort to achieve the best price, and we will also work on larger program-type opportunities, such as towns, municipalities and non-profit organizations where we can assist residential and small business customers achieve lower pricing from the supplier market in PA.

d. **PROPOSED SERVICE AREA:** Provide a list of each Electric Distribution Company for which the Applicant proposes to provide service.

Applicant plans to offer services throughout the Commonwealth of Pennsylvania

e. **CUSTOMERS:** Applicant proposes to provide services to:

- X Residential Customers
- X Small Commercial Customers - (25 kW and Under)
- X Large Commercial Customers - (Over 25 kW)
- X Industrial Customers
- X Governmental Customers
- X All of above
- Other (Describe):

f. **PROPOSED MARKETING METHOD** (check all that apply)

- X Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- X Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- X External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

g. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

- Yes
 No

If yes, will the Applicant be using a Third Party Verification procedure?

- Yes
 No

If yes, describe the Applicant's Third Party Verification procedures.

h. START DATE: Provide the approximate date the Applicant proposes to begin services within the Commonwealth.

Approximate start date: January 1, 2012

5. COMPLIANCE

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Neither the applicant, an affiliate of the applicant, or a predecessor of the applicant have been or are currently the defendant of a criminal or civil proceeding within the last five(5) years.

b. SUMMARY: If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

Applicant has no actions or complaints to identify

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

6. PROOF OF SERVICE

(Example Certificate of Service is attached at Appendix C)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

- b.) **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Allegheny Power:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:

PPL:

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Met-Ed, Penelec, and Penn Power:

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Pike County Light & Power Company:

Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Wellsboro Electric Company:

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

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7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **original** (along with copies) of another initial security for Commission approval, to ensure financial responsibility.
- Filing for a modification to the \$250,000 requirement and furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

Applicant, given the structure of their business as “Broker/Marketer” in which applicant will not take title to the electricity, make no payments to the utilities, and pay no Gross Receipts Tax, is furnishing a copy of initial bond, letter of credit, or proof of bonding to the Commission in the amount of \$10,000.

- *At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.*
- *Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.*

- Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

See attached for response to 7 (b) "Financial Fitness"

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

James McPherson
Peach & McPherson
110 Washington Avenue
North Haven, CT 06473
203 234-9426
jmcpherson@peachmcpherson.com

d. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a.) **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

See attached for response to 8 (a) "Experience, Plan & Structure"

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

b.) **OFFICERS:** Identify Applicant's chief officers including names and their professional resumes.

See attached for response to 8 (b) "Experience, Plan & Structure"

c.) **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- Not applicable

9. **DISCLOSURE STATEMENT:**

a. **Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED** - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED** - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED** - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

- b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.

AGREED

- c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- **Retail Electricity Choice Activity Reports:** The regulations at 52 Pa. Code §§ 54.201–54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- **Reports of Gross Receipts:** Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- **The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.**

- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

AGREED

e. **ASSESSMENT:** The Commission does not presently assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see *PPL Energyplus, LLC v. Commonwealth*, 800 A.2d 360 (Pa. Cmwlth. 2002).

ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at appendix J.

AGREED

- k. **FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

PAYMENT ENCLOSED

11. AFFIDAVITS

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	<u>Duquesne</u>	<u>Met Ed</u>	<u>PECO</u>	<u>Penelec</u>	<u>Penn Power</u>	<u>PPL</u>	<u>UGI</u>	<u>West Penn</u>	<u>Entire Commonwealth</u>
Philadelphia Daily News		X	X			X			X
Harrisburg Patriot-News		X		X		X		X	X
Scranton Times Tribune		X		X		X	X		X
Williamsport Sun Gazette				X		X		X	X
Johnstown Tribune Democrat				X				X	X
Erie Times-News				X	X				X
Pittsburgh Post-Gazette	X				X			X	X

(Example Publication is provided at Appendix H)

13. SIGNATURE

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SECRETARY'S BUREAU

Applicant: RJT Energy Consultants, LLC
 By: Thomas P. O'Connell
 Title: Member

RECEIVED

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

2012 FEB 21 AM 10:00

PA.P.U.C.
SECRETARY'S BUREAU

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Copy of Notice of Publication

Anna Dickerson being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

December 23, 2011

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Anna Dickerson

Sworn to and subscribed before me this 23rd day of
December, 2011.

Mary Anne Logan
Notary Public

My Commission Expires:

NOTARIAL SEAL
Mary Anne Logan, Notary Public
City of Philadelphia, Phila. County
My Commission Expires March 30, 2013

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION**

NOTICE

RJT Energy Consultants, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. RJT Energy Consultants, LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of RJT Energy Consultants, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to RJT Energy Consultants, LLC's attorney at the address listed below.

By and through Counsel: Paul Proto
Sachs & Proto
112 Washington Avenue
North Haven, CT 06473
Phone 203 234-2000
FAX 203 234-6398

The Patriot-News Co.
2020 Technology Pkwy
Suite 300
Mechanicsburg, PA 17050
Inquiries - 717-255-8213

The Patriot-News

Now you know

RJT ENERGY CONSULTANTS
110 WASHINGTON AVE

NORTH HAVEN CT 06473

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SECRETARY'S BUREAU

**THE PATRIOT NEWS
THE SUNDAY PATRIOT NEWS**

Proof of Publication

Under Act No. 587, Approved May 16, 1929
Commonwealth of Pennsylvania, County of Dauphin} ss

Holly Blain, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

PUBLICATION COPY

This ad # 0002185459 ran on the dates shown below:

December 19, 2011

Miscellaneous Notices
PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE
RJT Energy Consultants, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. RJT Energy Consultants, LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of RJT Energy Consultants, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to RJT Energy Consultants, LLC's attorney at the address listed below.
BY and through Counsel: Paul Preto
Sachs & Preto
112 Washington Avenue
North Haven, CT 06473
Phone 203 234-2000
FAX 203 234-6398

Holly Blain
Sworn to and subscribed before me this 19 day of December, 2011 A.D.
Sherrie L. Owens
Notary Public

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Sherrie L. Owens, Notary Public
Lower Paxton Twp., Dauphin County
My Commission Expires Nov. 26, 2015
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

RJT ENERGY CONSULTANTS
TUCKER O'CONNELL
110 WASHINGTON AVE NORTH HAVEN CT 06473

Account # 554413
Order # 80783096
Ad Price: 173.35

Gina Krushinski
Being duly sworn according to law deposes and says that she is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

12/09/2011

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me
this 12th day of December A.D., 2011

Sharon Venturi
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Sharon Venturi, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Feb. 12, 2014
Member, Pennsylvania Association of Notaries

LEGAL NOTICE
PENNSYLVANIA PUBLIC UTILITY COMMISSION
RJT Energy Consultants, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity, or electric generation services as a broker/marketer engaged in the business of supplying electricity. RJT Energy Consultants, LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of RJT Energy Consultants, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to RJT Energy Consultants, LLC's attorney, at the address listed below.
By and through Counsel: Paul Proto
Sachs & Proto
112 Washington Avenue
North Haven, CT 06473
Phone 203 234-2000
FAX 203 234-6398

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

December 23, 2011

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

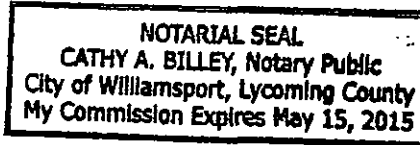
Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me

the 28th day of December 2011

Cathy A. Billey
Notary Public



PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

RJT Energy Consultants, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. RJT Energy Consultants, LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of RJT Energy Consultants, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3285, Harrisburg, PA 17105-3285. You should send copies of any protest to RJT Energy Consultants, LLC's attorney at the address listed below.

By and through Counsel:
Paul Proto
Sachs & Proto
112 Washington Avenue
North Haven, CT 06473
Phone 203 234-2000
FAX 203 234-6398

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:	
For publishing the notice attached hereto on the above state dates.....	\$ 208.7
Probated same.....	\$
Total.....	\$ 208.7

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

COMMONWEALTH OF PENNSYLVANIA }
 County of Cambria } SS

PENNSYLVANIA PUBLIC UTILITY COMMISSION
NOTICE
 RJT Energy Consultants, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. RJT Energy Consultants, LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.
 The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of RJT Energy Consultants, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to RJT Energy Consultants, LLC's attorney at the address listed below.
 By and through Counsel: Paul Proto
Sachs & Proto
 112 Washington Avenue
 North Haven, CT 06473
 Phone 203 234-2000
 FAX 203 234-6398

published that the a of The Jo interested

On this 14th day of December A.D. 2011, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863, of Cambria, and Commonwealth of Pennsylvania and matter published in said publication in the regular issues on December 9, 2011; and that the Affiant is not interested in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 14th day of December, 2011.

Vivian Ohs

0.00 Lines @ \$2.50 per line	0.00
6 Inches @ \$25.00 per inch	150.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	157.50

COMMONWEALTH OF PENNSYLVANIA
 Notarial Seal
 Vivian Ohs, Notary Public
 City of Johnstown, Cambria County
 My Commission Expires Dec. 6, 2012
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA
 For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____ a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

 (Name of Newspaper)

By _____

OATH of PUBLICATION
In
THE ERIE TIMES-NEWS

COMBINATION EDITION

RJT ENERGY CONSULTANTS
110 WASHINGTON AVE
NORTH HAVEN CT 06473

REFERENCE: L0005411
2027529 PUC NOTICE

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Rosanne Cheeseman being duly sworn, deposes and says that she is the Publisher of the Times Publishing Company, which publishes: the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, successor, by consolidation, of the Morning News, established January 1957, and the Erie Daily Times, established April 1888, daily newspapers of general circulation and published at Erie, Erie County, Pennsylvania, and that the notice of which the attached is a copy published, in the regular editions of said newspaper of the dates referred to below. Affiant further deposes that she is duly authorized by the TIMES PUBLISHING COMPANY, publisher of The Erie Times-News to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the forgoing statement as to time, place and character of publication are true.

PUBLISHED ON: 12/10

TOTAL COST: 294.00 AD SPACE: 7.000 INCH
FILED ON: 12/10/11

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
RJT Energy Consultants, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. RJT Energy Consultants, LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of RJT Energy Consultants, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to RJT Energy Consultants, LLC's attorney at the address listed below.
By and through Counsel: Paul Proto
Sachs & Proto
112 Washington Avenue
North Haven, CT 06473
Phone 203 234-2000
FAX 203 234-6398

Sworn to and subscribed before me this

12 day of December 2011 Affiant: Rosanne Cheeseman

NOTARY: Laurene A. Daugherty

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Laurene A. Daugherty, Notary Public
City of Erie, Erie County
My Commission Expires Nov. 5, 2013
Member, Pennsylvania Association of Notaries

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss M. Goodwin, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

12 of December, 2011

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

RJT Energy Consultants, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer, engaged in the business of supplying electricity. RJT Energy Consultants, LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of RJT Energy Consultants, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to RJT Energy Consultants, LLC's attorney at the address listed below.

By and through Counsel: Paul Proto Sachs & Proto
112 Washington Avenue
North Haven, CT 06473
Phone 203 234-2000
FAX 203 234-6398

M. Goodwin
PG Publishing Company

Sworn to and subscribed before me this day of:
December 12, 2011

Linda M. Gaertner
COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Linda M. Gaertner, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires Jan. 31, 2015
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

STATEMENT OF ADVERTISING COSTS

RJT Energy
Attn: Tucker O'Connell
110 Washington Ave
North Haven CT 06473

To PG Publishing Company

Total ----- \$360.00

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
34 Boulevard of the Allies
PITTSBURGH, PA 15222
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Maria Jucea

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: RJT Energy Consultants, LLC

✓	Signature	
✓	Filing Fee	
✓	Application Affidavit	
✓	Operations Affidavit	
✓	Proof of Publication	
✓	Bond or Letter of Credit	
✓	Tax Certification Statement	
✓	Commonwealth Department of State Verification	
✓	Certificate of Service	

Applicant's Use

PUC Secretary's Bureau Use

RECEIVED
 2012 FEB 21 AM 10:00
 PA.P.U.C.
 SECRETARY'S BUREAU

RECEIVED

APPLICATION AFFIDAVIT 2012 FEB 21 AM 10:00

PA.P.U.C.
SECRETARY'S BUREAU

State of Connecticut :

: ss.

County of New Haven :

Thomas C. O'Connell, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the _____ Member of RJT Energy Consultants, LLC;

That he is authorized to and does make this affidavit for said Applicant;

That the Applicant herein, RJT Energy Consultants, LLC, has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein, RJT Energy Consultants, LLC, has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

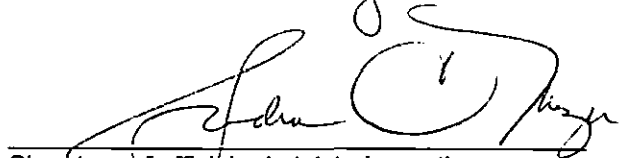
That the Applicant herein, RJT Energy Consultants, LLC, acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein RJT Energy Consultants, LLC, acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.


Signature of Affiant

Sworn and subscribed before me this 3 day of January, 20 12.


Signature of official administering oath

My commission expires 3-31-13.

RECEIVED

Appendix B

2012 FEB 21 AM 10:00

PA.P.U.C.
SECRETARY'S BUREAU

OPERATIONS AFFIDAVIT

State of Connecticut:

: ss.

County of New Haven:

Thomas C. O'Connell, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the _____ Member of RJT Energy Consultants, LLC:

That he is authorized to and does make this affidavit for said Applicant;

That RJT Energy Consultants, LLC, the Applicant herein, acknowledges that RJT Energy Consultants, LLC may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That RJT Energy Consultants, LLC, the Applicant herein, asserts that it possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That RJT Energy Consultants, LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), RJT Energy Consultants, LLC, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That _____, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 3 day of January, 2012.



Signature of official administering oath

My commission expires 3-31-13.

RECEIVED
2012 FEB 21 AM 10:00
PA.P.U.C.
SECRETARY'S BUREAU

FEB 21 2012

Appendix C

Example
CERTIFICATE OF SERVICE

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

On this the 2nd day of January 2012, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901



Thomas C. O'Connell, Member, RJT Energy Consultants, LLC

Appendix F

**COMMONWEALTH OF
PENNSYLVANIA
PUBLIC UTILITY COMMISSION**

TAX CERTIFICATION STATEMENT

RECEIVED
2012 FEB 21 AM 10:00

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 8 1/2" x 11" paper. Type or print all information requested.

SECRETARY'S BUREAU

1. CORPORATE OR APPLICANT NAME RJT Energy Consultants, LLC	2. BUSINESS PHONE NO. (203) 234-6390 CONTACT PERSON(S) FOR TAX ACCOUNTS: Thomas O'Connell
---	---

3. TRADE/FICTITIOUS NAME (IF ANY)

4. LICENSED ADDRESS 110 Washington Ave., 4 th Floor	(STREET, RURAL ROUTE, P.O. BOX NO.) North Haven	(POST OFFICE)	(STATE) CT	(ZIP) 06473
---	--	---------------	---------------	----------------

5. TYPE OF ENTITY SOLE PROPRIETOR PARTNERSHIP CORPORATION
 LLC OTHER (Describe...)

6. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICER(S)	
NAME (PRINT) John Abella	SOCIAL SECURITY NUMBER (OPTIONAL) _ _ _ _ - _ _ _ - _ _ _ _ _
NAME (PRINT) Richard Katz	SOCIAL SECURITY NUMBER (OPTIONAL) _ _ _ _ - _ _ _ - _ _ _ _ _
NAME (PRINT) Thomas O'Connell	SOCIAL SECURITY NUMBER (OPTIONAL) _ _ _ _ - _ _ _ - _ _ _ _ _
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL) _ _ _ _ - _ _ _ - _ _ _ _ _
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL) _ _ _ _ - _ _ _ - _ _ _ _ _

7. LIST THE FOLLOWING STATE & FEDERAL TAX IDENTIFICATION NUMBERS (ALL ITEMS A, B, & C MUST BE COMPLETED)
 Applicant must provide explanation if submitting N/A for any items
 Item A - Designated by the Pennsylvania Department of Revenue.
 Item B - Designated by the Internal Revenue Service.
 Item C - Designated by the Pennsylvania Department of Revenue. The Corporate Box number may also be referred to as the Corporate Account number.

A. SALES TAX LICENSE (8 DIGITS) _ _ - _ _ _ _ _ - _ _ APPLICATION PENDING <input type="checkbox"/> N/A <input checked="" type="checkbox"/> X	C. CORPORATE BOX NUMBER (7 DIGITS) 4 0 4 2 9 6 9 APPLICATION PENDING <input type="checkbox"/> N/A <input type="checkbox"/>
B. EMPLOYER ID (EIN) (9 DIGITS) 2 7 - 1 0 0 6 8 8 3 APPLICATION PENDING <input type="checkbox"/> N/A <input type="checkbox"/>	

8. Do you have PA employees: resident or non-resident? YES NO
 9. Do you own any assets or have an office in PA? YES NO

NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS
 Thomas O'Connell (RJT) 203 234-6341; James McPherson (accountant) 203 234-9426

PA SALES AND USE TAX	EMPLOYER TAXES	CORPORATE TAXES
----------------------	----------------	-----------------

PHONE	PHONE	PHONE
-------	-------	-------

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

Item 7 A, Sales Tax License is listed with "N/A"

RJT Energy Consultants, LLC, will not be billing any electricity or natural gas customers.
RJT will act strictly as a Broker/Marketer

RECEIVED
2012 FEB 21 AM 10:00
PA.P.U.C.
SECRETARY'S BUREAU

b. Approved to conduct business in Pennsylvania

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
CORPORATION BUREAU
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.STATE.PA.US/CORP

~~RJT Energy Consultants LLC~~

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4042969

RJT Energy Consultants LLC
110 Washington Avenue, 4th Floor
North Haven, CT 06473

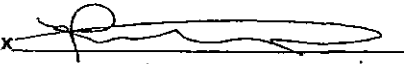

b. Copy of Articles of Organization

ARTICLES OF ORGANIZATION
Limited Liability Company-DOMESTIC
 C.G.S. §534-120; 34-121

Refer to Fee Schedule for amount due.
 Make checks payable to
 "Secretary of the State"

Website Address: www.concord.sos.ct.gov Telephone Number: (860) 509-6003
 Mailing Address: Connecticut Secretary of the State, Commercial Recording Division P.O. Box 150470, Hartford, CT 06115-0470
 Courier Delivery Address ONLY: (i.e. FedEx, UPS, etc.) 30 Trinity Street, Hartford, CT 06106

USE INK. COMPLETE ALL SECTIONS. PRINT OR TYPE. (Attach 8 1/2 x 11 sheet if necessary)

1. Complete name of Limited Liability Company- REQUIRED : (Must include business designation i.e. LLC, L.L.C., etc.)			
RJT ENERGY CONSULTANTS, LLC			
2. Description of business to be transacted or purpose to be promoted- REQUIRED :			
SEE ATTACHED SHEET			
3. LLC's principal office address- REQUIRED : (No P.O. Box)		4. Mailing address, if different than #3:	
110 Washington Avenue, 4th Floor North Haven, CT 06473			
5. Appointment of statutory agent for service of process- REQUIRED : Complete A or B, not both			
EITHER <input checked="" type="checkbox"/> A. If agent is an individual:			
Print or type full legal name: Richard C. Katz		Business Address: (No P.O. Box) 110 Washington Avenue, 4th Floor North Haven, CT 06473 If none, MUST state "NONE"	
Signature accepting appointment: 		CT Residence Address: (No P.O. Box) 66 Newton Road Woodbridge, CT 06525	
OR <input type="checkbox"/> B. If agent is a business:			
Print or type name of business as it appears on our records:		CT Business Address: (No P.O. Box)	
Signature accepting appointment on behalf of agent: X _____		Print name & title:	
6. Manager or member information- REQUIRED : (Must list at least one manager or member of the LLC.)			
Name	Title	Business Address: (No P.O. Box)	Residence Address: (No P.O. Box)
Richard C. Katz	Member	110 Washington Avenue 4th Floor North Haven, CT 06473 If none, MUST state "NONE"	66 Newton Road Woodbridge, CT 06525
If none, MUST state "NONE"			
7. Management -Place a check next to the following statement ONLY if it applies <input type="checkbox"/> Management of the limited liability company shall be vested in a manager or managers.			
8. Execution- REQUIRED : (Subject to penalty of false statement.)			
Print or type name of organizer:	Signature:	Date:	
Richard C. Katz	X 	9/ 22 /09	

RECEIVED
 2012 FEB 21 AM 10:00
 PA.P.U.C.
 SECRETARY'S BUREAU

- An annual report will be due yearly in the anniversary month that the LLC was formed/registered and can be easily filed online @ www.concord.sos.ct.gov. If you are no longer transacting business in Connecticut you must file the appropriate document with our office.
- Contact your tax advisor or the Taxpayer Service Center at the Department of Revenue Services as to any potential tax liability relating to your business, including questions about the Business Entity Tax.
- Taxpayer Service Center: (800) 382-9463 or (860) 297-5962 or go to www.ct.gov/drs

RJT Energy Consultants, LLC

7. FINANCIAL FITNESS

Response to 7 b. "Financial Records, Statement, and Ratings"

RJT Energy Consultants, LLC, began operations in 2009. As a "Broker/Marketer" RJT will not take title to the electricity, will not bill the customer, and does not collect any deposits.

RJT does not have any parent or any subsidiaries, and as a privately held company that first generated limited revenues in 2010, RJT has not generated accountant-prepared statements. RJT does not anticipate the need for generating financials in the future as there are no intentions to ever take title to the electricity or bill and collect directly from the customer.

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2012 FEB 21 AM 10:00
PA.P.U.C.
SECRETARY'S BUREAU

RJT Energy Consultants, LLC

8. TECHNICAL FITNESS

Response to 8 a. "Experience Plan & Structure"

Page 1 of 4

RJT Energy Consultants, LLC, began operations in 2009, but has experience that goes back to the 1990's when deregulation was in its infancy.

Previous experience in the industry:

RJT Energy Consultants, established in 2009, has quickly developed a strong customer base in the Northeast. Our pricing desk has over 14 years experience in the industry, and that expertise has allowed RJT to work with many customers, both large and small. RJT has been able to compete and provide a winning solution to a municipality like the City of Bridgeport, the largest city in Connecticut, as well as numerous small merchants and businesses that use less than 100,000 kwh per year. RJT's aggregation capabilities are tailored to each opportunity. Many prospects can benefit from custom pricing, while larger organizations, like Bridgeport, have hundreds of accounts that were aggregated for best-practice pricing.

Ron Spechler: Supplier Relations.

Ron focuses on maintaining active relationships with all of the suppliers of electricity and natural gas throughout the country. He is also responsible for formulating procurement strategies and pricing options to match our customers' needs. Ron has been in the deregulated electricity and natural gas markets for 14 years.

Rick Katz: VP of Sales

Rick develops custom energy solutions/strategies within manufacturing, health, finance, retail and service industries. Rick has over 7 years experience working with customers in the deregulated markets.

John Abella: EVP Strategic Alliances

John develops relationships with various electricity and natural gas suppliers that support states that are currently deregulated, and has developed a comprehensive

understanding of the various markets and product offerings by working in the market daily since RJT's inception in 2009.

Proof of Licenses in other states:

Attached are letter/certificates of RJT's approval in Connecticut, Massachusetts, New Hampshire, Maine, New Jersey and Texas.

Type of Customers and number of customers in other jurisdictions:

RJT works with over 20 suppliers to ensure we have a constant view to the market as well as a daily look at how pricing and market trends may affect the needs of our customers. Our customers range in size from 10,000 kwh per month to 65,000,000 kwh per year. To date, RJT has assisted over 125 customers in the acquisition of approximately 400,000,000 kwh in Connecticut, Massachusetts, New Jersey and New York.

Staffing Structure:

John Abella: EVP Strategic Alliances

Develop relationships with various electricity and natural gas suppliers that support states that are currently deregulated.

Develop relationships with various industry-related entities such as energy abatement companies, property managers, & energy conservation companies.

Rick Katz: VP of Sales

Develop custom energy solutions/strategies within manufacturing, health, finance, retail and service industries

Sales Agents: recruit and train sales personnel. Goal is have exposure to each deregulated state for electricity and natural gas.

Ron Spechler: Supplier Relations.

Ron focuses on maintaining active relationships with all of the suppliers of electricity and natural gas throughout the country. He is also responsible for formulating procurement strategies and pricing options to match our customers' needs.

Thomas O'Connell: Chief Financial Officer

Works with supplier relationships and manage the growth of the business. Manage to each supplier's unique customer reporting process to dovetail them into RJT's customer-centric transaction support.

Additional staffing to be added commensurate with growth

Business Plans within the Commonwealth:

The principals of RJT have each worked across various industries for over 25 years. We have all developed a network of relationships across these industries in which aggregation can be effective. Our goal is to work with those relationships, learn about the electric needs and goals of these companies & organizations, and deliver custom, value-driven solutions.

RJT Energy Consultants will work with customers and organizations to analyze usage and match up to need. Aggregation will be best suited for companies with similar usage where combined volume can drive pricing and term, or for those with usage that might be diametrically opposed, which, when combined, together they may represent a more attractive opportunity for suppliers. Our goal is to assist our customers with their energy management and procurement expenditures in an effort to improve their bottom line.

All contracted services will be provided by the supplier that is chosen by the customer. Billing will be generated by either the local utility, in a single-bill scenario, or by the

supplier for the generation portion of the bill, in a dual-bill contract. RJT Energy will not be generating any billing. Although our customers will have a direct toll-free number to call for any inquiries to their chosen supplier, we will also maintain a toll-free phone number to field any service inquiries.

RJT will offer natural gas through appropriate suppliers if the customer's needs warrant such a solution. At this point, RJT does not anticipate directly offering any additional services.

Selection of suppliers will be based on the programs that the supplier offers and how they match up with the customer's needs. We will use a number of suppliers that offer:

Fixed-price products, at varying terms

Indexed products, at varying terms

"Green" solutions, at varying terms

Variable solutions, which would likely be limited to residential and small commercial accounts, and very supplier dependent—also most likely limited to a municipality aggregation strategy.

Documentation of membership in PJM, ECAR MAAC or other:

Not applicable for RJT. Seeking Broker/Marketer license only.

STATE OF CONNECTICUT

**DEPARTMENT OF PUBLIC UTILITY CONTROL
TEN FRANKLIN SQUARE
NEW BRITAIN, CT 06051**

**DOCKET NO. 10-04-10 APPLICATION OF RJT ENERGY CONSULTANTS, LLC
FOR A CERTIFICATE OF REGISTRATION AS AN
ELECTRIC AGGREGATOR**

June 30, 2010

By the following Commissioners:

Anna M. Ficeto
Anthony J. Palermino
Amalia Vazquez Bzdyra

DRAFT DECISION

I. INTRODUCTION

A. Summary

In this Decision, the Department of Public Utility Control grants RJT Energy Consultants, LLC an Electric Aggregator Certificate of Registration to serve residential, commercial, industrial, municipal and governmental customers in Connecticut.

B. Background of the Proceeding

By application filed on April 7, 2010 (Application) pursuant to § 16-245(l) of the General Statutes of Connecticut (Conn. Gen. Stat.), RJT Energy Consultants, LLC (RJT Energy or Company) applied to the Department of Public Utility Control (Department) for a certificate of registration to operate as an electric aggregator within Connecticut.

C. Conduct of the Proceeding

No interested Participant requested a hearing pursuant to Conn. Gen. Stat. § 16-245(l)(4). The Department determined that a hearing was not necessary in this matter, thus, none was held.

D. Participants in the Proceeding

The Department recognized RJT Energy Consultants, LLC, 110 Washington Avenue, 4th Floor, North Haven, CT 06473; and the Office of Consumer Counsel, Ten Franklin Square, New Britain, Connecticut 06051, as Participants in the proceeding.

II. DEPARTMENT ANALYSIS

RJT Energy proposes to serve residential, commercial, industrial, municipal and governmental customers throughout Connecticut. Application, p. 5. The Company's headquarters are located at 110 Washington Avenue, 4th Floor, North Haven, CT 06473. The Company's main telephone number is (203) 234-6390. Application, p. 3. RJT Energy is a limited liability company formed on September 22, 2009 in the state of Connecticut. *Id.* Its contact person for regulatory matters is Thomas O'Connell. The Company has no affiliates or subsidiaries. Application, Exhibit A-11.

Pursuant to Conn. Gen. Stat. § 16-245(l)(3), the Company disclosed that neither RJT Energy nor any of its officers are currently under investigation or have ever been fined, sanctioned or penalized in any state for violation of any consumer protection law or regulation. Application, p. 4. Further, RJT Energy also affirmed that it will comply with the National Labor Relations Act and the Connecticut Unfair Trade Practices Act and applicable regulations. Application, Affidavit No. 2.

III. CONCLUSION AND ORDERS

A. Conclusion

After reviewing the Application, the Department grants RJT Energy a Certificate of Registration to provide electric aggregation services to residential, commercial, industrial, municipal and governmental customers in the state. RJT Energy is reminded that it is under a continuing obligation to notify the Department of any changes to the information provided in the Application.

B. Orders

1. RJT Energy shall provide the Department with any changes to its customer service practices, procedures, or policies in writing at least ten (10) business days prior to the effective date of such changes.
2. RJT Energy shall maintain its customer complaint records to indicate: (1) the date of the complaint; (2) the name and address of the complainant; (3) the address or location cited in the complaint; (4) a description of the complaint; and (5) a description of the resolution of the complaint.
3. RJT Energy shall provide the Department a sample copy of the notice to be issued to aggregated customers as required pursuant to §16-245-3(d) of the Regulations of Connecticut State Agencies.

**DOCKET NO. 10-04-10 APPLICATION OF RJT ENERGY CONSULTANTS, LLC
FOR A CERTIFICATE OF REGISTRATION AS AN
ELECTRIC AGGREGATOR**

This Decision is adopted by the following Commissioners:

Anna M. Ficeto

Anthony J. Palermino

Amalia Vazquez Bzdyra

CERTIFICATE OF SERVICE

The foregoing is a true and correct copy of the Decision issued by the Department of Public Utility Control, State of Connecticut, and was forwarded by Certified Mail to all parties of record in this proceeding on the date indicated.

Kimberley J. Santopietro
Executive Secretary
Department of Public Utility Control

Date

STATE OF MAINE
PUBLIC UTILITIES COMMISSION

Docket No. 2011-359

October 18, 2011

RJT ENERGY CONSULTANTS, LLC
Application for License to Operate as a
Competitive Electricity Provider

ORDER GRANTING LICENSE

WELCH, Chairman; VAFIADES and LITTELL, Commissioners

I. SUMMARY

In this Order, we license RJT Energy Consultants, LLC to operate as a competitive electricity provider furnishing aggregator/broker services to all customer classes throughout Maine pursuant to Chapter 305 of the Commission's Rules.

II. APPLICATION AND DECISION

On October 5, 2011, RJT Energy Consultants, LLC (RJTEC) applied to the Commission to operate in Maine as a competitive electricity provider pursuant to Chapter 305. RJTEC proposes to provide aggregator/broker services to all customer classes throughout Maine. RJTEC met all the Chapter 305 filing requirements. As a licensed competitive electricity provider, RJTEC is required to comply with all applicable requirements and regulations, including all conditions of licensing, customer protection rules, and the filing of annual reports.

Pursuant to a Delegation Order dated April 23, 2008 in Docket No. 2008-185, *PUBLIC UTILITIES COMMISSION, Delegation of Authority to License Competitive Electricity Providers*, the Commission delegated to the Director of Technical Analysis the authority under 35-A M.R.S.A., § 3203 (1)(2) and Chapter 305, §2 to license competitive electricity providers. On September 12, 2009, in Docket No. 2009-260, statutory changes took effect that change the title of this position. P.L. 2009, ch. 122. The Director of Technical Analysis will be the Director of Electric and Gas Utility Industries. All existing delegation orders that delegate Commission authority to the Director of Technical Analysis will now read that we delegate our authority to the Director of Electric and Gas Utility Industries.

Accordingly, it is

ORDERED

1. That RJT Energy Consultants, LLC is hereby issued a license to operate as a competitive electricity provider pursuant to Chapter 305 of the Commission's

ORDER GRANTING LICENSE -2- Docket No. 2011-359

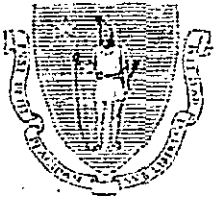
Rules, to provide aggregator/broker services to all customer classes throughout Maine; and

2. That this license is effective on the date of this Order and valid until revoked or suspended by the Commission pursuant to section 3(A)(4) of Chapter 305, or abandoned by the licensee pursuant to sections 2(D)(10) and 2(D)(11) of Chapter 305 of the Commission's Rules.

Dated at Hallowell, Maine, this 18th day of October, 2011.

BY ORDER OF THE DIRECTOR OF ELECTRIC AND GAS UTILITY INDUSTRIES

Faith Huntington



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

DEVAL L. PATRICK
GOVERNOR

TIMOTHY P. MURRAY
LIEUTENANT GOVERNOR

RICHARD K. SULLIVAN, JR.
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

ANN G. BERWICK
CHAIR

TIM WOLF
COMMISSIONER

JOLETTE A. WESTBROOK
COMMISSIONER

February 10, 2011

Thomas C. O'Connell, CFO
RJT Energy Consultants, LLC
110 Washington Avenue, 4th Floor
North Haven, CT 06473

Re: Electricity Broker Application


Dear Mr. O'Connell

The Department of Public Utilities ("Department") has reviewed RJT Energy Consultants, LLC's application for an Electricity Broker license in the Commonwealth of Massachusetts and is pleased to inform you that the Department has approved your application. Please find enclosed a copy of your approved application. Your license number is EB-179.

As a condition of maintaining this license, RJT Energy Consultants, LLC must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 11.05(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 C.M.R. §§ 11.00, 12.00 et seq. If you decide to request renewal of your license next year, please submit your renewal application no later than March 1, 2012



Sincerely,


Mark D. Marini, Secretary

Enclosure (approved application without exhibits)

License Information: New Hampshire
THE STATE OF NEW HAMPSHIRECHAIRMAN
Thomas B. GetzCOMMISSIONERS
Clifton C. Below
Amy L. IgnatiusEXECUTIVE DIRECTOR
AND SECRETARY
Debra A. HowlandPUBLIC UTILITIES COMMISSION
21 S. Fruit Street, Suite 10
Concord, N.H. 03301-2429

Tel. (603) 271-2431

FAX (603) 271-3878

TDD Access: Relay NH
1-800-735-2964Website:
www.puc.nh.gov

December 5, 2011

Thomas O'Connell
RJT Energy Consultants, LLC
110 Washington Avenue, 4th Floor
North Haven, CT 06473

Re: DM 11-223, Notification of RJT Energy Consultants, LLC's Intent to Provide Electric Aggregation Service

Dear Mr. O'Connell:

This is to confirm that the New Hampshire Public Utilities Commission (Commission) has received RJT Energy Consultants, LLC (RJT)'s notice of intent to provide electric aggregation service in the State of New Hampshire, pursuant to New Hampshire Administrative Rules Puc 2003.05. Staff has reviewed the application provided and believes that it meets the requirements of Puc 2003.05. Based on Staff's recommendation and the Commission's review, the Commission approves RJT's application to provide electric aggregation service in New Hampshire.

Please note the following provision of Puc 2003.05 (f): "[E]ach approved aggregator shall re-notify the commission after the initial 2 year period, or after the 5 year renewal period as applicable, by filing with the commission an application for renewal at least 60 days prior to the expiration of the currently effective registration." Therefore it is required to refile an up-to-date written notice on or before September 18, 2013 if it continues to offer and provide electric aggregation service. In addition, RJT is subject to the provisions of Puc 2004.03, telephone solicitation of customers, and Puc 2004.08, customer protections provided by aggregators. Finally, aggregators must comply with the requirements set out in RSA 362-F relative to the renewable portfolio standard (RPS) and RSA 362-F relative to disclosure of electric service energy sources and environmental characteristics. Please refer to Puc 2500 for further details regarding RPS reporting requirements. Commission Order No. 25,264 provides guidance as to the appropriate format for environmental disclosure. If you have any questions regarding these provisions, please contact the Commission. Thank you for your cooperation in this matter.

Sincerely,

A handwritten signature in cursive script, appearing to read "Debra A. Howland".

Debra A. Howland
Executive Director

State of New Jersey



Board of Public Utilities

Two Gateway Center, Newark, New Jersey 07102

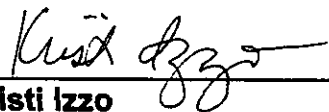
HEREBY REGISTERS

RJT Energy Consultants, LLC

110 Washington Ave., 4th Floor
New Haven, Connecticut 06473

To conduct business in the State of New Jersey as a

Private Aggregator



Kristi Izzo
Secretary of the Board

Registration No. PA-0078
Effective Date: November 10, 2010
Expiration Date: November 9, 2011

State of New Jersey



Board of Public Utilities

Two Gateway Center, Newark, New Jersey 07102

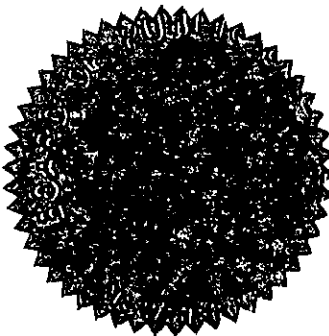
HEREBY REGISTERS

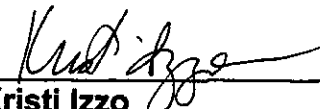
RJT Energy Consultants, LLC

110 Washington Ave., 4th Floor
New Haven, Connecticut 06473

To conduct business in the State of New Jersey as an

Energy Agent





Kristi Izzo
Secretary of the Board

Registration No. EA-0087
Effective Date: November 10, 2010
Expiration Date: November 9, 2011

RJT Energy Consultants, LLC 8. Technical Fintess
License Information- New Jersey page 3 of 3

From: Procopio, Anna <Anna.Procopio@bpu.state.nj.us>

To: tucker@rjtenergy.com

Cc:

Date: Thursday, October 27, 2011 08:00 am

Subject: RE: RJT Energy Consultants Renewal-- Energy Agent and Private Aggregator

Attachments:

Mr. O'Connell,

The normal process to renew a registration takes approximately 60 to 90 days, however, we have been receiving a large number of applications since the middle of last year and we are behind so it will take longer. Please rest assured that your registrations will continue to be in effect and in good standing until the Board acts on it. As soon as we review it, we will notify you by e-mail and advise you if additional information is needed.

Should you have any questions concerning this matter, please feel free to contact me by e-mail or telephone at 609-292-1681.

Thank you for your patience.

Anna Procopio

-----Original Message-----

From: tucker@rjtenergy.com [mailto:tucker@rjtenergy.com]

Sent: Thursday, October 27, 2011 8:51 AM

To: Procopio, Anna

Subject: RJT Energy Consultants Renewal-- Energy Agent and Private Aggregator

Anna,

Please advise if there is anything else needed for our renewal as we approach the November 9th expiration of our our initial approvals.

Thank you.

Tucker O'Connell
RJT Energy Consultants
110 Washington Ave
North Haven, CT 06473
203 234-6341
tucker@rjtenergy.com

-----Original Message-----

From: tucker@rjtenergy.com [mailto:tucker@rjtenergy.com]

Sent: Tuesday, September 20, 2011 03:43 PM

To: 'Procopio, Anna'

Subject: Renewal-- Energy Agent and Private Aggregator

Anna,

I will be sending you renewal documents for RJT Energy Consultants at the Two Gateway Center address. An original, six copies, and a \$200 certified check should arrive by early next week.

Please advise if there is anything else that is needed for renewal.

Thank you.

Tucker O'Connell
RJT Energy Consultants

Donna L. Nelson
Chairman

Kenneth W. Anderson, Jr.
Commissioner

Rolando Pablos
Commissioner

Brian H. Lloyd
Executive Director



Rick Perry
Governor

Public Utility Commission of Texas

TO: RJT Energy Consultants, LLC
Thomas O'Connell
110 Washington Avenue, 4th Floor
North-Haven, CT-06473.

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11 NOV 18 PM 2:53
OFFICE OF THE CLERK
PUBLIC UTILITY COMMISSION

All Parties of Record

RE: Project No. 39890 - *Application of RJT Energy Consultants, LLC for Aggregator Registration*

NOTICE OF APPROVAL

On November 3, 2011, RJT Energy Consultants, LLC (RJT Energy) filed an application for registration as an aggregator, under P.U.C. SUBST. R. 25.111. On November 17, 2011, Commission Staff filed its recommendation that based on the information in the application, RJT Energy can fulfill the customer protection provisions, disclosure requirements, and marketing guidelines of PURA.¹ Commission Staff recommended that RJT Energy be registered for the aggregation service for which it applied. Based on that recommendation, RJT Energy's application for registration as an aggregator is approved.² RJT Energy is granted registration number 80348.

SIGNED AT AUSTIN, TEXAS on the 18th day of November 2011.

PUBLIC UTILITY COMMISSION OF TEXAS


ANDREW KANG
ADMINISTRATIVE LAW JUDGE

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¹ The Public Utility Regulatory Act, TEX. UTIL. CODE ANN. §§ 11.001-66.016 (Vernon 2007 & Supp. 2011) (PURA).

² Administrative approval of this uncontested application has no precedential value in a future proceeding.

RJT Energy Consultants, LLC

8. TECHNICAL FITNESS

Response to 8 b. "Experience Plan & Structure"

Attached are resumes for three owners/officers of RJT Energy Consultants, LLC

JOHN C. ABELLA

20 Split Rock Road, Bethany, CT 06524

Office: 203-234-6342 / Cell: 203-641-3060

johnabella@rjtenergy.com

PARTNER, EVP STRATEGIC ALLIANCES

Supplier Relations / Business Development / Customer Relations/Pricing Desk

Dynamic, top-performing closer with more than 25 years of experience in developing sales strategies, building successful sales teams, new business ventures, and driving revenue growth within Fortune 500 & 1000 clients in the technology, leasing and energy sectors. Proven leadership in problem solving, marketing, business development, and sales strategy alignment. Visionary, strategic conceptual thinker able to generate new sales initiatives and acquire targeted accounts maximizing revenue growth and profitability. Extensive network of contacts with major players in the manufacturing, distribution and service sectors. Effective communicator with strong team-building skills with ability to coordinate cross-function teams to attain or exceed goals. Skilled and practiced motivator.

CORE QUALIFICATIONS

- ❖ Technical Sales Management
- ❖ Strategic Alliances / Partnerships
- ❖ Revenue Growth
- ❖ New Product / Market Initiatives
- ❖ Team Building & Mentoring
- ❖ P&L Management

PROFESSIONAL EXPERIENCE

RJT ENERGY CONSULTANTS, LLC, NORTH HAVEN, CT

2009 – Present

RJT Energy Consultants is a company that is dedicated to providing custom energy solutions to commercial and residential customers seeking to reduce their energy supply costs.

POSITION: PARTNER, EVP STRATEGIC ALLIANCES

One of the original founders of the company. Direct and motivate a team of over 39 agents and consultants encompassing Product Training, Business Development, Strategic Alliances, Sales, Product Management, Marketing, and the Pricing Desk. Ensure successful P&L management and budget control. Identify target markets defined by customer type and product platforms centered on large energy users. Create, implement, and measure go to market approach and materials including Web presence, design, and development of business strategies. Exercise authority within agent disposition, assignments, and compensation. Devise performance based compensation plans that align team interests. Recruit, hire, develop, and motivate talented energy sales consultants. Develop, sustain, and grow working relationships with customers, energy suppliers and strategic partners. Identify and formulate relationships with energy suppliers to expand the options to our customers and channel partnerships. Execute progressively complex sales, training, and management initiatives.

DDI LEASING OF NEW ENGLAND, LLC, Fairfield, NJ

2001 – Present

Technology leasing company that buys, sells, and leases new and refurbished information technology equipment with Fortune 1000 users and to global IT reseller community.

POSITION: PARTNER AND CO-FOUNDER

Direct and motivate a network of agents and strategic partners. Ensure successful P&L management and budget control. Identify target markets defined by customer type and product platforms centered on IT hardware and software leasing services.

Selected Achievements:

- Developed strategic plan for growth and penetration of new market segments.
- Developed marketing materials, lease line programs and marketing collaterals
- Achieved YTD originations of over \$75,000,000.
- Launched and managed a private label vendor leasing program with a Fortune 500 Equipment Distributor.
- Launched and managed several regional vendor leasing programs resulting in profit center creation with each and sustaining low seven figure annual gross margins over a number of consecutive years.
- Negotiated numerous bank funding relationships with Fortune 500 equipment leasing lenders.
- Helped with the Implementation of a new GAPP accounting system for the leasing portfolio.

Prior tenures as Regional Sales Manager for CIT Group Inc., Sales Manager for Storagetek,, National Account Manager for ATT Capital Corporation, Newcourt Technologies, Inc., Tyco Capital Corporation and IBM Corporation.

JOHN C. ABELLA

Page 2 of 2

EDUCATION / CREDENTIALS

BACHELOR OF SCIENCE IN BUSINESS, QUINNIPIAC UNIVERSITY, Hamden, CT

PROFESSIONAL AFFILIATIONS

Member, QUINNIPIAC UNIVERSITY BOARD OF TRUSTEES

Member, Standard Beach Association, 1st Vice President

PAST MEMBER, QUINNIPIAC UNIVERSITY ADVISORY BOARD FOR SCHOOL OF BUSINESSES, CHAIRED THE FINANCE COMMUNITY

TUCKER O'CONNELL

331 Hemlock Road, Fairfield, CT 06824

Office: 203-234-6341 / Cell: 203-209-1920

tucker@rjtenergy.com

PARTNER, CHIEF FINANCIAL OFFICER

Financial executive with more than 25 years of experience in developing financial solutions within Fortune 1000 clients in the technology, leasing and energy sectors. Proven track record in problem solving, marketing, business development, and sales. Strategic thinker that focuses on the Balance Sheet effects for the customer that is making the given acquisition decision. Extensive experience creating solutions for, and working with, Chief Executives, Entrepreneurs and Staff-level employees.

CORE QUALIFICATIONS

- ❖ Financial Sales Expertise
- ❖ Strategic Alliances / Partnerships
- ❖ Revenue Growth
- ❖ Cash Management
- ❖ Team Building & Mentoring
- ❖ P&L Management

PROFESSIONAL EXPERIENCE

RJT ENERGY CONSULTANTS, LLC, NORTH HAVEN, CT

2009 – Present

POSITION: PARTNER, CHIEF FINANCIAL OFFICER

Co-Founder of RJT. Coordinate financial aspects of sales and marketing efforts to manage to annual revenue and cash flow targets. Manage commission reporting for RJT agents and consultants. Develop formal relationships with each deregulated state's Board of Public Utilities. Use financial experience and understanding of the commodity markets to fully support and participate in the daily sales efforts of the company.

- Secured Electric Aggregator Certificate of Registration in Connecticut; July, 2010.
- Secured Energy Agent approval in New Jersey; November, 2010.
- Secured Private Aggregator approval in New Jersey; November, 2010.

DDI LEASING OF NEW ENGLAND, LLC, Fairfield, NJ

2001 – Present

POSITION: PARTNER AND CO-FOUNDER

Built and now maintain company through direct end-user leasing sales, channel marketing with alliance partners that sell technology, and with agents/consultants throughout the country. Manage equity investments in technology and the risks associated with technological obsolescence from both the customer's and leasing company's perspective in order to create successful win/win solutions.

- Developed strategic plan for growth and penetration of new market segments.
- Manage equity partners and bank discounting relationships.
- Developed marketing materials, lease line programs and marketing collaterals.
- Launched and manage a private label vendor leasing program with a Fortune 500 Equipment Distributor.
- Launched and manage back office leasing operations for over 150 community banks
- Launched and manage several regional vendor leasing programs resulting in profit center creation with each and sustaining low seven figure annual gross margins over a number of consecutive years.
- Implemented GAPP accounting system for the leasing portfolio.

Prior experience, 1984-2001, as Account Manager/National Account Manager for CIT, AT&T Capital, Newcourt Technologies, StoraTek, Tyco Corporation and ADP.

EDUCATION

BACHELOR OF SCIENCE IN BUSINESS MANAGEMENT, 1984, FAIRFIELD UNIVERSITY, Fairfield, CT

PAST MEMBER, 2004-2010, ACADEMY OF OUR LADY OF MERCY, LAURALTON HALL, MILFORD, CT, BOARD OF TRUSTEES

RJT Energy Consultants
Richard Katz
110 Washington Ave
North Haven CT 06473
203-234-6390 (p)

rkatz@rjtenergy.com

PROFESSIONAL OBJECTIVE

To utilize my extensive skills and experience in consulting my clients in making the most prudent decisions regarding their energy purchases in deregulated markets.

EXPERIENCE

RJT Energy Consultants LLC, North Haven, CT,
Partner/VP Sales

(6/09 – Present)

- Consult with a wide range of entities regarding energy procurement strategies.
- Recruited and trained 40 sub-agents to expand RJT sales footprint to each deregulated state.
- Developed working relationships with over 20 national energy suppliers and continue to analyze new supply sources for our expanding customer base.
- Constantly gauge market conditions for clients and offer recommendations and analysis in an ever changing and complex market with the singular goal of helping our customers acquire the most effective solutions available in the marketplace.

Titan Energy/New England, Rocky Hill, CT

(2005 – 2009)

- Sales consultant responsible for growing customer base in the field of energy procurement in deregulated markets for electricity and natural gas.
- Focused on understanding the nuances of both the fixed price and indexed electricity and natural gas offerings in order to offer clients a thorough market analysis while advising on best practice purchasing options and strategies.
- Industries served, but not limited to, included food service, manufacturing, healthcare, municipalities and retail establishments.
- Accomplishments included growing territory to over 8 million dollars in booked contracts by negotiating contracts with supply sources by matching customer's needs and risk tolerance with the distinct supplier offerings.
- Sales Manager with responsibility for training new sales consultants while maintaining current client relationships.

Patio Rooms of Connecticut, Hamden, CT (1998 – 2005)
CEO/Owner

- Purchased Craftbilt Manufacturing distributorship with Connecticut territorial right to construct specialty conservatories, solariums and special structures.
- Responsible for all facets of production while overseeing the sales and marketing department as well.
- Developed partnering relationships to decrease material costs and expenditures.
- *Managed 22 full-time employees in the company.*

ExecuTrain, Stamford, CT (1995-1998)
Sales Representative

- Responsible for developing new and existing clients in the Fairfield County market for computer training.
- Responsibilities required daily cold calling, outside selling, canvassing and marketing new products.
- Grew the market from a 21% market share to a 46% market share in 2+ years.
- Named Rookie of the year in 1996.

Matlaws Food Products, West Haven, CT (1990-1995)
Director of Operations

- Oversaw facilities Operations and administered to the Company's capital and operational Facility improvements.
- Responsible for the purchasing of all production products, packaging materials, equipment, shipping and distribution; facilities engineering, architectural and contracting services.
- Negotiated contracts with suppliers and reduced costs by 22%.
- Developed a new delivery system to increase efficiency by 20% while reducing our transportation costs by 12%.

Franford Companies, New Haven, CT (1985-1989)
Project Manager

- Responsibilities included hiring of all sub-contractors, purchasing, and budgeting.
- Project sizes ranged from \$500,000.00 to \$2,750,000.00.
- Developed cost saving and value engineering models with sub-contractors.

EDUCATION

Union College, Schenectady, New York (1985)
Bachelor of Science

55
ington Ave

CT 06473

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