

220 S. 17th Street Camp Hill, PA 17011 (717) 761-5150

April 30, 2012

Commonwealth of Pennsylvania Pennsylvania Public Utility Commission PO BOX 3265

Harrisburg, PA 17105-3265

A-2012-2303321

To Whom It May Concern:

Attached you will find the completed License Application to transfer the existing PUC license A-2009-2133996 under Utility Rates Analysts to URA, Inc. URA, Inc. proposes to continue to act as a consultant to our clients and assist them in obtaining pricing and reviewing contracts from various suppliers. We will not be taking title to any electricity purchases nor will we be collecting any taxes.

URA, Inc. is a Subchapter S-Corporation (EIN 45-4037777) formed in December 2011. Utility Rates Analysts was a sole proprietorship and had been in business since 1991.

As this is a corporate change in name only and the principals involved have remained the same, URA, Inc. respectfully requests the following; that our existing license be transferred, that the license fee be waived and also that the requirement of public notices be waived.

Also attached you will find supporting documents where requested, and all applicable attachments.

Please contact me at (717) 648-6239 or steve@utilityratesanalysts.com or in writing at the address above.

Sincerely,

Steve Cantore URA, Inc.

PA PUC SECRETARY'S BUREAU

が言う正と言い

## BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of URA, Inc. for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Broker/Marketer engaged in the business of supplying electricity services to the public in the Commonwealth of Pennsylvania (Pennsylvania). A-2012-2303321

To the Pennsylvania Public Utility Commission:

1.

## IDENTIFICATION AND CONTACT INFORMATION

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant: RECEIVED

URA, Inc. 220 South 17th Street Camp Hill, PA 17011-5509 717-761-5150 phone 888-838-9009 fax www.utilityratesanalysts.com

MAY 11,2012

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

N/A

c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Stephen Cantore, President 220 South 17th Street Camp Hill, PA 17011 717-761-5150, 888-838-9009 fax steve@utilitvratesanalvsts.com

> d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

N/A

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Stephen Cantore, President 220 South 17th Street Camp Hill, PA 17011 717-761-5150 888-838-9009 fax steve@utilityratesanalysts.com Mike Walker, Business Mgr 220 South 17th Street Camp Hill, PA 17011 717-761-5150 888-838-9009 fax mike@utilityratesanalysts.com

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a.	FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.)
	The Applicant will be using a fictitious name or doing business as ("d/b/a")
	Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.
	<ul><li>The Applicant will not be using a fictitious name.</li></ul>
b.	BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)
	The Applicant is a sole proprietor.
	<ul> <li>If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa.</li> <li>C.S. §4124 relating to Department of State filing requirements.</li> </ul>
	Or  The Applicant is a:
	domestic general partnership (*) domestic limited partnership (15 Pa. C.S. §8511) foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201) foreign limited liability general partnership (15 Pa. C.S. §8211) foreign limited liability limited partnership (15 Pa. C.S. §8211)
	<ul> <li>Provide proof of compliance with appropriate Department of State filing requirements as indicated above.</li> </ul>
	<ul> <li>Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.</li> </ul>
	<ul> <li>Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.</li> </ul>
	<ul> <li>* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.</li> </ul>
	or

X The Applicant is	is a	:
--------------------	------	---

	domestic corporation (15 Pa. C.S. §1308)
	foreign corporation (15 Pa. C.S. §4124)
	domestic limited liability company (15 Pa. C.S. §8913)
L	foreign limited liability company (15 Pa. C.S. §8981)
	Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. **See attached**.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. **PA See attached.**
- Give name and address of officers.

Stephen Cantore, President, 220 South 17<sup>th</sup> St, Camp Hill PA 17011 Michael R Walker, Secretary/Treasurer, 18 Triplett Ct, Dillsburg PA 17019

#### 3. <u>AFFILIATES AND PREDECESSORS</u>

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

N/A

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Utility Rates Analysts PUC license #A-2009-2133996 220 South 17<sup>th</sup> Street Camp Hill, PA 17011-5509 717-761-5150 phone 888-838-9009 fax www.utilityratesanalysts.com

## 4. **OPERATIONS**

a.	APF	PLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)
	<b>x</b> -	The Applicant is presently doing business in Pennsylvania as a
		municipal electric corporation electric cooperative local gas distribution company provider of electric generation, transmission or distribution services  Other; Identify the nature of service being rendered.
		ng firm that assists clients with tariff rate reviews, reducing utility costs, and refunds of incorrect charges and electric brokering.
		or
	Q	The Applicant is not presently doing business in Pennsylvania.
b.	API	PLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (may check multiple):
		Generator of electricity Supplier of electricity Aggregator engaged in the business of supplying electricity Broker/Marketer engaged in the business of supplying electricity services Electric Cooperative and supplier of electric power Other (Describe):
		<u>Definitions</u>
		<ul> <li>Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.</li> <li>Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.</li> <li>Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy <u>but does not take title to electric energy</u>.</li> </ul>
C.		OPOSED SERVICES: Describe in detail the electric services or the electric generation services which the blicant proposes to offer.

Consulting firm that assists clients with tariff rate reviews, reducing utility costs, and obtaining refunds of incorrect charges and electric brokering.

**d. PROPOSED SERVICE AREA:** Provide a list of each Electric Distribution Company for which the Applicant proposes to provide service.

#### Primarily PPL, Med-Ed territories of Central PA

e.	CUSTOMERS: Applicant proposes to provide services to:							
	x xx xx	Residential Customers Small Commercial Customers - (25 kW and Under) Large Commercial Customers - (Over 25 kW) Industrial Customers Governmental Customers All of above Other (Describe):						
		commercial customers under 25kw are not our target market, we do assist larger clients who nultiple facilities, some of which do fall into this category.						
f.	PROPO	OSED MARKETING METHOD (check all that apply)						
	x	Internal – Applicant will use its own internal resources/employees for marketing External EGS – Applicant will contract with a PUC <b>LICENSED EGS</b> broker/marketer Affiliate – Applicant will use a <b>NON-EGS</b> affiliate marketing company and or individuals. External Third-Party – Applicant will contract with a <b>NON-EGS</b> third party marketing company and or individuals						
		Other (Describe):						
g.	DOOR	TO DOOR SALES: Will the Applicant be implementing door to door sales activities?						
	X X	Yes No						
		If yes, will the Applicant be using a Third Party Verification procedure?						
		Yes No						
		If yes, describe the Applicant's Third Party Verification procedures.						
h.	STARI	DATE: Provide the approximate date the Applicant proposes to begin services within the						

Immediately.

Commonwealth.

## 5. <u>COMPLIANCE</u>

a.	<b>CRIMINAL/CIVIL PROCEEDINGS:</b> State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.
	Identify all such proceedings (active or closed), by name, subject and citation; whether before ar administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.
	N/A
b.	<b>SUMMARY:</b> If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.
	N/A
C-	CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions of complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, are affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.
	N/A
d.	<b>SUMMARY:</b> If applicable; provide a statement as to the resolution or present status of any actions listed above.
	N/A

#### 6. PROOF OF SERVICE

(Example Certificate of Service is attached at Appendix C)

**a.) STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

b.) EDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, aprovide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

#### **Allegheny Power:**

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

#### **Duquesne Light:**

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

#### Met-Ed, Penelec, and Penn Power:

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

#### Citizens' Electric Company:

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

#### Wellsboro Electric Company:

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

#### PECO:

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

#### PPL:

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

#### UGI:

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

#### Pike County Light & Power Company:

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

#### 7. FINANCIAL FITNESS

a.	BONDING: In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or
	other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant
	is

Furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.

Furnishing the **original** (along with copies) of another initial security for Commission approval, to ensure financial responsibility.

- X Filing for a modification to the \$250,000 requirement and furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.
  - At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.
  - Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.
  - Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.
- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
  - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
  - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
  - Applicant's accounting statements, including balance sheet and income statements for the past two years. See attached.
  - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports. DUNS 12-577-3056 – See attached
  - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee. See attached.
  - Audited financial statements exhibiting accounts over a minimum two year period. See attached.
  - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness. **See attached.**

**c. ACCOUNTING RECORDS CUSTODIAN**: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Mark Magliente, CPA, 428 Guthrie Rd, Wayne PA 19087 610-265-1959, markmagliente@verizon.net

d. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

#### 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a.) EXPERIENCE, PLAN, STRUCTURE: such information may include:
  - Applicant's previous experience in the electricity industry.
  - Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
  - Type of customers and number of customers Applicant currently serves in other jurisdictions.
  - Staffing structure and numbers as well as employee training commitments.
  - Business plans for operations within the Commonwealth.
  - Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
  - Any other information appropriate to ensure the technical capabilities of the Applicant.

b.) OFFICERS: Identify Applicant's chief officers including names and their professional resumes. – See attached

Stephen Cantore, President, 220 South 17<sup>th</sup> St, Camp Hill PA 17011 Michael R Walker, Secretary/Treasurer, 18 Triplett Ct, Dillsburg PA 17019

c.)	FERC F	FILING: Applicant has:
		Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
		Received approval from FERC to be a Power Marketer at Docket or Case Number
	X	Not applicable

#### 9. DISCLOSURE STATEMENT:

- a. Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application. See attached
  - Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated.
     Penalties and procedures for ending contracts should be clearly communicated.

#### 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- **a. PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
  - proof of registration as a PJM Load Serving Entity (LSE), or
  - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).

  AGREED Applicant will provide compliance with this requirement within 120 days of receiving its license

  X ACKNOWLEDGED Applicant is not proposing to provide retail electric supply service at this
- b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.

time, and therefore is not presently obligated to provide such information

X AGREED

- c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
  - Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
  - Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
  - The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
  - Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis;
  - Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.
  - X AGREED (Not applicable since we are not taking title to electricity or collecting any taxes)
- d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.
  - X AGREED
- e. ASSESSMENT: The Commission does not <u>presently</u> assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see *PPL Energyplus*, *LLC v. Commonwealth*, 800 A.2d 360 (Pa. Cmwlth. 2002).
  - X ACKNOWLEDGED
- f. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.
  - X AGREED

		PAYMENT ENCLOSED (waiver requested for transfer of license)
k.		olicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the h of Pennsylvania.
	x	AGREED
j.		ta Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) and the relevant contacts for each EDC, as listed at appendix J.
	x	AGREED
i.		<b>OPERATIONS:</b> Applicant is also required to officially notify the Commission if it plans to cease in Pennsylvania, 90 days prior to ceasing operations.
	x	AGREED
h.	application or in Commonwealt	N OF CHANGE: If your answer to any of these items changes during the pendency of your f the information relative to any item herein changes while you are operating within the h of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, fics of any changes which have a significant impact on the conduct of business in Pennsylvania. de § 54.34.
	x	AGREED
g.	for denying th	<b>DN:</b> The Applicant understands that the making of false statement(s) herein may be grounds ne Application or, if later discovered, for revoking any authority granted pursuant to the his Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in .

#### 11. <u>AFFIDAVITS</u>

- a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b.) OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

#### 12. **NEWSPAPER PUBLICATIONS**

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied. (Waiver requested for transfer of license)

	<u>Duquesne</u>	Met Ed	PECO	Penelec	Penn Power	PPL	<u>UGI</u>	West Penn	Entire Commonwealth
Philadelphia Daily News		х	X		_	Х		_	х
Harrisburg Patriot- News		Х		х		х		Х	Х
Scranton Times Tribune		х		×		х	х		Х
Williamsport Sun Gazette				×		Х		x	х
Johnstown Tribune Democrat				×				X	X
Erie Times-News				×	Х				Х
Pittsburgh Post-Gazette	X				×			х	Х

(Example Publication is provided at Appendix H)

13. **SIGNATURE** 

## 14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: \_\_\_\_URA, Inc.\_\_\_\_

x	Signature	
	Filing Fee – waiver requested	
x	Application Affidavit	
X	Operations Affidavit	
	Proof of Publication – waiver requested	
x	Bond or Letter of Credit	
x	Tax Certification Statement	
x	Commonwealth Department of State Verification	
x	Certificate of Service	

PUC Secretary's Bureau Use

## Appendix A

## **APPLICATION AFFIDAVIT**

[Commonwealth/State] ofPennsylvania:
: ss.
County ofCumberland :
Stephen Cantore_, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:
[He/she is thePresident/Owner_ (Office of Affiant) ofURA, Inc (Name of Applicant);]
[That he/she is authorized to and does make this affidavit for said Applicant;]
That the Applicant hereinURA, Inc has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).
That the Applicant herein URA, Inc has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.
That the Applicant herein URA, Inc acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.
That the Applicant herein URA, Inc acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.
Signature of Affiant  SECRETARY SIGNATURE OF AFFILE SIGNATURE OF PARTY SIGNATURE OF PARTY SIGNATURE OF OFFICE SIGNATURE

#### Appendix B

### **OPERATIONS AFFIDAVIT**

SECRETARIAN, PASON [Commonwealth/State] of Pennsylvania\_ : SS. County of \_\_\_Cumberland Stephen Cantore\_\_\_\_, Affiant, being duly [sworn/affirmed] according to law, deposes and says that: [He/she is the \_\_President/Owner\_\_ (Office of Affiant) of \_\_URA, Inc.\_\_\_\_ (Name of Applicant);] [That he/she is authorized to and does make this affidavit for said Applicant;] That \_\_\_\_ URA, Inc.\_\_\_ \_\_\_\_\_, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania. That \_\_\_\_\_ URA, Inc.\_\_\_\_, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission. That \_\_\_\_\_ URA, Inc.\_\_\_, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

## Appendix B (Continued)

That URA, Inc, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.
That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.
Styr Lawlow  Signature of Affiant
Sworn and subscribed before me this 30 day of APRIL , 2012.
Signature of official administering path
My commission expires $\frac{10/24/2013}{}$

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Michael F. Nixon, Notary Public
Fairview Twp., York County
My Commission Expires Oct. 24, 2013
Member, Pennsylvania Association of Notaries

## Appendix F

## COMMONWEALTH OF PENNSYLVANIA PUBLIC UTILITY COMMISSION

#### TAX CERTIFICATION STATEMENT

RECEIVED

2012 MAY - 1 PM 3:00

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 81/2" x 11" paper. Type or print all information requested.

needed, prease use write 81/2 x 11 paper. Type or print an information requeste	d.
I. CORPORATE OR APPLICANT NAME URA, INC.	2. BUSINESS PHONE NO. (717-761-5150) CONTACT PERSON(S) FOR TAX ACCOUNTS: Stephen Cantore
3. TRADE/FICTITIOUS NAME (IF ANY) N/A	
4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. Bo 220 South 17th St, Camp Hill. PA 17011	OX NO.) (POST OFFICE) STATE) (ZIP)
5. TYPE OF ENTITY SOLE PROPRIETOR	PARTNERSHIP X CORPORATION OTHER (Describe)
6. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICERS(S)	
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
Stephen Cantore	
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
Michael R Walker	
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
Jesse V Cantore	
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
7. LIST THE FOLLOWING STATE & FEDERAL TAX IDENTIFICATION NU Applicant must provide explicem A- Designated by the Pennsylvania Department of Revenue. Item B - Designated by the Internal Revenue Service. Item C - Designated by the Pennsylvania Department of Revenue. The Corporate	planation if submitting N/A for any items
A. SALES TAX LICENSE (8 DIGITS)  APPLICATION  APPLICATION	C. CORPORATE BOX NUMBER (7 DIGITS) APPLICATION PENDING N/A
PENDING N/A X	1   3   4   6   4   4   2   PENDING N/A
B. EMPLOYER ID (EIN) (9 DIGITS: APPLICATION	
PENDING N/A  4 5 - 4 0 3 7 7 7 7	
8. Do you have PA employees; resident or non-resident?	X YES NO
9. Do you own any assets or have an office in PA?	X YES NO
NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING	TAX RETURNS
N/AMichael R Walker_ PA SALES AND USE TAX EMPLOYER TAXES	Mark Magliente CORPORATE TAXES
PHONE PHONE 717-761-5150	Starting of the State of the St
Talanhona inquiries shout this form may be directed to	

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

#### Supporting Information

#### 7a.) Bonding:

URA, Inc. is submitting a bond in the amount of \$10,000.00 because of the following facts:

URA has made this application for transfer of this license in order to serve strictly as a consultant for clients and will not be taking title to any electricity purchases nor will URA be collecting any revenue, sales tax or Gross Receipts Tax from clients.

#### 7d.) Appendix F, Section 7A

URA will not be collecting any revenue, sales tax or Gross Receipts Tax from clients. Clients will be paying both Electric Generation Suppliers and Electric Distribution Companies directly thus removing any taxes or revenue collection obligations from URA.

#### 8a.) Technical Fitness:

URA has been in existence since 1991. Stephen Cantore (President) originally worked in billing/accounting and meter reading for 7 years with a utility company. We have been auditing Pennsylvania utility bills since 1991 and are very knowledgeable of this industry. We have been brokering electricity since obtaining PUC license A-2009-2133996 in 2009. We service mostly medium and large companies, such as hotels, manufacturers, commercial realty, etc. (approx. 150 existing clients)

		RATION BUREA	DF STATE U	
Air. Nr h	Articles o	f Incorporation-Fo (15 Pa C.S.) tock (§ 1306)	or Profit  Management (§ 2703)	
ntity Number		onstock (§ 2102) Estutory close (§ 2303) e (§ 7102)	Professional (§ 2903) Insurance (§ 3101)	
Business Filings	Incorporated		Document will be returned name and address you enter the left.	
8040 Excelsion [		·	\=	
Madison	Wisconsin	Zip Cods 53717		
1105	· (-			
3125	F	Commo ARTICLES C	nwealth of Pennsylvania FINCORPORATION∜ Page(s)	~ \
	}			
	·   -		110 1111 1111 1111 1111 1111 1111 1111	-
ations), the undersign	ed, desiring to incom	rporate a corporation for	·	
ations), the undersign	ed, desiring to incomponential to incomp	rporate a corporation for	profit, hereby states that:  e., "corporation"." incorporated	
1. The name of the c "company" or an) URA, INC.  2. The (a) address of	ed, desiring to incomporation (corporation "Production." "Production." "Production." "Production" of this corporation's corporation's corporation's corporation."	reporate a corporation for the designator required, in offessional corporation " of the designation of the d	e., "corporation"," incorporated r "P.C"):	", "limited"  box, alone, is no
ations), the undersign  1. The name of the c "company" or an)  URA, INC.  2. The (a) address of acceptable) or (b)	ed, desiring to incomporation (corporation, "Problem of its comme	reporate a corporation for the designator required, in ofessional corporation" of the designation of the contract registered office in the designation of the pro-	e. "corporation"." incorporated r "P.C"):  In this Commonwealth (post office ovider and the county of venue is:	", "limited" box, alone, is no
1. The name of the c "company" or an  URA, INC.  2. The (a) address of acceptable) or (b)  (a) Number and S	ed, desiring to incomporation (corporation. "Production." "Production." "Production." This corporation's continue of its comme	reporate a corporation for the designator required, in fessional corporation of a corporation of the corpora	e., "corporation"," incorporated r "P.C"):  In this Commonwealth (post office ovider and the county of venue is:  State Zip	", "limited"  box, alone, is no
1. The name of the c "company" or any URA, INC.  2. The (a) address of acceptable) or (b) (a) Number and S 428 Guthrie Roa	ed, desiring to incomporation (corporation, "Problem of its comme	reporate a corporation for the designator required, in offessional corporation of a corporation of the corpo	e., "corporation"," incorporated r "P.C"):  In this Commonwealth (post office ovider and the county of venue is:  State Zip	", "limited" box, alone, is no
1. The name of the c "company" or any URA, INC.  2. The (a) address of acceptable) or (b) (a) Number and S 428 Guthrie Roa	ed, desiring to incomporation (corporation, "Production." "Production." "Production." "Production of this comme of its com	reporate a corporation for the designator required, in offessional corporation of a corporation of the corpo	e., "corporation"," incorporated r "P.C"):  In this Commonwealth (post office ovider and the county of venue is:  State Zip	", "limited"  box, alone, is no  County  Lontgomery
1. The name of the c "company" or any URA, INC.  2. The (a) address of acceptable) or (b) (a) Number and S 428 Guthrie Roa (b) Name of Com	ed, desiring to incomporation (corporation, "Production." "Production." "Production." "Production of this comme of its com	reporate a corporation for the designator required, in offessional corporation of a corporation of the corpo	e., "corporation"," incorporated r "P.C"):  In this Commonwealth (post office ovider and the county of venue is:  State Zip	", "limited"  box, alone, is no  County  Lontgomery
ations), the undersign  1. The name of the c "company" or any URA, INC.  2. The (a) address of acceptable) or (b) (a) Number and S  428 Guthrie Roa (b) Name of Conclos:	ed, desiring to incomporation (corporation wabbreviation. "Production of this comment of its commentation of the comment of th	reporate a corporation for the designator required, in objectional corporation of a corporation of the corpo	e., "corporation"," incorporated r "P.C"):  In this Commonwealth (post office ovider and the county of venue is:  State Zip	box, alone, is no County County County

PA. DEPT. OF STATE

RECEIVED TIME DEC 2 A. 110M

#### DSCB:15-1306,2102/2303/2702/2903/3101/7102A-2

<ol> <li>The name and address, including number sign below):</li> </ol>	r and street, if any, of each incorporator (all incorporators must
Name Business Filings Incorporated	Address 8040 Excelsior Dr., Ste. 200, Madison WI 53717
6. The specified effective date, if any:	y/year hour, if any
7. Additional provisions of the articles, if	any, attach an 81/2 by 11 sheet.

IN TESTIMONY WHEREOF, the has/have signed these Articles of	
1st day of December	2011
Naill	Mark Williams, AVP, Business Filings Incorporated
Signatur	*

#### MARK A. MAGLIENTE

CERTIFIED PUBLIC ACCOUNTANT
428 GUTHRIE ROAD
WAYNE, PA 19087
(610) 265-1959

Stephen Cantore D/B/A Utility Rates Analysts 220 South 17<sup>th</sup> Street Camp Hill, PA 17011

I have compiled the accompanying statements of assets, liabilities, and owner's capital – income tax basis of Utility Rates Analysts (a sole-proprietorship), as of December 31, 2010, and the related statement of revenue and expenses – income tax basis for the year then ended, in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The financial statements have been prepared on the basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles.

A compiliation is limited to presenting in the form of financial statements information that is the representation of the owner. I have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or any other form of assurance on them.

The owner has elected to omit substantially all of the disclosures ordinarily included in the financial statements prepared on the income tax basis of accounting. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Proprietorship's assets, liabilities, capital, revenues, and expenses. Accordingly, these financial statements are not designed for those who are not informed about such matters.

March 20, 2012

Mut Maylint

# Utility Rates Analysts Statement of Assets, Liabilities, and Owner's Capital - Income Tax Basis December 31, 2010

#### **ASSETS**

Current Assets Cash - checking	\$85	5,993
Property, Plant, and Equipment Office equipment Furniture & fixtures	\$3,347 1,383 4,730	
Accumulated depreciation	(4,730)	0_
Total Assets	\$85	5,993
LIABILITIES & OWNER'S CAPITA	AL	
Liabilities	`	\$0
Capital, Stephen Cantore	85	5,993
Total Liabilities and Owner's Capital	\$85	5,993

# Utility Rates Analysts Statement of Revenues and Expenses - Income Tax Basis For the Year Ended December 31, 2010

REVENUE		\$473,324
EXPENSES		
Wages	\$75,108	
Payroll taxes	6,070	
Commissions	40,643	
Advertising	9,320	
Auto expense	6,095	
Travel expense	2,326	
Meals & entertainment	2,438	
Insurance	1,179	
Insurance - Employee Health	1,667	
Legal & accounting	900	
Office expense	6,186	
Supplies	1,367	
Dues	321	
Telephone expense	3,774	
Utilities	718	
Total Expenses		158,112
Excess Revenue over Expenses		\$315,212

#### MARK A. MAGLIENTE

CERTIFIED PUBLIC ACCOUNTANT
428 GUTHRIE ROAD
WAYNE, PA 19087
(610) 265-1959

Stephen Cantore D/B/A Utility Rates Analysts 220 South 17<sup>th</sup> Street Camp Hill, PA 17011

I have compiled the accompanying statements of assets, liabilities, and owner's capital – income tax basis of Utility Rates Analysts (a sole-proprietorship), as of December 31, 2011, and the related statement of revenue and expenses – income tax basis for the year then ended, in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The financial statements have been prepared on the basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles.

A compiliation is limited to presenting in the form of financial statements information that is the representation of the owner. I have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or any other form of assurance on them.

The owner has elected to omit substantially all of the disclosures ordinarily included in the financial statements prepared on the income tax basis of accounting. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Proprietorship's assets, liabilities, capital, revenues, and expenses. Accordingly, these financial statements are not designed for those who are not informed about such matters.

Maglient

March 20, 2012

# Utility Rates Analysts Statement of Assets, Liabilities, and Owner's Capital - Income Tax Basis December 31, 2011

#### **ASSETS**

Current Assets Cash - checking		<b>\$</b> 51,120
-		ψο 1,120
Property, Plant, and Equipment Office equipment	\$5,037	
Furniture & fixtures	3, <u>424</u> 8,461	
Accumulated depreciation	(8,461)	•
		0
Total Assets		<u>\$51,120</u>
LIABILITIES & OWNER'S CAP	PITAL	
Liabilities		\$0
Capital, Stephen Cantore		51,120
Total Liabilities and Owner's Capital		<u>\$51,120</u>

# Utility Rates Analysts Statement of Revenues and Expenses - Income Tax Basis For the Year Ended December 31, 2011

Total Expenses		239,082
Utilities	441	
Office furniture purchase	2,041	
Computer purchase	1,690	
Telephone expense	4,793	
Dues	101	
Supplies	469	
Rent expense	10,044	
Office expense	584	
Legal & accounting	950	
Insurance	1,406	
Meals & entertainment	2,663	
Travel expense	626	
Auto expense	5,857	
Advertising	2,416	
Pension expense - employee	1,667	
Insurance - Employee Health	3,543	
Commissions	66,563	
Payroll taxes	9,732	
Wages	\$123,496	
EXPENSES		
REVENUE		\$761,236
REVENUE		\$761,236

#### **Utility Rates Analysts**

D-U-N-S® Number:

12-577-3056

Company Name:

Mail Address:

Utility Rates Analysts-

Location Type: Subsidiary Status: Single Location

Non Subsidiary

Plant/Facility Size:

1,600 Sq:Ft

220 S 17th St Camp Hill, PA; USA 17011Year Established:

1991

5509

Ownership: Prescreen Score: Private Low'Risk

View Map

County:

Cumberland

MSA:

Harrisburg-Carlisle

**Country Phone Code:** 

Phone:

717-761-5150

**Employee Count:** 

(All Siles)

Sales: (All Sites)

\$250,000 US (Actual)

Employment: (Individual Site) Current Year: 3

Sales:

\$250,000 (Individual Sites) US (Actual)

Executives:

Mr Steve Caritore - Owner

Add Decision Maker

SIC Code(s):

87420000 - Management consulting services (Primary)

Line of Business:

Management Consulting Services

NAICS Code(s):

541611 - Administrative Management & General Mgmnt Consulting Svcs (Primary)

## Steve Cantore

220 S. 17th St. Camp Hill, PA 17011 Phone: (717) 648-6239

E-mail: steve@utilityratesanalysts.com

## **Experience**

## Utility Rates Analysts (Camp Hill, PA)

Owner/Utility Expert (1991 - present)

Audit utility costs (electric, gas, water, sewer and telecommunications)

Review tariff rates for correct application

Determine if exceptions and/or exemptions are in place

Analyze usage patterns

Electric and gas procurement

Rate negotiation

#### Mentor Systems (King of Prussia, PA)

Consultant (1988 -1991)

Work load time studies

Route reconfiguration for meter readers

Software implementation for routing packages

Project management

## Sierra Pacific Power Company (Reno, NV)

Meter Reading Supervisor (1980 -1988)

Routing realignment

Work load time studies

Intermediary between meter reading and billing/accounting

#### **Skills**

- Drafting petitions
- Usage Studies for manufacturing facilities
- ▶ Refund negotiation
- Cost analysis

## Michael R. Walker

18 Triplett Ct, Dillsburg PA 17019
Phone: (717) 810-6511
E-mail: mike@utilityratesanalysts.com

## Experience

#### Utility Rates Analysts, Camp Hill, PA

Business Manager and Corporate Secretary/Treasurer (2011 – present)

- Financial Analysis, Contract Review, Strategic Planning
- · Accounts Receivable and Payable, Human Resources

#### **AlphaGraphics,** Camp Hill, PA General Manager (1988 – 2011)

- Worked with owner in startup of printing/communications/marketing company
- Responsible for all aspects of operation: Production, Purchasing, Human Resources, Monthly Financials, Strategic Planning, Customer Service
- Recognized with the Franny Award as the best AlphaGraphics franchise 1992
- Recognized as the top manager in the AlphaGraphics network 1998

## MHK Associates (Morrison-Knudsen) New Cumberland, PA

Document Control Coordinator (1986 - 1988)

- Responsible for drawing and specification control on \$170 million Eastern Distribution
   Center built for U.S. Army at the New Cumberland Army Depot
- Ensured all subcontractor and vendor users had up-to-date construction documents
- · Supervised on-site blueprint and reproduction facility

#### Education

### Mount St. Mary's University, Emmitsburg, MD

Bachelor of Science, Business & Finance, 1986

- Dean's List
- Minor in Accounting
- Varsity Basketball (Sr. Captain), Business Honor Society (Delta Mu Delta)

## **Disclosure Statement for Electric Procurement**

This is an agreement for electric alternate supply procurement between URA, Inc. and
We at URA are licensed by the Pennsylvania Public Utility Commission to offer alternate electric generation supply in Pennsylvania. Our PUC license number is
<ul> <li>We do not set generation prices or charges that you pay. We assist in obtaining pricing from suppliers and in interpreting contract terms. The PUC regulates distribution prices and services. The Federal Energy Regulatory Commission regulates transmission prices and services.</li> </ul>
<ul> <li>We do not bill you for our services as we are compensated by the selected supplier; however, you (the customer) may ask us to bill you directly.</li> <li>The contract you sign for electric supply is between you (the customer) and the electric supply company.</li> </ul>
Definitions
<ul> <li>Generation Charge: Charge for the production of electricity.</li> <li>Transmission Charge: Charge for moving high voltage electricity from a generation facility to the distribution lines of an electric distribution company.</li> <li>Distribution Charges: Charge for the delivery of electricity to your facility by the electric distribution company (EDC).</li> </ul>
Terms of Service
1. Basic Service Prices
<ul> <li>You will pay per kWh for electric generation service. This price is considered an "all in" price, which includes transmission and gross receipts tax. Any applicable sales tax will be in addition to this price.</li> <li>Length of Agreement</li> </ul>
You will buy your electricity generation service for the above street address from beginning through
<ul> <li>This will be for every kWh used unless noted by the alternate supplier in their contract.</li> </ul>
3. Special Conditions
<ul> <li>Some suppliers have what is known as "swing" in their contracts. If, for example, your contract reads "20% swing", this implies that if you use 20% +/- of the purchased electricity in any given month, pricing for the variation will be based on the Locational Market Price (LMP) for that month.</li> </ul>

- 4. Penalties, Fees, and Exceptions
  - As we are not collecting any revenues, there will be no penalties of fees applicable between URA and you, the customer.

## **Disclosure Statement for Electric Procurement**

- 5. Cancellation Provisions
  - Any cancellation provisions will be noted on supplier agreement and will be between you, the customer, and the supplier.
- 6. Agreement Expiration
  - We will contact you 90 days from the end of your term with the alternate supplier to discuss your options. You can contact URA regarding terms at any time.
- 7. Dispute Procedures
  - Contact URA with any questions regarding your contract. If we cannot satisfy your concerns we will direct you to one of the following contacts.
- 8. Contact Information

Generation Supplier Name: Address:	
Phone Number: Internet Address:	
Electric Distribution Company Name:	
Provider of Last Resort Name: Address:	
Phone Number:	
Public Utility Commission (PUC) Address: Electric Competition Hotline Name:	P.O. Box 3265 Harrisburg, PA 17105-3265 1-888-782-3228
Universal Service Program Name: Phone Number:	

#### Appendix C

## Example CERTIFICATE OF SERVICE

On this the \_30th\_\_ day of \_\_\_April\_\_ 2012, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Manager Energy Acquisition PECO Energy Company C 2301 Market Street Philadelphia, PA 19101-869

A PUC RY'S BUREAU

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

2012 MAY -1 PM 3: 0

1

Stephen Cantore, President, URA, Inc.