

6 June 2012

Ms. Rosemary Chiavetta Secretary PENNSYLVANIA PUBLIC UTILITY COMMISSION Commonwealth Keystone Building Second Floor 400 North Street Harrisburg, Pennsylvania 17120

re Application to Offer, Render, Furnish or Supply Natural Gas

Dear Secretary Chiavetta:

Enclosed is the application of Stream Energy Pennsylvania LLC ("Stream Pennsylvania") for consideration as a supplier of natural gas in the Commonwealth of Pennsylvania.

Stream Pennsylvania is a technically qualified and financially strong entity whose officers and staff bring long histories and understanding of both the retail and wholesale aspects of the power industry.

We look forward to discussing our application with the Public Utility Commission.

Best Regards.

Mark R Schiro Vice President

RECEIVED 2012 JUN -8 PM 1: 33 SECRETARY'S BUREAU



COMMONWEALTH OF PENNSYLVANIA PENNSYLVANIA PUBLIC UTILITY COMMISSION P.O. BOX 3265, HARRISBURG, PA 17105-3265

# **Contents of Natural Gas Supplier** (NGS) License Application Package

- I. Introduction.
- II. License Application.
- **III.** Tax Certification Statement (Appendix A).
- IV. Sample Disclosure Statement (Appendix B).
- V. Sample Form of Notice (Appendix C).
- VI. Chapter 56 Standards and Billing Practices for Residential Utility Service. Available from Fry Communications (717) 766-0211 ext. 339.

VII. Standards of Conduct (Appendix D).

RECEIVED

SECRETARY'S BUREAU

# BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

, for approval to offer. Application of Stream Energy Pennsylvania, LLC d/b/a Stream Energy render, furnish, or as a(n) supplier of natural gas services in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

1. **IDENTITY OF THE APPLICANT:** The name, address, telephone number, and FAX number of the Applicant are:

> Stream Energy Pennsylvania, LLC 1500 Market Street 12th Floor, East Tower Philadelphia, Pennsylvania 19102 Telephone: 214-800-4400 Email: legal@streamenergy.net Fax: 214-800-4440

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

2. a.

None

CONTACT PERSON: The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are:

Pierre Koshakji, Secretary 1500 Market Street 12th Floor, East Tower Philadelphia, Pennsylvania 19102 Telephone: 214-800-4400 Fax: 214-800-4440 Email: pierrek@streamenergy.net

b.

CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY: The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA:

James Hall, Manager Stream Energy Pennsylvania, LLC 1500 Market Street 12th Floor, East Tower Philadelphia, Pennsylvania 19102 Telephone: 215-279-2450 Email: jamesh@streamenergy.net

SECRETARY'S BUREAU 2012 JUN -8 PH 1: RECEIVED ATTORNEY: If applicable, the name, address, telephone number, and FAX number of 3.a. Applicant's attorney are:

Deanne O'Dell Eckert Seamans Cherin & Mellott, LLC 213 Market Street 8th Floor Harrisburg, Pennsylvania 17101 Telephone: 717-255-3744 Facsimile: 717-237-6019

Note; Eckert Seamans Chernin & Mellot, LLC does not represent applicant for any issues involving Philadelphia Gas Works. For those issues, please contact applicant.

b. **REGISTERED AGENT**: If the Applicant does not maintain a principal office in the Commonwealth, the required name, address, telephone number and FAX number of the Applicant's Registered Agent in the Commonwealth are:

CT Corporations System 116 Pine Street Harrisburg, Pennsylvania 17101 Telephone: 717-234-6004 Facsimile: 717-234-6024

4. FICTITIOUS NAME: (select and complete appropriate statement)

The Applicant will be using a fictitious name or doing business as ("d/b/a"):

Stream Energy

Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

See Exhibit 'A'

#### or

5.

BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (select and complete appropriate statement)

The Applicant is a sole proprietor.

The Applicant will not be using a fictitious name.

If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

#### or

The Applicant is a:

٦.				
	domestic	general	partnership	(*

domestic limited partnership (15 Pa. C.S. §8511)

foreign general or limited partnership (15 Pa. C.S. §4124)

domestic limited liability partnership (15 Pa. C.S. §8201)

foreign limited liability general partnership (15 Pa. C.S. §8211)

foreign limited liability limited partnership (15 Pa. C.S. §8211)

# Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

\* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

#### The Applicant is a:

domestic corporation (none) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913)

🗖 Other

Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

See Exhibit 'B'

Additionally, provide a copy of the Applicant's Articles of Incorporation.

See Exhibit 'C' filed under Seal

Give name and address of officers. Robert L Snyder, President Mark R Schiro, Vice President SAME ADDRESS AS APPLICANT

Renee Hornbaker, Treasurer Pierre Koshakji, Seretary

6.

AFFILIATES AND PREDECESSORS WITHIN PENNSYLVANIA: (select and complete appropriate statement)

Affiliate(s) of the Applicant doing business in Pennsylvania are:

Does the Applicant have any affiliation with or ownership interest in:

-

(a) any other Pennsylvania retail natural gas supplier licensee or licensee applicant,

(b) any other Pennsylvania retail licensed electric generation supplier or license applicant,

- (c) any Pennsylvania natural gas producer and/or marketer,
- (d) any natural gas wells or
- (e) any local distribution companies (LDCs) in the Commonwealth

If the response to parts a, b, c, or d above is affirmative, provide a detailed description and explanation of the affiliation and/or ownership interest.

Provide specific details concerning the affiliation and/or ownership interests involving:

- (a) any natural gas producer and/or marketers,
- (b) any wholesale or retail supplier or marketer of natural gas, electricity, oil, propane or other energy sources.

Provide the Pa PUC Docket Number if the applicant has ever applied:

(a) for a Pennsylvania Natural Gas Supplier license, or
 (b) for a Pennsylvania Electric Generation Supplier license.

		If the Applicant or an affiliate has a predecessor who has done business within Pennsylvania, give name and address of the predecessor(s) and state whether the predecessor(s) were jurisdictional public utilities.
		or
	D	The Applicant has no affiliates doing business in Pennsylvania or predecessors which have done business in Pennsylvania.
7.		APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)
	0	The Applicant is presently doing business in Pennsylvania as a natural gas interstate pipeline. municipal providing service outside its municipal limits. local gas distribution company retail supplier of natural gas services in the Common- wealth a natural gas producer Other. (Identify the nature of service being rendered.) Electric Generation Supplier
		or
0		The Applicant is not presently doing business in Pennsylvania.
8. a:		APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as
		Supplier of natural gas services. Municipal supplier of natural gas services. Cooperative supplier of natural gas services. Broker/Marketer engaged in the business of supplying natural gas services. Aggregator engaged in the business of supplying natural gas services. Other (Describe):

9. **PROPOSED SERVICES:** Generally describe the natural gas services which the Applicant proposes to offer.

Stream Energy Pennsylvania, LLC, will offer natural gas services to residential and commercial customers in the Commonwealth of Pennsylvania.

10. SERVICE AREA: Provide each Natural Gas Distribution Company (NGDC) in which Applicant proposes to offer services.

PECO

- 11. CUSTOMERS: Applicant proposes to initially provide services to:
  - 00000000
- Residential Customers
- Commercial Customers (Less than 6,000 Mcf annually)
- Commercial Customers (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):
- 12. **START DATE:** The Applicant proposes to begin delivering services on <u>01 August 2012</u> (approximate date).

13. **NOTICE:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of the signed and verified Application with attachments on the following:

Irwin A. Popowsky	Office of the Attorney General
Office of Consumer Advo-	Bureau of Consumer Protec-
cate	tion
5th Floor, Forum Place	Strawberry Square, 14th Floor
555 Walnut Street Harris-	Harrisburg, PA 17120
burg, PA 17120-1921	-
	Commonwealth of Pennsylva-
William R. Lloyd, Jr. Commerce Building, Suite 1102	nia Department of Revenue
Small Business Advo-	Bureau of Compliance Har-
cate	risburg, PA 17128-0946
Harrisburg, PA 17101	

Any of the following Natural Gas Distribution Companies through whose transmission and distribution facilities the applicant intends to supply customers:

PECO Carlos Thillet, Manager, Gas Supply & Transportation 2301 Market Street 29-2 Philadelphia, PA 19103 email: carlos.thillet@exeloncom.com PH: 215-841-6452	

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14.

- 14. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix A to this application. . . . . . . . . . . . . . See Appendix 'A', filed under Seal
- COMPLIANCE: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person 15. identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings.

#### See Exhibit 'D', filed under Seal

- 16. STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND CONSU-MER EDUCATION: All services should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.
  - a. Contacts for Consumer Service and Complaints: Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant, the Distribution Company, the Pennsylvania Public Utility Commission or other agencies.

See Exhibit 'E'

b. Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers.

See Exhibit 'E'

c. If proposing to serve Residential and/or Small Commercial customers, provide a disclosure statement. A sample disclosure statement is provided as Appendix B to this Application.

See Exhibit 'E'

#### FINANCIAL FITNESS: See Exhibit 'F', filed under Seal 17.

- A. Applicant shall provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the
  - following:
  - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.

Published parent company financial and credit information.

- Applicant's balance sheet and income statement for the most recent fiscal year. Published financial information such as 10K's and 10Q's may be provided, if available.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form or other independent financial service reports.
- - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements

Such other information that demonstrates Applicant's financial fitness.

B. Applicant must provide the following information:

Provide proof of compliance with bonding/credit requirements for each NGDC the applicant is proposing to provide service in. This requirement is designated by each NGDC and can commonly be found in the NGDC supplier tariff. See Exhibit 'F', filed under Seal.

 Provide the name, title, address, telephone number and FAX number of Applicant's custodian for its accounting records.

Renee Hornbaker Chief Financial Officer Stream Energy Pennsylvania, LLC 1500 Market Street 12th Floor, East Tower Philadelphia, PA 19102 214-800-4400 (East)

214-800-4440 (Fax)

TECHNICAL FITNESS: To ensure that the present quality and availability of service provided by natural gas utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical

fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

•

18.

The identity of the Applicant's officers directly responsible for operations, including names and their professional resumes.

A copy of any Federal energy license currently held by the Applicant.

Proposed staffing and employee training commitments.

Business plans.

See Exhibit 'G', filed under Seal

19. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2208(D). Transferee will be required to file the appropriate licensing application20.

20. UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of reciving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission

21. **REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

a. Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on an annual basis no later than 30 days following the end of the calendar year.

Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 22 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive natural gas market.

#### 22. FURTHER DEVELOPMENTS:

Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.

23. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

24. FEE: The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

Applicant: Stream Enegy Pennavlvenia

Title: Vice President

# AFFIDAVIT

[Commonwealth/State] of	Texas	:		
		:	SS.	
County of <b>Dallas</b>		:		
Mark Schiro	_, Affiant, being duly [	sworn/affirmed] accord	ding to law, dep	ooses and says that:

[He/she is the \_\_\_\_\_ Vice President (Office of Affiant) of Stream Energy Pennsylvania, LLC(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That <u>Stream Energy Pennsylvania, LLC</u>, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That <u>Stream Energy Pennsylvania</u>, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Stream Energy Pennsylvania, LLC the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That Stream Energy Pennsylvania, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Commission's Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Signature of Affian

Sworn and subscribed before me this \_\_\_\_\_\_ day of

, 19<u>201</u>2



andall Signature of official administering oath

My commission expires 05-15-13

# AFFIDAVIT

[Commonwealth/State] of Texas : SS.

County of Dallas :

, Affiant, being duly [sworn/affirmed] according to law, deposes and says that: <u>Mark Schiro</u>

[He/she is the <u>Vice President</u> (Office of Affiant) of <u>Stream Energy Pennsylvania, LLC</u>

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Stream Energy Pennsylvania, LLC, the Applicant herein certifies that it has caused the notice of the filing of its license application published in the following newspapers on \_\_\_\_\_: (date)

A copy of the notice as it appeared in each of the above newspapers is attached. Noted on each copy is the newspaper section (name, number or letter), if applicable, and the page number on which the notice appeared.

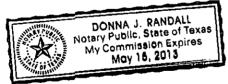
That Stream Energy Pennsylvania, LLC, the Appplicant will submit to the Commission the proof of publication from each

newspaper in which notice of the application filing was published as soon as it is available.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Signature of Affiant

Sworn and subscribed before me this <u>7+h</u> day of (



Signature of official administering oath

# AFFIDAVIT

٠

[Commonwealth/State] of Texas

SS.

County of Dallas

Affiant, Mark Schiro, being duly [sworn/affirmed] according to law, deposes and says that [He/she is the Vice President(Office of Affiant) of Stream Energy Pennsylvania, LLC

That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Stream Energy Pennsylvania, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. §2208(c)(1).

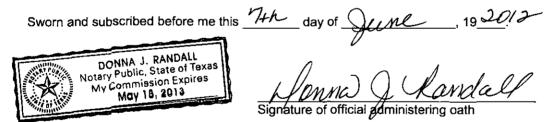
That the Applicant herein Stream Energy Pennsylvania, LLC, has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Stream Energy Pennsylvania, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Stream Energy Pennsylvania, LLC cknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Signature of Affiant



Signature of official administering

05-15-12 My commission expires

# APPENDIX A

#### COMMONWEALTH OF PENNSYLVANIA PUBLIC UTILITY COMMISSION

#### TAX CERTIFICATION STATEMENT

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 81/2" x 11" paper. Type or print all information requested.

I. CORPORATE OR APPLICANT NAME		
<u>Stream Energy Pennsylvania, LLC</u>		2. BUSINESS PHONE NO. 214-800-4400
3. TRADE/FICITIOUS NAME (IF ANY)		CONTACT PERSON(S) FOR TAX AC-
Stream Energy		Renée Hornbaker
	, RURAL ROUTE, P.O. BOX NO	D.) (POST OFFICE) STATE) (ZIP)
<u>1500 Market Street</u> 12th Floor. Ea. 5. TYPE OF ENTITY □ SOLE PROPRIE X - Foreign Limited Liability Compar	TOR	Philadelphia. Pennsylvania 19102 PARTNERSHIP CORPORATION
COLEIGH LITTILEO LIADIILY COMPAR      S. LIST OWNER(S), GENERAL PARTNERS, OR CORPU	•	
NAME (PRINT)		SOCIAL SECURITY NUM- (OPTIONAL)
Robert L Snyder, President and Manager		
NAME (PRINT)		(OPTIONAL).
Mark R Schiro, Vice President		
NAME (PRINT)		SOCIAL SECURITY
Renée, Hornbaker, Treasurer		
NAME (PRINT)		SOCIAL SECURITY NUM- (OPTIONAL) BER
NAME (PRINT)		SOCIAL SECURITY NUM-
9. LIST THE FOLLOWING STATE TAX IDENTIFICATIO	ON NUMBERS. <u>(ALL ITEMS: A</u>	A, B, AND C MUST BE COMPLETED).
A. SALES TAX LICENSE (8 DIGITS)	PPLICATION	C. CORPORATE BOX NUMBER (7 DIGITS) APPLICATION
85 1 2 2 7 4 7	PENDING N/A	2 8 7 3 4 6 4 PENDING N/A
	PLICATION PENDING N/A	
TU. Do you have PA employes either resident or hon-resident	« <u> </u>	
11. Do you own any assets or have an other in PA?		YES NO
RETURNS		
John Lessner	John Lessner	John Lessner
PA SALES AND USE TAX	EMPLOYER TAXES	CORPORATE TAXES
PHONE	PHONE 214-800-4	400 PHONE <b>4-800-4400</b>
	ted to the Pennsylvania	Department of Revenue at the following numbers: (717)
772-2673, TDD# (717) 772-2252 (Hearing	(Impaired Only)	SE .
Natural Gas Supplier License Application	15	RECEIVED 2012 JUN - 8 PH 1: 34 SECRETARY'S BUREAU
PA PUC Document #: 139346	. 15	NREAU 11:34

# Appendix B

## Sample Disclosure Statement Format for Natural Gas Suppliers

This is an agreement for natural gas services, between NGS name and customer's name and full address.

#### Background

We at <u>NGS Company Name are licensed by the Pennsylvania Public Utility Commission to offer and supply natural gas services in Pennsylvania. Our PUC license number is <u>A-110XXX</u>.</u>

- We set the prices and charges that you pay. The Public Utility Commission regulates distribution or delivery prices and services. The Federal Energy Regulatory Commission regulates interstate pipeline prices and services.
- If you ask us, we can bill you directly for our service.
- Right of Recision You may cancel this agreement at any time before midnight of the third business day after receiving this disclosure.

#### Definitions

- Interstate Pipeline Charges Charges for moving natural gas to the distribution lines of a distribution company.
- Nonbasic Charges Define each nonbasic service being offered,

#### Terms of Service

1. (a) Basic Service Prices - Itemize Basic Services you are billing for and their prices.

You will pay rate per (Mcf/Dth/ccf) for the commodity of natural gas.

Suppliers are to include any variable pricing conditions and limits, if charging a variable rate.

You will pay rate per (Mcf/Dth/ccf) for other natural gas service.

Suppliers are to include transmission service prices if billed.

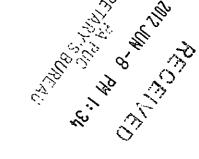
(b) Nonbasic Service Prices - Itemize Nonbasic Services you are offering and their prices.

#### 2. Length of Agreement

You will buy your natural gas services for the above street address from <u>company's name</u> beginning date through date of expiration, if any.

- 3. Special Terms and Conditions List and explain all that apply. Sign-up bonuses Add-ons Limited time offers Other Sales Promotions
  - Exclusions

4.	Special Services - Províde explanation of price, terms and conditions, including advanced met	tering	yment,
if app	blicable.	J.	Ĵ.



 $c_{2}$ 

Page 1 of 2

Natural Gas Supplier License Application PA PUC Document #: 139346 Updated March 2012 5. Penalties, Fees and Exceptions - List any that apply including a late payment charge. The print size for this section must be larger than the print in the rost of the agreement.

6. Cancellation Provisions - This category may consist of both customer initiated cancellation provisions and supplier initiated cancellation provisions.

7. Renewal Provision - Ir this is a fixed term agreement with automatic renewal, explain the procedure here.

#### 8. Agreement Expiration/Change in Terms

If you have a fixed term agreement with us and it is approaching the expiration date **or** if we propose to change our terms of service, we will send you written notice in each of our last three bills or in separate mailings before either the expiration date or the effective date of the changes. We will explain your options in these three advance notices.

#### 9. Dispute Procedures

Contact us with any questions concerning our terms of service. You may call the PUC if you are not satisfied after discussing your terms with us.

#### 10. Contact Information

Supplier Name:	
Address:	
Phone Number:	
Internet Address:	
Distribution Company Name:	
Provider of Last Resort Name:	
Address:	
Phone Number:	
Public Utility Commission (PUC)	
Address: Natural Gas Competition Hotline Number:	P.O. Box 3265 Harrisburg, PA 17105-3265 1-888-xxx-xxxx
	<u> </u>
Universal Service Program Name:	
Phone Number:	·

# **APPENDIX C** EXAMPLE FORM OF NOTICE

#### PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of <u>Company Name (d/b</u>/a "Trad<u>e Name") For</u> Approval To Offer, Render, Furnish Natural Gas Supply Services as a Marketer/Broker or Aggregator Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania, Docket No. <u>A-125XXX.</u>

On Month Date, Year, Company Name filed an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, (2) a broker/marketer engaged in the business of supplying natural gas, and (3) an aggregator engaged in the business of providing natural gas supply services. Company Name proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Company Name may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Company's Name attorney at the address listed below. Please include the PUC's "docket number" on any correspondence, which is

<u>A-125XXX.</u>

By and through Counsel: Attorney's Name

# Company Name Address Address Phone FAX

PA PUC SECRETARY'S BURE AU 2012 JUN -8 PM 1: 31 RECEIVED

Natural Gas Supplier License Application PA PUC Document #: 139346

# **APPENDIX D**

# **Standards of Conduct**

RECEIVED 2012 JUN-8 PM 1: 34 SECRETARY SBUREAU

- (1) The [natural gas distribution company] should apply its tariffs in a nondiscriminatory manner to its affiliate, its own marketing division and any nonaffiliate.
- (2) The [natural gas distribution company] should likewise not apply a tariff provision in any manner that would give its affiliate or division an unreasonable preference over other marketers with regard to matters such as scheduling, balancing, transportation, storage, curtailment, capacity release and assignment, or nondelivery, and all other services provided to its affiliated suppliers.
- (3) If a tariff provision is mandatory, the [natural gas distribution company] should not waive the provision for its affiliate or division absent prior approval of the Commission.
- (4) If a tariff provision is not mandatory or provides for waivers, the [natural gas distribution company] should grant the waivers without preference to affiliates and divisions or non-affiliates.
- (5) The [natural gas distribution company] should maintain a chronological log of tariff provisions for which it has granted waivers. Entries should include the name of the party receiving the waiver, the date and time of the request, the specific tariff provision waived and the reason for the waiver. Any chronological log should be open for public inspection during normal business hours.
- (6) The [natural gas distribution company] should process requests for transportation promptly and in a nondiscriminatory fashion with respect to other requests received in the same or a similar period. The [natural gas distribution company] should maintain a chronological log showing the processing of requests for transportation services. Any chronological log should be open for public inspection during normal business hours.
- (7) Transportation discounts and fee waivers and rebates provided to the [natural gas distribution company's] or its marketing affiliate's favored customers should be offered to other similarly situated customers and should not be tied to any unrelated service, incentive or offer on behalf of either the parent of affiliate. A chronological

log should be maintained showing the date, party, time and rationale for the action. Any chronological log should be open for public inspection during normal business hours.

- (8) The [natural gas distribution company] should not disclose any customer proprietary information to its marketing affiliate or division, and to the extent that it does disclose customer information, it should contemporaneously paovide this same information to other similarly situated marketers in a similar fashion so as not to selectively disclose, delay disclosure, or give itself or its affiliate any undue advantage related to the disclosure. A chronological log should be maintained showing the date, time and rationale for the disclosure. Any chronological log should be open for public inspection during normal business hours. A natural gas distribution company should not provide information received from non-affiliated customers or suppliers to its affiliated natural gas suppliers.
- (9) The [natural gas distribution company] should justly and reasonably allocate to its marketing affiliate or division the costs or expenses for general administration or support services.
- (10) The [natural gas distribution company] selling surplus gas supplies and/or upstream capacity on a short-term basis (as defined by the Federal Energy Regulatory Commission) to its affiliate should make supplies available to similarly situated marketers on a nondiscriminatory basis. The [natural gas distribution company] should not make any gas supplies and/or upstream capacity available through private disclosure to the [natural gas distribution company's] affiliate unless the availability is made simultaneously with public dissemination in a manner that fairly apprises interested parties of the availability of the gas supplies and/or upstream capacity. The [natural gas distribution company] should maintain a chronological log of these public disseminations. Any chronological log should be open for public inspection during normal business hours.
- (11) The [natural gas distribution company] should not condition or tie agreements to release interstate pipeline capacity to any service in which the [natural gas distribution company] or affiliate is involved.
- (12) The [natural gas distribution company] should not directly or by implication . . . represent to any customer, supplier or third party that an advantage may accrue to any party through use of the [natural gas distribution company's] affiliate or subsidiary.

- (13) The [natural gas distribution company] should establish and file with the Commission a complaint procedure for dealing with any alleged violations of any of the standards listed in paragraphs (1) through (12), this paragraph or paragraphs (14) and (15), excepting for paragraph (9), which should be exclusively under the purview of the Commission. These procedures should be developed in consultation with interested parties during consideration of any tariff guided by this section and §69.191 (relating to general). The Commission may expect establishment of a complaint procedure or other recordkeeping requirements if warranted by subsequent facts or circumstances.
- (14) The [natural gas distribution company] should keep a chronological log of any complaints, excepting paragraph (9), regarding discriminatory treatment of natural gas suppliers. This chronological log should include the date and nature of the complaint and the [natural gas distribution company's] resolution of it. Any chronological log should be open for inspection during normal business hours.
- (15) Parties alleging violations of these standards may pursue their allegations through the Commission's established complaint procedures. A complainant bears the burden of proof consistent with 66 Pa. C.S. (relating to Public Utility Code) in regard to the allegations.
- (16) Licensees shall provide accurate information about their natural gas supplier services using plain language and common terms. Where new terms are used, such terms must be defined again using plain language: Information should be provided in a format which will allow for comparison of the various natural gas supply services offered and the prices charged for each type of service.
- (17) Licensees shall provide notification of the change in conditions of service, intent to cease operation as an natural gas supplier, explanation of denial of service, proper handling of deposits and proper handling of complaints in accordance with Commission regulations where applicable.
- (18) Licensees shall maintain the confidentiality of customers' historic payment information and right of access to their own load and billing information.

- (19) Licensees shall not discriminate in the provision of natural gas supply services as to availability and terms of service based on race, color, religion, national origin, sex, marital status, age receipt of public assistance income, and exercise of rights under the Consumer Credit Protection Act, 15 U. S. C. §§1691-1691f; Regulation B, 12 C.F.R. §§202-202.14.
- (20) Licensees will be responsible for any fraudulent deceptive or other unlawful marketing or billing acts performed by their agents or representatives. Licensee shall inform consumers of state consumer protection laws that govern the cancellation or rescission of natural gas supply service contracts. 73 P. S. §201-7.
- (21) The natural gas distribution company shall not give any affiliate or marketing division preference over a non-traditional affiliate in the provision of goods and services such as processing requests for information, complaints and responses to service interruptions. The natural gas distribution company shall provide comparable treatment without regard to a customer's chosen natural gas supplier.
- (22) No transaction between the natural gas distribution company and an affiliated natural gas supplier shall involve an anti-competitive cross-subsidy and all such transactions shall comply with applicable law.
- (23) Natural gas distribution company employees who have responsibility for operating the distribution system, including natural gas delivery or billing and metering, shall not be shared with an affiliated or divisional Supplier, and their offices shall be physically separated from the office(s) used by those working for the Supplier. Such natural gas distribution company employees may transfer to a Supplier provided such transfer is not used as a means to circumvent these interim standards of conduct. Any supplier shall have its own direct line management. Any shared facilities shall be fully and transparently allocated between the natural gas distribution company function and the Supplier function. The natural gas distribution company accounts and records shall be maintained such that the costs a Supplier incurs may be clearly identified.
- (24) (a) Neither the natural gas distribution company nor an affiliated or divisional Supplier may directly or by implication falsely and unfairly represent:

• that the Pa PUC jurisdictionally regulated services provided by the natural gas distribution company are of a superior quality when power is purchased from an affiliated or divisional Supplier; or

• that the merchant services (for natural gas) are being provided by the natural gas distribution company rather than an affiliated or divisional Supplier;

• that the natural gas purchased from a Supplier that is not an affiliate or division of the natural gas distribution company may not be reliably delivered;

• that natural gas must be purchased from an affiliate or divisional Supplier to receive Pa PUC jurisdictional regulated services.

(b) The natural gas distribution company shall not jointly market or jointly purchase its Pa PUC jurisdictional regulated services with the services of an affiliated or divisional Suppler. This prohibition includes prohibiting the natural gas distribution company from including bill inserts in its natural gas distribution company bills promoting an affiliated or divisional Supplier's services, and further precludes a reference or link from the natural gas distribution company's web-site to any affiliated or divisional supplier.

(c) When an affiliated or divisional Supplier markets or communicates to the public using the natural gas distribution company name or logo, it shall include a disclaimer that states:

(i) That the Supplier is not the same company as the natural gas distribution company; (2) that the prices of the Supplier are not regulated by the Pa PUC; and (3) that a customer does not have to by natural gas or other products from the Supplier in order to receive the same quality service from the natural gas distribution company. When a Supplier advertises or communicates verbally through radio or television to the public using the natural gas distribution company name or logo, the Supplier shall include at the conclusion of any such communication a disclaimer that includes all of the disclaimers listed in this paragraph.

(25) The natural gas distribution company must: (a) make interstate capacity available for release, assignment, or transfer to its affiliated or divisional Supplier only through the intestate pipeline electronic bulletin boards and the competitive bidding procedures in place on those interstate systems; (b) not give its affiliated or divisional Supplier any preference over nonaffiliated or non-divisional Suppliers, or potential non-affiliated or nondivisional Suppliers, in matters relating to the assignment, release, or other transfer of the natural gas distribution company's capacity rights on interstate pipeline systems; and (c) not condition or tie its agreement to release, assign, or otherwise transfer interstate pipeline capacity to any agreement by a gas Supplier, customer or other third party relating to any service in which its marketing affiliate is involved.

# Exhibit 'A'

to the Application of

#### STREAM ENERGY PENNSYLVANIA, LLC

before the

#### PENNSYLVANIA PUBLIC UTILITY COMMISSION

to

Offer, Render, Furnish or Supply Natural Gas

to the Public in the

Commonwealth of Pennsylvania

RECEIVED

### Response to Item 4, Fictitious Name

.

Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BURFAU	
Application for Registration of Fictolious Name 5476.23, 5311	
The Corporation System ATD: Kin Carrier Constant with the second with the seco	
For: \$10	
to compliance with the requirements of \$5 Pz.C.S.   113 (mining to imprirence), the undersigned enservices) declines as register factories name under \$5 PV.C.S. Dr. 3 (mining as Reliains names), hereby mittye) that	
The function same is     Stream Energy	DSQ8 54-711-2
<ol> <li>A brief assessed of the character or where of the bowness or other waterney to be carried on stader or through the fictions aroung it: To excupte its marketing, and balks of energy its the state of Personalization</li> </ol>	3 Each ordey, store than a indefidual, second is such business is (an): Store in Energy Pennay/vania, LLC Delaware None Pannay Compatibility Operations Operations Operations
<ol> <li>The address, including number and tense, if any, of the precipie place of business (P.O. Dor slows is not acceptable)</li> <li>1500 Maritot Si, 12th Floor, East Tower, Philadelphia, PA 19102 (Philadelphia Coonty)</li> <li>Numbers and event</li> <li>Carety</li> </ol>	500 Market St. 12th Flore East Tene Phylodelphia PA 1902 Market Office Addeed CT Carporting System Philodelphia Canaty PA Sequence Outrie System
4 The name and address, including namber and store; if any, of each individual internance in the backness in:	havine Form of Organization Organization
Nume Number and Shuet City Scale	Principal Office Address
	PA Registered Office, if any
	6 The application is demains which provides and V PixCLS § 333 (episate go affect of registration) and understands that Plags under the Extenses Names Act does not serve any sociative or other 1 plan in the first even work.
	7 Optionally The name(s) of the agent(s). If says, key out of whom n authorized to restore ameniances in s, withdrawed from or concellution of their registrations in total of all their values games to the registration, la (see)
	IN TESTIMONY WIEREOF, the undersigned have mused the Application for Reprint some of Preticion
	Name is the substant dia <u>Att</u> day at <u>GUINE</u> <u>2610</u> .
	Inglindent Signature kodivident Signature
	Individual Signature
	Strang Recy Person Institute Costs North
	Vi. Prydest

# Exhibit 'B'

to the Application of

#### STREAM ENERGY PENNSYLVANIA, LLC

before the

#### PENNSYLVANIA PUBLIC UTILITY COMMISSION

to

Offer, Render, Furnish or Supply Natural Gas

to the Public in the

Commonwealth of Pennsylvania

RECEIVED 2012 JUN - 8 PM 1: 34 SECRETARY'S BUREAU

PAGE 1 OF 2 PAGES

#### Response to Item 5, Business Entity and Department of State Filings.

The applicant is a foreign limited liability company (15 Pa. C.S. §8981)

Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Additionally, provide a copy of the Applicant's Articles of Incorporation.

Stream Energy Pennsylvania LLC ("Stream Pennsylvania") is a limited liability company organized under the laws of the State of Delaware and formed for the purpose of serving natural gas customers in the Commonwealth of Pennsylvania. On 19 May 2010, Stream Pennsylvania was certified by the Secretary of State of the State of Delaware as having been duly formed.

Delaware PAGE 1 The First State I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE. DO HEREBY CERTIFY "STREAM ENERGY PENNSYLVANIA. LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE NINETEENTH DAY OF MAY, A.D. 2010. AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE NOT BEEN ASSESSED TO DATE 4805903 8300 100536880 DATE: 05-19 versfy this certificat 

PAGE 2 OF 2 PAGES

On 28 May 2010, Stream Pennsylvania was duly authorized by the Pennsylvania Department of State to transact business in the Commonwealth of Pennsylvania as a foreign limited liability company.

A copy of the Business Entity Filing History indicating Stream Pennsylvania with active status is shown below as it appears on the Pennsylvania Department of State website.

		Corporation
Search By Business Name By Business Entay ID Venty	Business Entity Fi Date: 6/7/2010 (Select the link above to Entry's Filing H	view the Business
Verify Condication Online Orders	Business Name History	
Register for Online Orders Order Good Standing Order Certified Bocuments	Name Stream Energy Pannsylvania, LLC	Name Type Current Name
Order Business List My Images	Limited Liability Company - Foreign -	Information
Search for Images	Entity Number:	3958660
	Status:	Active
	Entity Creation Date:	5/28/2010
	State of Business.: Registered Office Address:	DE C/o CT Corporation System PA
	Mailing Address:	Philadelphia No Address
		"0 <sup>010</sup> 74."



# Exhibit 'C'

to the Application of

STREAM ENERGY PENNSYLVANIA, LLC

before the

PENNSYLVANIA PUBLIC UTILITY COMMISSION

to

Offer, Render, Furnish or Supply Natural gas

to the Public in the

Commonwealth of Pennsylvania

Information contained in this Exhibit: Glis

Confidential Proprietary and the Property of Stream Energy Pennsylvania (ILG

Submitted UnderSeal

to the Pennsylvania Public Utility Commission



# Exhibit 'D'

to the Application of

STREAM ENERGY PENNSYLVANIA, LLC

before the

PENNSYLVANIA PUBLIC UTILITY COMMISSION

to

Offer, Render, Furnish or Supply Natural Gas

to the Public in the

Commonwealth of Pennsylvania

Information Contained linthis Exhibit Dass

Submitted UnderSeal

and is the

tothe Pentsylvanin Public Vulity Commission



# Exhibit 'E'

#### to the Application of

### STREAM ENERGY PENNSYLVANIA, LLC

before the

#### PENNSYLVANIA PUBLIC UTILITY COMMISSION

to

Offer, Render, Furnish or Supply Natural Gas

to the Public in the

Commonwealth of Pennsylvania

EXHIBIT 'E' TO THE STREAM ENERGY PENNSYLVANIA, LLC APPLICATION TO THE PENNSYLVANIA PUBLIC UTILITY COMMISSION TO OFFER, RENDER, FURNISH, OR SUPPLY NATURAL GAS TO THE PUBLIC IN THE COMMONWEALTH OF PENNSYLVANIA

ALL INFORMATION IN THIS EXHIBIT 'E' IS CONFIDENTIAL, PROPRIETARY AND THE PROPERTY OF STREAM ENERGY PENNSYLVANIA LLC SUBMITTED UNDER SEAL Page 1 of 2 Pages

Response to Item 16, Standards, Billing Practices, Terms and Conditions of Providing Service and Consumer Education and in particular; Items 16a. Contacts for Consumer Service and Complaints, 16b. Provide a copy of all standard forms or contracts, and 16c. Provide a disclosure statement.

16a. - Contacts for Consumer Service and Complaints: Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Distribution Company, the Pennsylvania Public Utility Commission or other agencies.

Stream Energy Pennsylvania LLC ("Stream Pennsylvania") considers customer satisfaction to be a primary operational priority. Customer complaints are a reflection of customer dissatisfaction. Stream Pennsylvania will strive to minimize the potential for customer dissatisfaction through a number of customer friendly contact channels ranging from web-based self-service options to call center personnel that will be available seven days a week as well as email and telephonic channels of contact. Stream Pennsylvania does recognize that despite all best efforts that issues will arise on occasion whereby a customer or regulatory agency personnel requires ready access to an individual who can address and resolve their issue quickly and courteously. As different issues require different levels of knowledge and in some cases a higher level of specialized knowledge, Stream Pennsylvania has two different points of contact for regulatory and customer issues, depending on the type of issue and the source of the concern.

Initial point of contact:

Darrin Pfannenstiel Regulatory Counsel (\$12) 699-3323

Alternate point of contact:

Gail Glasgow Regulatory Compliance Manager (214) 800-4486

The Stream Pennsylvania office address is 1500 Market Street, 12<sup>th</sup> Floor, East Tower, Philadelphia, Pennsylvania 19102.

EXHIBIT 'E' TO THE STREAM ENERGY PENNSYLVANIA, LLC APPLICATION TO THE PENNSYLVANIA PUBLIC UTILITY COMMISSION TO OFFER, RENDER, FURNISH, OR SUPPLY NATURAL GAS TO THE PUBLIC IN THE COMMONWEALTH OF PENNSYLVANIA

ALL INFORMATION IN THIS EXHIBIT 'E' IS CONFIDENTIAL, PROPRIETARY AND THE PROPERTY OF STREAM ENERGY PENNSYLVANIA LLC SUBMITTED UNDER SEAL Page 2 of 2 Pages

16b. - Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers.

A disclosure statement based on the sample from Appendix A of the application packet is provided on the following pages.

16c. - If proposing to serve Residential and/or Small Commercial customers, provide a disclosure statement.

A disclosure statement based on the sample from Appendix A of the application packet is provided below.

### Stream Energy Disclosure Statement For Natural Gas Supply

This is an agreement for natural gas services between <u>Stream Energy Pennsylvania, LLC ("Stream Energy")</u> and <u>Customer</u>

#### Background

We at <u>Stream Energy</u> are licensed by the Pennsylvania Public Utility Commission to offer and supply natural gas services in Pennsylvania. Our PUC license number is <u>A-110XXX</u>.

- We set the prices and charges that you pay. The Public Utility Commission regulates distribution or delivery prices and services. The Federal Energy Regulatory Commission regulates interstate pipeline prices and services.
- Right of Recision You may cancel this agreement at any time before midnight of the third business day after receiving this
  disclosure.

#### Definitions

- Interstate Pipeline Charges Charges for moving natural gas to the distribution lines of a distribution company.
- Commodity Charges The charges for the natural gas product which is sold either in cubic feet or dekatherms.

#### Terms of Service

**1.** Basic Service Prices – Your contract is a <Insert: fixed or variable> rate contract meaning that you <insert do or do not> have a cancellation fee or early termination fee if you terminate prior to the end of your contract term. For the period beginning on a date set by your NGDC you will be the same price each month for the commodity portion of your natural gas bill. Commodity charges include estimated total state taxes. Commodity charges exclude PA sales taxes and local taxes, if applicable, and do not include your NGDC distribution or billing charges.

You will pay <u>\$\$\$\$</u> (Mcf/Dth/ccf) for the commodity of natural gas.

<For variable rate only, insert "While this Agreement is in effect, the price for the Variable Price Plan may fluctuate and is subject to change at the sole discretion of Stream Energy, based upon the fluctuation of wholesale natural gas prices or other inputs to wholesale gas prices; the rates will change based upon updates in pricing to the Variable Price Plan as posted on Stream Energy's website. Customers who enroll on the Variable Price Plan may monitor the rates for the Variable Price Plan on a daily basis by visiting <a href="https://www.streamenergy.net/pennsylvania">www.streamenergy.net/pennsylvania</a>, or by calling Stream Energy Customer Care.">></a>

#### 2. Length of Agreement

<For Fixed Rate Product, insert: "You will buy your natural gas services for the above street address from <u>Stream Energy</u> beginning <u>date</u> through <u>date of expiration, if any</u>.">

Or

<For Variable Rate Product, insert: "Your contract is a month-to-month contract meaning you can cancel at any time with no fee, but until then, this contract will continue on a month-to-month basis.">

**3. Penalties, Fees & Exceptions.** Stream Energy does not charge a switching fee under this agreement. An early termination fee of \$150.00 is applies if you terminate prior to the end of your contract term. If you do not pay your bills on time, a late payment of 1.5% per month on all unpaid balances may apply.

4. Cancellation Provisions – Either Party can cancel this agreement without penalty, by providing notice to the other. Notice can be provided in writing or by telephone, and for customer cancellations, such notices can be made to Stream Energy or your NGDC at the address or telephone number provided below. Cancellation will occur as soon as applicable laws permit. Stream Energy will follow applicable laws.

5. **Renewal Provision.** Your renewal information and options will be provided in the two renewal notices that are described under paragraph 6 below.

6. Agreement Expiration/Changes in Terms – If you are on a fixed term agreement with us, and it is approaching its expiration date, we will send you advance written notices at about 90 days and 60 days before the expiration date. If we propose to change out terms of service in any type of agreement, we will send you advance written onticews at about 90 and 60 days before the effective date of the change. If we are billing you direcxtly for services, then we will provide the notices as a bill message, bill insert or in a separate mailing. If you NGDC is billing our charges for us, then we will provide the notices in separate corresponding mailings. We will explain your options to you in these two advance notices. Automatic renewal is allowed at the same terms and conditions or revised terms and conditions so long as the new agreement is month-to-month and there is no early termination or cancellation fee.

7. Dispute Procedures & Contact Information - If you have a comment, question or dispute concerning any matter arising under this Agreement, please contact Stream Energy using the contact information set forth in Section 1. In the event that the Customer Service Department of Stream Energy cannot properly assist you or you are not satisfied that the issue at hand has not been resolved, you may also contact the PaPUC at P.O. Box 3265 Harrisburg, PA 17105-3265, UTILITY CHOICE HOTLINE: 1-800-692-7380.

8. Eligibility. By entering into this Agreement, you are representing that the account(s) served by Stream Energy are residential or commercial in nature in the NGDC territory consumer less than 20,000 Terms in the previous 12 months; b) that you are the person who is eligible and authorized to enroll the account(s); and c) that you are not an existing Stream Energy natural gas customer. Stream Energy reserves the right, at any time, to refuse enrollment or to terminate service to your premise(s) for reasons not otherwise prohibited by law if those premises do not meet the preceding criteria.

9. Universal Service Program: Your NGDC has programs available to assist customers who are on a limited or fixed income who need help paying utility bills. Some of these program could include bill payment assistance and/or weatherization services. Information can be obtained by contacting your NGDC at the information below.

**NGDC Contact Information.** Natural Gas Distribution Company (Provider of Last Resort): PECO Customer Service Center, 2301 Market Street, P.O. Box 8699, Philadelphia, PA <u>www.peco.com</u> 1-800-494-4000. For information about PECO's universal service programs, call 1-800-494-4000; Public Utility Commission: Pennsylvania Public Utility Commission, P.O. Box 3265, Harrisburg, PA 17105-3265, 1-800-692-7380.



# Exhibit 'F'

#### to the Application of

### STREAM ENERGY PENNSYLVANIA, LLC

#### before the

#### PENNSYLVANIA PUBLIC UTILITY COMMISSION

to

#### Offer, Render, Furnish or Supply Natural Gas

to the Public in the

Commonwealth of Pennsylvania

Information Contained in this Exhibit (F/is Confidential/Proprietary and the Property of Stream Energy Rennsylvania (LLC and is

Submitted Under Seal

A Storthe Pennsylvania Rublic Utility Commission

# Exhibit 'G'

to the Application of

STREAM ENERGY PENNSYLVANIA, LLC

before the

PENNSYLVANIA PUBLIC UTILITY COMMISSION

to

•

Offer, Render, Furnish or Supply Natural Gas

to the Public in the

Commonwealth of Pennsylvania

۰,

Information Contained (in this (exhibit G is Confidential Proprietary and the Property of Stream Energy Pennsylvania use and is <u>Subminted Whiterseal</u> to the Pennsylvania Public Utility Commission

# BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

1

Application of Stream Energy Pennsylvania, LLC Docket No. A-2012-\_\_\_\_\_

# **CERTIFICATE OF SERVICE**

I hereby certify that this day I served a copy of the foregoing Application on the persons

listed below in the manner indicated in accordance with the requirements of 52 Pa. Code Section

1.54.

### VIA FIRST CLASS MAIL

Office of Consumer Advocate 555 Walnut Street 5th Floor, Forum Place Harrisburg, PA 17101

Office of Attorney General Bureau of Consumer Protection Strawberry Squire, 14<sup>th</sup> Floor Harrisburg, PA 17120

Office of Small Business Advocate Commerce Bldg., Suite 1102 300 North Second Street Harrisburg, PA 17101 Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Commonwealth of Pennsylvania Harrisburg, PA 17128-0946

PECO Carlos Thillet, Manager Gas Supply and Transportation 2301 Market St. S9-2 Philadelphia, PA 19103

Carl R. Shultz, Esquire

SECRETARY'S BUREAU 2012 JUN - 8 PM 1:33

RECEIVED

Date: June 8, 2012