

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Energy Auction Exchange LLC, , for approval to offer, render, furnish, or supply electricity or electric generation services as a(n)Broker/Marketer to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Energy Auction Exchange LLC
3557 W 9800 S STE 250
South Jordan, UT 84095
www.energyaex.com

(801) 826-4880

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

InCorp Services, Inc.
7208 Red Top Road
Hummelstown, PA 17036
Dauphin, County
1-800-246-2677

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Kelly Curtis
3557 West 9800 South
Suite 250
South Jordan, UT 84095
Phone (801) 826-4880
Fax (801) 838-7707
kelly@energyaex.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Christopher Scharman
6995 Union Park STE 400
Salt Lake City, UT 84047
801-748-2200 x 233
801-365-2553
cscharman@tetrafg.com

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- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Gunther Fischli
COO
3557 West 9800 South
Suite 250
South Jordan, UT 84095
Phone (801) 826-4880
Fax (801) 838-7707
gunther@energyaex.com

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CFO
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2. BUSINESS ENTITY FILINGS AND REGISTRATION

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**
(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

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- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

X The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

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3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Its founders (Kelly Curtis and Gunther Fischli) are also principals at Discovery Energy Utility Audits; which is a utility bill auditing company also based in Utah.

Energy AEX is the proposed energy procurement arm of Discovery Energy, a utility bill audit firm. Discovery Energy has been in the utility bill auditing business for over 10 years, performing audits on thousands of electricity and natural gas accounts.

Energy AEX will be a new organization focused on bringing our procurement customers a process in which they will experience supplier competition and efficient operations. Neither Discovery Energy or Energy AEX are jurisdictional public utilities.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Energy AEX has not had any predecessors that have done business within the preceding 5 years.

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

- The Applicant is presently doing business in Pennsylvania as a
- municipal electric corporation
 - electric cooperative
 - local gas distribution company
 - provider of electric generation, transmission or distribution services
 - Other; Identify the nature of service being rendered.

OR

- The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
- Electric Cooperative and supplier of electric power
- Other (Describe):

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Energy AEX is the proposed energy procurement arm of Discovery Energy, a nationwide utility bill audit firm. Discovery Energy has been in the utility bill auditing business for over 10 years, performing audits on thousands of electricity and natural gas accounts.

Energy AEX will be a new organization focused on bringing our procurement customers a process in which they will experience supplier competition and efficient operations

The founding of Energy AEX was inspired by doing things better. Currently the vast majority of energy procurement is done by entering into a supply contract directly with an energy supplier or indirectly through an energy consultant who presents a single supplier.

The goal of Energy AEX is to offer our customers a process that takes advantage of competitive bidding. Every customer will witness directly which suppliers are bidding for their account. The advantage of a transparent bidding process the customer is assured everything has been done to get the most suppliers to "compete" to win his account and a level playing field was created without any preference towards one supplier.

d. **PROPOSED SERVICE AREA:** Provide a list of each Electric Distribution Company for which the Applicant proposes to provide service.

Allegheny Power
Citizens' Electric Company
Duquesne Light
Met-Ed
Penelec
Penn Power
PECO
PPL
UGI

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):

f. **PROPOSED MARKETING METHOD** (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

g. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

Yes
 No

If yes, will the Applicant be using a Third Party Verification procedure?

Yes
 No

If yes, describe the Applicant's Third Party Verification procedures.

- h. **START DATE:** Provide the approximate date the Applicant proposes to begin services within the Commonwealth.

August 1, 2012

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Energy AEX has not been a defendant of a criminal or civil proceeding within the last 5 years

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

N/A – No actions

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

6. PROOF OF SERVICE

(Example Certificate of Service is attached at Appendix C)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

- b.) **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Allegheny Power:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Met-Ed, Penelec, and Penn Power:

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

Citizens' Electric Company:

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

UGI:

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Pike County Light & Power Company:

Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

Furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.

Furnishing the **original** (along with copies) of another initial security for Commission approval, to ensure financial responsibility.

X Filing for a modification to the \$250,000 requirement and furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

- *At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.*

- *Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.*

- *Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.*

Energy Auction Exchange will not take title to electricity, nor will it pay electricity bills on behalf of its customers. It will only engage in brokering clients to licensed suppliers of energy.

b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

Energy AEX is a new organization. Since it is new (target start date July 2012), we have attached forecasted financials that were prepared for this application. In addition we have attached the bank statement for Energy AEX. We do have a credit rating from any agency.

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Kelly Curtis
CFO
3557 W 9800 S STE 250
South Jordan, UT 84095
801-826-4891 O
801-838-7707 F
Kelly@energyaex.com

d. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a.) **EXPERIENCE, PLAN, STRUCTURE:** such information may include: **Attached**

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.

- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

b.) OFFICERS: Identify Applicant's chief officers including names and their professional resumes.

Kelly Curtis

Director

Discovery Energy Utility Audits

Privately Held; 11-50 employees; Accounting industry

October 2009 – Present (2 years 7 months)

Discovery Energy is a utility auditing firm. We audit billing practices, consumption rates, classification schedules, and all charges from utility providers to recover overcharges and reduce ongoing utility expenses. Most services are provided on a contingency basis, charging only a percentage of funds recovered or savings recognized.

Interpreter – Slovak

LDS Church

Nonprofit; 5001-10,000 employees; Religious Institutions industry

October 2004 – Present (7 years 7 months)

Coordinate the interpretation services for church assignments into the Slovak language

President & CFO

Construction Capital Source

Privately Held; 1-10 employees; Financial Services industry

August 2003 – Present (8 years 9 months)

Construction Capital Source is a construction lender serving the Utah and Idaho residential construction markets. We lend on new build, rehab/additions, half-built projects, and owner/builder projects. Disbursements are done locally, and CCS has an excellent reputation for construction loan servicing work.

Owner/CFO

Sun Peak Financial

June 2001 – December 2003 (2 years 7 months)

Retail mortgage company with focus on construction lending

Gunther Fischli

Director

Discovery Energy Utility Audits

Privately Held; 11-50 employees; Accounting industry

2009 – Present (3 years)

Discovery Energy Utility Audits is a utility auditing firm. We audit billing practices, consumption rates, classification schedules, and all charges from utility providers to recover overcharges and reduce ongoing utility expenses. Most services are provided on a contingency basis, charging only a percentage of funds recovered or savings recognized.

www.deaudits.com

Chief Executive Officer

Construction Capital Source

Privately Held; 1-10 employees; Financial Services industry

2003 – Present (9 years)

- Founded a Construction Loan Management Company with footprint in Utah, Idaho, Colorado and Arizona. Developed the strategic plan that positioned the company as the largest non-bank construction lender in the Intermountain West, with annual revenues of over \$2 Million and a servicing portfolio of over \$100 Million.

- Managed all marketing functions, including advertisement, branding and sales presentations in mortgage trade associations. Worked closely with account reps to maximize new relationship/repeat business ratios, set production goals and create unique marketing strategies tailored to the needs of each specific market.

- Performed employee recruiting functions in association with the opening of the Boise, Denver and Phoenix offices. Also performed periodic HR skill based analysis companywide in order to maximize productivity and employee contribution.

- Implemented strategic maps that incorporated current efforts into long term goals. As result of such efforts CCS maintained a good balance of innovation in product development and portfolio risk, while respecting the brand placement as a high performance specialty lender.

- Architect of the ConstructionLynx™ software platform, a proprietary application that integrates all areas of construction lending. By providing instant feedback on Marketing, Underwriting, Servicing and Quality Control, the platform brings a level of controlled scalability unseen in the industry.

• Managed the growth of the Information Systems department from basic support to 4 employees at the start of the company to a robust and redundant system that integrated all locations in a virtual network that included paperless document tracking, digital phone systems and real time data sharing between all departments.

Founder and Director

Amplus Bank

2007 – 2009 (2 years)

- Recruited and hired management team with substantial banking experience in order to obtain approval to start operations of the bank.
- Assembled a board of directors with representatives from significant industries in the community.
- Raised seed money capital required to procure the approval of Amplus Bank.
- Worked with the proposed bank's management team to develop a business plan for the operations of the bank.

Chief Operations Officer

Thermal Technologies

2007 – 2007 (less than a year)

As a company shareholder at the time, I was part of the team that managed the transition of this company from a very small local operation with product prototypes to becoming a national distribution company.

My responsibilities included:

- Marketing functions that included the creation of the "corporate image" which included renaming the company, creating logos and the uniform visual art common to the website, sales literature and training materials. I also designed the storyboards used to create the animations that explain the product on the website.
- Financial functions that included creation of pricing models, accounting practices and inventory management.

www.tpanels.com

Chief Executive Officer

Sun Peak Financial

2001 – 2003 (2 years)

- Co-founded Mortgage Company with niche in lending to custom home builders and owner-occupied construction loan borrowers.
- Established relationships with home builders based on a reputation of efficiency and results, which resulted in sustained growth in loan production.
- Developed marketing strategies responsible for new client acquisition. Created targeted marketing efforts directed specially to borrowers in the process of building their custom home, which led to the establishment of a brand and reputation for quality in construction lending in the market.
- Managed construction loan maturity *timelines* with builders to ensure that once the homes under construction were finished, the rollover into long term was seamless.
- Supervised quality control of the processing department in order to ensure compliance to company policy and positive customer experience.

c.) FERC FILING: Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- Not applicable

9. DISCLOSURE STATEMENT:

a. **Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

N/A

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.

AGREED

c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.

- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

 AGREED

- d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

 AGREED

- e. **ASSESSMENT:** The Commission does not presently assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see *PPL Energyplus, LLC v. Commonwealth*, 800 A.2d 360 (Pa. Cmwlth. 2002).

 ACKNOWLEDGED

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

 AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

 AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

 AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

 AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at appendix J.

 AGREED

- k. **FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

 PAYMENT ENCLOSED

11. AFFIDAVITS

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	<u>Duquesne</u>	<u>Met Ed</u>	<u>PECO</u>	<u>Penelec</u>	<u>Penn Power</u>	<u>PPL</u>	<u>UGI</u>	<u>West Penn</u>	<u>Entire Commonwealth</u>
Philadelphia Daily News		X	X			X			X
Harrisburg Patriot-News		X		X		X		X	X
Scranton Times Tribune		X		X		X	X		X
Williamsport Sun Gazette				X		X		X	X
Johnstown Tribune Democrat				X				X	X
Erie Times-News				X	X				X
Pittsburgh Post-Gazette	X				X			X	X

(Example Publication is provided at Appendix H)

13. SIGNATURE

Applicant: Energy Auction Exchange, LLC
 By: [Signature] Kelly Curtis
 Title: CEO

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: Energy Auction Exchange, LLC

Applicant's Use	✓	Signature	PUC Secretary's Bureau Use
	✓	Filing Fee	
	✓	Application Affidavit	

✓	Operations Affidavit	
✓	Proof of Publication	
✓	Bond or Letter of Credit	
✓	Tax Certification Statement	
✓	Commonwealth Department of State Verification	
✓	Certificate of Service	

RECEIVED
 JUN 29 2012
 PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU

Appendix A
APPLICATION AFFIDAVIT

State of Utah :

: ss.

County of Salt Lake :

Kelly Curtis, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the CEO (Office of Affiant) of Energy Auction Exchange, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Energy Auction Exchange Kelly Curtis has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Kelly Curtis ^{Energy Auction Exchange} has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

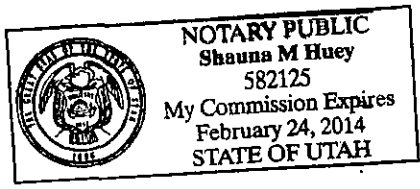
That the Applicant herein Kelly Curtis ^{Energy Auction Exchange} acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Kelly Curtis ^{Energy Auction Exchange} acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Signature]
Signature of Affiant

Sworn and subscribed before me this 26 day of June, 2012.



[Signature]
Signature of official administering oath

My commission expires 2/24/2014

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JUN 29 2012
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Utah :

: ss.

County of Salt Lake :

Kelly Curtis, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the CFO (Office of Affiant) of Energy Auction Exchange, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Kelly Curtis, Energy Auction Exchange, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Kelly Curtis, Energy Auction Exchange, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Kelly Curtis, Energy Auction Exchange, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

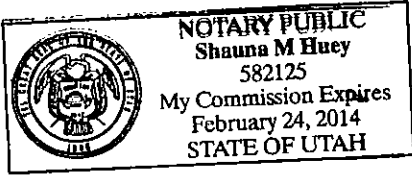
That Kelly Curtis, Energy Auction Exchange, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

[Signature]
Signature of Affiant

Sworn and subscribed before me this 26 day of June, 2012.



[Signature]
Signature of official administering oath

My commission expires 2/24/2014.

Appendix C

Example
CERTIFICATE OF SERVICE

On this the 1 day of July 2012 I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

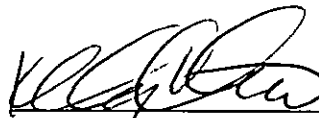
Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

RECEIVED

JUN 29 2012

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



Kelly Curtis, CFO, Energy Auction Exchange, LLC

COMMONWEALTH OF PENNSYLVANIA PUBLIC UTILITY COMMISSION

TAX CERTIFICATION STATEMENT

JUN 29 2012

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 8 1/2" x 11" paper. Type or print all information requested.

1. CORPORATE OR APPLICANT NAME Energy Auction Exchange dba Energy AEX
2. BUSINESS PHONE NO. (801)826-4880 CONTACT PERSON(S) FOR TAX ACCOUNTS: Kelly Curtis

3. TRADE/FICTITIOUS NAME (IF ANY)

4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BOX NO.) (POST OFFICE) STATE (ZIP) 3557 West 9800 South Suite 250, South Jordan, UT 84095

5. TYPE OF ENTITY [X] SOLE PROPRIETOR [] PARTNERSHIP [] CORPORATION [] OTHER (Describe...)

6. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICERS(S)

Table with 2 columns: NAME (PRINT) and SOCIAL SECURITY NUMBER (OPTIONAL). Rows include Gunther Fischli and Kelly Curtis.

7. LIST THE FOLLOWING STATE & FEDERAL TAX IDENTIFICATION NUMBERS (ALL ITEMS A,B, & C MUST BE COMPLETED) Applicant must provide explanation if submitting N/A for any items

Item A - Designated by the Pennsylvania Department of Revenue. Item B - Designated by the Internal Revenue Service. Item C - Designated by the Pennsylvania Department of Revenue. The Corporate Box number may also be referred to as the Corporate Account number.

A. SALES TAX LICENSE (8 DIGITS) APPLICATION PENDING N/A [] [X]
C. CORPORATE BOX NUMBER (7 DIGITS) APPLICATION PENDING N/A [] [X]

B. EMPLOYER ID (EIN) (9 DIGITS): APPLICATION PENDING N/A [] [X]

8. Do you have PA employees; resident or non-resident? [] YES [X] NO
9. Do you own any assets or have an office in PA? [] YES [X] NO

NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS

Kelly Curtis PA SALES AND USE TAX Kelly Curtis EMPLOYER TAXES Kelly Curtis CORPORATE TAXES

PHONE 801-826-4880 PHONE 801-826-4880 PHONE 801-826-4880

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

June 7, 2012

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA PUBLIC UTILITY COMMISSION
NOTICE
Application of Energy Auction Exchange, LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.
Energy Auction Exchange will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Energy Auction Exchange proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Auction Exchange may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Energy Auction Exchange's attorney at the address listed below.
By and through
Counsel:

THE SUN-G
and certifies

RECEIVED
JUN 29 2012

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me
the 8th day of June 2012
Cathy A. Billey
Notary Public

NOTARIAL SEAL
CATHY A. BILLEY, Notary Public
City of Williamsport, Lycoming County
My Commission Expires May 15, 2015

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:
For publishing the notice attached
hereto on the above state dates.....\$ 288.72
Probated same.....\$
Total.....\$ 288.72

ISSHER'S RECEIPT FOR ADVERTISING COSTS

I hereby acknowledges receipt of the aforesaid advertising and publication costs
been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

ENERGY AUCTION EXCHANGE
KELLY CURTIS
STE 250 3557 W 9800 S
SOUTH JORDAN UT 84095

Account # 563267
Order # 80934060
Ad Price: 237.05

Gina Krushinski

Being duly sworn according to law deposes and says that she is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

06/06/2012

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me
this 6th day of June A.D., 2012

Sharon Venturi
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal

Sharon Venturi, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Feb. 12, 2014

Member, Pennsylvania Association of Notaries

LEGAL NOTICE

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION**
Application of Energy Auction Exchange, LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

Energy Auction Exchange will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power,

(2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Energy Auction Exchange proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Auction Exchange may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Energy Auction Exchange's attorney at the address listed below.

By and through Counsel:
Christopher Scharman
Energy Auction Exchange, LLC
3557 W 9800 S STE. 250
South Jordan, UT 84095
801-828-4891
801-838-7707

The Patriot-News Co.
2020 Technology Pkwy
Suite 300
Mechanicsburg, PA 17050
Inquiries - 717-255-8213

The Patriot-News
Now you know

ENERGY AUCTION EXCHANGE
3557 W 9800 S STE 250

SOUTH JORDAN UT 84095

THE PATRIOT NEWS
THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929
Commonwealth of Pennsylvania, County of Dauphin} ss

Holly Blain, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

This ad # 0002213721 ran on the dates shown below:

June 08, 2012

Sworn to and subscribed before me this 13 day of June, 2012 A.D.

Notary Public

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Sherrie L. Owens, Notary Public
Lower Paxton Twp., Dauphin County
My Commission Expires Nov. 26, 2015
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

2005 FLE
Revo
askg \$12,500, call 717-939-3765
rack, windshield, and mag wheels
passenger seat, back rest, hinges
vance and times short shot pipes.
Black 11,300 miles, super inter
interior.
Call 717-939-3765
The Public In The Commonwealth Of Pennsylvania.
Energy Auction Exchange will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Energy Auction Exchange proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Auction Exchange may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Energy Auction Exchange's attorney at the address listed below.
By and through Counsel:
Christopher Scharman
Energy Auction Exchange, LLC
3557 W 9800 S STE 250
South Jordan, UT
801-826-4871
801-838-7707

COMMONWEALTH OF PENNSYLVANIA }
 County of Cambria } SS

**PENNSYLVANIA
 PUBLIC UTILITY
 COMMISSION
 NOTICE**
 Application of Energy
 Auction Exchange, LLC
 For Approval To Offer,
 Render, Furnish Or Supply
 Electricity Or Electric
 Generation Services As A
 Generator And Supplier Of
 Electric Power, A
 Marketer/Broker Engaged
 In The Business Of
 Supplying Electricity, And
 An Aggregator Engaged In
 The Business Of Supplying
 Electricity, To The Public In
 The Commonwealth Of
 Pennsylvania.

On this 19th day of June A.D. 2012,
 before me, the subscriber, a Notary
 Public in and for said County and
 State, personally appeared
 Christine Marhefka, who being duly
 sworn according to law, deposes and
 says as Classified Advertising
 Manager of the Tribune-Democrat,
 Johnstown, PA, a newspaper of
 general circulation as defined by the
 "Newspaper Advertising Act", a
 merger September 8, 1952, of the
 Johnstown Tribune, established
 December 7, 1853; and of the
 Johnstown Democrat, established
 March 5, 1863,

published continuously at Johnstown Pa. in the County of Cambria, and Commonwealth of Pennsylvania and
 that the annexed is a true copy of a notice in the above matter published in said publication in the regular issues
 of The Johnstown Tribune-Democrat, Johnstown, PA, on June 8, 2012; and that the Affiant is not interested in
 the subject matter of said notice or advertising and that all of the allegations as to time, place and character of
 said publication are true.

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this
 19th day of June, 2012.

Juan Ohs

68 Lines @	\$2.50 per line	170.00
0.00 Inches @	\$25.00 per inch	0.00
Notary Fee		5.00
Clerical Fee		2.50
Total Cost		177.50

COMMONWEALTH OF PENNSYLVANIA
 Notarial Seal
 Vivian Ohs, Notary Public
 City of Johnstown, Cambria County
 My Commission Expires Dec. 6, 2012
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA
 For publishing the notice or publication
 attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
 and publication costs and certifies that the same has been duly paid.

 (Name of Newspaper)

By _____

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss M. Goodwin, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

11 of June, 2012

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

M. Goodwin
PG Publishing Company

Sworn to and subscribed before me this day of:
June 11, 2012

Linda M. Gaertner
COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Linda M. Gaertner, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires Jan. 31, 2015
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

STATEMENT OF ADVERTISING COSTS

Energy Auction Exchange LLC
3557 W 9800 S STE 250
Attn: Kelly Curtis
SOUTH JORDAN UT 84095-5955

To PG Publishing Company

Total ----- \$570.00

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
34 Boulevard of the Allies
PITTSBURGH, PA 15222
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Maria Juarez

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE

Application of Energy Auction Exchange, LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Energy Auction Exchange will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power; (2) a broker/marketer engaged in the business of supplying electricity; and (3) an aggregator engaged in the business of supplying electricity. Energy Auction Exchange proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Auction Exchange may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Energy Auction Exchange's attorney at the address listed below.

By and through
Counsel:
Christopher Scharman
Energy Auction
Exchange, LLC
3557 W 9800 S
STE 250
South Jordan, UT
801-826-4891
801-838-7707

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

ENERGY AUCTION EXCHANGE
3557 W 9800 S SUITE 250
SOUTH JORDAN UT 84095

REFERENCE: L0005611
2058259 PUC NOTICE

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 06/08

TOTAL COST: 294.00 AD SPACE: 7.000 INCH
FILED ON: 06/08/12

**PENNSYLVANIA PUBLIC UTILITY COMMISSION
NOTICE**

Application of Energy Auction Exchange, LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/ Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Auction Exchange may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Energy Auction Exchange's attorney at the address listed below.

By and through Counsel: Christopher Schaman -
Energy Auction Exchange, LLC
3557 W 9800 S STE 250
South Jordan, UT
801-826-4891
801-838-7707

2058259

Sworn to and subscribed before me this

8th day of June 2012 Affiant: Debra McGraw

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2016
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

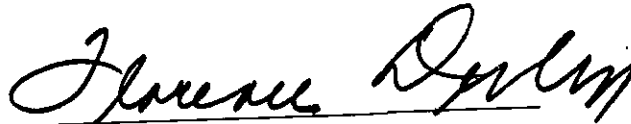
Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

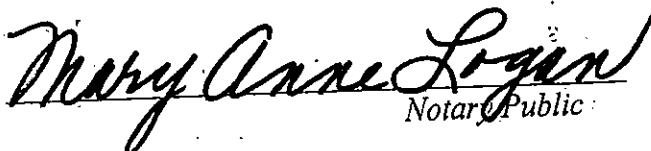
Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

June 7, 2012

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



Sworn to and subscribed before me this 7th day of
June, 2012.



Notary Public

My Commission Expires:

NOTARIAL SEAL
Mary Anne Logan, Notary Public
City of Philadelphia, Phila. County
My Commission Expires 3/30/2013

Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Application of Energy Auction Exchange, LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Energy Auction Exchange will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity.

Energy Auction Exchange proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Auction Exchange may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3285, Harrisburg, PA 17105-3285. You should send copies of any protest to Energy Auction Exchange's attorney at the address listed below.

By and through Counsel: Christopher Scharman
Energy Auction Exchange, LLC
3557 W 9800 S STE 250
South Jordan, UT
801-828-4831
801-838-7797

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
CORPORATION BUREAU
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.STATE.PA.US/CORP

Energy Auction Exchange, LLC

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4113220

RECEIVED
JUN 29 2012
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Curtis, Kelly
3557 West 9800 South, Suite 250
South Jordan, UT 84095

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU

Application for Registration - Foreign
(15 Pa.C.S.)

- Registered Limited Liability General Partnership (§ 8211)
- Registered Limited Liability Limited Partnership (§ 8211)
- Limited Partnership (§ 8582)
- Limited Liability Company (§ 8981)

Name	Kelly Curtis		
Address	3557 W. 9800 S. Ste 250		
City	State	Zip Code	
South Jordan	UT	84095	

Document will be returned to the name and address you enter to the left.
←

Commonwealth of Pennsylvania
APPLICATION FOR REGISTRATION 3 Page(s)



Fee: \$250

In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in this Commonwealth, hereby states that:

1. The name of the limited liability company/limited liability partnership/limited partnership in the jurisdiction in which it is formed:
Energy Auction Exchange, LLC.

2. The name under which the limited liability company/limited liability partnership/limited partnership proposes to register and do business in this Commonwealth is:
Energy Auction Exchange, LLC.

3. The name of the jurisdiction under the laws of which it was organized and the date of its formation:
Jurisdiction: Utah Date of Formation: 4/10/12

4. The (a) address of its initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street	City	State	Zip	County
(b) Name of Commercial Registered Office Provider: <u>InCorp Services, Inc.</u>				County: <u>Dauphin County</u>

Dept. of State
JUN 04 2012

MAY 29, 2012

5. Check and complete one of the following:

The address of the office required to be maintained by it in the jurisdiction of its organization by the laws of that jurisdiction is:

3557 W. 9800 S. Ste 250 South Jordan Utah 84095
Number and street City State Zip

It is not required by the laws of its jurisdiction of organization to maintain an office therein and the address of its principal office is:

Number and street City State Zip

6. ~~For Restricted Professional Limited Liability Company Only. Strike out if inapplicable.~~ The company is a restricted professional company organized to render the following professional service(s):

Limited Liability Partnership and Limited Partnership: Complete paragraphs 7 and 8

7. The name and business address of each general partner.

Name Business Address

N/A

8. The address of the office at which is kept a list of the names and addresses of the limited partners and their capital contribution is:

N/A

Number and street City State Zip County

The registered partnership hereby undertakes to keep those records until its registration to do business in the Commonwealth is canceled or withdrawn.

IN TESTIMONY WHEREOF, the undersigned has caused this Application for Registration to be signed by a duly authorized officer/member or manager thereof this

29 day of May, 2012

Energy Auction Exchange, LLC
Name of Partnership/Company

[Signature]
Signature

Manager
Title

MAY 29, 2012

RECEIVED

APR 10 2012

Utah Div. Of Corp. & Comm. Code

**ARTICLES OF ORGANIZATION
OF
ENERGY AUCTION EXCHANGE, LLC**

The undersigned, by executing and delivering to the Division of Corporations and Commercial Code of the Department of Commerce of the State of Utah the following Articles of Organization, hereby forms a Utah limited liability company in accordance with the Utah Revised Limited Liability Company Act, as amended, U.C.A. § 48-2c-101 *et seq.* (the "Act"):

**ARTICLE I
NAME**

The name of the limited liability company is "Energy Auction Exchange, LLC" (the "Company").

**ARTICLE II
DURATION**

The period of the Company's duration will be ninety-nine (99) years, unless earlier dissolved and terminated pursuant to the provisions of the Act or the Company's Operating Agreement.

**ARTICLE III
BUSINESS PURPOSE**

The Company is organized to, and may, conduct any and all legal and lawful business purposes for which a limited liability company may be formed pursuant to the Act, subject to the terms, requirements, restrictions and limitations set forth in the Company's Operating Agreement, as in effect from time to time.

**ARTICLE IV
REGISTERED AGENT**

The name and address of the Company's initial registered agent is Christopher Scharman, 3165 E. Millrock Dr. Suite 400, Salt Lake City, Utah, 84121.

**ARTICLE V
DESIGNATED OFFICE**

The street address of the Company's designated office is 3557 West 9800 South Suite 250, South Jordan, Utah, 84095.

04-10-12P04:27 RCVD

Date: 04/10/2012
Receipt Number: 3904087
Amount Paid: \$70.00

**ARTICLE VI
DESIGNATION OF DIRECTOR AS AGENT**

The Director of the Division of Corporations and Commercial Code is appointed as the registered agent of the Company for service of process if the Company's registered agent has resigned, such registered agent's authority has been revoked, or such registered agent cannot be found or served with the exercise of reasonable diligence.

**ARTICLE VII
MANAGEMENT BY MANAGERS**

The Company is to be managed by one or more managers. The name of the initial manager is Gunther Fischli. The address of each initial manager of the Company is 3557 West 9800 South Suite 250, South Jordan, Utah, 84095.

**ARTICLE VIII
LIMITATION OF LIABILITY; INDEMNIFICATION**


Except as set forth in the Company's Operating Agreement, the personal liability of the managers and officers of the Company to the Company or its members, and as to any third person, will be eliminated or limited to the fullest extent permitted by the Act or any other applicable law as now in effect or as it may hereafter be amended.

Except as set forth in the Company's Operating Agreement, the Company will indemnify and advance expenses to its managers and officers (and their respective estates or personal representatives) to the fullest extent permitted by the Act or any other applicable law as now in effect or as it may hereafter be amended.

Any repeal or modification of this Article VIII or the Company's Operating Agreement will not adversely affect any right or protection of any person existing at the time of such repeal or modification.

IN WITNESS WHEREOF, the undersigned has executed these Articles of Organization effective as of April 10, 2012.

Manager

By: 
Gunther Fischli

TECHNICAL FITNESS

For Energy Auction Exchange technical qualifications, systems and procedures are of paramount importance since we will be dealing with contracts and the ability to aggregate large number of customers. Coming from both a banking/finance background (which required top notch compliance procedures and technology) and principals of a energy bill auditing firm (Discovery Energy) that has conducted over 10,000 audits; Mr. Fischli and Mr. Curtis have the experience and staffing to make the operation processes air tight.

Energy Auction Exchange will have two platforms for use in the energy procurement side. The platforms will manage the entire process of energy procurement from sales through operations and contract management. We are positive that very few energy procurement brokers have the level of systems, processes, and organization that Energy Auction Exchange will have. The culture of utility bill auditing is one of accuracy, transparency, and advocating the client's best interests. Energy Auction Exchange will run the energy procurement side in that culture, with the same objectives as Discovery Energy.

+ Discovery has a centralized processing office for all contracts. Energy Auction Exchange will utilize the same processes with its contract management, in addition to tracking the contract implementation process, credit process, and monitoring the ongoing contract terms.

+ Discovery utilizes two software platforms to manage its processes:

States-Info Database – this database stores information that differs from state to state, and from supplier to supplier, such as documentation requirements, regulation, and specific processes that can differ from area to area.

Solve360 – this software is a collaboration platform that Discovery has customized to fit the audit process for energy. This allows for a central place for all the documentation, communication, tasks, and business objectives to be tracked and stored in one central place. This allows all personnel to collaborate and track the same client, and receive updates on important data in real time. It also helps management track any work performed on the client's behalf.

Additional Information on attached business plan

ZIONS BANK®

P.O. Box 30709, Salt Lake City, UT 84130-0709

Statement of Accounts

Page 1 of 3

This Statement: May 31, 2012

Last Statement: April 30, 2012

Primary Account 477014419

0015422 01 AV 0.347 **AUTO T5 0 1553 84095-595550 P15437 05-0000-ZFN-PG0023-00002

ENERGY AUCTION EXCHANGE LLC
KELLY R CURTIS
GUNTHER FISCHLI
ROBERT J DEUBERRY
3557 W 9800 S STE 250
SOUTH JORDAN UT 84095-5955



For 24-hour account information, please contact:

1-800-789-BANK (2265)

zionsbank.com



WE HAVEN'T FORGOTTEN WHO KEEPS US IN BUSINESS. ®

SUMMARY OF ACCOUNT BALANCE

Account Type	Account Number	Checking/Savings Ending Balance	Outstanding Balances Owed
Free Business Checking		\$14,000.00	

FREE BUSINESS CHECKING 477014419

107 2

Previous Balance	Deposits/Credits	Charges/Debits	Checks Processed	Ending Balance
15,000.00	0.00	0.00	1,000.00	14,000.00

0 DEPOSITS/CREDITS

There were no transactions this period.

0 CHARGES/DEBITS

There were no transactions this period.

2 CHECKS PROCESSED

Number	Date	Amount	Number	Date	Amount
0	05/15	500.00	0*	05/15	500.00

* Not in check sequence

AGGREGATE OVERDRAFT AND RETURNED ITEM FEES

	Total for This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

To learn more about our other products and services that may lower the cost of managing account overdrafts or to discuss removing overdraft coverage from your account, please contact Customer Service or visit your local branch.

DAILY BALANCES

Date	Balance
05/15	14,000.00



MEMBER FDIC

0015422-0000002-0029653

Energy AEX

Company Overview

Energy AEX is the proposed energy procurement arm of Discovery Energy, a nationwide utility bill audit firm. Discovery Energy has been in the utility bill auditing business for over 10 years, performing audits on thousands of electricity and natural gas accounts all across the United States.

Energy AEX will be a new organization focused on bringing our procurement customers a process in which they will experience supplier competition and efficient operations

The founding of Energy AEX was inspired by doing thing better. Currently the vast majority of energy procurement is done by entering into a supply contract directly with an energy supplier or indirectly through an energy consultant who presents a single supplier.

The goal of Energy AEX is to offer our customers a process that takes advantage of competitive bidding. Every customer will witness directly which suppliers are bidding for their account. The advantage of a transparent bidding process the customer is assured everything has been done to get the most suppliers to "compete" to win his account and a level playing field was created without any preference towards one supplier.

Management Team

Kelly Curtis

Director

Discovery Energy Utility Audits

Privately Held; 11-50 employees; Accounting industry

October 2009 – Present (2 years 7 months)

Discovery Energy is a utility auditing firm. We audit billing practices, consumption rates, classification schedules, and all charges from utility providers to recover overcharges and reduce ongoing utility expenses. Most services are provided on a contingency basis, charging only a percentage of funds recovered or savings recognized.

Interpreter – Slovak

LDS Church

Nonprofit; 5001-10,000 employees; Religious Institutions industry

October 2004 – Present (7 years 7 months)

Coordinate the interpretation services for church assignments into the Slovak language

President & CFO

Construction Capital Source

Privately Held; 1-10 employees; Financial Services industry

August 2003 – Present (8 years 9 months)

Energy AEX

Construction Capital Source is a construction lender serving the Utah and Idaho residential construction markets. We lend on new build, rehab/additions, half-built projects, and owner/builder projects. Disbursements are done locally, and CCS has an excellent reputation for construction loan servicing work.

Owner/CFO

Sun Peak Financial

June 2001 – December 2003 (2 years 7 months)

Retail mortgage company with focus on construction lending

Gunther Fischli

Director

Discovery Energy Utility Audits

Privately Held; 11-50 employees; Accounting industry

2009 – Present (3 years)

Discovery Energy Utility Audits is a utility auditing firm. We audit billing practices, consumption rates, classification schedules, and all charges from utility providers to recover overcharges and reduce ongoing utility expenses. Most services are provided on a contingency basis, charging only a percentage of funds recovered or savings recognized.
www.deaudits.com

Chief Executive Officer

Construction Capital Source

Privately Held; 1-10 employees; Financial Services industry

2003 – Present (9 years)

- Founded a Construction Loan Management Company with footprint in Utah, Idaho, Colorado and Arizona. Developed the strategic plan that positioned the company as the largest non-bank construction lender in the Intermountain West, with annual revenues of over \$2 Million and a servicing portfolio of over \$100 Million.
- Managed all marketing functions, including advertisement, branding and sales presentations in mortgage trade associations. Worked closely with account reps to maximize new relationship/repeat business ratios, set production goals and create unique

Energy AEX

marketing strategies tailored to the needs of each specific market.

- Performed employee recruiting functions in association with the opening of the Boise, Denver and Phoenix offices. Also performed periodic HR skill based analysis companywide in order to maximize productivity and employee contribution.
- Implemented strategic maps that incorporated current efforts into long term goals. As result of such efforts CCS maintained a good balance of innovation in product development and portfolio risk, while respecting the brand placement as a high performance specialty lender.
- Architect of the ConstructionLynx™ software platform, a proprietary application that integrates all areas of construction lending. By providing instant feedback on Marketing, Underwriting, Servicing and Quality Control, the platform brings a level of controlled scalability unseen in the industry.
- Managed the growth of the Information Systems department from basic support to 4 employees at the start of the company to a robust and redundant system that integrated all locations in a virtual network that included paperless document tracking, digital phone systems and real time data sharing between all departments.

Founder and Director

Amplus Bank

2007 – 2009 (2 years)

- Recruited and hired management team with substantial banking experience in order to obtain approval to start operations of the bank.
- Assembled a board of directors with representatives from significant industries in the community.
- Raised seed money capital required to procure the approval of Amplus Bank.
- Worked with the proposed bank's management team to develop a business plan for the operations of the bank.

Chief Operations Officer

Thermal Technologies

2007 – 2007 (less than a year)

As a company shareholder at the time, I was part of the team that managed the transition of this company from a very small local operation with product prototypes to becoming a national distribution company.

My responsibilities included:

- Marketing functions that included the creation of the "corporate image" which included renaming the company, creating logos and the uniform visual art common to the website, sales literature and training materials. I also designed the storyboards used to create the animations that explain the product on the website.
 - Financial functions that included creation of pricing models, accounting practices and inventory management.
- www.tpanels.com

Chief Executive Officer

Sun Peak Financial

2001 – 2003 (2 years)

- Co-founded Mortgage Company with niche in lending to custom home builders and owner-occupied construction loan borrowers.
- Established relationships with home builders based on a reputation of efficiency and results, which resulted in sustained growth in loan production.
- Developed marketing strategies responsible for new client acquisition. Created targeted marketing efforts directed specially to borrowers in the process of building their custom home, which led to the establishment of a brand and reputation for quality in construction lending in the market.
- Managed construction loan maturity timelines with builders to ensure that once the homes under construction were finished, the rollover into long term was seamless.
- Supervised quality control of the processing department in order to ensure compliance to company policy and positive customer experience.

Products and Services

Products and Services

"The Energy Exchange"

The core of what Energy AEX will offer to its customers is the ability to participate in a competitive bidding process for their supply account. Simply the most powerful way to procure energy

For the Buyer:

Since energy is a true commodity the only thing that matters from the buyers prospective is who can get me the best contract terms at the best price. Current data shows an auction can bring down the cost as much as 15% to 20%.

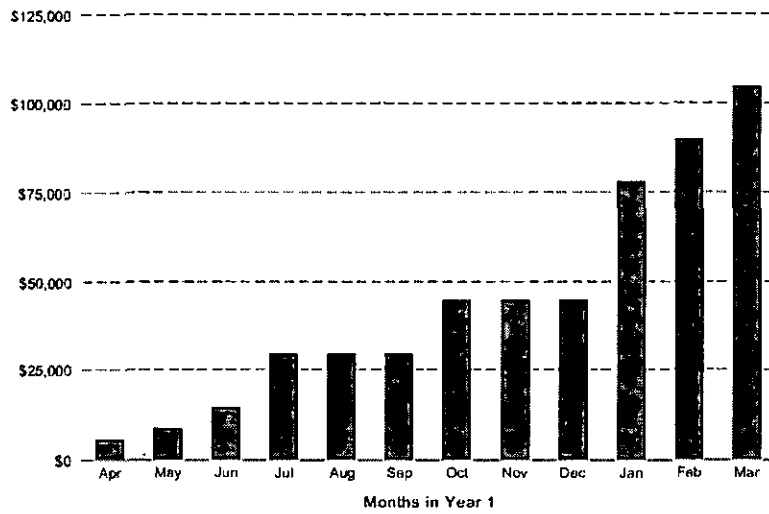
1. By utilizing a competitive bidding platform, you are able to push to true market prices on any given day and accomplish this in the most transparent manner possible for the buyer.
2. This is really the only transparent effective way to keep your current supplier "honest" and force them to compete for your business every cycle your contract is up.
3. By buyer witnessing the auction they are assured everything has been done to get the most suppliers to "compete" to win his account and a level playing field was created without any preference towards one supplier.
4. Buyer can set contract terms *before* procurement process has begun rather than *after* a price was received from a supplier. Energy Auction Exchange "project manager" will guide customer with contract review and negotiation.
5. The platform has is the flexibility to customize the bidding process depending on the customer needs.
6. Customer will be assigned a "project manger" to assist with every auction who can guide company with contract terms etc..

Financial Plan

Sales Forecast Table

	FY2013	FY2014	FY2015
Unit Sales			
Energy Indirect Exchange	145	250	350
Energy Direct Exchange	31	100	200
Price Per Unit			
Energy Indirect Exchange	\$3,000	\$3,000	\$3,000
Energy Direct Exchange	\$3,000	\$3,000	\$3,000
Sales			
Energy Indirect Exchange	\$435,000	\$750,000	\$1,050,000
Energy Direct Exchange	\$93,000	\$300,000	\$600,000
Total Sales	\$528,000	\$1,050,000	\$1,650,000
Direct Cost Per Unit			
Energy Indirect Exchange	\$1,800	\$1,800	\$1,800
Energy Direct Exchange	\$900	\$900	\$900
Direct Cost			
Energy Indirect Exchange	\$261,000	\$450,000	\$630,000
Energy Direct Exchange	\$27,900	\$90,000	\$180,000
Total Direct Cost	\$288,900	\$540,000	\$810,000
Gross Margin	\$239,100	\$510,000	\$840,000
Gross Margin %	45%	49%	51%

Sales by Month



Personnel Plan

Personnel Table

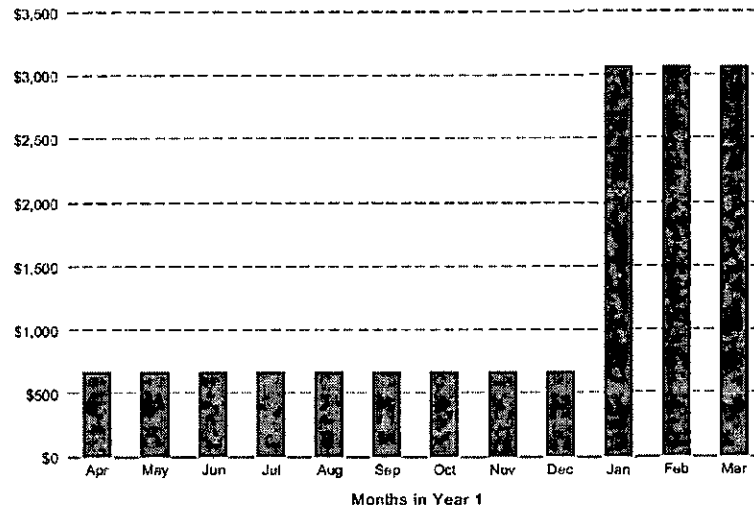
	FY2013	FY2014	FY2015
New Employee	\$6,000	\$24,000	\$24,000
Total	\$6,000	\$24,000	\$24,000

Budget

Budget Table

	FY2013	FY2014	FY2015
Expenses			
Salary	\$6,000	\$24,000	\$24,000
Employee Related Expenses	\$1,200	\$4,800	\$4,800
Marketing & Promotion	\$5,000	\$5,000	\$5,000
Rent	\$0	\$12,000	\$12,000
Utilities	\$0	\$3,600	\$3,600
Office Supplies	\$1,200	\$2,400	\$2,400
Insurance	\$1,800	\$1,800	\$1,800
Total Expenses	\$15,200	\$53,600	\$53,600

Expenses by Month

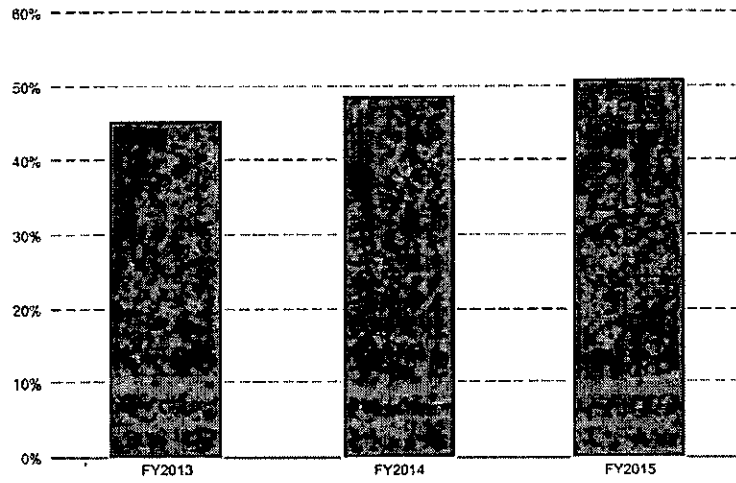


Financial Statements

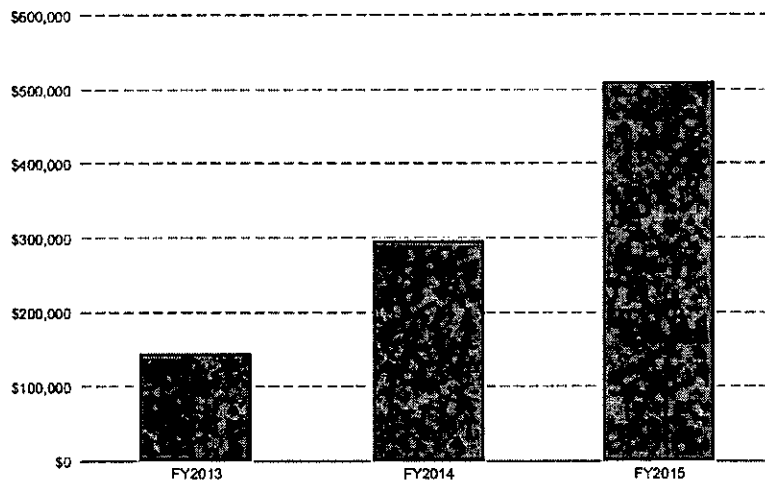
Projected Profit and Loss Statement

	FY2013	FY2014	FY2015
Income	\$528,000	\$1,050,000	\$1,650,000
Direct Cost	\$288,900	\$540,000	\$810,000
Gross Margin	\$239,100	\$510,000	\$840,000
Gross Margin %	45%	49%	51%
Expenses			
Salary	\$6,000	\$24,000	\$24,000
Employee Related Expenses	\$1,200	\$4,800	\$4,800
Marketing & Promotion	\$5,000	\$5,000	\$5,000
Rent	\$0	\$12,000	\$12,000
Utilities	\$0	\$3,600	\$3,600
Office Supplies	\$1,200	\$2,400	\$2,400
Insurance	\$1,800	\$1,800	\$1,800
Total Expenses	\$15,200	\$53,600	\$53,600
Operating Income	\$223,900	\$456,400	\$786,400
Interest Incurred	\$0	\$0	\$0
Depreciation and Amortization	\$0	\$0	\$0
Income Taxes	\$78,365	\$159,740	\$275,240
Net Profit	\$145,535	\$296,660	\$511,160
Net Profit/ Sales	28%	28%	31%

Gross Margin by Year



Net Profit (or Loss) by Year



About the Projected Profit and Loss Statement

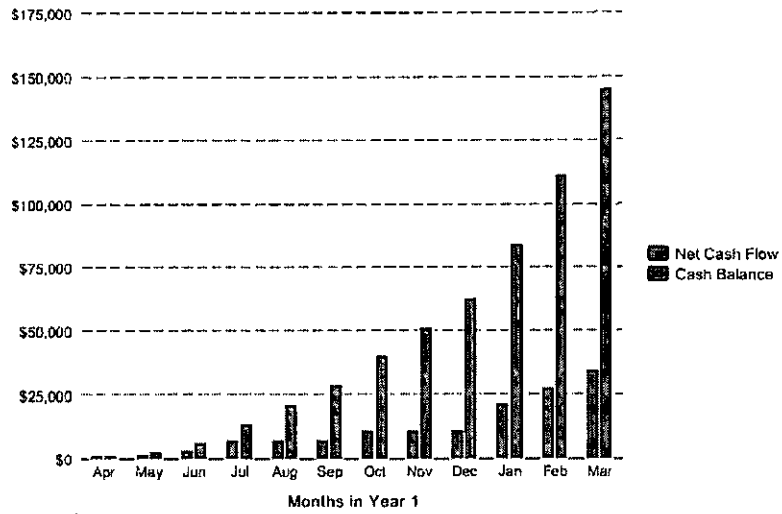
Balance Sheet

As of Period's End	FY2013	FY2014	FY2015
Cash	\$145,535	\$442,195	\$953,355
Accounts Receivable	\$0	\$0	\$0
Inventory	\$0	\$0	\$0
Total Current Assets	\$145,535	\$442,195	\$953,355
Long-Term Assets	\$0	\$0	\$0
Accumulated Depreciation	\$0	\$0	\$0
Total Long-Term Assets	\$0	\$0	\$0
Total Assets	\$145,535	\$442,195	\$953,355
Accounts Payable	\$0	\$0	\$0
Sales Taxes Payable	\$0	\$0	\$0
Short-Term Debt	\$0	\$0	\$0
Total Current Liabilities	\$0	\$0	\$0
Long-Term Debt	\$0	\$0	\$0
Total Liabilities	\$0	\$0	\$0
Paid-In Capital	\$0	\$0	\$0
Retained Earnings	\$0	\$145,535	\$442,195
Earnings	\$145,535	\$296,660	\$511,160
Total Owner's Equity	\$145,535	\$442,195	\$953,355
Total Liabilities & Equity	\$145,535	\$442,195	\$953,355

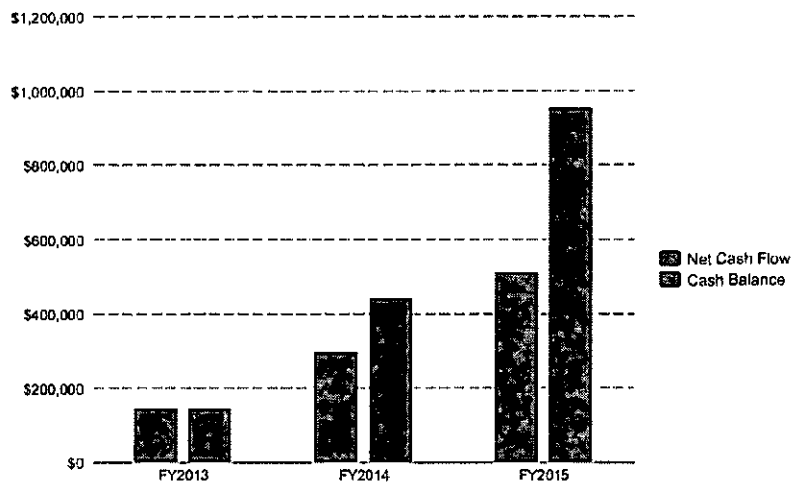
Cash Flow Statement

	FY2013	FY2014	FY2015
Operations			
Net Profit	\$145,535	\$296,660	\$511,160
Depreciation and Amortization	\$0	\$0	\$0
Decrease in Accounts Receivable	\$0	\$0	\$0
Decrease in Inventory	\$0	\$0	\$0
Increase in Accounts Payable	\$0	\$0	\$0
Increase in Sales Taxes Payable	\$0	\$0	\$0
Net Cash Flow from Operations	\$145,535	\$296,660	\$511,160
Investing & Financing			
Assets Purchased	\$0	\$0	\$0
Investments Received	\$0	\$0	\$0
Increase in Short-Term Debt	\$0	\$0	\$0
Increase in Long-Term Debt	\$0	\$0	\$0
Net Cash Flow from Investing & Financing	\$0	\$0	\$0
Cash at Beginning of Period	\$0	\$145,535	\$442,195
Net Increase in Cash	\$145,535	\$296,660	\$511,160
Cash at End of Period	\$145,535	\$442,195	\$953,355

Cash Flow by Month



Cash Flow by Year



Appendix

Monthly Financial Details

Sales Forecast Table (With Monthly Detail)

FY2013	Apr '12	May '12	Jun '12	Jul '12	Aug '12	Sep '12	Oct '12	Nov '12	Dec '12	Jan '13	Feb '13	Mar '13
Unit Sales												
Energy Indirect Exchange	2	3	5	10	10	10	15	15	15	20	20	20
Energy Direct Exchange	0	0	0	0	0	0	0	0	0	6	10	15
Price Per Unit												
Energy Indirect Exchange	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000
Energy Direct Exchange	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000
Sales												
Energy Indirect Exchange	\$6,000	\$9,000	\$15,000	\$30,000	\$30,000	\$30,000	\$45,000	\$45,000	\$45,000	\$60,000	\$60,000	\$60,000
Energy Direct Exchange	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$18,000	\$30,000	\$45,000
Total Sales	\$6,000	\$9,000	\$15,000	\$30,000	\$30,000	\$30,000	\$45,000	\$45,000	\$45,000	\$78,000	\$90,000	\$105,000
Direct Cost Per Unit												
Energy Indirect Exchange	\$1,800	\$1,800	\$1,800	\$1,800	\$1,800	\$1,800	\$1,800	\$1,800	\$1,800	\$1,800	\$1,800	\$1,800

Energy AEX

Energy Direct Exchange	\$900	\$900	\$900	\$900	\$900	\$900	\$900	\$900	\$900	\$900	\$900	\$900
Direct Cost												
Energy Indirect Exchange	\$3,600	\$5,400	\$9,000	\$18,000	\$18,000	\$18,000	\$27,000	\$27,000	\$27,000	\$36,000	\$36,000	\$36,000
Energy Direct Exchange	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$5,400	\$9,000	\$13,500
Total Direct Cost	\$3,600	\$5,400	\$9,000	\$18,000	\$18,000	\$18,000	\$27,000	\$27,000	\$27,000	\$41,400	\$45,000	\$49,500
Gross Margin	\$2,400	\$3,600	\$6,000	\$12,000	\$12,000	\$12,000	\$18,000	\$18,000	\$18,000	\$36,600	\$45,000	\$55,500
Gross Margin %	40%	40%	40%	40%	40%	40%	40%	40%	40%	47%	50%	53%

Energy AEX

	FY2013	FY2014	FY2015
Unit Sales			
Energy Indirect Exchange	145	250	350
Energy Direct Exchange	31	100	200
Price Per Unit			
Energy Indirect Exchange	\$3,000	\$3,000	\$3,000
Energy Direct Exchange	\$3,000	\$3,000	\$3,000
Sales			
Energy Indirect Exchange	\$435,000	\$750,000	\$1,050,000
Energy Direct Exchange	\$93,000	\$300,000	\$600,000
Total Sales	\$528,000	\$1,050,000	\$1,650,000
Direct Cost Per Unit			
Energy Indirect Exchange	\$1,800	\$1,800	\$1,800
Energy Direct Exchange	\$900	\$900	\$900
Direct Cost			
Energy Indirect Exchange	\$261,000	\$450,000	\$630,000
Energy Direct Exchange	\$27,900	\$90,000	\$180,000
Total Direct Cost	\$288,900	\$540,000	\$810,000
Gross Margin	\$239,100	\$510,000	\$840,000
Gross Margin %	45%	49%	51%

Personnel Table (With Monthly Detail)

FY2013	Apr '12	May '12	Jun '12	Jul '12	Aug '12	Sep '12	Oct '12	Nov '12	Dec '12	Jan '13	Feb '13	Mar '13
New Employee	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,000	\$2,000	\$2,000
Total	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,000	\$2,000	\$2,000

	FY2013	FY2014	FY2015
New Employee	\$6,000	\$24,000	\$24,000
Total	\$6,000	\$24,000	\$24,000

Budget Table (With Monthly Detail)

FY2013	Apr '12	May '12	Jun '12	Jul '12	Aug '12	Sep '12	Oct '12	Nov '12	Dec '12	Jan '13	Feb '13	Mar '13
Expenses												
Salary	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,000	\$2,000	\$2,000
Employee Related Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$400	\$400	\$400
Marketing & Promotion	\$416	\$416	\$416	\$416	\$417	\$417	\$417	\$417	\$417	\$417	\$417	\$417
Rent	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Utilities	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Office Supplies	\$100	\$100	\$100	\$100	\$100	\$100	\$100	\$100	\$100	\$100	\$100	\$100
Insurance	\$150	\$150	\$150	\$150	\$150	\$150	\$150	\$150	\$150	\$150	\$150	\$150
Total Expenses	\$666	\$666	\$666	\$666	\$667	\$667	\$667	\$667	\$667	\$3,067	\$3,067	\$3,067

	FY2013	FY2014	FY2015
Expenses			
Salary	\$6,000	\$24,000	\$24,000
Employee Related Expenses	\$1,200	\$4,800	\$4,800
Marketing & Promotion	\$5,000	\$5,000	\$5,000
Rent	\$0	\$12,000	\$12,000
Utilities	\$0	\$3,600	\$3,600
Office Supplies	\$1,200	\$2,400	\$2,400
Insurance	\$1,800	\$1,800	\$1,800
Total Expenses	\$15,200	\$53,600	\$53,600

Energy AEX

Projected Profit and Loss Statement (With Monthly Detail)

FY2013	Apr '12	May '12	Jun '12	Jul '12	Aug '12	Sep '12	Oct '12	Nov '12	Dec '12	Jan '13	Feb '13	Mar '13
Income	\$6,000	\$9,000	\$15,000	\$30,000	\$30,000	\$30,000	\$45,000	\$45,000	\$45,000	\$78,000	\$90,000	\$105,000
Direct Cost	\$3,600	\$5,400	\$9,000	\$18,000	\$18,000	\$18,000	\$27,000	\$27,000	\$27,000	\$41,400	\$45,000	\$49,500
Gross Margin	\$2,400	\$3,600	\$6,000	\$12,000	\$12,000	\$12,000	\$18,000	\$18,000	\$18,000	\$36,600	\$45,000	\$55,500
Gross Margin %	40%	40%	40%	40%	40%	40%	40%	40%	40%	47%	50%	53%
Expenses												
Salary	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,000	\$2,000	\$2,000
Employee Related Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$400	\$400	\$400
Marketing & Promotion	\$416	\$416	\$416	\$416	\$417	\$417	\$417	\$417	\$417	\$417	\$417	\$417
Rent	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Utilities	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Office Supplies	\$100	\$100	\$100	\$100	\$100	\$100	\$100	\$100	\$100	\$100	\$100	\$100
Insurance	\$150	\$150	\$150	\$150	\$150	\$150	\$150	\$150	\$150	\$150	\$150	\$150
Total Expenses	\$666	\$666	\$666	\$666	\$667	\$667	\$667	\$667	\$667	\$3,067	\$3,067	\$3,067
Operating Income	\$1,734	\$2,934	\$5,334	\$11,334	\$11,333	\$11,333	\$17,333	\$17,333	\$17,333	\$33,533	\$41,933	\$52,433
Interest Incurred	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Depreciation and Amortization	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Income Taxes	\$607	\$1,027	\$1,867	\$3,967	\$3,967	\$3,966	\$6,067	\$6,066	\$6,067	\$11,736	\$14,677	\$18,351
Net Profit	\$1,127	\$1,907	\$3,467	\$7,367	\$7,366	\$7,367	\$11,266	\$11,267	\$11,266	\$21,797	\$27,256	\$34,082

Energy AEX

Net Profit/ Sales	19%	21%	23%	25%	25%	25%	25%	25%	25%	28%	30%	32%
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	FY2013	FY2014	FY2015
Income	\$528,000	\$1,050,000	\$1,650,000
Direct Cost	\$288,900	\$540,000	\$810,000
Gross Margin	\$239,100	\$510,000	\$840,000
Gross Margin %	45%	49%	51%
Expenses			
Salary	\$6,000	\$24,000	\$24,000
Employee Related Expenses	\$1,200	\$4,800	\$4,800
Marketing & Promotion	\$5,000	\$5,000	\$5,000
Rent	\$0	\$12,000	\$12,000
Utilities	\$0	\$3,600	\$3,600
Office Supplies	\$1,200	\$2,400	\$2,400
Insurance	\$1,800	\$1,800	\$1,800
Total Expenses	\$15,200	\$53,600	\$53,600
Operating Income	\$223,900	\$456,400	\$786,400
Interest Incurred	\$0	\$0	\$0
Depreciation and Amortization	\$0	\$0	\$0
Income Taxes	\$78,365	\$159,740	\$275,240
Net Profit	\$145,535	\$296,660	\$511,160
Net Profit/ Sales	28%	28%	31%

Energy AEX

Cash Flow Statement (With Monthly Detail)

FY2013	Apr '12	May '12	Jun '12	Jul '12	Aug '12	Sep '12	Oct '12	Nov '12	Dec '12	Jan '13	Feb '13	Mar '13
Operations												
Net Profit	\$1,127	\$1,907	\$3,467	\$7,367	\$7,366	\$7,367	\$11,266	\$11,267	\$11,266	\$21,797	\$27,256	\$34,082
Depreciation and Amortization	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Decrease in Accounts Receivable	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Decrease in Inventory	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Increase in Accounts Payable	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Increase in Sales Taxes Payable	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Net Cash Flow from Operations	\$1,127	\$1,907	\$3,467	\$7,367	\$7,366	\$7,367	\$11,266	\$11,267	\$11,266	\$21,797	\$27,256	\$34,082
Investing & Financing												
Assets Purchased	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Investments Received	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Increase in Short-Term Debt	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Increase in Long-Term Debt	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Net Cash Flow from Investing & Financing	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

Energy AEX

Cash at Beginning of Period	\$0	\$1,127	\$3,034	\$6,501	\$13,868	\$21,234	\$28,601	\$39,867	\$51,134	\$62,400	\$84,197	\$111,453
Net Increase in Cash	\$1,127	\$1,907	\$3,467	\$7,367	\$7,366	\$7,367	\$11,266	\$11,267	\$11,266	\$21,797	\$27,256	\$34,082
Cash at End of Period	\$1,127	\$3,034	\$6,501	\$13,868	\$21,234	\$28,601	\$39,867	\$51,134	\$62,400	\$84,197	\$111,453	\$145,535

	FY2013	FY2014	FY2015
Operations			
Net Profit	\$145,535	\$296,660	\$511,160
Depreciation and Amortization	\$0	\$0	\$0
Decrease in Accounts Receivable	\$0	\$0	\$0
Decrease in Inventory	\$0	\$0	\$0
Increase in Accounts Payable	\$0	\$0	\$0
Increase in Sales Taxes Payable	\$0	\$0	\$0
Net Cash Flow from Operations	\$145,535	\$296,660	\$511,160
Investing & Financing			
Assets Purchased	\$0	\$0	\$0
Investments Received	\$0	\$0	\$0
Increase in Short-Term Debt	\$0	\$0	\$0
Increase in Long-Term Debt	\$0	\$0	\$0
Net Cash Flow from Investing & Financing	\$0	\$0	\$0
Cash at Beginning of Period	\$0	\$145,535	\$442,195
Net Increase in Cash	\$145,535	\$296,660	\$511,160
Cash at End of Period	\$145,535	\$442,195	\$953,355

Balance Sheet (With Monthly Detail)

As of Period's End	Apr '12	May '12	Jun '12	Jul '12	Aug '12	Sep '12	Oct '12	Nov '12	Dec '12	Jan '13	Feb '13	Mar '13
Cash	\$1,127	\$3,034	\$6,501	\$13,868	\$21,234	\$28,601	\$39,867	\$51,134	\$62,400	\$84,197	\$111,453	\$145,535
Accounts Receivable	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Inventory	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Current Assets	\$1,127	\$3,034	\$6,501	\$13,868	\$21,234	\$28,601	\$39,867	\$51,134	\$62,400	\$84,197	\$111,453	\$145,535
Long-Term Assets	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Accumulated Depreciation	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Long-Term Assets	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Assets	\$1,127	\$3,034	\$6,501	\$13,868	\$21,234	\$28,601	\$39,867	\$51,134	\$62,400	\$84,197	\$111,453	\$145,535
Accounts Payable	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Sales Taxes Payable	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Short-Term Debt	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Current Liabilities	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Long-Term Debt	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Liabilities	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Paid-In Capital	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Retained Earnings	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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Earnings	\$1,127	\$3,034	\$6,501	\$13,868	\$21,234	\$28,601	\$39,867	\$51,134	\$62,400	\$84,197	\$111,453	\$145,535
Total Owner's Equity	\$1,127	\$3,034	\$6,501	\$13,868	\$21,234	\$28,601	\$39,867	\$51,134	\$62,400	\$84,197	\$111,453	\$145,535
Total Liabilities & Equity	\$1,127	\$3,034	\$6,501	\$13,868	\$21,234	\$28,601	\$39,867	\$51,134	\$62,400	\$84,197	\$111,453	\$145,535

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Energy AEX

As of Period's End	FY2013	FY2014	FY2015
Cash	\$145,535	\$442,195	\$953,355
Accounts Receivable	\$0	\$0	\$0
Inventory	\$0	\$0	\$0
Total Current Assets	\$145,535	\$442,195	\$953,355
Long-Term Assets	\$0	\$0	\$0
Accumulated Depreciation	\$0	\$0	\$0
Total Long-Term Assets	\$0	\$0	\$0
Total Assets	\$145,535	\$442,195	\$953,355
Accounts Payable	\$0	\$0	\$0
Sales Taxes Payable	\$0	\$0	\$0
Short-Term Debt	\$0	\$0	\$0
Total Current Liabilities	\$0	\$0	\$0
Long-Term Debt	\$0	\$0	\$0
Total Liabilities	\$0	\$0	\$0
Paid-In Capital	\$0	\$0	\$0
Retained Earnings	\$0	\$145,535	\$442,195
Earnings	\$145,535	\$296,660	\$511,160
Total Owner's Equity	\$145,535	\$442,195	\$953,355
Total Liabilities & Equity	\$145,535	\$442,195	\$953,355



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