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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

June 21, 2012

Secretary
PA Public Utility Commission
Keystone Building, 2nd Floor Room N201
Harrisburg, PA 17120

RE: Application for Change in Status for Community Energy, Inc. License #: A-110152

A-110152

Dear Secretary:

Enclosed is the electronic filing of the application of Community Energy, Inc. PUC License #: A-110152 for a change in status. The original filing of the bond is being sent to the PUC offices under separate cover and is not enclosed in the electronic filing per the filing instructions.

Please contact me with any questions.

ORIGINAL

Sincerely,

Jay Carlis

Jay Carlis

VP, Retail Division

A MENT MENT TO APPLICATION

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

| Application of | Community Energy, Inc. | , d/b/a | , for approval to offer |
|-----------------|-------------------------------|---|---------------------------------------|
| render, furnish | , or supply electricity or el | ectric generation services as a Supplie | r of Electricity to the public in the |
| Commonwealtl | h of Pennsylvania (Penns | ylvania). | |

To the Pennsylvania Public Utility Commission:

A-11015Z

1. IDENTIFICATION AND CONTACT INFORMATION

a. **IDENTITY OF THE APPLICANT**: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Community Energy, Inc.
Three Radnor Corporate Center – Suite 300
100 Matsonford Rd
Radnor PA 19087
866.946.3123
www.communityenergyinc.com

- b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.
- c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Jay Carlis, Vice President, Retail
Community Energy, Inc.
Three Radnor Corporate Center – Suite 300
100 Matsonford Rd
Radnor PA 19087
610.230.0378 (ph)
484.654.2136 (fax)
Jay.carlis@communityenergyinc.com

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d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Applicant is not using an attorney.

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Linda Fiske, Manager, Customer Service Community Energy, Inc. Three Radnor Corporate Center – Suite 300 100 Matsonford Rd Radnor PA 19087 866.946.3123 (ph) 484.654.2136 (fax) Linda.fiske@communityenergyinc.com Maddie Hammond, Customer Service Associate Community Energy, Inc.
Three Radnor Corporate Center – Suite 300 100 Matsonford Rd Radnor PA 19087 866.946.3123 (ph) 484.654.2136 (fax) maddie.hammond@communityenergyinc.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

| a. | FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.) |
|----|---|
| | The Applicant will be using a fictitious name or doing business as ("d/b/a") Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953. |
| | X The Applicant will not be using a fictitious name. |
| b. | BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.) |
| | The Applicant is a sole proprietor. |
| | If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements. |
| | Or The Applicant is a: |
| | domestic general partnership (*) domestic limited partnership (15 Pa. C.S. §8511) foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201) foreign limited liability general partnership (15 Pa. C.S. §8211) foreign limited liability limited partnership (15 Pa. C.S. §8211) |
| | Provide proof of compliance with appropriate Department of State filing requirements as indicated above. |
| | Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers. |
| | Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation. |
| | * If a corporate partner in the Applicant's domestic partnership is not domicited in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124. |
| | or RECEIVED |

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| J | The Applicant is a: |
|---|---|
| | domestic corporation (15 Pa. C.S. §1308) X foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913) foreign limited liability company (15 Pa. C.S. §8981) Other (Describe): |
| | |

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

R. Brent Alderfer, President James Nelson, Secretary and Treasurer Three Radnor Corporate Center, Ste 300 100 Matsonford Rd, Radnor PA 19087

Eric Blank, Vice President, 1120 Pearl St., Ste 200, Boulder CO 80302

The applicant is registered in the State of Delaware.

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Affiliates doing business in PA are Community Energy Solar, LLC and Community Energy Wind, LLC. Both affiliates share the same address and none are jurisdictional public utilities.

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

The Applicant has no predecessors.

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4. OPERATIONS

| a. | APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement) |
|---------|--|
| | X The Applicant is presently doing business in Pennsylvania as a |
| | municipal electric corporation electric cooperative local gas distribution company |
| | provider of electric generation, transmission or distribution services X Other; Identify the nature of service being rendered. |
| Applica | ant is a PA-PUC licensed Aggregator and Broker/Marketer. License #: A-110152. |
| | or · |
| | The Applicant is not presently doing business in Pennsylvania. |
| b. | APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (may check multiple): |
| | Generator of electricity X Supplier of electricity X Aggregator engaged in the business of supplying electricity X Broker/Marketer engaged in the business of supplying electricity services Electric Cooperative and supplier of electric power Other (Describe): |
| | <u>Definitions</u> |
| | Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC. Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers. Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but |
| | does not take title to electric energy. |
| . С. | PROPOSED SERVICES: Describe in detail the electric services or the electric generation services which the Applicant proposes to offer. |
| The ap | plicant proposes to supply renewable electricity products to retail customers. |
| d. | PROPOSED SERVICE AREA: Provide a list of each Electric Distribution Company for which the Applicant proposes to provide service. |
| PECO, | MetEd, PPL, Duquesne, West Penn RECEIVED |

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| e. | CUSTO | DMERS: Applicant proposes to provide services to: |
|----|-------|---|
| | | Residential Customers Small Commercial Customers - (25 kW and Under) Large Commercial Customers - (Over 25 kW) Industrial Customers Governmental Customers All of above Other (Describe): |
| f. | PROP | OSED MARKETING METHOD (check all that apply) |
| | ×OO× | Internal – Applicant will use its own internal resources/employees for marketing External EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer Affiliate – Applicant will use a NON-EGS affiliate marketing company and or individuals. External Third-Party – Applicant will contract with a NON-EGS third party marketing company and or individuals Other (Describe): |
| g. | DOOR | TO DOOR SALES: Will the Applicant be implementing door to door sales activities? |
| | X | Yes No If yes, will the Applicant be using a Third Party Verification procedure? |
| | _ | If yes, will the Applicant be using a Third Party Verification procedure: |
| | | Yes No |
| | | If yes, describe the Applicant's Third Party Verification procedures. |

h. START DATE: Provide the approximate date the Applicant proposes to begin services within the Commonwealth.

Applicant has been delivering services in the Commonwealth since October 1, 2001 and plans to begin serving load September 1, 2012.

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5. COMPLIANCE

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify, all such proceedings (active or closed), by name, subject and citation, whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Applicant has no proceedings to list.

- b. SUMMARY: If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.
- c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

Ten customer complaints to the Connecticut DPUC in the last five years. The Applicant is one of two licensed suppliers of Renewable Energy Certificates to retail customers under the state-sponsored CTCleanEnergyOptions Program. The Applicant has a total of 16,500 customers in the program and has enrolled approximately 12,500 new customers in the past five years. Therefore, these complaints amount to less than .1% of new customer enrollments over the period.

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

All of these customer complaints have been resolved to the satisfaction of the customer and the DPUC, most though customer refunds.

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6. PROOF OF SERVICE

(Example Certificate of Service is attached at Appendix C)

a.) STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

b.) EDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, aprovide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Allegheny Power:

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Duquesne Light:

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

Met-Ed, Penelec, and Penn Power:

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company:

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

Wellsboro Electric Company:

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

PECO:

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

PPL:

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

UGI:

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Pike County Light & Power Company:

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

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7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...
 - X Furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
 - Furnishing the **original** (along with copies) of another initial security for Commission approval, to ensure financial responsibility.
 - Filing for a modification to the \$250,000 requirement and furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.
 - At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.
 - Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.
 - Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.
- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

Audited Financials delivered are CONFIDENTIAL.

c. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

James Nelson, Secretary and Treasurer Three Radnor Corporate Center, Ste 300 100 Matsonford Rd, Radnor PA 19087

Phone: 484.654.1877 Fax: (484) 654-2136

Email: james.nelson@communityenergyinc.com

d. TAXATION: Complete the <u>TAX CERTIFICATION STATEMENT</u> attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

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8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a.) EXPERIENCE, PLAN, STRUCTURE:

The Applicant has been a PUC licensed Aggregator and Broker/Marketer since 2001. Since its founding in 1999, Community Energy, Inc. has marketed and sold renewable energy certificates to commercial and residential customers in partnership with regulated electric utilities and competitive electric providers. Community Energy often provides these services directly on the electric bill. Community Energy serves over 90,000 customers annually throughout the Northeast with a dedicated customer service center in Radnor, PA. Community Energy has over five years' experience in managing EDI data transfer with EDCs. Community Energy is also a PJM member with ten years of experience developing new solar and wind energy facilities.

b.) OFFICERS: Identify Applicant's chief officers including names and their professional resumes.

Brent Alderfer is President and is responsible for overall strategic direction, executive management and operations. Alderfer also directs corporate capital, finance and legal, and heads up the Marketing Division. Alderfer served as a former Colorado Public Utility Commissioner and is well known in the renewable energy industry for his leadership in markets for new and renewable energy technologies, and brings that regulatory and policy expertise to the executive management team. Prior to co-founding the Company Alderfer was a principal in the consulting firm of Competitive Utility Strategies, advising on renewable and distributed renewable generation projects in both regulated and competitive markets. In that role, Alderfer consulted to the U.S. Department of Energy in 1999 on utility interconnection policy for renewable and distributed generation. As a Commissioner from 1996 to early 1999, Alderfer chaired the Energy Resources Committee of the National Association of Regulatory Utility Commissioners, where he led several initiatives on opening competitive electric markets, specifically directed to marketing clean and renewable electric supply. An electrical engineer and a lawyer, Alderfer headed a general commercial law practice for fifteen years representing development stage companies through start up and public offering. Alderfer holds an electrical engineering degree from Northeastern University and a law degree from Georgetown University.

Eric Blank is Vice President and co-founder of CEI, and shares responsibility for overall strategic direction and executive management. He oversees project development, including project finance, project timelines and criteria, construction management, equity structures (passive tax equity), and construction. Under an executive employment agreement, Blank served as an executive vice president leading US wind development for Iberdrola, overseeing the development to construction of 700 MW of wind energy, including, but not limited to, the build out of the CEI pipeline. Blank led CEI's wind development efforts from inception. Before CEI, Blank served as the Director of the Energy Project of the Land and Water Fund, where he helped pioneer the field of wind energy marketing, launching one of the first, and most successful, wind energy marketing programs in the country in partnership with several Colorado utilities. Prior to that experience, Blank spent six years providing economic consulting and legal support primarily to independent power producers and energy project developers. With over twenty-five years' experience in the electric utility industry, Blank holds a Master's degree in economics from the London School of Economics and a J.D. from Yale Law School. Blank has been widely published on electric utility issues in a variety of trade and law journals and presented at numerous regional and national forums.

James J. Nelson Jr. is Secretary and Treasurer for Community Energy, Inc. (CEI), a renewable energy company. Prior to joining CEI James worked for Iberdrola Renewables, Inc., a developer and operator of wind farms. He has over 14 years of experience in accounting and finance. James also has entrepreneurial experience within the services industry. James received his Bachelor of Science, in Accounting, from The Pennsylvania State University.

| c.) | FERC FILING: Applicant has: | | | | | | |
|-----|-----------------------------|--|--|--|--|--|--|
| | X | Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer. | | | | | |
| | | Received approval from FERC to be a Power Marketer at Dopketer Case Number | | | | | |
| | ū | Not applicable | | | | | |
| | | 9 JUN 2 9 2012 | | | | | |

9. DISCLOSURE STATEMENT:

a. Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement.

See Appendix G.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
 - proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

| (Select | only one of the following) |
|---------|--|
| □ | AGREED - Applicant has included compliance with this requirement in the instant application labeled in correspondence with this section (10). |
| Χ . | AGREED - Applicant will provide compliance with this requirement within 120 days o receiving its license |
| | ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information |

- b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.
 - X AGREED

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- c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
 - Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
 - Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
 - The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
 - Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
 - Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.
 - X AGREED
- d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.
 - X AGREED
- e. ASSESSMENT: The Commission does not <u>presently</u> assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see PPL Energyplus, LLC v. Commonwealth, 800 A.2d 360 (Pa. Cmwlth. 2002).
 - X ACKNOWLEDGED
- f. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.
 - X AGREED
- g. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

X AGREED

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| h. | NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your |
|----|--|
| | application or if the information relative to any item herein changes while you are operating within the |
| | Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, |
| | as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. |
| | See 52 Pa. Code § 54.34. |

X AGREED

- i. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.
 - X AGREED
- j. Electronic Data Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at appendix J.
 - X AGREED
- **k. FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.
 - X PAYMENT ENCLOSED

11. AFFIDAVITS

- a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b.) OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.



If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

| | <u>Duquesne</u> | Met Ed | PECO | <u>Репејес</u> | Penn Power | <u>PPL</u> | <u>UGI</u> | West Penn | Entire Commonwealth |
|-------------------------------|-----------------|-----------|------|----------------|---------------|------------|------------|--------------|------------------------|
| Philadelphia Daily News | | х | х | | | Х | | | х |
| Harrisburg Patriot- | | х | | х | | Х | | Х | Х |
| Scranton Times Tribune | | х | | Х | | х | Х | | х |
| Williamsport Sun Gazette | | | | Х | | Х | | Х | X |
| Johnstown Tribune Democrat | | | | Х | | | | Х | Х |
| Erie Times-News | | | | Х | Х | | | | Х |
| Pittsburgh Post-Gazette | X | <u></u> | | | X | | | x | X |

(Example Publication is provided at Appendix H)

13. SIGNATURE

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14. CHECKLIST

Applicant: Community Energy, Inc.

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

| | Х | Signature | | | | | |
|-----------------|---|--|--|--|--|--|--|
| | x | Filing Fee | | | | | |
| | x | Application Affidavit | | | | | |
| Use | x | Operations Affidavit | | | | | |
| Applicant's Use | x | Proof of Publication | | | | | |
| Арр | Х | Bond or Letter of Credit | | | | | |
| | x | Tax Certification Statement | | | | | |
| | Х | Commonwealth Department of State Verification | | | | | |
| | × | Certificate of Service | | | | | |

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Appendix A

APPLICATION AFFIDAVIT

| [Commonwealth/State] of: |
|--|
| ; ss. |
| County of County |
| |
| [He/she is the SECKETARY (Office of Affiant) of COMMUNITY ENERGY (Name of Applicant);] |
| [That he/she is authorized to and does make this affidavit for said Applicant;] |
| That the Applicant herein Dicky The. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B). |
| That the Applicant herein <u>Energy</u> And completely and provided supporting documentation as required. |
| That the Applicant herein Fragicy 3cknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents. |
| That the Applicant herein acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission. |
| That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing. |
| Signature of Affiant |
| Sworn and subscribed before me this 14th day of 100, 2017. |
| Signature of official administering oath |
| My commission expires \(\textstyle \) \(\text |

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Appendix B

OPERATIONS AFFIDAVIT

| [Commonwealth/State] of PA : |
|--|
| ; SS. |
| County of De lover : |
| TANES T NELSON TIC., Affiant, being duly [sworn/affirmed] according to law, deposes and says that: |
| [He/she is the SECFETARY (Office of Affiant) of Community Energy INC. (Name of Applicant);] |
| [That he/she is authorized to and does make this affidavit for said Applicant;] |
| That Community ENELGY TINE . the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania. |
| That ENGLY TNC. the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission. |
| That recent in the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records). |

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

RECEIVED

Appendix B (Continued)

| That ENERGY TNC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa: C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56. |
|--|
| That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law. |
| That the facts above set forth are true and correct/true and correct to the best of his/her knowledge; information, and belief. |
| Signature of Affiant |
| Sworn and subscribed before me this day of, 20, 20 |
| Signature of official administering oath |
| My commission expires 12/15/2013 |
| |

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Traci J. Sebastian, Notary Public Radnor Twp., Delaware County My Commission Expires Dec. 15, 2013

Member, Pennsylvania Association of Notaries



JUN 2 9 2012

Appendix C

Example CERTIFICATE OF SERVICE

On this the $2^{1/5}$ day of June 2012, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

RECEIVED

JUN 2 9 2012

James Nelson, Secretary Community Energy, Inc.

The Patriot-News Co. 2020 Technology Pkwy Suite 300 Mechanicsburg, PA 17050 Inquiries - 717-255-8213

The Patriot-News Now you know

COMMUNITY ENERGY THREE RADNOR CORPORATE CENTER - SUITE 300 100 MATSONFORD ROAD

WAYNE

PA 19087

THE PATRIOT NEWS THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929 Commonwealth of Pennsylvania, County of Dauphin) ss

Holly Blain, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid: that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the property of the said Company and subsequently duly recorded in the office for the Recording of Deeds public Unitary Commission.

Apalication of Comment's General Subsequently and Subsequently duly recorded in the office for the Recording of Deeds public Unitary Commission. Render: Storists of Subsequently behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the

My Commission Expires Nov. 26, 2015

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARLES

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU.

Jacks of snould send copies of any protest to Community Energy of the address listed below Community Energy Inc. Color of Color o

| | | • | | |
|-------------------|---|--|--|--|
| COMMON | VEALTH OF P | ENNSYLVANIA | (1) | |
| | County of Cambr | | SS | |
| | PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE ADMINISSION NOTICE ADMINISSION NOTICE ADMINISSION Application of Community Energy Inc. For Approval To Orier, Render, Furrish Or Supply Electricity Or Electric Services As A Generator And Ad A A Marketer/Broker Engaged In The Business Or Supplying Electricity, And An Aggregator Engaged In The Business Or Supplying Electricity, To The Public In | | On this 6th day of before me, the subs Public in and for s State, personally a Christine Marhefk sworn according to says as Classified Manager of the Ti Johnstown, PA, a general circulation "Newspaper Adver | scriber, a Notary aid County and appeared a, who being duly o law, deposes and Advertising ribune-Democrat, newspaper of a as defined by the rtising Act", a |
| , | The Commonwealth of Pennsylvania. Community Energy, Inc. Will 56 filing an application with the Pennsylvania Public Utility Commission (PUC') for a glicense, to supply electricity or electric | | merger September Johnstown Tribum December 7,1853; Johnstown Democi March 5, 1863, | e, established and of the rat, established |
| ublished continu | c-generation; services as (1) ∴a generator and supplier of | . in the County of Car | nbria, and Commonwealth of Pen published in said publication in th y 30, 2012; and that the Affiant is | nsylvania and |
| hat the annexed i | electric power, (2) a | e in the above matter | published in said publication in the 20, 2012, and that the Affant is | ne regular issues |
| f The Johnstown | in the business of | nnstown, rA, on mu reference and that all of | y 50, 2012, and mut the Ajjiani is the allegations as to time. place a | nd character of |
| Sworn and Subscr | in the business of supplying electricity Community Energy, Inc. proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Conserver. | STAT | the allegations as to time, place a COUNTUPLE THE TEMENT OF ADVERTISIN | arbefla |
| th day of June, 2 | Customer Choice and Competition Act | Σ. Σ. | • | |
| ~ | The PUC may consider this application without a freating. Protests directed to the technical or financial | 66 | Lines @ \$2.50 per line 00 Inches @ \$25.00 per inch otary Fee | 165.00 0.00 5.00 |
| sucar | fitness of Community | Ci | erical Fee | 2.50 |
| | fitness of Community Energy, Inc. may be filled Within 15 days of the date | $\overline{\mathbf{r}}$ | otal Cost | . 172.50 |
| • | of this notice, with the Secretary of the PUC; P.O. Box 3265; Harrisburg, PA 17(06:3265; You should send copies of any protest to Community Energy; at the address listed below Community Energy, inc. 20 Jay Carlls Three Radnor Corporate Center; Suite 300, 100 Matsontord Rd Radnor PA 19087. | TH OF PENNSYLVANIA lotarial Seal this, Notary Public stown, Cambria County on Expires Dec. 6, 2012 NIA ASSOCIATION OF NOTARIES | To The Tribunc-Democrat, For publishing the notice or attached hereto on the abov | r publication |
| | 1.866.946.3123 484.654.2136 (lax) | for nublisher | ^t | |
| | | tor nunigher | ()1 | |

a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

v

RECEIVED

JUN 29 2012

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

| STATE OF PENNSYLVANIA | Ì |
|-----------------------|---|
| COUNTY OF LYCOMING | |

SS:

| 0001(11 01 | DI COMILIA G | 20. |
|---|---|--|
| Bernard A. | Oravec . p | rublisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor |
| Williamsport, Penns Bulletin was establ lished in the County | Sun and the Gazette of sylvania, being duly sylished in 1801, since we yof Lycoming aforesaid | & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, worn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & thich dates said successor, the Williamsport Sun-Gazette, has been regularly issued and pubd, and that a copy of the printed notice is attached hereto exactly as the same was printed and |
| published in the reg | guiar editions of said w | Villiamsport Sun-Gazette on the following dates, viz: |
| | | - A |
| · · · · · · · · · · · · · · · · · · · | <u> </u> | 1/ay 1, 1012 |
| | | |
| | | • |
| | | er daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, |
| of publication, and t | ر ، ترمسه ۱۱ مراء السنسا | th and also declares that affiant is not interested in the subject matter of the aforesaid notice in the foregoing statement as to time, place and character of publication are true. |
| | services as (1) a genera- | |
| - | power, (2) a broker/ mark- eter engaged in the busi- | Bund A. Ch |
| | power, (2) a closes that the business of supplying electricity, and (3) an eggregator engaged in the business of supplying electri- | • |
| | ness of supplying electri- city. Community Ener- | SUN-GAZETTE COMPANY |
| | gy, Inc. proposes to sell electricity and related services throughout all of | Sworn to and subscribed before me |
| | 'Pennsvivania under ING1 | the 30 day of 10/2 |
| | provisions of the new Electricity Generation Customer Choice and | ath PRILLER |
| PUBLIC UTILITY COMMISSION | Competition Act: | Notary Public |
| NOTICE Application of Com- | The PUC may con- sider this application | (/ Notary Public |
| nunity Energy, Inc. For opproval To Offer, | | NOTABLE CESAL |
| lender, Furnish Or Sup- ly Electricity Or Electric Generation Services As A | ness of Community Energy, Inc. may be filed within 15 days of the date | CATHY A. BILLEY, Motary Public |
| Seneration Services As A Senerator And Supplier Of, Electric Power And A | within 15 days of the date of this notice with the | City of Williamsport, Lycoming County My Commission Expires May 15, 2015 |
| Marketer/Broker Engaged In The Business Of Sup- | Secretary of the PUC, P.O. Box 3265, Harris- burg, PA 17105-3265. | |
| olying Electricity, And An Aggregator Engaged In | burg, PA 17105-3265. You should send copies | STATEMENT OF ADVERTISING COSTS |
| The Business Of Supplying Electricity, To The | You should send copies of any protest to Com- munity Energy at the ad- dress listed below. | |
| Public in the Com- nonweälth Of Pennsylva- nia. | Community | |
| Community Energy. | Energy, Inc. c/o Jay Carlis | To the Sun-Gazette Company, Dr.: |
| inc. will be filing an ap- | porate Center, Sulte 300 | For publishing the notice attached |
| vania Public Utility Com- mission ("PUC") for a | Radnor PA 19087 | hereto on the above state dates \$288,72 |
| license to supply electri- city or electric generation | 484.654.2136 (fax) | Probated same\$ |
| | | Total\$ 28 8.73 |
| • | PUBLISH | ER'S RECEIPT FOR ADVERTISING COSTS |
| | | |

THE SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

RECEIVED

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

(Under act P.L. 877 No 160. July 9,1976) The Scranton Times Commonwealth of Pennsylvania, County of Lackawanna

COMMUNITY ENERGY INC JAY CARLIS THREE RADNOR CORP CENTER-STE 3 100 MATSONFORD ROAD RADNOR PA 19087

Account # 562696 Order # 80925095 Ad Price: 246.15

Gina Krushinski

Being duly sworn according to law deposes and says that she is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

05/28/2012

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true KINA ININININA

Sworn and subscribed to before me this 29th day of May A.D., 2012

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Sharon Venturi, Notary Public City of Scranton, Lackawanna County My Commission Expires Feb. 12, 2014 Member, Pennsylvania Association of Notaries

RECEIVED

JUN 2 9 2012

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

ord's sales are up 5 percent

IUOLIO G dn are sales s.p.io

Inc. For Approval To Offer, Rander,
Furnish Oi Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power
And A Marketer/Broker Engaged In
The Business Of Supplying Electricity,
And An Aggregator Engaged in The
Business Of Supplying Electricity. To
The Public In The Commonwealth Of
Pennsylvania.

Community Energy, Inc. will be liling an application with the Pennsylvania Public Utility Commission ("PUC")
for a license to supply electricity or
electric generation services as [1] a
generator and supplier of electric power, [2] a broker/marketer engaged in
the business of supplying electricity,
and [3] an aggregator engaged in the
business of supplying electricity. Community Energy, Inc. proposes to self business of supplying electricity. Com-munity Energy, inc. proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Community Energy, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Community Energy at the address listed below. address listed below.

Community Energy, Inc. c/o Jay Carlis Three Radnor Corporate Center, Suite 300 100 Matsonford Rd.

Rednor, PA 19087 1.866.946.3123 484.654.2136 (fax)

| | of Publication of Notice in Pittsburgh Post-Gazette oved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951 | • |
|---|---|--------------------|
| Officer Act No 307, Appre | | |
| Pittsburgh Post-Gazette, a newspaper established in 1993 by the merging Gazette and Sun-Telegraph was est Pittsburgh Gazette established in 178 | county of Allegheny, ss M. Goodwin , being duly sworn, deposes and says that the rof general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-ablished in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the 86 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has y and that a copy of said printed notice or publication is attached hereto exactly as the same was regular editions and issues of the said Pittsburgh Post-Gazette a the following dates, viz: | |
| 26 of May, 2012 | | |
| | an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, thorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter | |
| | n, and that all allegations in the foregoing statement as to time, place and character of publication are | |
| irue. | 1 | |
| COPY OF NOTICE OR PUBLI | CATION | |
| PENNSYLVANIA | M. Doller | |
| PUBLIC UTILITY COMMISSION NOTICE | PG Publishing Company Sworn-to and subscribed before me this day of: | |
| Application of Com- munity Energy, Inc. For Approval To Offer, Ren- der, Eurnish Or, Supply | Máy 29, 2012 | |
| der, Furnish Or Supply Electricity Or Electric Generation Services As A | | |
| i Generator And Supplier i | Just M. Maertan = | ~ |
| Of Electric Power And A Marketer/Broker En- gaged in The Business Of | COMMONWEALTH OF PENNSYLVANIA_ | <u> </u> |
| gaged in The Business Of Supplying Electricity, And An Aggregator Engaged in The Business Of Supplying Electricity. | Notarial Seal | ₹₽ |
| Supplying Electricity, To The Public In The Com- monwealth Of Rennsyl- | Linda M. Gaertner, Notary Public City of Pittsburgh, Allegheny County | 38 |
| ivania. Community Energy, Inc. will be filing an ap- plication with the Renn: | COMMONWEALTH OF PENNSYLVANIA Notarial Seal Linda M. Gaertner, Notary Public City of Pittsburgh, Allegheny County My Commission Expires Jan. 31, 2015 MEMBER. PENNSYLVANIA ASSOCIATION OF NOTARIES STATEMENT OF ADVERTISING COSTS Community Energy, Inc. | SECRETARY'S BUREAU |
| sylvania Public Utility) | | |
| Commission ("PUC") for a license to supply elec- tricity or electric genera- | | ETA |
| a license to supply elec- tricity or electric genera- tion services-as:(1). a generator and supplier of electric nower. (2). a bro- | | ECR. |
| electric power(2) a bro- ker/marketer engaged in the business of supply- | STATEMENT OF ADVERTISING COSTS | S |
| aggregator engaged in the business of supply- | | |
| ker/marketer engaged in the business of supplying electricity; and (3) and aggregator engaged in the business of supplying electricity. Community Energy, Inc. proposes to sell electricity and related services the proposes. | Three Radnor Corporate Center Suite 300 | |
| related services throughout all of Penn- sylvania under the provi- | 100 Matsonford Road | |
| sions of the new Elec- tricity Generation Customer Choice and | Radnor PA 19087 | |
| Competition Act. | To PG Publishing Company | |
| er this application with out a hearing. Protests directed to the technical | | |
| or financial fitness of Community Energy, Inc. may be filed within 15 | Total\$517.50 | |
| days of the date of this | Publisher's Receipt for Advertising Costs | |
| PG PUBLI 17:105-3265. You should | Publisher's Receipt for Advertising Costs NY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, of the aforsaid advertising and publication costs and certifies that the same have | |
| hereby acki test to Community Ener- test to Community | of the aforsaid advertising and publication costs and certifies that the same have | |
| | | |
| Of Radnor, Corporate Cen- Of Radnor, Corporate Cen- Of Radnor, Corporate Cen- Service Son 100, 100 Mat- 34 Boulevar (19087, 1,866,946,3123, PITTSBURC 484,654,2136 (fax)) | PG Publishing Company, a Corporation, Publisher of | |
| 34 Boulevar 19087, 1,866,946,3123, PITTSBIR (484,654,2136 (fax)) | Pittsburgh Post-Gazette, a Newspaper of General Circulation | |
| Phone 412-263-1338 | By Maria Juece | |
| I hereby certify that the foregoing is the said notice. | he original Proof of Publication and receipt for the Advertising costs in the subject matter of | |

Attorney For

No._

Term,

Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA **COUNTY OF PHILADELPHIA**

Florence Devlin being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

May 31, 2012

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Sworn to and subscribed before me this 31st day of May, 2012.

My Commission Expires:

NOTARIAL SEAL Mary Anne Logan, Notary Public City of Philadelphia, Phila. County My Commission Expires 3/30/2013

Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE
Application of Community Energy, Inc. For Approval To Olfer, Render, Furnish Or Supply
Electricity Or Electric Generalion Services As A
Generator And Supplier Of Electric Power And
A Markeler/Broker Engaged in The Business Of
Supplying Electricity, And An Aggregator Engaged in The Business Of Supplying Electricity,
To The Public in The Commonwealth Of Pennsylvania.

institution of the control of the co Energy, Inc. will be filling an application of the Pennsylvania Public Little Co.

ompetition Ack on competition Ack he PUC may consider this application with hearing. Protests directed to the technical nanctal fitness of Community Energy, it was belief within 15 days of the date of lotte with the Secretary of the PUC, P.O. E. 255. Harrisburg, PA 17105-325. Youghound copies of any protest to Community En y at the address listed below.

Community Energy, Inc.

70 Jay, Carlis
Three Radnor, Corporate Center, Sulle 300 Radnor PA 19007
1.006.046.3123
484.654.2136 (fax)

JUN 2 9 2012

Appendix F

COMMONWEALTH OF PENNSYLVANIA PUBLIC UTILITY COMMISSION

TAX CERTIFICATION STATEMENT

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 81/2" x 11" paper. Type or print all information requested.

| 1. CORPORATE OR APPLICANT NAME | 2. BUSINESS PHONE NO. (866) 446.3123 CONTACT PERSON(S) FOR TAX ACCOUNTS: | | |
|---|---|--|--|
| Community Energy Inc. 3. TRADEFECTITIOUS NAME (IF ANY) | Molly Arbes, Controller | | |
| 3. TRADE/FICTITIOUS NAME (IF ANY) | | | |
| | | | |
| 4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BO | OX NO.) (POST OFFICE) STATE) (ZIP) | | |
| Three Radnor Corporate Center Ste 300 | 100 Matsonford Rd Rockner PA 19087 | | |
| 5. TYPE OF ENTITY SOLE PROPRIETOR | PARTNERSHIP CORPORATION | | |
| □ Ļic̄c̄ □ | OTHER (Describe) | | |
| | | | |
| 6. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICERS(S) | , | | |
| NAME (PRINT) | SOCIAL SECURITY NUMBER (OPTIONAL) | | |
| R Right Allow D 24 | | | |
| R. Brent Alderfer, President | | | |
| NAME (PRINT) | SOCIAL SECURITY NUMBER (OPTIONAL) | | |
| Eric Blank, Vice President | | | |
| NAME (PRINT) | COCIAL OCCUPIEW MINIPER (OPTIONAL) | | |
| NAME (PKIN1) | SOCIAL SECURITY NUMBER (OPTIONAL) | | |
| James Nelson, Secretary+ Treasurer | | | |
| NAME (PRINT) | SOCIAL SECURITY NUMBER (OPTIONAL) | | |
| | | | |
| Molly Arbes, Controller | - - - - - - - - - - | | |
| NAME (PRINT) | SOCIAL SECURITY NUMBER (OPTIONAL) | | |
| | | | |
| | | | |
| 7. LIST THE FOLLOWING STATE & FEDERAL TAX-IDENTIFICATION NUM | MBERS (ALL TEMS A.B. & CAUST BE COMPLETED) | | |
| Applicant must provide exp | lanation if submitting N/A for any items | | |
| Item A- Designated by the Pennsylvania Department of Revenue. Item B - Designated by the Internal Revenue Service. | | | |
| Item C - Designated by the Pennsylvania Department of Revenue. The Corporate | Box number may also be referred to as the Corporate Account number. | | |
| A. SALES TAX LICENSE (8 DIGITS) APPLICATION | C. CORPORATE BOX NUMBER (7 DIGITS) APPLICATION | | |
| PENDING N/A | PENDING N/A | | |
| 1815 722247 0 0 | [21 8 2 [15 2] | | |
| B. EMPLOYER ID (EIN) (9 DIGITS: APPLICATION | · | | |
| PENDING N/A | | | |
| <u> </u> | | | |
| | | | |
| 8. Do you have PA employees; resident or non-resident? | ✓ YES NO | | |
| 9. Do you own any assets or have an office in PA? NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING. | TAY BETTIRMS | | |
| CALL A L | L NATL A L | | |
| | CORPORATE TAXES | | |
| PA SALES AND USE TAX EMPLOYER TAXES 484-654 | -1061 484-654-1061 | | |
| PHONE PHONE | PHONE | | |
| | the Pennsylvania Department of Payanua at the | | |

COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE

JUNE 21, 2012

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

COMMUNITY ENERGY, INC.

is duly qualified as a Foreign Corporation under the laws of the Commonwealth of Pennsylvania and remains a subsisting corporation so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT, This Subsistence Certificate shall not imply that all fees, taxes, and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

Case Diele

Secretary of the Commonwealth RECEIVED

Certification Number: 10397844-1 Verify this certificate online at http://www.corporations.state.pa.us/corp/soskb/verify.asp

Appendix G

Page 1 of 3

Sample Disclosure Statement Format for Electric Generation Suppliers

Residential Electricity Supply Service Agreement

This is an agreement for electric generation service between Community Energy, Inc. ("CEI") and You the Customer.

CEI is licensed by the Pennsylvania Public Utility Commission to supply electric generation services in Pennsylvania. Our PUC license number is A-110152. We set the generation prices and charges that you pay. The Public Utility Commission regulates distribution prices and services. The Federal Energy Regulatory Commission regulates transmission prices and services.

Right of Rescission: You have the right to cancel this agreement at any time before midnight of the third business day after receiving this disclosure statement by contacting the CEI Customer Care Center at 1.866.946.3123.

Terms of Service

- 1. Basic Service Prices: You will pay <u>EGS rate</u> per kWh for electric generation service consisting of 99% Wind-generated electricity from Pennsylvania and 1% Solar-generated electricity from Pennsylvania. Customer agrees and understands that the rate may fluctuate month to month with market conditions. This rate includes transmission charges and estimated total state taxes, including the Gross Receipts Tax, but excludes applicable state and local sales taxes as well as any new taxes imposed.
- **2. Billing:** Customer will continue to receive one monthly electric bill processed and provided by PECO. Payment is due to PECO in accordance with their standard billing practices.
- **3.** Length of Agreement: This electric supply agreement will start after CEI provides confirmation to PECO of this agreement. Customer may cancel this agreement at any time for any reason by contacting CEI or initiating a cancellation through PECO or signing up with another supplier.
- 4. Change in Terms: Community Energy, Inc. may at any time modify, reassign, or cancel this agreement at any time for any reason after two (2) written notices forty-five (45) to ninety (90) days in advance.
- **5. Emergency**: In the event of an emergency such as a power failure or a downed power line, Customer should contact PECO at 800-841-4141.
- **6. Customer Relocation**: If Customer moves to a new address within CEI's current service territory Customer is encouraged to notify CEI of the relocation and request that CEI commence service in the new location.
- 7. Dispute Procedures: Contact us with any questions concerning our terms of service. You may call the PUC if you are not satisfied after discussing your terms with us.
- **8. Contact Information:** Community Energy, Inc. Three Radnor Corporate Center Suite 300, 100 Matsonford Road, Radnor PA 19064, 1.866.946.3123, www.communityenergyinc.com.

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14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: Community Energy, Inc.

| | | - | |
|----|--|--|---|
| * | Signature | | l |
| X | Filing Fee | | |
| X | Application Affidavit | | Se |
| 4. | Operations Affidavit | | ureau (|
| X | Proof of Publication | | PUC Secretary's Bureau Use |
| + | Bond or Letter of Credit | | C Secre |
| X | Tax Certification Statement | | P. |
| X | Commonwealth Department of State Verification | | |
| X | Certificate of Service | | |
| | 7 X X X | Application Affidavit Operations Affidavit Proof of Publication Bond or Letter of Credit Tax Certification Statement Commonwealth Department of State Verification | X Filing Fee X Application Affidavit ✓ Operations Affidavit X Proof of Publication ✓ Bond or Letter of Credit X Tax Certification Statement X Commonwealth Department of State Verification |

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JUN 29 2012

From: (484) 888-4615 C Michael Reed Community Energy Inc. 3 Radnor Corporate Center - Ste 300 100 Matsonford Rd. Radnor, PA 19087

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SHIP TO: (484) 654-2127

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Secretary

PA Public Utility Commission Keystone Building, 2nd Flr Rm N201

HARRISBURG, PA 17120

Ref#

PO# Dept#

Ref# Invoice#

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SECREMAN SOURCE A1
STANDARD OVERNIGHT

TRK# 0201

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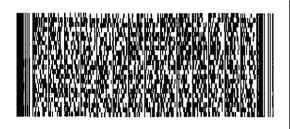
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