

August 29, 2012

Pennsylvania Public Utility Commission
Rosemary Chiavetta, Secretary
P.O. Box 3265
Harrisburg, PA 17105-3265

Re: Northeast Energy Advisors, LLC – EGS License Application

Dear Secretary Chiavetta,

Enclosed for filing, please find an original, two hard copies and one CD-ROM containing the EGS License Application of Northeast Energy Advisors, LLC, including the following Exhibits and Attachments thereto:

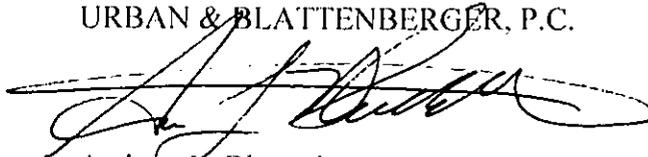
- Appendix A – Application Affidavit
- Appendix B – Operations Affidavit
- Appendix C – Certificate of Service
- Appendix F – Tax Certification Statement
- Exhibit 1 – Business Entity History and Certificate of Organization;
- Exhibit 2 – EGS Bond in the amount of \$10,000.00, along with an Affidavit in Support of Reduced Bond;
- Exhibit 3 – Bank Account Information and Financial Records for Northeast Energy Advisors, LLC.
- Exhibit 4 – Seven Proofs of Publication

I have also enclosed a check in the amount of \$350.00 made payable to the Commonwealth of Pennsylvania to pay the applicable filing fee.

Please time-stamp one of the copies and return it to me in the enclosed self-addressed stamped envelope. Should you have any questions or wish to discuss this matter, please feel free to contact me.

Sincerely,

URBAN & BLATTENBERGER, P.C.



Andrew L. Blattenberger

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Enclosures

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of **Northeast Energy Advisors, LLC**, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Broker/Marketer and/or Energy Consultant to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Northeast Energy Advisors, LLC
10900 Perry Highway, #210
Pittsburgh, PA 15090
www.northeastenergyadvisors.com
Phone (412) 337-6099 or (412) 389-7290

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Not applicable.

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Dave Mikula, President
Northeast Energy Advisors, LLC
10900 Perry Highway, #210
Pittsburgh, PA 15090
www.northeastenergyadvisors.com
Phone (412) 337-6099; Facsimile (412) 202-4233
dmikula@northeastenergyadvisors.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Andrew L. Blattenberger, Esquire
Urban & Blattenberger, P.C.
513 Allegheny Street
Hollidaysburg, PA 16648
Phone (814) 695-7898; Facsimile (814) 695-8757
ablattenberger@urban-law.com

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Dave Mikula, President
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SECRETARY'S BUREAU

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

Or

X The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

X The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)**
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

See attached Business Entity History from Pennsylvania Department of State Website. See also Pennsylvania Department of State Certificate of Organization.

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

See attached Pennsylvania Department of State Certificate of Organization.

- Give name and address of officers.

**Dave Mikula, President and Secretary
Northeast Energy Advisors, LLC
10900 Perry Highway, #210
Pittsburgh, PA 15090**

**Erich Landis, Vice President and Treasurer
Northeast Energy Advisors, LLC
10900 Perry Highway, #210
Pittsburgh, PA 15090**

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Applicant does not have any affiliates doing business or applying to do business.

- b. PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Applicant does not have any predecessors that have done business,

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- Other;** Identify the nature of service being rendered.

Applicant currently provides consulting services, energy supply advice, analysis services and management tools.

or

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services**
- Electric Cooperative and supplier of electric power
- Other (Describe): Energy Broker and/or Energy Consultant providing price negotiation and risk management services with respect to the purchase of electricity.**

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Applicant will provide consulting services regarding a retail customer's selection of a retail electric provider, contract negotiations with the selected retail electric provider and management of price risk with respect to energy prices under the customer's contract for retail power.

- d. **PROPOSED SERVICE AREA:** Provide a list of each Electric Distribution Company for which the Applicant proposes to provide service.

Applicant proposes to offer services throughout the entire Commonwealth of Pennsylvania.

- e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
 Small Commercial Customers - (25 kW and Under)
 Large Commercial Customers - (Over 25 kW)
 Industrial Customers
 Governmental Customers
 All of above
 Other (Describe):

- f. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing**
 External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
 Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
 External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
 Other (Describe):

- g. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
 No

If yes, will the Applicant be using a Third Party Verification procedure?

- Yes
 No

If yes, describe the Applicant's Third Party Verification procedures.

- h. **START DATE:** Provide the approximate date the Applicant proposes to begin services within the Commonwealth.

Applicant proposes to begin delivering services upon approval of the Application and license.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

None.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not applicable.

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

Applicant has no such actions or complaints to list.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not applicable.

6. PROOF OF SERVICE

(Example Certificate of Service is attached at Appendix C)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

- b.) **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Allegheny Power:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Pike County Light & Power Company:
Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Wellsboro Electric Company:
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **original** (along with copies) of another initial security for Commission approval, to ensure financial responsibility.
- Filing for a modification to the \$250,000 requirement and furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

Applicant will not engage in the generation of electricity or act as a principal in any transaction. Applicant will not take title to any electricity and as such will not be responsible for any gross receipts tax. Applicant will not make electric utility payments on behalf of its customers. Applicant will serve only as an intermediary and/or consultant providing advice and services to its customers. As such, Applicants activities and exposure should be limited, and Applicant believes that a bond in the amount of Ten Thousand Dollars (\$10,000.00) is appropriate.

b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

**Erich Landis, Vice President
Northeast Energy Advisors, LLC
10900 Perry Highway, #210
Pittsburgh, PA 15090
Phone (412) 337-6099; Facsimile (412) 202-4233
elandis@northeastenergyadvisors.com**

d. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a.) EXPERIENCE, PLAN, STRUCTURE:

Northeast Energy Advisors, LLC's two members, Dave Mikula and Erich Landis have a combined experience of more than twenty years in the electricity and natural gas industry. See attached bio of Dave Mikula, Northeast Energy Advisors, LLC's President. Additionally, Northeast Energy Advisors, LLC has teamed with Volunteer Energy Services, Inc., a licensed, certified Ohio Competitive Electric Service Provider with Aggregation and Power Broker Services within the State of Ohio (Certification number: 03-1742-EL_AGG).

David Mikula, President and Secretary - Dave Mikula has been involved with electricity and natural gas supply and consulting services since 1998. He has worked for Strategic Energy (now Direct Energy), and Reliant Energy Solutions. Over his 13 year career in the industry, he has originated, negotiated and managed electricity and natural gas supply sales agreements. He has assisted companies of various sizes in navigating the complexities of a deregulated energy marketplace and provided objective and unbiased cost saving solutions. In recent years, he has communicated his knowledge of the energy marketplace to industry and association panels by serving as an educational speaker addressing effective procurement strategies for electricity and natural gas supply. Dave holds a Bachelor of Science degree in Electrical Engineering from Pennsylvania State University.

Erich Landis, Vice President and Treasurer - Erich Landis has worked with some of the largest manufacturers and property management companies in the region to manage their energy expenses through competitively purchased electricity and natural gas. Erich has held a variety of executive and management positions with some of the nation's leading electric suppliers including Strategic Energy (now Direct Energy), Green Mountain Energy and CSW (now American Electric Power). Erich holds a bachelor's degree in Economics from The Texas Tech University System (San Angelo) and a Masters of Public Administration from Texas A&M University.

b.) **OFFICERS:** Identify Applicant's chief officers including names and their professional resumes.

David Mikula, President and Secretary – See Response to 19(a).

Erich Landis, Vice President and Treasurer – See Response to 19(a).

c.) **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- X Not applicable

9. DISCLOSURE STATEMENT:

- a. **Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED** - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED** - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED** - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

- b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.

AGREED

- c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- **Retail Electricity Choice Activity Reports:** The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- **Reports of Gross Receipts:** Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

X AGREED

- d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

X AGREED

- e. **ASSESSMENT:** The Commission does not presently assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see *PPL Energyplus, LLC v. Commonwealth*, 800 A.2d 360 (Pa. Cmwlth. 2002).

X ACKNOWLEDGED

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

X AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

X AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

X AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

X AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at appendix J.

X AGREED

- k. **FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

X PAYMENT ENCLOSED

11. AFFIDAVITS

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

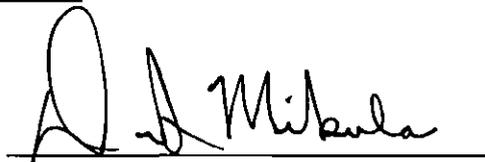
Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	<u>Duquesne</u>	<u>Met Ed</u>	<u>PECO</u>	<u>Penelec</u>	<u>Penn Power</u>	<u>PPL</u>	<u>UGI</u>	<u>West Penn</u>	<u>Entire Commonwealth</u>
Philadelphia Daily News		X	X			X			X
Harrisburg Patriot-News		X		X		X		X	X
Scranton Times Tribune		X		X		X	X		X
Williamsport Sun Gazette				X		X		X	X
Johnstown Tribune Democrat				X				X	X
Erie Times-News				X	X				X
Pittsburgh Post-Gazette	X				X			X	X

(Example Publication is provided at Appendix H)

13. SIGNATURE

Applicant:



By: David Mikula

Title: Member/President

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: Northeast Energy Advisors, LLC

Applicant's Use	<input checked="" type="checkbox"/>	Signature	
	<input checked="" type="checkbox"/>	Filing Fee	
	<input checked="" type="checkbox"/>	Application Affidavit	
	<input checked="" type="checkbox"/>	Operations Affidavit	
	<input checked="" type="checkbox"/>	Proof of Publication	
	<input checked="" type="checkbox"/>	Bond or Letter of Credit	
	<input checked="" type="checkbox"/>	Tax Certification Statement	
	<input checked="" type="checkbox"/>	Commonwealth Department of State Verification	
	<input checked="" type="checkbox"/>	Certificate of Service	
		PUC Secretary's Bureau Use	

APPLICATION AFFIDAVIT

Commonwealth of Pennsylvania :
 :
 County of ^{AB} Allegheny ~~Allegheny~~ ^{ERIE} : ss.

David M. Mikula, Affiant, being duly sworn according to law, deposes and says that:

I am and Member and President of Northeast Energy Advisors, LLC.

That I am authorized to and do make this affidavit for Northeast Energy Advisors, LLC.

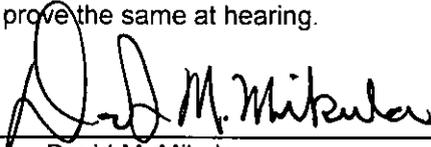
That the Applicant herein, Northeast Energy Advisors, LLC, has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein, Northeast Energy Advisors, LLC, has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein, Northeast Energy Advisors, LLC, acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein, Northeast Energy Advisors, LLC, acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that I expect said Applicant to be able to prove the same at hearing.



 David M. Mikula

Sworn and subscribed before me this 29 day of June, 2012.

COMMONWEALTH OF PENNSYLVANIA
 Notarial Seal
 Bernadette Baxter, Notary Public
 North East Boro, Erie County
 My Commission Expires June 24, 2014
 Member, Pennsylvania Association of Notaries



 Signature of official administering oath

My commission expires June 24, 2014.

RECEIVED
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 SECRETARY'S BUREAU

Appendix B

OPERATIONS AFFIDAVIT

Commonwealth of Pennsylvania :
County of Allegheny ERIE : ss.

David M. Mikula, Affiant, being duly sworn according to law, deposes and says that:

I am the President of Northeast Energy Advisors, LLC.

That I am authorized to and do make this affidavit for Northeast Energy Advisors, LLC.

That Northeast Energy Advisors, LLC, the Applicant herein, acknowledges that Northeast Energy Advisors, LLC may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Northeast Energy Advisors, LLC, the Applicant herein, asserts that it possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Northeast Energy Advisors, LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

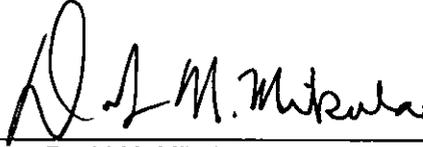
That Northeast Energy Advisors, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

[Continued on the following page.]

RECEIVED
2012 AUG 30 AM 9:59
PA P.U.C. SECRETARY'S BUREAU

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

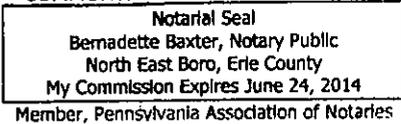
That the facts above set forth are true and correct/true and correct to the best of my knowledge, information, and belief.



David M. Mikula

Sworn and subscribed before me this 29 day of June, 2012

COMMONWEALTH OF PENNSYLVANIA



Signature of official administering oath

My commission expires June 24, 2014

Appendix C

CERTIFICATE OF SERVICE

On this the 29th day of August 2012, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

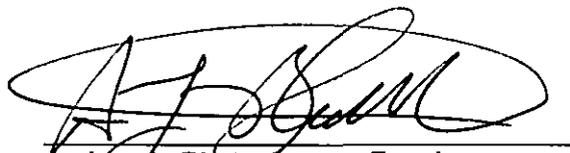
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

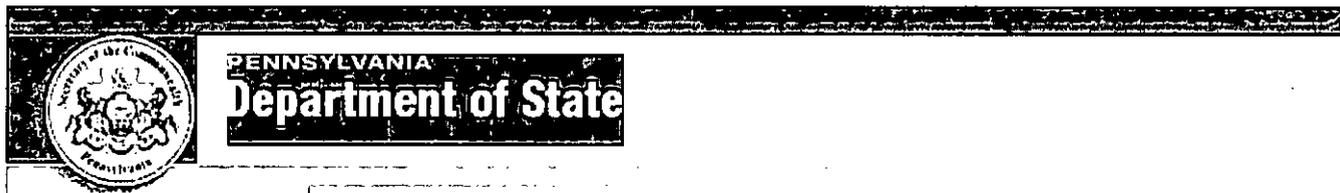
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901


Andrew L. Blattenberger, Esquire

RECEIVED
2012 AUG 30 AM 10:00
PA P.U.C.
SECRETARY'S BUREAU



Corporations

Online Services | Corporations | Forms | Contact Corporations | Business Services

- Search
- By Business Name
- By Business Entity ID
- Verify
- Verify Certification
- Online Orders
- Register for Online Orders
- Order Good Standing
- Order Certified Documents
- Order Business List
- My Images
- Search for Images

Business Entity

Filing History

Date: 6/13/2012 (Select the link above to view the Business Entity's Filing History)

Business Name History

Name	Name Type
Northeast Energy Advisors, LLC	Current Name

Limited Liability Company - Domestic - Information

Entity Number: 3790753
Status: Active
Entity Creation Date: 2/19/2008
State of Business.: PA
Registered Office Address: 578 Olive St
 Pittsburgh PA 15237
 Allegheny
Mailing Address: No Address

RECEIVED
 2012 AUG 30 AM 10:00
 PA P.U.C.
 SECRETARY'S BUREAU



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 Commonwealth of PA Privacy Statement



COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

JANUARY 6, 2012

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

Northeast Energy Advisors, LLC

I, Carol Aichele, Secretary of the Commonwealth of Pennsylvania

do hereby certify that the foregoing and annexed is a true and correct
copy of

Certificate of Organization filed on February 19, 2008

which appear of record in this department.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

Carol Aichele

Secretary of the Commonwealth

AFFIDAVIT IN SUPPORT OF REDUCED BOND AMOUNT

Commonwealth of Pennsylvania

County of Allegheny ^{BB} *ERIE*

ss.

David M. Mikula, Affiant, being duly sworn according to law, deposes and says that:

I am a Member and the President of Northeast Energy Advisors, LLC.

That I am authorized to and do make this affidavit for Northeast Energy Advisors, LLC.

That NorthEast Energy Advisors, LLC, is requesting a modification to the \$250,000.00 bonding requirement.

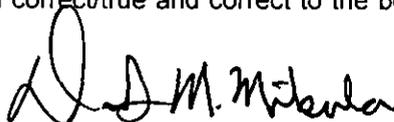
That Northeast Energy Advisors, LLC, not engage in the generation of electricity.

That Northeast Energy Advisors, LLC will not take title to electricity and will not pay electricity bills on behalf of its customers.

That Northeast Energy Advisors, LLC will serve only as an intermediary and/or consultant providing advice and services to its customers.

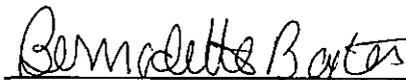
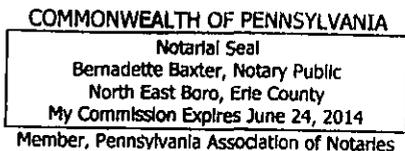
That Northeast Energy Advisors, LLC believes that a bond in the amount of Ten Thousand Dollars (\$10,000.00) is appropriate.

That the facts above set forth are true and correct/true and correct to the best of my knowledge, information, and belief.



David M. Mikula, Member/President
Northeast Energy Advisors, LLC

Sworn and subscribed before me this 29 day of June, 2012.



Signature of official administering oath

My commission expires June 24, 2014

RECEIVED
2012 AUG 30 AM 10:00
PA P.U.C.
SECRETARY'S BUREAU



August 11, 2012

RE: Northeast Energy Advisors

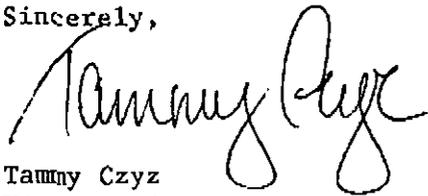
To Whom It May Concern:

Please be advised that above referenced account has established a satisfactory relationship with S&T Bank since September 2008.

Specifically, the Northeast Energy Advisors has maintained a depository account with an average low six figure balance.

If you should have any further questions, please contact me at 724-759-2160.

Sincerely,



Tammy Czyz
Vice President
Community Banking Manager
Wexford Office

RECEIVED
2012 AUG 30 AM 10:00
PA P.U.C.
SECRETARY'S BUREAU



3:54 PM
06/01/12
Cash Basis

NorthEast Energy Advisors
Balance Sheet
As of December 31, 2011

	<u>Dec 31, 11</u>
ASSETS	
Current Assets	
Checking/Savings	
S & T Bank	58,345.69
Total Checking/Savings	<u>58,345.69</u>
Total Current Assets	58,345.69
Fixed Assets	
Accumulated Depreciation	-124,733.73
Automobiles	116,430.20
Furniture and Equipment	<u>8,303.53</u>
Total Fixed Assets	<u>0.00</u>
TOTAL ASSETS	<u><u>58,345.69</u></u>
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Other Current Liabilities	
Note Payable - Audi Financial	44,473.62
Note Payable - Fifth Third Bank	<u>50,171.42</u>
Total Other Current Liabilities	<u>94,645.04</u>
Total Current Liabilities	<u>94,645.04</u>
Total Liabilities	94,645.04
Equity	
Dave - Capital	-802,074.48
Erich - Capital	-802,074.49
Net Income	<u>1,567,849.62</u>
Total Equity	<u>-36,299.35</u>
TOTAL LIABILITIES & EQUITY	<u><u>58,345.69</u></u>

3:54 PM
05/01/12
Cash Basis

NorthEast Energy Advisors
Profit & Loss YTD Comparison
January through December 2011

	<u>Jan - Dec 11</u>	<u>Jan - Dec 11</u>
Ordinary Income/Expense		
Income		
Income	4,013,933.73	4,013,933.73
Total Income	<u>4,013,933.73</u>	<u>4,013,933.73</u>
Expense		
Temporary Workers	1,400.00	1,400.00
Donation	575.00	575.00
Channel Partner	1,962,037.46	1,962,037.46
Entertainment	5,917.64	5,917.64
Accounting & Bookkeeping	4,793.15	4,793.15
Gifts	579.85	579.85
Advertising and Promotion	15,716.21	15,716.21
Automobile Expense	6,758.97	6,758.97
Bank Service Charges	360.49	360.49
Computer and Internet Expenses	9,847.75	9,847.75
Depreciation Expense	122,295.05	122,295.05
Fees, Dues and Subscriptions	1,320.84	1,320.84
Health Insurance	21,291.24	21,291.24
Insurance Expense	4,087.61	4,087.61
Interost Expense	3,261.48	3,261.48
Meals and Entertainment	3,831.77	3,831.77
Miscellaneous	193.24	193.24
Office Supplles	3,799.27	3,799.27
Payroll Expenses	75.00	75.00
Postage and Delivery	329.73	329.73
Professional Fees	3,465.00	3,465.00
Profit Sharing	250,840.00	250,840.00
Taxes		
Business Privilege Tax	660.00	660.00
Total Taxes	<u>660.00</u>	<u>660.00</u>
Telephone Expense	6,477.36	6,477.36
Travel Expenses		
Travel Expense	15,229.36	15,229.36
Meals	940.64	940.64
Total Travel Expenses	<u>16,170.00</u>	<u>16,170.00</u>
Total Expense	<u>2,446,084.11</u>	<u>2,446,084.11</u>
Net Ordinary Income	<u>1,567,849.62</u>	<u>1,567,849.62</u>
Net Income	<u><u>1,567,849.62</u></u>	<u><u>1,567,849.62</u></u>

3:54 PM
06/01/12
Cash Basis

NorthEast Energy Advisors
Balance Sheet
As of December 31, 2010

	<u>Dec 31, 10</u>
ASSETS	
Current Assets	
Checking/Savings S & T Bank	40,403.03
Total Checking/Savings	40,403.03
Other Current Assets	
Deposit on Vehicle	2,000.00
Total Other Current Assets	2,000.00
Total Current Assets	42,403.03
Fixed Assets	
Accumulated Depreciation	-2,438.68
Furniture and Equipment	2,438.68
Total Fixed Assets	0.00
TOTAL ASSETS	<u>42,403.03</u>
LIABILITIES & EQUITY	
Equity	
Dave - Capital	-277,186.98
Erich - Capital	-277,186.99
Net Income	596,777.00
Total Equity	42,403.03
TOTAL LIABILITIES & EQUITY	<u>42,403.03</u>

3:55 PM
 06/01/12
 Cash Basis

NorthEast Energy Advisors Profit & Loss YTD Comparison January through December 2010

	Jan - Dec 10	Jan - Dec 10
Ordinary Income/Expense		
Income		
Income	1,319,879.41	1,319,879.41
Total Income	1,319,879.41	1,319,879.41
Expense		
Donation	125.00	125.00
Channel Partner	563,337.99	563,337.99
Conference / Trade Show	110.00	110.00
Entertainment	945.29	945.29
Accounting & Bookkeeping	3,561.48	3,561.48
Gifts	218.80	218.80
Advertising and Promotion	1,209.05	1,209.05
Automobile Expense	23.24	23.24
Bank Service Charges	406.50	406.50
Computer and Internet Expenses	4,280.95	4,280.95
Depreciation Expense	450.47	450.47
Fees, Dues and Subscriptions	249.00	249.00
Health Insurance	15,259.47	15,259.47
Meals and Entertainment	2,085.60	2,085.60
Miscellaneous	1,650.94	1,650.94
Office Supplies	1,388.75	1,388.75
Payroll Expenses	29.48	29.48
Postage and Delivery	256.50	256.50
Professional Fees	675.00	675.00
Profit Sharing	98,000.00	98,000.00
Taxes		
Business Privilege Tax	245.59	245.59
Total Taxes	245.59	245.59
Telephone Expense	6,050.03	6,050.03
Travel Expenses		
Travel Expense	22,116.04	22,116.04
Meals	422.24	422.24
Travel Expenses - Other	5.00	5.00
Total Travel Expenses	22,543.28	22,543.28
Total Expense	723,102.41	723,102.41
Net Ordinary Income	596,777.00	596,777.00
Net Income	596,777.00	596,777.00

The Scranton Times (Under act P.L. 877 No 160. July 9,1976)
Commonwealth of Pennsylvania, County of Lackawanna

URBAN & BLATTENBERGER PC
TERRI PHEASANT
513 ALLEGHENY STREET HOLLIDAYSBURG PA 16648

Account # 565825
Order # 80974259
Ad Price: 241.60

Gina Krushinski
Being duly sworn according to law deposes and says that she is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

08/16/2012

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me
this 16th day of August A.D., 2012

Sharon Venturi
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Sharon Venturi, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Feb. 12, 2014
Member, Pennsylvania Association of Notaries



RECEIVED
2012 AUG 30 AM 10:00
PA P.U.C.
SECRETARY'S BUREAU

LEGAL NOTICE
PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE
Application of **Northeast Energy Advisors, LLC** For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker and/or Energy Consultant Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.
Northeast Energy Advisors, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer and/or energy consultant engaged in the business of supplying electricity. **Northeast Energy Advisors, LLC** proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Northeast Energy Advisors, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Northeast Energy Advisors, LLC's** attorney at the address listed below.
By and through Counsel:
Andrew L. Blattenberger, Esquire
Northeast Energy Advisors, LLC
c/o Urban & Blattenberger, P.C.
Attn: Andrew L. Blattenberger, Esquire
513 Allegheny Street
Hollidaysburg, PA 16648
Facsimile (614) 695-8757

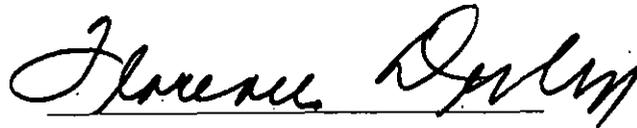
Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

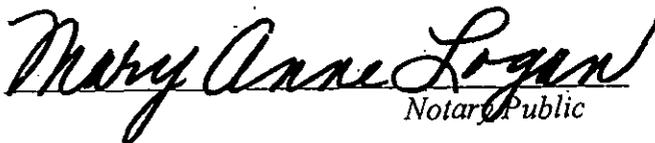
Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

August 17, 2012

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



Sworn to and subscribed before me this 17th day of August, 2012.



Notary Public

My Commission Expires:

NOTARIAL SEAL
Mary Anne Logan, Notary Public
City of Philadelphia, Phila. County
My Commission Expires 3/30/2013

Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE
Application of Northeast Energy Advisors, LLC
For Approval To Offer, Render, Furnish Or Supply
Electricity Or Electric Generation Services
As A Marketer/Broker and/or Energy Consultant
Engaged In The Business Of Supplying
Electricity To The Public In The Commonwealth
Of Pennsylvania.
Northeast Energy Advisors, LLC will be filing
an application with the Pennsylvania Public Utility
Commission ("PUC") for a license to supply
electricity for electric generation services as a
broker/marketer and/or energy consultant engaged
in the business of supplying electricity.
Northeast Energy Advisors, LLC proposes to
sell electricity and related services throughout
all of Pennsylvania under the provisions of the
new Electricity Generation Customer Choice
and Competition Act.
The PUC may consider this application without
a hearing. Protests directed to the technical or
financial fitness of Northeast Energy Advisors,
LLC may be filed within 15 days of the date of
this notice with the Secretary of the PUC, P.O.
Box 3285, Harrisburg, PA 17105-3285. You
should send copies of any protest to Northeast
Energy Advisors, LLC's attorney at the address
listed below.
By and through Counsel:
Andrew L. Blattenberger, Esquire
Northeast Energy Advisors, LLC
c/o Urban & Blattenberger, P.C.
Attn: Andrew L. Blattenberger, Esquire
513 Allegheny Street
Hollidaysburg, PA 16828
Pennsylvania (814) 696-8757

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss M. Goodwin, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

17 of August, 2012

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

M. Goodwin
PG Publishing Company

Sworn to and subscribed before me this day of:
August 17, 2012

Linda M. Gaertner
COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Linda M. Gaertner, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires Jan. 31, 2015
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

STATEMENT OF ADVERTISING COSTS

Urban & Blattenberger PC
513 ALLEGHENY ST STE 6
ATTN: ANDREW L BLATTENBERGER
HOLIDAYSBURG PA 16648-2021

To PG Publishing Company

Total ----- \$502.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
34 Boulevard of the Allies
PITTSBURGH, PA 15222
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Maria Lucca

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of Northeast Energy Advisors, LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker and/or Energy Consultant Engaged in the Business Of Supplying Electricity To The Public in The Commonwealth Of Pennsylvania.

Northeast Energy Advisors, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer and/or energy consultant engaged in the business of supplying electricity. Northeast Energy Advisors, LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Northeast Energy Advisors, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Northeast Energy Advisors, LLC's attorney at the address listed below.

By and through Counsel: Andrew L. Blattenberger, Esquire, Northeast Energy Advisors, LLC, c/o Urban & Blattenberger, P.C., Attn: Andrew L. Blattenberger, Esquire, 513 Allegheny Street, Hollidaysburg, PA 16648, Facsimile (814) 695-8757

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

URBAN & BLATTENBERGER PC
513 ALLEGHENY ST
HOLLIDAYSBURG PA 16648

REFERENCE: L0005701
2071915 PUC NOTICE

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 08/18

TOTAL COST: 294.00 AD SPACE: 7.000 INCH
FILED ON: 08/18/12

Sworn to and subscribed before me this

20 day of August 2012 Affiant: Debra McGraw
NOTARY: Laurene A. Daugherty

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Laurene A. Daugherty, Notary Public
City of Erie, Erie County
My Commission Expires Nov. 5, 2013
Member, Pennsylvania Association of Notaries

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Application of Northeast Energy Advisors, LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker and/or Energy Consultant Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

Northeast Energy Advisors, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer and/or energy consultant engaged in the business of supplying electricity. Northeast Energy Advisors, LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Northeast Energy Advisors, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Northeast Energy Advisors, LLC's attorney at the address listed below.

By and through Counsel: Andrew L. Blattenberger, Esquire
Northeast Energy Advisors, LLC
c/o Urban & Blattenberger, P.C.
Attn: Andrew L. Blattenberger, Esquire
513 Allegheny Street
Hollidaysburg, PA 16648
Phone (814) 688-7888
Facsimile (814) 688-6757

2071915

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

August 17, 2012

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
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the address listed below.

By and through Counsel
Andrew L. Blattenberger
Esquire

**Northeast Energy
Advisors, LLC**
c/o Brian &
Blattenberger, P.C.
Attn: Andrew L.
Blattenberger

THE SUN-GAZETTE
and certifies that

Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me
the 20th day of August 2012

Cathy A. Billey
Notary Public

NOTARIAL SEAL
CATHY A. BILLEY, Notary Public
City of Williamsport, Lycoming County
My Commission Expires May 15, 2015

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:
For publishing the notice attached
hereto on the above state dates.....\$ 293.36
Probated same.....\$
Total.....\$ 293.36

BY RECEIPT FOR ADVERTISING COSTS

reby acknowledges receipt of the aforesaid advertising and publication costs
in fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

COMMONWEALTH OF PENNSYLVANIA }
 County of Cambria } SS

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

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 Andrew L. Blattenberger, Esquire
 Northeast Energy Advisors, LLC
 c/o Urban & Blattenberger, P.C.
 Attn: Andrew L. Blattenberger, Esquire
 513 Allegheny Street
 Hollidaysburg, PA 16848
 Facsimile (814) 895-8767

publish that the of The in the s of said

On this 21st day of August A.D. 2012, before me, the subscriber, a Notary Public in and for said County and State, personally appeared **Christine Marhefka**, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the **Tribune-Democrat, Johnstown, PA**, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the **Johnstown Tribune**, established December 7, 1853; and of the **Johnstown Democrat**, established March 5, 1863, of Cambria, and Commonwealth of Pennsylvania and matter published in said publication in the regular issues on August 18, 2012; and that the Affiant is not interested at all of the allegations as to time, place and character

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 21st day of August, 2012.

[Signature]

0.00 Lines @ \$2.50 per line	0.00
7 Inches @ \$25.00 per inch	175.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	182.50

COMMONWEALTH OF PENNSYLVANIA
 Notarial Seal
 Vivian Ohs, Notary Public
 City of Johnstown, Cambria County
 My Commission Expires Dec. 6, 2012
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The **Tribune-Democrat, Johnstown, PA**
 For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

 (Name of Newspaper)

By _____

The Patriot-News Co.
2020 Technology Pkwy
Suite 300
Mechanicsburg, PA 17050
Inquiries - 717-255-8213

The Patriot-News
Now you know

URBAN & BLATTENBERGER
513 ALLEGHENY STREET

HOLLIDAYSBURG PA 16648

THE PATRIOT NEWS
THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929
Commonwealth of Pennsylvania, County of Dauphin} ss

Holly Blain, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue of and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

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Esquire
513 Allegheny Street
Hollidaysburg, PA 16648
Facsimile (814) 695-8757

This ad # 0002225149 ran on the dates shown below:

August 17, 2012

Sworn to and subscribed before me this 27 day of August, 2012 A.D.

Notary Public

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Sherrie L. Owens, Notary Public
Lower Paxton Twp., Dauphin County
My Commission Expires Nov. 26, 2015
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES



 **URBAN & BLATTENBERGER** P.C.
ATTORNEYS AT LAW

513 Allegheny Street - Hollidaysburg, PA 16648

To:

Pennsylvania Public Utility
Commission
Rosemary Chiavetta, Secretary
P.O. Box 3265
Harrisburg, PA 17105-3265



USPS TRACKING NUMBER

