

Pennsylvania Public Utility Commission
PO Box 3265
Harrisburg, PA 17105-3265
(717) 787-1227

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2012 OCT 15 PM 12:19

PA.P.U.C.
SECRETARY'S BUREAU

Application for Motor Common Carrier of Property

Please complete all parts of the following application. For questions, please call the Commission at (717) 787-3834.

1. **Legal Name of Applicant** (Individual, Partnership, LP, LLP, Corporation, or LLC)

Grady & Sons Excavation LLC

2. **Trade Name** (if using a fictitious trade name, it must be registered with the Dept. of State)

Fictitious name and Registration number (if applicable)

3. **Physical Address** (do not use PO Box)

2106 Longview Road

Street Address

Warrington, PA 18976-1525

City, State and Zip Code

267-221-3554

Telephone Number

Bucks

County

4. **Mailing Address** (if different from Physical Address)

Street Address

City, State and Zip Code

5. **Attorney** (if applicable)

Attorney's Name & Telephone Number for this Filing

Attorney's Address

6. **Does applicant currently hold or has ever held PA PUC authority?**

Yes **No** (circle one)

If yes, **PUC NO. A-** _____

7. **What type of commodity do you intend to transport?**

Aggregates

8. ~~Are you one of the following? If yes, check below.~~

~~Individual~~

~~Partnership~~

9. **Are you a business entity registered with the PA Department of State?**

If **YES**, please check below the type of business that applies to this Application and provide the Entity ID Number given to you by the PA Department of State:

Limited Partnership

Corporation Bureau Entity ID Number

Limited Liability Partnership

Corporation Bureau Entity ID Number

Limited Liability Company

(S-Corporation) 3884629

Corporation Bureau Entity ID Number

Corporation – For Profit

Corporation Bureau Entity ID Number

Corporation – Nonprofit

Corporation Bureau Entity ID Number

Fictitious Name (if applicable)

If **NO**, contact the PA Department of State and apply according to how you will do business in PA:

PA Corporations (Profit or Non-Profit)

- File for Articles of Incorporation

Foreign Corporations

- File for a Certificate of Authority

- PA Limited Partnerships,
Limited Liability Partnerships,
Limited Liability Companies - File for an Application of Registration
- Fictitious Name Registration - File **only if** Trade Name will be different
than the business name you register with
the Department of State

10. **Attachment Checklist**

- Individual: Certified Check, money order, or check from attorney
 Copy of Current Safety Rating (if available)
- Partnership: Certified Check, money order, or check from attorney
 List of names and addresses of ALL Partners
 Copy of Current Safety Rating (if available)
- Limited Partnership: Corporation Bureau Entity Number as entered above in #9
 Certified Check, money order, or check from attorney
 List of names and addresses of ALL Partners
 Copy of Current Safety Rating (if available)
- Limited Liability Partnership: Corporation Bureau Entity Number as entered above in #9
 Certified Check, money order, or check from attorney
 List of names and addresses of ALL Partners
 Copy of Current Safety Rating (if available)
- Limited Liability Company: Corporation Bureau Entity Number as entered above in #9
 Certified Check, money order, or check from attorney
 List of names and addresses of ALL Members and Title of each
 Member (even if only one member)
 Copy of Current Safety Rating (if available)
- Corporation – For Profit: Corporation Bureau Entity Number as entered above in #9
 Certified Check, money order, or check from attorney
 List of ALL Corporate Officers and Titles, name of each
 Shareholder and distribution of shares
 Copy of Current Safety Rating (if available)
- Corporation – Non-Profit: Corporation Bureau Entity Number as entered above in #9
 Certified Check, money order, or check from attorney
 List of ALL Corporate Officers and Titles and those serving on
 Board of Directors
 Copy of Current Safety Rating (if available)

11. Certification

Applicant certifies that it is not now engaged in intrastate transportation of property for compensation between points in Pennsylvania without Pennsylvania Public Utility Commission authorization and will not engage in any transportation not previously authorized by the Pennsylvania Public Utility Commission unless and until such authorization is obtained.

Applicant further certifies that it understands the requirements of the Pennsylvania Public Utility Commission, especially as they relate to safety and insurance and that it may be subject to civil penalties, suspension or cancellation of the Certificate for failure to comply with Commission requirements.

Applicant further certifies that it understands that it is subject to an annual assessment based upon its reported gross Pennsylvania intrastate revenues; said assessment to help defray expenses incurred in regulating Motor Common Carriers of Property; and acknowledges that failure to report revenue and pay its annual assessment may result in civil penalties, suspension or cancellation of the Certificate.

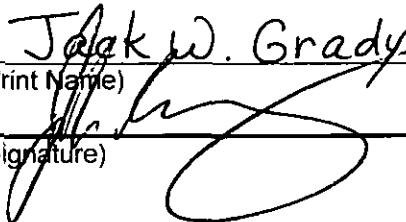
You must sign the following Verification of Application.

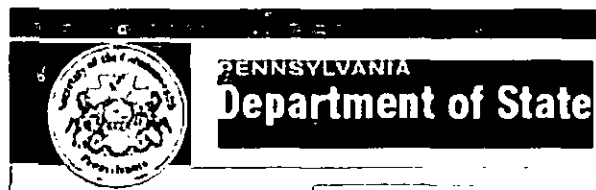
Verification of Application

The verification of the application must be completed by the applicant appearing on Line 1 of the application by the named individual, all partners (if a partnership, LP, or LLP), a member (if LLC), or by any officer (if a corporation).

I/we hereby state that the statements made in this application are true and correct to the best of my/our knowledge and belief.

The undersigned understands that false statements herein are made subject to the penalties of 18 Pa. C.S. Section 4904 Relating to Unsworn Falsification to Authorities.

Jack W. Grady
(Print Name)

(Signature) 10-10-2012
(Date)



Corporations

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Business Entity Filing History

Date: 6/2/2009 (Select the link above to view the Business Entity's Filing History)

Business Name History

Name	Name Type
Grady & Sons Excavation, LLC	Current Name

Limited Liability Company - Domestic - Information

Entity Number:	3884629
Status:	Active
Entity Creation Date:	5/29/2009
State of Business.:	PA
Registered Office Address:	2106 Longview Road Warrington PA 18976
Mailing Address:	No Address Same as above

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Commonwealth of PA Privacy Statement



Department of the Treasury
Internal Revenue Service
CINCINNATI OH 45999-0038

RECEIVED
MAR 18 2010

BY: *ldg*

For assistance, call:
1-800-829-0115

Notice Number: CP261
Date: March 15, 2010

Taxpayer Identification Number:
27-0297335
Tax Form:
Tax Period:

048644.671350.0159.004 1 AT 0.357 532



GRADY & SONS EXCAVATION LLC
BOBBY C GRADY MBR
2106 LONGVIEW RD
WARRINGTON PA 18976-1525060

8644

Notice of Acceptance as an S Corporation

We have accepted your election to be treated as an S corporation beginning June 1, 2009. Your accounting period will end in December.

We would also like to take this opportunity to inform you of your tax obligations related to the payment of compensation to shareholder-employees of S corporations.

When a shareholder-employee of an S corporation provides services to the S corporation, reasonable compensation generally needs to be paid. This compensation is subject to employment taxes.

Tax practitioners and subchapter S shareholders need to be aware that Revenue Ruling 74-44 states that the Internal Revenue Service (IRS) will re-characterize small business corporation dividends paid to shareholders as salary when such dividends are paid to the shareholders in lieu of reasonable compensation for services.

The IRS may also re-characterize distributions other than dividend distributions as salary. This position has been supported in several recent court decisions.

If you have any questions about this notice or the action we have taken, please call us at the telephone number listed above. If you prefer, you may write to us at the address shown at the top of this notice. If you write to us, please provide your telephone number and the most convenient time for us to call so we can resolve your inquiry. Please return the bottom part of this notice to help us identify your case.

For tax forms, instructions and information visit www.irs.gov. (Access to this site will not provide you with your specific taxpayer account information.)

U.S. Department of Transportation
Federal Motor Carrier Safety Administration
A&I Online: Safety Measurement System

A&I LOGIN | CONTACTS | FEEDBACK | FMCSA.GOV | FMCSA PORTAL | DOT.GOV

Search All FMCSA Sites

A&I SITES | SMS HOME | CARRIER SEARCH | REPORTS | DOWNLOADS | INFORMATION CENTER

SMS Home > Carrier Overview

GRADY & SONS EXCAVATION LLC

DOT#:1900683



Welcome to the Motor Carrier Safety Measurement System

The SMS provides an assessment of a motor carrier's on-road performance and investigation results within the Behavior Analysis and Safety Improvement Categories (BASICS). Assessments cover 24 months of activity and results are updated monthly. For current Motor Carrier Safety Ratings visit [SAFER](#) and for current operating authority and insurance information visit [Licensing and Insurance](#) (L&I) system.

Attention Motor Carriers

- View your SMS Preview results now
- What is this?



NOTE: This Carrier has no current Operating Authority with FMCSA (click here for details).

Select a BASIC below to view details

BASICs Overview
 (Based on a 24-month record ending September 28, 2012)

- Unsafe Driving
- Fatigued Driving (Hours-of-Service)
- Driver Fitness
- Controlled Substances and Alcohol
- Vehicle Maintenance
- Cargo-Related
- Crash Indicator

PERFORMANCE

On-Road Investigation	BASICs Status
No Violations	
No Violations	
Inconclusive	
No Violations	
Insufficient Data	
Not Public	Not Public
Not Public	Not Applicable

Print-Ready Measurement Profile

SUMMARY OF ACTIVITIES

	Number	QOS Rate
Total Inspections:	3	
Vehicle Inspections:	2	0 %
Driver Inspections:	3	0 %
Hazardous Materials Inspections:	0	0 %
HM Placard Inspections:	0	0 %
Total Crashes*:	0	
Tow-aways:	0	
injuries/Fatalities:	0	

*Crashes listed represent a motor carrier's involvement in reportable crashes, without any determination as to responsibility. [Continue for details.](#)

RECENT INVESTIGATIONS

There are no recent investigations.

[History](#)
 For more information on the BASICs Overview please visit our [Information Center](#)

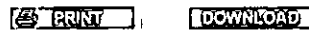
ICON LEGEND

- Serious violation cited within last 12 months from an investigation.
- Denotes this carrier exceeds the FMCSA intervention threshold relative to its safety event grouping based upon roadside data and/or has been cited with one or more serious violations within the past 12 months during an investigation. Therefore, this carrier may be prioritized for an intervention action and roadside inspection.

DATA CENTER

Scope:

File Type:



Carrier Registration Information as of September 28, 2012

USDOT#	1900683	Power Units:	1
DBA		Vehicle Miles Traveled:	41,224
Name:		VMT Year:	2011
Address:	2106 Longview Rd Warrington, PA 18978-1525	Drivers:	2
Telephone:	(267) 221-3554	Carrier Operation:	Interstate
Fax:		Passenger:	No
Email:	gradysons@verizon.net	Subject to Placardable HM Threshold:	No
		Household Goods:	No
		New Entrant:	No

Carrier Registration
 Registration Updated: 01/09/2012

Need more information about what SMS is or how to improve safety in a BASIC?

[Learn more](#)



[View Carrier Registration Details](#)

USE OF SMS DATA/INFORMATION

The data in the Safety Measurement System (SMS) is performance data used by the Agency and Enforcement Community. A symbol, based on that data, indicates that FMCSA may prioritize a motor carrier for further monitoring.

The symbol is not intended to imply any federal safety rating of the carrier pursuant to 49 USC 31144. Readers should not draw conclusions about a carrier's overall safety condition simply based on the data displayed in this system. Unless a motor carrier in the SMS has received an UNSATISFACTORY safety rating pursuant to 49 CFR Part 385, or has otherwise been ordered to discontinue operations by the FMCSA, it is authorized to operate on the nation's roadways.

Motor carrier safety ratings are available at <http://safer.fmcsa.dot.gov> and motor carrier licensing and insurance status are available at <http://li-public.fmcsa.dot.gov/>.

USDOT Number
 MC/MX Number
 Name

Enter Value: 1900683

Search

Company Snapshot

GRADY & SONS EXCAVATION LLC
USDOT Number: 1900683

[ID/Operations](#) | [Inspections/Crashes In US](#) | [Inspections/Crashes In Canada](#) | [Safety Rating](#)

Other Information for this Carrier

- ♥ [SMS Results](#)
- ♥ [Licensing & Insurance](#)

Carriers: If you would like to update the following ID/Operations information, please complete and submit form MCS-150 which can be obtained [online](#) or from your State FMCSA office. If you would like to challenge the accuracy of your company's safety data, you can do so using FMCSA's [DataQs](#) system.

Carrier and other users: FMCSA provides the Company Safety Profile (CSP) to motor carriers and the general public interested in obtaining greater detail on a particular motor carrier's safety performance than what is captured in the Company Snapshot. To obtain a CSP please visit the [CSP order page](#) or call (800)832-5660 or (703)280-4001 (Fee Required).

For help on the explanation of individual data fields, click on any field name or for help of a general nature go to [SAFER General Help](#).

The information below reflects the content of the FMCSA management information systems as of 10/10/2012.

Entity Type:	Carrier		
Operating Status:	NOT AUTHORIZED	Out of Service Date:	None
Legal Name:	GRADY & SONS EXCAVATION LLC		
DBA Name:			
Physical Address:	2106 LONGVIEW RD WARRINGTON, PA 18976-1525		
Phone:	(267) 221-3554		
Mailing Address:	2106 LONGVIEW RD WARRINGTON, PA 18976-1525		
USDOT Number:	1900683	State Carrier ID Number:	
MC or MX Number:	mc-804159	DUNS Number:	30-510-186
Power Units:	2	Drivers:	2
MCS-150 Form Date:	10/09/2012	MCS-150 Mileage (Year):	43,520 (2012)
Operation Classification:			
<input checked="" type="checkbox"/> Auth. For Hire <input type="checkbox"/> Priv. Pass.(Non-business) <input type="checkbox"/> State Gov't <input type="checkbox"/> Exempt For Hire <input type="checkbox"/> Migrant <input type="checkbox"/> Local Gov't <input checked="" type="checkbox"/> Private(Property) <input type="checkbox"/> U.S. Mail <input type="checkbox"/> Indian Nation <input type="checkbox"/> Priv. Pass. (Business) <input type="checkbox"/> Fed. Gov't			
Carrier Operation:			
<input checked="" type="checkbox"/> Interstate <input type="checkbox"/> Intrastate Only (HM) <input type="checkbox"/> Intrastate Only (Non-HM)			
Cargo Carried:			
<input checked="" type="checkbox"/> General Freight Liquids/Gases Chemicals Household Goods Intermodal Cont. Commodities Dry Bulk Metal: sheets, coils, rolls Passengers Refrigerated Food Motor Vehicles Oilfield Equipment Beverages Drive/Tow away Livestock Paper Products Logs, Poles, Beams, Lumber Grain, Feed, Hay Utilities Building Materials Coal/Coke Agricultural/Farm Supplies Mobile Homes Meat <input checked="" type="checkbox"/> Construction <input checked="" type="checkbox"/> Machinery, Large Objects Garbage/Refuse Water Well Fresh Produce US Mail <input checked="" type="checkbox"/> CONSTRUCTION,DIR			

[ID/Operations](#) | [Inspections/Crashes In US](#) | [Inspections/Crashes In Canada](#) | [Safety Rating](#)

US Inspection results for 24 months prior to: 10/10/2012

Total inspections: 3

Note: Total inspections may be less than the sum of vehicle, driver, and hazmat inspections. Go to [Inspections Help](#) for further information.

Inspections:

Inspection Type	Vehicle	Driver	Hazmat
Inspections	2	3	0
Out of Service	0	0	0
Out of Service %	0%	0%	%
Nat'l Average % (2009- 2010)	20.72%	5.51%	4.50%

Crashes reported to FMCSA by states for 24 months prior to: 10/10/2012

Note: Crashes listed represent a motor carrier's involvement in reportable crashes, without any determination as to responsibility.

Crashes:

Type	Fatal	Injury	Tow	Total
Crashes	0	0	0	0

ID/Operations | Inspections/Crashes In US | Inspections/Crashes In Canada | Safety Rating

Canadian Inspection results for 24 months prior to: 10/10/2012

Total inspections: 0

Note: Total inspections may be less than the sum of vehicle and driver inspections. Go to [Inspections Help](#) for further information.

Inspections:

Inspection Type	Vehicle	Driver
Inspections	0	0
Out of Service	0	0
Out of Service %	0%	0%

Crashes results for 24 months prior to: 10/10/2012

Note: Crashes listed represent a motor carrier's involvement in reportable crashes, without any determination as to responsibility.

Crashes:

Type	Fatal	Injury	Tow	Total
Crashes	0	0	0	0

ID/Operations | Inspections/Crashes In US | Inspections/Crashes In Canada | Safety Rating

The Federal safety rating does not necessarily reflect the safety of the carrier when operating in intrastate commerce.

Carrier Safety Rating:

The rating below is current as of: 10/10/2012

Review Information:

Rating Date:	None	Review Date:	None
Rating:	None	Type:	None



Grady & Sons Excavation * 2106 Longview Road * Warrington, PA 18976 * gradysons@verizon.net
Jack Grady-Pres. 267-221-3554 or Bob Grady-V.P. 267-897-0036
GradySonsExcavation.thebluebook.com

LIST OF ALL PARTNERS

1. Jack W Grady-President
2106 Longview Rd
Warrington PA 18976-1525
50% Owner

2. Bob C Grady-Vice President
94 Maple Lane
Doylestown PA 18901-5249
50% Owner

UNITED STATES
POSTAL SERVICE



18976
OCT 12 12
AMOUNT

\$5.15

00042715-05

PLEASE PRESS FIR.

1006

17105

USPS TRACKING NUMBER



9502 5104 2715 2286 5914 75

Expéditeur:

Grady & Sons Excavators
2106 Longview Rd
Warrington, PA 18976-1525

To/Destinataire:

Pennsylvania Public Utilities Commission
P.O. Box 3265
Harrisburg, PA 17105-3265

Country of Destination/Pays de destination: