

October 11, 2012

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission Keystone Building, 2<sup>nd</sup> Floor Room N201 Harrisburg, PA 17120

#### Electric Generation Supplier (EGS) License Application

Dear Secretary Chiavetta:

Energy Procurement Partners is pleased to present you with the attached completed Electric Generation Supplier (EGS) License Application for approval to offer, render, furnish, or supply electricity or electric generation services as a **Broker / Marketer** to the public in the Commonwealth of Pennsylvania.

As requested in the application, the original and two (2) copies are attached, as well as a CD ROM containing the entire application.

Please do not hesitate to contact me should you have any questions: We look forward to becoming an approved and licensed Electric Generation Supplier and providing exceptional service to the public in the Commonwealth of Pennsylvania.

Sincerely,

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Vaugnn Kaizer Managing Partner

**Energy Procurement Partners** 

#### BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Energy Procurement Partners, d/b/a Energy Pro-	curement Partners	, for approval to offer, render,
furnish, or supply electricity or electric generation services as a	Broker/Marketer	to the public in
the Commonwealth of Pennsylvania (Pennsylvania).		

To the Pennsylvania Public Utility Commission:

#### 1. IDENTIFICATION AND CONTACT INFORMATION

a. **IDENTITY OF THE APPLICANT**: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Energy Procurement Partners 746 9th Street, Oakmont, PA 15139

P: 412-828-0404 F: 412-828-0433 Website: epp-co.com

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Not applicable - Applicant maintains the address provided in Item 1a of this Application.

c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Vaughn Kaizer, Managing Partner P: 412-828-0404 F: 412-828-0433 vkaizer@epp-co.com

**d. ATTORNEY.** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Applicant is not using an attorney.

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Vaughn Kaizer, Managing Partner 746 9th Street, Oakmont, PA 15139

P: 412-828-0404 F: 412-828-0433 E: vkaizer@epp-co.com

James O'Hern, Associate 746 9th Street, Oakmont, PA 15139

P: 917-974-4693 F: 412-828-0433 E: johern@epp-co.com

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a.	FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.)
	The Applicant will be using a fictitious name or doing business as ("d/b/a")
	Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.
	The Applicant will not be using a fictitious name.
b.	BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)
	The Applicant is a sole proprietor.
	<ul> <li>If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa.</li> <li>C.S. §4124 relating to Department of State filling requirements.</li> </ul>
	Or
	The Applicant is a:
	domestic general partnership (*)
	domestic limited partnership (15 Pa. C.S. §8511)
	foreign general or limited partnership (15 Pa. C.S. §4124)
	domestic limited liability partnership (15 Pa. C.S. §8201)
	foreign limited liability general partnership (15 Pa. C.S. §8211)
	foreign limited liability limited partnership (15 Pa. C.S. §8211)
	<ul> <li>Provide proof of compliance with appropriate Department of State filing requirements as indicated above.</li> </ul>
	<ul> <li>Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.</li> </ul>
	<ul> <li>Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.</li> </ul>
	<ul> <li>* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.</li> </ul>

or

_	The Applicant is a:					
		domestic corporation (15 Pa. C.S. §1308) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913) foreign limited liability company (15 Pa. C.S. §8981) Other (Describe):				

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

Please see Attachment 1 - Business Entity Filings and Applications

#### 3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Applicant does not have any affiliates doing business.

Applicant does not have any affiliates that are currently applying to do business in PA.

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Applicant does not have any predecessor(s) that have done business.

#### 4. **OPERATIONS**

a.	APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)
	The Applicant is presently doing business in Pennsylvania as a  municipal electric corporation electric cooperative local gas distribution company provider of electric generation, transmission or distribution services Other; Identify the nature of service being rendered.
	or
	The Applicant is not presently doing business in Pennsylvania.
b.	APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (may check multiple):
	Generator of electricity Supplier of electricity Aggregator engaged in the business of supplying electricity Broker/Marketer engaged in the business of supplying electricity services Electric Cooperative and supplier of electric power Other (Describe):
	<u>Definitions</u>
	<ul> <li>Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.</li> <li>Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.</li> <li>Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy <u>but does not take title to electric energy</u>.</li> </ul>
C.	<b>PROPOSED SERVICES</b> : Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.
	Provide electricity procurement consulting services to commercial and industrial customers.  Analyze customer usage data.
	Evaluate supply pricing products and tariff rates.  Assist clients in understanding and negotiating terms and conditions of supplier contracts.
	Develop and issue RFPs.  Assist client in selecting a third party supplier.  Provide on-going support to client througout the contract term.

d.		<b>DSED SERVICE AREA:</b> Provide a list of each Electric Distribution Company for which the Applicant es to provide service.
	Duque MetEd PECO Penele	PPL West Penn
e.	CUSTO	DMERS: Applicant proposes to provide services to:
		Residential Customers Small Commercial Customers - (25 kW and Under) Large Commercial Customers - (Over 25 kW) Industrial Customers Governmental Customers All of above Other (Describe):
f.	PROPO	OSED MARKETING METHOD (check all that apply)
		Internal – Applicant will use its own internal resources/employees for marketing External EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer Affiliate – Applicant will use a NON-EGS affiliate marketing company and or individuals. External Third-Party – Applicant will contract with a NON-EGS third party marketing company and or individuals Other (Describe):
g.	DOOR	TO DOOR SALES: Will the Applicant be implementing door to door sales activities?
	X)	Yes No
		If yes, will the Applicant be using a Third Party Verification procedure?
	□ 24	Yes No
		If yes, describe the Applicant's Third Party Verification procedures.

 START DATE: Provide the approximate date the Applicant proposes to begin services within the Commonwealth.

November 15, 2012

#### 5. COMPLIANCE

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Neither Applicant nor persons identified in this Application have been or are currently the defendant of a criminal or civil proceding.

 SUMMARY: If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not applicable.

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

Applicant has no actions or complaints to list.

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not applicable.

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#### 6. PROOF OF SERVICE

Please see attached Appendix C - Certificate of Service. Appendix C has been completed and signed certifying that Energy Procurement Partners' application and all attachments have been served upon the following statutory agencies and EDCs.

a.) **STATUTORY AGENCIES**: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

b.) EDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, aprovide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

#### Allegheny Power:

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

#### **Duquesne Light:**

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

#### Met-Ed, Penelec, and Penn Power:

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

#### Citizens' Electric Company:

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

#### Wellsboro Electric Company:

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

#### PECO:

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

#### PPL:

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

#### UGI:

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

#### Pike County Light & Power Company:

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

#### 7. FINANCIAL FITNESS

a.	BONDING:	In accordance	with 66 Pa. C.	S. Section 28	309(c)(1)(i), the	e Applicant is	required to t	file a bond or
	other instru	ment to ensure	its financial res	ponsibilities a	and obligations	s as an EGS.	Therefore,	the Applicant
	is							

Furnishing the original (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.

Furnishing the original (along with copies) of another initial security for Commission approval, to ensure financial responsibility.

 $\mathbf{X}$ Filing for a modification to the \$250,000 requirement and furnishing the original (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

- At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.
  - Example version of a bond and letter of credit are attached at Appendix D & E. Applicant's security
- must follow language from these examples.
- Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

Please see Attachment 2 - Bond Modification Support Statement (for lesser amount) and original bond along with two (2) copies.

- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
  - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
  - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
  - Applicant's accounting statements, including balance sheet and income statements for the past two years.
  - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
  - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
  - Audited financial statements exhibiting accounts over a minimum two year period.
  - X Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Please see Attachment 3 - Financial Records, Statements and Ratings.

c. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number. and e-mail address of Applicant's custodian for its accounting records.

> C. Jeffrey Stump 302 Roanoke Street, Christiansburg, VA 24073 P: 540-381-7372 F: 540-381-1560 E: cjstump@verizon.net

d. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

#### 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a.) EXPERIENCE, PLAN, STRUCTURE: such information may include:
  - Applicant's previous experience in the electricity industry.
  - Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
  - Type of customers and number of customers Applicant currently serves in other jurisdictions.
  - Staffing structure and numbers as well as employee training commitments.
  - Business plans for operations within the Commonwealth.
  - Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
  - Any other information appropriate to ensure the technical capabilities of the Applicant.

#### Please see Attachment 4 - Technical Fitness

b.) OFFICERS: Identify Applicant's chief officers including names and their professional resumes.

Vaughn Kaizer Managing Partner / Owner

#### Please see Attachment 5 - Professional Resume of Vaughn Kaizer

c.)	.) FERC FILING: Applicant has:			
		Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.		
	Received approval from FERC to be a Power Marketer at Docket or Case Number			
	X	Not applicable		

#### 9. DISCLOSURE STATEMENT:

**Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.

 Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated.
 Penalties and procedures for ending contracts should be clearly communicated.

X Applicant is not proposing to serve Residential and/or Small Commemercial customers. Also, not applicable for an applicant applying for a license exclusively as a broker/marketer.

#### 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
  - proof of registration as a PJM Load Serving Entity (LSE), or
  - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)
 AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
 AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
 ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.

AGREED

- c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
  - Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
  - Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
  - The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
  - Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
  - Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

**X** AGREED

- d. **TRANSFER OF LICENSE**: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.
  - **X** AGREED
- ASSESSMENT: The Commission does not <u>presently</u> assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see PPL Energyplus, LLC v. Commonwealth, 800 A.2d 360 (Pa. Cmwlth. 2002).
  - **X** ACKNOWLEDGED
- f. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.
  - AGREED

g.	FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds
	for denying the Application or, if later discovered, for revoking any authority granted pursuant to the
	Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in
	official matters.

X AGREED

h. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

**X** AGREED

i. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

**X** AGREED

- j. Electronic Data Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at appendix J.
  - **X** AGREED
- k. FEE: The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.
  - PAYMENT ENCLOSED

#### 11. AFFIDAVITS

a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

Please see attached Appendix A - Application Affidavit.

b.) OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

Please see attached Appendix B - Operations Affidavit.

#### 12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

#### Please see Attachment 6 - Certification of Publication and Photostatic Copy

	<u>Duquesne</u>	Met Ed	PECO	Penelec	Penn Power	PPL	UGI	West Penn	Entire Commonwealth
Philadelphia Daily News		Х	×			х			×
Harrisburg Patriot- News		X	}	Х		×		Х	X
Scranton Times Tribune		х		Х		х	х		Х
Williamsport Sun Gazette				X		х		X	×
Johnstown Tribune Democrat				Х				Х	×
Erie Times-News				×	X				X
Pittsburgh Post-Gazette	X				Х			X	Х

(Example Publication is provided at Appendix H)

#### 13. SIGNATURE

Applica	int:: 115	
<sub>By:</sub> v	aughn Kaizer	
Tille:_	Managing Partner	

### Attachment 1 Business Entity Filings and Applications

As noted in Item 2. b of the Application, Applicant hereby submits the following to support the selection of "domestic limited liability company" in Item 2 b:

- •Articles of Incorporation -For Profit was filed on January 28, 2008 with the Pennsylvania Department of State Corporation Bureau; copy is attached.
- •Energy Procurement Partners was provided with an entity number by the Pennsylvania Department of State, as follows: 3784694

#### Owners:

Vaughn Kaizer (95%)
746 9<sup>th</sup> Street, Oakmont, PA 15139

Patricia Kaizer (5%)

746 9th Street, Oakmont, PA 15139

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

# COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU 206 NORTH OFFICE BUILDING P.O. BOX 8722 HARRISBURG, PA 17105-8722 WWW.CORPORATIONS.STATE.PA.US/CORP

#### ENERGY PROCUREMENT PARTNERS, INCORPORATED

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT <u>WWW.CORPORATIONS.STATE.PA.US/CORP</u> OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 3784694

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OCT 12 2012

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Articles of				
Afficies of	Incorporation-Fo	or Profit		
	ck (§ 1306) istock (§ 2102) utory close (§ 2303)	Profession	ment (§ 2703) onal (§ 2903) e (§ 3101)	
Name VAULUN CHIZER Address 46 9TH STREET		1	vill be returned ddress you ente	
	ip Codo	— ∤ Co ARTIC	ommonwealth	of Pennsylvania RPORATION 3 Page(
\$125			T0802	967022
"company" or any abbreviation. "Profes.  ENERGY PROUNEMENT	•		RATEC	<b>)</b>
2. The (a) address of this corporation's curre acceptable) or (b) name of its commercial.  Output  Description:	ent registered office in I registered office prov	this Commonwea	lth (post office ity of venue is:	box, alone, is not
2. The (a) address of this corporation's curre acceptable) or (b) name of its commercial (a) Number and Street	ent registered office in Il registered office prov City	this Commonwea ider and the coun	ty of venue is:	box, alone, is not
acceptable) or (b) name of its commercia (a) Number and Street	l registered office prov City	ider and the coun	ty of venue is:	
acceptable) or (b) name of its commercia	City  OA KMON	ider and the coun	ty of venue is: Zip 15139	County

PA DEPT. OF STATE

JAN 28 2008

The name and address, including number and stressign below):	rect, if any, of each incorporator (all incorporators must
VAUGUN KATZER 740	6 9TH STREET DAKMENT PA 15139
6. The specified effective date, if any: 111201 month/day/year he	OS
7. Additional provisions of the articles, if any, attac	ch an 8½ by 11 sheet.
	orporation nor any shareholder shall make an offering of any of subtic offering" within the meaning of the Securities Act of
9. Cooperative corporations only: Complete and sta	·
	IN TESTIMONY WHEREOF, the incorporator(s) has/have signed these Articles of Incorporation this 25th day of JANVING 2008  Signature

### Attachment 2 Bond Modification Support Statement

As noted in Item 7.a of the Application, Applicant is hereby filing for a modification to the \$250,000 requirement and furnishing the original (along with two (2) copies) of an initial bond to the Commission in the amount of \$10,000 (attached).

Applicant is filing for a modification based on the following information:

- · Applicant will not take title to the electricity;
- Applicant will not be responsible for collecting Gross Receipts Tax (GRT);
- Applicant will not make payments on behalf of customers to the EDC or ESG that provides retail electric supply service.

### Attachment 3 Financial Records, Statements and Ratings

As noted in Item 7.b of the Application, Applicant is hereby providing the following information to demonstrate financial fitness commensurate with the service proposed to be provided:

- Bank account statement (most recent statement, which shows average annual balance);
- Corporate tax returns for the past two years (2011 and 2010)

PNC Bank

For the Period 08/01/2012 to 08/31/2012

Primary Account Number: Page 1 of 4

Number of enclosures: 0

ENERGY PROCUREMENT PARTNERS LLC 746 9TH ST OAKMONT PA 15139-1349 For 24-hour banking sign on to
PNC Bank Online Banking on pnc.com
FREE Online Bill Pay

For customer service call 1-877-BUS-BNKG Monday - Friday: 7 AM - 10 PM ET Saturday & Sunday: 8 AM - 5 PM ET

⋈ PNCBANK

Para servicio en espanol, 1-877-BUS-BNKG

Moving? Please contact your local branch

Write to: Customer Service PO Box 609 Pittsburgh, PA 15230-9738

Visit us at PNC.com/mybusiness/

TDD terminal: 1-800-531-1648
For hearing impaired clients only

IMPORTANT NOTICE ABOUT PNC POINTS®: The PNC points Program is being discontinued for customers with PNC Bank

Visa® Check Cards. However, the PNC Purchase Payback Rewards Program will be enhanced to offer cash rewards to eligible debit and credit customers for qualifying purchases at participating merchants. PNC points® and PNC Flex® Visa credit card customers will continue to enjoy the benefits of PNC points.

#### For PNC Bank Visa Check Card customers:

- > You will no longer be able to enroll or link your Consumer or Business Check Card(s) in PNC points on or after November 1, 2012.
- > Any Check Cards enrolled or linked in PNC points before November 1, 2012 will continue to earn points through January 31, 2013.
- > If your Check Card isn't linked to a PNC points participating credit card in your name, the points in your account must be redeemed before

December 1, 2013 or they will be forfeited.

Look for exciting, new information about the PNC Purchase Payback Rewards Program later this fall.

(If your Check Card is associated with a Free Checking, Foundation or Virtual Wallet checking product and was previously enrolled or linked in PNC points but is no longer earning points, you cannot reopen your PNC points account by changing your checking account type to one that participates in PNC points effective November 1, 2012.)

#### IMPORTANT ACCOUNT INFORMATION

The information below amends the PNC Funds Availability Policy for Business Accounts. Please read this information and retain it with your records.

Effective August 20, 2012

#### Determining Availability of a Deposit:

The cut-off time for a mobile device and a remote deposit scanner is 10:00 p.m. Funds deposited after this time will be considered deposited the next business day.

All other cut-off times and terms of the Funds Availability Policy remain the same. If you have questions or



Free Business Checking Account Number: continued

For the Period 08/01/2012 to 08/31/2012 Energy Procurement Partners Lic Primary Account Number: Page 2 of 4

would like a copy of the complete Funds Availability Policy for Business Accounts, please visit your local PNC branch or call us at the Customer Service phone number listed above.

#### IMPORTANT INFORMATION ABOUT ATM TRANSACTIONS AND PURCHASES

Under certain conditions we may allow you to complete a transaction that may cause an overdraft to your business checking or money market account when using your PNC Bank Business Visa® Check Card at PNC Bank ATMs, non-PNC ATMs, and for merchant purchases. At PNC Bank ATMs we can give you the choice to cancel the transaction if it would cause an overdraft. We are not able to provide you this choice at a non-PNC Bank ATM or when making merchant purchases.

If you would prefer that we not allow overdrafts for card transactions at the ATM or merchant, please call us at 1-877- 222-5401, Monday - Friday, 7 a.m. - 10 p.m.; Saturday and Sunday, 8 a.m. - 5 p.m. (ET), to opt-out. If you do so, we will decline transactions if your available funds are not sufficient to cover them.

If you have called previously to opt-out, you do not need to call again.

For more information, please see our Business Checking Accounts and Related Charges and / or Account Agreement for Business Accounts, Payment of Overdrafts for Card Transactions section.

#### Free Business Checking Summary

**Energy Procurement Partners Lic** 

Account number:

Overdraft Protection has not been established for this account. Please contact us if you would like to set up this service.

#### **Balance Summary**

Beginning balance 62.020.91 Deposits and other additions 55,592,12

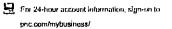
Checks and other deductions 38,786,44

Ending balance 78,826.59

Average ledger balance 57,898.93 Average collected balance 55,740.15

1,695 - points balance as of August 31, 2012 For CheckCard

Deposits and Other Additions				Checks and Other Deductions			
Description		Items	Amount	Description		llems	Amount
Deposits		3	51,290.28	Checks		3	22,924.00
ACH Additions		3	4,301.84	ATM/Misc. Check Card Transactions		3	603.47
				ACH Deductions		6	15,253.97
				Service Charges a	ind Fees	2	5.00
Total		6	55,592.12	Total		14	38,786.44
Daily Balance							
Date	Ledger balance	Date		Ledger balance	Date	Le	dger balance
08/01	61,818.41	08/10		69,973.15	08/29		84,826.59
08/02	67,791.26	08/13		45,214.18	08/31		78,826.59
08/08	63,936.26	08/15		43,666.21			
08/09	61,720,26	08/28		84,156.07			



08/01

08/13

2.50

2.50

ATM Withdrawal Fee

ATM Withdrawal Fee

For the Period 08/01/2012 to 08/31/2012 Energy Procurement Partners Lic

Primary Account Number:

MACSAS459 0007523

0015205

PLUHD63

Activity Detail			
Deposits and Other	Additions		
Deposits			
Date posted	Amaunt	Transaction description	Reference number
08/02	2,914.87	Deposit	502543631
08/10	7,885.55	Deposit	502181181
08/28	40,489.86	Deposit	503518543
ACH Additions			
Date posted	Amount	Transaction description	Reference number
08/02	3,263.98	Corporate ACH Acct Refer	00012214004916890
	•	Reliant Energy N 039567810010383	
08/10	367.34	Corporate ACH Payments Sprague Oper 83314	00012222003450416
08/29	670.52	Corporate ACH PO/Remit Constellin 9237	00012242006033758
Checks and Oth	er Deductions		
Checks and Substit	ute Checks	* Gap in check sequence	
Date Check posted number	Reference Amount number		Referenc Amount number
08/13 1586 °	17,053 00 0835/797	1.	3,055 00 08635200
ATM/Misc. Check C	ard Transactions		
Date posted	Arriount	Transaction description	Reference
08/01	200.00	ATM Withdrawal M&T 2175 Hulton Rd Verona PA	MACSAS459 0007522
08/08	200.00	ATM Withdrawal 115 Federal Street Pittsburgh PA	PNCPI0734 0017975
08/13	203.47	ATM Withdrawal Hfx Ns Abm Ops-Ns Greenwich Ns	PLUHD63 0015204
ACH Deductions			•
Date posted	Amount	Transaction description	Reference number
08/02	206.00	ACH Web-Single Kcg Efile Keystone 169745	00012214004930507
08/13	5,000.00	ACH Web-Single Epay Chase 1383997481	00012223005612993
08/13	2,500.00	ACH Web-Single Epay Chase 1383997014	00012223005613254
08/15	1,214.64	Corporate ACH Usataxpyrnt IRS 274262840172202	00012228004014291
08/15	333.33	Corporate ACH Paemploylx	00012220006245133
		Commwithofpa Int 94098727	
08/31	6,000.00	ACH Web-Single Epay Chase 1395443386	00012243002488946
Service Charges an	nd Fees		
Date posted	Amount	Transacilon description	Reference number
μυσιου	rangunt	acecipian	numou

For 24-hour account information, sign-on to phd.com/mybusiness/

For the Period 08/01/2012 to 08/31/2012 Energy Procurement Partners Llc Primary Account Number: Page 4 of 4

Free Business Checking Account Number Continued

#### **Detail of Services Used During Current Period**

Note: The total charge for the following services will be posted to your account on 09/04/2012 and will appear on your next statement as a single line item entitled Service Charge Period Ending 08/31/2012.

\*\* Combined Transactions include ACH Credits, ACH Debits, Checks Paid, Deposited Item - Consolidated, Deposit Tickets Processed

Description	Volume	Amount	
Account Maintenance Charge		.00.	Required Balance Met
Combined Transactions	24	.00	Included in Account
ACH Credits	3	.00	
ACH Debits	6	.00	
Checks Paid	3	.00	
Deposited Item - Consolidated	9	.00	
Deposit Tickets Processed	3	.00	
Total For Services Used This Period		.00	
Total Service Charge		.00	

### Attachment 4 Technical Fitness

As noted in Item 8.a of the Application, Applicant hereby submits the following information attesting to the technical fitness of the Applicant commensurate with the services proposed to be provided, to ensure that the present quality and availability of service provided by electric utilities does not deteriorate.

#### **Previous Experience in Electricity Industry**

Vaughn Kaizer – Managing Partner – has been involved with the electric utility industry since 1995. He began his career as an Analyst for an energy and environmental consulting firm in Washington, DC, where he was responsible for researching State deregulation and restructuring plans on behalf of the Nuclear Regulatory Commission (NRC). Mr. Kaizer also was a partner in a Washington Dc based venture capital firm that invested in early stage energy technology companies focused on the retail electricity market, particularly with respect to metering and monitoring, online procurement platforms, and demand response solutions. For the past 10 years, Mr. Kaizer has been Managing partner of Energy Procurement Partners, where he is responsible for all facets of his firms activities. Energy Procurement Partners currently serves over 600 accounts throughout New Jersey representing nearly 1 billion kwh on an annual basis.

#### **Proof of Licenses in New Jersey**

Please find attached a copy of Energy Procurement Partners' registration as an Energy Agent with the New Jersey Board of Public Utilities.

#### Association of Energy Engineers – Certified Energy Procurement Professional

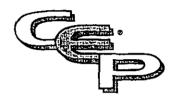
Please find attached a copy of the certificate certifying Vaughn Kaizer as a Certified Energy Procurement Professional.



# The Association of Energy Engineers certifies that



### Vaughn Kaizer



has completed the prescribed standards for certification, has demonstrated a high level of competence and ethical fitness for energy procurement, and is hereby granted the title of

### CERTIFIED ENERGY PROCUREMENT PROFESSIONAL

**Expiration Date:** 

December 31, 2014

Lichard #78 stella Francine Descri



### Attachment 4 Technical Fitness

#### **Customer List**

The following represents a partial list of Energy Procurement Partners' current customers. Customers range from small commercial (e.g., Quick Chek) to large industrial size customers (e.g., Robert Wood Johnson University Hospital). Of particular note, Energy Procurement Partners serves as the Energy Agent for the New Jersey Food Council, which represents the State's retail grocery establishments and convenience stores and includes members such as ShopRite and Quick Chek, and also serves as Energy Agent for the Diocese of Camden.

Diocese of Camden (Churches, Schools, Nursing Homes, Cemeteries)

Robert Wood Johnson University Hospital at New Brunswick (Hospital)

Robert Wood Johnson University Hospital at Rahway (Hospital)

Wakefern Food Corporation (Major Grocery Store Cooperative)

ShopRite Supermarkets (Supermarket)

FoodTown Supermarkets (Supermarket)

**Quick Chek (Convenience Stores)** 

Kings Supermarkets (Specialty Supermarket)

RathGibson (Steel Pipe Manufacturing)

The Pines at Whiting (Assisted Living)

Fidelity Development (Real Estate Developer)

SL Management (Real Estate Developer)

### Attachment 4 Technical Fitness

#### **Business Plans for Operations in the Commonwealth**

Energy Procurement Partners seeks to expand its business into the Commonwealth by identifying entities similar to those currently served in New Jersey. Energy Procurement Partners believes that its experience and track record in the retail energy industry and its client list will be a tremendous selling point to firms in the Commonwealth that are being presented with opportunities in the retail electricity industry from relative newcomers with little to no track record. We expect to be active in attending trade shows, industry events, and working with licensed EGSs that provide retail electric supply service in the Commonwealth.

### Attachment 5 Professional Resume of Vaughn Kaizer

As noted in Item 8.b of the Application, Applicant is hereby providing the personal resume of Vaughn Kaizer (attached).

#### VAUGHN KAIZER

### 746 Ninth Street Oakmont, PA 15139 P: 412-828-0404 E: vkaizer@epp-co.com

#### **WORK EXPERIENCE**

### ENERGY PROCUREMENT PARTNERS, INC. Managing Partner / Founder (2003 – Present)

OAKMONT, PA

Energy consulting firm focused on providing procurement, advisory, and analytical services to public and private electric and natural gas customers in New Jersey and Pennsylvania; Responsible for all aspects of EPP, Inc. business activities.

- Developed public and private clientele, including Robert Wood Johnson Health Network, New Jersey Food Council, New Jersey Sewerage and Municipal Utility Authority Aggregation, and North Jersey Media Group.
- Demonstrated expertise in customized aggregation programs, Request for Proposals, tariff and market-based pricing models, usage data analyses, and energy supplier contract terms and conditions for both public and private clients.
- Assisted ConEdison Solutions with building retail electricity supply business in New Jersey; Developed comprehensive database of commercial and industrial electricity customers and tariff-specific marketing materials.

### PVONE LLC Managing Partner / Founder (2003 – Present)

SHREWSBURY, NJ

Renewable energy finance and development company focused on large scale PV system development in the US; Responsible for evaluating the economic feasibility of PV projects based on financial, regulatory, and operational factors, sourcing third party financing alternatives, and developing business prospects and strategic relationships.

- Created dynamic financial model in cooperation with GE EFS to evaluate economic feasibility of projects based on system cost and production, electricity rates, RECs, government incentives, taxes and accounting standards.
- Developed partnership with MP2 Capital of Marin County, CA to provide construction and permanent financing for US PV projects utilizing sale-leaseback structure with HSH Nordbank.
- Developed dynamic sales model to qualify potential clients and generate customized proposals based on client's tariff rate, load profile, creditworthiness, geographic location, and physical building characteristics.
- Directed financial and technical proposal for the installation of the first large scale PV system in Florida; Negotiated terms of 8-year PPA and project financing among local government, state electric utility, and financial stakeholders.

### ENERSTAR SOLAR THERMAL PROJECT S.A. Director / Founder (2005 – Present)

GANDIA, SPAIN

Spanish Holding Company focused on developing solar thermal power plants in Spain; Currently serving as Director; Formerly responsible for modeling project economics for debt and equity financing and securing venture funding.

- Created dynamic financial model to evaluate economics of solar thermal power plants in Spain; Capitalized and registered the company and hired Spanish engineering consultants to enhance value and probability of investment.
- Negotiated operating partner agreement (PowerBridge) for Enerstar's 50 MW, 250 MM Euro solar thermal power plant in Villena, Spain; Sourced 1.2 MM Euro venture capital investment from American and Spanish investors.
- Prepared detailed presentations and pro-formas in sourcing and leading discussions with potential project debt and equity providers; Obtained letters of interest from major financial institutions.

### VAUGHN KAIZER 746 Ninth Street Oakmont, PA 15139 P: 412-828-0404 E: vkaizer@epp-co.com

#### WORK EXPERIENCE (CON'T)

#### ENERGY VENTURES GROUP Principal (1999 – 2003)

WASHINGTON, DC

Energy investment firm focused on identifying and creating business opportunities in the natural gas and electric power industries; Responsible for leading various initiatives and directing research and analysis efforts across business areas.

- Directed research and analysis activities in the development and operation of a natural gas hedge fund; Created detailed reports and analyses on the natural gas industry (upstream and downstream) for prospective and existing clients; Performed ongoing research and analysis of market fundamentals in support of trading operations.
- Identified and evaluated early-stage retail energy technology companies for venture funding; Advised venturefinanced companies on sales, marketing, and business development initiatives; Developed roll-up strategy for EVG financed companies and potential acquisition targets to raise seed capital in the private equity markets.
- Developed a series of energy seminars and research reports on the Federal Energy Regulatory Commission (FERC) and natural gas industries; Directed all marketing initiatives, sales partnerships, and business operations.
- Analyzed LBO and M&A opportunities in the electric utility industry on behalf of large private equity firm; Performed due-diligence on target companies, valued generation assets, and forecasted power plant fuel costs.

### ICF KAISER INTERNATIONAL, INC. Consultant / Analyst (1995 – 1998)

FAIRFAX, VA

Environmental consulting firm focused on federal government contracting in the areas of energy and the environment; Provided research and analytical support to the Environmental Protection Agency and Nuclear Regulatory Commission.

- Performed economic and regulatory impact analyses (EIAs and RIAs) for federal government clients to determine
  the economic and environmental impacts of performance standards and federal regulations on industry and the
  environment; Industries researched included solid waste, nuclear power, electric utilities, and toxic chemicals.
- Developed complex financial-test model for EPA's Office of Solid Waste to predict bankruptcy probabilities among 2,500 waste management firms resulting from closure/post-closure financial assurance obligations; Analyzed financial strength of firms using financial benchmarks, bond rating criteria, and FASB accounting standards.
- Analyzed the ability of electric utilities to meet Nuclear Regulatory Commission financial assurance obligations for plant decommissioning; Reviewed funding levels and savings rates of decommissioning accounts to determine the ability of electric utilities to adequately address decommissioning and spent fuel storage costs.

#### **EDUCATION**

#### BOWDOIN COLLEGE Student (1991 - 1995)

**BRUNSWICK, ME** 

- Bachelor of Arts, Double Major in Economics and Environmental Studies, Minor in Biology.
- Student Executive Committee Member; Economics Advisory Board Member, Bowdoin Recycling Program Founder;
   Study Abroad Program University of Queensland, Australia; Environmental Studies Department Teaching Assistant;
   Member of Bowdoin Hockey and Rugby Teams; Special Olympics Volunteer; Red Cross Blood Drive Coordinator.

## Attachment 6 Newspaper Publication – Certification of Publication and Photostatic Copy of Notice

As noted in Item 12 of the Application, notice of filing of this Application has been published in The Pittsburgh Post-Gazette, The Scranton Times, and The Philadelphia Daily News. Applicant is hereby filing with the Commission the Certification of Publication along with a photostatic copy of the notice to complete the notice requirements (attached).

	roof of Publication of Notice in P , Approved May 16, 1929, PL 1784, as last a		
Pittsburgh Post-Gazette, a ne established in 1993 by the n Gazette and Sun-Telegraph Pittsburgh Gazette establishe been regularly issued in said printed and published in th	vania, County of Allegheny, ss <u>C. Mohamed</u> wspaper of general circulation published in the Courging of the Pittsburgh Post-Gazette and Sunwas established in 1960 and the Pittsburgh Post in 1786 and the Pittsburgh Post, established in d County and that a copy of said printed notice regular tion on the following dates, viz:	City of Pittsburgh, County and C relegraph and The Pittsburgh P ost-Gazette was established in 1842, since which date the said	ress and the Pittsburgh Post- 1927 by the merging of the I Pittsburgh Post-Gazette has eto exactly as the same was
that, as such agent, affiant is of the afore said notice or pu	ne/she is an agent for the PG Publishing Compan duly authorized to verify the foregoing statement ablication, and that all allegations in the foregoin	under oath, that affiant is not in	iterested in the subject matter
true.			COPY OF NOTICE
	$\alpha$ $\alpha$	r	OR PUBLICATION
_	PG Publishing Company	-	PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
	worn to and subscribed before me this day of: ctober 03, 2012		Application of Energy
ST Er 74	COMMONWEALTH OF PENNSYLVANIA  Notarial Seal Linda M. Gaertner, Notary Public City of Pittsburgh, Allegheny County My Commission Expires Jan. 31, 2015  MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES  PA  ATEMENT OF ADVERTISING COSTS BERGY Procurement Partners 6 9TH ST AKMONT PA 15139-1349	RECEIVED  OCT 12 2012  PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU	For Approval To Offer, Render, Furnish Of Supply Electricity Of Electric Generation Services As A Generation Services As A Generation Services As A Generation And Supplier Of Electric Power, A Marketer/Broker Engaged in The Business Of Supplying Electricity, And An Aggregator Engaged in The Business Of Supplying Electricity, To The Public In the Commonwealth Of Pennsylvania.  Energy Procurement Partners will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity of electric generation Services as (1) a generation activices as (2) a bioker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity, Energy Procurement Partners proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.
	To PG Publishing Company		The PUC may consid-
Pub PG PUBLISHING CO of general circulation,	lisher's Receipt for Advertising (MPANY, publisher of the Pittsburgh Posthereby acknowledges receipt of the aforstertifies that the same have been fully pair	st-Gazette, a newspaper aid advertising and	er this application with- out a hearing. Protests directed to the technical or unancial litness of En- ergy Procurement Part- ners may be filed within 15 days of the date of this notice with the Sec- retary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any pro- test to Energy Procure- ment Partners Managing Partner at the address listed below.
Office	PG Publishing Company a Corporation		By and through Vaughn Kaizer,

34 Boulevard of the Allies

PITTSBURGH, PA 15222

Phone 412-263-1338

subject matter of said notice.

By

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the

No.

Term.

By and through Vaughn Kaizer, Managing Partnei Energy Procurement Partners 746 7th Street, Oakmont, PA 15139 P: 412-828-0404 F: 412-828-0403

Pittsburgh Post-Gazette, a Newspaper of General Circulation

#### Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established a said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

October 3, 2012

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Thomas Karley

Sworn to and subscribed before me this 3<sup>rd</sup> day of October, 2012.

My are

My Commission Expires:

**NOTARIAL SEAL** Mary Anne Logan, Notary Public City of Philadelphia, Phila. County My Commission Expires 3/30/2013

#### Copy of Notice of Publication

PENNSYLVANIA PUBLIC
UTILITY COMMISSION
NOTICE
Application of Energy Procurement Partners
For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services
As A Generator And Supplier Of Electric Power,
A Marketer/Broker Engaged in The Businoss Of
Supplying Electricity, And An Aggregator Engaged in The Business Of Supplying Electricity,
To The Public in The Commonwealth Of Pennsylvania.

gased in The Business Of Supplying Electricity. To The Public in The Commonwealth Of Pennsylvania. The Public in The Commonwealth Of Pennsylvania. Energy Procurement Pertners will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electricity or electric pewer, (2), a broker/marketer engaged in the business as (1) a generator and suppling of electricity pennsylvania the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Energy Procurement Partners proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Cholece and Compatiti next.

The PU'nay consider this application without a hearth "1. Protests directed to the technical or financi." I ness of Energy Procurement Partners in ay the filled within 15 days of the date of this in flow with the Secretary of the PUC, P.O. Box \$285, Harrioburg, PA. 17105-3265. You should send copies of any protest to Energy Procurement Partners Managing Partner at the address isted below.

By and through Vaughn Kalzer, Managing Partner Farsery Procurement Partners Partners 746 9th Birest, Oskmont, PA. 15139

Pt 412-828-0404; Ft 412-828-0433

#### The Scranton Times (Under act P.L. 877 No 160. July 9,1976)

Commonwealth of Pennsylvania, County of Lackawanna

ENERGY PROCUREMENT PARTNERS VAUGHN KAIZER 746 9TH STREET OAKMONT PA 15139

Account # 566247 Order # 80980412 Ad Price: 246.15

#### Gina Krushinski

Being duly sworn according to law deposes and says that she is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

#### 10/03/2012

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true **Many Many** 

Sworn and subscribed to before me this 3rd day of October A.D., 2012

(Notary Public)

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Sharon Venturi, Notary Public City of Scranton, Lackawanna County My Commission Expires Feb. 12, 2014

Member, Pennsylvania Association of Notaries

LEGAL NOTICE

### PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Energy Procurement Partners For, Approval To Offer, Rendelt, Furnish. Or Supply Electricity Or Electric Generation Services As A Generator And Supplier. Of Electric Power, A... Marketer/Broker Engaged in The Business Of Supplying Electricity, And An Aggregator Engaged in The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Energy Procurement Partners will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Energy Procurement Partners proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Procurement Partners may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3285, You should send copies of any protest to Energy Procurement Partners Managing Partner at the address listed below.

By and through Vaughn Kaizer, Managing Partner + -Energy Procurement Partners

748 9th Street, Oakmont, PA 16139 P: 412-828-0404 F: 412-828-0433

### Appendix A Application Affidavit

Attached.

### **RECEIVED**

OCT 12 2012

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### Appendix A

#### **APPLICATION AFFIDAVIT**

[Commonweal	th/State] of Penns	ylvania	:	
			: ss.	
County of	Allegheny	<del></del>	:	
Vaughn Kai	zer, Affiant	, being duly [sworn/affirn	ned) according	to law, deposes and says that:
[He/she is the	Managing Partner	(Office of Affiant) of		
That the Applic demonstrating it 2809 (B). That the Applic completely and That the Applic answer to questin answer to questin answer to questin answer to questing the Application answer to question answer and the application and the appli	Energy Procur ant herein Partners ts technical and financial ts technical and financial ts tenergy Procur ant herein Partners tions on this application and Energy Procur ant herein Partners estions on this application	has the burden of stitness to be licensed as an ement has answered the mentation as required.  acknowledges that d contained in supporting crement acknowledges that and contained in supportin	producing inform electric generation questions on the it is under a du locuments. it is under a duty g documents as r	nation and supporting documentation on supplier pursuant to 66 Pa. C.S. § application correctly, truthfully, and ty to update information provided in equested by the Commission.
	plicant to be able to prove	the same at hearing.  Signature of A		20 <u>/2</u> .
My commissio	n expires <u>6 - / - 2</u>	Maurend Signature of official and	_ <u></u>	COMMONWEALTH OF PENNSYLVANIA Notarial Seal Maureen K. McGinnis, Notary Public Oakmont Boro, Allegheny County My Commission Expires June 1, 2016 HEER, PENNSYLVANIA ASSOCIATION OF NOTARIES

### Appendix B Operations Affidavit

Attached.

#### Appendix B (Continued)

That	Energy Procurement Partners	_, the Applicant herein, acknowledges that it has a statutory obligation to
	with 66 Pa. C.S. §506, Code Chapter 56.	§2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of
requeste	ed by the Bureau of Publ	ovide all consumer education materials and information in a timely manner as lic Liaison or other Commission bureaus. Materials and information requested ssion to meet obligations under applicable sections of the law.
	e facts above set forth	are true and correct/true and correct to the best of his/her knowledge,
		Signature of Affiant
		Oignature of Amarit
Sworn	and subscribed befo	ore me this//_ day of
		Mauen K. H. Gernin Signature of official administering oath
My cor	mmission expires	6-1-2016
		COMMONWEALTH OF PENNSYLVANIA

Notarial Seal

Maureen K. McGinnis, Notary Public
Oakmont Boro, Allegheny County
My Commission Expires June 1, 2016

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

#### Appendix B

#### **OPERATIONS AFFIDAVIT**

[Commonwealth/St	ate] of Pennsy	ylvania :
		: SS.
County of Alleg	heny	
Vaughn Kaizer	, Affiant,	being duly [sworn/affirmed] according to law,
deposes and says t	that:	
[i ie/si ie is u ie	Managing Partners	Energy Procurement Office of Affiant) of Partners
(Name of Applicant	);]	
[That he/she is auth	norized to and does	make this affidavit for said Applicant;]
Pennsylvania, Title 66 regulations including E	, the this Application cons of the Pennsylvania mergency Orders which	Applicant herein, acknowledges that [Applicant] may have sistent with the Public Utility Code of the Commonwealth of Consolidated Statutes; or with other applicable statutes or the may be issued verbally or in writing during any emergency atime to time in the course of doing business in Pennsylvania.
Pennsylvania and that	the Applicand and financial fitness	cant herein, asserts that [he/she/it] possesses the requisite s to render electric service within the Commonwealth of by all applicable federal and state laws and regulations and by Commission.
(P.L. 6, No. 2), known Applicant acknowledge Chapter 28, shalf be acknowledges that it so ultimate consumption,	the Applicant he hid, the full amount of to as the Tax Reform Ac the stat failure to pay su cause for the Commishall report to the Confor the previous year or	rein, certifies to the Commission that it is subject to, will pay, axes imposed by Articles II and XI of the Act of March 4, 1971 at of 1971 and any tax imposed by Chapter 28 of Title 66. The 1971 and any tax imposed by Chapter 28 of Title 66. The 1971 and tax imposed by Chapter 28 of Title 66. The 1971 and tax imposed by Chapter 28 of Title 66. The 1972 chapter 28 of the 1972 chapter 29 of the Applicant. The Applicant 1972 chapter 29 of the 1972 chapter

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

#### Appendix C Certificate of Service

As noted in Item 6 of the Application, Applicant hereby attaches a signed Certificate of Service certifying that a true and correct copy of the foregoing application for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the entities noted on the Certificate of Service.

#### Appendix C

### Example CERTIFICATE OF SERVICE

On this the <u>25</u> day of <u>September</u> 20 <u>12</u> I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300
RECEIVED

OCT 12 2012

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Vaughn Kaizer, Managing Partner Energy Procurement Partners

### Appendix F Tax Certification Statement

As requested in Item 7d. of the Application, attached is a completed Tax Certification Statement.

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Keystone Building, 2nd Floor Room N201



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