

November 18, 2012

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Secretary of the Commission
P.O. Box 3265
Harrisburg, PA 17105-3265

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

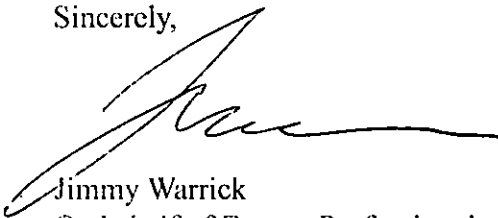
RE: Energy Professionals, LLC's Application for License as an Electricity Broker

To Whom It May Concern:

Please accept this signed and verified original plus one copy of Energy Professionals, LLC's Application for Parties Wishing to Offer, Render, Furnish, or Supply Electricity or Electric Generation Services to the Public in the Commonwealth of Pennsylvania. Please also find enclosed one cd-rom containing a searchable PDF version of this document. A copy of this application has also been served upon: (i) Office of Consumer Advocate, (ii) Office of the Attorney General, (iii) Office of the Small Business Advocate and (iv) Department of Revenue. Enclosed please find the \$350 filing fee made out to "Commonwealth of Pennsylvania". Lastly, enclosed as an attachment to Schedule 7(a), please find an original bond and two (2) copies thereof in the amount of \$10,000.

Should you have any questions, please do not hesitate to contact me at 727-572-0600 or jwarrick@energyprofessionals.com. If there is anything further that is required, please let me know and I will be happy to provide the information as soon as possible. Thank you for your consideration.

Sincerely,



Jimmy Warrick
On behalf of Energy Professionals, LLC

cc: Office of Consumer Advocate
Office of Attorney General
Office of Small Business Advocate
Department of Revenue

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Energy Professionals, LLC, d/b/a N/A, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) fas specified in item #4b below to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Energy Professionals, LLC
13100 56th Court, Suite 703
Clearwater, FL 33760
www.energyprofessionals.com
877-343-9044

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Corporation Guaranty and Trust Company
3331 Street Road, Suite 110
Bensalem, PA 19020
Phone: 800-563-6131
Fax: 215-633-8160

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Jimmy Warrick, Executive Director
Energy Professionals, LLC
13100 56th Court, Suite 703
Clearwater, FL 33760
Phone: 727-572-0600
Fax: 727-572-0602
jwarrick@energyprofessionals.com

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- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

N/A

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Steve Upham, Vice President
Energy Professionals, LLC
13100 56th Court, Suite 703
Clearwater, FL 33760
Phone: 727-572-0600
Fax: 727-572-0602
supham@energyprofessionals.com

Jimmy Warrick, Executive Director
Energy Professionals, LLC
13100 56th Court, Suite 703
Clearwater, FL 33760
Phone: 727-572-0600
Fax: 727-572-0602
jwarrick@energyprofessionals.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

OR

X The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

OR

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OR

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- X foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

Please see Schedule 2(b) attached hereto.

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
 - o The Applicant is organized under the laws of the state of Florida.
- Give name and address of officers.

Steve Upham, Vice President
Kim Larsen, President
13100 56th Court, Suite 703
Clearwater, FL 33760
Phone: 727-572-0600
Fax: 727-572-0602

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

The Applicant does not have any affiliates doing business, or any affiliates that are currently applying to do business in Pennsylvania.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

The Applicant does not have any predecessors that have done business.

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

- The Applicant is presently doing business in Pennsylvania as a
- municipal electric corporation
 - electric cooperative
 - local gas distribution company
 - provider of electric generation, transmission or distribution services
 - Other; Identify the nature of service being rendered.

or

- X The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- X Broker/Marketer engaged in the business of supplying electricity services
- Electric Cooperative and supplier of electric power
- Other (Describe):

Definitions

- Supplier ~ an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

The Applicant is looking to establish an electricity broker business in Pennsylvania. The Applicant will be representing the end user in identifying the best retail supplier for that particular end user. The Applicant will be calling mainly on commercial and industrial accounts in the Commonwealth of Pennsylvania with the anticipation of bringing them lower rates than they are now paying from their current suppliers. The Applicant will not be taking title to the electricity.

d. **PROPOSED SERVICE AREA:** Provide a list of each Electric Distribution Company for which the Applicant proposes to provide service.

Met-Ed, Penelec, Penn Power, PECO, PPL, West Penn, Duquesne and UGI

e. **CUSTOMERS:** Applicant proposes to provide services to:

- X Residential Customers
- X Small Commercial Customers - (25 kW and Under)
- X Large Commercial Customers - (Over 25 kW)
- X Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):

f. **PROPOSED MARKETING METHOD** (check all that apply)

- X Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- X External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

g. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- X Yes
- No

If yes, will the Applicant be using a Third Party Verification procedure?

- X Yes
- No

If yes, describe the Applicant's Third Party Verification procedures.

While the Applicant has the potential customer on the telephone, the third party verification company is brought into the call via a conference line. After relaying the customer's information, the sales representative of the Applicant drops off of the line. The third party verification agent then reads the verification script. If the third party verification agent successfully receives the customer's consent, the customer is given a confirmation number.

h. **START DATE:** Provide the approximate date the Applicant proposes to begin services within the Commonwealth.

Immediately upon receipt of license.

5. COMPLIANCE

a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

The Applicant has no proceedings to list.

b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

The Applicant has no actions or complaints to list.

d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

6. PROOF OF SERVICE

(Example Certificate of Service is attached at Appendix C)

Please see Schedule 6 attached hereto.

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

- b.) **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Allegheny Power:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Pike County Light & Power Company:
Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Wellsboro Electric Company:
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...
- Furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
 - Furnishing the **original** (along with copies) of another initial security for Commission approval, to ensure financial responsibility.
 - X** Filing for a modification to the \$250,000 requirement and furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well. **Please see Schedule 7(a) attached hereto.**
 - *At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.*
 - *Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.*
 - *Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.*
- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following: **Please see Schedule 7(b) attached hereto.**
- **X** Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
 - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
 - **X** Applicant's accounting statements, including balance sheet and income statements for the past two years.
 - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
 - **X** A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
 - Audited financial statements exhibiting accounts over a minimum two year period.
 - **X** Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
- c. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Steve Upham, Vice President
Energy Professionals, LLC
13100 56th Court, Suite 703
Clearwater, FL 33760
Phone: 727-572-0600
Fax: 727-572-0602
supham@energyprofessionals.com

d. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a.) **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

Please see Schedule 8(a) attached hereto.

b.) **OFFICERS:** Identify Applicant's chief officers including names and their professional resumes.

Please see Schedule 8(b) attached hereto.

c.) **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- X Not applicable

9. DISCLOSURE STATEMENT:

- a. **Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.
- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

N/A

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- X ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

- b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.

X AGREED

c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

X AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

X AGREED

e. **ASSESSMENT:** The Commission does not presently assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see *PPL Energyplus, LLC v. Commonwealth*, 800 A.2d 360 (Pa. Cmwlth. 2002).

X ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

X AGREED

g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

X AGREED

h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

X AGREED

i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

X AGREED

j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at appendix J.

X AGREED

k. **FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

X PAYMENT ENCLOSED

11. AFFIDAVITS

a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

Please see Schedules 11(a) and 11(b) attached hereto.

12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

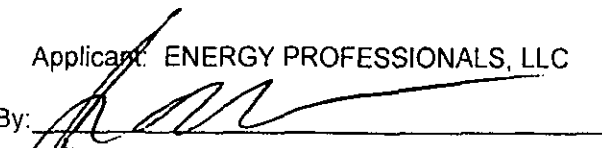
Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	<u>Duquesne</u>	<u>Met Ed</u>	<u>PECO</u>	<u>Penelec</u>	<u>Penn Power</u>	<u>PPL</u>	<u>UGI</u>	<u>West Penn</u>	<u>Entire Commonwealth</u>
Philadelphia Daily News		X	X			X			X
Harrisburg Patriot-News		X		X		X		X	X
Scranton Times Tribune		X		X		X	X		X
Williamsport Sun Gazette				X		X		X	X
Johnstown Tribune Democrat				X				X	X
Erie Times-News				X	X				X
Pittsburgh Post-Gazette	X				X			X	X

(Example Publication is provided at Appendix H)

Please see Schedule 12 attached hereto.

13. SIGNATURE

Applicant: ENERGY PROFESSIONALS, LLC
 By: 
 Name and Title: Jimmy Warrick, Executive Director

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 PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: Energy Professionals, LLC

Applicant's Use	X	Signature	
	X	Filing Fee	
	X	Application Affidavit	
	X	Operations Affidavit	
	X	Proof of Publication	
	X	Bond or Letter of Credit	
	X	Tax Certification Statement	
	X	Commonwealth Department of State Verification	
	X	Certificate of Service	
			PUC Secretary's Bureau Use

ENERGY PROFESSIONALS, LLC

SCHEDULE 2(b)

CORPORATE DOCUMENTS

The Applicant is a limited liability company organized under the laws of the state of Florida. Please see attached hereto (i) the Articles of Organization of the Applicant and (ii) a Good Standing Certificate from each of the Commonwealth of Pennsylvania and the State of Florida.

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DEC-07

W0700012944

Florida Department of State
Division of Corporations
Public Access System

Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

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Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To: Division of Corporations
Fax Number : (850)617-6383

From: Account Name : AKERMAN SENTERFITT - TAMPA
Account Number : I20000000249
Phone : (813)223-7333
Fax Number : (813)223-2037

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

FLORIDA/FOREIGN LIMITED LIABILITY CO.

ENERGY PROFESSIONALS, LLC

Certificate of Status	1
Certified Copy	1
Page Count	04
Estimated Charge	\$160.00

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DEC 10 2007

ARTICLES OF ORGANIZATION

OF

ENERGY PROFESSIONALS, LLC

The undersigned authorized representative does hereby certify that the persons so identified herein have associated themselves together for the purpose of forming a limited liability company (the "Company") under the laws of the State of Florida.

**ARTICLE I
NAME**

The name of the Company shall be: **Energy Professionals, LLC**

**ARTICLE II
ADDRESS AND PLACE OF BUSINESS**

The address for the Company's principal office is 13100-56th Court, Suite 750, Clearwater, Florida 33760. The mailing address for the Company's office is 14201 Carol Manor Drive, Largo, Florida 33774.

**ARTICLE III
PERIOD OF DURATION**

The period of duration of the Company shall be perpetual.

**ARTICLE IV
GENERAL POWERS**

The Company is formed for the purpose of conducting and undertaking, and shall have the power to conduct and undertake, any and all activities and actions authorized under the Florida Limited Liability Company Act, Chapter 608, Florida Statutes.

**ARTICLE V
MANAGEMENT**

The Company is managed as described more fully in the operating agreement of the Company ("Operating Agreement").

(TP339197;1)

(((H07000294959 3)))

07 DEC - 7 AM 9:01
FILED
SECRETARY OF STATE
TALLAHASSEE, FLORIDA



**ARTICLE VI
RIGHT TO CONTINUE BUSINESS**

Upon the death, retirement, resignation, expulsion, bankruptcy, or dissolution of a member or the occurrence of any other event which terminates the continued membership of a member in the Company, the business of the Company shall not cease and the Company shall not be dissolved except by the unanimous consent of the remaining members or as otherwise provided in the Operating Agreement of the Company.

**ARTICLE VII
RESTRICTIONS ON MEMBERSHIP**

New members shall be admitted to the Company in accordance with the Operating Agreement of the Company. Contributions required of a new member shall be determined in accordance with the Operating Agreement of the Company. A member's interest in the Company may not be sold or otherwise transferred except with the unanimous consent of the members, or otherwise in accordance with the Company's Operating Agreement. Additional restrictions and conditions on membership may be set forth in the Operating Agreement or other agreement adopted by the members.

**ARTICLE VIII
OPERATING AGREEMENT**

The members of the Company may adopt an Operating Agreement pertaining to regulation, management, and other affairs of the Company.

**ARTICLE IX
REGISTERED OFFICE AND REGISTERED AGENT**

The street address of the Company's initial registered office in Florida is 515 ~~Bank~~ Avenue, Tallahassee, Florida 32301, and the name of its initial registered agent is ~~Company~~ Direct Agents, Inc. The Company may change its registered office or its registered agent or both by filing with the Department of State of the State of Florida a statement complying with Section 608.416, Florida Statutes.

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

07 DEC - 7 AM 9:01

FILED

(TP338187;1)


(((H07000294959 3)))

ARTICLES OF ORGANIZATION
OF ENERGY PROFESSIONALS, LLC
PAGE 3

ARTICLE X
ACKNOWLEDGMENT

The members of the Company, through their undersigned authorized representative, do hereby certify that the foregoing constitutes the proposed Articles of Organization of ENERGY PROFESSIONALS, LLC. These Articles of Organization may be amended from time to time by consent of the members in the manner now or hereafter prescribed in the Company's Operating Agreement, consistent with the laws of the State of Florida.

IN WITNESS WHEREOF, the undersigned has executed these Articles of Organization this 7th day of December, 2007.



Drew LaGrande, Esq.
Authorized Representative

ACCEPTANCE BY REGISTERED AGENT

Having been appointed the registered agent of Energy Professionals, LLC, the undersigned accepts such an appointment, agrees to act in such capacity and accepts the obligations proposed by Section 608.415. Florida Statutes.


SECRETARY OF STATE
TALLAHASSEE, FLORIDA

07 DEC -7 AM 9:01

FILED

EXECUTED this 7th day of December, 2007.

CorpDirect Agents, Inc.

By: 

Ricky Soto
Assistant Secretary



L07000121944

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

PICK-UP WAIT MAIL

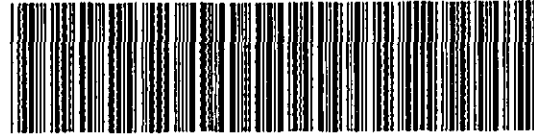
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



700136649517

RECEIVED
08 OCT - 9 AM 10:43
DEPARTMENT OF STATE
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

FILED
08 OCT - 9 PM 12:45
DEPARTMENT OF STATE
TALLAHASSEE, FLORIDA

B. KOHR
OCT - 9 2008
EXAMINER





CORPORATION SERVICE COMPANY

ACCOUNT NO. : 072100000032
REFERENCE : 744968 7513291
AUTHORIZATION : *[Signature]*
COST LIMIT : \$ 25.00

FILED
08 OCT -9 PM 12:45
TALLAHASSEE, FLORIDA

ORDER DATE : October 2, 2008
ORDER TIME : 9:06 AM
ORDER NO. : 744968-010
CUSTOMER NO: 7513291

CHANGE OF AGENT

NAME: ENERGY PROFESSIONALS, LLC

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

CERTIFIED COPY
 PLAIN STAMPED COPY

CONTACT PERSON: Susie Knight -- EXT# 2956

EXAMINER: _____



STATEMENT OF CHANGE OF REGISTERED OFFICE OR REGISTERED AGENT OR BOTH FOR LIMITED LIABILITY COMPANY

Pursuant to the provisions of sections 608.416 or 608.508, Florida Statutes, the undersigned limited liability company submits the following statement in order to change its registered office or registered agent, or both, in the State of Florida.

1. Name of the limited liability company: ENERGY PROFESSIONALS, LLC

2. (a) Principal office address of limited liability company: 13100-56th Court, Ste 703
Clearwater, FL 33760
(Note: MUST BE STREET ADDRESS)

(b) Mailing address of limited liability company: 7110 E Cambria Cir
Orange, CA 92869
(Note: MAY BE POST OFFICE BOX)

12/07/2007

3. Date of filing/registration in Florida

L07000121944

4. Document number

5. (a) Registered Agent and Registered Office shown on the records of the Florida Dept. of State

Registered Agent:

Corpdirect Agents, Inc.

Registered Office Address:

515 E Park Avenue
Tallahassee, FL 32301

(b) Enter name of NEW Registered Agent and/or NEW Registered Office address:

NEW Registered Agent:

Corporation Service Company

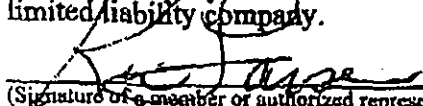
NEW Registered Office Address:

1201 Hays Street

(MUST BE FLORIDA STREET ADDRESS)

Tallahassee FL 32301

If the limited liability company is not organized under the laws of the State of Florida, it is hereby confirmed that after the change or changes are made, the Florida street address of the registered office and the business office of the registered agent will be identical. Or, in the case of a Florida limited liability company, it is hereby confirmed that the change(s) was/were authorized by an affirmative vote of the members of the limited liability company or as otherwise provided in the articles of organization or the operating agreement of the limited liability company.


(Signature of a member or authorized representative of a member)

Kim Larsen

(Printed or typed name of signer)

I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S. Or, if this document is being filed to merely reflect a change in the registered office address, I hereby confirm that the limited liability company has been notified in writing of this change.

By: Michelle R. Vannoy
(Signature of Registered Agent) Michelle R. Vannoy Asst. VP

Division of Corporations, P.O. Box 6327, Tallahassee, FL 32314

FILING FEE: \$25.00

FILED
OCT -9 PM 12:45
TALLAHASSEE, FLORIDA



COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

DECEMBER 5, 2011

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

I DO HEREBY CERTIFY THAT,

ENERGY PROFESSIONALS, LLC

is duly registered as a Foreign Limited Liability Company under the laws of the Commonwealth of Pennsylvania and remains subsisting so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT, This Subsistence Certificate shall not imply that all fees, taxes, and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

Carol Aichele

Secretary of the Commonwealth

Certification Number: 9945945-1

Verify this certificate online at <http://www.corporations.state.pa.us/corp/soskb/verify.asp>

State of Florida

Department of State

I certify from the records of this office that ENERGY PROFESSIONALS, LLC is a limited liability company organized under the laws of the State of Florida, filed on December 7, 2007.

The document number of this limited liability company is L07000121944.

I further certify that said limited liability company has paid all fees due this office through December 31, 2012, that its most recent annual report was filed on January 23, 2012, and its status is active.

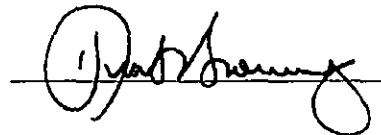
I further certify that said limited liability company has not filed Articles of Dissolution.

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Given under my hand and the Great Seal of Florida, at Tallahassee, the Capital, this the Twenty Sixth day of January, 2012



Secretary of State



Authentication ID: 300219693133-012612-L07000121944

To authenticate this certificate, visit the following site, enter this ID, and then follow the instructions displayed.

<https://efile.sunbiz.org/certauthver.html>

ENERGY PROFESSIONALS, LLC

SCHEDULE 7(a)

BONDING REQUIREMENTS

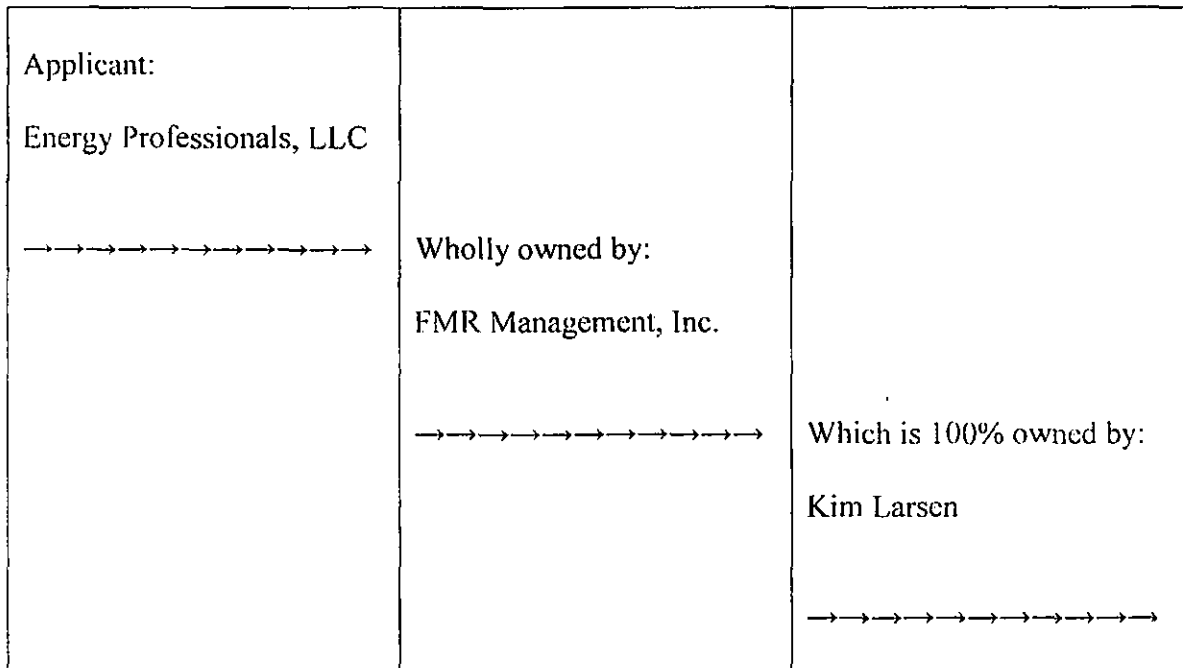
Please see an original bond in the amount of \$10,000 and two (2) copies thereof attached hereto. The Applicant will not be taking title to the electricity and the Applicant will not be paying electricity bills on behalf of its customers. Additionally, the Applicant will not be collecting any gross receipts from its customers or generating electricity.

ENERGY PROFESSIONALS, LLC

SCHEDULE 7(b)-1

ORGANIZATIONAL STRUCTURE

The Applicant, Energy Professionals, LLC, was formed on December 7, 2007 and as such has a very limited corporate structure. Energy Professionals, LLC, is a wholly owned subsidiary of FMR Management, Inc. FMR Management, Inc. is owned 100% by Kim Larsen.



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ENERGY PROFESSIONALS, LLC

SCHEDULE 7(b)-2

BALANCE SHEETS AND INCOME STATEMENTS

The Applicant, Energy Professionals, LLC, was formed on December 7, 2007 and as such does not yet have extensive financial information to report. Please see attached hereto certified balance sheets and income statements for fiscal years 2009 and 2010.

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THE LIPSON PROFESSIONAL GROUP, INC.

D/B/A SAUL B. LIPSON & Co.

Saul B. Lipson, MAcc, EA, CFP

- TAX PLANNING AND PREPARATION
- COMPLETE ACCOUNTING SERVICES
- INVESTMENT AND RETIREMENT PLANNING

1515 UNIVERSITY DRIVE•SUITE 222
CORAL SPRINGS, FLORIDA 33071
TELEPHONE: 954.755.4405
FAX: 954.344.3694
EMAIL: Saul@LipsonGroup.com

Energy Professionals, LLC
Clearwater, Florida

We have prepared the accompanying Balance Sheet for Energy Professionals, LLC as of December 31, 2009 and the Income Statement for the year then ended.

We have prepared the financial statements based on documentation supplied to us by management. We feel that the documentation supplied by management and the form of the financial statements are a fair and accurate representation of the company's financial condition.

The Lipson Professional Group, Inc.

The Lipson Professional Group, Inc.
January 30, 2010

RECEIVED

NOV 29 2012

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

**ENERGY PROFESSIONALS, LLC
BALANCE SHEET
AS OF DECEMBER 31, 2009**

ASSETS

CURRENT ASSETS

CASH \$ 49,998.90

TOTAL CURRENT ASSETS 49,998.90

PROPERTY AND EQUIPMENT

TOTAL ASSETS \$ 49,998.90



**ENERGY PROFESSIONALS, LLC
BALANCE SHEET
AS OF DECEMBER 31, 2009**

LIABILITIES AND STOCKHOLDERS' EQUITY

CURRENT LIABILITIES

LOANS PAYABLE \$ 45,000.00

TOTAL CURRENT LIABILITIES 45,000.00

LONG-TERM LIABILITIES

TOTAL LIABILITIES 45,000.00

STOCKHOLDERS' EQUITY

CAPITAL 4,999.95

CURRENT INCOME (LOSS) (1.05)

TOTAL STOCKHOLDERS' EQUITY 4,998.90

**TOTAL LIABILITIES AND
STOCKHOLDERS' EQUITY** \$ 49,998.90



**ENERGY PROFESSIONALS. LLC
INCOME STATEMENT**

	12 Months December 31,	%
SALES		
SALES REVENUE	<u>\$ 2,800.00</u>	<u>100.00</u>
TOTAL SALES	<u>2,800.00</u>	<u>100.00</u>
GROSS PROFIT	<u>2,800.00</u>	<u>100.00</u>
OPERATING EXPENSES		
BANK CHARGES	1.05	0.04
COMMISSIONS	<u>2,800.00</u>	<u>100.00</u>
TOTAL OPERATING EXPENSES	<u>2,801.05</u>	<u>100.04</u>
OPERATING INCOME (LOSS)	<u>(1.05)</u>	<u>(0.04)</u>
OTHER INCOME/EXPENSE		
NET INCOME (LOSS)	<u><u>\$ (1.05)</u></u>	<u><u>(0.04)</u></u>



SAUL B. LIPSON & COMPANY

Saul B. Lipson, MAcc, EA

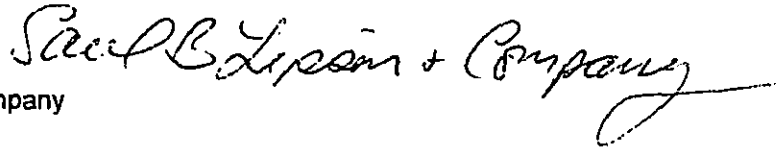
- TAX PLANNING AND PREPARATION
- COMPLETE ACCOUNTING SERVICES
- INVESTMENT AND RETIREMENT PLANNING

1515 UNIVERSITY DRIVE•SUITE 222
CORAL SPRINGS, FLORIDA 33071
TELEPHONE: 954.755.4405
FAX: 954.344.3694
EMAIL: Saulco@bellsouth.net

Energy Professionals, LLC
Clearwater, Florida

We have prepared the accompanying Balance Sheet for Energy Professionals, LLC as of December 31, 2010 and the Income Statement for the year then ended.

We have prepared the financial statements based on documentation supplied to us by management. We feel that the documentation supplied by management and the form of the financial statements are a fair and accurate representation of the company's financial condition.



Saul B Lipson & Company
April 27, 2011

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



**ENERGY PROFESSIONALS, LLC
BALANCE SHEET
AS OF DECEMBER 31, 2010**

ASSETS

CURRENT ASSETS

CASH \$ 4,998.90

TOTAL CURRENT ASSETS 4,998.90

PROPERTY AND EQUIPMENT

TOTAL ASSETS \$ 4,998.90

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SECRETARY'S BUREAU



**ENERGY PROFESSIONALS, LLC
BALANCE SHEET
AS OF DECEMBER 31, 2010**

LIABILITIES AND STOCKHOLDERS' EQUITY

CURRENT LIABILITIES

LONG-TERM LIABILITIES

STOCKHOLDERS' EQUITY

CAPITAL	<u>4,998.90</u>
---------	-----------------

TOTAL STOCKHOLDERS' EQUITY	<u>4,998.90</u>
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TOTAL LIABILITIES AND STOCKHOLDERS' EQUITY	<u><u>\$ 4,998.90</u></u>
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**ENERGY PROFESSIONALS. LLC
INCOME STATEMENT**

	12 Months December 31,	%
SALES		
SALES REVENUE	<u>\$ 2,598.28</u>	<u>100.00</u>
TOTAL SALES	<u>2,598.28</u>	<u>100.00</u>
GROSS PROFIT	<u>2,598.28</u>	<u>100.00</u>
OPERATING EXPENSES		
INSURANCE	200.00	7.70
LEGAL AND ACCOUNTING	937.78	36.09
OFFICE EXPENSE	100.00	3.85
OUTSIDE SERVICES	<u>1,360.50</u>	<u>52.36</u>
TOTAL OPERATING EXPENSES	<u>2,598.28</u>	<u>100.00</u>
OTHER INCOME/EXPENSE		

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SECRETARY'S BUREAU

**ENERGY PROFESSIONALS, LLC
BALANCE SHEET
AS OF DECEMBER 31, 2011**

ASSETS

CURRENT ASSETS

CASH \$ 15,220.48

TOTAL CURRENT ASSETS 15,220.48

PROPERTY AND EQUIPMENT

TOTAL ASSETS \$ 15,220.48

**ENERGY PROFESSIONALS, LLC
BALANCE SHEET
AS OF DECEMBER 31, 2011**

LIABILITIES AND STOCKHOLDERS' EQUITY

CURRENT LIABILITIES

LONG-TERM LIABILITIES

STOCKHOLDERS' EQUITY

CAPITAL	4,998.90
CURRENT INCOME (LOSS)	<u>10,221.58</u>

TOTAL STOCKHOLDERS' EQUITY	<u>15,220.48</u>
-----------------------------------	------------------

TOTAL LIABILITIES AND STOCKHOLDERS' EQUITY	<u>\$ 15,220.48</u>
---	---------------------

**ENERGY PROFESSIONALS. LLC
INCOME STATEMENT**

	12 Months December 31,	%
SALES		
SALES REVENUE	<u>\$ 811,877.95</u>	<u>100.00</u>
TOTAL SALES	<u>811,877.95</u>	<u>100.00</u>
GROSS PROFIT	<u>811,877.95</u>	<u>100.00</u>
OPERATING EXPENSES		
SALARIES AND WAGES	649,530.60	80.00
ADVERTISING	4,000.00	0.49
BANK CHARGES	30.00	0.00
COMMISSIONS	73,794.95	9.09
INSURANCE	2,071.81	0.26
LEGAL AND ACCOUNTING	7,242.69	0.89
LICENSES AND FEES	1,285.75	0.16
OFFICE EXPENSE	(4,255.56)	(0.52)
RENT	3,600.00	0.44
TAXES - OTHER	1.57	0.00
TAXES - PAYROLL	49,484.00	6.10
TAXES - STATE U.C.	10,333.03	1.27
TAXES - FEDERAL U.C.	3,795.64	0.47
TELEPHONE	<u>741.89</u>	<u>0.09</u>
TOTAL OPERATING EXPENSES	<u>801,656.37</u>	<u>98.74</u>
OPERATING INCOME (LOSS)	<u>10,221.58</u>	<u>1.26</u>
OTHER INCOME/EXPENSE		
NET INCOME (LOSS)	<u>\$ 10,221.58</u>	<u>1.26</u>

ENERGY PROFESSIONALS, LLC

SCHEDULE 7(b)-3

INSURANCE

The Applicant maintains (i) an Errors and Omissions insurance policy in the amount of \$2,000,000 and (ii) a Workers' Compensation insurance policy in the amount of \$1,000,000, in each case to support its financial fitness to perform its obligations as a licensee.

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ENERGY PROFESSIONALS, LLC

SCHEDULE 7(b)-4

FINANCIAL FITNESS: OTHER INFORMATION

- (a) The Applicant, Energy Professionals, LLC, will be providing energy service information and will not be buying and/or selling the electricity. Therefore, the Applicant does not need to rely on bank commitments and/or credit agreements or other financial arrangements, such as long term bonds or other senior debt.
- (b) Attached please find forecasted financial information for 2012 and 2013, together with a balance sheet and income statement for the six months ended June 30, 2011. Any/all assumptions on the Applicant's Forecasted Financial Statements are based upon the Applicant's desire to obtain approval for an electricity broker license in the Commonwealth of Pennsylvania and attempt to maintain a controlled business growth within the economic framework that the economy may maintain for 2012 and 2013.
- (c) The Applicant, Energy Professionals, LLC, was newly formed in December 2007 and has not experienced any reorganizations, been awarded protection from creditors, or filed for any other form of bankruptcy or similar protection.

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ENERGY PROFESSIONALS, LLC

FORECASTED FINANCIALS	2012	2013
1. CASH ON HAND		
[Beginning of year/left from last year]	\$50,000	\$378,000
2. CASH RECEIPTS		
(a) Cash Sales	\$1,000,000	\$1,000,000
(b) Collections from Credit Accounts	\$0	\$0
(c) Loan or Other Cash Injection	\$0	\$0
3. TOTAL CASH RECEIPTS		
[2(a) + 2(b) + 2(c) = 3]	\$1,000,000	\$1,000,000
4. TOTAL CASH AVAILABLE		
[Before cash out] (1 + 3)	\$1,050,000	\$1,378,000
5. CASH PAID OUT		
(a) Gross Wages (excludes withdrawals)	\$500,000	\$500,000
(b) Payroll Expenses (Taxes, etc.)	\$0	\$0
(c) Supplies (Office and Operating)	\$12,000	\$12,000
(d) Logo and Website Development	\$10,000	\$10,000
(e) Advertising and Marketing	\$10,000	\$10,000
(f) Travel and Entertainment Expenses	\$10,000	\$10,000
(g) Accounting and Legal	\$25,000	\$25,000
(h) Rent	\$12,000	\$12,000
(i) Telephone	\$3,000	\$3,000
(j) Insurance	\$12,000	\$12,000
(k) Sales Commissions	\$12,000	\$12,000
(l) Health Benefits	\$36,000	\$36,000
(m) Interest	\$10,000	\$10,000
(n) Miscellaneous (Unspecified)	\$20,000	\$20,000
6. TOTAL CASH PAID OUT		
[Total 5(a) through 5(n)]	\$672,000	\$672,000
7. CASH POSITION		
[End of year] (4 – 6)	\$378,000	\$706,000

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 NOV 29 2012
 PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU

ENERGY PROFESSIONALS, LLC

SCHEDULE 7(d)

TAX CERTIFICATION STATEMENT

Please see the tax certification statement of the Applicant attached hereto.

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ENERGY PROFESSIONALS, LLC

SCHEDULE 8(a)-1

PREVIOUS EXPERIENCE IN THE ELECTRICITY INDUSTRY

Energy Professionals, LLC was formed in 2007 for the purpose of being a broker in natural gas and electricity, and has been operating as such, since such date. Each of the President/Owner, Kim Larsen, Executive Director, Jimmy Warrick, and Vice President, Steve Upham, have extensive managerial and energy related experience. Kim Larsen has held numerous managerial positions for over 20 years and is a seasoned executive. Jimmy Warrick began working in the energy industry in 1999 with AGR Group, Inc. and now oversees each and every department at Energy Professionals, LLC, with the responsibility of ensuring the overall viability of the organization. Steve Upham has well over 8 years of extensive energy experience dating back to 2002, where he served as co-owner and director of operations for On Target Marketing, which sold for various energy suppliers. Energy Professionals, LLC envisions working only for licensed third party suppliers and will not be taking title to the commodity or traditionally "aggregating". For the most part it will be the third party suppliers that will have the direct billing/customer service relationship with the customers. However, if a client/customer should ever need to contact Energy Professionals, LLC, the Applicant stands ready, willing and able to handle and professionally address all inquiries.

The Executive Director, Jimmy Warrick, began working in the energy industry in 1999 as an independent consultant and shortly after accepted a position with the AGR Group, Inc. to act as their Director of Administration and Sales for an expansion office opening in Florida. Jimmy assisted with establishing the foundation of the office and played a key role in the expansion of the organization, which has now become one of the nations leading energy services companies. In 2009, Jimmy accepted the role of Executive Director for Energy Professionals, LLC which is a full service energy consulting firm that represents the end user as opposed to any individual energy provider. His responsibilities include overseeing each and every department, as well as ensuring the overall viability of the organization. Jimmy also developed the current model for the organization, which is a proprietary formula used for evaluating individual customers load profiles. This model enables Energy Professionals, LLC to make recommendations on which energy product will serve most beneficial to each individual customer. Jimmy plays a key role in the day to day operations and also works directly with larger clients and government agencies.

The Vice President, Stephen Upham, has extensive managerial and energy related experience. Steve has well over eight (8) years of extensive energy experience dating back to 2002, where he was co-owner and Director of operations for On Target Marketing which sold for various energy suppliers. Steve has been with Energy Professionals, LLC since 2007. With about twenty (20) years in call center management, he is well qualified to run the day-to-day operations. Steve's call center experience started in the telecom industry, where he was a manager for a successful long distance company. He gained experience in the deregulated energy industry when he joined a verification company and then had an opportunity to open and manage a call center specializing in customer acquisition and customer service campaigns with 100% focus on the deregulated gas and electric markets.

The Applicant holds an electricity broker license in each of the states of Illinois, Ohio, Maryland, New Hampshire, Washington, D.C. and New Jersey. Proof of such licenses is located in Schedule 8(b)-2.

ENERGY PROFESSIONALS, LLC

SCHEDULE 8(a)-2

SUMMARY PROOF OF OTHER LICENSES IN OTHER STATES/JURISDICTIONS

The Applicant does not operate under any name other than "Energy Professionals, LLC". The Applicant is licensed to do business in the states listed below under the name "Energy Professionals, LLC". The registration or license number of the Applicant in each such state is listed in the column beside the applicable state.

Pennsylvania	4047472
Illinois	02994992
Ohio	1927880
Maryland	Z13326079
New Hampshire	633304
Washington, D.C.	L47078
Massachusetts	001051920
New Jersey	0600366397
Maine	20120411FC

The Applicant is engaged in the retail sale of electricity in each state listed for which it has received a license to act as an electricity broker in such jurisdiction, and in each other state listed above in which a license is not required. The Applicant holds an electricity broker license in each of the states of Illinois, Ohio, Maryland, New Hampshire, Washington, D.C. and New Jersey. Proof of such licenses is attached hereto. The Applicant is also in the process of applying for a license to act as an electricity broker in the state of Maine.

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Energy Professionals LLC :
 :
Application for Licensure of :
Agents, Brokers and Consultants : 10-0589
under Section 16-115C of the :
Public Utilities Act. :

ORDER

By the Commission:

I. PRELIMINARY MATTERS

On October 12, 2010, Energy Professionals LLC ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454 ("Part 454"). The Administrative Law Judge requested additional information and clarification of certain matters contained in the Application. The Applicant filed a verified response providing the required bond. Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Florida and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data.

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SECRETARY'S BUREAU

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant submitted an original surety bond in satisfaction of Section 454.80.

Applicant is required by Part 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment B, C, D and E. Attachment C includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachments D and E include an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

Applicant is required by Part 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Part 454.70 with the information provided, including the information included in Attachment B, D and E. Attachments B, D and E includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

Applicant indicated in its Application that it is licensed as a broker in Maryland, Ohio, New Hampshire and Washington DC. The Applicant further indicated that it has had no complaints filed against it for its provision of services in the gas or electric industry.

Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical and managerial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements.

The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Energy Professionals LLC is granted service authority to operate as an agent, broker or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Energy Professionals LLC, a business organized under the laws of Florida, seeks a certificate of service authority to operate as an agent, broker or consultant under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial and technical resources and abilities to provide services as an agent, broker or consultant for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) Energy Professionals LLC should be granted the certificate of service authority to operate as an agent, broker or consultant as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Energy Professionals LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that Energy Professionals LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 9th day of February, 2011.

(SIGNED) MANUEL FLORES

Acting Chairman

FILE

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Certificate Number:
10-212E (1)

Issued Pursuant to Case Number(s):
10-576-EL-AGG

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **Energy Professionals, LLC** whose office or principal place of business is located at **13100 56th Court, Suite 703, Clearwater, FL 33760** to provide aggregation and power broker services within the State of Ohio effective **May 28, 2010**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: June 1, 2010

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO



Renee J. Jenkins, Secretary
Betty McCauley, Acting Secretary
Mariruth C. Wright, Acting Secretary

Certificate Expires: May 28, 2012

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business technician Ann Date Processed 6/1/10

CRES AUTOMATIC CASE ACTION FORM

Case No. **10-576-EL-AGG**

Date Sent: **May 28, 2010**

Effective Date of Certificate: **May 28, 2010**

Certificate Expires: **May 28, 2012**

Company Name and Company Name d/b/a: **Energy Professionals, LLC**

Renewal

Action Needed:

Issue Certificate Number to: **Energy Professionals, LLC** at (address): **13100 56th Court, Ste 703, Clearwater FL 33760**

Certified To Provide the Following Services:

Retail Generation

Aggregation

Power Marketer

Power Broker

Governmental Aggregation

Renew Certificate No. to

Revise Certificate No. to (check all applicable):

Reflect name change from to

Reflect address change from to

Add new service offering to certificate:

Retail Generation

Aggregation

Power Marketer

Power Broker

Governmental Aggregation

Correct Administrative Error

Reflect Change of Ownership to:


Cancel Certificate No.

Protect Un-redacted copies until

Close Case File, Case Withdrawn at Applicant's Request

Close Case File

CASE NUMBER: 10-0576-EL-AGG
CASE DESCRIPTION: ENERGY PROFESSIONALS LLC
DATE OF SERVICE: 6/1/2010
DOCUMENT SIGNED ON: 6/1/10

Sign Here: 

PARTY OF RECORD	APPLICANT	ATTORNEY
ENERGY PROFESSIONALS LLC	NONE	
13100 56TH COURT		
STE 703		
CLEARWATER, FL 33760		
Phone: 727-572-0600		



DOUGLAS R. M.
NAZARIAN
CHAIRMAN

HAROLD D. WILLIAMS
SUSANNE BROGAN
LAWRENCE BRENNER
THERESE M. GOLDSMITH

PUBLIC SERVICE COMMISSION

#7, 2/3/10 AM; ML#120603
License Reference No.: IR-1791

February 3, 2010

James K. McGee
Alexander & Cleaver
11414 Livingston Road
Fort Washington, MD 20744-5146

Dear Mr. McGee:

On December 18, 2009, Energy Professionals, LLC filed an Application for a license to supply electricity or electric generation services in Maryland under COMAR 20.51. The Company proposes to provide electricity broker services in Maryland for residential, commercial and industrial customers without restrictions on the number and size of end use customers.

After considering this matter at the February 3, 2010 Administrative Meeting, the Commission granted Energy Professionals, LLC a license to supply electricity or electric generation services in Maryland in accordance with its Application (License Reference Number IR-1791). The license granted by the Commission under this Letter Order is limited to electricity broker services only.

Finally, Energy Professionals, LLC is reminded that it is under a continuing obligation to notify the Commission of any substantial changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

/s/ Terry J. Romine

Terry J. Romine
Executive Secretary

TJR/gjd

c: Phil VanderHeyden, Electricity Division
Paula M. Carmody, People's Counsel

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

THE STATE OF NEW HAMPSHIRE

CHAIRMAN
Thomas B. Getz

COMMISSIONERS
Clifton C. Below
Amy L. Ignatius

EXECUTIVE DIRECTOR
AND SECRETARY
Debra A. Howland



PUBLIC UTILITIES COMMISSION
21 S. Fruit Street, Suite 10
Concord, N.H. 03301-2429

Tel. (603) 271-2431

FAX (603) 271-3878

TDD Access: Relay NH
1-800-735-2964

Website:
www.puc.nh.gov

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August 9, 2010

NOV 29 2012

Steve Upham
Executive Director
Energy Professionals, LLC
13100 56th Court, Suite 703
Clearwater, FL 33760

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Re: DM 10-197, Notification of Energy Professionals, LLC's Intent to Provide Electric Aggregation Service

Dear Mr. Upham:

This is to confirm that the New Hampshire Public Utilities Commission (Commission) has received Energy Professionals, LLC's notice of intent to provide electric aggregation service in the State of New Hampshire, pursuant to New Hampshire Administrative Rules Puc 2003.02. Staff has reviewed the application provided and believes that it meets the requirements of Puc 2003.02. Based on Staff's recommendation and the Commission's review, the Commission approves Energy Professionals, LLC's application to provide electric aggregation service in New Hampshire.

Please note the following provision of Puc 2003.02 (f): "[E]ach aggregator shall re-file with the commission an up-to-date written notice of intent to provide service as an aggregator every 2 years on or before its original notice anniversary date. Energy Professionals, LLC's original notice anniversary date is July 27, 2010 and therefore it is required to refile an up-to-date written notice on or before **July 27, 2012** if it continues to offer and provide electric aggregation service. In addition, Energy Professionals, LLC is subject to the provisions of Puc 2004.03, telephone solicitation of customers, and Puc 2004.07, customer protections provided by aggregators.

If you have any questions regarding these provisions, please contact the Commission. Thank you for your cooperation in this matter.

Sincerely,

A handwritten signature in cursive script, appearing to read "Debra A. Howland".

Debra A. Howland
Executive Director

EA - 09 - 6 - 4 *Jan*

PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA
1333 H STREET N.W., 2ND FLOOR, WEST TOWER
WASHINGTON, D.C. 20005

RECEIVED *Jan*

2010 MAR 15 P 2:07

DISTRICT OF COLUMBIA
PUBLIC SERVICE COMMISSION
March 15, 2010

ORDER

FORMAL CASE NO. EA 09-6, IN THE MATTER OF THE APPLICATION OF
ENERGY PROFESSIONALS, LLC FOR AN ELECTRICITY LICENSE, Order
No. 15735

1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants a license to Energy Professionals, LLC ("EP" or "Applicant") to function as an electricity supplier in the District of Columbia.¹ Specifically, EP intends to operate as a broker of electricity for residential, commercial and industrial customers in the District of Columbia, pursuant to Section 34-1505 of the "Retail Electric Competition and Consumer Protection Act of 1999 ("Act")."²

2. On December 3, 2009, EP filed its license application.³ Pursuant to the Commission's licensing requirements as set forth in Order No. 11796,⁴ EP has provided the following information:

- a) Proof of technical and managerial competence;
- b) An affidavit of compliance with applicable Federal and District of Columbia environmental laws and regulations dated November 24, 2009;
- c) Proof of financial integrity to include financial statements;
- d) Proof that EP has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;
- e) An affidavit that EP is subject to all applicable taxes;
- f) An affidavit dated November 24, 2009, stating that EP will comply with all the requirements of all orders and regulations of the Commission; and

¹ D.C. Official Code § 34-1501(17)(2009 Supp.) defines, in part, an electricity supplier as "a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers."

² D.C. Official Code § 34-1505; see generally §§ 34-1501-1520.

³ *Formal Case No. EA 09-6, In the Matter of the Application of Energy Professionals, LLC for an Electricity License*, Application of Energy Professionals, LLC filed December 3, 2009.

⁴ *Formal Case No. 945, In the Matter of the Investigation into Electric Service Market Competition and Regulatory Practices*, Order No. 11796 at Attachment C, rel. September 20, 2000.

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SECRETARY'S BUREAU

g) EP's website address: www.energyprofessionals.com.

3. After a complete review of the application and supplemental documentation,⁵ the Commission finds that granting the application of Energy Professionals, LLC will serve the public interest. The information contained in its application demonstrates that the Applicant has the ability and the financial integrity to serve electricity customers in the District of Columbia.

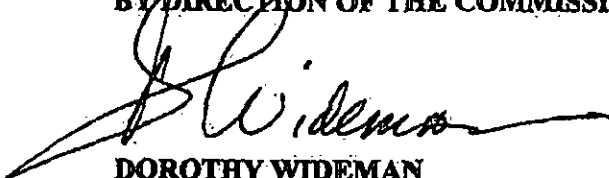
THEREFORE, IT IS ORDERED THAT:

4. The application of Energy Professionals, LLC for a license to conduct business in the District of Columbia as an electricity supplier is hereby **GRANTED**.

A TRUE COPY:

BY DIRECTION OF THE COMMISSION:

CHIEF CLERK:


DOROTHY WIDEMAN
COMMISSION SECRETARY

⁵ Energy Professionals, LLC supplemented its Application by providing the Commission with additional financial information to demonstrate that it has sufficient financial resources to operate as a supplier of electricity in the District of Columbia. See Formal Case No. EA 9-6, Supplemental Filing, filed February 26, 2010.



STATE OF NEW JERSEY
Board of Public Utilities
Two Gateway Center – Suite 801
Newark, NJ 07102
www.nj.gov/bpu/

Chris Christie
Governor

Kim Guadagno
Lt. Governor

Kristi Izzo
Secretary of the Board
Tel. # (973) 648-3426

May 16, 2011

Michael D'Angelo, Esq.
Regulatory Counsel
134 Highwood Avenue
Leonia, New Jersey 07605

Re: **Energy Agent, Private Aggregator and Energy Consultant Initial Registrations**
Dkt. Nos. EE11020081L & GE11020082L

Dear Mr. D'Angelo:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its May 16, 2011 Agenda meeting, the New Jersey Board of Public Utilities voted to issue **REGISTRATIONS** as an Energy Agent, Private Aggregator and Energy Consultant to Energy Professionals, LLC. Your registration numbers are EA-0109, PA-0087 and EC-0028.

The enclosed registrations are effective May 16, 2011 and expire on May 15, 2012. These registrations and the rights thereunder are **Non-Transferable**.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotion of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Anna Procopio at (609) 292-1681.

Sincerely,

Kristi Izzo
Secretary of the Board

KI/alp
Encl.(s)

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SECRETARY'S BUREAU

State of New Jersey



Board of Public Utilities

Two Gateway Center – Suite 801, Newark, New Jersey 07102

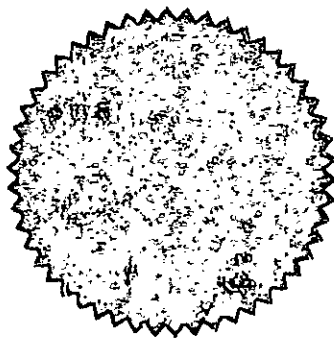
HEREBY REGISTERS

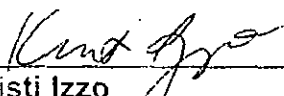
Energy Professionals, LLC

134 Highwood Avenue
Leonia, New Jersey 07605

To conduct business in the State of New Jersey as a

Private Aggregator





Kristi Izzo
Secretary of the Board

Registration No. PA-0087
Effective Date: May 16, 2011
Expiration Date: May 15, 2012

State of New Jersey



Board of Public Utilities

Two Gateway Center – Suite 801, Newark, New Jersey 07102

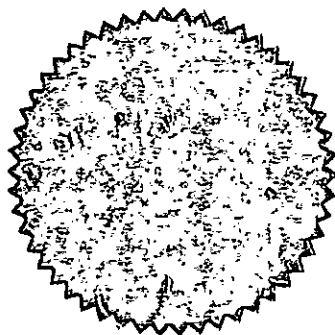
HEREBY REGISTERS

Energy Professionals, LLC

134 Highwood Avenue
Leonia, New Jersey 07605

To conduct business in the State of New Jersey as an

Energy Agent





Kristi Izzo
Secretary of the Board

Registration No. EA-0109
Effective Date: May 16, 2011
Expiration Date: May 15, 2012

State of New Jersey



Board of Public Utilities

Two Gateway Center – Suite 801, Newark, New Jersey 07102

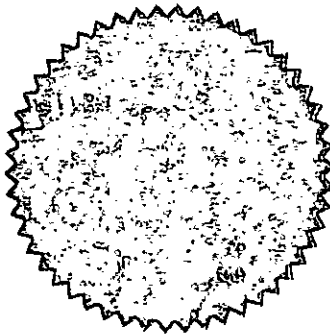
HEREBY REGISTERS

Energy Professionals, LLC

134 Highwood Avenue
Leonia, New Jersey 07605

To conduct business in the State of New Jersey as an

Energy Consultant




Kristi Izzo
Secretary of the Board

Registration No. EC-0028
Effective Date: May 16, 2011
Expiration Date: May 15, 2012

ENERGY PROFESSIONALS, LLC

SCHEDULE 8(ba-3

TYPES OF CUSTOMERS AND NUMBER OF CUSTOMERS SERVED IN OTHER JURISDICTIONS

The Applicant currently has attained the business of approximately 5,000 commercial customers in other jurisdictions.

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SCHEDULE 8(a)-4

**STAFFING STRUCTURE AND NUMBERS AND EMPLOYEE TRAINING
COMMITMENTS**

The Applicant will have approximately four (4) main representatives that are dedicated to servicing the Applicant's customers in the Commonwealth of Pennsylvania, many of which are existing customers of the Applicant with respect to operations in other states. Several of these customers already possess facilities in the Commonwealth of Pennsylvania and several have plans to establish locations within the Commonwealth of Pennsylvania in the near future. These representatives will spend a majority of their time in the corporate office and communicate with customers via email and the telephone. Additionally, these representatives will also travel to the Commonwealth of Pennsylvania on occasion in order to visit with many of the Applicant's clients in person and to meet with potential new clients, delivering presentations on the Applicant's products and services in order to obtain new business in the Commonwealth of Pennsylvania.

With respect to training, the Applicant has closely reviewed all of the rules, regulations and guidelines set forth by the Pennsylvania Public Utility Commission and applicable law. The Applicant's entire staff will receive comprehensive training with respect to the same and will be required to pass a standard exam on the subject matter in order to ensure their understanding thereof prior to permitting them to provide services to new or existing customers in the Commonwealth of Pennsylvania. This training will generally consist of a two (2) part course given over the period of two (2) full days. The first session of training focuses on the PA PUC rules, regulations and guidelines that are specific to the Commonwealth and other applicable law, and the second session focuses on each of the product and service offers available for each customer type in the Commonwealth of Pennsylvania. This session also includes training and instruction on how to make the determination as to which product and/or service is most beneficial for each customer based on historical and current market conditions.

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ENERGY PROFESSIONALS, LLC

SCHEDULE 8(a)-5

BUSINESS PLANS FOR OPERATION IN THE COMMONWEALTH OF PENNSYLVANIA

The Applicant has a vast customer base across various markets in other regions of the United States in which the Applicant has been active in for many years. The expansion of the Applicant's presence in the online community through the Applicant's website has recently experienced a substantial increase in the number of inquires for customers who own businesses throughout the Commonwealth of Pennsylvania and, as a result, upon successfully being awarded our gas broker license in the Commonwealth of Pennsylvania the Applicant's plans on moving forward with the many opportunities that have materialized in this region. In doing so, the Applicant will evaluate its clients' energy needs and make product and service recommendations. Additionally, the Applicant will provide detailed reports to accompany such recommendations which discuss various energy buying strategies. Such strategies are derived from a proprietary formula that the Applicant has created throughout its many years of operating in the energy broker industry. Initially, the majority of the Applicant's expansion in the Commonwealth of Pennsylvania will be the result of customers that have reached out to the Applicant in need of the Applicant's services in the Commonwealth. This will be followed by expansion as a result of referrals from the Applicant's existing customers obtained through networking and relationships that have been established through various trade associations and otherwise by word of mouth references. Upon exhaustion of these resources, the Applicant's efforts will more than likely turn towards a strategic direct marketing initiative which may include (but not be not limited to) mailing brochures to potential new customers which outline our products and services, visiting customers in person in order to deliver presentations on the value of our products and services, and increasing our online advertising. The foregoing outlines our tentative business plan which may be subject to variation, but in accordance with the Applicant's past experience, this strategy has proven most successful for the Applicant when entering into new markets. Therefore the Applicant intends to continue to utilize this blue print for its market entry into the Commonwealth of Pennsylvania.

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ENERGY PROFESSIONALS, LLC

SCHEDULE 8(a)-6

TECHNICAL FITNESS: OTHER INFORMATION

Please see the resumes of the chief officers of the Applicant set forth in Schedule 8(b)-2.

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ENERGY PROFESSIONALS, LLC

SCHEDULE 8(b)-1

TECHNICAL FITNESS: LIST OF CHIEF OFFICERS OF APPLICANT

Kim Larsen
Founder/Owner/President
13100 56th Court, Suite 703
Clearwater, FL 33760
Ph: 714-878-9772

Jimmy Warrick
Executive Director
13100 56th Court, Suite 703
Clearwater, FL 33760
Ph: 727-424-9658

Steve Upham
Vice President
13100 56th Court, Suite 703
Clearwater, FL 33760
Ph: 727-572-0600 ext. 208

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ENERGY PROFESSIONALS, LLC

SCHEDULE 8(b)-2

FINANCIAL FITNESS: RESUMES OF CHIEF OFFICERS OF APPLICANT

KIM LARSEN—RESUME

EXPERIENCE

1973-1977 Zodys' Department Store (Food Counter Bar), various positions

Handled orders, preparation, cashier and inventory
Became manager at age 16

1977-1978 National Shirt retail clothing store, Sales Associate

Sold for different companies including Worldcom Wireless, Dish TV,
appointment setting programs and sold for various energy suppliers

1978-1988 Assisted various dentists, including for Dr. Robinson (Huntington Beach,
CA), Dr. Hetrick (Huntington Beach, CA), and Dr. Warren (Long Beach,
CA)

Worked as a registered Dental Assistant and licensed X-ray Technician
Performed both front office and back office duties

1988-1993 Opened a new Chiropractic Office as Office Manager

Duties performed were marketing, accounts receivable, data entry, handling
patient finances for care, and employment decisions

1993-1994 Car Dealership, Office Manager, Car Salesman

Handled office management duties
Processed rentals, automobile sales finances and contracts
Sold automobiles

1994-2005 KL Business Services, Founder and Owner

Provided Chiropractic and Dental Consulting

2005-Present FMR Management, Inc.

Provides Consulting and Management Services

ENERGY PROFESSIONALS, LLC

JIMMY WARRICK—RESUME

Energy Related Work Experience:

2009- Present Energy Professionals, LLC
Executive Director

- Full service energy consulting firm that provides power and gas consulting and buying recommendations to large commercial customers.
- Responsible for developing different buying strategies and recommendations for the employees to review with their individual clients depending on current market conditions and other factors.
- Personally responsible for managing accounts of clients that spend in excess of five million dollars per year on their energy consumption.

2002 – 2009 AGR Group, Inc.
Director of Administration and Sales

- Played a key role in establishing a new branch office in Clearwater Florida.
- Responsible for the development and implementation of residential and small commercial energy sales projects for numerous clients.
- Oversaw regulatory guidelines and was responsible to ensure that all laws and regulatory requirements were met in each specific market.
- Provided consulting and market recommendations to numerous different energy clients.

1999 – 2002 Independent Consultant in the energy marketing industry.

ENERGY PROFESSIONALS, LLC

STEPHEN UPHAM—RESUME

EXPERIENCE

1993-1999 Verification Company, Co-Owner/Director of Operations

Provided Third-Party Verification services to long distance companies such as LCR, Trescom TTI and Primus

Provided Third-Party Verification services to energy suppliers such as Keyspan Energy Services, MXenergy and Direct Energy

1999-2003 On Target Marketing, Co-Owner/Director of Operations

Sold for different companies including Worldcom Wireless, Dish TV, appointment setting programs and sold for various energy suppliers

2003-Present Utilities Marketing Group, LLC, Executive Director

Manager of call center, conducting various lead generation and sales programs for energy suppliers

2007-Present Energy Professionals, LLC, Vice President

ENERGY PROFESSIONALS, LLC

SCHEDULE 11(A)

APPLICATION AFFIDAVIT

State of Florida :
:
County of Pinellas : ss.

Jimmy Warrick, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:
[He/she is the Executive Director (Office of Affiant) of Energy Professionals, LLC (Name of Applicant);]
[That he/she is authorized to and does make this affidavit for said Applicant;]

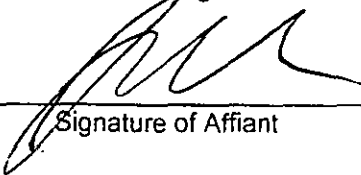
That the Applicant herein, Energy Professionals, LLC, has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Energy Professionals, LLC, has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Energy Professionals, LLC, acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Energy Professionals, LLC, acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



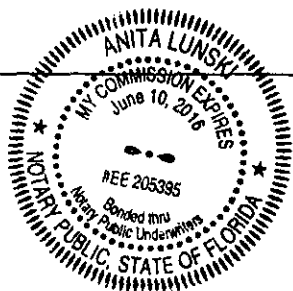
Signature of Affiant

Sworn and subscribed before me this 19th day of November, 2012.



Signature of official administering oath

My commission expires _____



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NOV 29 2012
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ENERGY PROFESSIONALS, LLC

SCHEDULE 11(B)

OPERATIONS AFFIDAVIT

State of Florida :
 : ss.
County of Pinellas :

Jimmy Warrick, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

[He/she is the Executive Director (Office of Affiant) of Energy Professionals, LLC
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Energy Professionals, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Energy Professionals, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Energy Professionals, LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

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NOV 29 2012
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ENERGY PROFESSIONALS, LLC


That Energy Professionals, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.


Signature of Affiant

Sworn and subscribed before me this 19th day of November, 2012.


Signature of official administering oath

My commission expires _____



RECEIVED
NOV 29 2012
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

CERTIFICATION OF NOTICE OF PUBLICATION

AFFIDAVIT

State of Florida :
County of : ss.
Pinellas _____ :

Jimmy Warrick, Affiant, being duly sworn/affirmed according to law, deposes and says that: He/she is the Executive Director of Energy Professionals, LLC ; and that he/she is authorized to and does make this affidavit for said Applicant;

That Energy Professionals, LLC, the Applicant herein certifies that it has caused the notice of the filing of its license application published in the following newspapers on 8 November 2012:

- Pittsburgh Post-Gazette
- Erie Times-News
- Tribune-Democrat
- Philadelphia Daily News
- Scranton Times
- Williamsport Sun-Gazette
- PATRIOT-NEWS*

A copy of the notice as it appeared in each of the above newspapers is attached. Noted on each copy is the newspaper section (name, number or letter), if applicable, and the page number on which the notice appeared.

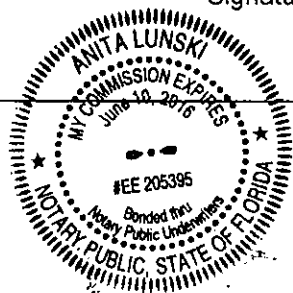
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.


Signature of Affiant

Sworn and subscribed before me this 19th day of November, 2012.


Signature of official administering oath

My commission expires _____



RECEIVED
NOV 29 2012
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss C. Mohamed, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

07 of November, 2012

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

C. Mohamed
PG Publishing Company

Sworn to and subscribed before me this day of:
November 07, 2012

Linda M. Gaertner

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Linda M. Gaertner, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires Jan. 31, 2015
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

RECEIVED
NOV 29 2012

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

STATEMENT OF ADVERTISING COSTS
Energy Choice Consulting, LLC
199 NEW RD STE 61-187
ATTN: SEAN P MORRISEY
LINWOOD NJ 08221-2025

To PG Publishing Company

Total ----- \$427.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
34 Boulevard of the Allies
PITTSBURGH, PA 15222
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation
By Maria Juce

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION NOTICE
Application of Energy
Professionals LLC For
Approval to Offer, Render,
Furnish or Supply
Electricity or Electric
Generation Services As a
Broker/Marketer Engaged
in the Business of
Supplying Electricity To
The Public in The
Commonwealth Of Pennsylvania
Energy Professionals
will be filing an application
with the Pennsylvania
Public Utilities Commission
("PUC") for a license to supply
electricity or electric generation
services as a Broker engaged
in the business of supplying
electricity. Energy Professionals
proposes to sell electricity
and related services throughout
all of Pennsylvania under the
provision of the new Electricity
Generation Customer Choice
and Competition Act.
The PUC may consider this
application without a hearing.
Protests to the technical or
financial fitness of Energy
Professionals may be filed
within 15 days of this notice
with the Secretary of the PUC,
PO Box 3265, Harrisburg PA
17105-3265. You should send
copies of any protest to
Energy Professionals' attorney
at the address listed below.
By and Through
Counsel
Energy Professionals LLC
13100 56th Court,
Clearwater, FL 33760
T: 727.527.0600
F: 727.517.8657

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

ENERGY CHOICE CONSULTING LLC
199 NEW RD SUITE 61-187
LINWOOD NJ 08221

REFERENCE: L0005435
2088104 PUC NOTICE'

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 11/09

TOTAL COST: 294.00 AD SPACE: 7.000 INCH
FILED ON: 11/09/12

RECEIVED

NOV 29 2012

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION
NOTICE

Application of **Energy Professionals LLC** For Approval to Offer, Render, Furnish Or Supply Electricity or Electric Generation Services As a Broker/Marketer Engaged In the Business of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Energy Professionals will be filing an application with the Pennsylvania Public Utilities Commission ("PUC") for a license to supply electricity or electric generation services as a Broker engaged in the business of supplying electricity. **Energy Professionals** proposes to sell electricity and related services throughout all of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests to the technical or financial fitness of **Energy Professionals** may be filed within 15 days of this notice with the Secretary of the PUC, PO Box 3265, Harrisburg PA 17105-3265. You should send copies of any protest to **Energy Professionals'** attorney at the Address listed below.

By and Through Counsel
Energy Professionals LLC
13100 56th Court, Clearwater, FL 33760
T: 727.527.0600 F: 727.517.8657

2008101

Sworn to and subscribed before me this

9th day of November 2012 Affiant: Debra McGraw

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2016

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

COMMONWEALTH OF PENNSYLVANIA }
 County of Cambria } SS

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Energy Professionals LLC For Approval to Offer, Render, Furnish Or Supply Electricity or Electric Generation Services As a Broker/Marketer Engaged In the Business of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

Energy Professionals will be filing an application with the Pennsylvania Public Utilities Commission ("PUC") for a license to supply electricity or electric generation services as a Broker engaged in the business of supplying electricity. Energy Professionals proposes to sell electricity and related services throughout all of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests to the technical or financial fitness of Energy Professionals may be filed within 15 days of this notice with the Secretary of the PUC, PO Box 3265, Harrisburg PA 17105-3265. You should send copies of any protest to Energy Professionals' attorney at the Address listed below.

By, and Through Counsel
 Energy Professionals LLC
 13100 56th Court,
 Clearwater, FL 33760
 T: 727.527.0600
 F: 727.517.8657

NOTARY PUBLIC

Notary Seal
 Notary Public

City of Johnstown, Cambria County
 My Commission Expires Dec. 6, 2012

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

published continu
 that the annexed i
 of The Johnstown
 interested in the st
 character of said l

Sworn and Subscr
 8th day of Novemb

[Signature]

in the County of Cambria, and Commonwealth of Pennsylvania and
 e in the above matter published in said publication in the regular issues
 hnstown, PA, on November 8, 2012; and that the Affiant is not
 notice or advertising and that all of the allegations as to time, place and

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

52 Lines @ \$2.50 per line	130.00
0.00 Inches @ \$25.00 per inch	0.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	137.50

To The Tribune-Democrat, Johnstown, PA
 For publishing the notice or publication
 attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
 and publication costs and certifies that the same has been duly paid.

 (Name of Newspaper)

By _____

RECEIVED

NOV 29 2012

PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

November 7, 2012

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



Sworn to and subscribed before me this 7th day of
November, 2012.


Notary Public

My Commission Expires:

NOTARIAL SEAL
Mary Anne Logan, Notary Public
City of Philadelphia, Phila. County
My Commission Expires 3/30/2013

Copy of Notice of Publication

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of Energy Professionals LLC For Approval to Offer, Render, Furnish Or Supply Electricity or Electric Generation Services As a Broker/Marketer Engaged in the Business of Supplying Electricity, To The Public in The Commonwealth Of Pennsylvania.
Energy Professionals will be filing an application with the Pennsylvania Public Utilities Commission ("PUC") for a license to supply electricity or electric generation services as a Broker engaged in the business of supplying electricity. Energy Professionals proposes to sell electricity and related services throughout all of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests to the technical or financial fitness of Energy Professionals may be filed within 15 days of this notice with the Secretary of the PUC, PO Box 3265, Harrisburg PA 17105-3265. You should send copies of any protest to Energy Professionals' attorney at the Address listed below.
By and Through Counsel
Energy Professionals LLC
13100 58th Court, Clearwater, FL 33760
T: 727.527.0600 F: 727.517.8867

RECEIVED
NOV 29 2012
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

NEMLC, LLC
SEAN MORRISEY
SUITE 61 - 187 199 NEW ROAD
LINWOOD NJ 08221

Account # 555067
Order # 81069603
Ad Price: 187.00

Gina Krushinski

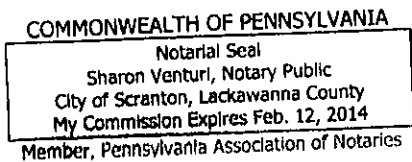
Being duly sworn according to law deposes and says that she is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

11/07/2012

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me
this 7th day of November A.D., 2012

Sharon Venturi
(Notary Public)



LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY
COMMISSION NOTICE

Application of Energy Professionals LLC For Approval to Offer, Render, Furnish Or Supply Electricity or Electric Generation Services As a Broker/Marketer Engaged In the Business of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Energy Professionals will be filing an application with the Pennsylvania Public Utilities Commission ("PUC") for a license to supply electricity or electric generation services as a Broker engaged in the business of supplying electricity. Energy Professionals proposes to sell electricity and related services throughout all of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests to the technical or financial fitness of Energy Professionals may be filed within 15 days of this notice with the Secretary of the PUC, PO Box 3265, Harrisburg PA 17105-3265. You should send copies of any protest to Energy Professionals' attorney at the Address listed below.

By and Through Counsel
Energy Professionals LLC
13100 56th Court,
Clearwater, FL 33780
Telephone: 727.527.0800
Fax: 727.517.8657

RECEIVED

NOV 29 2012

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

November 8, 2012

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of Energy Professionals LLC For Approval to Offer, Render, Furnish Or Supply Electricity or Electric Generation Services As a Broker/Marketer Engaged in the Business of Supplying Electricity. To The Public In The Commonwealth Of Pennsylvania.
Energy Professionals will be filing an application with the Pennsylvania Public Utilities Commission ("PUC") for a license to supply electricity or electric generation services as a Broker engaged in the business of supplying electricity. Energy Professionals proposes to sell electricity and related services throughout all of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests to the technical or financial fitness of Energy Professionals may be filed within 15 days of this notice with the Secretary of the PUC, PO Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Energy Professionals' attorney at the Address listed below.
By and Through Counsel Energy Professionals LLC
13100 56th Court,
Clearwater, FL 33760
T: 727.527.0600 F: 727.517.8657

RECEIVED

Bernard A. Oravec

SUN-GAZETTE COMPANY

NOV 29 2012

Sworn to and subscribed before me

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

the 12th day of November 2012

Cathy A. Billey
Notary Public

NOTARIAL SEAL
CATHY A. BILLEY, Notary Public
City of Williamsport, Lycoming County
My Commission Expires May 15, 2015

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:	
For publishing the notice attached	
hereto on the above state dates.....	\$ 208.70
Probated same.....	\$
Total.....	\$ 208.70

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN
and certified

By and Through Counsel
Energy Professionals LLC
13100 56th Court,
Clearwater, FL 33760
T: 727.527.0600 F: 727.517.8657

NY hereby acknowledges receipt of the aforesaid advertising and publication costs
ve been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

The Patriot-News Co.
2020 Technology Pkwy
Suite 300
Mechanicsburg, PA 17050
Inquiries - 717-255-8213

The Patriot-News
Now you know

NEMLC, LLC
199 NEW ROAD
SUITE 61-187

LINWOOD NJ 08221

RECEIVED

NOV 29 2012

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

THE PATRIOT NEWS
THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929
Commonwealth of Pennsylvania, County of Dauphin} ss

Holly Blain, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

PUBLICATION COPY

This ad # 0002237500 ran on the dates shown below:

November 09, 2012

Holly Blain
Sworn to and subscribed before me this 09 day of November, 2012 A.D.
Sherrie L. Owens
Notary Public

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Sherrie L. Owens, Notary Public
Lower Paxton Twp., Dauphin County
My Commission Expires Nov. 26, 2015
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Miscellaneous Notices
PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of Energy Professionals LLC For Approval to Offer, Render, Furnish Or Supply Electricity or Electric Generation Services As a Broker/Marketer Engaged in the Business of Supplying Electricity, To The Public in The Commonwealth Of Pennsylvania.
Energy Professionals will be filing an application with the Pennsylvania Public Utilities Commission ("PUC") for a license to supply electricity or electric generation services as a Broker engaged in the business of supplying electricity. Energy Professionals proposes to sell electricity and related services throughout all of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests to the technical or financial fitness of Energy Professionals may be filed within 15 days of this notice with the Secretary of the PUC, PO Box 3245, Harrisburg PA 17105-3245. You should send copies of any protest to Energy Professionals' attorney at the Address listed below.
By and Through Counsel
Energy Professionals LLC
13100 56th Court, Clearwater, FL 33760
T: 727.527.0600 F: 727.517.8657

ENERGY PROFESSIONALS, LLC

SCHEDULE 12 -(2)

FORM OF NOTICE OF PUBLICATION

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

*Application of **Energy Professionals, LLC** For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.*

Energy Professionals, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. **Energy Professionals, LLC** proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Energy Professionals, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Energy Professionals, LLC** at the address listed below.

Energy Professionals, LLC
13100 56th Court, Suite 703
Clearwater, Florida 33760
Phone: 727-572-0600
FAX: 727-572-0602
Attention: Steve Upham

RECEIVED

NOV 29 2012

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

**Sample Disclosure Statement Format
for Electric Generation Suppliers**

This is an agreement for electric generation service, between EGS name and customer's name and full address.

Background

- We at EGS's name are licensed by the Pennsylvania Public Utility Commission to offer and supply electric generation services in Pennsylvania. Our PUC license number is A-XXXX-XXXXXXX.
- We set the generation prices and charges that you pay. The Public Utility Commission regulates distribution prices and services. The Federal Energy Regulatory Commission regulates transmission prices and services.
- Include one of the two following options:
 - 1) You will receive a single bill from billing agent name that will contain distribution utility name charges and EGS's name charges.
 - 2) You will receive a separate bill from EGS Name for generation charges and a separate bill from distribution utility name for its charges.
- Right of Rescission - You may cancel this agreement at any time before midnight of the third business day after receiving this disclosure.

Definitions

- Generation Charge - Charge for production of electricity.
- Transmission Charge - Charge for moving high voltage electricity from a generation facility to the distribution lines of an electric distribution company.
- Nonbasic Charges - *Define each nonbasic service being offered.*

Terms of Service

1. (a) Basic Service Prices - *Itemize Basic Services you are billing for and their prices.*

You will pay EGS rate per kWh for electric generation service.
Suppliers are to include any variable pricing conditions and limits, if charging a variable rate.

(Note to EGS applicants: this statement should include transmission charges if applicable. Contact the electric distribution utility to determine if transmission charges are included in their price-to-compare.)

(b) Nonbasic Service Prices - *Itemize Nonbasic Services you are offering and their prices.*

2. Length of Agreement

You will buy your electricity generation service for the above street address from EGS's name beginning date through date of expiration, if any.

Appendix G (Continued)

3. **Special Terms and Conditions** - *List and explain all that apply.*
 - Sign-up bonuses
 - Add-ons
 - Limited time offers
 - Other Sales Promotions
 - Exclusions

4. **Special Services** - *Provide explanation of price, terms and conditions, including advanced metering deployment, if applicable.*

5. **Penalties, Fees and Exceptions** - *List any that apply including a late payment charge. The print size for this section must be larger than the print in the rest of the agreement.*

6. **Cancellation Provisions** - *This category may consist of both customer initiated cancellation provisions and supplier initiated cancellation provisions.*

7. **Renewal Provision/Agreement Expiration/Change in Terms**

If you have a fixed term agreement with us and it is approaching the expiration date or if we propose to change our terms of service, we will send you two advance written notices either in our bills or in separate mailings between 45 and 90 days before either the expiration date or the effective date of the changes. We will explain your options in these two advance notices.

8. Dispute Procedures

Contact us with any questions concerning our terms of service. You may call the PUC if you are not satisfied after discussing your terms with us.

9. Contact Information

Generation Supplier Name:

Address:

Phone Number:

Internet Address:

Electric Distribution Company Name:

Appendix G (Continued)

Provider of Last Resort Name:

Address:

Phone Number:

Public Utility Commission (PUC)

Address:

P.O. Box 3265 Harrisburg, PA 17105-3265

Choice Hotline Number:

1-800-692-7380

Universal Service Program Name:

Phone Number:

Appendix I

Electronic Data Interchange and Internet Requirements

Prior to doing business in an EDC service territory, the EGS must meet the Electronic Data Interchange (EDI) certification requirements of the EDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the EGS is encouraged to contact the EDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. EDC requirements of new suppliers may be found on the respective EDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at http://www.puc.state.pa.us/electric/electric_electronic_data_exchange.aspx. The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

To keep current with Pennsylvania EDI practices and policies, a newly licensed EGS is strongly encouraged to participate in the EDEWG by contacting the following:

PA EDEWG EDI Contacts

Entity Name	Contact Name	Telephone	Email	Preference
PA PUC	Annunciata Marino	717-772-2151	annmarino@pa.gov	None
PA EDEWG LDC Co-Chair	Sue Scheetz	610-774-3616	smscheetz@pplweb.com	Email
PA EDEWG ESP Co-Chair	Matthew Sigg	713-236-4311	Matt.Sigg@Constellation.com	Email
PA EDEWG Secretary & Regional EDI Change Control Manager	Brandon Siegel	412-817-8004	bsiegel@ista-na.com	Email

PA EDC EDI Contacts

Company Name	Contact Name	Telephone	Email	Preference
Duquesne Light Co	Supplier Service Center	412-393-6282	DLC_SSC@duqlight.com	Email
FirstEnergy - Metropolitan Edison Co, Pennsylvania Electric, Penn Power, JCP&L, WPP & Potomac Edison	Supplier Support	330-761-4348	SupplierSupport@firstenergycorp.com	Email
PECO	Electric & Gas Choice Hotline	215-841-3700	egc@exeloncorp.com	Email
PPL Electric Utilities	Donna M. Hirst	610-774-6349	dmhirst@pplweb.com	None
	Susan Scheetz	610-774-3616	smscheetz@pplweb.com	Email
	Supplier Support	610-774-6396	PPLUtilitiesSupplier@pplweb.com	Email
	EDI Team	610-774-5757	EDIUtilAdm@pplweb.com	Email
UGI Utilities Inc.	EDI Technical Support	610-736-5471	edi@ugi.com	Email

ENERGY PROFESSIONALS, LLC

SCHEDULE 6

PROOF OF SERVICE

I hereby certify that I have this day served a true copy of the foregoing document upon the parties listed below, in accordance with the requirements of 52 Pa. Code Section 1.54 (relating to service by a party). Each party below was served via first class mail and will be receiving one copy of the signed and verified application with attachments.

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120-1921

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

RECEIVED

NOV 29 2012

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Dated this 19 day of ^{NOVEMBER}~~February~~, 2012

Name:

Title:


ON BEHALF OF ENERGY PROFESSIONALS, LLC



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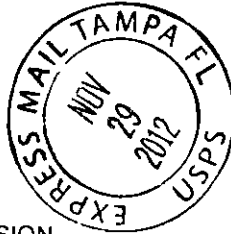
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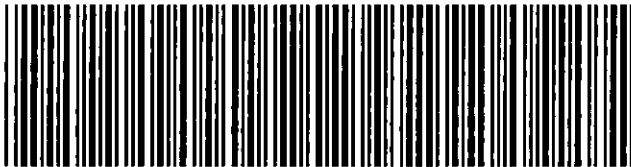
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