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**PA PUBLIC
SECRETARY'S BUREAU**

May 31, 2013

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2nd Floor
Harrisburg, PA 17120

VIA HAND DELIVERY

**RE: Application of SFE Energy Pennsylvania, Inc. (d/b/a SFE or SFE Energy) for Approval To Offer, Render, Furnish, or as a Supplier and/or Aggregator of Natural Gas to the Public in the Commonwealth of Pennsylvania;
Docket No. A-2013-2346185**

Dear Secretary Chiavetta:

Enclosed for filing with the Pennsylvania Public Utility Commission ("PUC" or "Commission") please find further supplemental information regarding Exhibit 4 (hereinafter, "Exhibit 4 – Third Supplement") to the Application of SFE Energy Pennsylvania, Inc. (d/b/a SFE or SFE Energy) for Approval To Offer, Render, Furnish, or as a Supplier and/or Aggregator of Natural Gas to the Public in the Commonwealth of Pennsylvania ("NGS Application"), which was filed with the Commission on February 1, 2013, and supplemented on April 23, 2013 and May 8, 2013. In addition, SFE is filing updates to Sections 2.a (Contact Person), 2.b (Contact Person – Pennsylvania Emergency Management Agency), and 16 (Standards, Billing Practices, Terms and Conditions of Providing Service and Consumer Education) of its NGS Application, as well as the resume of Di Kellie, which is attached as Attachment 1 hereto.

As part of its original Application, SFE requested confidential treatment of Exhibit 4 and the resumes of key personnel. Because the enclosed information supplements the information contained in Exhibit 4 and SFE personnel resumes, SFE respectfully requests that the Commission grant confidential treatment of the enclosed Exhibit 4 – Third Supplement and Attachment 1. Moreover, SFE believes the information contained in these documents is of a proprietary or confidential nature because it may reveal nonpublic financial information, sensitive information about SFE's current and future business plans, and other information that is commercially sensitive and whose release may be injurious to SFE's competitive position. To this end, the proprietary confidential information set forth in Exhibit 4 – Third Supplement and Attachment 1 is so designated by being stamped "Confidential" and is being provided under protective seal to the Commission only. For

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these reasons, SFE requests that the Commission treat these documents as confidential and prevent public disclosure in accordance with the Commission's procedures. Any party desiring access to the foregoing responses can contact the undersigned counsel with such a request.

Please date stamp an extra copy of this transmittal letter, and kindly return it for our filing purposes. Thank you.

Sincerely,

McNEES WALLACE & NURICK LLC

By 
Charis Mincavage
Vasiliki Karandrikas

Counsel to SFE Energy Pennsylvania, Inc., d/b/a
SFE or SFE Energy

VK/sds

Enclosures

c: Jeff McCracken, Bureau of Technical Utility Services (via E-mail)

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BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of SFE Energy Pennsylvania, Inc., d/b/a SFE Energy or SFE, for approval to offer, render, furnish, or as a(n) supplier and/or aggregator of natural gas to the public in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

1. **IDENTITY OF THE APPLICANT:** The name, address, telephone number, and FAX number of the Applicant are:

SFE Energy Pennsylvania, Inc. (d/b/a SFE Energy or SFE)
608 - 100 Milverton Drive.
Mississauga, Ontario, Canada
L5R 4H1
Phone: 905-366-7000
Fax: 905-366-7011

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

Not Applicable

2. a. **CONTACT PERSON:** The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are:

Charis Mincavage
McNees Wallace & Nurick LLC
100 Pine Street, P.O. Box 1166
Harrisburg, PA 17108-1166
Phone: 717.237.5437
Fax: 717.260.1725
Email: cmincavage@mwn.com

-And-

Di Kellie
Market Entry Consultant
100 Milverton Drive, Suite 608
Mississauga, Ontario, Canada
L5R 4H1
Phone: 905-366-7024
Fax: 905-366-7011
Email: dkellie@sfeenergy.com

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- b. **CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY:** The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA:

Di Kellie
Market Entry Consultant
100 Milverton Drive, Suite 608
Mississauga, Ontario, Canada
L5R 4H1
Phone: 905-366-7024
Cell: 416-219-5471
Fax: 905-366-7011
Email: dkellie@sfeenergy.com

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14.

14. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix B to this application.

Please refer to Exhibit 3

15. **COMPLIANCE:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings.

Neither the Applicant, an affiliate of the Applicant, or a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. By the way of further answer, please see Exhibit 4. The Applicant has filed a Motion for Protective Order requesting this information to be kept confidential and not made public.

16. **STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND CONSUMER EDUCATION:** All services should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.
- a. **Contacts for Consumer Service and Complaints:** Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant, the Distribution Company, the Pennsylvania Public Utility Commission or other agencies.

Primary Contact:

Di Kellie
Market Entry Consultant
100 Milverton Drive, Suite 608
Mississauga, Ontario Canada L5R 4H1
Phone: 905-366-7024
Fax: 905-366-7011
Email: dkellie@sfeenergy.com

Alternate Contact:

Cordale Blackett
Compliance Specialist
100 Milverton Drive, Suite 608
Mississauga, Ontario Canada L5R 4H1
Phone: 905-366-7021
Fax: 905-366-7011
Email: compliance@sfeenergy.com

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- b. Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers.

Please refer to Exhibit 5 which provides a sample residential agreement that the Applicant proposes to use when retailing to residential consumers in Pennsylvania. The sample agreement provides the disclosure statements required by the Commission. The Applicant has filed a Motion for Protective Order requesting this information to be kept confidential and not made public.

Exhibit 4 – Third Supplement
Question 15– Compliance
Provided Under Protective Seal to the Commission Only

The Applicant is filing this supplemental information with respect to question 15. As noted herein as well as in its original Application, Applicant requests that this information be kept confidential and not be released to the public.

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