LEBOEUF, LAMB, GREENE & MACRAE

L.L.P.

A LIMITED LIABILITY PARTNERSHIP INCLUDING PROFESSIONAL CORPORATIONS

200 NORTH THIRD STREET SUITE 300 P.O. Box 12105 HARRISBURG, PA 17108-2105 17171 232-8199 FACSIMILE: (717) 232-8720

November 6, 1997

BY HAND DOCUMENT James McNulty, Prothonotary Pennsylvania Public Utility Commission FOLDER P.O. Box 3265 Harrisburg, PA 17105-3265

PA.P.U.C. OFFICE

LOS ANGELES NEWARK

PITTSBURGH

BRUSSELS

MOSCOW

ALMATY

LONDON

PORTLAND, OR

SALT LAKE CITY

SAN FRANCISCO

A LONDON-BASED

Re: Pennsylvania Public Utility Commission v. PECO Energy Company Docket No. R-00973953

Dear Mr. McNulty:

Enclosed please find for filing three (3) copies of a Certificate of Service evidencing service of Enron Energy Services Power, Inc.'s Responses to PECO Energy Company's Interrogatories, Set XV.

If you have any questions concerning this matter, please contact me at your convenience.

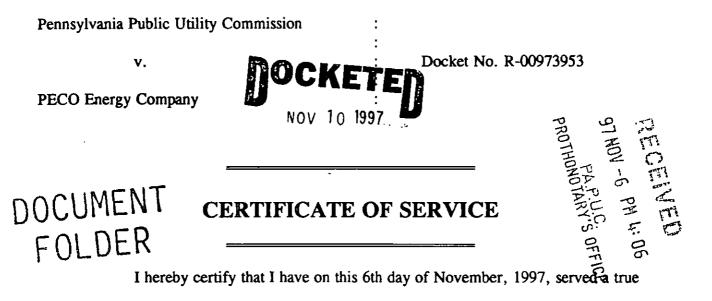
Sincerely,

John J. Gallagher

JJG/mas enclosure All Parties on Certificate of Service cc: Daniel Clearfield, Esquire

NEW YORK WASHINGTON ALBANY BOSTON DENVER HARRISBURG HARTFORD JACKSONVILLE

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION



copy of the foregoing Responses to PECO Energy Company's Interrogatories, Set XV, on

behalf of Enron Energy Services Power, Inc. upon the participants, listed below, in

accordance with the requirements of 52 Pa.Code § 1.54:

Paul R. Bonney, Esquire Noel H. Trask, Esquire Ward L. Smith, Esquire Assistant General Counsel PECO Energy Company 2301 Market Street, P.O. Box 8699 Philadelphia, PA 19101-8699 (PECO Energy Company)

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Paul E. Russell, Esquire Pennsylvania Power & Light Company Two North Ninth Street Allentown, PA 18101 (Pennsylvania Power & Light Company) Senator Vincent J. Fumo Christopher B. Craig, Esquire Senate Democratic Appropriations Committee Main Capitol Building, Room 545 Harrisburg, PA 17120 (Senator Vincent J. Fumo)

Donald A. Kaplan, Esquire Lisa M. Helpert, Esquire Preston Gates Ellis & Rouvelas Meeds 1735 New York Avenue, N.W., Suite 500 Washington, DC 20006 (Pennsylvania Power & Light Company) Steven P. Hershey, Esquire Philip A. Bertocci, Esquire Community Legal Services 1424 Chestnut Street, 4th Floor Philadelphia, PA 19102 (Community Legal Services)

1

Roger Clark, Esquire Environmentalists 905 Denston Drive Ambler, PA 19002-3901 (The Environmentalists)

Craig A. Doll, Esquire 214 State Street Harrisburg, PA 17101 (Delmarva Power & Light Company, d/b/a Conectiv Energy)

Gordon J. Smith, Esquire John & Hengerer 1200 17th Street, N.W. Suite 600 Washington, DC 20036 (Self), (Duke Energy Trading & Marketing, LLC), (Noram Energy Management, Inc.), (Vastar Power Marketing, Inc.), (Electric Clearinghouse, Inc.)

Walter W. Cohen, Esquire
Andrew J. Giorgione, Esquire
Obermayer Rebmann Maxwell & Hippel, L.L.P.
204 State Street
Harrisburg, PA 17101
(Indianapolis Power & Light Company) Joseph A. Dworetsky, Esquire John Lavelle, Jr., Esquire Hangley, Anonchick, Segal and Pudlin One Logan Square, 12th Floor Philadelphia, PA 19103 (New Energy Ventures, Inc.)

John L. Munsch, Esquire Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601 (Allegheny Power)

Stephanie Sugrue, Esquire Mary Ann Ralls, Esquire Duane, Morris & Heckscher L.L.P. 1667 K Street, N.W. Suite 700 Washington, DC 20006 (QST Energy, Inc.)

Audrey Van Dyke, Associate Counsel Naval Facilities Engineering Command Washington Navy Yard, Bldg 218, Room 200 | 901 M Street, S.E. Washington, DC 20374-5018 (Department of Navy)

Bernard Ryan, Esquire Karen Oill Moury, Esquire Office of Small Business Advocate Suite 1102, Commerce Building 300 North Second Street Harrisburg, PA 17101 (OSBA) Tanya McCloskey, Esquire Steven K. Steinmetz, Esquire Office of the Consumer Advocate 1425 Strawberry Square Harrisburg, PA 17120 (OCA)

J

Robert Mills, Esquire McNees, Wallace & Nurick 100 Pine Street, P.O. Box 1166 Harrisburg, PA 17108-1166 (PA Retailers' Association)

Terrance J. Fitzpatrick, Esquire David M. DeSalle, Esquire Ryan, Russell, Ogden & Seltzer, L.L.P. 800 North Third Street, Suite 101 Harrisburg, PA 17102-2025 (GPU)

William T. Hawke, Esquire Janet Miller, Esquire Todd Stewart, Esquire Malatesta, Hawke & McKeon, L.L.P. Harrisburg Energy Center 100 North Tenth Street Harrisburg, PA 17105-1778 (*Mid-Atlantic Power Supply Association*)

Usher Fogel, Esquire Roland, Fogel, Koblenz & Carr, L.L.P. 1 Columbia Place Albany, NY 12207 (Pennsylvania Petroleum Association), (PA Association Plumbing, Heating and Cooling Contractors) David Kleppinger, Esquire Derrick P. Williamson, Esquire McNees, Wallace & Nurick 100 Pine Street, P.O. Box 1166 Harrisburg, PA 17108-1166 (Philadelphia Area Industrial Energy Users Group)

Linda C. Smith, Esquire Dilworth, Paxton, Kalish & Kauffman, L.L.P. 305 North Front Street - Suite 403 Harrisburg, PA 17101-1236 (American Association of Retired Persons)

Kenneth L Mickens, Senior Prosecutor Charles D. Shields, Prosecutor The Office of Trial Staff Pennsylvania Public Utility Commission P.O. Box 3265 Harrisburg, PA 17105-3265 (OTS)

Joseph J. Malatesta, Esquire Lillian Smith Harris, Esquire Malatesta, Hawke & McKeon, L.L.P. Harrisburg Energy Center 100 North Tenth Street Harrisburg, PA 17105-1778 (Municipal Group)

Mr. Lance Haver 6803 Lawton Avenue Philadelphia, PA 19126 (Self)

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John J. Gallagher LeBoeuf, Lamb, Greene & MacRae L.L.P. 200 North Third Street, Suite 300 P.O. Box 12105 Harrisburg, PA 17108-2105 (717) 232-8199

Attorney for Enron Energy Services Power, Inc.

SECENTED STNON-6 PH 4: 06 PROTHONOTARY'S OFFICE

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LEBOEUF, LAMB, GREENE & MACRAE

L.L.P.

A LIMITED LIABILITY PARTNERSHIP INCLUDING PROFESSIONAL CORPORATIONS

200 NORTH THIRD STREET SUITE 300 P.O. Box 12105 HARRISBURG, PA 17108-2105 17171 232-8199 FACSIMILE: (7)7) 232-8720 November 6, 1997 DOCUMENT y, Prothonotary Public Utility Commission FOLDER Suilding 5 A 17105-3265 Pennsylvania Public Utility Commission v. PECO Energy Company Docket No. P. 00073953 **BY HAND**

LOS ANGELES NEWARK PITTSBURGH PORTLAND, OR SALT LAKE CITY SAN FRANCISCO BRUSSELS MOSCOW ALMATY LONDON A LONDON-BASED

James McNulty, Prothonotary Pennsylvania Public Utility Commission FOLDER North Office Building P.O. Box 3265 Harrisburg, PA 17105-3265

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Re: Docket No. R-00973953

Dear Mr. McNulty:

Enclosed please find for filing three (3) copies of a Certificate of Service evidencing service of Enron Energy Services Power, Inc.'s Responses to the PUC Commissioner's Interrogatories, Set II.

If you have any questions concerning this matter, please contact me at your convenience.

Sincerely,

John J. Gallagher

JJG/mas

enclosure

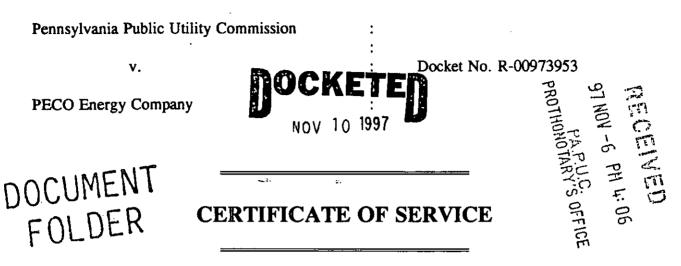
All Parties on Certificate of Service cc: Daniel Clearfield, Esquire

WASHINGTON ALBANY BOSTON DENVER HARRISBURG HARTFORD JACKSONVILLE

NEW YORK

2

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION



I hereby certify that I have on this 6th day of November, 1997, served a true copy of the foregoing Responses to the PUC Commissioners' Interrogatories, Set II, on behalf of Enron Energy Services Power, Inc. upon the participants, listed below, in accordance with the requirements of 52 Pa.Code § 1.54:

Paul R. Bonney, Esquire Noel H. Trask, Esquire Ward L. Smith, Esquire Assistant General Counsel PECO Energy Company 2301 Market Street, P.O. Box 8699 Philadelphia, PA 19101-8699 (PECO Energy Company)

Paul E. Russell, Esquire Pennsylvania Power & Light Company Two North Ninth Street Allentown, PA 18101 (Pennsylvania Power & Light Company) Senator Vincent J. Fumo Christopher B. Craig, Esquire Senate Democratic Appropriations Committee Main Capitol Building, Room 545 Harrisburg, PA 17120 (Senator Vincent J. Fumo)

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Gordon J. Smith, Esquire John & Hengerer 1200 17th Street, N.W. Suite 600 Washington, DC 20036 (Self), (Duke Energy Trading & Marketing, LLC), (Noram Energy Management, Inc.), (Vastar Power Marketing, Inc.), (Electric Clearinghouse, Inc.)

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204 State Street
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(Indianapolis Power & Light Company) Joseph A. Dworetsky, Esquire John Lavelle, Jr., Esquire Hangley, Anonchick, Segal and Pudlin One Logan Square, 12th Floor Philadelphia, PA 19103 (New Energy Ventures, Inc.)

John L. Munsch, Esquire Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601 (Allegheny Power)

Stephanie Sugrue, Esquire Mary Ann Ralls, Esquire Duane, Morris & Heckscher L.L.P. 1667 K Street, N.W. Suite 700 Washington, DC 20006 (QST Energy, Inc.)

Audrey Van Dyke, Associate Counsel Naval Facilities Engineering Command Washington Navy Yard, Bldg 218, Room 200 901 M Street, S.E. Washington, DC 20374-5018 (Department of Navy)

Bernard Ryan, Esquire Karen Oill Moury, Esquire Office of Small Business Advocate Suite 1102, Commerce Building 300 North Second Street Harrisburg, PA 17101 (OSBA)

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William T. Hawke, Esquire Janet Miller, Esquire Todd Stewart, Esquire Malatesta, Hawke & McKeon, L.L.P. Harrisburg Energy Center 100 North Tenth Street Harrisburg, PA 17105-1778 (*Mid-Atlantic Power Supply Association*)

Usher Fogel, Esquire Roland, Fogel, Koblenz & Carr, L.L.P. 1 Columbia Place Albany, NY 12207 (Pennsylvania Petroleum Association), (PA Association Plumbing, Heating and Cooling Contractors) David Kleppinger, Esquire Derrick P. Williamson, Esquire McNees, Wallace & Nurick 100 Pine Street, P.O. Box 1166 Harrisburg, PA 17108-1166 (Philadelphia Area Industrial Energy Users Group)

Linda C. Smith, Esquire Dilworth, Paxton, Kalish & Kauffman, L.L.P. 305 North Front Street - Suite 403 Harrisburg, PA 17101-1236 (American Association of Retired Persons)

Kenneth L Mickens, Senior Prosecutor Charles D. Shields, Prosecutor The Office of Trial Staff Pennsylvania Public Utility Commission P.O. Box 3265 Harrisburg, PA 17105-3265 (OTS)

Joseph J. Malatesta, Esquire Lillian Smith Harris, Esquire Malatesta, Hawke & McKeon, L.L.P. Harrisburg Energy Center 100 North Tenth Street Harrisburg, PA 17105-1778 (Municipal Group)

Mr. Lance Haver 6803 Lawton Avenue Philadelphia, PA 19126 (Self)

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LeBoeuf, Lamb, Greene & MacRae L.L.P. 200 North Third Street, Suite 300 P.O. Box 12105 Harrisburg, PA 17108-2105 (717) 232-8199

Attorney for Enron Energy Services Power, Inc.

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204 STATE STREET

HARRISBURG, PA 17101

(717) 234-9730 FAX (717) 234-9734

DOCUMENT WALTER W. COHEN FULDER

November 6, 1997

James McNulty, Acting Prothonotary Pennsylvania Public Utility Commission North Office Building Room B-20 Harrisburg, PA 17120

> Re: PECO Application For Approval Of Its Restructuring Plan and Joint Petition for Partial Settlement Docket No. R-00973953; Petition of Enron Energy Services Power, Inc. For Approval Of An Electric Competition And Choice Plan And For Authority Pursuant To Section 2807(e)(c) Of The Public Utility Code, To Serve As The Provider Of Last Resort In The Service Territory Of PECO Energy Company Docket No. P-00971265.

Dear Prothonotary McNulty:

On behalf of Indianapolis Power and Light Company, I am herein submitting for filing the original and three (3) copies of a Motion to Compel Answers to Interrogatories addressed to Enron Energy Services Power, Inc.

Copies of the foregoing are being served on the presiding Administrative Law Judges and all parties pursuant to the enclosed Certificate of Service.

Sincerely,

Walter W. Cohen

WWC/dhs Enclosure

cc: All parties on Certificate of Service

BEFORE THE

PENNSYLVANIA PUBLIC UTILITY COMMISSION V || || // |/

PECO Application For Approval Of Its Restructuring Plan and Joint Petition))	Docket No. R-00973953	
for Partial Settlement Petition of Enron Energy Services Power, Inc. For Approval Of An Electric Competition And Choice Plan And For Authority Pursuant To Section 2807(e)(c) Of The Public		Docket No. P-00971265	GOCUMENT FOLDER
Utility Code, To Serve As The Provider Of Last Resort In The Service Territory Of PECO Energy Company)))	NOV 19 1997	PROTHON
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OFFICE

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Intervenor Indianapolis Power & Light Company ("IPL") moves the Commission to compel Enron Energy Services Power, Inc. ("Enron") to fully and completely answer IPL's Interrogatories, served on October 27, 1997.

TO ANSWER INTERROGATORIES

I. BACKGROUND

On October 27, 1997, IPL served on Enron a set of six interrogatories ("IPL's Interrogatories"), a copy of which is attached as <u>Appendix A</u> hereto. The interrogatories asked for information pertinent to the following portion of the direct testimony of Enron witness Susan P. Voorhees (Statement No. 9) (the "Voorhees Testimony"):

- Q. Are you familiar with the lawsuit filed by the Indianapolis Power & Light Company against the Commission challenging the constitutionality under the commerce clause of the U.S. Constitution of the Competition Act?
- A. Yes.
- Q. Is it your belief that this lawsuit is likely to adversely affect the marketing, or constitute a legal impediment to the issuance, of the Pass-Through Certificates?
- A. An adverse determination in this lawsuit would impair the marketing and issuance of the Pass-Through Certificates. However, we have been informed by counsel that this lawsuit is without merit.

Voorhees Testimony, p. 10. A copy of this part of the Voorhees Testimony is attached as <u>Appendix B</u>. The "lawsuit" to which Ms. Voorhees refers is obviously IPL's appeal of the Commission's Opinion and Qualified Rate Order dated May 22, 1997 in Docket Nos. R-00973877 <u>et al</u>. This lawsuit that is allegedly "without merit" is presently pending before the Commonwealth Court (Docket No. 1597 C.D. 1997), has been fully briefed by IPL, the Commission, and Intervenor PECO Energy, and has been scheduled for oral argument before that Court <u>en banc</u> on December 10, 1997.

On November 3, 1997, IPL received objections by Enron to its Interrogatories Nos. 2, 3, 4 and 6. A copy of Enron's objections is attached as <u>Appendix C</u>. The interrogatories to which Enron objected sought information regarding whether the unnamed counsel was retained by Ms. Voorhees for legal advice with respect to the lawsuit, how the counsel's opinion came to be known by Ms. Voorhees, whether the opinion was in writing, whether the opinion was without

qualification and whether the counsel had read IPL's briefs in the appeal. IPL also requested a copy of any written opinion. Enron did not object to interrogatories requesting identification of the counsel and asking about the willingness of Chase Securities, Inc. ("Chase"), the firm for which Ms. Voorhees is a Managing Director, to assume financial responsibility for the securities if the Electricity Generation Customer Choice and Competition Act is held unconstitutional. Enron claims the requests are protected from disclosure by the attorney-client privilege, not relevant and not reasonably calculated to lead to the discovery of admissible evidence.

On November 3, 1997, counsel for IPL and counsel for Enron had a telephone conference at which time Enron's objections to IPL's Interrogatories were discussed. IPL and Enron were not able to resolve their differences regarding Enron's objections at that time.

II. THE ATTORNEY-CLIENT PRIVILEGE DOES NOT PROTECT ENRON FROM RESPONDING TO IPL'S INTERROGATORIES.

Enron does not disclose whether the alleged "client" holding the alleged attorney-client privilege is itself, Ms. Voorhees individually or Chase. If the client is Ms. Voorhees or Chase, Enron itself cannot assert the privilege because the attorney-client privilege is the client's and his alone. <u>U.S. v. Noriega</u>, 917 F.2d 1543, 1551 (11th Cir. 1990), cert. denied, 498 U.S. 976 (1990). Accord, Maleski v. Corporate Life Ins. Co., 165 Pa. Commw. 72, 646 A.2d 1, 4 (1994). If the alleged client is Enron, then the communication would not be privileged in any event because it was made to a third party, <u>i.e.</u> Ms. Voorhees, rather than a client.

Interrogatory No. 2 asks whether the counsel was retained by Ms. Voorhees to provide specific legal advice regarding the "lawsuit". This interrogatory goes to the issue of whether there is an attorney-client relationship between Ms. Voorhees and the unnamed counsel, not to the substance of any communications. Enron cannot simultaneously claim the privilege applies and refuse to respond to discovery necessary to determine whether there really is any such privilege in the circumstances of this case. Therefore, Enron's objection to Interrogatory No. 2 is meritless.

Regardless of the identity of the client, even if the communication were privileged when made, the privilege has been waived by the disclosure and broad public dissemination of the communication in Enron's filing in this proceeding. See 42 P.S. § 5928. "A waiver is accomplished when the client 'discloses' a privileged communication." Wright & Graham, Federal Practice and Procedure, § 5507, p. 579. "It is a waiver if the client testifies to his communications with his attorney or reveals the contents [of such communications] while testifying." Id. at 581. "Where a party takes the stand and testifies to communications with his counsel, he thereby waives the privileged character of such communications." Party's Waiver of Privilege As To Communications With Counsel By Taking Stand and Testifying, 51 ALR2d 521, 529 (1957). The result is no different whether the client is Enron or Chase because Chase has obviously authorized Ms. Voorhees to testify as to the communication and Ms. Voorhees herself has authority to waive the privilege on behalf of Chase.

Nor may Enron, Ms. Voorhees or Chase waive the privilege as to the counsel's ultimate opinion (the "lawsuit" is without merit) but preserve the privilege with respect to the details, qualifications, reasoning, documents and other matters relating to the opinion. When a client discloses a conversation with the client's attorney, the client waives the privilege as to the <u>entire</u> conversation on the subject. <u>U.S. v. Mendelsohn</u>, 896 F.2d 1183, 1188 (9th Cir. 1990). Disclosure of a communication waives the privilege not only as to that communication but as to other communications made during the same consultation and all other communications relating to the same subject matter. <u>State v. McDermott</u>, 607 N.E.2d 1164 (Ohio Ct. App. 1992). It has long been held that once waived, the attorney-client privilege cannot be regained. <u>U.S. v. Krasnov</u>, 143 F. Supp. 184, 191 (E.D. Pa. 1956), <u>affd</u>, 355 U.S. 5 (1957).

All of these principles are particularly strong here because Enron has attempted to use the allegedly privileged communication as evidence to further its interests in this proceeding. "Waiver of the privilege may occur where the client places the confidential information at issue in the case." Rost v. State Bd. of Psychology, 659 A.2d 626, 629 (Pa. Commw. 1995); Andritz Sprout - Bauer, Inc. v. Beazer East, Inc., 1997 WL 425487 (M.D. Pa. July 28, 1997) ("The privilege may be waived by the communication of privileged information to outside parties, U.S. v. Rockwell International, 897 F.2d 1255, 1265 (3d Cir. 1990), or by placing advice given by counsel at issue."). Wright & Graham deal with this issue in their treatise where they state:

[T]he scope of the waiver ought to be broader where the client is waiving the privilege so that he can use the communication to his advantage against his adversary than it is where the disclosure was either innocent of instrumental purpose or simply stupid. It seems just to find a broader waiver when the client lets the communication fly in the courtroom than when he simply drops it in a bar.

Wright & Graham, Federal Practice and Procedure, § 5507 at 586-587. The impact of placing at issue Ms. Voorhees' reliance on advice of counsel was a waiver of the attorney-client privilege extending to "the entire transaction, including back-up documents." <u>Glenmede Trust Co. v.</u> Thompson, 56 F.3d 476, 479 (3rd Cir. 1995). "Finding a waiver of the attorney client privilege when the client puts the attorney's advice at issue is consistent with the essential elements of the privilege." <u>Rhone-Poulenc Rorer, Inc. v. Home Indemnity Co.</u>, 32 F.3d 851, 863 (3rd Cir. 1994). "[B]y placing the advice in issue, the client has opened to examination facts relating to that advice." <u>Id. Accord, Westinghouse Elec. Corp. v. Republic of Philippines</u>, 951 F.2d 1414, 1425 (3rd Cir. 1991) ("The client cannot be permitted to pick and choose among his opponents ... or to invoke the privilege as to communications whose confidentiality he has already compromised for his own benefit"").

"Because the attorney-client privilege obstructs the truth-finding process, it is to be construed narrowly". <u>Advanced Technology Associates v. Herley Industries, Inc.</u>, 1996 WL 711018 (E.D. Pa., December 5, 1996). As the foregoing authority demonstrates, Enron's privilege objection is without merit. Indeed, since Enron itself submits the alleged privileged communication to the Commission in its testimony, thus placing the advice given by counsel at issue, the objection is palpably ridiculous.

III. ENRON'S RELEVANCE OBJECTION IS MERITLESS.

Even more absurd than its privilege objection is Enron's relevance objection. Enron itself submits Ms. Voorhees' description of counsel's communication as evidence in support of Enron's position. IPL's Interrogatories relate solely to the communication described by Ms. Voorhees. The standard of relevance for purposes of discovery is <u>broader</u> than relevance for purposes of admissibility.. If Ms. Voorhees' testimony is relevant for purposes of admissibility (as Enron must necessarily contend), then IPL's Interrogatories are by definition relevant to the subject matter of this proceeding. Enron cannot simultaneously assert the communication is relevant for purposes of its case but irrelevant for purposes of discovery.

IV. CONCLUSION

Enron's objections are frivolous and dilatory and should be dismissed. Enron should be compelled to promptly answer all of IPL's Interrogatories.

Respectfully submitted,

INDIANAPOLIS POWER & LIGHT COMPANY

Walter W. Cohen, Esquire Attorney I.D. No. 12097 Andrew J. Giorgione, Esquire Attorney I.D. No. 66276 Obermayer Rebmann Maxwell & Hippel, LLP 204 State Street Harrisburg, Pennsylvania 17102 (717) 221-7920

Michael G. Banta, Esquire Indianapolis Power & Light Company Vice President and Assistant General Counsel One Monument Circle P.O. Box 1595 Indianapolis, Indiana 46206 (317) 261-8449

Daniel W. McGill Barnes & Thornburg 11 South Meridian Street Indianapolis, Indiana 46204 (317) 231-7229

Dated: November 6, 1997

Interrogatories to Enron From Indianapolis Power and Light Company

- 1. Please identify with specificity the "counsel" referenced on page 10, line 22, of the testimony of Susan P. Voorhees, including therewith the counsel's full name, business address, firm or organization name.
- 2. Was the counsel referenced in Interrogatory No. 1 retained by Ms. Voorhees to provide specific legal advice to her regarding the referenced "lawsuit?" If not, please fully explain how the opinion of said counsel came to be known by Ms. Voorhees.
- 3. Was the information received by Ms. Voorhees from the counsel referenced in Interrogatory No. 1 in the form of a written opinion? If answering in the affirmative, please produce the written opinion. If answering in the negative, please describe how the information was received.
- 4. Was the "without merit" information received by Ms. Voorhees received without qualification by the counsel referenced in Interrogatory No. 1?
- 5. Will Chase Securities, Inc. rely on the information of counsel referenced in Interrogatory No. 1 and assume all financial responsibility (beyond that covered by counsel's insurer) for securities that are issued prior to resolution of the "lawsuit" even if the stranded cost provisions of the Electricity Generation Customer Choice and Competition Act are held unconstitutional?
- 6. Has Ms. Voorhees, or the counsel referenced in Interrogatory No. 1, reviewed the Advance Form Brief and Reply Brief filed by Indianapolis Power and Light Company in the Commonwealth Court of Pennsylvania, No. 1597 C.D. 1997?

the constitutionality under the Constitution of the
 Commonwealth of Pennsylvania of the Electricity Generation
 Customer Choice and Competition Act (the "Competition Act")?
 A. Yes.

- Q. Is it your belief that this lawsuit is likely to adversely
 affect the marketing, or constitute a legal impediment to
 the issuance, of the Pass-Through Certificates?
- 8 A. An adverse determination in this lawsuit would impair the 9 marketing and issuance of the Pass-Through Certificates. 10 However, we have been informed by counsel that this lawsuit 11 is without merit.
- Q. Are you familiar with the lawsuit filed by the Indianapolis
 Power & Light Company against the Commission challenging the
 constitutionality under the commerce clause of the U.S.
 Constitution of the Competition Act?
- 16 A. Yes.
- Q. Is it your belief that this lawsuit is likely to adversely
 affect the marketing, or constitute a legal impediment to
 the issuance, of the Pass-Through Certificates.?
- A. An adverse determination in this lawsuit would impair the
 marketing and issuance of the Pass-Through Certificates.
 However, we have been informed by counsel that this lawsuit
 is without merit.
- 24 Q. Does this conclude your testimony?
- A. Yes, at this time. I must say, however, that this testimony
 is based my knowledge of these matters as of today. Given
 the constant movement regarding securitization in particular

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Appendix B

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

PECO Application for Approval of its Restructuring Plan and Joint Petition for Partial Settlement	:	Docket No. R-00973953
Petition of Enron Energy Services Power, Inc.	:	
for Approval of an Electric Competition and	:	Docket No. P-00971265
Choice Plan and for Authority Pursuant to	:	
Section 2007(e) of the Public Utility Code to	:	
Serve as the Provider of Last Resort in the	:	
Service Territory of PECO Energy Company	:	

ENRON ENERGY SERVICES POWER, INC.'S OBJECTIONS TO INTERROGATORIES OF INDIANAPOLIS POWER AND LIGHT

Enron Energy Services Power, Inc. ("EESPI"), pursuant to 52 Pa. Code § 5.432,

submits these objections to questions 2, 3, 4 and 6 of the interrogatories of Indianapolis Power

and Light Company ("IPALCO") in the above-captioned matter. The interrogatories at issue

were served on EESPI on October 27, 1997. Timely oral objection were conveyed to IPALCO's

counsel. EESPI's specific objections to IPALCO's interrogatories are as follows:

2. Was the counsel referenced in interrogatory No. 1 retained by Ms. Voorhees to provide specific legal advice to her regarding the referenced "lawsuit?" If not, please fully explain how the opinion of said counsel came to be known by Ms. Voorhees.

Objection: EESPI objects to this interrogatory on the following grounds:

a) The interrogatory seeks information which is protected from disclosure by

the attorney-client and attorney work product privilege. 52 Pa. Code §

5.321; and

 b) The information the interrogatory seeks is not relevant and is not reasonably calculated to lead to the discovery of admissible evidence. 52

Pa. Code § 5.321.

3. Was the information received by Ms. Voorhees from the counsel referenced in Interrogatory No. 1 in the form of a written opinion? If answering in the affirmative, please produce the written opinion of said counsel came to be known by Ms. Voorhees.

Objection: EESPI objects to their interrogatory on the following grounds:

a) The interrogatory seeks information which is protected from disclosure by

the attorney-client and attorney work product privilege. 52 Pa. Code §

5.321; and

b) The information the interrogatory seeks is not relevant and is not

reasonably calculated to lead to the discovery of admissible evidence. 52

Pa. Code § 5.321.

4. Was the "without merit" information received by Ms. Voorhees received without qualification by the counsel referenced in Interrogatory No. 1?

Objection: EESPI objects to their interrogatory on the following grounds:

a) The interrogatory seeks information which is protected from disclosure by

the attorney-client and attorney work product privilege. 52 Pa. Code §

5.321; and

 b) The information the interrogatory seeks is not relevant and is not reasonably calculated to lead to the discovery of admissible evidence. 52
 Pa. Code § 5.321. 6. Has Ms. Voorhees, or the counsel referenced in Interrogatory No. 1, reviewed the Advance Form Brief and Reply Brief filed by Indianapolis Power and Light Company in the Commonwealth Court of Pennsylvania, No. 1597 C.D. 1997?

Objection: EESPI objects to their interrogatory on the following grounds:

a) The interrogatory seeks information which is protected from disclosure by the attorney-client and attorney work product privilege. 52 Pa. Code §

5.321; and

b) The information the interrogatory seeks is not relevant and is not

reasonably calculated to lead to the discovery of admissible evidence. 52

Pa. Code § 5.321.

Respectfully submitted,

Daniel Clearfield, Esq. Alan Kohler, Esq. Wolf, Block, Schorr & Solis-Cohen LLP 305 N. Front St., Suite 401 Harrisburg, PA 17101-1236 (717) 237-7160

Michael D. Klein, Esq. John G. Klauberg, Esq. Bruce V. Miller, Esq. LeBOEUF, LAMB, GREENE & MacRAE, L.L.P. 200 North Third Street, Suite 300 Harrisburg, PA 17108-2105 (717) 232-8199

Attorneys for Enron Energy Services Power, Inc.

Dated: November 3, 1997

2. . , .• *

CERTIFICATE OF SERVICE

I hereby certify that I have this 6th day of November, 1997, served a copy of the foregoing

document upon the persons addressed below, in the manner indicated:

Via Facsimile and U.S. Mail

Honorable Marlane R. Chestnut Administrative Law Judge 1302 Philadelphia State Office Building 1400 West Spring Garden Street Philadelphia, PA 19130

Honorable Charles E. Rainey, Jr. Administrative Law Judge 1302 Philadelphia State Office Building 1400 West Spring Garden Street Philadelphia, PA 19130

Paul R. Bonney Noel H. Trask, Esquire Ward L. Smith Assistant General Counsel PECO Energy Company 2301 Market Street, S23-1 Philadelphia, PA 19103

Kenneth L. Mickens, Esquire Charles D. Shields, Esquire Pennsylvania Public Utility Commission Office of Trial Staff 901 North 7th Street, 3rd Floor Rear Harrisburg, PA 17120

Christopher B. Craig, Esquire Democratic Committee on Appropriations Room 545, Main Capitol Building Harrisburg, PA 17120 (Counsel for The Honorable Vincent J. Fumo) Gerald Gornish, Esquire Wolf, Block, Schorr and Solis-Cohen 12th Floor, Packard Building Southeast Corner 15th and Chestnut Streets Philadelphia, PA 19102

Daniel Clearfield, Esquire Alan Kohler, Esquire Wolf, Block, Schorr and Solis-Cohen 305 N. Front Street, Suite 401 Harrisburg, PA 17101 (Counsel for Enron)

John Klauberg, Esquire Bruce Miller, Esquire LeBoeuf, Lamb, Greene and MacRae, LLP 125 West 55th Street New York, NY 10019-5389 (Counsel for Enron)

John Gallagher, Esquire Michael Klein, Esquire LeBoeuf, Lamb, Greene and MacRae, LLP 200 North Third Street Suite 300 Harrisburg, PA 17108-2105 (Counsel for Enron)

<u>Via U.S.Mail</u>

Paul Russell, Esquire Pennsylvania Power & Light Company Two North Ninth Street Allentown, PA 18101 (Counsel for PP&L)

Steven P. Hershey, Esquire Community Legal Services, Inc. 1424 Chestnut Street Philadelphia, PA 19102 (Counsel for CEPA, TAG, Action Alliance of Sr. Citizens & John Long, Jr.)

Randall V. Griffin, Esquire Delmarva Power & Light Company 800 King Street Wilmington, DE 19899 (Counsel for Delmarva Power & Light)

David Boonin, Esquire President, Mid-Atlantic New Energy Ventures 200 S. Broad Street - Suite 800 Philadelphia, PA 19107 (Counsel for New Energy Ventures)

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Richard LaCapra/Lee Smith LaCapra Associates The Province Building 333 Washington Street Boston, MA 02108

Brian Kalcic Excel Consulting 225 S. Meramec Avenue Suite 720-T St. Louis, MO 63105

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David Kleppinger, Esquire Derrick P. Williamson, Esquire McNees, Wallace & Nurick 100 Pine Street Harrisburg, PA 17108-1166 (Counsel for PAIEUG)

Craig A. Doll, Esquire 214 State Street Harrisburg, PA 17101 (Counsel for Delmarva Power & Light) Linda C. Smith, Esquire Dilworth, Paxson, Kalish & Kauffman 305 N. Front Street, Suite 403 Harrisburg, PA 17101-1236 (Counsel for AARP)

Tanya McCloskey, Esquire Steven K. Steinmetz, Esquire Assistant Consumer Advocate Office of Consumer Advocate 1425 Strawberry Square Harrisburg, PA 17120

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Janet Miller, Esquire Malatesta Hawke & McKeon LLP 100 N. Tenth Street Harrisburg, PA 17105 (Counsel for Mid-Atlantic Power Supply Association)

Terence Fitzpatrick, Esquire David DeSalle, Esquire Ryan, Russell, Ogden & Seltzer 800 North Third Street, Suite 101 Harrisburg, PA 17102 (Counsel for GPU Energy) Joseph A. Dworetzky, Esquire John P. Lavelle, Jr. Esquire Hangley Aronchick Segal & Pudlin One Logan Square 12th Floor Philadelphia, PA 19103

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Richard Silkman 163 Main Street Yarmouth, ME 04096 Gordon Smith, Esquire Duke Energy Trading & Marketing LLC John & Hengerer 1200 17th Street, N.W. Suite 600 Washington, DC 20036-3006

Robert A. Mills, Esquire Pennsylvania Retailers' Association McNees, Wallace & Nurick 100 Pine Street P.O. Box 1166

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OBERMAYER REBMANN MAXWELL & HIPPEL LLP

Walter W. Cohen, Esquire



COMMUNWEALTH OF PENNSYLWIA PENNSYLVANIA PUBLIC UTILITY COMMISSION P.O. BOX 3265, HARRISBURG, PA 17105-3265

November 6, 1997

IN REP

Mr. Edward Paczkowski 2135 Oakmont Street Philadelphia, PA 19152-4005

Dear Mr. Paczkowski:

Jffice of Prothonotary

Thank you for your October 23, 1997 letter to Governor Ridge expressing your support for the Enron Choice Plan which is currently being considered by the Pennsylvania Public Utility Commission in the context of the PECO Energy Company's restructuring filing proceeding under the Pennsylvania Electric Generation Customer Choice and Competition Act.

First of all, thank you for your comments of congratulations in adopting this type. of legislation which we believe will fuel further economic development in the Commonwealth. From your correspondence, your main concern seems to focus around the provisions of the new law prescribing a three year phase-in period to full competition in the electric industry in Pennsylvania. There has been much concern, especially from commercial customers, that the three year phase-in period that would give some commercial users a competitive advantage over others. After the pilot programs have concluded approximately a year from now, one-third of all customer classes of each major electric utility's service territory will become eligible to shop for power on the open market. This first phase-in will begin on January 1, 1999. The Act will permit another one-third of each electric utility's customers to shop for power beginning on January 1. 2000. The final phase-in and the date upon which all Pennsylvanians will be able to choose their electric supplier is January 1, 2001. The phase-in period was adopted by the Pennsylvania General Assembly in order to insure a smooth transition in the restructuring of an industry which provides vital services to every citizen in the Commonwealth.

Please know that I have taken the liberty of forwarding your correspondence to the Acting Secretary of the Commission for inclusion into the official file of the PECO proceeding.

We are sorry that you were not selected for the current pilot program in PECO's service territory, but we greatly appreciate the benefit of your thinking on these issues.

Sincerely. Rosemary Chiavetta, Esq.

Director of Legislative Affairs

cc: The Hon. Tom Ridge Chairman John Quain Acting Secretary McNulty GOVERNOR THOMAS RIDGE 225 MAIN CAPITOL BUILDING HARRISBURG, PA. 17120 OCTOBER 23,1997

EDWARD W. PACZKOWSKI 2135 OAKMONT STREET PHILADELPHIA, PA. 19152-4005

DEAR GOVERNOR RIDGE:

I AM WRITING TO YOU TO URGE YOUR SUPPORT OF THE ENRON PROPOSAL TO SELL ELECTRICITY IN PENNSYLVANIA.

IF I AM CORRECT, YOU SUPPORT THE IDEA OF OPEN COMPETITION IN THE POWER SUPPLY INDUSTRY. THIS IS REALLY THE ONLY FAIR WAY TO MAKE SURE THE CONSUMER CAN MAKE THE BEST DECISION.

I REGISTERED FOR CONSIDERATION UNDER THE TEST PLAN, BUT I WAS REJECTED.I HAVE A GREAT DEAL OF DIFFICULTY ACCEPTING THE FACT THAT A REGULATION WOULD BE PERMITTED TO EXIST WHICH WOULD CREATE TWO CATEGORIES OF CITIZENS WHEN IT COMES TO A STATE REGULATED INDUSTRY. WHAT THIS MEANS IS THAT CERTAIN PEOPLE WILL RECEIVE SIGNIFICANT SAVINGS FOR A LONG PERIOD OF TIME, WHILE THE OTHER PEOPLE WILL BE ON THE SAME DISTRIBUTION SYSTEM AND YET THEY WILL BE PAYING SIGNIFICANTLY MORE.

THIS TEST COULD HAVE BEEN DONE WITHOUT THIS COST DIFFERENTIAL.

WHAT IS EVEN MORE INTERESTING IS THAT THE PHILADELPHIA ELECTRIC COMPANY SENT ME A LETTER TELLING ME I WAS REJECTED BUT THAT IT WAS INCUMBENT ON ME TO REJECT ANY PLAN THAT WAS NOT THEIR PLAN.

THIS IS THE SAME COMPANY THAT HAS OVER THE YEARS CHARGED MORE FOR ELECTRICITY THAN ANY COMPANY IN THE STATE.

I HOPE THAT YOU WILL SUPPORT A PLAN WHICH WILL PROVIDE THE CHEAPEST AND MOST AFFORDABLE RATES FOR EVERYONE AND NOT JUST A LUCKY FEW AS SOON AS POSSIBLE.

I URGE YOU TO SUPPORT THE PLAN THAT ENRON IS PROPOSING.

SINCERELY, Edward W. Pacskowski

EDWARD W. PACZKOWSKI



Legal Department

PECO Energy Company 2301 Market Street PO Box 8699 Philadelphia, PA 19101-8699 97 NOV 10 11 10: 25841 5544

RECEIVED TROTHUNDTARY'S OF Pirect Dial: 215 841 4252 November 7, 1997

KJR

James W. Durham Senior Vice President and General Counsel

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Edward J. Cullen, Jr. Deputy General Counsel

Sandra H. Byrne Legal Administrator

Paul R. Bonney Ellen M. Cavanaugh Jessica N. Cone Todd D. Cutler Harvey B. Dikter Susan Sciamanna Foehl Vilna Waldron Gaston Gregory Golazeski John C. Halderman Mary McFall Hopper Conrad O. Kattner Stephanie Whitlon Lewis Jeffrey J. Norton Mark B. Peabody Roslyn G. Pollack Wendy Schermer Richard S. Schlegel Jenny P. Shulbank Ward L, Smith Delia W. Stroud Dawn Getty Sutphin Noel H. Trask Ronald L. Zack Assistant General Counsel

LUCUMENT

FOLDER

By Hand Delivery

Honorable Marlane R. Chestnut Honorable Charles E. Rainey, Jr. Administrative Law Judges 1302 Philadelphia State Office Building 1400 West Spring Garden Street Philadelphia, PA 19130

017305

Re: Application Of PECO Energy Company For Approval Of Its Restructuring Plan Under Section 2806 Of The Public Utility Code. Docket No. R-00973953; Petition of Enron Energy Service Power, Inc., Docket No. P-00971265.

Dear Judge Chestnut and Judge Rainey:

Attached is PECO Energy's Testimony Regarding the Enron Choice Plan:

Thomas P. Hill, Jr. William H. Hieronymus J. Gregory Sidak William F. Sundermeir J. Barry Mitchell David J. Pratzon James W. Sharpe Michael S. Freeman Howard Hiller George Rayzis Brian D. Crowe

PECO St. No. 1-E PECO St. No. 6-E PECO St. No. 10-E PECO St. No. 13-E PECO St. No. 20-E PECO St. No. 21-E PECO St. No. 23-E PECO St. No. 26-E PECO St. No. 27-E PECO St. No. 28-E PECO St. No. 29-E

Sincerely.

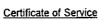
Paul Bonney

PRB/mbo

Attachments Certificate of Service CC: Jim McNulty, Acting Secretary (cover ltr and Certificate of Service only)

86942v09







I hereby certify that I have this day served the foregoing document on the following in the matter of Pennsylvania Public Utility Commission v. PECO Energy Company Pa. PUC Docket No. R-00973953.

Honorable Marlane R. Chestnut) Administrative Law Judge 1302 Philadelphia State Office Building 1400 West Spring Garden Street Philadelphia, PA 19130

Kenneth L. Mickens, Esquire Pennsylvania Public Utility Commission Office of Trial Staff P.O. Box 3265 Harrisburg, PA 17105-3265

Derrick Williamson, Esquire David Kleppinger, Esquire McNees, Wallace & Nurick 100 Pine Street Harrisburg, PA 17108-1166 (Counsel for PAIEUG)

Christopher B. Craig, Esquire Democratic Committee on Appropriations Room 545, Main Capitol Building Harrisburg, PA 17120 (Counsel for The Honorable Vincent J. Fumo)

Daniel Clearfield, Esquire Alan Kohler, Esquire Wolf, Block, Schorr and Solis-Cohen 305 N. Front Street; Suite 401 Harrisburg, PA 17101 (Counsel for Enron)

Paul Russell, Esquire Pennsylvania Power & Light Company Two North Ninth Street Allentown, PA 18101 (Counsel for PP&L)

Roger Clark, Esquire NESIP 905 Denston Drive Ambler, PA 19002-3901

Craig A. Doll, Esquire 214 State Street Harrisburg, PA 17101 (Counsel for Delmarva Power & Light)

Walter W. Cohen, Esquire / Andrew J. Giorgione, Esquire Obermayer Rebmann Maxwell & Hippel LLP 204 State Street Harrisburg, PA 17101 (Counsel for IPL)

	Honorable Charles E. Rainey, Ju Administrative Law Judge 1302 Philadelphia State Office E 1400 West Spring Garden Stree Philadelphia, PA 19130 Tanya McCloskey, Esquire Steven K. Steinmetz, Esquire Assistant Consumer Advocate Office of Consumer Advocate 1425 Strawberry Square Harrisburg, PA 17120	Buildir et	СК		TE:		
	Karen Oill Moury, Esquire Assistant Small Business Advoc Suite 1102, Commerce Building 300 N. 2 nd Street Harrisburg, PA 17101			(0		
	Steven P. Hershey, Esquire Community Legal Services, Inc. 1424 Chestnut Street Philadelphia, PA 19102 (Counsel for CEPA, TAG, Action Long, Jr.)	n Allia	ance of Sr. Citizens & Jol				
۷٦	Donald A. Kaplan, Esquire Preston, Gates, et al. Suite 500 1735 New York Avenue, NW Washington, DC 20006-4759 (Counsel for PP&L)		780		70		
Ĵ,	Alan J. Barak, Esquire Penn Energy Project 1417 Blue Mountain Parkway Harrisburg, PA 17112 (Attorney for Environmentalists)		THOHOTARY ??		NOV I O AII		
	Linda C. Smith, Esquire Dilworth, Paxson, Kalish & Kauff 305 North Front Street, Suite 403 Harrisburg, PA 17101 (Counsel for AARP)		D OFFICE	1	All 10: 53'		
	Randall V. Griffin, Esquire Delmarva Power & Light Compar 800 King Street Wilmington, DE 19899 (Counsel for Delmarva Power & I						
	Michael G. Banta, Esquire Indianapolis Power & Light Comp One Monument Circle P.O. Box 1595 Indianapolis, IN 46206-1595	pany					



Audrey Van Dyke, Associate Counsel Naval Facilities Engineering Command Washington Navy Yard, Building 218, Room 200 901 M Street, S.E. Washington, DC 20374-5018 (Counsel for Dept. of Navy)

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Joel D. Newton, Esquire Verner Liipfert Bernhard McPherson & Hand 901 - 15th Street, NW Washington, DC 20005-2301 (Counsel for Allegheny Power)

Gordon J. Smith, Esquire John & Hengerer 1200 17th Street, NW - Suite 600 Washington, DC 20036-3006 (Duke Energy Trading and Marketing, Vastar, & Electric Clearinghouse)

Joseph A. Dworetzky, Esquire John P. Lavelle, Jr., Esquire Hangley Aronchick Segal & Pudlin One Logan Square - 12th Floor Philadelphia, PA 19102 (Counsel for New Energy Ventures)

Stephanie A. Sugrue, Esquire/Sheila S. Hollis, Esquire Mary Ann Rallis, Esquire Duane, Morris & Heckscher LLP 1667 K Street, N.W. - Suite 700 Washington, DC 20006-7800 (Counsel for QST Energy)

Lance S. Haver 6048 Ogontz Avenue Philadelphia, PA 19141

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Janet Miller, Esquire William T. Hawke, Esquire/Todd S. Stewart, Esq. Malatesta Hawke & McKeon 100 N. Tenth Street Harrisburg, PA 17105 (Counsel for Mid-Atlantic Power Supply Association)

John L. Munsch, Esquire Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689 (Counsel for Allegheny Power)

Terence Fitzpatrick, Esquire David Desalle, Esquire Ryan, Russell, Ogden & Seltzer 800 North Third Street, Suite 101 Harrisburg, PA 17102 (Counsel for GPU)

Joseph J. Malatesta, Jr., Esquire Lillian Smith Harris, Esquire Malatesta Hawke & McKeon LLP Harrisburg Energy Center 100 North Tenth Street - P.O. Box 1778 Harrisburg, PA 17105 (Municipal Group)

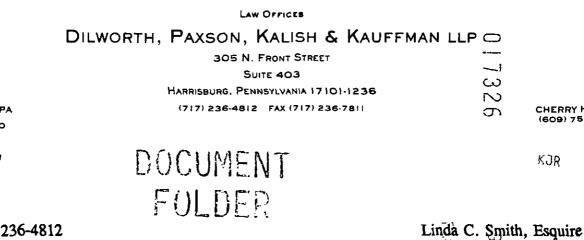
Usher Fogel, Esquire Roland, Fogel, Koblenz & Carr, LLP 1 Columbia Place Albany, NY 12207 (Counsel for Pennsylvania Petroleum Association and Pennsylvania Association of Plumbing, Heating, Cooling Contractors, Inc.)

Vickiren S. Aeshleman Director - Regulatory Policy QST Energy, Inc. 300 Hamilton Blvd.; Suite 300 Peoria, IL 61602

John Klauberg, Esquire LeBoeuf, Lamb, Greene & MacRae, LLP 125 West 55th Street New York, NY 10019-5389 (Counsel for Enron Energy Services Power, Inc.)

Paul R. Bonney Ward L. Smith Assistant General Counsel PECO Energy Company 2301 Market Street, S23-1 Philadelphia, PA 19103 (215) 841-4252 (215) 841-6863

Dated: November 7, 1997



PHILADELPHIA, PA (215) 575-7000

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MEDIA, PA (610) 565-4131

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CHERRY HILL, NJ

(609) 751-8500

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November 7, 1997

Honorable Marlane R. Chestnut Honorable Charles E. Rainey, Jr. Pennsylvania Public Utility Commission 1302 Philadelphia State Office Building 1400 West Spring Garden Street Philadelphia, PA 19130

Re: PECO Energy Company's Application for Approval of its Restructuring Plan, Docket No. R-00973953

Dear Judges Chestnut and Rainey:

Enclosed please find the original and three (3) copies of Dr. Cooper's Testimony in Response to the Petition of Enron Energy Services Power, Inc. on behalf of the American Association of Retired Persons in the above-captioned action.

Very truly yours,

inda Calmito

Linda C. Smith, Esquire

LCS:sdc

Enclosures

cc: All Parties of Record Prothonotary (Certificate of Service Only)

CERTIFICATE OF SERVICE

I, Linda C. Smith, hereby certify that I have this day caused a true copy of the foregoing to be served upon the parties of record in Docket No. R-00973953 in accordance with the requirements of 52 Pa. Code Section 1.54 (relating to service by a participant), in the manner and upon the parties listed below.

Dated at Harrisburg, Pennsylvania, November 7, 1997.

VIA HAND DELIVERY

Kenneth Mickens, Esquire Charles D. Shields, Esquire Office of Trial Staff PA Public Utility Commission P.O. Box 3265 Harrisburg, PA 17105-3265

Tanya McCloskey, Esquire Steven K. Steinmetz, Esquire Office of Consumer Advocate 1425 Strawberry Square Harrisburg, PA 17120

Bernard A. Ryan, Esquire Karen Oill Moury, Esquire Small Business Advocate 300 N. 2nd Street, Suite 1102 Harrisburg, PA 17101

David Kleppinger, Esquire Derrick P. Williamson, Esquire PAIEUG McNees, Wallace & Nurick P.O. Box 1166 Harrisburg, PA 17108-1166

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John L. Munsch, Esquire Allegheny Power 100 N. Tenth Street Harrisburg, PA 17105

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Gerald Gornish, Esquire Enron Corporation Wolf Block Schorr Solis-Cohen 401 North Front Street Harrisburg, PA 17101 Janet Miller, Esquire MAPSA Malatesta, Hawke & McKeon 100 North Tenth Street P.O. Box 1778 Harrisburg, PA 17105-1778 Christopher B Craig, Esquire Senator Vincent J. Fumo Room 545 Main Capitol Bldg. Harrisburg, PA 17120

Allen J. Barak Environmentalists 1417 Blue Mountain Parkway Harrisburg, PA 17112

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Terrence J. Fitzpatrick, Esquire David M. DeSale, Esquire RYAN, RUSSELL, OGDEN & SELTZER LLP 800 N. Third Street,, Ste 101 Harrisburg, PA 17102

VIA FAX AND FIRST CLASS MAIL

Honorable Marlane R. Chestnut Administrative Law Judge 1302 Phila. State Office Bldg 1400 West Spring Garden St. Philadelphia, PA 19130 Fax - 215-560-3133

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Paul Russell, Esquire Pennsylvania Power & Light Co Two North Ninth Street Allentown, PA 18101 Fax - 610-774-6726 Honorable Charles E. Rainey , Jr. Administrative Law Judge 1302 Phila. State Office Bldg. 1400 West Spring Garden St. Philadelphia, PA 19130 Fax - 215-560-3133

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Donald A. Kaplan, Esquire Pennsylvania Power & Light Co Suite 500 1735 New York Avenue NW Washington, DC 20006-4759 Fax - 202-331-1024

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Richard Silkman 163 Main Street Yarmouth, Maine 04096

Peter Bradford P.O. Box 497 Peru, VT 05152 David Boonin New Energy Ventures 1845 Walnut Street, Ste 2525 Philadelphia, PA 19103

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H. Allan Knopp Director, Regulatory Affairs P.O. Box 2197, CH-1038 Houston, TX 77252

Debra Swamstrom, Esquire VERNER LIIPFERT ET AL 901 15th Street, NW Washington, DC 20005

Randall V. Griffin, Esq. Delmarva Power & Light Co. 800 King Street Wilmington, DE 19899

Linda Comet

Linda C. Smith, Esquire



James W. Durham Senior Vice President and General Counsel

2

Edward J. Cullen, Jr. Deputy General Counsel

Sandra H. Byrne Legal Administrator

Paul R. Bonney Ellen M. Cavanaugh Jessica N. Cone Todd D. Cutler Harvey 8. Dikter Susan Sciamanna Foehl Vilna Waldron Gaston Gregory Golazeski John C. Halderman Mary McFall Hopper Conrad O. Kattner Stephanie Whitlon Lewis Jeffrey J. Norton Mark B. Peabody Roslyn G. Pollack Wendy Schermer Richard S. Schlegel Jenny P. Shulbank Ward L. Smith Delia W. Stroud Dawn Getty Sutphin Noel H. Trask Ronald L. Zack Assistant General Counsel

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Legal Department

PECO Energy Company 2301 Market Street PO Box 8699 Philadelphia, PA 19101-8699 215 841 5544 Fax 215 568 3389

Direct Dial: 215 841 4252

November 7, 1997

By Fax

John Gallagher, Esquire LeBoeuf, Lamb, Greene & MacRae, LLP 200 North Third Street - Suite 300 Harrisburg, PA 17108-2105

FULDER

Daniel Clearfield, Esquire Wolf, Block, Schorr & Solis-Cohen 305 North Front Street, Suite 401 Harrisburg, PA 17105

Re: Application of PECO Energy Company for Approval of its Restructuring Plan under Section 2806 of the Public Utility Code Docket No. R-00973953

Dear Counsel:

Enclosed are PECO Energy Company's Answers to Enron's Interrogatories:

Set X: 36, 37, 47, 51 and 53.

Sincerely.

Paul Borney/mBO

Paul Bonney

KJR

PRB/mbo Enclosures w/enclosures CC: Certificate of Service (w/enclosure) James McNulty, Acting Prothonotary (Certificate of Service Only)

Certificate of Service

I hereby certify that I have this date served the following Answers by facsimile, first class or overnight/express mail, upon the persons addressed below:

Enron's Interrogatories: Set X. 36, 37, 47, 51 and 53.

Kenneth L. Mickens, Senior Prosecutor Charles Shields, Prosecutor Office of Trial Staff P. O. Box 3256 Harrisburg, PA 17105-3265

Derrick Williamson, Esquire David Kleppinger, Esquire McNees, Wallace & Nurick 100 Pine Street Harrisburg, PA 17108-1166 (Counsel for PAIEUG)

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Christopher B. Craig, Esquire Democratic Committee on Appropriations Room 545, Main Capitol Building Harrisburg, PA 17120 (Counsel for The Honorable Vincent J. Fumo)

Daniel Clearfield, Esquire Alan Kohler, Esquire Wolf, Block, Schorr and Solis-Cohen 305 N. Front Street, Suite 401 Harrisburg, PA 17101 (Counsel for Enron)

Paul Russell, Esquire Pennsylvania Power & Light Company Two North Ninth Street Allentown, PA 18101 (Counsel for PP&L)

Craig A. Dolt, Esquire 214 State Street Harrisburg, PA 17101 (Counsel for Delmarva Power & Light)

Alan J. Barak, Esquire Penn Energy Project 1417 Blue Mountain Parkway Harrisburg, PA 17112 (Attorney for Environmentalists)

John L. Munsch, Esquire Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

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0 1 7 5 3 Ll Tanya McCloskey, Esquire Steven K. Steinmetz, Esquire Assistant Consumer Advocate Office of Consumer Advocate 1425 Strawberry Square Harrisburg, PA 17120

> Karen Oill Moury, Esquire Assistant Small Business Advocate Suite 1102, Commerce Building 300 N. 2nd Street Harrisburg, PA 17101

Steven P. Hershey, Esquire Community Legal Services, Inc. 1424 Chestnut Street Philadelphia, PA 19102 (Counsel for CEPA, TAG, Action Alliance of Sr. Citizens & John Long, Jr.)

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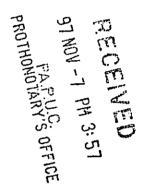
LONDON

KJr

November 7, 1997

BY HAND

James McNulty, Prothonotary Pennsylvania Public Utility Commission North Office Building P.O. Box 3265 Harrisburg, PA 17105-3265



Re: Pennsylvania Public Utility Commission v. PECO Energy Company Docket No. R-00973953

Dear Mr. McNulty:

Enclosed please find for filing three (3) copies of a Certificate of Service evidencing service of Enron Energy Services Power, Inc.'s Responses to PECO Energy Company's Interrogatories, Set XVI, Nos. 6 and 8, and Set XVIII.

If you have any questions concerning this matter, please contact me at your convenience.

Sincerely,

Michael D. Klein

MDK/mas enclosure cc: All Parties on Certificate of Service Daniel Clearfield, Esquire

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:

Pennsylvania Public Utility Commission

v.

Docket No. R-00973953

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PECO Energy Company

CERTIFICATE OF SERVICE

I hereby certify that I have on this 6th day of November, 1997, served a true

copy of the foregoing Responses to PECO Energy Company's Interrogatories, Set XVI, Nos.

6 and 8; and Set XVIII, on behalf of Enron Energy Services Power, Inc. upon the participants,

listed below, in accordance with the requirements of 52 Pa.Code § 1.54:

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Attorney for Enron Energy Services Power, Inc.

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