

Deanne M. O'Dell
717.255.3744
dodell@eckertseamans.com

August 27, 2013

Via Hand Delivery

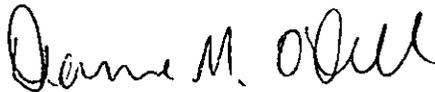
Rosemary Chiavetta, Secretary
PA Public Utility Commission
PO Box 3265
Harrisburg, PA 17105-3265

Re: Application of DWP Energy Holdings, LLC for approval to offer, render, furnish, or supply electricity or electric generation services as a Supplier of Electric Power to the public in the Commonwealth of Pennsylvania, Docket No. A-2013-2376082

Dear Secretary Chiavetta:

On behalf of DWP Energy Holdings, LLC enclosed for filing please find the Responses to the Commission's Data Requests in a letter dated August 21, 2013. Please note that Attachment A contains confidential material and should be handled accordingly. Also Attachment C is the verification of Mr. Patrick Maloney, CEO of DWP Energy Holdings, LLC.

Sincerely,



Deanne M. O'Dell

DMO/lww
Enclosure

cc: Marie Intrieri w/enc.
Patrick Maloney w/enc.

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**Docket No. A-2013-2376082
DWP Energy Holdings, LLC
Data Request**

Reference Application, Section 1.a, Identity of Applicant –

[PUC REQUEST] Please clarify the primary address of the applicant. The Tax Certification Statement form and Letter of Credit listed "820 Broadway, Santa Monica, CA 90401" as the primary address of the applicant, which is different from that listed in Section 1.a.

[DWP RESPONSE] At the time of submission the applicant was in the process of moving its corporate headquarters from 820 Broadway, Santa Monica, CA 90401 to a new, long-term location. As such, the company listed the address of its corporate counsel in Section 1.a (777 Figueroa Street, Suite 3200, Los Angeles, CA, 90017) in order to ensure all PUC correspondence was received in a timely fashion. The company has now moved into the following primary address.

DWP Energy Holdings, LLC
604 Arizona Avenue, Suite 209
Santa Monica, CA 90401

The address above is also now applicable to Sections 1.c, 1.e, 2.b, and 7.c of the applicants EGS license application.

Reference Application, Section 1.e, Contacts for Customer Service –

[PUC REQUEST] Please provide the name, title, address, telephone number, FAX number, and email of an alternate persona responsible for addressing customer complaints.

[DWP RESPONSE] The applicant is in the process of hiring an alternate person who will be responsible for customer complaints. The company will notify the commission with this information before it begins marketing its services within the Commonwealth.

Reference Application, Section 4.c, Proposed Services –

[PUC REQUEST] Applicant lists "Orange and Rockland Company" as one of the proposed service territories. The application should say "Pike County Light & Power." Please verify the proposed service areas applicant will serve.

[DWP RESPONSE] The applicant will offer its services within "Pike County Light and Power," not "Orange and Rockland Company." Here is the complete list of Electric Distribution Companies for which the Applicant proposes to provide service: PPL, PECO, Duquesne, MetEd, Penelec, Penn Power, UGI, West Penn, Citizen's Electric Company, Pike County Light and Power, Wellsboro Electric Company.

Reference Application, Section 7.b Financial, Records, Statements, and Ratings –
Please provide more information to demonstrate financial fitness.

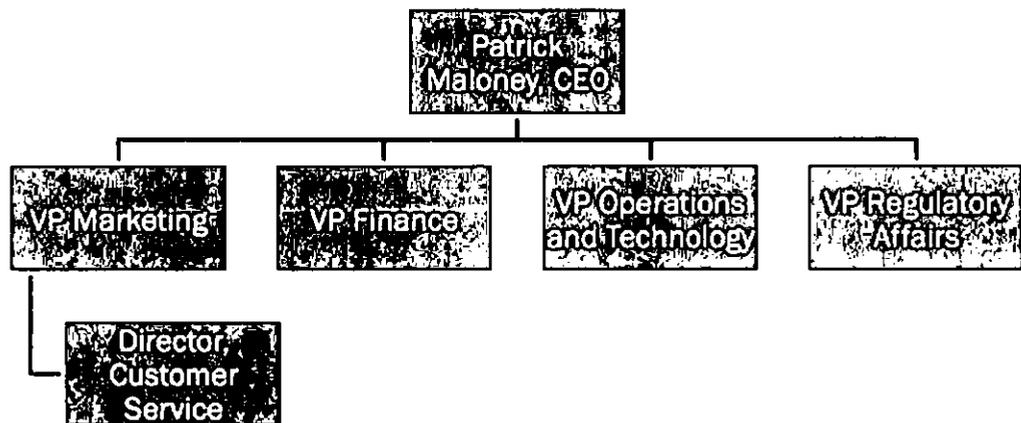
[PUC REQUESTS]

- Please provide copies of bank account statement since inception of company showing "actual" current assets as stated in the Opening Balance Sheet.
- How does the applicant intend to fund the operation? Please provide copies of all documents related to lines of credit.
- Does the applicant have any assets in this Commonwealth? If so, please list.
- Is there a parent owner or affiliate of the company for financial backing? Please explain.
- Actual or proposed organizational structure including parent, affiliated, or subsidiary companies.

[DWP RESPONSE] SEE ATTACHMENT A [confidential]

Reference Application, Section 8.b, Experience, Plan, Structure –

- **[PUC REQUEST]** Is Mr. Maloney the only officer of the company? If not, please provide the names and positions of the other officers and their resumes.
- **[DWP RESPONSE]** Mr. Maloney is the only officer of the company.
- **[PUC REQUEST]** How many employees of the applicant are or will be working in the Commonwealth?
- **[DWP RESPONSE]** The applicant does not currently have any employees who are or will be working within the commonwealth.
- **[PUC REQUEST]** Please provide actual or proposed staffing structure of the company as well as any employee training commitments.
- **[DWP RESPONSE]** The applicant is intimately familiar with the staffing structure and employee training commitments necessary to provide consumers in the Commonwealth with exceptional products and services. As such, the applicant is in the process of hiring or contracting resources to manage and execute the company's core operating responsibilities. Please see below for a diagram of the applicant's proposed staffing structure and employee training commitments.

Proposed Staffing Structure:Employee Training Commitments:

Robust regulatory compliance, exceptional customer service, operational excellence, and tight financial controls are core principles of the applicant's business model. As such, the applicant will ensure that it has sufficient resources to establish and train its employees to provide highly ethical and compliant marketing programs, securely manage customer accounts, procure and schedule electric supply, provide industry leading customer service, and ensure robust fiscal and risk management. All employees, contractors, and vendors will receive regular training to ensure a high level of knowledge of and compliance with all PUC rules and regulations, state and federal consumer protections, and PJM and FERC protocols, operating guidelines, and other requirements. Additionally, the applicant will work closely with its local counsel (identified in Section 1.d of the applicant's EGS application) to monitor all regulatory proceedings, including electric rulemakings and pertinent contested cases, in order to implement additional employee training programs and ensure ongoing compliance with all applicable rules, requirements, and rulings.

Reference application, Section 12., Newspaper Publications –

[PUC REQUEST] Please provide a “complete” copy of the publication in the Williamsport Sun-Gazette. The last paragraph, starting with “The PUC may consider this application” was omitted in its entirety.

[DWP RESPONSE] SEE ATTACHMENT B

Attachment	Description	Reference Section
Attachment A	<u>CONFIDENTIAL (FILED UNDER SEAL)</u> Data Request - Financial, Records, Statements, and Ratings	Section 7.b
Attachment B	Data Request - Newspaper Publications – Williamsport Sun Gazette	Section 12
Attachment C	Officer Verification Statement	52 Pa Code 1.36

ATTACHMENT A

CONFIDENTIAL

(FILED UNDER SEAL)

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ATTACHMENT B

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AUG 27 2013

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

May 10, 2013

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE

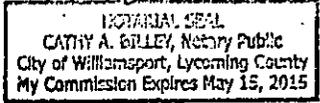
Application of DWP Energy Holdings LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer, Broker, Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

DWP Energy Holdings LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a supplier of electric power. DWP Energy Holdings LLC Company proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of DWP Energy Holdings LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to DWP Energy Holdings LLC attorney at the address listed below.

By and through Counsel:
Deanne M. O'Dell,
Esquire
Eckart Seamans Cherrin & Nollan, LLC
213 Market Street
8th Floor
Harrisburg, PA 17101
(717) 237-8000 (phone)
(717) 237-8019 (fax)

Bernard A. Oravec
SUN-GAZETTE COMPANY
Sworn to and subscribed before me
the 15th day of May 2013
Cathy A. Belleay
Notary Public



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:
For publishing the notice attached
hereto on the above state dates..... \$ 751.16
Probated same..... \$
Total..... \$ 751.16

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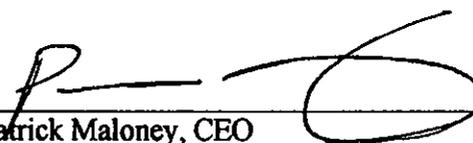
PA PUBLIC UTILITY COMMISSION
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ATTACHMENT C

VERIFICATION

I, Patrick Maloney state that I am the CEO for DWP Energy Holdings, LLC. and as such I am authorized to make this verification on its behalf. I hereby state that the facts contained herein are true and correct to the best of my knowledge, information and belief and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904, relating to unsworn falsification to authorities.

8/26/2013
Dated


Patrick Maloney, CEO
DWP Energy Holdings, LLC