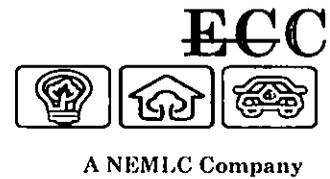


Energy Choice Consulting

1049 Shore Road
Suite A
Linwood, NJ 08221
www.energychoiceconsulting.com
T: 609.365.7072
F: 609.365.2437



ORIGINAL

September 27, 2013

Pennsylvania Public Utility Commission
Secretary
P.O. Box 3265
Harrisburg, PA 17105

Re: *Application of Frontier Utilities Northeast LLC for certification as a Supplier of Electricity in the Commonwealth of Pennsylvania.*

Dear Madam Secretary,

Our firm has been retained by the above captioned Applicant, Frontier Utilities Northeast LLC (hereafter "FUN" or "Applicant") to assist them with regulatory and compliance filings in various jurisdictions.

Pursuant to 66 Pa. C.S. Section 2809 of the Pennsylvania Public Utility Code, Applicant hereby submits the attached application for licensure as a Supplier of electricity in the Commonwealth of Pennsylvania.

In addition to the original application enclosed herein, please find one copy and a CD-ROM containing a searchable pdf version of the application.

In accordance with 52 Pa. Code § 54.32, certain exhibits attached hereto contain questions that require Applicant to disclose proprietary and confidential financial information. Those exhibits are clearly and conspicuously marked CONFIDENTIAL and this transmittal letter accompanies a statement of support as to why such disclosures would irreparably damage Applicant's business interests and financial security.

Should you have any questions or concerns regarding this application, or require any additional documentation or information, please do not hesitate to contact this office at your convenience.

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OCT 2 2013

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Respectfully Submitted,



Sean P. Morrissey o/b/o
Frontier Utilities Northeast LLC

NEMLC, LLC

1049 Shore Road

Suite A

Linwood, NJ 08221

T: 609.365.7072

F: 609.297.5747

E: smorrisey@energychoiceconsulting.com

Encl: Electric Supplier Application of Frontier Utilities Northeast, LLC
Petition for protective order of proprietary business information

BEFORE

THE PENNSYLVANIA PUBLIC UTILITIES COMMISSION

In the Matter of the Application of)	
Frontier Utilities Northeast, LLC)	Docket No.
For Certification as a Supplier of)	
Electricity Services in the)	
Commonwealth of Pennsylvania.)	

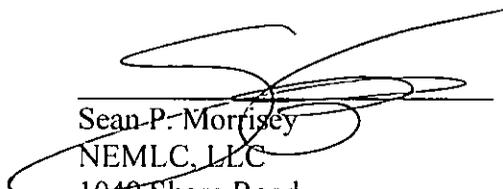
MOTION FOR PROTECTIVE ORDER

Applicant, Frontier Utilities Northeast, LLC (hereinafter, “FUN” or “Applicant” or “Company”) moves pursuant to Pa. Code § 54.32 (e)(f), for the entry of a Protective Order to designate as confidential its responses to question 7(b) [attached Exhibit 7(b)], in the Commission’s Certification Application for Electric Generation Supplier (“EGS”) Application Package. These questions seek information and records that FUN considers confidential and proprietary financial data, and which are maintained as confidential by the Company. Public disclosure of this information would be potentially harmful to Applicant’s competitive position as a supplier of electricity.

The Company asks further that its responses to any subsequent requests for additional information or clarification which the Commission Staff might make with regard to these same requests also be permitted to be filed under seal, pursuant to the same Protective Order requested herein. The grounds supporting this Motion are fully substantiated in the subsequent Memorandum of Support.

Respectfully Submitted,

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 PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU


 Sean P. Morrissey
 NEMLC, LLC
 1049 Shore Road
 Suite A
 Linwood, NJ 08221
 T: 609.365.7072
 F: 609.297.5747
smorrissey@energychoiceconsulting.com
 o/b/o Frontier Utilities Northeast LLC

MEMORANDUM IN SUPPORT OF
MOTION FOR PROTECTIVE ORDER

Frontier Utilities Northeast LLC is a Delaware Limited Liability Company, registered as a Foreign For Profit Limited Liability Company (§8981) by filing with the Pennsylvania Secretary of State.

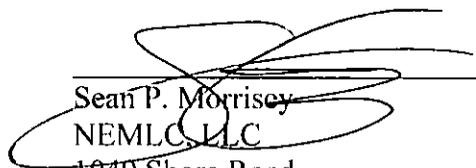
Questions 17(a) states, “Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided.” Examples of such information which may be submitted include the following: - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies. - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient) - Applicant's accounting statements, including balance sheet and income statements for the past two years. - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports. - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee. - Audited financial statements exhibiting accounts over a minimum two year period. - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.”

Applicant gladly provides this information to the Commission, but asks that it be subject to Protective Order due to the confidential, sensitive financial nature of this information and because public disclosure would be injurious to the Company's competitive position as a Supplier of electricity. It is submitted that this is private information, in that Applicant is a private limited liability company engaged solely in Supplying electricity and natural gas and does not make public disclosure of the requested information through SEC filings or other public means. As such, and given the intense competition in the EGS arena, this information is legitimate proprietary financial data, access to which could negatively affect Applicant's competitive position as a supplier of electricity.

This request for a Protective Order is reasonable, necessary and will not prejudice any other party or individual. In fact, to the extent FUN's ability to compete effectively is preserved, Pennsylvania consumers will be better served. Fair competition is the philosophical basis for the EGS statutes and subsequent regulations.

For the foregoing reasons, Applicant respectfully requests that a Protective Order be issued which permits it to file its responses to the identified electric supplier Application Question (7b and corresponding exhibits) under seal and requires those with access to those responses to treat them in a confidential manner consistent with applicable law. All such responses shall be clearly and conspicuously marked CONFIDENTIAL.

Respectfully Submitted,



Sean P. Morrissey
NEMLC, LLC
1049 Shore Road
Suite A

Linwood, NJ 08221

T: 609.365.7072

F: 609.297.5747

smorrisey@energychoiceconsulting.com

o/b/o Frontier Utilities Northeast LLC

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Frontier Utilities Northeast, LLC, d/b/a same, for approval to offer, render, furnish, or supply electricity or electric generation services as a [REDACTED] to the public in the Commonwealth of Pennsylvania (Pennsylvania).
Supplier of Electricity

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Frontier Utilities Northeast, LLC T: 877-437-7440
5120 Woodway Drive, Suite 10015 T: 832-397-6934
Houston, TX 77506 F: 877-926-8193
www.frontierutilities.com

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Capitol Corporate Services, Inc. Fax 800.432.3622
600 N 2ND ST STE
401 HARRISBURG PA 17101
Ph: 800.345.4647

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Sean P. Morrissey, Regulatory Affairs Consultant T: 609.365.7072
1049 Shore Road, Suite A F: 609.297.5747
Linwood, NJ 08221 E: smorrissey@energychoiceconsulting.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Applicant has no in-house counsel

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- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Scott Birmingham, VP Marketing & Regulatory
5120 Woodway Drive, Suite 10015
Houston, TX 77506
E: scott.birmingham@frontierutilities.com
T: 832-397-6930
F: 877-926-8193

Suresh Guddanti, PhD; CIO & VP of Operations
5120 Woodway Drive, Suite 10015
Houston, TX 77506
E: suresh.guddanti@frontierutilities.com
T: 832-266-0139
F: 877-926-8193

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

See Exhibit 2

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Frontier Utilities Northeast ("Applicant") is affiliated with Frontier Utilities, a licensed Retail Electric Provider in the State of Texas. Both Companies are wholly owned subsidiaries of Frontier Utilities Holding Co. Applicant is not currently doing business in Pennsylvania, nor does it have any affiliates currently doing business or applying to do business in the Commonwealth.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Applicant has operated as "Frontier Utilities New York," which was legally changed in Delaware, its state of formation on February 25, 2013. No material changes in management, financial or technical qualifications are applicable. Further, their address and telephone number remained the same as outlined above.

Frontier Utilities New York, LLC: 5120 Woodway Drive, Suite 10015 Houston, TX 77506 - T: 832-397-6934.

This was a corporate name change and their website, www.frontierutilities.com, has remained the same. Applicant's predecessor has never applied to or has done business in Pennsylvania.

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

- The Applicant is presently doing business in Pennsylvania as a
- municipal electric corporation
 - electric cooperative
 - local gas distribution company
 - provider of electric generation, transmission or distribution services
 - Other; Identify the nature of service being rendered.

OR

- The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
- Electric Cooperative and supplier of electric power
- Other (Describe):

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Applicant proposes to purchase electricity on the wholesale market and sell directly to all customers classes throughout the entire Commonwealth of Pennsylvania. Applicant will be taking title to electric energy and be contracting directly with end-users in all applicable LDC territories. Frontier Utilities Northeast does not own nor is it affiliated with any generation facilities. Each respective LDC will still service the physical infrastructure and respond to any emergencies.

d. **PROPOSED SERVICE AREA:** Provide a list of each Electric Distribution Company for which the Applicant proposes to provide service.

Applicant proposes to sell electricity throughout the entire Commonwealth of Pennsylvania with no restrictions on any LDC territory.

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):

f. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

g. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using a Third Party Verification procedure?

- Yes
- No

If yes, describe the Applicant's Third Party Verification procedures.

- h. **START DATE:** Provide the approximate date the Applicant proposes to begin services within the Commonwealth.

Upon Commission approval; on or about November 1st, 2013

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Applicant has no such proceedings to disclose.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

not applicable

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

Applicant has no such actions to disclose

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

not applicable.

6. PROOF OF SERVICE

(Example Certificate of Service is attached at Appendix C)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

- b.) **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Allegheny Power:

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Met-Ed, Penelec, and Penn Power:

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Pike County Light & Power Company:

Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Wellsboro Electric Company:

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...



Furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000. See Exhibit 7(a)



Furnishing the **original** (along with copies) of another initial security for Commission approval, to ensure financial responsibility.



Filing for a modification to the \$250,000 requirement and furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

- *At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.*
- *Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.*
- *Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.*

b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

See Exhibit 7(b)

c. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

d. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a.) **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

See Exhibit 8(a)

b.) **OFFICERS:** Identify Applicant's chief officers including names and their professional resumes.

See Exhibit 8(b)

c.) **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number ER13-2147-000.
- Not applicable

9. DISCLOSURE STATEMENT:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)



AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).



AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license



ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

See Exhibit 10(a)

- b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.



AGREED

12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	<u>Duquesne</u>	<u>Met Ed</u>	<u>PECO</u>	<u>Penelec</u>	<u>Penn Power</u>	<u>PPL</u>	<u>UGI</u>	<u>West Penn</u>	<u>Entire Commonwealth</u>
Philadelphia Daily News		X	X			X			X
Harrisburg Patriot-News		X		X		X		X	X
Scranton Times Tribune		X		X		X	X		X
Williamsport Sun Gazette				X		X		X	X
Johnstown Tribune Democrat				X				X	X
Erie Times-News				X	X				X
Pittsburgh Post-Gazette	X				X			X	X

(Example Publication is provided at Appendix H)

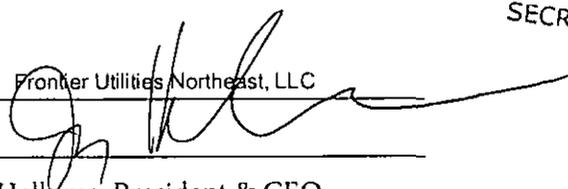
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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

13. SIGNATURE

Applicant: Frontier Utilities Northeast, LLC

By: 

Title: Jay Hellums, President & CEO

c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.



AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.



AGREED

e. **ASSESSMENT:** The Commission does not presently assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see *PPL Energyplus, LLC v. Commonwealth*, 800 A.2d 360 (Pa. Cmwlth. 2002).



ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.



AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.



AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.



AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.



AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at appendix J.



AGREED

- k. **FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.



PAYMENT ENCLOSED

11. AFFIDAVITS

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

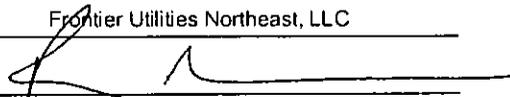
Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	<u>Duquesne</u>	<u>Met Ed</u>	<u>PECO</u>	<u>Penelec</u>	<u>Penn Power</u>	<u>PPL</u>	<u>UGI</u>	<u>West Penn</u>	<u>Entire Commonwealth</u>
Philadelphia Daily News		X	X			X			X
Harrisburg Patriot-News		X		X		X		X	X
Scranton Times Tribune		X		X		X	X		X
Williamsport Sun Gazette				X		X		X	X
Johnstown Tribune Democrat				X				X	X
Erie Times-News				X	X				X
Pittsburgh Post-Gazette	X				X			X	X

(Example Publication is provided at Appendix H)

13. SIGNATURE

Applicant: Frontier Utilities Northeast, LLC

By: 

Title: Ramji Raman, CFO

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: FRONTIER UTILITIES NORTHEAST LLC

✓	Signature	
✓	Filing Fee	
✓	Application Affidavit	
✓	Operations Affidavit	
✓	Proof of Publication	
✓	Bond or Letter of Credit	
✓	Tax Certification Statement	
✓	Commonwealth Department of State Verification	
✓	Certificate of Service	

Applicant's Use

PUC Secretary's Bureau Use

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Texas :

: ss.

County of Harris :

Ramji Raman, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the CFO (Office of Affiant) of Frontier Utilities Northeast(hereafter, "Frontier")

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Frontier has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Frontier has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Frontier acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Frontier acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Handwritten Signature]
Signature of Affiant

Sworn and subscribed before me this 31 day of July, 2013.

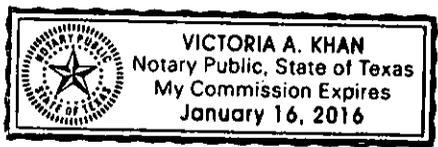
[Handwritten Signature: Victoria Khan]
Signature of official administering oath

My commission expires January 16, 2016

[Notary Seal]

RECEIVED

OCT 2 2013



Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Texas :

: ss.

County of Harris :

Ramji Raman, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He. is the CFO (Office of Affiant) of Frontier Utilities Northeast (hereafter, "Frontier")

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Frontier, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Frontier, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Frontier, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

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Appendix B (Continued)

That Frontier, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

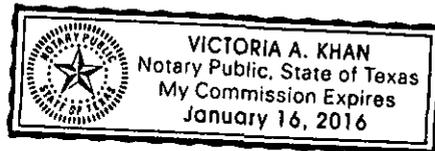
Sworn and subscribed before me this 31 day of July, 2013.



Signature of official administering oath

My commission expires January 16, 2016.

[Notary Seal]



Appendix C

Example
CERTIFICATE OF SERVICE

On this the 1st day of OCTOBER 2013, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16900

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



Ramji Raman, CFO
Frontier Utilities Northeast, LLC

Exhibit 2

Name & Address of Officers for Frontier Utilities Northeast, LLC

Jay D. Hellums, CEO

5120 Woodway Drive, Suite 10015
Houston, TX 77056

Ramji Raman, CFO

5120 Woodway Drive, Suite 10015
Houston, TX 77056

Scott Birmingham, VP Marketing and Regulatory

5120 Woodway Drive, Suite 10015
Houston, TX 77056

Dayne Zimmerman, VP of Energy Management

5120 Woodway Drive, Suite 10015
Houston, TX 77056

Preston Ochsner, Retail Electricity Sales

5120 Woodway Drive, Suite 10015
Houston, TX 77056

Suresh Guddanti PhD., VP of Operations and Chief Information Officer

5120 Woodway Drive, Suite 10015
Houston, TX 77056

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Attachment 5

Attached hereto please also find Frontier Utilities Northeast's ("FUN") charter documentation from the State of Delaware.

FUN further provides certification that it is licensed to do business as a Foreign Limited Liability Company in the Commonwealth of Pennsylvania.

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "FRONTIER UTILITIES NEW YORK LLC", CHANGING ITS NAME FROM "FRONTIER UTILITIES NEW YORK LLC" TO "FRONTIER UTILITIES NORTHEAST LLC", FILED IN THIS OFFICE ON THE TWENTY-FIFTH DAY OF FEBRUARY, A.D. 2013, AT 4:34 O'CLOCK P.M.

RECEIVED

OCT 2 2013

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

5150179 8100

130225196

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 0243771

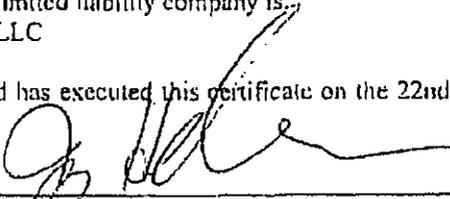
DATE: 02-26-13

State of Delaware
Secretary of State
Division of Corporations
Delivered 04:48 PM 02/25/2013
FILED 04:34 PM 02/25/2013
SRV 130225196 - 5150179 FILE

STATE OF DELAWARE
CERTIFICATE OF AMENDMENT
TO THE
CERTIFICATE OF FORMATION
OF
FRONTIER UTILITIES NEW YORK LLC

1. The name of the limited liability company is Frontier Utilities New York LLC (the "Company").
2. The Certificate of Formation of the Company is hereby amended as follows:
 1. The name of this Delaware limited liability company is:
Frontier Utilities Northeast LLC

IN WITNESS WHEREOF, the undersigned has executed this certificate on the 22nd day of February, 2013.

By: 
Name: Day D. Hellums
Title: Chief Executive Officer

FRONTIER UTILITIES NEW YORK, LLC

CONSENT OF SOLE MEMBER

February 22, 2013

The undersigned, being the sole member of Frontier Utilities New York, LLC, a Delaware limited liability company (the "Company"), does hereby waive any and all requirements for calling, giving notice of and holding a meeting of the members of the Company and, in lieu of such meeting and pursuant to the Delaware Limited Liability Company Act, hereby adopts and approves, or approves, confirms and ratifies, as applicable, the following resolutions by written consent:

Relating to the Certificate of Amendment

WHEREAS, the Sole Member has determined that it is advisable and in the best interest of the Company to change the name of the Company to Frontier Utilities Northeast LLC;

NOW, THEREFORE, BE IT RESOLVED, that the Sole Member hereby approves the amendment to the certificate of formation of the Company to effect the aforementioned name change.

RESOLVED FURTHER, that the form of, and all of the terms, provisions and conditions contained in the form of Certificate of Amendment that has been presented to the Sole Member are hereby approved and adopted in all respects.

IN WITNESS WHEREOF, the undersigned, being the sole member of the Company, has executed this Consent as of the day first written above.

SOLE MEMBER:

**FRONTIER UTILITIES HOLDING COMPANY,
LLC**

By: 

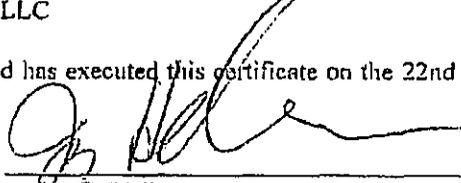
Name: Jay D. Hellums

Title: Chief Executive Officer

STATE OF DELAWARE
CERTIFICATE OF AMENDMENT
TO THE
CERTIFICATE OF FORMATION
OF
FRONTIER UTILITIES NEW YORK LLC

1. The name of the limited liability company is Frontier Utilities New York LLC (the "Company").
2. The Certificate of Formation of the Company is hereby amended as follows:
 1. The name of this Delaware limited liability company is:
Frontier Utilities Northeast LLC

IN WITNESS WHEREOF, the undersigned has executed this certificate on the 22nd day of February, 2013.

By: 
Name: Jay D. Hellums
Title: Chief Executive Officer

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.STATE.PA.US/CORP

Frontier Utilities Northeast, LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4203191

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OCT 2 2013

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Frontier Utilities Northeast, LLC
5120 Woodway Drive / Suite 10015
Houston, TX 77056

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

Application for Registration - Foreign

(13 Pa.C.S.)

- Registered Limited Liability General Partnership (§ 8211)
 Registered Limited Liability Limited Partnership (§ 8211)
 Limited Partnership (§ 8582)
 Limited Liability Company (§ 8981)

Name		
Frontier Utilities Northeast, LLC		
Address		
5120 Woodway Dr., Suite 10015		
City	State	Zip Code
Houston	TX	77056

Document will be returned to the name and address you enter to the left.



Commonwealth of Pennsylvania
APPLICATION FOR REGISTRATION 3 Page(s)



T1320764062

Fee: \$250

In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in this Commonwealth, hereby states that:

1. The name of the limited liability company/limited liability partnership/limited partnership in the jurisdiction in which it is formed:

Frontier Utilities Northeast, LLC

2. The name under which the limited liability company/limited liability partnership/limited partnership proposes to register and do business in this Commonwealth is:

Frontier Utilities Northeast, LLC

3. The name of the jurisdiction under the laws of which it was organized and the date of its formation:

Jurisdiction: DE Date of Formation: 5/4/12

4. The (a) address of its initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street	City	State	Zip	County
-----------------------	------	-------	-----	--------

(b) Name of Commercial Registered Office Provider	County
Capitol Corporate Services Inc.	Dauphin

PA DEPT. OF STATE

JUL 24 2013



BUREAU OF CORPORATION TAXES
PO BOX 280705
HARRISBURG PA 17128-0705

NOTICE OF CORPORATE REGISTRATION

**FRONTIER UTILITIES NORTHEAST, LLC
STE 10015
5120 WOODWAY DR
HOUSTON TX 77056-1725**

REVENUE ID:..... 1000718142
FEIN:..... 455436191
NOTICE NUMBER:..... BU1000300151
MAIL DATE:..... 08/07/2013
FISCAL YR END:..... 12/31
CERT OF AUTHORITY DATE:..... 07/24/2013

TAXES SUBJECT:
Corporate Net Income
Loans
Foreign Franchise

Welcome to Pennsylvania's business community. The Department of Revenue has been advised that you are authorized to conduct business in Pennsylvania. The above Revenue ID number has been assigned to your business for tax reporting purposes. Please reference this number on all correspondence with the department.

Carefully review your name, address and tax information above for accuracy. If no federal employer identification number (FEIN) is indicated, please provide this number to the department as soon as it is available from the federal government. Write the FEIN and other changes or additions in the top, right-hand corner above and return this letter to the PA DEPARTMENT OF REVENUE, PO BOX 280705, HARRISBURG PA 17128-0705.

FILING REQUIREMENTS

The taxes you are required to report annually are identified above. Tax reports must be filed timely, even if there is no business activity or if the first year in business is less than 12 months. You are obligated to pay timely and file tax returns until you formally dissolve your corporate charter, file an out of existence affidavit or cancel a license or authorization. Failure to file and pay timely may result in penalties and liens. For information on tax due dates, visit the department's website at www.revenue.state.pa.us.

Pay particular attention to the month your fiscal year ends, identified above, for the following reasons:

- For capital stock/foreign franchise, corporate net income and mutual thrift taxes, the first quarterly estimated payments are due within 75 days following the incorporation/authority date.
- A federal subchapter S corporation desiring not to be taxed as a PA S corporation is required to file Form REV-976 on or before the due date or extended due date of the first tax period for which it is to be in effect. REV-976 is available at www.revenue.state.pa.us.

SUBJECTIVITY TO CORPORATE TAXES FOR LIMITED LIABILITY COMPANIES AND BUSINESS TRUSTS

- According to Section 601 of the Tax Reform Code, limited liability companies and business trusts are considered corporations for purposes of capital stock/foreign franchise tax, regardless of how they file with the Internal Revenue Service (IRS).
- Under Section 401, any entity that files as a corporation with the IRS is subject to PA corporate net income tax. A limited liability company or business trust that does not file as a corporation with the IRS is not subject to the PA corporate net income tax.

ELECTRONIC FILING

Payments of \$10,000 or more must be remitted electronically. Register online through the department's e-Services Center at www.revenue.state.pa.us to send tax payments to the department online using e-TIDES.

The Department of Revenue appreciates your cooperation and wishes your business success in Pennsylvania. If you have any questions, visit the Online Customer Service Center at www.revenue.state.pa.us or call the Taxpayer Service & Information Center at 717-787-1064.

00BU1000300151 02 02 00123 1 00



Exhibit 7(a) - Bonding

In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), Applicant has furnished the original Letter of Credit via FedEx directly to the Pennsylvania Public Utilities Commission by the Applicant's guarantor, Pacific Summit Energy, and was delivered at 7:04 a.m. on 8/29/2013.

Enclosed please find verification from FedEx as well as a copy of the original letter of credit.



Frontier Utilities, Llc

DUNS: 80-943-4421

Dashboard

Company Info

5120 Woodway Ste 10015
Houston, TX 77056

Phone: (832) 426-1380

URL: www.frontierutilities.com

Scores

Paydex	Delinquency Predictor		Financial Stress		Supplier Eval. Risk Rating	Credit Limit Rec.	DandB Rating
Score	Score	Class	Score	Class	Rating	Recommendation	Rating
80 ▾	536 ▾	2	1527 △	2	1 ▾	\$35K	3A1

Recent Alerts

INQUIRY 05/18/13 1 New Inquiry	INQUIRY 05/02/13 2 New Inquiries
INQUIRY 04/20/13 1 New Inquiry	SCORE 03/31/13 Commercial Credit Score Improved

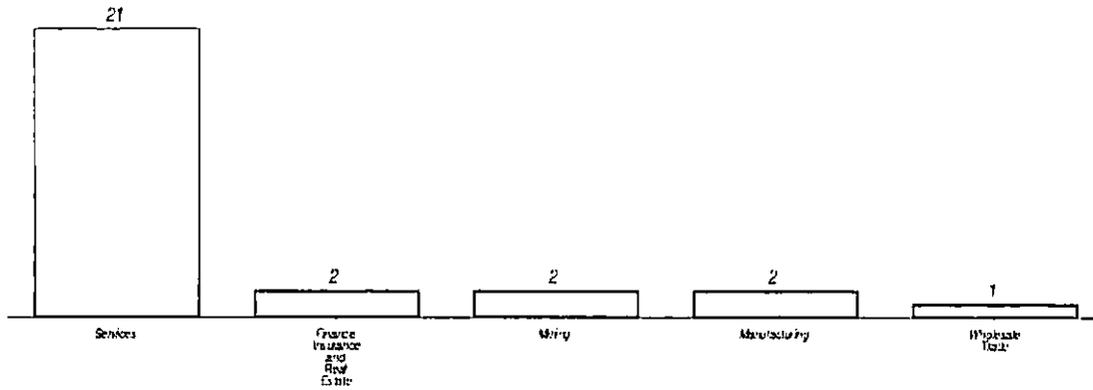
Inquiries

Most Recent

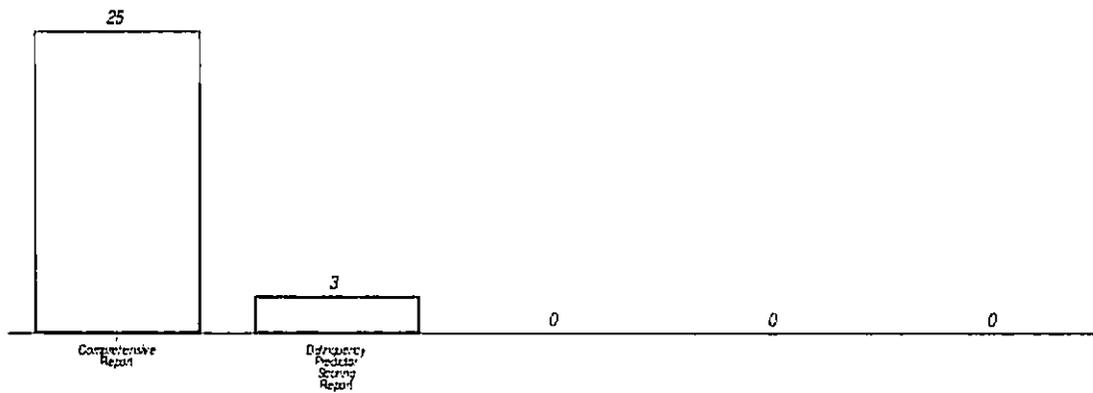
Date	SIC / Sector	Report type
05/15/13	Services	Comprehensive Report
04/29/13	Mining	Comprehensive Report
04/29/13	Mining	Comprehensive Report
04/17/13	Wholesale Trade	Comprehensive Report
02/12/13	Services	Comprehensive Report

Top 5 Inquiries by SIC / Sector (12 Months)

Top 5 Inquiries by SIC / Sector (12 Months)		



Top 5 Inquiries by Report Type (12 Months)



Scores

Paydex

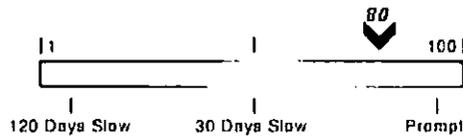
80



3 Month Paydex

80

generally within terms



Understanding My Score

The D&B PAYDEX is a unique, dollar weighted indicator of payment performance based on payment experiences as reported to D&B by trade references.

Recent Payments

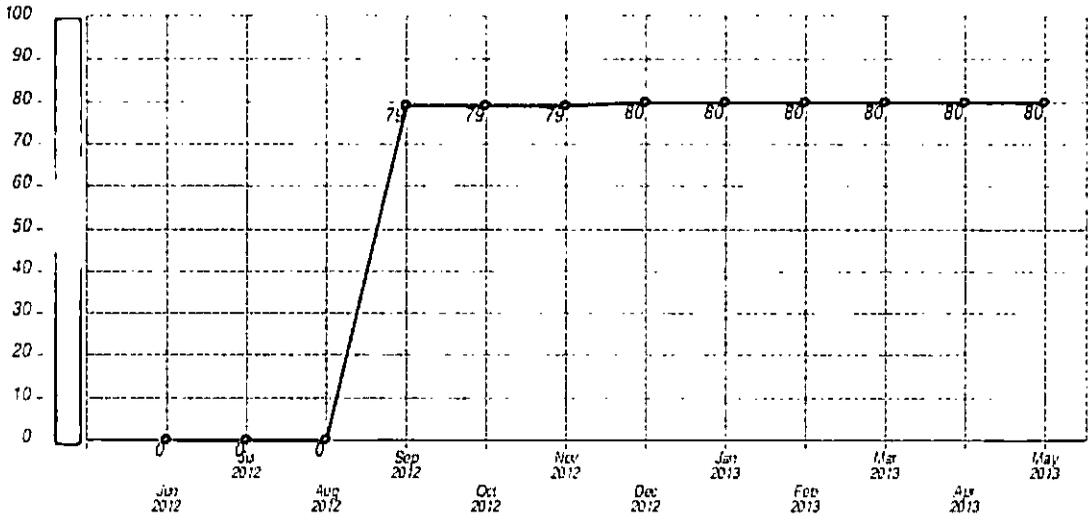
Total (Last 12 Months): 11

Date	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/ (Mo.)
05/2013	Ppt-Slow 30	\$500	\$250	\$0	N30	1 mo
04/2013	Ppt	\$15,000	\$10,000	\$0	N30	1 mo
04/2013	Ppt	\$2,500	\$250	\$0	Lease Agreemnt	--
04/2013	Ppt	\$750	\$0	\$0	--	2-3 mos
04/2013	Ppt	\$500	\$50	\$0	Lease Agreemnt	--

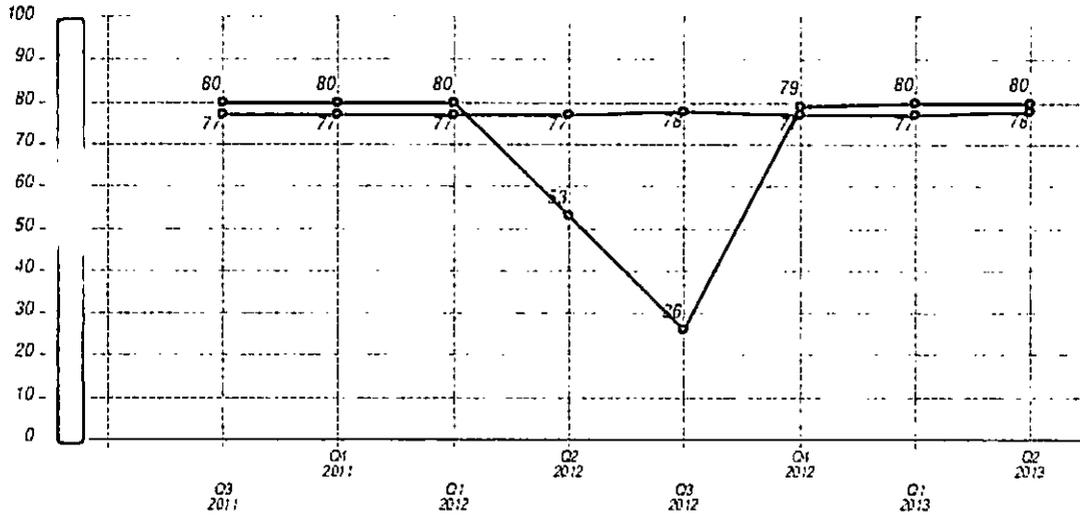
Key

Paydex	Payment Practices	Paydex	Payment Practices
100	Anticipate	40	60 Days Beyond Terms
90	Discount	30	90 Days Beyond Terms
80	Prompt	20	120 Days Beyond Terms
70	15 Days Beyond	1-19	Over 120 Days Beyond Terms
60	22 Days Beyond Terms	UN	Unavailable
50	30 Days Beyond Terms		

Trends



Industry Comparison

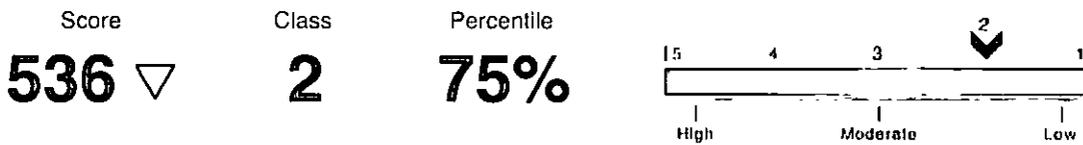


- My Company (80)
- Industry Median: (78)

Based on payments collected over the last 4 quarters.

- Current PAYDEX for this business is 80, or equal to generally within terms
- The present industry median score is 78, or equal to 3 days beyond terms.

Delinquency Predictor Score



Moderate risk of severe payment delinquency over next 12 months

Understanding My Score

The D&B Delinquency Predictor (formerly the Commercial Credit Score) predicts the likelihood that a company will pay in a severely delinquent manner (91+ days past term) over the next 12 months, seek legal relief from creditors, or cease operations without paying all creditors in full over the next 12 months based on the information in D&B's database. A severely delinquent firm is defined as a business with at least 10% of its dollars 91+ days slow.

Incidence of Delinquent Payment:

Among Companies with this Classification: **2.50%**

Factors Affecting Your Score:

Proportion of satisfactory payment experiences to total payment experiences reported

Limited time under present management control

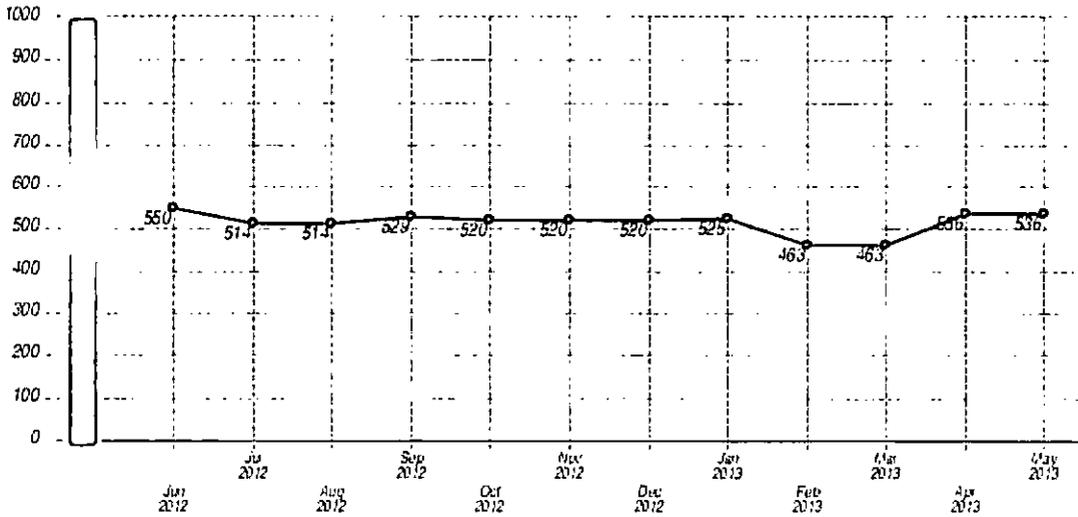
Trend in payment of accounts

Variable Paydex over last 12 months

Key

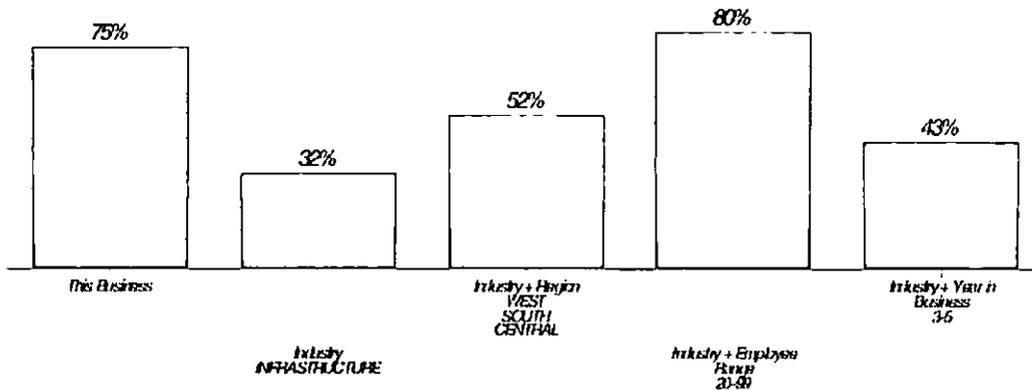
Class	Incidence of Delinquency	Percentile	Score
1	10%	91-100	580-670
2	20%	71-90	530-579
3	40%	31-70	481-529
4	20%	11-30	453-480
5	10%	1-10	101-452

Trends - Scores, 12 Month



• My Company (536)

Industry Comparison



This business has a Credit Score Percentile that shows:

- Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Higher risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

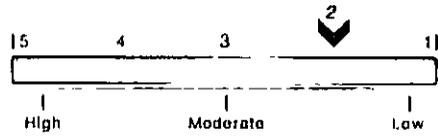
Financial Stress Score

Score Class Nat'l %

1527 ▽

2

77%



Moderate risk of severe financial stress, such as a bankruptcy, over the next 12 months

Understanding My Score

Incidence of Financial Stress:

Among Companies with this Classification: 0.09 (84 per 10000)

Factors Affecting Your Score:

Limited number of comparative financial statements.

Limited time under present management control

- The Financial Stress Class Summary Model predicts the likelihood of a firm ceasing business without paying all creditors in full, or reorganization or obtaining relief from creditors under state/federal law over the next 12 months. Scores were calculated using a statistically valid model derived from D&B's extensive data files.

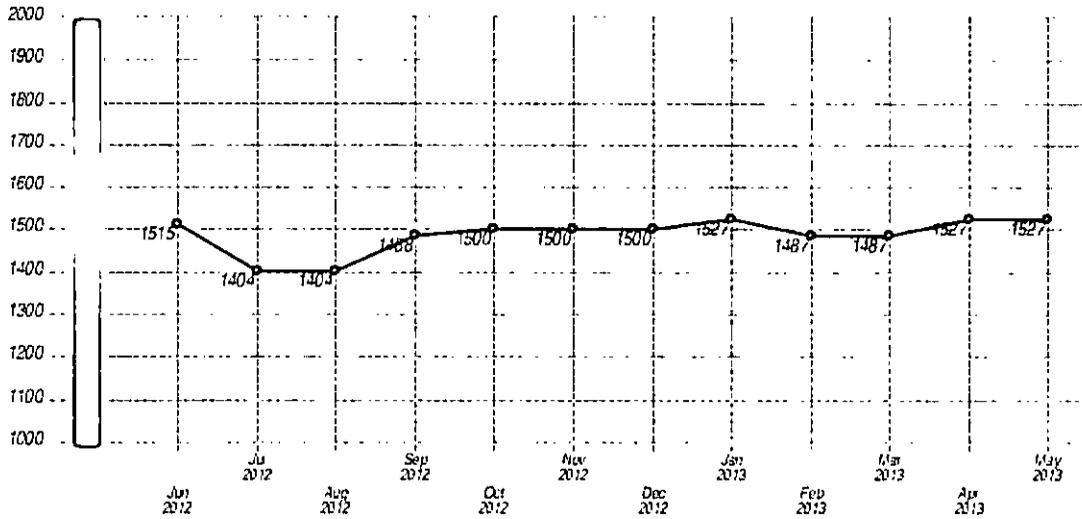
Notes:

- The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
- The Incidence of Financial Stress shows the percentage of firms in a given Class that discontinued operations over the past year with loss to creditors. The Incidence of Financial Stress - National Average represents the national failure rate and is provided for comparative purposes.
- The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&B's file.
- The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.
- All Financial Stress Class, Percentile, Score and Incidence statistics are based on sample data from

Key

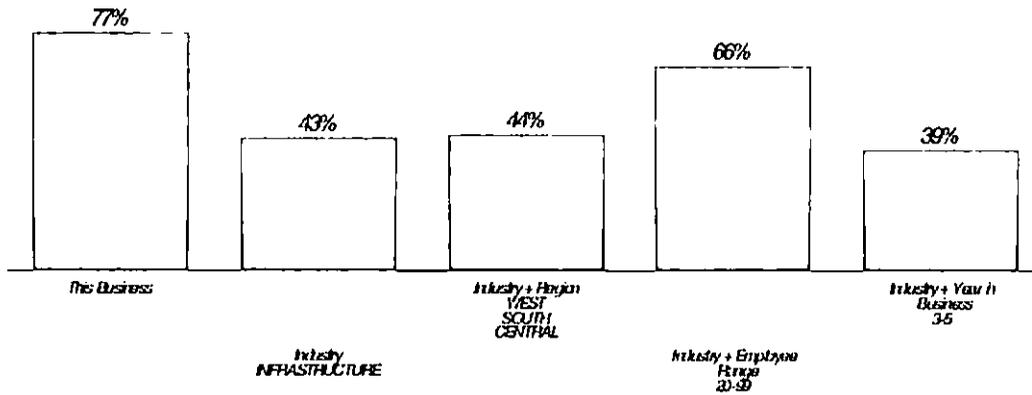
Score	Class	Percentile	Incidence of Financial Stress
1570-1875	1	95-100	6.0%
1510-1569	2	69-94	10.6%
1450-1509	3	34-68	18.4%
1340-1449	4	2-33	31.5%
1001-1339	5	1	70.0%

Trends - Scores, 12 Month



• My Company (1,527)

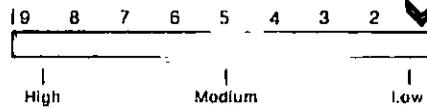
Industry Comparison



Based on payments collected over the last 4 quarters.

- Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Lower risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

Supplier Evaluation Risk Rating



Low risk of supplier experiencing severe financial stress over the next 12 months.

Understanding My Score

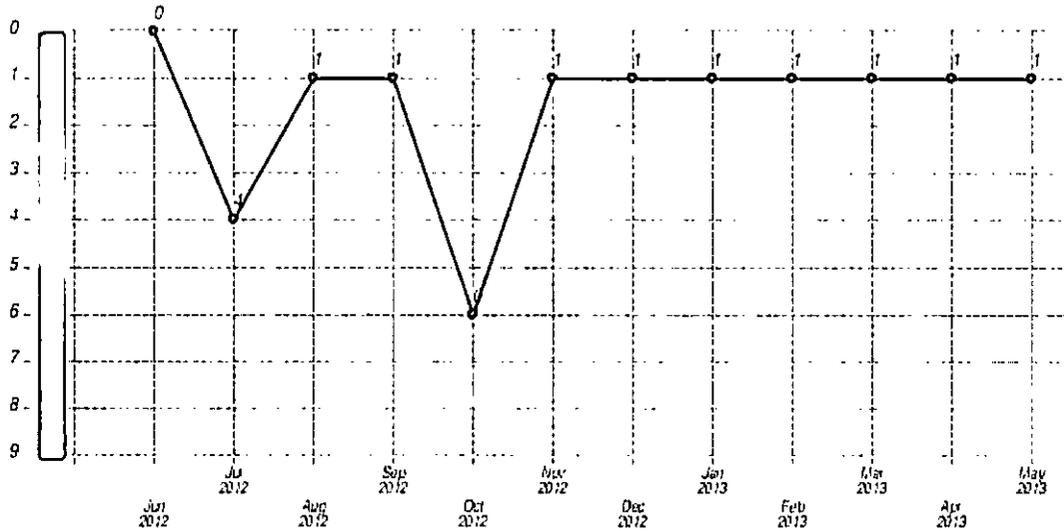
The Supplier Evaluation Risk (SER) Rating predicts the likelihood that a supplier will cease

business operations or become inactive over the next 12 month period based on the depth of predictive data attributes available on the business. The SER Rating scoring system uses statistical probabilities to classify public and private companies into a 1-9 risk rating, where 1 represents low risk and 9 represents high risk.

Factors Affecting This Company's Score:

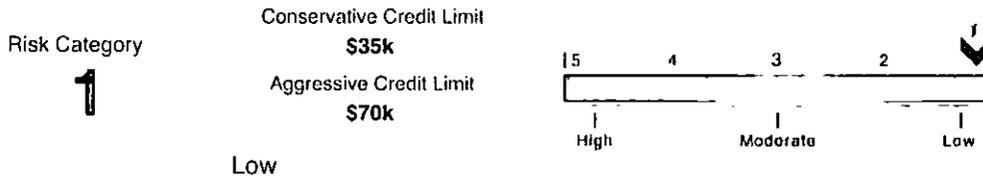
Limited time under present management control

Trends



• My Company (1)

Credit Limit Recommendation



Understanding My Score

D&B's Credit Limit Recommendation is intended to help you more easily manage your credit decisions. It provides two recommended dollar guidelines:

A conservative limit, which suggests a dollar benchmark if your policy is to extend less credit to minimize risk.

An aggressive limit, which suggests a dollar benchmark if your policy is to extend more credit with potentially more risk.

The dollar guideline amounts are based on a historical analysis of credit demand of customers in D&B's U.S. payments database which have a similar profile to your business.

D&B Rating®

Rating
3A1

Financial Strength: **3A** indicates \$1 to \$10,000,000
 Composite Credit Appraisal: **1** is high

D&B Rating	Date Applied
3A1	2012-12-11
3A2	2012-08-27
--	2012-06-21
1R3	2012-06-18

Understanding My Score

Factors Affecting Your Score

of Employees Total: **29**

Sales: **\$14,971,897.00**

As of 12/31/12

Worth: **\$3,535,989**

Working Capital: **\$1,543,112**

Payment Activity (based on 11 experiences):

Average High Credit: **\$4,590**

Highest Credit: **\$30,000**

Total Highest Credit: **\$50,500**

Note: The Worth amount in this section may have been adjusted by D&B to reflect typical deductions, such as certain intangible assets.

Inquiries

12 Month Summary

Over the past 12 months ending 6-2013, 28 individual requests for information on your company were received; this represents a 46.43% increase over the prior 12 month period. The 28 inquiries were made by 14 unique companies indicating that some companies have inquired on your business multiple times and may be monitoring you. Of the total products purchased, 21, or 75.00% came from the Services sector; 2, or 7.14% came from the Finance, Insurance and Real Estate sector; 2, or 7.14% came from the Mining sector.

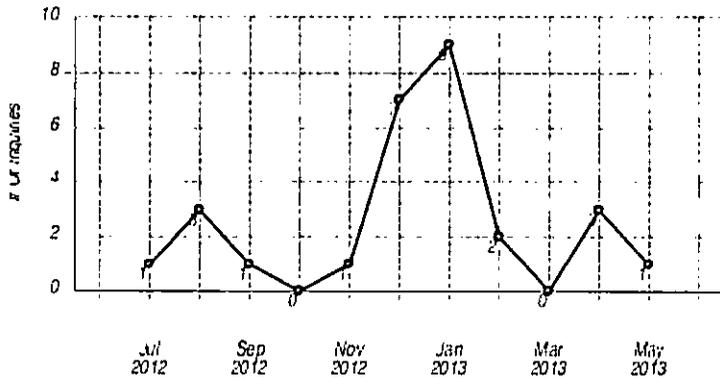
12 Mo. Total: 28

12 Mo. Unique Companies: 14

Date ▾	Report type	SIC / Sector
--------	-------------	--------------

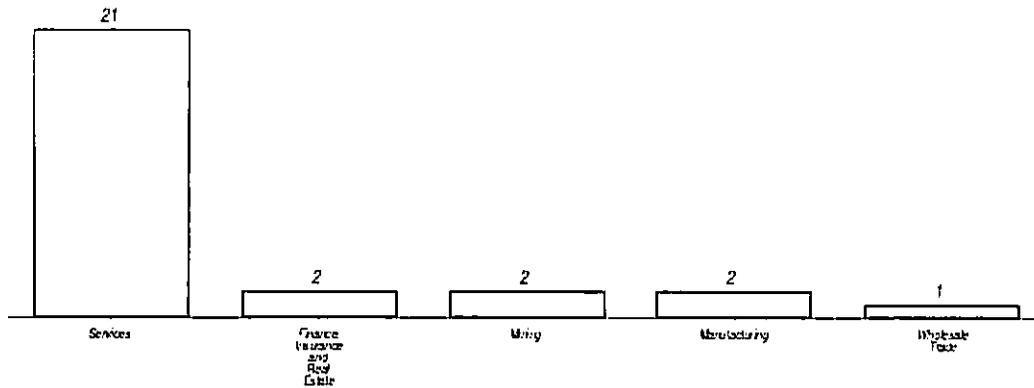
05/15/13	Comprehensive Report	Services
04/29/13	Comprehensive Report	Mining
04/29/13	Comprehensive Report	Mining
04/17/13	Comprehensive Report	Wholesale Trade
02/12/13	Comprehensive Report	Services
02/05/13	Comprehensive Report	Services
01/25/13	Comprehensive Report	Services
01/15/13	Comprehensive Report	Services
01/15/13	Comprehensive Report	Services
01/08/13	Comprehensive Report	Services
01/08/13	Comprehensive Report	Services
12/27/12	Delinquency Predictor Scoring Report	Manufacturing
12/21/12	Delinquency Predictor Scoring Report	Finance, Insurance and Real Estate
12/20/12	Comprehensive Report	Services
12/20/12	Comprehensive Report	Services
12/20/12	Comprehensive Report	Services
12/17/12	Comprehensive Report	Services
12/05/12	Comprehensive Report	Services
11/27/12	Delinquency Predictor Scoring Report	Finance, Insurance and Real Estate
09/13/12	Comprehensive Report	Manufacturing
08/09/12	Comprehensive Report	Services
08/09/12	Comprehensive Report	Services
08/09/12	Comprehensive Report	Services
07/05/12	Comprehensive Report	Services

Trends - 12 Month



Top 5 Inquiries by Report

Type (12 Months)



Top 5 Report Types

Graph(12 Months)



All Inquiries by Industry and SIC / Sector

SIC/Sector	Jul 2012 to Sep 2012	Oct 2012 to Dec 2012	Jan 2013 to Mar 2013	Apr 2013 to Jun 2013	Total Inquiries
Finance, Insurance and Real Estate	0	2	0	0	2
Manufacturing	1	1	0	0	2
Mining	0	0	0	2	2
Services	4	5	11	1	21
Transportation, Communications, Electric, Gas and Sanitary Services	0	0	0	0	0
Wholesale Trade	0	0	0	1	1

Inquiries by Report Type

Report Type	Jul 2012 to Sep 2012	Oct 2012 to Dec 2012	Jan 2013 to Mar 2013	Apr 2013 to Jun 2013	Total
Comprehensive Report	5	5	11	4	25
Delinquency Predictor Scoring Report	0	3	0	0	3

Payments

Currency: Shown in USD unless otherwise indicated

Payments Summary

Current Paydex: 80 Equal to generally within terms
Industry Median: 78 Equal to 3 DAYS BEYOND terms
Payment Trend: ↔ Unchanged, compared to payments three months ago

Total payment Experiences in D&Bs File (HQ): 11
Payments Within Terms (not dollar weighted): 96
Total Placed For Collection: NA
Average Highest Credit: 4,590
Largest High Credit: 30,000
Highest Now Owing: 30,000
Highest Past Due: NA

Payments Summary by Industry

Total (Last 12 Months): 11

	Total	Total Dollar	Largest High Credit	Within	Days Slow			
	Received	Amount	Payment summary	Terms	31	30-60	61-90	90
Top Industries								
Misc business credit	5	\$3,750	\$2,500	100%	0	0	0	0
Telephone communictns	2	\$15,750	\$15,000	100%	0	0	0	0
Management services	1	\$30,000	\$30,000	100%	0	0	0	0
Whol office supplies	1	\$500	\$500	50%	50	0	0	0
Photocopying service	1	\$250	\$250	100%	0	0	0	0
Misc business service	1	\$250	\$250	100%	0	0	0	0
Other Categories								
Cash experiences	0	\$0	\$0	--	--	--	--	--
Unknown	0	\$0	\$0	--	--	--	--	--
Unfavorable comments	0	\$0	\$0	--	--	--	--	--
Placed for collections with D&B:	0	\$0	\$0	--	--	--	--	--
Other	0	N/A	\$0	--	--	--	--	--
Total in D&B's file	11	\$50,500	\$30,000	--	--	--	--	--

Payments Beyond Terms

Total (Last 12 Months): 1

Date▼	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/ (Mo.)
05/2013	Ppt-Slow 30	\$500	\$250	\$0	N30	1 mo

All Payments

Total (Last 12 Months): 11

Date	Paying Record	High Credit	Now Owes	Past Due	Settling Terms	Last sale w/ (Mo.)
05/2013	Ppt-Slow 30	\$500	\$250	\$0	N30	1 mo
04/2013	Ppt	\$15,000	\$10,000	\$0	N30	1 mo
04/2013	Ppt	\$2,500	\$250	\$0	Lease Agreemnt	--
04/2013	Ppt	\$750	\$0	\$0	--	2-3 mos
04/2013	Ppt	\$500	\$50	\$0	Lease Agreemnt	--
04/2013	Ppt	\$250	\$50	\$0	--	1 mo
04/2013	Ppt	\$250	\$50	\$0	Lease Agreemnt	--
04/2013	Ppt	\$250	\$50	\$0	Lease Agreemnt	--
04/2013	Ppt	\$250	\$50	\$0	Lease Agreemnt	--
04/2013	Ppt	\$250	\$250	\$0	--	1 mo
12/2012	Ppt	\$30,000	\$30,000	\$0	N15	1 mo

Indications of slowness can be the result of disputes over merchandise, skipped invoices, etc. Accounts are sometimes placed in collection even though the existence or amount of debt is disputed.

The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this report was printed.

History & Operations

Currency: Shown in USD unless otherwise indicated

Company Overview

Company Name:	FRONTIER UTILITIES, LLC	URL:	www.frontierutilities.com
Doing Business As:	FRONTIER UTILITIES, LLC	Stock Symbol:	NA
Street Address:	5120 Woodway Ste 10015 Houston, TX 77056	History:	NA
Phone:	(832) 426-1380	Operations:	NA
Fax:	NA	Present Management Control:	NA
		Annual Sales:	\$14,971,897

History

The following information was reported: 01/10/2013

Officer(s):

JAY HELLUMS, MBR-CEO

SHIRLEY ROUSE, MBR-SR EXEC V PRES

The Texas Secretary of State's business registrations file showed that Frontier Utilities, LLC was registered as a Limited Liability Company on December 30, 2011.

Business started Aug 2008. Present control succeeded 2012.

JAY HELLUMS. Antecedents are undetermined.

SHIRLEY ROUSE. Antecedents are undetermined.

JAY HELLUMS. Work history unknown.

Business address has changed from 22503 Katy Fwy, Katy, TX, 77450 to 5120 Woodway Ste 10015, Houston, TX, 77056.

Business Registration

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF
JUNE 06 2013.

Registered Name: FRONTIER UTILITIES, LLC
Business Type: DOMESTIC LIMITED LIABILITY CO
Corporation Type: NA
Date Incorporated: NA
State of Incorporation: TEXAS
Filing Date: Dec 29 2011
FilingFedID: NA
Registration ID: 0801527837
Duration: PERPETUAL
Duration Date: NA
Status: IN EXISTENCE
Status Attained Date: NA
Where Filed: SECRETARY OF STATE, AUSTIN, TX
Registered Agent: CAPITOL CORPORATE SERVICES, INC., 800 BRAZOS, SUITE 400,
AUSTIN, TX, 787010000
Agent Appointed: NA
AgentStatus: NA
Principals: DONALD M CHESSMAN, PRESIDENT, 24A HILLSIDE CRESCENT, MT
EDEN, NZL
DONALD M CHESSMAN, DIRECTOR, 24A HILLSIDE CRESCENT, MT
EDEN, NZL
STEPHEN B ESKRIDGE, CHIEF EXECUTIVE OFFICER, 92
KOHIMARAMA ROAD, KOHIMARAMA, NZL
STEPHEN B ESKRIDGE, DIRECTOR, 92 KOHIMARAMA ROAD,
KOHIMARAMA, NZL

Operations

01/10/2013

Description:

Provides electric services, specializing in power distribution (100%).

Terms are Net 15 days. Sells to general public and commercial concerns. Territory : Local.

Employees: 29 which includes partners and 2 part-time.

Facilities: Leases premises in building.

Location: Commercial section.

Branches: NA

Subsidiaries: NA

Subsidiaries: NA

Subsidiaries: NA

Subsidiaries: NA

Subsidiaries: NA

SIC & NAICS

SIC:

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific to a company's operations than if we use the standard 4-digit code. The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

4911 9901 Distribution, electric power

NAICS:

221122 Electric Power Distribution

Public Filings

Currency: Shown in USD unless otherwise indicated

Summary

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	# of Records	Most Recent Filing Date
Bankruptcy Proceedings	-	-
Judgments	0	-
Liens	0	-
Suits	0	-
UCCs	0	-

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

Judgments

We currently don't have enough data to display this section.

Liens

We currently don't have enough data to display this section.

Suits

We currently don't have enough data to display this section.

Government Activity

We currently don't have enough data to display this section.

Financial Statements

We currently don't have enough data to display this section.

Fiscal Consolidated Statement Dated 12/12

Assets(\$)		Liabilities(\$)	
Cash	1792727	Accts Pay	267549
Accts Rec	1670884	Customer Deposits	281475
Prepaid	16670	Accruals	1388145
Current Assets	3,480,281.00	Current Liabs	1,937,169.00
Fixt & Equip	253518	MEMBERS CAPITAL	3916862
Goodwill	431062		
Deposits	371764		
Intngble Assets Subject- Amort-Net	1317406		
Total Assets	5,854,031.00	Total Liabilities	5,854,031.00

From FEB 21, 2012 to DEC 31, 2012 sales \$14,305,906; cost of goods sold \$10,456,516. Gross profit \$3,849,390; operating expenses \$6,221,222. Operating income \$(2,371,832); other expenses \$1,372; net income before taxes \$(2,373,204); Federal income tax \$26,717; (net loss) \$2,399,921.

Prepared from statement(s) by Accountant: UHY LLP, Houston, Texas.

& quot;A review of the accountant's opinion as submitted by the subject company indicates the financial statement meets generally accepted accounting principles and the audit contains no qualifications. & quot;

Explanations

Explanations: The net worth of this company includes intangibles.

Banking

We currently don't have enough data to display this section.

Special Events

We currently don't have enough data to display this section.

Corporate Linkage

Parent

We currently don't have enough data to display this section.

Headquarters (US)

We currently don't have enough data to display this section.

US Linkages

We currently don't have enough data to display this section.

International Linkages

We currently don't have enough data to display this section.

Exhibit 7(c)

Name, title, address, telephone number and fax number of Applicant's custodian for its accounting records:

Ramji Raman, CFO
5120 Woodway Drive
Suite 10015
Houston, TX 77056
T: 832-397-6933
F: 877-391-1584
E: ramji.raman@frontierutilities.com

Appendix F, Tax Certification Statement attached hereto

Exhibit 8 - Technical Fitness

To demonstrate Technical Fitness, Applicant hereby provides the following:

- Narratives for key operating personnel and professional resumes
- Operating, Business Overview and Staffing levels

Jay D. Hellums, CEO

During 2011, Mr. Hellums arranged, financed and completed the acquisition of Frontier Utilities LLC, a five year old Houston-based retail energy supplier. He now leads, as President and CEO, a new management team that is building an emerging top tier retail energy company providing electricity to residential and commercial customers in Texas, and soon, in Northeast competitive energy markets. Frontier Utilities is focused on providing its customers with unparalleled value through world-class customer service, the use of innovative technology and best-in-class wholesale energy supply management. Mr. Hellums partnered with Quintana Infrastructure and Development for the investment and is on Frontier's Board along with Quintana's representatives.

Before Frontier, Mr. Hellums was Senior Vice President of Wholesale Energy Management for Direct Energy, one of the largest competitive retail energy suppliers in North America. During his seven years (2003-2010) at Direct Energy, he led a team of about 200 employees and established wholesale energy operations to support gas and power sales in all major competitive retail energy markets (ERCOT, PJM, NYISO, ISO-NE, CAISO, Ontario, Alberta).

Prior to Direct Energy, Mr. Hellums was with El Paso Merchant Energy Europe from 2000 to 2003 and was responsible for building, from the early planning stage, a successful 200 person pan-European asset-based oil, gas and power marketing business. Before moving to El Paso, Hellums was with Enron in Houston (1993-1995) and London (1996 to 2000). With Enron, he was responsible for various trading, marketing, business development and corporate finance/investing activities and served on the Board of Directors of a listed company that he financed, Paladin Resources plc. He previously held positions with First City Bancorporation and Bankers Trust. Mr. Hellums has an MBA from Rice University and a BBA in Finance from the University of Houston.

Ramji Raman, CFO

Ramji Raman as the Chief Financial Officer for Frontier Utilities Northeast, LLC brings on board 25+ years of Financial and Accounting Management experience in Houston working in varied industries as their Financial Controller and CFO. Some of his professional accomplishments include building accounting and administrative departments from start, establishing sound policies and procedures, negotiating profitable customer contracts enabling companies to forecast revenues more objectively, developing cost models for establishing appropriate pricing of products with good margins and recommending financial solutions for businesses for wealth protection and growth.

Raman has provided a variety of accounting and financial expertise to various companies during his successful tenure including the enabling of an acquisition of privately held US Company by a publically traded Canadian Firm. He has been instrumental in building

accounting infrastructure for Frontier from the initial stages. Most recently, Raman was Vice President of Finance & CFO for a Telecommunications Wholesale aggregator. Also his experience includes construction industry, Law Firm and a fortune 500 manufacturing industry (Dr Pepper Bottling Company). Apart from his accounting and personal financial management education from the University of Houston, Ramji has a law degree from India and was a trial lawyer in the field of shipping and marine insurance working with King & Partridge a highly reputable law Firm in India.

Dr. Suresh Guddanti, Ph.D

Dr. Guddanti joined Frontier Utilities in 2012 as Chief Information Officer, aimed at transforming the business thru innovation in technology across the board. His role expanded to VP of Operations, leading the call center, billing and transactions groups. Before Frontier, Dr. Guddanti was IT Director with Liberty Power for about a year, leading their major IT initiatives including mobile application development for door to door sales channels.

Prior to Liberty, Dr. Guddanti was with Direct Energy, one of the largest competitive retailers in North America for about nine years (2002-2011). During this tenure he led the development of key systems in forecasting, scheduling, settlements, finance and sales. Before Direct Energy, Dr. Guddanti was with New Power, an early startup in the retail electric space developing their forecasting systems for power and gas. He joined New Power after a one year consulting project with Enron developing their in-house risk system for gas.

Prior to Enron, Dr. Guddanti obtained his PhD in Neural Networks and Artificial Intelligence and a Master's Degree in Engineering specialized in Robotics from Louisiana State University where he continued as faculty at the School of Forestry for seven years developing instrumentation and log scanning systems for the lumber industry.

OPERATIONS OVERVIEW

Since its formation, Frontier Utilities Northeast (“FUN”), and its affiliate, Frontier Utilities, has focused on the Texas energy market. Showing exponential growth in Texas with over 20,500 residential customers (12,000 KWh per annum) and 250 commercial customers (75,000-100,000 per annum), FUN has planned expanded its operations into the Mid-Atlantic, Northeast, and New England markets.

Applicant is a licensed REP in Texas, a ESCO in New York, as well as members in ERCOT, NYISO and PJM.

Frontier Utilities Northeast currently employs 40 full time employees in the State of Texas and has implemented a training program that focuses on maintaining the highest standards of customer service coupled with a focus on federal and state rules, regulations and orders concerning customer outreach promulgated by each respective jurisdiction.

Applicant has received approval from the New York Public Service Commission, is a NYISO member, and is planning to being operations in that jurisdiction in the fourth quarter of this fiscal year.

Applicant has also received PJM approval and plans to expand its operations throughout the PJM service territory. Beginning in the Commonwealth of Pennsylvania, the Company plans to submit applications in New Jersey, Maryland, and Ohio.

FUN plans to serve all customer classes throughout the entire Commonwealth of Pennsylvania.

FERC MBR Tariff, Docket No. ER13-2147-000 enclosed.

Preston Ochsner

Retail Electricity Sales

prestonochsner@gmail.com

Specialties

Contract negotiations, deal structuring, recruiting, natural gas, business, gas, energy, consulting, aggregator, broker, consultant, ERCOT, Texas, power, CPA, MBA, Tulane, OBU, Russia, renewable energy, wind energy, biomass power plants, green energy, RECs

Experience

Vice President Sales at Frontier Utilities

September 2013 - Present (1 month)

Director, Indirect Sales at ENCOA - Energy Company of America

December 2011 - August 2013 (1 year 9 months)

Increased gross margin from commercial and industrials sales to over \$11 million a year.

ENCOA is the retail division of Twin Eagle Resource Management, LLC, providing electricity, natural gas, and energy solutions to end-use customers within deregulated markets. ENCOA is currently operating in Texas, Illinois, Pennsylvania, and Ohio.

Twin Eagle is a leading wholesale energy marketer and energy service provider concentrating on the physical natural gas, electricity, coal and crude markets operating across North America. Twin Eagle's organization is headquartered in Houston, Texas and was founded by industry veterans Chuck Watson and Griff Jones.

Vice President of Sales at Constellation Energy (StarTex Power)

February 2009 - September 2011 (2 years 8 months)

- Led sales for the fastest growing, privately held energy company in the US (Inc. 500 August 13, 2009)
- Revenue increased by more than \$284 million from 2008 to 2010

12 recommendations available upon request

National Sales Director at Spark Energy

May 2004 - February 2009 (4 years 10 months)

National Sales Director 2006 to 2009

- Increased retail origination gross margin from less than \$500k/year to over \$8 million/year
- Increased the number of active channel partners from 5 to 31
- Responsible for originating retail natural gas and electricity deals in 15 states, including Texas, New York, Massachusetts and Connecticut
- Developed, negotiated and closed the company's first 100% renewable energy deal

Wholesale Supply Director

2004 to 2006

- Priced and managed over \$100 million of load for commercial and industrial customers in ERCOT
- Provided retail electricity consulting services to wholesale providers and large end users.
- Worked with Navigant Consulting to develop an ERCOT renewable energy RFP, resulting in 20 different proposals from 15 different suppliers. Proposals included wind, solar and biomass.

11 recommendations available upon request

Regional Director at LOWERPOWERBILL

November 2003 - February 2004 (4 months)

Developed a business and marketing plan to expand LowerPowerBill into the Houston region with a goal of over \$800,000 in annual revenue. Developed a database of over 4,000 commercial and industrial customers in the region. Contacts include Valero and Colonial Pipeline among others.

Director of Pricing at CONSTELLATION NEWENERGY

January 2002 - October 2003 (1 year 10 months)

Generated new business by working directly with sales staff and large commercial and industrial customers in ERCOT to help close retail deals. Met with customers and potential customers to educate them on the competitive market, to determine their needs, structured and designed products (heat rate, MCPE, calls, floors, costless collars, block) to meet those needs and then presented those products to the customers. Customers included Wal-Mart, The Texas Medical Center, HEB and Cargill.

Managed a team of 5 professionals responsible for the pricing and settlements of ERCOT retail electricity deals. Reduced average pricing turnaround time from 24 hours to 26 minutes per deal.

1 recommendation available upon request

Senior Specialist at Enron

July 2000 - December 2001 (1 year 6 months)

Priced and managed over 500 MW (over \$130 million) of load for commercial and industrial customers in ERCOT.

1 recommendation available upon request

Senior II at ERNST & YOUNG

February 1999 - July 2000 (1 year 6 months)

Audited energy companies, including BP, Tesoro Petroleum and Citation Oil and Gas.

Accountant at KOCH INDUSTRIES

1998 - 1999 (1 year)

Accounted for the international crude trading business.

Consultant at GLOBAL OPTIONS - RUSSIA

August 1996 - April 1998 (1 year 9 months)

Taught the first Becker CPA Review course in Russia and was rated the top instructor by the students.

Auditor at FINLEY & COOK

January 1994 - August 1996 (2 years 8 months)

Audited small to medium businesses in Oklahoma

Skills & Expertise

Sales Management

Accounting

Employee Hiring

Energy

Natural Gas

Contract Negotiations

Deal Structuring

Renewable Energy

Gas

Contract Negotiation

Negotiation

Risk Management

New Business Development

Energy Management

Leadership

Commodity Markets

Recruiting

Solar Energy

Start-ups

Business Development

Project Planning

Petroleum

Business Strategy

Sales

Management Consulting

Business Planning

Strategic Planning

Strategy

Market Analysis

Analysis

Pricing

Operations Management

CRM

Process Improvement

Project Management

Competitive Analysis

Executive Management

Forecasting

Mergers & Acquisitions

Demand Response

Energy Industry

Trading

Energy Markets
Consulting
Wind
Electricity Markets
Sustainable Energy
International Business
Due Diligence
Contract Management

Education

Tulane University

MBA, Finance, 2003 - 2005

Oklahoma Baptist University

BBA, Accounting, 1992 - 1996

Activities and Societies: Zeta Pi Lambda

Interests

ERCOT, Power, Electricity, China, Consulting, Ernst & Young, Texas, Oklahoma, Sales, Risk Management, Trading, Energy Management, Demand Side Management, Predominant Use Tax Consulting, Biodiesel, Wind Generation, Alternative Fuels, Asset Management, Small Businesses, Renewable Energy, Farming, Teaching, Skiing, 2nd Generation Bioethanol

Ramji Raman, 7406 Parkcross Place, Cypress, TX 77433-1538

Cell: 713-504-3830, Home: 281-550-2240. *ramji.raman@entouch.net*

Professional Overview

Seasoned accounting/Finance professional with 25+ years of extensive hands-on experience in managing small and medium sized companies with a priority focus on cash flow management, policies and procedures and profitability. General industry experience includes manufacturing, distribution, construction, real estate, Retail Electricity provider and professional services.

Experienced in computer conversions, contract negotiations with vendors and customers, internal and external reporting, external audits, acquisition audits, banking relationship, month end closing, budgeting/forecasting, cost accounting and financial planning. Managed and trained employees including professional accountants.

Professional Accomplishments

- Built accounting and administrative departments from start and established sound policies and procedures.
- Initiated and completed computer to computer conversions as well as manual to computer conversions.
- Implemented cost controls in various departments and increased the use of existing resources.
- Developed sound rolling cash flow reports to manage cash and other resources effectively.
- Restructured loan packages with the bank and established solid line of credit for companies.
- Accomplished reduction in write downs and write offs by introducing new billing procedures.
- Negotiated vendor contracts with sound pricing with appropriate payment terms producing continues cost savings and improved cash flow.
- Finalized profitable customer contracts enabling companies to forecast revenues more objectively.
- Participated and completed an acquisition audit of a US private firm by a publically traded Canadian Firm.
- Provided audit schedules and reconciliations to expedite statutory audits and saved time and money.
- Involved in major Sales Tax audit with complex issues and saved substantial amount to the company.
- Involved in developing several cost models for establishing appropriate pricing of products and increased margins.
- Restructured loan packages with Banks and established solid revolving lines of credit.
- Established buy/sell agreements to protect the shareholders and increased the net worth.
- Managed human resources and benefits administration effectively in different types of Organizations resulting in cost savings.

- Recommended financial solutions for individuals and small businesses for wealth protection and growth.

Professional Experience

Frontier Utilities, Inc., CFO, Jan 2009 to present (4 Years and 9 months)

i3 Voice & Data, Inc. - Co-Founder & CFO Sep 2005- December 2008 (3 + Years)

Westex Communications- Corporate Controller Feb 2004-August 2005

GE Financial Advisors- Financial Advisor Jan 2003-Feb 2004

Sinercel, Inc. – (Mexican Corporation) Corporate Controller May 2001-Jan 2003

Wickliff & Hall, PC. (Prestigious Law Firm) Controller/Administrator March 1998-April 2001(3 Years)

CRS Services, Inc. – (Construction Company) CFO/Controller (8 Years) 1990-1998

Intamix Corporation- (PVC Compound manufacturers) Controller (3 Years) 1987-1990

Dr Pepper Bottling Company- (Fortune 500 Corporation) Manager of Accounting (8+ years) 1977-1986

Crompton Greaves Ltd (Manufacturing & Engineering in India) In-house Counsel (4+ years) 1972-1977

King & Partridge a Reputable Law Firm in India (4 Years) Attorney at Law 1968-1972

Professional Credentials

Professional qualifications include a BBA and B.L. (Law degree JD) University of Madras, India

Qualified by Texas Board of Public Accountancy to sit for the Uniform CPA Exam

Accounting and Finance graduate school courses (36+ hours) University of Houston, Houston, TX

In 2001 completed Personal Financial Planning Certificate (18 hours) through University of Houston Graduate School of Business.

JAY DAVID HELLUMS

2149 Chilton Road
Houston, TX 77019

jay.hellums@att.net

Mobile: 713-540-2530
Home: 713-529-2557

SUMMARY

Proven business builder with track record of formulating and executing ambitious growth strategies. Respected expert with broad sector experience including natural gas, oil, power, carbon and renewable energy – extensive industry knowledge. Entrepreneurial leader possessing unique combination of acquisition/transactional, operations and commodity markets experience. Recognized for building strong businesses in North America and Europe based on well-informed strategies, attracting and motivating excellent people, and sustained focus on high quality operations.

PROFESSIONAL EXPERIENCE

President and CEO

Frontier Utilities

February 2012 – Present (1 year 8 months) Houston, Texas Area

Arranged, financed and completed the acquisition of Frontier Utilities LLC, a well-established, rapidly growing, Houston-based retail energy supplier. Leading a new hand-picked management team that is building an emerging top tier retail energy company providing electricity to residential and commercial customers in Texas, and soon, in Northeast competitive energy markets. Frontier Utilities is focused on providing its customers with unparalleled value and service through personalized customer service, the use of innovative and cost efficient technology and through best-in-class wholesale energy supply management. Partnered with Quintana Infrastructure and Development for the investment.

Partner and founder

Braemar Capital Partners

May 2010 – Present (3 years 5 months)

Energy investment company providing extensive management experience and deep industry expertise to client companies.

CENTRICA PLC/DIRECT ENERGY, HOUSTON, TX

2003 – 2010

\$30 billion market cap, FTSE 100, UK-based integrated energy company.

Senior Vice President, Wholesale Energy Management Division

Recruited to build and lead wholesale gas and power operations for new North American subsidiary, Direct Energy. Established new upstream and midstream profit centers while maintaining best-in-class support of rapidly expanding retail gas and power business units. Successfully grew from cost center into business generating \$80mm in new operating profit with 200 employees.

- Success with U.S. businesses resulted in promotion after three years to manage all North American wholesale gas and power, adding firm's Canadian energy management operations.
- Conceived and executed innovative strategies to move into power generation, wind power, gas storage and transportation, energy trading/marketing, renewable energy, carbon and REC trading.
- Cost-effectively procured over \$5 billion annually of natural gas and electricity for delivery to retail customers in 15 U.S. states and 5 Canadian provinces.
- Controlled key business risks – M&A deal valuation assumptions, VaR, volumetric, counterparty/exchange limits – through Financial Risk Management Committee.
- Crafted commercial strategies and coordinated new activities (power, gas, carbon markets, global LNG) through UK NA strategy forums with CEO and Centrica Managing Director.

- Led transformational projects with McKinsey, Wood Mac, Bain, CERA, Sirius, etc.

Major functional responsibilities:

Retail Energy Procurement

Expanded retail energy operations through 12 new market entries, introduction of new products, acquisition and integration of Strategic Energy (\$300mm) and other large retail deals. Built one of North America's largest most successful retail energy suppliers with five million customers.

- Supported retail business units with keen pricing (hourly, seasonal, locational, optionality), cost-effective energy procurement, smart hedging strategies, and precise scheduling operations.
- Established new energy operations in ERCOT, MISO, ISO-NE, ISO-NY, PJM, CAISO, Alberta, and Ontario power markets and six regional natural gas markets.

Power Generation

Launched strategy to vertically integrate through acquisition and integration of power generation plants.

- Acquired at highly attractive prices and seamlessly integrated three utility scale (1,300MW) mid merit CCGT natural gas-fired power plants.
- Delivered top tier financial and operating results compared to Centrica's UK plant fleet by optimizing commercial operations, fuel supply and real-time dispatch.
- Led commercial work stream – valuation, extensive site visits, commercial due diligence, and integration planning – for \$1.7 billion M&A project for Conectiv (4,500MW fleet generator).

Wind Power/Renewable Energy

Created entrepreneurial renewable energy team that originated wind/solar deals, traded carbon and RECS.

- Executed power purchase contracts with major developers for new utility-scale wind farms.
- Led M&A project to acquire Airtricity's North American wind business (sold to E.ON).

Midstream Gas and Trading

Produced and optimized diverse portfolio of natural gas transportation and storage used for deliveries to retail customers, managing swing supply to power stations, and for trading/marketing.

- Storage profits made from 19 Bcf of low and high cycle in Canada, Gulf Coast and Mid Con.
- Developed focused regional expertise giving competitive advantage to gas trading/marketing.

Origination/Wholesale Transactions

Assembled focused team that closed on-strategy transactions including gas storage and pipeline capacity, wholesale supply deals, tolls on power plants, LNG terminal agreements, asset developments.

- Led M&A projects to acquire trading/marketing businesses and gas storage companies.

Finance, Accounting, Information Systems, Regulatory

Provided leadership to key support functions embedded in business unit.

- Approved Division financial statements and approved IAS 39/IFRS hedge accounting.
- Designed sophisticated management dashboards to measure and improve performance.
- Key contributor on committee overseeing information systems priorities and projects.
- Oversaw wholesale energy regulatory lobbying/advocacy priorities and strategies.

EL PASO MERCHANT ENERGY EUROPE LIMITED, LONDON, ENGLAND 2000 – 2003 ***Managing Director, Head of European Operations***

Established merchant energy business in Europe and built operations from scratch achieving ambitious initial plan targets. Generated EBIT of \$20mm and employed 130 at peak in 2002.

- Researched and wrote detailed business plan - discussed with and approved by CEO.

- Personally recruited key management employees; formed strong team with positive culture.
- Secured gas, power, petroleum and LNG asset/contract positions in key markets.
- Tactfully handled merger with Coastal Corporation and integrated European businesses.
- Led European business restructuring including CEO-approved effort to market 'spin off.'

Trading

Established trading desks for Nordic, German, Dutch, French, Spanish and UK power and UK gas.

- Recruited front, middle, and back office personnel for all trading functions.
- Integrated Coastal's oil and refined products trading/marketing.
- Developed scheduling systems and modified and implemented El Paso's U.S. risk system.

Origination/Power Assets

Assembled strong commercial team that generated deal flow to grow business.

- Closed high value deals including pipeline and transmission capacity and natural gas storage.
- Sourced power generation opportunities including tolls, contract restructurings and acquisitions.
- Oversaw investments in power generation plants – Kladno, Czech Republic; EMA Power, Hungary; Enfield Energy Center, England, and Fife Power, Scotland.

ENRON EUROPE LIMITED, LONDON, ENGLAND

1996 – 2000

Head of Corporate Finance Origination

1997 – 2000

Hand picked ten person team executing principal investments/acquisitions/financings in European energy with primary focus on upstream E&P and power generation.

- Rapidly built successful team, market presence and reputation.
- Invested equity in and served on Board of Directors of Paladin Resources plc. Start-up grown into one of UK's largest, most successful independent E&P companies (worth GBP 1.2 billion).
- Led team which bid on PVO Nordic generation company, Powergen North Sea E&P, Kings Lynn and Peterborough CCGT plants.

Director, Enron Europe Finance and Trading Limited

Head Trader UK Power and Gas

1996 – 1997

Selected member of new team to export business model to Europe and build up UK power/gas trading including setting trading limits, devising trading strategies and managing traders.

- Created risk systems to manage growing gas and power exposures, including North Sea J-block.
- Supplied gas to and managed power from Teesside Power, UK's largest CCGT, (1,870MW).
- Valued and managed tolling contracts to build 790 MW Sutton Bridge power station.

ENRON CAPITAL AND TRADE RESOURCES INC., HOUSTON, TX

1993 – 1996

Manager, Risk Management and Trading

1994 – 1996

Recruited by Managing Director to join highly competitive trading team to create and manage innovative new trading desk (Gas Daily Swaps and Options).

Manager, Enron Finance Corporation

1993 – 1994

Performed complex valuation work on over \$200 million of financings, mostly volumetric production payments and mezzanine deals for independent oil/gas producers. Oversaw hedging on completed deals.

FIRST CITY BANCORPORATION OF TEXAS, HOUSTON, TX

1991 – 1993

Leading regional commercial bank acquired by JP Morgan Chase.

Assistant Vice President, Loan Officer, International Division

Managed portfolio of predominantly energy-related loans – reserves-based loans, non-recourse project finance for gas processing – to international companies with operations in U.S.

FIRST NATL BANK OF SOUTHERN AFRICA, JOHANNESBURG, SOUTH AFRICA 1988

Formerly, Barclays Bank of Southern Africa, one of the ‘big four’ banks in South Africa.
Selected through rigorous process to work as ex pat summer intern in commercial banking group.

BANKERS TRUST COMPANY, NEW YORK, NY 1984 – 1987

Assistant Treasurer

Completed intensive management training program and nominated for executive development program.
Promoted to officer and managed complex back office operations supervising 25 employees.

EDUCATION

MBA, Jesse H. Jones Graduate School of Business, Rice University, Houston, TX
BBA, Finance, University of Houston, Houston, TX
Chartered Financial Analyst Candidate (completed levels 1 and 2)

AFFILIATIONS AND DEAL LIST AVAILABLE

Dayne Zimmerman, Vice President - Frontier Utilities Northeast, LLC

POSITIONS HELD

Frontier Utilities, LLC, Houston, TX

Vice-President, Energy Management 2/2012–present

Commodity Data Analytics, Houston, TX

Founder, Owner 12/2010–present

Direct Energy, Houston, TX 3/2003–11/2010

Head of ERCOT Trading

Director, Asset Optimization

RWE Trading Americas Inc., Houston, TX

Structuring Analyst 1/2002–9/2003

Enron Wholesale Services, Houston, TX

Manager of Research 2000–2001

First Union Securities, Inc., Charlotte, NC

Vice President Associate, Quantitative Research 1999–2000

Shearson Lehman Bothers, Jackson, MS Financial Consultant 1991–1992

EDUCATION & CERTIFICATION

Mississippi State University, Starkville, MS and PhD, Finance, Coursework and Qualifying Exams complete

Masters of Science, Finance, 1998

Minor in Mathematics

Millsaps College, Jackson, MS

Bachelor of Science, Economics with Honors, 1991

Minors in Mathematics and Computer Studies

CFA charterholder

PUBLICATIONS & RESEARCH

Spark Spreads: An Endogenous Model of Power-Gas Correlation, Presented at the Eastern Finance Association, 2012.

Divestment Decisions, 2003, Blackwell Dictionary of Finance, 2nd Ed., with Peter Dadalt, Ginette McManus, and James Owers.

Do Borrowers with Different Size Mortgages Exhibit Similar Prepayment Behavior? An Analysis of Fannie Mae Mortgage Pools, 2001, Review of the Academy of Finance, with Helen Currie and Ed Duett. Received overall Distinguished Paper Award.

FEDERAL ENERGY REGULATORY COMMISSION
Washington, D.C. 20426

OFFICE OF ENERGY MARKET REGULATION

In Reply Refer To:
Frontier Utilities Northeast LLC
Docket No. ER13-2147-000

September 25, 2013

Mr. Sean P. Morrissey
Attorney for Frontier Utilities Northeast LLC
Energy Choice Consulting
1049 Shore Road
Suite A
Linwood, New Jersey 08221

Reference: Notice of Succession

Dear Mr. Morrissey:

On August 13, 2013, you filed on behalf of Frontier Utilities Northeast LLC (Frontier Utilities) a revised market-based rate tariff to reflect their name change from Frontier Utilities New York, LLC.

Your filing was noticed on August 13, 2013, with comments, protests or interventions due on or before September 3, 2013. None was filed.

Pursuant to the authority delegated to the Director, Division of Electric Power Regulation - West, under 18 C.F.R. § 375.307, your submittal filed in the referenced docket is accepted for filing, effective August 14, 2013, as requested.

This action does not constitute approval of any service, rate, charge, classification, or any rule, regulation, or practice affecting such rate or service provided for in the filed documents; nor shall such action be deemed as recognition of any claimed contractual right or obligation affecting or relating to such service or rate; and such acceptance is without prejudice to any findings or orders which have been or may hereafter be made by the Commission in any proceeding now pending or hereafter instituted by or against any of the applicant(s).

Docket No. ER13-2147-000

- 2 -

This order constitutes final agency action. Requests for rehearing by the Commission may be filed within 30 days of the date of issuance of this order, pursuant to 18 C.F.R. § 385.713.

Questions regarding the above order should be directed to:

Federal Energy Regulatory Commission
Attn: Melissa Lozano
Phone: (202) 502-6267
Office of Energy Market Regulation
888 First Street, N.E.
Washington, D.C. 20426

Sincerely,

Steve P. Rodgers, Director
Division of Electric Power
Regulation - West

Document Content(s)

ER13-2147-000.DOC.....1-2

Barry T. Smitherman
Chairman

Donna L. Nelson
Commissioner

Kenneth W. Anderson, Jr.
Commissioner

W. Lane Lanford
Executive Director



Public Utility Commission of Texas

RECEIVED
2008 OCT -8 PM 3:00
PUBLIC UTILITY COMMISSION
PUBLIC FILING CLERK

TO: Scott Evans
Frontier Utilities, Inc.
22503 Katy Frwy.
Katy, TX 77450

Oversight and Enforcement Division
Legal Division

RE: **Docket No. 36106 – Application of Frontier Utilities, Inc. for Retail Electric Provider (REP) Certification**

NOTICE OF APPROVAL

This Notice grants Frontier Utilities, Inc. (the Applicant) certification as a Retail Electric Provider (REP) in the geographic area of the entire State of Texas. The docket was processed in accordance with applicable statutes and Commission rules. The Commission provided notice of the application to interested parties. More than 15 days have passed since the completion of notice. No protests, motions to intervene, or requests for hearing were filed. The Applicant and the Commission Staff are the only parties to the proceeding. Commission Staff recommends approval of the application. The application is approved.

Statutory Findings

1. The application complies with PURA¹ § 39.352.
2. The Applicant has met the business name, office, and threshold residential service level requirements specified in subsection (e) of P.U.C. SUBST. R. 25.107.

¹ The Public Utility Regulatory Act, TEX. UTIL. CODE ANN. §§ 11.001 – 66.016 (Vernon 2007 & Supp. 2008) (PURA).

3. The Applicant is entitled to approval of this application, having demonstrated that it possesses the financial and technical resources to provide continuous and reliable electric service to its customers in the area for which certification is sought, and the managerial and technical ability to supply electricity at retail in accordance with customer contracts.
4. The Applicant has demonstrated that configuration of the proposed geographic area, if any, does not discriminate in the provision of electric service to any customer because of race, creed, color, national origin, or any other basis prohibited by law or P.U.C. SUBST. R. 25.107(h)(1).
5. The Applicant has demonstrated that it possesses the resources needed to meet the customer protection requirements, disclosure requirements, and marketing guidelines as specified in P.U.C. SUBST. R. 25.107(h).
6. The Applicant has demonstrated that it has ownership or lease of an office located within this state for the purpose of providing customer service, accepting service of process, and making available in that office books and records sufficient to establish the retail electric provider's compliance with the requirements of § 39.352(b)(4) of PURA.
7. The name(s) under which the Applicant has requested that the REP certificate be issued are not deceptive, misleading, vague, or otherwise contrary to P.U.C. SUBST. R. 25.272, or duplicative of a name previously approved for use by an existing REP certificate holder.
8. The Applicant has agreed to comply with P.U.C. SUBST. R. 25.107(f)(3), and P.U.C. SUBST. R. 25.108 relating to the billing and collection of transition charges.
9. The Applicant is financially qualified to be certified as a REP in Texas.

10. The Applicant has sworn and affirmed that it will register with or be certified by the ERCOT ISO or another independent organization, and will comply with the technical and managerial requirements of P.U.C. SUBST. R. 25.107 or that all entities with whom the Applicant has a contractual relationship to purchase power are registered with or certified by the independent organization and comply with all system rules and standards established by the independent organization, providing such proof of registration or certification after REP certification but at least 21 days before providing electric retail service to customers in Texas.
11. Commission Staff stated that the Applicant has met the requirements in P.U.C. SUBST. R. 25.107 to be certified as a REP in Texas, recommending that the application be approved.

Ordering Paragraphs

1. The application of Frontier Utilities, Inc. for retail electric provider (REP) certification is approved.² Frontier Utilities, Inc. is granted REP Certificate No. 10169 for the geographic area of the entire State of Texas.
2. The Applicant shall continuously maintain an office located within Texas for the purpose of providing customer service, accepting service of process, and making available in that office books and records sufficient to establish the REPs compliance with the requirements of PURA, and applicable Commission rules.
3. The Applicant shall notify the Commission within 30 days of any change in its office address, business address, telephone number(s) or contact information.

² Administrative approval of this uncontested application has no precedential value in a future proceeding.

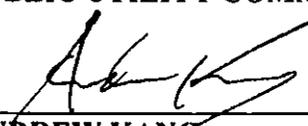
4. The Applicant shall provide additional information concerning its ERCOT certification, to be filed in Project No. 35234, at least 21-days prior to providing retail electric service. Within 90 days of surpassing the \$250,000 billings threshold, the Applicant must file an affidavit and documentation in Project No. 27900 demonstrating compliance with P.U.C. SUBST. R. 25.107(f)(1)(A)(iii).
5. This certificate is not transferable without prior approval by the Commission and shall continue in force until further order of the Commission, pursuant to P.U.C. SUBST. R. 25.107.
6. This certificate shall not be construed to vest exclusive service or property rights in and to the area for which the certificate is granted pursuant to P.U.C. SUBST. R. 25.107.
7. The Applicant shall comply with all renewable energy portfolio standards in accordance with P.U.C. SUBST. R. 25.173.
8. The Applicant shall comply with any customer protection requirements, disclosure requirements, marketing guidelines and anti-discrimination rules adopted by the Commission pursuant to §§ 17.001 - 17.004 and Chapter 39 of PURA.
9. Pursuant to P.U.C. SUBST. R. 25.107(f)(2), the Applicant shall maintain records on an on-going basis for any deposits or advance payments received from customers. Financial obligations to customers shall be payable to them within 30 calendar days from the date the REP notifies the commission that it

intends to withdraw its certification or is deemed by the commission not able to meet its current customer obligations. Customer obligations shall be settled before the REP withdraws its certificate or ceases doing business in Texas.

10. All other motions, requests for entry of specific findings of fact and conclusions of law, and any other requests for general or specific relief, if not expressly granted herein, are hereby denied.

SIGNED AT AUSTIN, TEXAS the 9th day of October 2008.

PUBLIC UTILITY COMMISSION OF TEXAS



ANDREW KANG
ADMINISTRATIVE LAW JUDGE



January 15, 2009

Scott Evans
FRONTIER UTILITIES INC (LSE)
22503 Katy Freeway
KATY, TX 77450

Dear Scott Evans:

On behalf of the Electric Reliability Council of Texas (ERCOT) and the Texas Test Planning Team (TTPT), we want to thank FRONTIER UTILITIES INC (LSE) for participating in the ERCOT Retail Qualification Testing Process, and congratulate you on your successful qualification to participate in the ERCOT electric market, pursuant to ERCOT Protocols, Section 23.

FRONTIER UTILITIES INC (LSE) (DUNS 8094344211000) has successfully followed and completed the Retail Market testing requirements as defined in the Texas Market Test Plan Guide and qualified for the ERCOT EDI Interface(s) and ERCOT Market Link with ERCOT and with the following Transmission and Distribution Service Provider (TDSP) trading partners in the Flight 1008 TX SET V3.0 business rules.

FRONTIER UTILITIES INC (LSE) - 8094344211000

Trading Partners:

AEP TEXAS CENTRAL COMPANY	007924772
AEP TEXAS NORTH COMPANY	007923311
CENTERPOINT ENERGY HOUSTON ELECTRIC LLC (TDSP)	957877905
NUECES ELECTRIC COOP INC PILOT TDSP	0088288574800
SHARYLAND UTILITIES LP (TDSP)	105262336
TEXAS-NEW MEXICO POWER CO (TDSP)	007929441
ONCOR ELECTRIC DELIVERY COMPANY	1039940674000

Additional Business Functionality Tested:

Disconnect and Reconnect for Non-Pay
Continuous Service Agreement (CSA)

Your organization must re-qualify according to the Texas Test Plan Team (TTPT) re-testing guidelines if you change the process or systems you use to interface with your trading partners.

Once again, thank you for your participation and congratulations.

Sincerely,

A handwritten signature in black ink, appearing to read "H.B. Doggett". The signature is stylized and cursive.

H.B. (Trip) Doggett
Senior Vice President and Chief Operating Officer
Electric Reliability Council of Texas

CC: TDSPs



January 12, 2009

Scott Evans, President
FRONTIER UTILITIES INC (QSE)
22503 Katy Freeway
Katy, Texas 77450

Dear Scott,

On behalf of the Electric Reliability Council of Texas (ERCOT), we want to thank you for participating in the Qualified Scheduling Entity (QSE) testing process, and congratulate you on your successful completion of qualification requirements to participate in the ERCOT competitive wholesale electric market.

Qualification as a QSE involves completing required activities and tests designed to demonstrate to ERCOT's reasonable satisfaction that the Entity is capable of performing the functions of a QSE in accordance with ERCOT Protocols and guidelines. Your organization has registered and executed the required market participant agreements to become a QSE in the ERCOT market. FRONTIER UTILITIES INC (QSE) has completed applicable qualification tests that confirm data and voice communications with ERCOT. The tests administered corresponded with the QSE's intentions to perform Inter-QSE trades and/or together with representing a Load Serving Entity.

This letter confirms that the QSE has completed qualification as a QSE not representing Resources. The QSE must give ERCOT notice if it is to begin representing Resources and schedule completion of additional related qualification tests.

Modes of communications for market and operation transactions with ERCOT are Texas Market Link (Portal) and Commercial Telephone connections. Scheduling entities wishing to use programmatic interfaces may establish capability in coordination with ERCOT.

Your qualification as a QSE is effective as of January 12, 2009. Operation may commence upon receipt of USA Production Certificate.

All QSEs are encouraged to complete an electronic funds transfer test to verify ability to send and receive settlement funds from their financial institutions. The electronic funds transfer test should be performed prior to the due date of the first settlement invoice.

Continued qualification is contingent upon adherence to all requirements set forth in the ERCOT Protocols and the Standard Form Agreement. ERCOT reserves the right to perform a site visit at a future date to confirm the operational capability of each Qualified Scheduling Entity. ERCOT shall suspend the QSE's rights as a Market Participant at such time as ERCOT reasonably determines that the Entity does not satisfy the criteria set forth in the protocols.

Please contact Len Nowicki, your ERCOT Account Manager, at (512) 248-6458 with any questions you may have regarding the qualification process. We look forward to working with your organization as a Qualified Scheduling Entity. Once again, thank you for your participation and congratulations.

Sincerely,



H.B. (Trip) Doggett
Senior Vice President & Chief Operating Officer
Electric Reliability Council of Texas, Inc.

Date: July 10th, 2013

Mr. Jay Hellums
Frontier Utilities Northeast LLC
5120 Woodway Dr., Suite 10015
Houston, TX 77056

Dear Mr. Hellums,

Your application to become a customer of the New York Independent System Operator has been approved effective July 8th, 2013. You are now able to fully participate in the New York electric energy markets in accordance with the Tariffs under which you have elected to take service.

For your records, enclosed are copies of the executed Tariff Agreements, ICAP Agreement, and Customer Registration Agreement with Pacific Summit Energy LLC.

We look forward to providing the services needed to ensure reliable operation of the New York bulk transmission system and to facilitate an open, fair, and effective competitive electricity wholesale marketplace.

If we can be of assistance to you in your participation in this marketplace, please contact us at (518) 356-6060 or via e-mail at market_services@nyiso.com. Also, we invite you to become familiar with our web site www.nyiso.com. It contains important information about the NYISO with links to the OASIS/MIS, billing data, NYISO Manuals, contact information, a calendar of events, training schedules, and other market information.

Regards,



Mark Seibert
Manager, Member Relations

Mr. Jay Hellums, CEO
Frontier Utilities Northeast, LLC
5120 Woodway Drive
Suite 10015
Houston, TX 77056

832-397-6934
jay.hellums@frontierutilities.com
www.frontierutilities.com

TO: FRONTIER UTILITIES NORTHEAST MANAGEMENT TEAM. THIS DOCUMENT CONTAINS PROPRIETARY AND CONFIDENTIAL CORPORATE STRATEGY AND INFORMATION. DO NOT DESSIMINATE TO ANY UNAUTHORIZED INDIVIDUAL/S OR ENTITIES.

Executive Summary

Frontier Utilities Northeast, LLC (hereafter “FUN” or “Company”) is an independent energy marketer delivering focused on energy procurement and portfolio strategies. Having show exponential growth in the Texas market, the Company’s goal is to expand its business model into the Northeast and Mid-Atlantic states. Employing seasoned professionals in the financial, electricity and natural gas sectors, FUN will utilize its proprietary model within the competitive marketplace by assisting all customer classes in making informed choices based on current market conditions, historical data, and future estimated needs. As an independent energy marketer, we work to secure the lowest-possible energy costs by purchasing on the spot market, utilizing hedging and other financial instruments and strategies to provide its customers the most competitive pricing models in the industry.

Fusing our progressive energy vision with custom procurement strategies, FUN works on behalf of our customers to manage energy expenditures, simplify utility bills and help customers navigate the evolving competitive electricity and natural gas marketplace.

FUN specializes in proprietary energy procurement and management strategies for all size residential, commercial, governmental and industrial end-users. Being well versed in the complexities of varying utility tariffs, fluctuating commodities pricing, and jurisdictional

FRONTIER UTILITIES NORTHEAST, LLC

demographics, FUN assists our customers understand their energy costs, manage energy use to maximize cost reduction.

Business Strategy

FUN's mission is to assist residential, commercial and industrial consumers control energy costs and optimize budgets to achieve long-term energy goals. Currently being licensed and operating in the New York and Texas markets, our strategy is to expand into the following competitive jurisdictions:

District of Columbia, Illinois, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, Ohio, Pennsylvania, and Rhode Island.

To continue our success in the deregulated energy market, FUN tailors its approach to the unique operational needs of our customers' businesses and residences. Drawing on the experience of its managers and officers, we have developed and implemented efficient, cost-effective energy programs for all customer classes and sizes.

Key Operating Personnel

Jay D. Hellums, CEO

During 2011, Mr. Hellums arranged, financed and completed the acquisition of Frontier Utilities LLC, a five year old Houston-based retail energy supplier. He now leads, as President and CEO, a new management team that is building an emerging top tier retail energy company providing electricity to residential and commercial customers in Texas, and soon, in Northeast competitive energy markets. Frontier Utilities is focused on providing its customers with unparalleled value through world-class customer service, the use of innovative technology and best-in-class wholesale energy supply management. Mr. Hellums partnered with Quintana Infrastructure and Development for the investment and is on Frontier's Board along with Quintana's representatives.

Before Frontier, Mr. Hellums was Senior Vice President of Wholesale Energy Management for Direct Energy, one of the largest competitive retail energy suppliers in North America. During his seven years (2003-2010) at Direct Energy, he led a team of about 200 employees and established wholesale energy operations to support gas and power sales in all major competitive retail energy markets (ERCOT, PJM, NYISO, ISO-NE, CAISO, Ontario, Alberta).

FRONTIER UTILITIES NORTHEAST, LLC

Prior to Direct Energy, Mr. Hellums was with El Paso Merchant Energy Europe from 2000 to 2003 and was responsible for building, from the early planning stage, a successful 200 person pan-European asset-based oil, gas and power marketing business. Before moving to El Paso, Hellums was with Enron in Houston (1993-1995) and London (1996 to 2000). With Enron, he was responsible for various trading, marketing, business development and corporate finance/investing activities and served on the Board of Directors of a listed company that he financed, Paladin Resources plc. He previously held positions with First City Bancorporation and Bankers Trust. Mr. Hellums has an MBA from Rice University and a BBA in Finance from the University of Houston.

Ramji Raman, CFO

Ramji Raman as the Chief Financial Officer for Frontier Utilities Northeast, LLC brings on board 25+ years of Financial and Accounting Management experience in Houston working in varied industries as their Financial Controller and CFO. Some of his professional accomplishments include building accounting and administrative departments from start, establishing sound policies and procedures, negotiating profitable customer contracts enabling companies to forecast revenues more objectively, developing cost models for establishing appropriate pricing of products with good margins and recommending financial solutions for businesses for wealth protection and growth.

Raman has provided a variety of accounting and financial expertise to various companies during his successful tenure including the enabling of an acquisition of privately held US Company by a publically traded Canadian Firm. He has been instrumental in building accounting infrastructure for Frontier from the initial stages. Most recently, Raman was Vice President of Finance & CFO for a Telecommunications Wholesale aggregator. Also his experience includes construction industry, Law Firm and a fortune 500 manufacturing industry (Dr Pepper Bottling Company). Apart from his accounting and personal financial management education from the University of Houston, Ramji has a law degree from India and was a trial lawyer in the field of shipping and marine insurance working with King & Partridge a highly reputable law Firm in India.

Dr. Suresh Guddanti, Ph.D

Dr. Guddanti joined Frontier Utilities in 2012 as Chief Information Officer, aimed at transforming the business thru innovation in technology across the board. His role expanded to VP of Operations, leading the call center, billing and transactions groups. Before Frontier, Dr. Guddanti was IT Director with Liberty Power for about a year, leading their major IT initiatives including mobile application development for door to door sales channels.

FRONTIER UTILITIES NORTHEAST, LLC

Prior to Liberty, Dr. Guddanti was with Direct Energy, one of the largest competitive retailers in North America for about nine years (2002-2011). During this tenure he led the development of key systems in forecasting, scheduling, settlements, finance and sales.

Before Direct Energy, Dr. Guddanti was with New Power, an early startup in the retail electric space developing their forecasting systems for power and gas. He joined New Power after a one year consulting project with Enron developing their in-house risk system for gas.

Prior to Enron, Dr. Guddanti obtained his PhD in Neural Networks and Artificial Intelligence and a Master's Degree in Engineering specialized in Robotics from Louisiana State University where he continued as faculty at the School of Forestry for seven years developing instrumentation and log scanning systems for the lumber industry.

FRONTIER UTILITIES NORTHEAST, LLC

OPERATIONS OVERVIEW

Since its formation, Frontier Utilities Northeast (“FUN”), and its affiliate, Frontier Utilities, has focused on the Texas energy market. Showing exponential growth in Texas with over 20,500 residential customers and 250 commercial customers, FUN has planned expanded its operations into the Mid-Atlantic, Northeast, and New England markets.

Applicant is a licensed REP in Texas, a ESCO in New York, as well as members in ERCOT, NYISO and PJM.

Frontier Utilities Northeast currently employs 40 full time employees in the State of Texas and has implemented a training program that focuses on maintaining the highest standards of customer service coupled with a focus on federal and state rules, regulations and orders concerning customer outreach promulgated by each respective jurisdiction.

Applicant has received approval from the New York Public Service Commission, is a NYISO member, and is planning to begin operations in that jurisdiction in the fourth quarter of this fiscal year.

Applicant has also received PJM approval and plans to expand its operations throughout the PJM service territory. Beginning in the Commonwealth of Pennsylvania, the Company plans to submit applications in New Jersey, Maryland, and Ohio.

Exhibit 9 – Standards, Billing Practices, Terms and Conditions of Providing Service and Consumer Education

Included in this attachment, please find the following:

- Customer Service Contact Information
- Sample Terms & Conditions
- Residential Customer Disclosure Statement

CUSTOMER SERVICE CONTACT INFORMATION:

1. Contact for customer service and complaints
 - a. Scott Birmingham, VP Marketing & Regulatory
5120 Woodway Drive, Suite 10015
Houston, TX 77056
T: 832-397-6935
F: 866-926-8193
E: scott.birmingham@frontierutilities.com
 - b. Suresh Guddanti PhD., VP of Operations and CIO
5120 Woodway Drive, Suite 10015
Houston, TX 77056
T: 832-266-0139
F: 866-926-8193
E: suresh.guddanti@frontierutilities.com
2. Customer Service Contact:
 - a. Toll-Free Number: 866-926-8192
 - b. Email: care@frontierutilities.com

ELECTRICITY SAMPLE TERMS OF SERVICE
FRONTIER UTILITIES NORTHEAST, LLC
5120 WOODWAY DRIVE, SUITE 10015, HOUSTON, TX 77056
License Number is A-2013-XXXXXX

THIS STANDARD SERVICE AGREEMENT ("Agreement") will authorize Frontier Utilities Northeast, LLC ("FUN" or "Company" or "Frontier Utilities") to serve as the customer's retail electricity supplier in the territory of [NAME OF UTILITY] (referred to as the "Local Delivery Company" or "LDC" or "Local Utility"). The services provided by Frontier Utilities to the customer are governed by the terms of this Agreement.

BACKGROUND: We at Frontier Utilities are licensed by the Pennsylvania Public Utility Commission ("PPUC") to offer and supply electricity service in Pennsylvania. Our PPUC license number is "-----". Frontier Utilities will supply all the electricity that the Customer needs for its home or business ("Supply Service"). Frontier Utilities is a retail supplier of electricity and is not affiliated with the Customer's Local Delivery Company ("LDC"). You will receive a single bill from your LDC. Your LDC will continue to provide distribution, maintenance and emergency services and for the electricity supplied to you by Frontier Utilities and Frontier Utilities will include charges for supply services on your bill. The Pennsylvania Public Utility Commission ("PPUC") regulates distribution prices and services from your Local Utility. The Federal Energy Regulatory Commission regulates transmission prices and services.

RIGHT OF RESCISSION: Customer has the right to rescind this Agreement within three (3) business days after the date on which the Customer enrolls with Frontier Utilities. To rescind this Agreement, please call 866-926-8192 or email us at care@frontierutilites.com

LENGTH OF AGREEMENT: Frontier Utilities will begin providing Supply Service under this Agreement when the Local Utility switches the customer's account to Frontier Utilities ("Switch Date"). The purpose of this Agreement is for the customer to authorize a change in your Electricity Supplier. This Agreement shall be in full force and effect for a period of twelve (12) months from the Switch Date, hereafter the Initial Term. Unless otherwise agreed, this Agreement shall automatically be renewed on a month-to-month basis until after the expiration of the Initial Term unless either party provides notification, as provided within, of their intent to terminate the Agreement.

TERMS OF SERVICE – Upon the expiration of any rate offered in the Initial Term, Frontier Utilities' standard rate shall remain in effect until the Agreement is terminated. The standard rate shall apply to each renewal period unless the customer receives confirmation of a new agreed upon rate. The standard rate, unless otherwise agreed upon shall be a variable rate based upon electricity market pricing, transportation, profit and other market price factors, plus all applicable taxes, which may be higher or lower than the customer's LDC. The Customer should contact Frontier Utilities at 866-926-8192 for its most current rate information.

BILLING AND PAYMENT: The customer should receive a bill from their LDC which will continue to provide distribution, maintenance and respond to emergencies, and for the electricity supplied to the customer by Frontier Utilities or Frontier Utilities may include charges for supply services on your bill from Frontier Utilities. In the event the customer is dropped from utility consolidated billing for any reason Frontier Utilities may reserve the right to bill the customer directly and the customer remains fully responsible for all charges incurred. If the customer is dropped from utility consolidated billing for any reason Frontier Utilities also reserves the right to immediately terminate the Agreement and drop the customer from service without any further notice. The customer's bill is due within fifteen (15) days of the billing date and late fees will be assessed if the full amount due is not received within the fifteen (15) days of the bill date. Late payments or partial payments balances will be subject to immediate termination as well as late fees on the outstanding balance equal to 1.5% or up to the maximum fee allowed by law, whichever is higher. The customer will be billed for all outstanding balances, fees, breach of Agreement damages, other damages (if any), and any other costs of litigation and all other costs of collecting such outstanding balances. In the event of a termination for non-payment, the customer is subject to a minimum \$25.00 termination fee, plus any applicable breach of Agreement, damages, and/or utility charges. Any and all returned checks are subject to a returned check fee of at least \$20 plus any applicable bank fees or the maximum fee allowed by law whichever is greater. The customer can pay their bill by mailing it to Frontier Utilities PO Box 660094 Dallas, TX 75266-0094; or by phone by calling 1-866-926-8192.

TITLES AND TAXES: Title to the electricity shall pass from Frontier Utilities to the customer at the customer's LDC's designated city gate, and shall constitute the point at which title transfers and the sale occurs. Any other state or local taxes, gross receipts tax and/or utility tax will be billed as required by law. If the customer is claiming any tax exemption they must provide Frontier Utilities with written documentation of their tax exemption prior to commencement of service to the customer under this Agreement.

FORCE MAJEURE: Neither Party shall be liable to the other for any delay or failure to perform caused by an occurrence of Force Majeure. Force Majeure occurrences include events outside the control of the Party claiming Force Majeure, and may include acts of God, strikes, lockouts or other industrial disturbances, acts of public enemy, terrorism, wars, blockades, insurrections, riots, epidemics, landslides, lightning, earthquakes, fires, hurricanes, storms, floods, washouts, civil disturbances, explosions, accidents to machinery or lines of pipe, the loss or failure of Seller's gas supply due to Force Majeure, and actions of any government authority, utility company or pipeline entity which result in conditions, limitations, rules, regulations or orders that materially impair either Party's ability to perform hereunder, and which could not have been prevented by the affected Party through its own due diligence; or any similar cause beyond the control of the party failing to perform. The affected Party shall give to the other reasonably prompt and detailed notice of the occurrence of any Force Majeure relied upon.

LIMITATION OF LIABILITY: Frontier Utilities' liability in connection with this Agreement shall in no event exceed the difference between the reasonable price of replacing any undelivered electricity and its price under this Agreement. Frontier Utilities assumes no liability for losses, consequential damages or punitive damages arising from the LDC's service, including, without limitation: operations and maintenance of the system, interruption of service, termination of service, and deterioration of service. Frontier Utilities does not assume responsibility or liability for losses, consequential damages or punitive damages arising from in-home or building damages. Neither Party shall be liable to the other for any indirect, special or punitive damages arising from a breach of this Agreement.

RENEWAL NOTICE AND NOTIFICATION OF CHANGES: This contract is a twelve (12) month contract. If a customer has a fixed term Agreement with us and it is approaching the expiration date, or whenever we propose to change our terms of service in any type of Agreement, they will receive written notification from us in each of the last three bills for electricity supply service charges or in corresponding separate mailings that precede either the expiration date or the effective date of the proposed changes. We will explain the customer's options to them in these three advance notifications. If the customer is on a month-to-month contract, they may terminate the contract at any time without incurring an early termination fee, at which time we would return their account at the next applicable meter read date to being supplied by the LDC unless they have selected another electricity retail supplier. If the customer terminates this Agreement during the Initial Term, for any reason whatsoever, they will incur a early termination penalty of \$100 (one hundred dollars and zero cents), plus any applicable collection fees, arrears, and other applicable damages.

EMERGENCY: In the event of an emergency such as a gas outage, the customer should call its Local Utility as outlined below.

ASSIGNMENT: Frontier Utilities reserves the right to assign the Supply Service and this Agreement at the discretion of Frontier Utilities at any time without notice.

CUSTOMER INFORMATION AND RELEASE: The customer agrees to allow its LDC to release certain information to Frontier Utilities that will be needed to provide electricity supply to the customer. This may include, but is not limited to, customer historical and future usage, payment history and credit information, service address, and rate classification and credit information. Customer authorizes FUN to release such information to third parties, affiliates and subcontractors that need to know such information in connection with customer's electricity generation service. These authorizations will remain in effect as long as this Agreement remains in effect. Customer may rescind these authorizations at any time by either calling or providing written notice to Frontier Utilities. We reserve the right to reject Customer's enrollment or terminate this Agreement in the event these authorizations are rescinded, customer fails to meet or maintain satisfactory credit standing as determined by us, or customer fails to meet minimum or maximum threshold consumption levels as determined by us. If customer fails to remit payment in a timely fashion, Frontier Utilities may report the delinquency to the appropriate credit reporting agency/s.

CUSTOMER COMPLAINTS: If the customer has any questions or concerns regarding this Agreement or the electricity supply provided by Frontier Utilities, they should call 866-926-8192. If the Customer is not satisfied with Frontier Utilities 's attempt to resolve the problem, the Customer may seek assistance from the PPUC or request information from the PPUC regarding consumer protection rights under this Agreement. See "Contact Information" below for the PPUC's contact information.

RESIDENTIAL CUSTOMER RIGHTS AND TERMINATION: Reasons for termination shall include, but not limited to, failure to fully pay charges for services rendered, amounts due under a payment Agreement. The customer can terminate the Agreement with fifteen (15) days notice; however, the customer still remains responsible for any and all breach of Agreement damages as contained herein. The customer may terminate the Agreement with 48-hour notice without penalty, as a result of relocation within or outside of the LDC's franchise area, disability and/or death. Cancellation may take up to 8 weeks or more since it cannot be effective until the LDC provides a meter reading. Customer agrees to remain fully responsible for payment of all purchases made during this time.

LIMITATIONS ON WARRANTY AND DAMAGES: You understand and agree that there are no warranties, either express or implied, associated with the electricity supply service provided by Frontier Utilities. Frontier Utilities will bear no liability to you or any third party for consequential, punitive, incidental, special or other indirect damages.

ASSIGNMENT, CHANGES TO TERMS: This Agreement shall extend to and be binding upon the respective successors and assigns of the Parties. You may only assign this Agreement with the express prior written consent of Frontier Utilities. Frontier Utilities reserves the right to change the terms of this Agreement, assign this Agreement or delegate its duties at any time.

GOVERNING LAW: This Agreement shall be governed by and construed in accordance with the laws of the Commonwealth of Pennsylvania. This Agreement is subject to and valid notice shall be deemed to have been provided for all valid legislation and to all existing and future laws, orders, rules, regulations, tariffs and procedures of authorities having jurisdiction.

CONTACT INFORMATION:

Frontier Utilities Northeast, LLC
 5120 Woodway Dr., Suite 10015
 Houston, TX 77056
 For Customer Service:
 Telephone: 1-866-926-8192
 Email: care@frontierutilities.com

In the event of a electricity outage, problem with your meter or other service need, please contact your Local Distribution Company at the phone number/address listed below:

Utility	Address	Phone Number for Outages and Emergencies	Customer Services
[NAME OF UTILITY]	[ADDRESS OF UTILITY]	[TOLL FREE #]	[CUSTOMER SERVICE TOLL FREE #]

The Pennsylvania Public Utility Commission

can be reached at:

Pennsylvania Public Utility Commission
 P.O. Box 3265
 Harrisburg, PA 17105-3265
<http://www.puc.state.pa.us/>

Choice Hotline Number: 1-800-692-7380

Customer Name: _____
Customer Address: _____
Customer Telephone No. _____
Customer Email: _____
Account Number: _____
Customer Signature: _____

COMPANY LETTERHEAD

THIS STANDARD SERVICE AGREEMENT ("Agreement") will authorize Frontier Utilities Northeast, LLC ("FUN" or "Supplier") to serve as the undersigned Customer's [CUSTOMER'S FULL NAME AND ADDRESS], (hereafter "Customer") retail electricity supplier in the Local Utility territories of [INSERT LDC TERRITORY] ("Local Utility"). The services provided by Frontier Utilities Northeast, LLC to the Customer are governed by the terms of this Agreement.

Background

Frontier Utilities Northeast, LLC is licensed by the Pennsylvania Public Utility Commission ("PPUC") to offer and supply electric generation services throughout Pennsylvania. Our PPUC license number is A-2013-XXXXXXX.

Generation prices and charges are set by FUN. The Public Utility Commission regulates distribution prices and services. The Federal Energy Regulatory Commission regulates transmission prices and services.

You will receive a single bill from your Electric Distribution Company for both its charges and for our charges.

Right of Rescission - You may cancel this agreement at any time before midnight of the third business day after receiving this disclosure. To rescind this Agreement, please call FUN toll-free at 866-926-1892.

Definitions:

- Generation Charge - Charge for production of electricity.
- Distribution charges - Basic service charges for delivering electricity over the distribution system to the home or business from the transmission system
- Transmission Charge - Charge for moving high voltage electricity from a generation facility to the distribution lines of an electric distribution company.
- Initial Term – The one (1) year period by which you agree to purchase your electric generation service from Frontier Utilities Northeast, LLC ("FUN") at the rate disclosed below.
- EDC - Electric Distribution Company
- FUN – Frontier Utilities Northeast, LLC

Terms of Service

1. Basic Service Prices – Your initial contract price for generation supply charges is \$0.XXXX [INSERT LDC] per kWh fixed until [ONE YEAR FIXED/VARIABLE PRICE] ("Initial Term"). This fixed/variable contract rate includes electric Generation Service Charges, but does not include Transmission Charges and Estimated Total State Taxes including Gross Receipts Tax, applicable state and local Sales Taxes. At the expiration of the Initial Term, the rate shall be variable and automatically renew on a month-to-month basis until cancelled in accordance with the terms of this Agreement. The variable contract price will be adjusted monthly based on conditions in the electricity markets. The variable contract price could be higher or lower than the EDC price.

2. Length of Agreement –This Agreement codifies that you will purchase your electric generation service from Frontier Utilities Northeast. The term of this Agreement will begin on a date set by your Electric Distribution Company after the first meter ready and will continue thereafter for a period of one (1) year, hereto known as the "Initial Term." Electric service will begin upon the first meter reading following the date on which the Local Utility successfully changes the Customer's account to FUN. This process may take up to ninety (90) days.

3. Penalties, Fees and Exceptions –Should you cancel this Agreement at any time before the end of the Initial Term, FUN shall charge a flat rate cancellation fee of \$100 (one hundred dollars). Customer shall be responsible for all fees and charges in arrears plus the applicable cancellation charge.

4. Billing: You will still receive one monthly bill from your Local Utility for the Supply Service provided by FUN and the Distribution Service provided by its Local Utility. You will continue to pay Your Local Utility directly in accordance with their billing terms and policies. You should direct any questions

COMPANY LETTERHEAD

regarding the bill to your Local Utility. See "Contact Information" below for Local Utility contact information.

5. Cancellation Provisions – This Agreement may be canceled for the following reasons: (i) non-payment: if your electric service is terminated by your Electric Distribution Company, then this Agreement is cancelled on the date that your service is terminated. (ii) company-initiated cancellation: if we cancel this Agreement for any reason other than customer non-payment, we will follow applicable rules in providing notice to you. (iii) customer-initiated cancellation: The Customer may cancel this Agreement by written notification to FUN at least thirty (30) days prior to the intended cancellation date. The cancellation will not become effective until the Customer's Local Utility successfully switches the Customer to the new service provider of the Customer's choice. Until that occurs, the Customer's obligations under this Agreement remain in full force and effect. The Customer shall be obligated to pay for the electricity provided by FUN according to this Agreement prior to the effective date of any cancellation. Upon cancellation of this agreement, the Customer will be returned to the default electricity supply service or to the service provider chosen by the Customer. All cancellations initiated by the Customer prior to the end of the Initial Term will incur the provisions as outlined in Section 3 of this Agreement.

6. Renewal Provision / Agreement Expiration / Change in Terms – If you have a fixed term agreement with us and it is approaching the expiration date or whenever we propose to change our terms of service in any type of agreement, you will receive written notification from us in each of our last three bills for supply charges or in corresponding separate mailings that precede either the expiration date or the effective date of the proposed changes. We will explain your options to you in these three advance notifications.

7. Dispute Procedures – You can contact us through our toll-free number or via our website as outlined below with any questions concerning our terms of service. You may call the PUC if you are not satisfied after discussing your terms with us.

8. Contact Information

Generation Supplier Name: Frontier Utilities Northeast, LLC
5120 Woodway Drive, Suite 10015
Houston, TX 77056
866-926-8192
www.frontierutilities.com

Electric Distribution Company Name:	LDC NAME
Provider of Last Resort Name:	POLR NAME
Address:	LDC MAILING ADDRESS
Phone Number:	LDC TOLL FREE NUMBER
Universal Service Programs:	LDC NAME
Phone Number:	TOLL FREE NUMBER

Public Utility Commission (PUC)
P.O. Box 3265
Harrisburg, PA 17105-3265

Choice Hotline Number: 1-800-692-7380

COMPANY LETTERHEAD

By signing this Agreement, Customer certifies that he/she is over the age of 18, is authorized to execute this Agreement, and agrees to abide by all the terms and conditions, and any amendments thereto, contained herein.

Customer Name: _____
Customer Address: _____

Customer Telephone No. _____
Customer Email: _____
Account Number: _____
Customer Signature: _____

Exhibit 10

Applicant, Frontier Utilities Northeast, LLC, has been approved to become a member of PJM.

Applicant has contacted PJM and requested a letter stating as much and will be supplementing this application with the appropriate documentation upon receipt.

mbMemberLogistics@pjm.com 

September 5, 2013 2:18 PM

To: <smorrisey@energychoiceconsulting.com>

Cc: <jewwm@pjm.com>, <osterk@pjm.com>, <soudem@pjm.com>

Membership Approval - Frontier Utilities Northeast, LLC

1 Attachment, 11.2 MB

Welcome to PJM!

Frontier Utilities Northeast, LLC has been approved for PJM membership in the Other Supplier Sector, and will be announced at the Members Committee ("MC") meeting to be held Sept. 26, 2013. Original copies of your signed agreements will be mailed to the Members Committee representative selected by your organization, for your records.

To ensure your needs are met, your Client Manager, Kelly Ostertag, will be contacting you over the next 5 business days to welcome you to PJM and answer any questions you may have. You can also contact our Customer Service Center at 866-400-8980 should you have any questions in the meantime.

We offer new members the opportunity to participate in a monthly open house session covering a PJM 101 general overview hosted by your client manager. These sessions are scheduled for the second Thursday of the month from 12:00 p.m. – 3:00 p.m., and lunch will be provided. Members may participate in an open discussion of the presentation material, and will have a Mezzanine tour of the Control Room floor, if available. If you wish to schedule a visit session, please contact Chris Franks, the visit coordinator. She can be reached at 610-666-4535 or frankc@pjm.com.

Feel free to visit the following page on our site for a complete list of PJM trainings: <http://www.pjm.com/training.aspx>.

To obtain access to EES and Oasis, please contact Audrey Williams at willia@pjm.com as additional Transmission Service Agreements must be submitted.

Exhibit 12 – PUC Legal Notices

Please see attached affidavits and legal notices for service throughout the entire Commonwealth of Pennsylvania.

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of **Frontier Utilities Northeast, LLC** For Approval to Offer, Render, Furnish Or Supply Electricity or Electric Generation Services As A Supplier Engaged In the Business of Supplying Electricity, To The Commonwealth Of Pennsylvania.

Frontier Utilities Northeast, LLC will be filing an application with the Pennsylvania Public Utilities Commission ("PUC") for a license to supply electricity or electric generation services as a Supplier engaged in the business of supplying electricity. **Frontier Utilities Northeast** proposes to sell electricity and related services throughout all of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests to the technical or financial fitness of **Frontier Utilities Northeast** may be filed within 15 days of this notice with the Secretary of the PUC, PO Box 3265, Harrisburg PA 17105-3265. You should send copies of any protest to **Frontier Utilities Northeast** at the Address listed below.

By and Through: Sean P. Morrissey

Frontier Utilities Northeast, LLC 5120 Woodway Drive, Suite 10015 Houston, TX 77056

T: 866-926-8192 F: 877-391-1584

SCHEDULE A – PUC LEGAL NOTICES

Enclosed please find affidavits from all respective newspapers as designated by the Commission.

The Patriot-News Co.
2020 Technology Pkwy
Suite 300
Harrisburg, PA 17101
Inquiries - 717-255-8213

The Patriot-News
Now you know

RECEIVED

ENERGY CHOICE CONSULTING
1049 SHORE ROAD
SUITE A
ATTN: SEAN P. MORRISEY
LINWOOD NJ 08221

OCT 2 2013

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

THE PATRIOT NEWS
THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929
Commonwealth of Pennsylvania, County of Dauphin} ss

Marianne Miller, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

PUBLICATION COPY

This ad # 0002272239 ran on the dates shown below:

August 22, 2013

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Frontler Utilities Northeast, LLC For Approval to Offer, Render, Furnish Or Supply Electricity or Electric Generation Services As A Supplier Engaged In the Business of Supplying Electricity, To The Commonwealth Of Pennsylvania.

Frontler Utilities Northeast, LLC will be filing an application with the Pennsylvania Public Utilities Commission ("PUC") for a license to supply electricity or electric generation services as a Supplier engaged in the business of supplying electricity.

Frontler Utilities Northeast proposes to sell electricity and related services throughout all of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests to the technical or financial fitness of Frontler Utilities Northeast may be filed within 15 days of this notice with the Secretary of the PUC, PO Box 3265, Harrisburg PA 17105-3265. You should send copies of any protest to Frontler Utilities Northeast at the Address listed below.

By and Through: Sean P. Morrisey
Frontier Utilities Northeast,
LLC 5120 Woodway Drive, Suite 10015
Houston, TX 77056
T : 866-926-8192 F: 877-391-1584

Sworn to and subscribed before me this 22 day of August, 2013 A.D.

Notary Public

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES
My Commission Expires Dec. 12, 2016
Washington Twp., Dauphin County
Holly Lynn Warfel, Notary Public
Notarial Seal
COMMONWEALTH OF PENNSYLVANIA

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

August 10, 2013

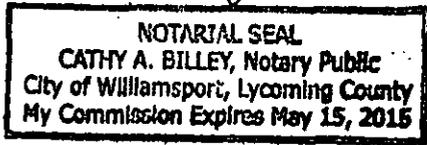
Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
 Application of Frontier Utilities Northeast, LLC For Approval to Offer, Render, Furnish Or Supply Electricity or Electric Generation Services As A Supplier Engaged In The Business of Supplying Electricity, To The Commonwealth Of Pennsylvania.

Frontier Utilities Northeast, LLC will be filing an application with the Pennsylvania Public Utilities Commission ("PUC") for a license to supply electricity or electric generation services as a Supplier engaged in the business of supplying electricity. **Frontier Utilities Northeast** proposes to sell electricity and related services throughout all of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests to the technical or financial fitness of **Frontier Utilities Northeast** may be filed within 15 days of this notice with the Secretary of the PUC, PO Box 3265, Harrisburg PA 17105-3265. You should send copies of any protest to **Frontier Utilities Northeast** at the Address listed below.

By and Through:
 Sean P. Morrissey
 Frontier Utilities Northeast, LLC 5120 Woodway Drive, Suite 10015 Houston, TX 77056
 T: 866-926-8192
 F: 877-391-1584

Bernard A. Oravec
SUN-GAZETTE COMPANY
 Sworn to and subscribed before me
 the 20th day of August 2013
Cathy A. Billey
 Notary Public



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:	
For publishing the notice attached hereto on the above state dates.....	\$ 725.48
Probated same.....	\$
Total.....	\$ 725.48

RECEIPT FOR ADVERTISING COSTS

By _____ acknowledges receipt of the aforesaid advertising and publication costs fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

THE SUN-GAZETTE and certifies that th

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

ENERGY CHOICE CONSULTING LLC
199 NEW RD
LINWOOD NJ 08221

REFERENCE: 111628 17828
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 08/10/13

TOTAL COST: \$409.00 AD SPACE: 0 Lines

FILED ON: 08/10/13

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of **Frontier Utilities Northeast, LLC** For Approval to Offer, Render, Furnish Or Supply Electricity or Electric Generation Services As A Supplier Engaged In the Business of Supplying Electricity. To The Commonwealth Of Pennsylvania.

Frontier Utilities Northeast, LLC will be filing an application with the Pennsylvania Public Utilities Commission ("PUC") for a license to supply electricity or electric generation services as a Supplier engaged in the business of supplying electricity. **Frontier Utilities Northeast** proposes to sell electricity and related services throughout all of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests to the technical or financial fitness of **Frontier Utilities Northeast** may be filed within 15 days of this notice with the Secretary of the PUC, PO Box 3265, Harrisburg PA 17105-3265. You should send copies of any protest to **Frontier Utilities Northeast** at the Address listed below.

By and Through: Sean P. Morrisey
Frontier Utilities Northeast, LLC 5120 Woodway Drive,
Suite 10015 Houston, TX 77056
T: 866-926-8192 F: 877-391-1584

affno-17828

Sworn to and subscribed before me this 12th day of August 2013

Affiant: Debra McGraw

NOTARY: Barbara J Moore

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal

Barbara J. Moore, Notary Public

City of Erie, Erie County

My Commission Expires March 23, 2016

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

COMMONWEALTH OF PENNSYLVANIA }
 County of Cambria } SS

**PENNSYLVANIA PUBLIC UTILITY COMMISSION
 NOTICE**

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By and Through: Sean P. Morrisey
**Frontier Utilities Northeast, LLC 5120 Woodway
 Drive, Suite 10015 Houston, TX 77056
 T: 866-926-8192 F: 877-391-1584**

published in
 that the an
 of The Joh.
 in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

of Cambria, and Commonwealth of Pennsylvania and matter published in said publication in the regular issues on August 6, 2013; and that the Affiant is not interested

On this 12th day of August A.D. 2013, before me, the subscriber, a Notary Public in and for said County and State, personally appeared **Mary Anne Rizzo**, who being duly sworn according to law, deposes and says as Retail Advertising Manager of the **Tribune-Democrat, Johnstown, PA**, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the **Johnstown Tribune**, established December 7, 1853; and of the **Johnstown Democrat**, established March 5, 1863,

Mary Anne Rizzo

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this
 12th day of August, 2013.

Juan Ohs

0.00 Lines @ \$2.50 per line	0.00
5.5 Inches @ \$25.00 per inch	137.50
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	145.00

COMMONWEALTH OF PENNSYLVANIA
 Notarial Seal
 Vivian Ohs, Notary Public
 City of Johnstown, Cambria County
 My Commission Expires Dec. 6, 2016
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The **Tribune-Democrat, Johnstown, PA**
 For publishing the notice or publication
 attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
 and publication costs and certifies that the same has been duly paid.

 (Name of Newspaper)

By _____

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss C. Mohamed, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

09 of August, 2013

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

C. Mohamed
PG Publishing Company

Sworn to and subscribed before me this day of:
August 09, 2013

Melanie L. Goodwin

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Melanie L. Goodwin, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires May 12, 2014
Member, Pennsylvania Association of Notaries

STATEMENT OF ADVERTISING COSTS

Energy Choice Consulting, LLC
1049 Shore Road, Ste. A
ATTN: SEAN P MORRISEY
LINWOOD NJ 08221-2025

To PG Publishing Company

Total ----- \$442.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
34 Boulevard of the Allies
PITTSBURGH, PA 15222
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Maria Lucia

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Frontier Utilities Northeast, LLC For Approval to Offer, Render, Furnish Or Supply Electricity or Electric Generation Services As A Supplier Engaged in the Business of Supplying Electricity, To The Commonwealth of Pennsylvania.
Frontier Utilities Northeast, LLC will be filing an application with the Pennsylvania Public Utilities Commission ("PUC") for a license to supply electricity or electric generation services as a supplier engaged in the business of supplying electricity. Frontier Utilities Northeast proposes to sell electricity and related services throughout all of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests to the technical or financial fitness of Frontier Utilities Northeast may be filed within 15 days of this notice with the Secretary of the PUC, PO Box 3265, Harrisburg PA 17105-3265. You should send copies of any protest to Frontier Utilities Northeast at the address listed below.

By and Through:
Sean P. Morrisey
Frontier Utilities
Northeast, LLC 5120
Woodway Drive
Suite 1001S
Houston, TX 77056
T: 866-926-8192
F: 877-391-1584

**Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929**

**STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA**

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

August 9, 2013

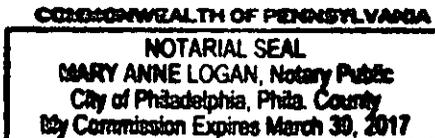
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



Sworn to and subscribed before me this 9th day of August, 2013.


Notary Public

My Commission Expires:



Copy of Notice of Publication

COMMISSION NOTICE

Application of **Frontier Utilities Northeast, LLC** For Approval to Offer, Render, Furnish Or Supply Electricity or Electric Generation Services As A Supplier Engaged In the Business of Supplying Electricity, To The Commonwealth Of Pennsylvania.

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By and Through: Sean P. Morrissy
Frontier Utilities Northeast, LLC 5120
Woodway Drive, Suite 10015
Houston, TX 77058
T: 866-926-8192 F: 877-391-1584

The Scranton Times (Under act P.L. 877 No 160. July 9,1976)
Commonwealth of Pennsylvania, County of Lackawanna

ENERGY CHOICE CONSULTING
SEAN MORRISEY
SUITE 61-187 199 NEW ROAD
LINWOOD NJ 08221

Account # 555067
Order # 81299276
Ad Price: 192.55

LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

Being duly sworn according to law deposes and says that she is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

08/08/2013

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me
this 8th day of August A.D., 2013

Sharon Venturi
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Sharon Venturi, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Feb. 12, 2014
Member, Pennsylvania Association of Notaries

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY
COMMISSION NOTICE

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or Electric Generation Services As A Supplier Engaged In the Business of Supplying Electricity, To The Commonwealth Of Pennsylvania.

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By and Through: Sean P. Morrissey
Frontier Utilities Northeast, LLC
5120 Woodway Drive, Suite 10015
Houston, TX 77056
Telephone: 866-926-8192
Fax: 877-391-1584