### BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of <u>Progressive Energy Consultants</u>, d/b/a \_\_\_\_\_\_, for approval to offer, render, furnish, or as a(n) [as specified in item #8 below] to the public in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

1. **IDENTITY OF THE APPLICANT:** The name, address, telephone number, and FAX number of the Applicant are:

Progressive Energy Consultants, LLC 1548 S. Missouri Avenue #321 Clearwater, FL 33756 Ph: 888-978-6445 Fx: 727-479-0577

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

N/A

2. a. **CONTACT PERSON:** The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are:

Lisa Barry 1548 S. Missouri Avenue #321, Clearwater, FL 33756 Ph: 727-479-0731, Email: ia@cssllc.biz

b. **CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY:** The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA:

Deborah Joyce, VP Sales 1548 S. Missouri Avenue #321, Clearwater, FL 33756 Ph: 888-978-6445, Email: debbiej@cssllc.biz

3.a. **ATTORNEY:** If applicable, the name, address, telephone number, and FAX number of the Applicant's attorney are:

Usher Fogel 557 Central Avenue Suite 4A Cedarhurst, NY 11561 Ph: 516-967-3242 Fx: 516-374-2600



SEP 2 0 2013

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU b. **REGISTERED AGENT**: If the Applicant does not maintain a principal office in the Commonwealth, the required name, address, telephone number and FAX number of the Applicant's Registered Agent in the Commonwealth are:

Northwst Registered Agent 1150 First Avenue Suite 115 King of Prussia, PA 19406 Ph: 509-768-2249 Fx: 323-544-4790

4. **FICTITIOUS NAME:** (select and complete appropriate statement)

The Applicant will be using a fictitious name or doing business as ("d/b/a"):

Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

### or

The Applicant will not be using a fictitious name.

5. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:** (select and complete appropriate statement)

The Applicant is a sole proprietor.

If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

### or

The Applicant is a:

j	domestic	general	partnership	(*)
τ.		•	• •	• •

- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)

domestic limited liability partnership (15 Pa. C.S. §8201)

- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

## Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

■ \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

### The Applicant is a:

domestic corporation (none)

foreign corporation (15 Pa. C.S. §4124)

domestic limited liability company (15 Pa. C.S. §8913)

foreign limited liability company (15 Pa. C.S. §8981)

Other\_

Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Additionally, provide a copy of the Applicant's Articles of Incorporation.

Give name and address of officers.

Deborah	Joyce	
1548 S.	Missouri Ave	#321
Clearwat	er, FL 33756	

The Applicant is incorporated in the state of \_\_\_\_\_ FLORIDA

## 6. AFFILIATES AND PREDECESSORS WITHIN PENNSYLVANIA: (select and complete appropriate statement)

Affiliate(s) of the Applicant doing business in Pennsylvania are:

Give name and address of the affiliate(s) and state whether the affiliate(s) are jurisdictional public utilities.

CONFIDENTIAL: SEE ATTACHMENT C. The affiliates are NOT jurisdictional public utilities.

Does the Applicant have any affiliation with or ownership interest in:

- (a) any other Pennsylvania retail natural gas supplier licensee or licensee applicant,
- (b) any other Pennsylvania retail licensed electric generation supplier or license applicant,
- (c) any Pennsylvania natural gas producer and/or marketer,
- (d) any natural gas wells or
- (e) any local distribution companies (LDCs) in the Commonwealth

If the response to parts a, b, c, or d above is affirmative, provide a detailed description and explanation of the affiliation and/or ownership interest. SEE ATTACHMENT C

Provide specific details concerning the affiliation and/or ownership interests involving:

(a) any natural gas producer and/or marketers,

(b) any wholesale or retail supplier or marketer of natural gas, electricity, oil, propane or other energy sources.

Provide the Pa PUC Docket Number if the applicant has ever applied:

(a) for a Pennsylvania Natural Gas Supplier license, or

(b) for a Pennsylvania Electric Generation Supplier lice
----------------------------------------------------------

If the Applicant or an affiliate has a predecessor who has done business within Pennsylvania, give name and address of the predecessor(s) and state whether the predecessor(s) were jurisdictional public utilities.

### or

- The Applicant has no affiliates doing business in Pennsylvania or predecessors which have done business in Pennsylvania.
- 7. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

The Applicant is presently doing business in Pennsylvania as a

- natural gas interstate pipeline.
- municipal providing service outside its municipal limits.
- local gas distribution company
- retail supplier of natural gas services in the Commonwealth
- a natural gas producer
- Other. (Identify the nature of service being rendered.)

### or

- The Applicant is not presently doing business in Pennsylvania.
- 8. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:
  - supplier of natural gas services.
  - Municipal supplier of natural gas services.
  - Cooperative supplier of natural gas services.
  - Broker/Marketer engaged in the business of supplying natural gas services.
  - Aggregator engaged in the business of supplying natural gas services.
  - Other (Describe):

9. **PROPOSED SERVICES:** Generally describe the natural gas services which the Applicant proposes to offer.

PEC proposes to act as a broker of natural gas (and electricity). PEC will help the customer by finding the supplier that will best suit his/her needs.

10. SERVICE AREA: Provide each Natural Gas Distribution Company (NGDC) in which Applicant proposes to offer services.

PECO, UGI, UGI Penn, UGI Central Penn, Columbia Gas of Pennsylvania and Peoples Natural Gas

- 11. CUSTOMERS: Applicant proposes to initially provide services to:
- Residential Customers
- Commercial Customers (Less than 6,000 Mcf annually)
- Commercial Customers (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):
- 12. START DATE: The Applicant proposes to begin delivering services on <u>1 October 2013</u> (approximate date).

13. **NOTICE:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of the signed and verified Application with attachments on the following: ATTACHMENT B

Irwin A. Popowsky Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120-1921

William R. Lloyd, Jr. Commerce Building, Suite 1102 Small Business Advocate 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Any of the following Natural Gas Distribution Companies through whose transmission and distribution facilities the applicant intends to supply customers: NOT APPLICABLE AS A BROKER

facilities the applicant intends to supply customers:	
Valley Energy Inc.	National Fuel Gas Distribution Corp.
Robert Crocker	David D. Wolford
523 South Keystone Avenue	6363 Main Street
Sayre, PA 18840-0340	Williamsville, NY 14221
PH: 570.888-9664	PH: 716.857.7483
FAX: 570.888.6199	FAX: 716.857.7479
email: rcrocker@ctenterprises.org	email: wolfordd@natfuel.com
UGI Central Penn	Peoples Natural Gas Company LLC
David Beasten	Lynda Petrichevich
2525 N. 12 <sup>th</sup> Street, Suite 360	375 North Shore Drive, Suite 600
Reading, PA 19612-2677	Pittsburgh, PA 15212
PH: 610.796.3425	email: Lynda.w.petrichevich@peoples-gas.com
FAX: 610.796.3559	PH: 412.208.6528
	FAX: 412.208.6577
Peoples TWP LLC (Formerly T. W. Phillips)	UGI
Andrew Wachter	David Beasten
375 North Shore Drive, Suite 600	2525 N. 12 <sup>th</sup> Street, Suite 360
Pittsburgh, PA 15212	Reading, PA 19612-2677
PH. 724,431,4935	PH: 610.796.3425
FAX: 724.287.5021	FAX: 610.796.3559
email: Andrew.Wachter@peoplestwp.com	
UGI Penn Natural	Equitable Gas Company
David Beasten	Jerald Moody
2525 N. 12 <sup>th</sup> Street, Suite 360	225 North Shore Drive
Reading, PA 19612-2677	Pittsburgh, PA 15212-5352
PH: 610.796.3425	PH: 412,395,3209
FAX: 610.796.3559	FAX: 412.395.3335
PECO	Columbia Gas of Pennsylvania Inc.
Carlos Thillet, Manager, Gas Supply and Transportation	Thomas C. Heckathorn
2301 Market Street, S9-2	200 Civic Center Drive
Philadelphia, PA 19103	Columbus, OH 43215
email: carlos.thillet@exeloncorp.com	PH: 614.460.4996
PH: 215.841.6452	FAX:614.460.6442
	email: theckathorn@nisource.com
Philadelphia Gas Works	
Douglas Moser	
800 West Montgomery Avenue	
Philadelphia, PA 19122	
email: douglas.moser@pgworks.com	
PH: 215.684.6899	

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14.

### 14. **TAXATION:** Complete the <u>TAX CERTIFICATION STATEMENT</u> attached as Appendix B to this application. See appendix A.

15. **COMPLIANCE:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings.

Neither the applicant or any of its officers have been convicted of any crimes involving fraud.

- 16. STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND CONSUMER EDUCATION: All services should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.
  - a. Contacts for Consumer Service and Complaints: Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant, the Distribution Company, the Pennsylvania Public Utility Commission or other agencies. Deborah Jovce, VP Sales OR Jamie Gillis, 1548 S. Missouri Avenue #321, Clearwater, FL 33756, Ph: 888-978-6445
  - b. Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers.

As a broker, we do not provide contracts with customers directly, we connect them with suitable suppliers who provide contracts.

c. If proposing to serve Residential and/or Small Commercial customers, provide a disclosure statement. A sample disclosure statement is provided as Appendix B to this Application.

As a broker, we do not provide disclosures with customers directly, we connect them with suitable suppliers who provide disclosers.

### 17. FINANCIAL FITNESS: CONFIDENTIAL ATTACHMENT C

- A. Applicant shall provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
   Progressive Energy Consultants is affiliated with Consumer Sales Solutions, LLC and is using their financial backing.
- Published parent company financial and credit information.
- Applicant's balance sheet and income statement for the most recent fiscal year. Published financial information such as 10K's and 10Q's may be provided, if available.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements
- Such other information that demonstrates Applicant's financial fitness.
- B. Applicant must provide the following information:
- Provide proof of compliance with bonding/credit requirements for each NGDC the applicant is proposing to provide service in. This requirement is designated by each NGDC and can commonly be found in the NGDC supplier tariff. Not Applicable.
- Identify Applicant's chief officers including names and their professional resumes.

 Provide the name, title, address, telephone number and FAX number of Applicant's custodian for its accounting records.

Michael Lambert, 1548 S. Missouri Avenue #321, Clearwater, FL 33756, Ph: 727-479-0585

- 18. TECHNICAL FITNESS: To ensure that the present quality and availability of service provided by natural gas utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following: ATTACHMENT D
  - The identity of the Applicant's officers directly responsible for operations, including names and their professional resumes.
  - A copy of any Federal energy license currently held by the Applicant.
  - Proposed staffing and employee training commitments.
  - Business plans.
- 19. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2208(D). Transferee will be required to file the appropriate licensing application.
- 20. UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.
- 21. **REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
  - a. Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on an annual basis no later than 30 days following the end of the calendar year.

Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 22 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive natural gas market.

- 22. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.
- 23. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.
- 24. **FEE:** The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.



SEP 2 0 2013

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Applican Soure Bv. Title:

## AFFIDAVIT



SEP 2 0 2013

[Commonwealth/State] of _	Florida	:		
		:	SS.	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU
County of Pinellas		:		
Deborah Joyce	, Affiant, being duly (sworr	n/affirmed] ad	cording to	o law, deposes and says that:
[He/she is the VP Sales	(Office of Affia	Progres	sive Energ	y Consultants (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Deborah Joyce , the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

\_\_\_\_ Deborah Joyce

That \_\_\_\_\_\_\_\_, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Commission's Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Signature of Affiant

## AFFIDAVIT



SEP 2 0 2013 Florida [Commonwealth/State] of PA PUBLIC UTILITY COMMISSION SS. SECRETARY'S BUREAU Pinellas County of **Deborah Joyce** \_, Affiant, being duly [sworn/affirmed] according to law, deposes and says that: Progressive Energy Consultants, LLC \_\_\_\_\_\_ (Name of Applicant);] [He/she is the \_\_\_\_\_VP Sales (Office of Affiant) of [That he/she is authorized to and does make this affidavit for said Applicant;] **Deborah Joyce** \_, the Applicant herein certifies that it has caused the notice of the filing of its license applicatic That 

A copy of the notice as it appeared in each of the above newspapers is attached. Noted on each copy is the newspaper section (name, number or letter), if applicable, and the page number on which the notice appeared.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



(date)

Signature of Affiar

Sworn and subscribed before me this 20 day of Sept , 18 20

Signature of official administering

110 UPTO My commission expires

## AFFIDAVIT



SEP 2 0 2013

[Commonwealth/State] of	Florida					
		· :	SS.	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU		
County ofPinellas		:				
Deborah Joyce	, Affiant, being duly [sworn/af	firmed] ac	cording to	o law, deposes and says that:		
			Progressive Energy Consultants, LLC f (Name of Applicant);]			
[That he/she is authorized to	o and does make this affidavit fo	or said App	olicant;]			
That the Applicant herein documentation demonstrating Pa. C.S. §2208(c)(1).	Deborah Joyce has the its technical and financial fitness			ng information and supporting atural gas supplicr pursuant to 66		

**Deborah Joyce** That the Applicant herein has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

**Deborah Joyce** That the Applicant herein acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

Deborah Joyce That the Applicant herein acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Signature of Affiant

2( Sworn and subscribed before me this \_ dav A. LISA BARRY Commission # EE 844213 Expires October 16, 2016 Bonded Thru Troy Fain Insurance 800-385-7019 Signature of official administering bath 160076

My commission expires



### SEP 2 0 2013

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

## **ATTACHMENT A**

### COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS 401 NORTH STREET, ROOM 206 P.O. BOX 8722 HARRISBURG, PA 17105-8722 <u>WWW.CORPORATIONS.STATE.PA.US/CORP</u>

Progressive Energy Consultants, LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT <u>WWW.CORPORATIONS.STATE.PA.US/CORP</u> OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4174406

PRogressive Energy Consultants, LLC 537 Douglas Ave, Dunedin, FL 34698

	DRPORATION BUREAU Application for Registr (15 PaC.S.) Registered Limited Liability Registered Limited Liability Limited Pertnership (§ 858) V Limited Liability Company	Generel Partnership (§ 8211) Limited Partnership (§ 8211) !) (§ 8981)
	None Progressive Energy Consultants, LLC	Commonwealth of Pennsylvania APPLICATION FOR REGISTRATION 3 Page(s)
:      1:	Address 537 Douglas Ave. City State Zip Cede Dunadin FL 34698	
	\$250 In compliance with the requirements of the applicable prices to compose the states that:	ovisions (relating to registration), the undersigned, desiring to
	The name of the limited liability company/limited liability which it is formed: Progressive Energy Consultants, LLC      2. The name under which the limited liability company/limit register and do business in this Commonwealth is:	
	refusion and on quantess in this continuit/reactions.	•
       	Progressive Energy Consultants, LLC	
	Progressive Energy Consultants, LLC      The name of the jurisdiction under the laws of which it we     Jurisdiction: FL Date of Formation: 01/D1/      The (a) address of its initial registered office in this Comm     office provider and the county of venue is:	sonwealth or (b) name of its commorcial register d
	Progressive Energy Consultants, LLC      The name of the jurisdiction under the laws of which it we     Jurisdiction: FL Date of Formation: 01/D1/      Consultant registered office in this Commuted	<u>13</u>

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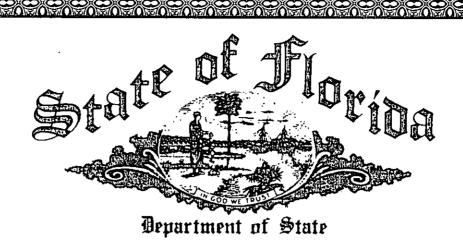
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Number and street		City	State		Zip	
/ It is not required by of its principal office		diction of organizat	ion to maintain	an office the	rein and the edd	res!
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## Received Time Mar. 19. 2013 3:54PM No. 2902

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I certify from the records of this office that PROGRESSIVE ENERGY CONSULTANTS, LLC, is a limited liability company organized under the laws of the State of Florida, filed on November 13, 2012, effective January 1, 2013.

The document number of this company is L12000143293.

I further certify that said company has paid all fees due this office through December 31, 2012, and its status is active.



CR2EO22 (1-11)

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Ninth day of April, 2013

Ren Detaner Secretary of State

### Electronic Articles of Organization For Florida Limited Liability Company

L12000143293 FILED 8:00 AM November 13, 2012 Sec. Of State alunt

Article I

The name of the Limited Liability Company is: PROGRESSIVE ENERGY CONSULTANTS, LLC

### Article II

The street address of the principal office of the Limited Liability Company is:

411 CLEVELAND ST 299 CLEARWATER, FL. 33755

The mailing address of the Limited Liability Company is: 411 CLEVELAND ST 299 CLEARWATER, FL. 33755

### Article III

The purpose for which this Limited Liability Company is organized is: ANY AND ALL LAWFUL BUSINESS.

### Article IV

The name and Florida street address of the registered agent is:

DEBBIE JOYCE 411 CLEVELAND ST 299 CLEARWATER, FL. 33775

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate. I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Registered Agent Signature: DEBBIE JOYCE

### Article V

The name and address of managing members/managers are:

Title: MGRM DEBBIE JOYCE 411 CLEVELAND ST # 299 CLEARWATER, FL. 34698

### Article VI

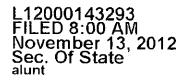
The effective date for this Limited Liability Company shall be:

01/01/2013

Signature of member or an authorized representative of a member

Electronic Signature: DEBBIE JOYCE

I am the member or authorized representative submitting these Articles of Organization and affirm that the facts stated herein are true. I am aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S. I understand the requirement to file an annual report between January 1st and May 1st in the calendar year following formation of the LLC and every year thereafter to maintain "active" status.





SEP 2 0 2013

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

## ATTACHMENT B

feeting 8026 5328 4735 Sandor's Comy Express US Airbill From Please print and pross hard. 4 Express Package Service Heliestlocations Packages up to 150 lbs. Date 20 385 Sender's FedEx -8629for packages over 150 lbs, use the new Totile Express Treight US Aubilt NOTE: Service order has changed. Pleaze select carefully. 213 Account Number ----<u>-</u>] Nax(Braines Day) 2013 Business (Ouro Г Sender's Name FedEx First Overnight Earlist next busiless inciring dalvery & scient Scenors, Finley stayments will be delivered on Monday unless SATURDAY Delivery is selected ForlEx 2Day A.M. Second business monolog \* Saturday Britvery NOT available Phone ( Г FieldEx 2D ny Second Jussiess allo muon "Hunday dispetitis with for dispersion Advances antess SATARDAY Delevery in selected FieldEx Exploses Savet Therefores any "Justicial-autority Delevery 001 meeticide-FedEx Priority Overnight Next business moting \* Finday stapments will be delivered on Monday unless SATURNAT Delivery is collected 11 Company FedEx Standard Overnight Next Sciences attempoor " Saturday Onlycry NOT available alaas PN Address 5 Packaying 10el \* Declared value (mut \$500 <u>Cıtγ</u> E FedEx Box E FedEx June FedEx Envelope\* 🔲 FedEx Pak\* 0ther Your Internal Billing Reference First 24 characters will appear or 6 Special Handling and Delivery Signature Options To Recipiont's Name Irwin Popowsky SATURDAY Delivery NGL available for Fodex Slandard Gvarnight, Fodex 20ny A1A , or Fodex Express Savet Phone ( Trella o et Signalature It en une ja uvalarko at recipiese address, someren at o recipileo address nav ege for delivery for Consumer Advocate No Signature Required Package may be left writeen obtaining a signature for delivery Orrect Signature Company Somet on at the plants address to vision to delivery Fig. (c) HOLD Weekday Does this slipment contain dangerous goods? FudEx location address REQUIRED fl0T available for FatEx First Overnight Une box must be checked Address Yes As per enacted Stopper's Declaration Stopper's Declaration HOLD Saturday Fedia location activess HELDIRED Available OFITY for Fedia Privaty Overnight and Fedia Day to select locations. Diγlce Dryke, 9, 04 3845 No. <u>vrum</u> ace. Dangerous goods (including invice) carnot be shoped in FedE+ psckaging or placed in a EndEx Express Drop Box. Cargo Aircraft Only 7 Payment Bill to: Slau Enter FedEx Acc.L No. or Credit Card No. below Accility in Section Recipient Third Party Credit Card Cash/Check TedE - Aper, No Dedit Cant Na 1эр Оцин lutal Packages Total Weight Total Declared Value Lasymow Realiand Stick and MUM opposed in each 644 The instally is install to US\$100 unless you dock in a higher value. See back for installs. Hy using this Arabit you again in the service conductors as the back of this Arabit and in the Custors Fadlu. Service fields, and ideal omet That installe higher the service of the service of the service of the service field. Am 17 Hull Chief I Voyou in a construction of the construction of An Deter 117 - Fed 4167007 - J2012 FedFa + PDIN1FD IN U.S.A. SBE

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SEP 2 0 2013

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

# ATTACHMENT C

## \*\* CONFIDENTIAL \*\*



Progressive Energy Consultants, LLC

RECEIVED

SEP 2 0 2013

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

## **APPENDIX C**

### PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

### STATE OF PENNSYLVANIA COUNTY OF LYCOMING

SS:

<u>Bernard A. Oravec</u> Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

breet

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Progressive Energy Consultants, LLC For Approval To Otter, Render, Furnish Or Sup- ply Elactricity Or Electric Generation Services- and Natural 'Gas' As A 'Marketer/Broker Engaged In The Business Of Brok- ering Electricity, And An 'Aggregator Engaged In The Business Of Brok- ering Electricity as well as Natural Gas, To The-Pub- tic In The Commonwealth of Pennisylvania. Progressive Energy Con- sultants, LLC will be filing an application with the Pennsylvania. Progressive Energy Con- sultants, LLC will be filing an application with the Pennsylvania. Progressive Energy Con- sultants, LLC will be filing an application of PUCT for a license to supply electricity or electric gen- eration services and na- tural gas as a broker/marketer engaged in the business of brok ering electricity and natur at gas. Progressive Energy Consultants LLC pro poses to broker electricit and natural gas as well ar related service throughout all of Pennsy vania under the provision of the new Electricit Generation Custome Choice and Competition Act and the new Natura Gas Choice and Competition Act.	of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265, You should send copies of any pio- test to Progressive Endi- gi Consultants, LLC's) at- forney at the address list- ed below. By and through Counsel: Ushor Fogel Progressive Energy Consultants, LLC 1548 S. Missouri Avenue, <i>H</i> 321 Clearwater, FL 33756 Ph: 727-479-0581	Bund A. Ch.         SUN-GAZETTTE COMPANY         Sworn to and subscribed before me         the 3.5 day of Arch 2013         CALLS         CALLS         MCTARIAL SEAL         CATHY A. ELLEY, Notary Public         City of Williamsport, Lycoming County         My Countistion Explores May 15, 2015         STATEMENT OF ADVERTISING COS'TS         To the Sun-Gazette Company, Dr.:         For publishing the notice attached         hereto on the above state dates.         State         Total.
The PUC may consider this application without hearing. Protests directed to the technical or final THT	20 n-	dges receipt of the aforesaid advertising and publication costs
STUCIORI CHIES CHAR CHES	same nave been tony patu.	

### SUN-GAZETTE COMPANY

BY Bernard A. Oravec

PROOF OF PUBLICATION In THE ERIE TIMES-NEWS

COMBINATION EDITION	
AMERICAN POWER AND GAS LLC P O BOX 299 CLEARWATER FL 33755	
REFERENCE: L0001306 2103159 PUC NOTICE	
STATE OF PENNSYLVANIA) COUNTY OF ERIE ) SS:	
Lisa L. Frampton, being duly sworn, deposes and says that: (1) she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.	PENINSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Progressive Energy Consultants, IIC for Application Render, Junish Or Supply Electric Generation Services and Natural Gas & A Markeet/Bicket Engaged In The Business Of Bickering Electricity, And Añ Aggregation Engaged In The Business Of Bickering Electricity, And Añ Aggregation Engaged In The Business Of Bickering Electricity, And Añ Aggregation Engaged In The Business Of Bickering Electricity, And Añ Aggregation Engaged In The Business Of Bickering Electricity, And Añ Aggregation Engaged In The Commonwealth of Pennsylvania Progressive Energy Consultants, LLC will be tilling an application with the, Pennsylvania Public Ulliky Commission ("PUC") for a licence to supply electricity of electric generation services and natural gas is a bioker/markete engaged in the business of Bickering electricity and natural gas os well as related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Cusioner Choice and Competition Act and the new Natural Gos Choice and Competition Act. The PUC may consider this application without a heating, Protests directed to the technical of Financial Imess of Progressive Energy Consultants, IIC may he Isla within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Hunisburg, PA 12105-3265, You should send ropies of any platest in Progressive Energy Consultants, IIC may he Isla within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Hunisburg, PA 12105-3265, You should send ropies of any platest in Progressive Energy Consultants, IIC's atorney at the address Isted below.
TOTAL COST: 294.00 AD SPACE: 7.000 INCH FILED ON: 02/21/13	Prograssive Energy Consultants, LLC 1548 S. Missouri Avanue, #321 Cleanvater, FL 33756 Ph: 727-479-0581
Sworn to and subscribed before me this <u>alst</u> day of <u>February</u> 2013 Affiant: <u>D</u> NOTARY: <u>Balma</u> <u>Mare</u>	<u> </u>
OMMONWEALTH OF PENNSYLVANIA Notarial Seal	

Barbara J. Moore, Notary Public City of Erie, Erie County My Commission Budger March 23, 2016 MEMBER, CENTENDER ASSOCIATION or NotAnge

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#### (Under act P.L. 877 No 160, July 9, 1976) The Scranton Times Commonwealth of Pennsylvania, County of Lackawanna

GAVIN BRIDGEFORTH 537 DOUGLAS AVENUE DUNEDIN FL 34698

Account # 573618 Order # 81120009 Ad Price: 237.05

#### LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

Being duly sworn according to law deposes and says that she is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

#### 02/20/2013

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true <u>101</u> × 19 KRUNINAIN

Sworn and subscribed to before me this 20th day of February A.D., 2013

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(Notary Public)

COMMONWEALTH OF PENNSYLVANIA Motanal Seal Sharon Venturi, Hotary Febbic,

City of Scranton, Luckswanne County hty Comitrication Explice Fels, 17, 2014 Member, Penns, horde Assurant car at Manates

#### LEGAL NOTICE

### PENNSYLVANIA PUBLIC UTILITY

PEINSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application: For Progressive Energy Censultants, LLC For Approval To Of-fer, Hender, Furnish Or Supply Electric-ity Or Electric Generation Services and Natural-Gas As A-Marketer/Broker En-gaged In The Business Of Brokering Electricity: And An Aggragator En-gaged In The Business Of Brokering Electricity: as well as Natural Gas, To The Publicult The Commonwealth of Pennsylvania: Pennsylvania:

Progressive Energy Consultants, LLC will be filing an application with the Pennsylvania Public Utility Commis-sion (PUC<sup>\*</sup>) for a license to supply electricity or electric generation ser-vices and natural gas as a broker/mar-kering electricity and natural gas. Pro-gressive Energy Consultants LLC pro-poses to broker electricity and natural gas as well as related services throughout, all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Com-petition. Act. and the new Natural Gas Choice and Competition Act.

The PUC may consider this application The POC may consider this application without a hearing. Protests directed to the technical or financial filness of Pro-gressive Energy Consultants, LLC may be filled within 15 days of the date of this notice, with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Progressive Energy Con-sultants, LLCs attorney at the address listed below. listed below.

By and through Counsel: Usher Fogel Progressive Energy Consultants, LLC 1548 S. Missouri Avenue, #321 Clearwater, FL 33756

Phone: 727-479-0581

### Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

### STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

### February 22, 2013

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Hause Derly

Sworn to and subscribed before me this 22<sup>nd</sup> day of February, 2013.

1 RArylane

My Commission Expires:

NOTARIAL SEAL Mary Anne Logan, Notary Public City of Philadelphia, Phila. County My Commission Expires 3/30/2013

Copy of Notice of Publication PEMNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Progressive Energy Consult tanke, LLC For Approval To Offer, Render, Fur alsh Or Supply Electricity Or Electric Generation Services and Natural Ges As A Markoter/Broke Engaged in The Business Of Brokering Electricity N, And An Aggregator Engaged in The Business Of Brokering Electricity as well as Natural Ges ato The Public in The Commonwealth of Penn sylvanic. A brokering Electricity as well as Natural Gas, if The Public In The Commonwealth of Pann-tregrousive Energy Consultants, LLC will be ling an application with the Pannsylvania Pub-ling an application with the Pannsylvania Pub-ling an application with the Pannsylvania Pub-ling an application with the Pannsylvania Pub-nic Natural gas as a broker/marketer engages in the business of brokering electricity and natural gas. Progressive Energy Consultants LLC proposes to broker electricity and natural gas rearrow electricity and natural gas is well as related services throughout all of pennsylvania under, the provides throughout all of pennsylvania under, the provides throughout all do pennsylvania under, the incovidence of pennsylvania under, the second of the new indecticity Generation. Curvisions of the new pendetition Act and, the new Natural Gas incice and Competition incide to the technical or nance, LLC may be filed within 15 days of the ate of this notice with, the Sacratary of the incide and dones filed within 15 days of the top allouid aend copies of any protest to regressive Energy: Consultanto, LLC's attor-oy at the address filet dolew... By and through Counselt, Usher Fogel Progressive Energy: Consultanter, LLC Progressive Energy: Consultanter, Paster Progressive Stenergy: Consultant

#### COMMONWEALTH OF PENNSYLVANIA **County of Cambria** SS

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Progressive Energy Consultants, LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services and Natural Gas As A Marketer/Broker Engaged in The Business Of Brokering Electricity, And An Aggregator Engaged In The Business Of Brokering Electricity as well as Natural Gas, To The Public In The Commonwealth of Pennsylvania.

Progressive Energy Consultants, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services and natural gas as a broker/marketer engaged in the business of brokering electricity and natural gas. Progressive Energy Consultants LLC proposes to broker electricity and natural gas as well as related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act and the Generation Customer Choice and Competition Act and the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a heating. Protests directed to the technical or financial filness of Progressive Energy Consultants, LLC may be filed within 15 days of the date of this notice with the publish Secretary of the PUC, P.O. Box 3265, Harrisburg, PA unty of Cambria, and Commonwealth of Pennsylvania and that th Progressive Energy Consultants, LLC's attorney at the over matter published in said publication in the regular issues of The address listed below. PA, on February 20, 2013; and that the Affiant is not lvertising and that all of the allegations as to time, place and

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By and through Counsel: Usher Fogel Progressive Energy Consultants, LLC 1548 S. Missouri Avenue, #321 Clearwaler, FE 33756 Ph: 727-479-0581

Sworn and Subscribed before me this 20th day of February, 2013.

11.1.1.1.1 COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Vivian Ohs, Notary Public City of Johnstown, Cambria County iny Commission Expires Dec. 6, 2016 MEMORY PUNNSYLVANIA AUSOCIATION OF NOTABLES

0.00 Lines @ \$2.50 per line	0.00
7.2 Inches @ \$25.00 per inch	180.00
Notary Fee	5.00
Clerical Fee	2.50

STATEMENT OF ADVERTISING COSTS

Clerical Fee **Total Cost** 

> To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

187.50

### PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

for publisher of \_\_\_\_

a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

Βv.

On this 20th day of February A.D. 2013, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA. a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7,1853; and of the

Nó.

Term,

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss <u>C. Mohamed</u>, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Post-Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the <u>regular</u> editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

### 21 of February, 2013

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PG Publishing Company Sworn to and subscribed before me this day of: February 21, 2013

COMMONWEALTH OF PENNSYLVANIA Notarial Seal

Notanal Seal Linda M. Gaertner, Notary Public City of Pittsburgh, Allegheny County My Commission Expires Jan. 31, 2015 \*\*EMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

STATEMENT OF ADVERTISING COSTS Progressive Energy Consultants, 1548 S. Missouri Avenue #321 CLEARWATER FL 33756

To PG Publishing Company

Total ---- \$577.50

### Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforsaid advertising and publication costs and certifies that the same have been fully paid.

Office 34 Boulevard of the Allies PITTSBURGH, PA 15222 Phone 412-263-1338 PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation By

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

OR PUBLICATION
PENNSYLVARIA PUBLIC UTILITY COMMISSION NOTICE Application of Po- aressive Energy Consult- ants, LLC For Applavan To Offer, Render, Fur- nish or Supply Elecurici- ty or Elecuric Generation Services and Natural Gas As A Mark eter/Broker Engaged in The Business Of Brokering Elecuricity as well as Natural Gas, To The Public in The Com- monwealth of Pennsyl- vania.
Proglessive Energy Consultants, LLC will be filling an application with the Pennsylvania Public Uri Hty Commission (PUC) for a license to supply electricity or elec- tric generations services and matricity as as a bab- ket/marketer angaged in the business or broket- ing electricity and natu- ral gas. Proglessive En- ergy Consultants LLC maposes to broket elec- tricity and natural gas as well as related services throughout all of Penn- sylvania under the prov- sions of the new Elec- tricity Generation Constant Choice and
The PUC may con- sider this application outhout a heating. Pro- tests directed to the technical or financial in- ness of Progressive En- ergy Consultants, LLC may be filed within 15 days of the date of this notice with the Secre- tary of the PUC, P.O. Bex 326.5, Harttaburg, FA 17105-3225, You should sond copies of any pro- test to Progressive Ener- ry Consultants, LLC's at- torney at the Admess histor below.
Py and through Coursel: Usher Fore Progressive Energy Consultants, LLC 1548 S. Muscun Avenue, F127 Clearwater, PL 33706 Ph: 722-473-0561 Fs: 727-475-0577

The Patriot-News Co. 2020 Technology Pkwy Suite 300 Harrisburg, PA 17101 Inquiries - 717-255-8213

Now you know

PROGRESSIVE ENERGY CONSULTANTS LLC 1548 S. MISSOURI AVE. #321

CLEARWATER

FL 33756

### THE PATRIOT NEWS THE SUNDAY PATRIOT NEWS

### Proof of Publication

Under Act No. 587, Approved May 16, 1929 Commonwealth of Pennsylvania, County of Dauphin} ss

Marianne Miller, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

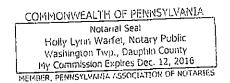
That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

PUBLICATION COPY

This-ad # 0002256063 ran on the dates shown below: April 18, 2013

Sworn to and subscribed before me this 18 day of April, 2013 A.D. Notary Public



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 PENNSYLVANIA

 PUBLICUTILITY COMMISSION

 NOTICE

 Application at Progressive Energy

 Application at Progressive Energy

 Management

 Application at Progressive Energy

 Application at Progressive Energy

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

## ATTACHMENT D



## **Broker Licensing List**

Maryland Electricity Supplier Broker Services License Reference Number IR-3128

New Jersey Energy Agent Registration No. EA-0246, Expiration Date: August 20, 2014

Ohio Power Broker Certificate Number 13-708E (1), Expiration Date: July 6, 2015

Pennsylvania Electric Supplier License (for Brokering) Pending receipt of license COMMISSIONERS

W. KEVIN HUGHES CHAIRMAN

HAROLD D. WILLIAMS LAWRENCE BRENNER KELLY SPEAKES-BACKMAN STATE OF MARYLAND

# PUBLIC SERVICE COMMISSION

## #10, 8/14/13 AM; ML#s 147963 and 148496 License Reference No.: IR-3128

August 14, 2013

Lisa Berry Progressive Energy Consultants, LLC 1548 S. Missouri Avenue, #321 Clearwater, FL 33756

Dear Ms. Berry:

On June 12, 2013, Progressive Energy Consultants, LLC ("Company") filed an Application for a license to supply electricity or electric generation services in Maryland under COMAR 20.51. The Company proposes to provide electricity supplier services in Maryland for residential, commercial and industrial customers as described in the application. Additional information was filed on July 15, 2013.

After considering this matter at the August 14, 2013 Administrative Meeting, the Commission granted the Company a license to supply electricity or electric generation services in Maryland in accordance with its Application (License Reference Number IR-3128). The license granted by the Commission under this Letter Order is limited solely to electricity supplier broker services to residential, commercial, and industrial customers.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

David J. Collins Executive Secretary

DJC/st cc: Phil VanderHeyden, Electricity Division

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806



44 S. Clinton Ave., P.O. Box 350, Trenton, New Jersey 08625

# HEREBY REGISTERS

Progressive Energy Consultants, LLC 1548 S. Missouri Avenue, #321

Clearwater, Florida 33756

To conduct business in the State of New Jersey as an

**Energy Agent** 

Kristi Izzo Secretary of the Board Registration No.EA-0246Effective Date:August 21, 2013Expiration Date:August 20, 2014



Commissioners Steven D. Lesser Asim Z. Haque Lynn Slaby M. Beth Trombold

John Kasich, Governor Todd A. Snitchler, Chairman

Public Utilities Commission

# **PUBLIC UTILITIES COMMISSION OF OHIO**

Certified as a Competitive Retail Electric Service Provider

Certificate Number: <u>13-708E (1)</u>

Issued Pursuant to Case Number(s):

### 13-1331-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, Progressive Energy Consultants, LLC whose office or principal place of business is located at 1548 S. Missouri Avenue, #321, Clearwater, FL 33756 to provide <u>aggregation and power broker</u> services within the State of Ohio effective July 06, 2013.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: 7/9/2013

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

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Barcy F. McNeal, Secretary Betty McCauley, Acting Secretary Tanowa M. Troupe, Acting Secretary

Certificate Expires: July 06, 2015

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business 180 East Broad Street echnician \_\_\_\_\_ Date Processed UL 0.9 2013 Columbus, Ohio 43215-3793

# CRES AUTOMATIC CASE ACTION FORM

Case No. 13-1331-EL-AGG

Date Sent: July 8, 2013

Effective Date of Certificate: July 6, 2013

Certificate Expires: July 6, 2015

Company Name and Company Name d/b/a: Progressive Energy Consultants, LLC

Renewal

#### Action Needed:

- Issue Certificate Number to: Progressive Energy Consultants, LLC at (address): 1548 S. Missouri Avenue #321, Clearwater, FL 33756
- Certified To Provide the Following Services:
  - Retail Generation
  - Aggregation
  - Power Marketer
  - Power Broker
  - Governmental Aggregation

Renew Certificate No.	to
-----------------------	----

- Revise Certificate No. to (check all applicable):
  - Reflect name change from to
  - Reflect address change from to
  - Add new service offering to certificate:
    - Retail Generation
  - Aggregation
  - Power Marketer
  - Power Broker
  - Governmental Aggregation
  - Correct Administrative Error
  - Reflect Change of Ownership to:
- Cancel Certificate No.
- Protect Un-redacted copies until
- Close Case File, Case Withdrawn at Applicant's Request
- Close Case File

CASE NUMBER:	13-1331-EL-AGG		
CASE DESCRIPTION:	PROGRESSIVE ENERGY CONSULTANTS LLC		
DATE OF SERVICE:	7/9/2013		
DOCUMENT SIGNED ON: $7/9/203$			

Sign Here:\_\_\_\_\_

### APPLICANT

PARTY OF RECORD

ATTORNEY

PROGRESSIVE ENERGY CONSULTANTS LLC NONE DEBORAH JOYCE 1548 S MISSOURI AVE # 321 CLEARWATER,FL 33756 Phone:888-978-6445

# PENNSYLVANIA PUBLIC UTILITY COMMISSION Harrisburg, PA 17105-3265

Public Meeting held September 12, 2013

Commissioners Present:

Robert F. Powelson, Chairman John F. Coleman, Jr., Vice Chairman Wayne E. Gardner James H. Cawley Pamela A. Witmer

Docket No: A-2013-2359823

License Application of Progressive Energy Consultants, LLC for Approval to Offer, Render, Furnish or Supply Electricity or Electric Generation Services as a Broker/Marketer

#### ORDER

## BY THE COMMISSION:

On April 25, 2013, Progressive Energy Consultants, LLC (PEC) filed an application seeking to become a licensed electric generation supplier (EGS) in the electric distribution company (EDC) service territories of Metropolitan Edison Company, Pennsylvania Power Company, West Penn Power, Pennsylvania Electric Company, PECO Energy Company and PPL Electric Utilities Corporation in the Commonwealth of Pennsylvania. The application was filed pursuant to the Commission's regulations at 52 Pa. Code §§ 54.31-54.43, which became effective on August 8, 1998, and which were established under section 2809 of the Public Utility Code, 66 Pa. C.S. § 2809. Section 2809 provides in pertinent part that:

License Requirement.--No person or corporation, including municipal corporations which choose to provide service outside their municipal limits except to the extent provided prior to the effective date of this chapter, brokers and marketers, aggregators and other entities, shall engage in the business of an electric generation supplier in this Commonwealth unless the person or corporation holds a license issued by the Commission. 66 Pa. C.S. §2809.

An electric generation supplier is defined as:

A person or corporation, including municipal corporations which choose to provide service outside their municipal limits except to the extent provided prior to the effective date of this chapter, brokers and marketers, aggregators or any other entities, that sells to end-use customers electricity or related services utilizing the jurisdictional transmission or distribution facilities of an electric distribution company, or that purchases, brokers, arranges or markets electricity or related services for sale to end-use customers utilizing the jurisdictional transmission and distribution facilities of an electric distribution company. 66 Pa. C.S. § 2803.

PEC is a foreign limited liability company organized in the State of Florida as of January 1, 2013, and registered to do business in Pennsylvania as of February 20, 2013. By its application, PEC proposes to act as a broker/marketer of retail electric power to residential, small commercial (25kW and under demand), large commercial (over 25kW demand), industrial and governmental customers. PEC has indicated that it will not be taking title to electric power, nor paying customers' bills in its broker/marketer role.

Since PEC will be providing marketer services to residential customers, it shall be required to comply with, and be governed by, applicable Chapter 56 residential service regulations as set forth in the Commission Order *Guidelines for Maintaining Customer Service at the Same Level of Quality Pursuant to 66 Pa. C.S. § 2807(d), and* 

Assuring Conformance with 52 Pa. Code Chapter 56 Pursuant to 66 Pa. C.S. § 2809(e) and (f) at Docket No. M-00960890F0011, Order entered July 11, 1997. Thus, we deem it appropriate to reiterate certain items with respect to Chapter 56 of our regulations. Chapter 56 (52 Pa. Code Chapter 56) is applicable to residential accounts. An EGS cannot physically disconnect a residential customer from the electricity grid; therefore, the rules relating to residential service termination are not applicable to EGSs. An EGS may seek to terminate its generation service through an appropriate written notice to the customer and the distribution company. The residential customer can then attempt to repair their relationship with the supplier, seek a new supplier, or return to utility service at default service rates in accordance with the utility's obligations under section 2807(e), 66 Pa. C.S. § 2807(e). The customer would only be disconnected from the electricity grid pursuant to appropriate regulations if the customer failed to meet its obligations to the utility or the EGS that has been designated by the Commission as the provider of last resort.

Additionally, we specifically note that the licensee must comply with, and ensure that its employees, agents, representatives and independent contractors comply with the standards of conduct and disclosure for licensees set out in Commission regulations at 52 Pa. Code § 54.43 that were enacted to protect consumers of this Commonwealth. These standards include, *inter alia*, the provision of timely and accurate information about the services offered by the licensee, the practice of nondiscrimination in service in regard to race, color, religion, national origin, marital status, etc., the safeguarding of a consumer's personal information, and compliance with applicable state and federal consumer protection laws. Also, we take this opportunity to remind the licensee of its agreement to abide by, and to ensure that its employees, representatives, agents and independent contractors abide by all applicable federal and state laws, and Commission regulations, procedures and orders, including Emergency Orders, which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

PEC has provided proof of publication in Pennsylvania newspapers and proofs of service to the interested parties as required by the Commission.

Regarding the financial and technical requirements of the license application PEC has supplied balance sheets and income statements as well as tax returns for 2010 and 2011 on behalf of its supporting company. PEC has also provided historical employment information for several executive, management and staff personnel indicating that they have the necessary consulting, utility and/or regulatory experience. PEC's affiliate business under the name of American Power and Gas of Pennsylvania, LLC, Docket numbers A-2013-2364337 and A-2013-2355561 was recently approved for natural gas supplier and electric generation supplier licenses respectively. Sufficient information has been provided by PEC demonstrate its financial and technical fitness in order to be licensed as a broker/marketer in the Commonwealth of Pennsylvania.

PEC did not provide a \$250,000 bond as required by the license application; however, it did provide a bond in the amount of \$10,000 and requests that the Commission reduce its security requirement from \$250,000 to \$10,000. In support of this request, PEC explains that it will not be collecting any gross receipts from its customers or generating electricity. Its services include analyzing client electricity loads and negotiating the purchase of electricity for its clients.

The Commission believes that the reasoning on which PEC bases its request for a bond reduction from \$250,000 to \$10,000 is similar to that offered by the other EGSs that have successfully petitioned the Commission for a bonding level of \$10,000. Two of the EGSs are Co-eXprise, Inc. (Co-eX), at Docket No. A-110166, whose request was granted January 20, 2006, and Premier Energy Solutions (Premier), at Docket No. A-110170, whose request was granted July 26, 2006. In the case of Co-eX, the

company explained that, as a consultant, it will provide its customers with an evaluation and selection process from which to select the best positioned licensed electricity supplier/generator and award business. In the case of Premier, the company explained that it requested an EGS license to become EDI certified with the utilities, in order to be able to efficiently and effectively obtain electricity usage information for the customers to whom it provides consulting services. Premier intended to utilize the information to help its customers reduce their overall spending for electricity. Premier also stated that it will not take title to power.

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The Commission believes that PEC operates in a similar manner and therefore should be granted similar relief and be permitted to provide a bond or other approved security in the reduced amount of \$10,000. However, PEC's bonding level is contingent upon the company's business model as described in this Order. If PEC takes title to generation supply for its customers, and/or charges customers directly for that generation supply, a \$10,000 level of bonding may not be appropriate. Therefore, we will direct PEC to notify the Commission 45 days prior to a change in its business model, whereby PEC takes title to generation supply and/or bills its customers directly for that generation supply. This will provide the Commission with an opportunity to review and adjust PEC's approved bonding level prior to PEC implementing those changes.

> As of September 3, 2013 no protests have been filed. We find that the applicant:

 Is fit, willing and able to properly perform the service proposed in conformance with applicable provisions of the Public Utility Code and lawful Commission orders and regulations, specifically including 52 Pa. Code Chapter 56 (relating to Standards and Billing Practices for Residential Utility Service).

2. Has agreed to lawfully abide by all Commission regulations, procedures and orders, including Emergency Orders, which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

We further find that the proposed service, to the extent authorized by the license, will be consistent with the public interest and the policy declared in the Electricity Generation Customer Choice and Competition Act.

Upon full consideration of all matters of record, we find that approval of this application is necessary and proper for the service, accommodation and convenience of the public; **THEREFORE**,

### IT IS ORDERED:

1. That the application Progressive Energy Consultants, LLC is hereby approved, consistent with this Order.

2. That Progressive Energy Consultants, LLC's request for a reduction in the bond level from \$250,000 to \$10,000 is hereby granted.

3. That the security amount of \$10,000 shall remain in effect for Progressive Energy Consultants, LLC as long as Progressive Energy Consultants, LLC does not make a change to its business model in Pennsylvania, whereby it would take title to generation supply and/or bill its customers directly for generation supply.

4. That a license be issued authorizing Progressive Energy Consultants, LLC to begin to offer, render, furnish or supply electric generation supplier services as a broker/marketer to residential, small commercial (25kW and under demand), large commercial (over 25kW demand), industrial and governmental customers in the electric distribution company service territories of Metropolitan Edison Company, Pennsylvania Power Company, West Penn Power, Pennsylvania Electric Company, PECO Energy Company and PPL Electric Utilities Corporation in the Commonwealth of Pennsylvania, as specified in the Order.

5. That if Progressive Energy Consultants, LLC proposes to change its business model as described in Ordering Paragraph No. 3, it must notify the Commission at least 45 days prior to the changes. With the notice, the Company must provide an update to the nature and scope of business information that was required by Pa. Code § 54.40(c) to justify the modification granted in Ordering Paragraph No. 3. This will provide the Commission the opportunity to review Progressive Energy Consultants, LLC's bonding level and adjust as appropriate, prior to Progressive Energy Consultants, LLC implementing the proposed changes to its business model.

6. That if Progressive Energy Consultants, LLC changes its business model without providing to the Commission the notice and information required in Ordering Paragraph No. 5, then the reduced bonding level permitted by Ordering Paragraph No. 2 shall cease effective with the date of the change in the business model. The level of bonding effective at that time shall be \$250,000 or the level required by 52 Pa. Code § 54.40, whichever is greater.

7. That this proceeding at Docket No. A-2013-2359823 be closed.

Y THE COMMISSION, Osenn rearto

Rosemary Chiavetta Secretary

(SEAL)

ORDER ADOPTED: September 12, 2013 ORDER ENTERED: September 12, 2013

# **PROGRESSIVE ENERGY CONSULTANTS, LLC**

# **Company Profile, Experience & Forecasted Business Activity**

<b>Progressive Energy Consultants</b> 1548 S. Missouri Ave. #321 Clearwater, FL 33756	<b>Owner</b> Deborah Joyce
<b>Contact</b> Tel: 727-479-0681 Fax: 727-479-0577 Email: DebbieJ@cssllc.biz	Statistics Staff: 9

# What We Do

Progressive Energy Consultants (PEC) is a professional energy brokerage; we specialize in providing solutions to large high usage consumers, by providing custom tailored energy rates to fit their needs.

# The Industry

The executives and staff of Progressive Energy Consultants have a combined 45 years in the energy industry. This includes customer acquisition and customer service for millions of consumers in literally every Choice program across America.

## **The Successful Process**

At Progressive Energy Consultants we invest a lot of time and consideration into making sure the customer is getting exactly what they want, be it a fixed or variable rate. We always think of the customer first and negotiate on their behalf to provide the best-tailored energy rates the market has to offer. Progressive Energy Consultants has never had a complaint, a statistic the entire company is very proud of.

# Operations

Progressive Energy Consultants started operations on 1/1/2013 and presently has 9 brokers operating in the different deregulated states. Under a different name, we manage a book of business consisting of 866 customers under electric contracts, annually using a combined 336,081,290 kWh and a book of business consisting of 200 customers under natural gas contracts, annually using a combined 490,000 Mcf.

### Licensing

Maryland Electricity Supplier Broker Services License Reference Number IR-3128

New Jersey Energy Agent Registration No. EA-0246, Expiration Date: August 20, 2014

Ohio Power Broker Certificate Number 13-708E (1), Expiration Date: July 6, 2015

Pennsylvania Electric Supplier License (for Brokering) Pending receipt of license

