

Alan M. Seltzer

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November 13, 2013

VIA E-FILING

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2nd Floor Harrisburg, PA 17120

Re: Guttman Energy, Inc.'s Natural Gas Supplier License Application, Docket No. A-2013-2374950

Dear Secretary Chiavetta:

On October 31, 2013, the Pennsylvania Public Utility Commission ("Commission") approved Guttman Energy, Inc.'s ("Guttman Energy") natural gas supplier license application at Docket No. A-2013-2374950. This authorization licensed Guttman Energy to provide natural gas services to commercial, industrial and governmental customers in the service territories of Peoples Natural Gas Company and Peoples TWP LLC. By this letter and amended filing, Guttman Energy hereby provides notice to the Commission of the following updates to its application:

- Updates are made with respect to the contact persons listed in Guttman Energy's application. Attached as *Attachment 1* is an updated application page 1 reflecting a change in the regulatory contact person from Gary Smelko to Mike Young (Item 2.a.) and a change in the PEMA contact person from Gary Smelko to Patty Davis (Item 2.b.). Attached as *Attachment 2* are biographies of two key individuals who recently joined Guttman Energy's Natural Gas and Power Division, Mike Senff and Brad Quester.
- Guttman Energy hereby requests authorization to provide retail natural gas supplier services in the additional natural gas distribution company service territories of:
 - o Columbia Gas of Pennsylvania ("Columbia")
 - o Equitable Gas Company ("Equitable")
 - o National Fuel Gas Distribution Corporation ("National Fuel")
 - o Philadelphia Gas Works ("PGW")

Rosemary Chiavetta, Secretary November 13, 2013 Page 2

Attached as *Attachment 3* are letters from the four additional gas companies waiving a bond or other financial security requirement for Guttman Energy. In addition, an updated application page 5 revising the list of natural gas distribution company service territories in which Guttman Energy proposes to provide retail natural gas supplier services is attached as *Attachment 1*. Please note that Guttman Energy previously published notice of its application for all six service territories in all seven newspapers in the Commonwealth required for statewide authority. For reference, attached as *Attachment 4* are copies of the Affidavit and Notice that Guttman Energy filed with the Commission along with its application and proofs of publication on July 12, 2013.

Guttman Energy respectfully requests that the Commission amend Guttman Energy's natural gas license to include the additional service territories of Columbia, Equitable, National Fuel, and PGW.

If you have any questions regarding this amended filing, please contact me.

Very truly yours,

Alan M. Seltzer John Povilaitis

AMS/gm Attachments

cc: Certificate of Service

Updated NGS Application Pages 1 and 5

ATTACHMENT 1

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of <u>Guttman Energy, Inc.</u> d/b/a <u>N/A</u>, for approval to offer, render, furnish, or supply natural gas services as a(n) <u>Supplier, Marketer/Broker or Aggregator to</u> the public in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

 IDENTITY OF THE APPLICANT: The name, address, telephone number, and FAX number of the Applicant are:

> Guttman Energy, Inc. 200 Speers Street, Belle Vernon, PA 15012 Phone: 724 483 8051 Fax: 724 489 5138 http://www.guttmanenergy.com

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

Guttman Oil Company (prior legal name of Applicant)

The Belle Vernon, PA address applies to the above.

 a. CONTACT PERSON: The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are:

Mike Young, Vice President and General Manager 200 Speers Street, Belle Vernon, PA 15012 Phone: 724 483 8051 Fax: 724 489 5138 mryoung@quttmanenergy.com

b. CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY: The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA:

Patty Davis, Head of Operations 200 Speers Street, Belle Vernon, PA 15012 Phone: 724 483 8051 Fax: 724 489 5138 pdavis@guttmanenergy.com

3.a. **ATTORNEY:** If applicable, the name, address, telephone number, and FAX number of the Applicant's attorney are:

David E. Gould Buchanan Ingersoll & Rooney PC One Oxford Centre 301 Grant Street, 20th Floor Pittsburgh, PA 15219-1410 Phone: 412-562-5272 Fax: 412-562-1041

Fax: 412-562-1041 david.gould@bipc.com Alan M. Seltzer
John Povilaitis
Buchanan Ingersoll & Rooney PC
409 N. Second Street, Suite 500
Harrisburg, PA 17101-1357
Phone: 717-237-4862
Fax: 717-233-0852
alan.seltzer@bipc.com

 SERVICE AREA: Provide each Natural Gas Distribution Company (NGDC) in which Applicant proposes to offer services.

Applicant proposes to provide retail supplier services in the following Natural Gas Distribution Companies' service territories:

Peoples Natural Gas Company
Peoples TWP LLC (formerly TW Phillips)
Columbia Gas of Pennsylvania
Equitable Gas Company
National Fuel Gas Distribution Corporation
Philadelphia Gas Works

11.	CUSTO	CUSTOMERS: Applicant proposes to initially provide services to:	
	× × × □	Residential Customers Commercial Customers - (Less than 6,000 Mcf and Commercial Customers - (6,000 Mcf or more annul Industrial Customers Governmental Customers All of above Other (Describe):	
12.	START DATE: The Applicant proposes to begin delivering services on		
13.	NOTICE: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of the signed and verified Application with attachments on the following:		
	5th Floor, Forum Place 555 Walnut Street Strawberry Squ Harrisburg, PA 17120-1921 Commerce Building, Suite 1102 Small Business Advocate 300 North Second Street Bureau of Con Strawberry Squ Harrisburg, PA Commonwealt Department of Bureau of Com Bureau of Com		Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120
			Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946
	Any of the following Natural Gas Distribution Companies through whose transmission and distrib		

Any of the following Natural Gas Distribution Companies through whose transmission and distribution facilities the applicant intends to supply customers:

Valley Energy Inc.
Robert Crocker
523 South Keystone Avenue
Sayre, PA 18840-0340
PH: 570.888-9664
FAX: 570.888.6199
email: rcrocker@ctenterprises.org

National Fuel Gas Distribution Corp.
David D. Wolford
6363 Main Street
Williamsville, NY 14221
PH: 716.857.7483
FAX: 716.857.7479
email: wolfordd@natfuel.com

Resumes and Biographies of Two Additional Key Individuals in Guttman Energy's Natural Gas and Power Division

Resumes and Bios for Guttman Energy, Inc. Natural Gas & Power Division

Michael Senff, President

Mike Senff is President of the Natural Gas & Power Division of Guttman Energy, Inc. Prior to joining Guttman Energy, Mike was interim President of Direct Energy Business, responsible for all aspects of the North American commercial and industrial energy businesses. Mike was also serving as a member of the Direct Energy management team and an officer of the company.

Prior to that, Mike was Vice President, North American Sales and Marketing, responsible for C&I sales channels and marketing across Direct Energy's service areas. Mike also served as Vice President of Pricing Product and Portfolio Management for Direct Energy. He has over 17 years of experience in the energy industry in a variety of roles that include sales, marketing, procurement, scheduling, trading, pricing and portfolio management.

Mike also spent time with Enron Energy Services and FirstEnergy Solutions in various roles associated with the marketing and trading of power and natural gas.

Mike holds undergraduate degrees in Logistics and Business Administration from the University of Akron.

Brad Quester, Head of Retail Energy Structuring

Brad Quester is Head of Retail Energy Structuring of the Natural Gas & Power Division of Guttman Energy, Inc. His responsibilities include Pricing, Load Forecasting, Product Development, and Regulatory. Prior to joining Guttman Energy, Brad was Head of Gas at Direct Energy and was responsible for creating and executing the strategic vision for Direct Energy's US gas business to deliver substantial growth in revenue, profitability and market share. While at Direct Energy, he had experience in a variety of roles, including developing multimillion dollar investment strategy, taking leadership for US gas pricing and structuring operations.

Prior to that, Brad was Pricing Manager at Constellation NewEnergy where he managed a team and was responsible for implementation of pricing strategy and risk management, product development, oversight and improvement of the pricing process and direction of the pricing staff.

Brad holds a Bachelor of Science Degree in Economics from the Ohio State University.

Letters from four additional natural gas distribution companies regarding bonding or security requirements:

Columbia Gas of Pennsylvania Equitable Gas Company National Fuel Gas Distribution Corporation Philadelphia Gas Works



November 13, 2013

David J. Marks
Manager, Natural Gas Supply & Trading
Guttman Energy, Inc.
200 Speers St.
Belle Vernon, PA 15012

Dear Mr. Marks:

We are pleased that Guttman Energy, Inc. ("Guttman") has applied for a license to provide Natural Gas Supply Service on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Guttman could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. We have determined at this time that Guttman has met the financial security requirement to provide Natural Gas Supply Service to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Guttman changes in the future, Columbia Gas might deem it appropriate to require Guttman to provide a bond or other financial security instrument.

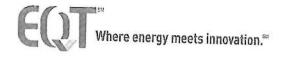
Please feel free to contact me at 614-460-4844 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Georgeanná Sturm

yawyn >

Manager, Gas Transportation and Sales Support



EQT Plaza 625 Liberty Avenue, Suite 1700 Pittsburgh, PA 15222

November 7, 2013

Mr. David J. Marks Manager Gas Supply and Trading Guttman Energy, Inc. Belle Vernon, PA 15012

Dear Mr. Marks:

Equitable Gas Company LLC ("Equitable Gas") is aware that Guttman Energy, Inc. has applied with the Pennsylvania Public Utility Commission to supply natural gas services within Equitable Gas' service market.

This is to inform you that Guttman Energy, Inc. has been approved to become a supplier to customers receiving transportation service through Equitable Gas. Should the credit profile of Guttman Energy, Inc. at any time cease to meet Equitable Gas' credit requirements, we reserve the right to require additional security.

If you have any questions, please contact Robyn Brooks at 412/553-7837.

Sincerely,

Robyn Brooks

Senior Credit Specialist

Plin comon

EQT Corp



October 25, 2013

Attn: David J. Marks
Guttman Energy, Inc.
Natural Gas & Power Division
200 Speers Street I Belle Vernon PA 15012

RE: Security Requirement for Guttman Energy, Inc.

Dear David:

Pursuant to 66 Pa. C. S. § 2208 (c), an applicant for a natural gas supplier license in the Commonwealth of Pennsylvania must furnish security to each utility where the supplier will do business to ensure the financial responsibility of such natural gas supplier. To this end, National Fuel Gas Distribution Corporation ("National Fuel") has performed a credit review and analysis of Guttman Energy, Inc. ("GEI") and has determined that GEI must post a security deposit in cash, or a form of security acceptable to National Fuel, to operate as a supplier on National Fuel's system.

GEI's security requirement to serve Pennsylvania customers is dependent on the type of transportation service utilized by GEI. There is no Natural Gas Supplier (NGS) security requirement for customers that will be enrolled in National Fuel's Purchase of Receivable (POR) program. As such, GEI will not be required to post security for customers enrolled in the POR program. A security deposit will be required for transportation customers not enrolled in the POR program.

Should you have any questions concerning the above, please contact me at 716-857-7599.

Nathan E. Barnes

Transportation Services Department

Philadelphia Gas Works



Raymond M. Snyder – Vice President, Gas Management 800 W. Montgomery Avenue, Philadelphia, PA 19122 Telephone: (215) 684-6405 Fax: (215) 684-6602

October 31, 2013

Mr. David Marks
Manager, Natural Gas Supply & Trng.
Guttman Energy, Inc.
Natural Gas & Power Division
200 Speers Street 1
Belle Vernon, PA 15012

Re; Security Requirement Bond for Guttman Energy, Inc.

Dear Mr. Marks:

Philadelphia Gas Works (PGW) is aware that Guttman Energy, Inc. has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Guttman Energy, Inc. must furnish an acceptable security to each utility where Guttman Energy, Inc. will do business. As such, under its tariff, Philadelphia Gas Works could require Guttman Energy, Inc. to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, since you are just beginning the process with the PAPUC and the Philadelphia Gas Works to become a supplier, the Philadelphia Gas Works at this time will not require any type of bond or security.

If circumstances should change during the vetting of the application, Philadelphia Gas Works reserves the right to require security from Alternative Utility Services, Inc. as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at (215) 684-6405.

Raymond M. Snyder

Vice President, Gas Management

RMS:b

Sincerel

Affidavit and Notice of the Filing of Application Published in Area Newspapers

ATTACHMENT 4

AFFIDAVIT

[Commonwealth/State] of Pennsylvania

SS.

County of Washington:

Raymond D. Horsmon, Affiant, being duly [sworn/affirmed] according to law, deposes and says

He is the Assistant Secretary (Office of Affiant) of Guttman Energy, Inc. (Name of Applicant);

That he is authorized to and does make this affidavit for said Applicant;

That Guttman Energy, Inc., the Applicant herein certifies that it will cause the notice of the filing of its license application to be published in the following newspapers on June 14, 2013.

- · Philadelphia Daily News
- Harrisburg Patriot-News
- Scranton Times Tribune
- Williamsport Sun Gazette
- Johnstown Tribune Democrat
- Erie Times-News
- Pittsburgh Post-Gazette

A copy of the notice as it will appear in each of the above newspapers will be forwarded and noted on each copy in the newspaper section (name, number or letter), if applicable, and the page number on which the notice appears.

That Guttman Energy, Inc., the Applicant will submit to the Commission the proof of publication from each newspaper in which notice of the application filing will be published as soon as it is available.

That the facts above set forth are true and correct to the best of his knowledge, information, and belief, and that he expects said Applicant to be able to prove the same at hearing.

Signature of Affiant

Sworn and subscribed before me this 11th day of June, 2013.

Signature of official administering oath

My commission expires:

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL Speers Boro., Weshington County My Commission Expires September 20, 2014

Natural Gas Supplier License Application PA PUC Document #: 139346

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Guttman Energy, Inc. (d/b/a "Guttman Energy") For Approval To Offer, Render, Furnish Natural Gas Supply Services as a Marketer/Broker or Aggregator Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

On June 19, 2013, Guttman Energy will file an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, (2) a broker/marketer engaged in the business of supplying natural gas, and (3) an aggregator engaged in the business of providing natural gas supply services. Guttman Energy proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Guttman Energy may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Guttman Energy's attorney at the address listed below.

By and through Counsel: Buchanan & Ingersoll

Guttman Energy, Inc. 200 Speers Street Belle Vernon, PA 15012 Phone: 800 245 8051

Fax: 724 489 5138

Publications in which to run this ad to comply with rules regarding serving the entire Commonwealth:

Philadelphia Daily News Harrisburg Patriot-News Scranton Times Tribune Williamsport Sun Gazette Johnstown Tribune Democrat Erie Times-News Pittsburgh Post-Gazette

COMMONWEALTH OF PENNSYLVANIA

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Guttman Energy, Inc.'s Natural Gas Supplier:

License Application

Docket No. A-2013-2374950

CERTIFICATE OF SERVICE

I hereby certify that I have this day served a true and correct copy of the foregoing Amended Filing upon the person listed below, which service satisfies the requirements of 52 Pa. Code §§ 5.14 and 1.54, as follows:

Via First Class Mail

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101

Columbia Gas of Pennsylvania Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215

National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Equitable Gas Company Jerald Moody 225 North Shore Drive Pittsburgh, PA 15212-5352

PECO Gas Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 Peoples Natural Gas Company LLC Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212

Philadelphia Gas Works Douglas Moser 800 West Montgomery Avenue Philadelphia, PA 19122

Jeffrey McCracken
Bureau of Technical Utility Services
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 3rd Floor
Harrisburg, PA 17120
E-mail: jmccracken@pa.gov

Peoples TWP LLC Andrew Wachter 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212

UGI Central Penn, UGI Penn Natural Gas and UGI Utilities David Beasten 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677

Dated: November 13, 2013

Alan M. Seltzer John Povilaitis