

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Energy Auction House Inc., d/b/a Energy Auction House Inc., for approval to offer, render, furnish, or supply electricity or electric generation services as a Broker/Marketer to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Energy Auction House Inc.
4145 Grassmere Ln #4
Dallas, TX 75219
Energyauctionhouse.com
888-453-7778

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Legalinc Corporate Services Inc.
201 Penn Center Blvd. Ste 400
Pittsburg, PA 15235

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Lauren Langbert, President
PO Box 191677
Dallas, TX 75219
888-453-7778 x1
855-295-2823 fax
lauren@energyah.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Not using an attorney

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

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lauren@energyah.com

no alternate available

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2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

OR

X The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

OR

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OR

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
SEE ATTACHED 2b.1
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
SEE ATTACHED 2b.2
- Give name and address of officers.
SEE ATTACHMENT A

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

No affiliates doing or applying to do business in PA

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

No predecessors have operated in the preceding 5 years

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

- The Applicant is presently doing business in Pennsylvania as a
- municipal electric corporation
 - electric cooperative
 - local gas distribution company
 - provider of electric generation, transmission or distribution services
 - Other; Identify the nature of service being rendered.

OR

- X The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- X Broker/Marketer engaged in the business of supplying electricity services
- Electric Cooperative and supplier of electric power
- Other (Describe):

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Energy Auction House intends to act as an intermediary in the sale and purchase of electric energy

d. **PROPOSED SERVICE AREA:** Provide a list of each Electric Distribution Company for which the Applicant proposes to provide service.

Allegheny Power, PECO, Duquesne Light Company, PPL, Met-Ed, Penelec, Penn Power, UGI, Citizens' Electric Company, Pike County Light and Power Company, Wellsboro Electric Company

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- X Small Commercial Customers - (25 kW and Under)
- X Large Commercial Customers - (Over 25 kW)
- X Industrial Customers
- X Governmental Customers
- All of above
- Other (Describe):

f. **PROPOSED MARKETING METHOD** (*check all that apply*)

- X Internal – Applicant will use its own internal resources/employees for marketing
- X External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- X Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- X External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

g. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- X No

If yes, will the Applicant be using a Third Party Verification procedure?

- Yes
- No

If yes, describe the Applicant's Third Party Verification procedures.

h. **START DATE:** Provide the approximate date the Applicant proposes to begin services within the Commonwealth.

As soon as application is approved

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

No criminal or civil proceedings

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

n/a

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

No actions or complaints

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

n/a

6. PROOF OF SERVICE

(Example Certificate of Service is attached at Appendix C)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

- b.) **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Allegheny Power:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Pike County Light & Power Company:
Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Wellsboro Electric Company:
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond, or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **original** (along with copies) of another initial security for Commission approval, to ensure financial responsibility.
- X Filing for a modification to the \$250,000 requirement and furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

- *At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.*
- *Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.*
- *Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.*

SEE ATTACHMENT A

b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following: SEE ATTACHMENT 7B

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

- c. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Lauren Langbert, President
PO Box 191677
Dallas, TX 75219
888-453-7778 x1
855-295-2823 fax
Lauren @energyah.com

- d. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a.) **EXPERIENCE, PLAN, STRUCTURE:** such information may include: SEE ATTACHMENT B

- Applicant's previous experience in the electricity industry.
 - Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
 - Type of customers and number of customers Applicant currently serves in other jurisdictions.
 - Staffing structure and numbers as well as employee training commitments.
- SEE ATTACHMENT E (training slides)
- Business plans for operations within the Commonwealth.
 - Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
 - Any other information appropriate to ensure the technical capabilities of the Applicant.

- b.) **OFFICERS:** Identify Applicant's chief officers including names and their professional resumes.

SEE ATTACHMENT C

- c.) **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- x Not applicable

9. DISCLOSURE STATEMENT:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- x ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

- b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.

- x AGREED

c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201–54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

x AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

x AGREED

e. **ASSESSMENT:** The Commission does not presently assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see *PPL Energyplus, LLC v. Commonwealth*, 800 A.2d 360 (Pa. Cmwlth. 2002).

x ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

x AGREED

g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

x AGREED

h. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

 AGREED

i. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

 AGREED

j. Electronic Data Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at appendix J.

 AGREED

k. FEE: The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

 PAYMENT ENCLOSED

11. AFFIDAVITS

a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

b.) OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

SEE ATTACHMENT D

	<u>Duquesne</u>	<u>Met Ed</u>	<u>PECO</u>	<u>Penelec</u>	<u>Penn Power</u>	<u>PPL</u>	<u>UGI</u>	<u>West Penn</u>	<u>Entire Commonwealth</u>
Philadelphia Daily News		X	X			X			X
Harrisburg Patriot-News		X		X		X		X	X
Scranton Times Tribune		X		X		X	X		X
Williamsport Sun Gazette				X		X		X	X
Johnstown Tribune Democrat				X				X	X
Erie Times-News				X	X				X
Pittsburgh Post-Gazette	X				X			X	X

(Example Publication is provided at Appendix H)

13. SIGNATURE

Applicant: ENERGY AUCTION HOUSE INC
 By: *James Gray*
 Title: President

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14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: Energy Auction House Inc.

Applicant's Use	✓	Signature	✓
	✓	Filing Fee	✓
	✓	Application Affidavit	✓
	✓	Operations Affidavit	✓
	✓	Proof of Publication	✓
	✓	Bond or Letter of Credit	✓
	✓	Tax Certification Statement	✓
	✓	Commonwealth Department of State Verification	✓
	✓	Certificate of Service	✓

PUC Secretary's Bureau Use

Appendix A

APPLICATION AFFIDAVIT

State of Texas :
: SS.
County of Dallas :

Lauren Langbert, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

She is the President of Energy Auction House inc;

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Energy Auction House Inc. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Energy Auction House Inc. has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Energy Auction House Inc. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Energy Auction House Inc. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Handwritten signature of Lauren Langbert, President
Signature of Affiant

Sworn and subscribed before me this 2 day of Dec, 2013.

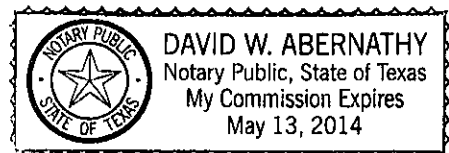
Handwritten signature of David W. Abernathy
Signature of official administering oath

My commission expires 5-13-14

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Appendix B

OPERATIONS AFFIDAVIT

State of Texas :

: ss.

County of Dallas :

Lauren langbert, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

She is the President of Energy Auction House Inc.;

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Energy Auction House Inc., the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Energy Auction House Inc., the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Energy Auction House Inc., the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That Energy Auction House Inc., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

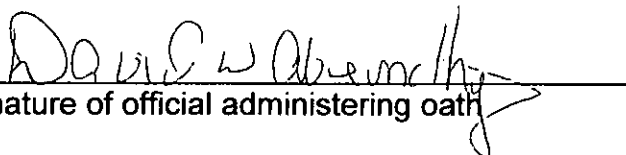
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



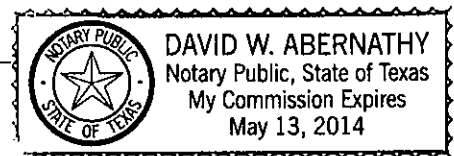
Signature of Affiant

Sworn and subscribed before me this 2 day of Dec, 2013.



Signature of official administering oath

My commission expires 5-13-14



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Appendix C

**Example
CERTIFICATE OF SERVICE**

On this the 2nd day of December 2013, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

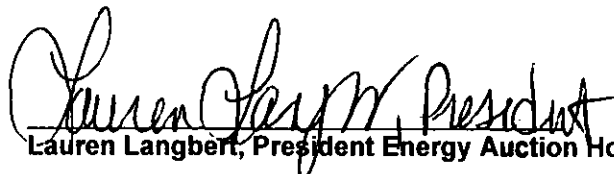
Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

RECEIVED

DEC - 3 2013

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU


Lauren Langbert, President Energy Auction House Inc.

2b.1

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.STATE.PA.US/CORP

Energy Auction House Inc.

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4215736

RECEIVED

DEC - 3 2013

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Energy Auction House Inc.
PO Box 191677
Dallas, TX 75219

2b.1

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

Application for Certificate of Authority
(15 Pa.C.S.)

Foreign Business Corporation (§ 4124)
 Foreign Nonprofit Corporation (§ 6124)

Name
Energy Auction House Inc.
Address
PO Box 191677
City State Zip Code
Dallas, TX 75219

Document will be returned to the name and address you enter to the left.

Commonwealth of Pennsylvania
CERTIFICATE OF AUTHORITY 3 Page(s)



Fee: \$250

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations), the undersigned, hereby states that:

1. The name of the corporation is:
Energy Auction House Inc.

2. Complete only when the corporation must adopt a corporate designator for use in Pennsylvania.
The name which the corporation adopts for use in this Commonwealth is:

3. If the name set forth in paragraph 1 or 2 is not available for use in this Commonwealth, complete the following:
The fictitious name which the corporation adopts for use in transacting business in this Commonwealth is:

The corporation shall do business in Pennsylvania only under such fictitious name pursuant to the attached resolution of the board of directors under the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations) and the attached form DSCB:54-311 (Application for Registration of Fictitious Name).

4. The name of the jurisdiction under the laws of which the corporation is incorporated is: NV

5. The address of its principal office under the laws of the jurisdiction in which it is incorporated is:
8670 West Chayenne Ave Ste 2008 Las Vegas NV 89129

PA DEPT. OF STATE
SEP 04 2013

PA DEPT. OF STATE
SEP 23 2013

26.1

6. The (a) address of this corporation's proposed registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street City State Zip County

(b) Name of Commercial Registered Office Provider County

c/o: Legalinc Corporate Services Inc. Allegheny

7. Check one of the following:

Business Corporation: The corporation is a corporation incorporated for a purpose or purposes involving pecuniary profit, incidental or otherwise.

Nonprofit Corporation: The corporation is a corporation incorporated for a purpose or purposes not involving pecuniary profit, incidental or otherwise.

IN TESTIMONY WHEREOF, the undersigned corporation has caused this Application for Certificate of Authority to be signed by a duly authorized officer thereof

this 29th day of August,
2013

Energy Auction House Inc.

Name of Corporation

Shuren Shuren, Pres.
Signature

President

Title

26.2



040101



ROSS MILLER
Secretary of State
204 North Carson Street, Suite 4
Carson City, Nevada 89701-4520
(775) 684-5708
Website: www.nvsos.gov

Articles of Incorporation
(PURSUANT TO NRS CHAPTER 78)

Filed in the office of Ross Miller Secretary of State State of Nevada	Document Number 20130480759-19
	Filing Date and Time 07/23/2013 8:48 AM
	Entity Number E0357612013-2

(This document was filed electronically.)

USE BLACK INK ONLY - DO NOT HIGHLIGHT

ABOVE SPACE IS FOR OFFICE USE ONLY

1. Name of Corporation:	ENERGY AUCTION HOUSE INC.		
2. Registered Agent for Service of Process: (check only one box)	<input checked="" type="checkbox"/> Commercial Registered Agent: LEGALINC CORPORATE SERVICES INC. Name		
	<input type="checkbox"/> Noncommercial Registered Agent (name and address below) OR <input type="checkbox"/> Office or Position with Entity (name and address below)		
	Name of Noncommercial Registered Agent OR Name of Title of Office or Other Position with Entity		
	Street Address	City	Zip Code
	Mailing Address (if different from street address)	City	Zip Code
3. Authorized Stock: (number of shares corporation is authorized to issue)	Number of shares with par value: 1500	Par value per share: \$ 0.01	Number of shares without par value: 0
4. Names and Addresses of the Board of Directors/Trustees: (each Director/Trustee must be a natural person at least 18 years of age; attach additional page if more than two directors/trustees)	1) LAUREN LANGBERT Name		
	PO BOX 191677 Street Address	DALLAS City	TX 75219 State Zip Code
	2) _____ Name		
	Street Address	City	State Zip Code
5. Purpose: (optional; see instructions)	The purpose of the corporation shall be: ANY LEGAL PURPOSE		
6. Name, Address and Signature of Incorporator: (attach additional page if more than one incorporator)	LEGALINC CORPORATE SERVICES INC. Name	X LEGALINC CORPORATE SERVICES INC. Incorporator Signature	
	8670 WEST CHEYENNE AVE SUITE 2008 Address	LAS VEGAS City	NV 89129 State Zip Code
7. Certificate of Acceptance of Appointment of Registered Agent:	I hereby accept appointment as Registered Agent for the above named Entity.		
	X LEGALINC CORPORATE SERVICES INC. Authorized Signature of Registered Agent or On Behalf of Registered Agent Entity	7/23/2013 Date	

This form must be accompanied by appropriate fees.

Nevada Secretary of State NRS 78 Articles
Revised: 4-10-09



Application Form for Parties Wishing to Offer, Render, Furnish, or Supply Electricity or Electric Generation Services to the Public in the Commonwealth of Pennsylvania

Attachment A

2b. Incorporated in the State of Nevada

Officers of Energy Auction House Inc.

President: Lauren Langbert
PO Box 191677
Dallas, TX 75219

Secretary: Lauren Langbert
PO Box 191677
Dallas, TX 75219

Treasurer: Lauren Langbert
PO Box 191677
Dallas, TX 75219

Director: Lauren Langbert
PO Box 191677
Dallas, TX 75219

7 a. Energy Auction House Inc. will only act as an intermediary between parties in the sale and purchase of electric energy and as such will not take title to electricity and will not pay electricity bills on behalf of its customers. Energy Auction House Inc. therefore requests a modification in the bond requirements in the amount of \$10,000.00

7 b. Energy Auction House Inc. does not have a parent company, subsidiaries or affiliates.

PO Box 191677
Dallas, TX 75219
Ph: 888-453-7778 x1
Fax: 855-295-2823
lauren@energyah.com

Energy Auction House Inc. Balance Sheet

As of November 25, 2013

7b.

	<u>Total</u>
ASSETS	
Current Assets	
Bank Accounts	
Business Fundamentals Chk (3005)	9,008.90
MS Money Market	24,666.42
Total Bank Accounts	<u>\$33,675.32</u>
Other current assets	
Undeposited Funds	0.00
Total Other current assets	<u>\$0.00</u>
Total Current Assets	<u>\$33,675.32</u>
TOTAL ASSETS	<u><u>\$33,675.32</u></u>
LIABILITIES AND EQUITY	
Liabilities	
Current Liabilities	
Other Current Liabilities	
LFL Loan	0.00
Total Other Current Liabilities	<u>\$0.00</u>
Total Current Liabilities	<u>\$0.00</u>
Total Liabilities	<u>\$0.00</u>
Equity	
Opening Balance Equity	24,666.42
Retained Earnings	24,765.00
Net Income	-15,756.10
Total Equity	<u>\$33,675.32</u>
TOTAL LIABILITIES AND EQUITY	<u><u>\$33,675.32</u></u>

Monday, Nov 25, 2013 05:23:44 PM PST GMT-6 - Cash Basis

Energy Auction House Inc.

Profit & Loss

7b.

January 1 - November 25, 2013

	Total
Income	
Total Income	
Gross Profit	<u>\$0.00</u>
Expenses	
Advertising	1,963.51
Dues & Subscriptions	60.30
Insurance - Liability	853.00
Legal & Professional Fees	1,386.00
Office Expenses	366.57
Other General and Admin Expenses	6,149.89
Promotional	425.00
Shipping and delivery expense	14.08
Taxes & Licenses	125.00
Licenses	4,412.75
Total Taxes & Licenses	<u>4,537.75</u>
Total Expenses	<u>\$15,756.10</u>
Net Operating Income	<u>\$ -15,756.10</u>
Net Income	<u><u>\$ -15,756.10</u></u>

Monday, Nov 25, 2013 05:25:27 PM PST GMT-6 - Cash Basis



Application Form for Parties Wishing to Offer, Render, Furnish, or Supply Electricity or Electric Generation Services to the Public in the Commonwealth of Pennsylvania

Attachment B

1 of 5

8a.) EXPERIENCE, PLAN, STRUCTURE:

Energy Auction House Inc. (EAH) is a newly formed brokerage service owned and operated by Lauren Langbert, a 6 year veteran of the deregulated energy industry. EAH was formed to allow suppliers to bid on customers' business via an online reverse auction platform. This provides customers with the lowest possible price and gives energy suppliers the opportunity to lower their price to win the business. EAH proprietary web based auction platform allows the customer to set a date and time for the auction at energyauctionhouse.com. Prior to the auction EAH distributes the customer's requirements to various suppliers. At the auction time the customer, suppliers and an EAH representative log into the auction platform. The suppliers begin bidding by offering a price per kWh. The suppliers then have the option to bid in a lower price. At the end of the auction the lowest price has been achieved. The customer then has the opportunity to review and sign the winning supplier's contract. The customer is under no obligation to sign the contract. If the customer is unhappy with the auction results for any reason the customer may reschedule the auction.

EAH also intends to make the auction platform available to other brokers for their use. Brokers and Marketers may offer their customers a reverse auction. Brokers will be able to utilize our auction platform to offer this service to their customers.

At this time EAH wishes to service commercial and industrial customers for both electricity and natural gas. We currently have no customers in other states. The auction platform and website are currently under construction and expect to be running by 12/15/13.

EAH is currently licensed in ME and OH. See Licenses attached. We are also currently registered to do business in the states that do not require licenses, TX, CT, NY, NH, and FL. We are currently seeking licenses in MA, RI, IL, NJ, MD and DC. We will also be registering to do business in the additional gas deregulated states of IN, VA, NC, SC, CA, GA and MI.

Lauren Langbert is the only employee of EAH at this time. As business expands that may warrant additional sales employees. See attached sales training program. EAH will use personal networks to attract customers. In addition EAH will use a referral program where an agent referring business to EAH will receive a portion of the commissions generated.

PO Box 191677
Dallas, TX 75219
Ph: 888-453-7778 x1
Fax: 855-295-2823
lauren@energyah.com

STATE OF MAINE
PUBLIC UTILITIES COMMISSION

Docket No. 2013-00428

August 28, 2013

Attachment B 2 of 5

ENERGY AUCTION HOUSE, INC.
Application for License to Operate as a
Competitive Electricity Provider

ORDER GRANTING LICENSE

WELCH, Chairman; LITTELL and VANNOY, Commissioners

I. SUMMARY

In this Order, we license Energy Auction House, Inc. to operate as a competitive electricity provider furnishing aggregator/broker services to the non-residential customer classes throughout Maine pursuant to Chapter 305 of the Commission's Rules.

II. APPLICATION AND DECISION

On August 22, 2013, Energy Auction House, Inc. (EAH) applied to the Commission to operate in Maine as a competitive electricity provider pursuant to Chapter 305. EAH proposes to provide aggregator/broker service to the nonresidential customer classes throughout Maine. EAH met all the Chapter 305 filing requirements. As a licensed competitive electricity provider, EAH is required to comply with all applicable requirements and regulations, including all conditions of licensing, customer protection rules, and the filing of annual reports. To the extent that a licensed competitive electricity provider uses third-parties to assist in obtaining customers during the course of its business, Chapter 305 § 4(C) of the Commission's rules places the responsibility on the competitive electricity provider for violations of the provisions of this section by representatives or agents acting on the CEP's behalf. Chapter 305 § 2(C)(3) also requires a competitive electricity provider to use reasonable efforts not to conduct business with any entity acting as a competitive electricity provider in Maine without a license from the Commission. Therefore, if EAH uses a third party, it is responsible to ensure that the third party has met any licensing requirements of the Commission.

Pursuant to a Delegation Order dated April 23, 2008 in Docket No. 2008-185, *PUBLIC UTILITIES COMMISSION, Delegation of Authority to License Competitive Electricity Providers*, the Commission delegated to the Director of Technical Analysis the authority under 35-A M.R.S., § 3203 (1)(2) and Chapter 305, § 2 to license competitive electricity providers. On September 12, 2009, in Docket No. 2009-260, statutory changes took effect that change the title of this position. P.L. 2009, ch. 122. The Director of Technical Analysis is now the Director of Electric and Gas Utility

Industries. All existing delegation orders that delegate Commission authority to the Director of Technical Analysis will now read that we delegate our authority to the Director of Electric and Gas Utility Industries.

ORDER GRANTING LICENSE

-2-

Docket No. 2013-00428

Accordingly, it is

ORDERED

1. That Energy Auction House, Inc. is hereby issued a license to operate as a competitive electricity provider pursuant to Chapter 305 of the Commission's Rules, to provide aggregator/broker service to the non-residential customer classes throughout Maine; and
2. That this license is effective on the date of this Order and valid until revoked or suspended by the Commission pursuant to section 3(A)(4) of Chapter 305, or abandoned by the licensee pursuant to sections 2(D)(10) and 2(D)(11) of Chapter 305 of the Commission's Rules.

Dated at Hallowell, Maine, this 28th day of August, 2013.

BY ORDER OF THE DIRECTOR OF ELECTRIC AND GAS UTILITY INDUSTRIES



Faith Huntington

ORDER GRANTING LICENSE

-3-

Docket No. 2013-00428

NOTICE OF RIGHTS TO REVIEW OR APPEAL

5 M.R.S.A. § 9061 requires the Public Utilities Commission to give each party to an adjudicatory proceeding written notice of the party's rights to review or appeal of its decision made at the conclusion of the adjudicatory proceeding. The methods of review or appeal of PUC decisions at the conclusion of an adjudicatory proceeding are as follows:



Attachment B 4 of 5

1. Reconsideration of the Commission's Order may be requested under Section 1004 of the Commission's Rules of Practice and Procedure (65-407 C.M.R.110) within 20 days of the date of the Order by filing a petition with the Commission stating the grounds upon which reconsideration is sought. Any petition not granted within 20 days from the date of filing is denied.
2. Appeal of a final decision of the Commission may be taken to the Law Court by filing, within 21 days of the date of the Order, a Notice of Appeal with the Administrative Director of the Commission, pursuant to 35-A M.R.S.A. § 1320(1)-(4) and the Maine Rules of Appellate Procedure.
3. Additional court review of constitutional issues or issues involving the justness or reasonableness of rates may be had by the filing of an appeal with the Law Court, pursuant to 35-A M.R.S.A. § 1320(5).

Note: The attachment of this Notice to a document does not indicate the Commission's view that the particular document may be subject to review or appeal. Similarly, the failure of the Commission to attach a copy of this Notice to a document does not indicate the Commission's view that the document is not subject to review or appeal.



Public Utilities Commission

John Kasich, Governor
Todd A. Snitchler, Chairman

Commissioners
Steven D. Lesser
Asim Z. Haque
Lynn Staby
M. Beth Trombold

Attachment B 575

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Certificate Number:

13-754E (1)

Issued Pursuant to Case Number(s):

13-2069-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **Energy Auction House Inc.** whose office or principal place of business is located at **4145 Grassmere Ln. #4, Dallas, TX 75205** to provide **aggregation and power broker** services within the State of Ohio **effective November 10, 2013.**

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

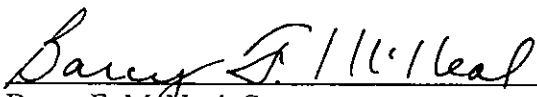
Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **11/12/2013**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO




Barcy F. McNeal, Secretary
Betty McCauley, Acting Secretary
Tanowa M. Troupe, Acting Secretary

Certificate Expires: November 10, 2015



Application Form for Parties Wishing to Offer, Render, Furnish, or Supply Electricity or Electric Generation Services to the Public in the Commonwealth of Pennsylvania

Attachment C

8 b. Lauren Langbert, President, Treasurer, Secretary

Previous Professional Experience

NEGAWATT BUSINESS SOLUTIONS • Dallas, TX

2012 - 2013

Divisional Vice President

Opened southwest regional office for Negawatt Business Solutions, a total energy solutions and management firm specializing in a broad range of leading-edge technology and services for Commercial, Industrial and Institutional clients.

- Hired, trained and directed team of 6 Executive Account Managers; developed & implemented solutions sales plans and marketing strategies to grow company footprint nationwide
- Coordinated with sales support teams to establish companywide business processes to achieve best practices related to sales – end to end
- Large clients included nationally recognized retail, software and hospitality brands

GLACIAL ENERGY • Dallas, TX

2008 – 2012

Executive responsibility for retail supplier of electricity and natural gas to Commercial & Industrial customers across all deregulated markets in the U.S.

Senior Vice President – Dallas, Texas (2010-2012)

- Directed operations and sales activities for Texas market. Trained, led and directed sales team leaders to ensure sales, service, quality and regulatory guidelines were met.
- Managed and monitored the budget with full P&L responsibility. Developed strategic plans by segmenting, allocating and deploying resources, establishing targets, quotas and forecasting of expenses
- Upheld strong client relationships to ensure high levels of client satisfaction and developed strategies for customer retention

Regional Director – Harrisburg, Pennsylvania (2009-2010)

- Penetrated market from startup status to >500 customers in first 3 months
- Hired and trained team of 25 business development managers and dozens of 3rd party channel partners; directed to meet company guidelines
- Participated in and offered expertise to team in all phases in of sales
- Assisted in the implementation and development of strategies to fit geographic and prospective customer needs

Business Development Manager – New England (2008-2009)

- Cross sold within all deregulated markets
- Developed innovative business development methodologies
- Designed new procedures to improve work flow for sales

PO Box 191677
Dallas, TX 75219
Ph: 888-453-7778 x1
Fax: 855-295-2823
lauren@energyah.com

Attachment D
1 of 7

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

November 5, 2013

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

Bernard A. Oravec

LEGAL NOTICES

**PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE**
Application of Energy Auction House Inc For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Energy Auction House Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a broker/marketer engaged in the business of supplying electricity, and (2) an aggregator engaged in the business of supplying electricity. Energy Auction House Inc. proposes to sell electricity, and related services, throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

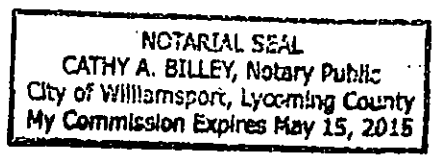
THE SUN-GAZETTE
and certifies that

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Auction House Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Energy Auction House attorney at the address listed below.

SUN-GAZETTE COMPANY

Sworn to and subscribed before me
the 7th day of November 2013

Cathy A. Billey
Notary Public



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:	
For publishing the notice attached hereto on the above state dates.....	\$ 272.14
Probated same.....	\$
Total.....	\$ 272.14

SHER'S RECEIPT FOR ADVERTISING COSTS

hereby acknowledges receipt of the aforesaid advertising and publication costs in full.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

RECEIVED

DEC - 3 2013

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA } SS
County of Cambria

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Application of Energy Auction House Inc For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Energy Auction House Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a broker/marketer engaged in the business of supplying electricity, and (2) an aggregator engaged in the business of supplying electricity. Energy Auction House Inc. proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Auction House Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Energy Auction House attorney at the address listed below:

By and through Counsel: James Stathopoulos
Energy Auction House Inc.

PO Box 191677
Dallas, TX 75219
888-453-7778
855-295-2823

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of Th
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On this 4th day of November A.D. 2013, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being, duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

County of Cambria, and Commonwealth of Pennsylvania and above matter published in said publication in the regular issues PA, on November 2, 2013; and that the Affiant is not advertising and that all of the allegations as to time, place and

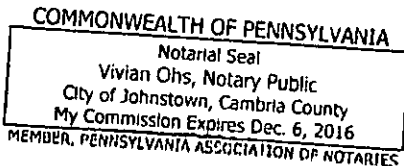
Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 4th day of November, 2013.

J. Vivian Ohs

0.00 Lines @ \$2.50 per line	0.00
45 Inches @ \$25.00 per inch	112.50
Notary Fee	5.00
<u>Clerical Fee</u>	<u>2.50</u>
Total Cost	120.00



To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____ a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

RECEIVED

By _____

DEC - 3 2013

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Attachment D 387

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

ENERGY AUCTION HOUSE INC
LAUREN LANGBERT
PO BOX 191677 DALLAS TX 75219

Account # 589829
Order # 81372646
Ad Price: 219.85

LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

Being duly sworn according to law deposes and says that she is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

11/02/2013

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me
this 4th day of November A.D., 2013

Sharon Venturi
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Sharon Venturi, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Feb. 12, 2014
Member, Pennsylvania Association of Notaries

RECEIVED

DEC - 3 2013

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of Energy Auction House Inc. for Approval to Offer, Render, Furnish or Supply Electricity or Electric Generation Services as a Marketer/Broker Engaged in the Business of Supplying Electricity, and an Aggregator Engaged in the Business of Supplying Electricity, to the Public in the Commonwealth of Pennsylvania.

Energy Auction House Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a broker/marketer engaged in the business of supplying electricity, and (2) an aggregator engaged in the business of supplying electricity. Energy Auction House Inc. proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Auction House Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Energy Auction House attorney at the address listed below.

By and through Counsel:
James Stathopoulos
Energy Auction House Inc.
PO Box 191677
Dallas, TX 75219
888-453-7778
855-295-2823

Commonwealth of Pennsylvania, County of Allegheny, ss C. Mohamed, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

04 of November, 2013

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

C. Mohamed

PG Publishing Company

Sworn to and subscribed before me this day of:
November 04, 2013

RECEIVED

DEC - 3 2013

Linda M. Gaertner

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal

Linda M. Gaertner, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires Jan. 31, 2015

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Energy Auction House Inc For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

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By and through Counsel: James Stathopoulos, Energy Auction House Inc., PO Box 191677, Dallas, TX 75219, 888-453-7778; 855-295-2823

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

STATEMENT OF ADVERTISING COSTS

Energy Auction House Inc
PO Box 191677
Dallas TX 75219

To PG Publishing Company

Total ----- \$457.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
34 Boulevard of the Allies
PITTSBURGH, PA 15222
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Maria Juce

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

Attachment D 587

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

Lauren Langbert
Energy Auction House Inc
PO Box 191677
Dallas TX 75219

REFERENCE: 77559 36983
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath; and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 11/11/13

TOTAL COST: \$409.00 AD SPACE: 0 Lines

FILED ON: 11/11/13

RECEIVED

DEC - 3 2013

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of Energy Auction House Inc For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/ Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.
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By and through Counsel: James Stathopoulos
Energy Auction House Inc.
PO Box 191677
Dallas, TX 75219
888-453-7778
855-295-2823

Sworn to and subscribed before me this 12th day of November 2013

Affiant: Debra McGraw

NOTARY: Barbara J Moore

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2016
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Attachment D 697

Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

November 4, 2013

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

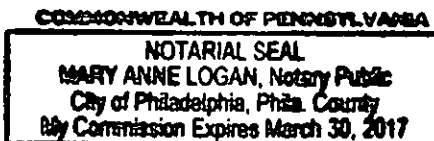
[Handwritten signature of Florence Devlin]

Sworn to and subscribed before me this 4th day of November, 2013.

[Handwritten signature of Notary Public]

Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Energy Auction House Inc. For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania. Energy Auction House Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a broker/marketer engaged in the business of supplying electricity, and (2) an aggregator engaged in the business of supplying electricity. Energy Auction House Inc. proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Auction House Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3285, Harrisburg, PA 17105-3285. You should send copies of any protest to Energy Auction House, attorney at the address listed below. By and through Counsel: James Stathopoulos Energy Auction House Inc. PO Box 191077 Dallas, TX 75219 888-453-7778 855-295-2823

RECEIVED

DEC - 3 2013

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

The Patriot-News Co.
2020 Technology Pkwy
Suite 300
Mechanicsburg, PA 17050
Inquiries - 717-255-8213

Attachment D
797

The Patriot-News
Now you know

ENERGY AUCTION HOUSE INC.
PO BOX 191677

DALLAS TX 75219

THE PATRIOT NEWS
THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929
Commonwealth of Pennsylvania, County of Dauphin} ss

Marianne Miller, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds phin in Miscellaneous Book "M", Volume 14, Page 317.

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE
Application of Energy Auction House Inc For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.
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This ad # 0002280639 ran on the dates shown below:

November 12, 2013

Sworn to and subscribed before me this 14 day of November, 2013 A.D.

Notary Public

RECEIVED

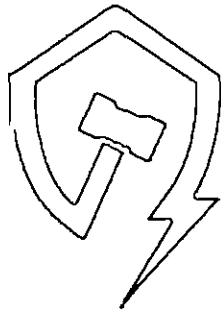
DEC - 3 2013

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Holly Lynn Warfel, Notary Public
Washington Twp., Dauphin County
My Commission Expires Dec. 12, 2016
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Auction House Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Energy Auction House attorney at the address listed below.
By and through Counsel:
James Stathopoulos
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Dallas, TX 75219
888-453-7778
855-295-2823

Attachment E 1 of 13



ENERGYAUCTION
HOUSE

WELCOME TO:
ELECTRICITY SALES
PENNSYLVANIA MARKET

Draft for illustrative purposes



E 2813

The Company

Founded 2013

Doing business in all deregulated states

Electricity-NH, ME, CT, NY, PA, OH, TX,

- Coming Soon to MA, RI, NJ, MD, DC, IL, CA

Gas – NH, ME, CT, NY, PA, OH, TX, FL

- Coming soon to MA, RI, NJ, MD, DC, IL, CA, MI, NC, SC, VA, GA

Headquarters - Dallas TX

- Sandwich, MA office opening January 2014

E 3 q 13

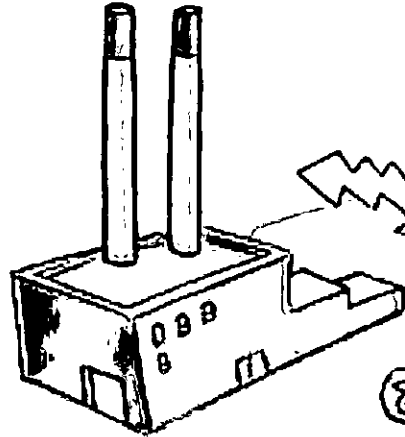
The People

Founder Lauren Langbert is a 6 year veteran of the deregulated energy industry. She has expertise in electricity and natural gas procurement, demand response programs, and energy efficiency.

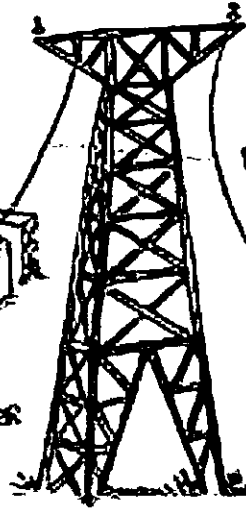
E 4 8 13

How Electricity Gets to Your Home

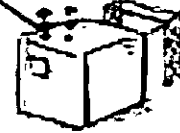
① ELECTRICITY IS GENERATED AT A POWER PLANT



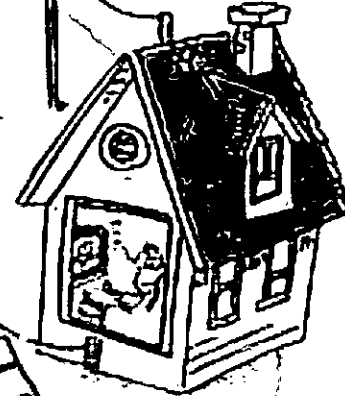
③ ELECTRICITY TRAVELS ACROSS THE PROVINCE ON TRANSMISSION LINES



⑤ ELECTRICITY IS CARRIED TO YOUR NEIGHBOURHOOD TRANSFORMERS ON DISTRIBUTION LINES



⑥ THE TRANSFORMER ON THE POLE DECREASES VOLTAGE BEFORE ENTERING YOUR HOUSE



② VOLTAGE IS INCREASED AT THE TRANSFORMER STATION



④ THE NEIGHBOURHOOD TRANSFORMER STATION DECREASES VOLTAGE



Deregulation

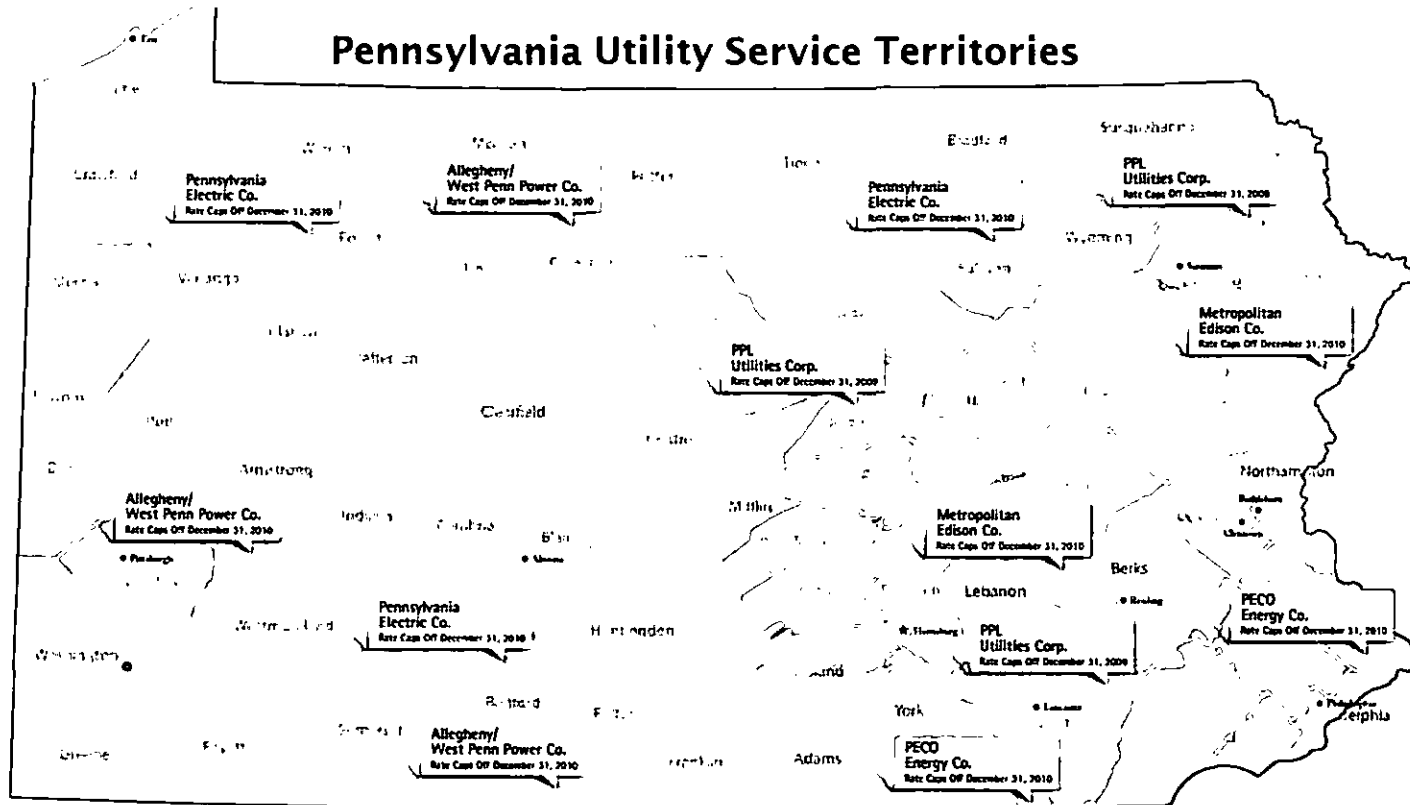
Deregulation separated the electricity business into three parts.

- Generation
- Delivery
- Supply

Suppliers purchase electricity from Generators. The local utility delivers that electricity from the grid to your home or business. There is a fee for this service and utilities will continue to bill for delivery. Suppliers will bill for the electricity consumed. In some utilities the Suppliers charges will be included in the utility bill in other utilities you will get separate bills.

E 6813

Pennsylvania Utilities

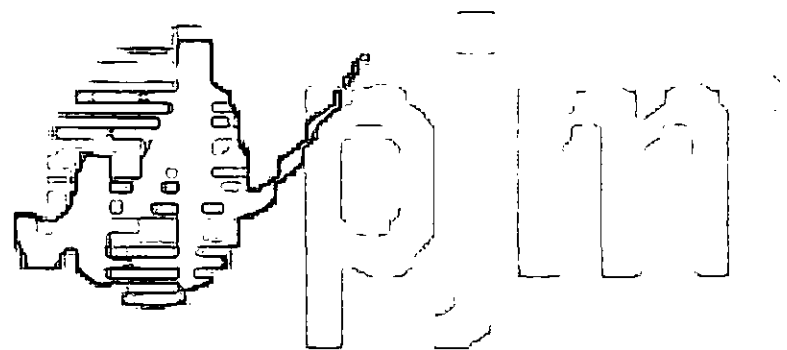


E 7 of 13

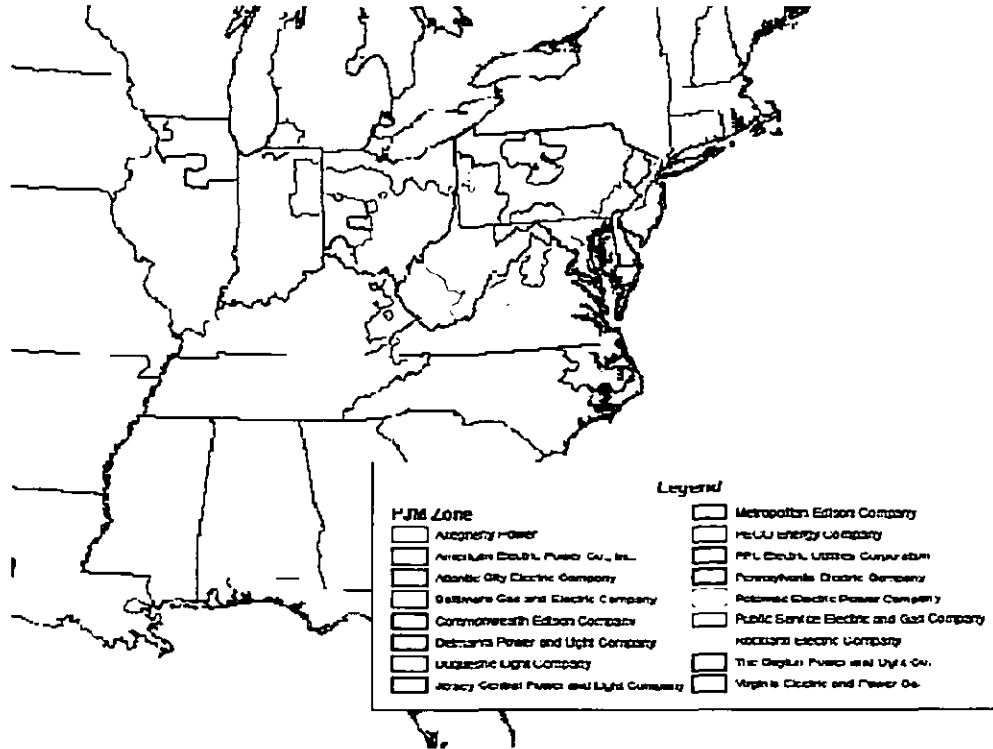
ISO

Independent
System
Operator

The electricity grid in deregulated states is monitored by an Independent System Operator (ISO). The ISO covering PA is PJM. The ISO ensures grid reliability and monitors the wholesale electricity market.



E 8 of 13



Electricity Pricing

Fixed - customers contract a certain price per kilowatt hour for the duration of their contract. Each billing period their meter is read and the customer is billed their contract price times the number of kWh they used.

Variable or Index – Each billing period the customer's price is based on the wholesale price of electricity for that billing period plus an agreed upon adder or margin. The customer is charged the calculated price times the number of kWh used according to their meter read.

Pricing Options

Traditional- EAH will submit customer information to various suppliers and receive pricing. EAH will compare offers and present the best to the customer.

Instant – Customers input their information on the website and instant offers from suppliers will populate.

Reverse Auction – EAH will submit customer information to suppliers and suppliers will prepare pricing. At a date and time set by the customer EAH will host a reverse auction on their web based auction platform at energyauctionhouse.com. All parties log in at the auction time and the suppliers enter their starting prices into the auction platform. The suppliers can see their competitors bids and may enter a lower price to win the business. The suppliers continue to lower their prices until the auction time ends. At the end of the auction the customer may review and sign the winning supplier's contract but has no obligation to do so.

E 11 9 13

Advantages of Reverse Auctions

Transparency

- Customers can see all supplier bids and therefore have confidence that they are getting the lowest price.
- Suppliers can see their competitors pricing and therefore have the option to reduce their price and win more business. They don't have to guess what the competition is doing.

Ease of Use

- Customers and suppliers can easily participate in an auction from any web based device anywhere in the world.
- The platform is designed to be simple and user friendly.
- The customer is under no obligation at the end of the auction should they be unsatisfied with the results for any reason.

Scheduling Auctions

All of the information needed to schedule an auction is found on the customer's electricity invoice.

Customers may register at energyauctionhouse.com and either input their bill information on the secure website or upload their bill electronically.

EAH needs a minimum of 3 days lead time to schedule an auction to allow suppliers time to prepare bids.



E 13 of 13

Contact

Energy Auction House Inc.

PO Box 191677

Dallas, TX 75219

888-453-7778 Toll-free

855-295-2823 Fax

info@energyah.com

Energyauctionhouse.com

PRIORITY MAIL
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POSTAL SERVICE

Click-N-Ship®

P

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\$5.05
US POSTAGE
Flat Rate Env



Commercial Base Pricing

12/03/13

Mailed from 75219 082S0000001310

PRIORITY MAIL 2-DAY™

LAUREN LANGBERT
PO BOX 191677
DALLAS TX 75219-8504

Delivery Date: 12/05/13

0006

DEC 07 2013

B099

SHIP TO: SECRETARY
PA PUC
PO BOX 3265
HARRISBURG PA 17105-3265

USPS TRACKING #



9405 5036 9930 0143 4134 21

Electronic Rate Approved #038555749

Cut on dotted line.



Please
Recycle