

December 12, 2013

Pennsylvania Public Utility Commission Secretary P.O. 8ox 3265 Harrisburg, PA 17105-3265

RE: Application for Nat Gas Broker/Marketer License - Berkshire Energy Partners, LLC

Please find the enclosed Energy Broker/Marketer Licensing application, original with one copy and a CD-ROM, for your review. A check in the amount of \$350 is enclosed, payable to "Commonwealth of Pennsylvania", for the licensing fee.

Please contact the undersigned with any questions that may arise during your review. We look forward to your earliest possible approval.

Sincerely,

Jan l. Up

Dana A. LeSage, P.E. Owner & Principal Berkshire Energy Partners, LLC 9 Berkshire Road Landenberg, PA 19350 610.255.5070 dana.lesage@berkshireep.com



cc: see following page

Irwin A. Popowsky Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120-1921

William R. Lloyd, Jr. Commerce Building, Suite 1102 Small Business Advocate 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Valley Energy Inc.	National Fuel Gas Distribution Corp.
Robert Crocker	David D. Wolford
523 South Keystone Avenue	6363 Main Street
Sayre, PA 18840-0340	Williamsville, NY 14221
UGI Central Penn	Peoples Natural Gas Company LLC
David Beasten	Lynda Petrichevich
2525 N. 12 th Street, Suite 360	375 North Shore Drive, Suite 600
Reading, PA 19612-2677	Pittsburgh, PA 15212
Peoples TWP LLC (Formerly T. W. Phillips)	UGI
Andrew Wachter	David Beasten
375 North Shore Drive, Suite 600	2525 N. 12 th Street, Suite 360
Pittsburgh, PA 15212	Reading, PA 19612-2677
UGI Penn Natural	Equitable Gas Company
David Beasten	Jason Dalton
2525 N. 12 th Street, Suite 360	225 North Shore Drive
Reading, PA 19612-2677	Pittsburgh, PA 15212-5352
PECO	Columbia Gas of Pennsylvania Inc.
Carlos Thillet, Manager, Gas Supply and Transportation	Thomas C. Heckathorn
2301 Market Street, S9-2	200 Civic Center Drive
Philadelphia, PA 19103	Columbus, OH 43215
Philadelphia Gas Works	
Douglas Moser	
800 West Montgomery Avenue	
Philadelphia, PA 19122	

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Berkshire Energy Partners, LLC, for approval to offer, render, furnish, Natural Gas Supply Service as a Broker/Marketer to the public in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

1. **IDENTITY OF THE APPLICANT:** The name, address, telephone number, and FAX number of the Applicant are:

Berkshire Energy Partners, LLC 9 Berkshire Road Landenberg, PA 19350 610-255-5070 Phone 610-200-5030 Fax www.berkshireenergypartners.com

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

No predecessors

2. a. **CONTACT PERSON:** The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are:

Dana A. LeSage, P.E. - Owner 9 Berkshire Road Landenberg, PA 19350 610-255-5070 phone 610-200-5030 fax dana.lesage@berkshireep.com

b. **CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY:** The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA:

Dana A. LeSage, P.E. - Owner 9 Berkshire Road Landenberg, PA 19350 610-255-5070 phone 610-200-5030 fax dana.lesage@berkshireep.com

3.a. **ATTORNEY:** If applicable, the name, address, telephone number, and FAX number of the Applicant's attorney are:

N/A

REGISTERED AGENT: If the Applicant does not maintain a principal office in the Commonwealth, b. the required name, address, telephone number and FAX number of the Applicant's Registered Agent in the Commonwealth are:

N/A

FICTITIOUS NAME: (select and complete appropriate statement) 4.



The Applicant will be using a fictitious name or doing business as ("d/b/a"):

Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

The Applicant will not be using a fictitious name.

5. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (select and complete appropriate statement)



The Applicant is a sole proprietor.

If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

The Applicant is a:

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domestic general partnership (*)

domestic limited partnership (15 Pa. C.S. §8511)

- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)

foreign limited liability limited partnership (15 Pa. C.S. §8211)

Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

The Applicant is a:

- domestic corporation (none)
- foreign corporation (15 Pa, C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other _____

Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Additionally, provide a copy of the Applicant's Articles of Incorporation.

See Attachment 1

Give name and address of officers.

Dana A. LeSage, P.E. – Owner & Principal 9 Berkshire Road Landenberg, PA 19350

The Applicant is incorporated in the state of Pennsylvania.

AFFILIATES AND PREDECESSORS WITHIN PENNSYLVANIA: (select and complete appropriate statement)

Affiliate(s) of the Applicant doing business in Pennsylvania are:

Give name and address of the affiliate(s) and state whether the affiliate(s) are jurisdictional public utilities.

Does the Applicant have any affiliation with or ownership interest in:

- (a) any other Pennsylvania retail natural gas supplier licensee or licensee applicant,
- (b) any other Pennsylvania retail licensed electric generation supplier or license applicant,
- (c) any Pennsylvania natural gas producer and/or marketer,
- (d) any natural gas wells or
- (e) any local distribution companies (LDCs) in the Commonwealth

If the response to parts a, b, c, or d above is affirmative, provide a detailed description and explanation of the affiliation and/or ownership interest.

Provide specific details concerning the affiliation and/or ownership interests involving:

(a) any natural gas producer and/or marketers,

(b) any wholesale or retail supplier or marketer of natural gas, electricity, oil, propane or other energy sources.

Provide the Pa PUC Docket Number if the applicant has ever applied:

- (a) for a Pennsylvania Natural Gas Supplier license, or
- (b) for a Pennsylvania Electric Generation Supplier license.
- If the Applicant or an affiliate has a predecessor who has done business within Pennsylvania, give name and address of the predecessor(s) and state whether the predecessor(s) were jurisdictional public utilities.

or

The Applicant has no affiliates doing business in Pennsylvania or predecessors which have done business in Pennsylvania.

7. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

The Applicant is presently doing business in Pennsylvania as a

- natural gas interstate pipeline.
- municipal providing service outside its municipal limits.
- local gas distribution company
- retail supplier of natural gas services in the Commonwealth
- a natural gas producer
- Other. (Identify the nature of service being rendered.)

or

The Applicant is not presently doing business in Pennsylvania.

8. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- supplier of natural gas services.
- Municipal supplier of natural gas services.
- Cooperative supplier of natural gas services.
- Broker/Marketer engaged in the business of supplying natural gas services.
- Aggregator engaged in the business of supplying natural gas services.
- Other (Describe):

9. **PROPOSED SERVICES:** Generally describe the natural gas services which the Applicant proposes to offer.

Berkshire Energy Partners will provide the solicitation and analysis of bids, and contract negotiation for electricity and natural gas procurement on behalf of Commercial and Industrial customers. The Company also offers a range of energy consulting services which can be customized to meet the needs of each individual client. The Company will not take title to supply and/or bill its customers directly for that supply.

10. SERVICE AREA: Provide each Natural Gas Distribution Company (NGDC) in which Applicant proposes to offer services.

Entire Commonwealth of Pennsylvania

- 11. CUSTOMERS: Applicant proposes to initially provide services to:
- Residential Customers Commercial Customers - (Less than 6,000 Mcf annually)
- Commercial Customers (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):
- 12. **START DATE:** The Applicant proposes to begin delivering services immediately upon PUC approval of this application.

13. **NOTICE:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of the signed and verified Application with attachments on the following:

Irwin A. Popowsky	Office of the Attorney General
Office of Consumer Advocate	Bureau of Consumer Protection
5th Floor, Forum Place	Strawberry Square, 14th Floor
555 Walnut Street	Harrisburg, PA 17120
Harrisburg, PA 17120-1921	
William R. Lloyd, Jr.	Commonwealth of Pennsylvania
Commerce Building, Suite 1102	Department of Revenue
Small Business Advocate	Bureau of Compliance
300 North Second Street	Harrisburg, PA 17128-0946

Any of the following Natural Gas Distribution Companies through whose transmission and distribution facilities the applicant intends to supply customers:

tacilities the applicant intends to supply customers:	
Valley Energy Inc.	National Fuel Gas Distribution Corp.
Robert Crocker	David D. Wolford
523 South Keystone Avenue	6363 Main Street
Sayre, PA 18840-0340	Williamsville, NY 14221
PH: 570.888-9664	PH: 716.857.7483
FAX: 570.888.6199	FAX: 716.857.7479
email: rcrocker@ctenterprises.org	email: wolfordd@natfuel.com
UGI Central Penn	Peoples Natural Gas Company LLC
David Beasten	Lynda Petrichevich
2525 N. 12 th Street, Suite 360	375 North Shore Drive, Suite 600
Reading, PA 19612-2677	Pittsburgh, PA 15212
PH: 610.796.3425	email: Lynda.w.petrichevich@peoples-gas.com
FAX: 610,796,3559	PH: 412.208.6528
	FAX: 412.208.6577
Peoples TWP LLC (Formerly T. W. Phillips)	UGI
Andrew Wachter	David Beasten
375 North Shore Drive, Suite 600	2525 N. 12 th Street, Suite 360
Pittsburgh, PA 15212	Reading, PA 19612-2677
PH: 724.431.4935	PH: 610.796.3425
FAX: 724.287.5021	FAX: 610.796.3559
email: Andrew.Wachter@peoplestwp.com	
UGI Penn Natural	Equitable Gas Company
David Beasten	Jason Dalton
2525 N. 12 th Street, Suite 360	225 North Shore Drive
Reading, PA 19612-2677	Pittsburgh, PA 15212-5352
PH: 610,796.3425	PH: 412.395.3266
FAX: 610,796.3559	FAX: 412.395.3166
PECO	Columbia Gas of Pennsylvania Inc.
Carlos Thillet, Manager, Gas Supply and Transportation	Thomas C. Heckathorn
2301 Market Street, S9-2	200 Civic Center Drive
Philadelphia, PA 19103	Columbus, OH 43215
email: carlos.thillet@exeloncorp.com	PH: 614.460.4996
PH: 215,841,6452	FAX:614.460.6442
	email: theckathorn@nisource.com
<u> </u>	
Philadelphia Gas Works	
Douglas Moser]]
800 West Montgomery Avenue	
Philadelphia, PĂ 19122	
email: douglas.moser@pgworks.com	
PH: 215,684,6899	

Harrisburg, PA 17101

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14.

14. **TAXATION:** Complete the <u>TAX CERTIFICATION STATEMENT</u> attached as Appendix B to this application.

15. **COMPLIANCE:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings.

No Proceedings or convictions

- 16. STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND CONSUMER EDUCATION: All services should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.
 - a. Contacts for Consumer Service and Complaints: Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant, the Distribution Company, the Pennsylvania Public Utility Commission or other agencies.

Dana A. LeSage, P.E. - Owner 9 Berkshire Road Landenberg, PA 19350 610-255-5070 phone 610-200-5030 fax

b. Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers.

We will not be servicing residential customers

c. If proposing to serve Residential and/or Small Commercial customers, provide a disclosure statement. A sample disclosure statement is provided as Appendix B to this Application.

We will not serve residential customers. For Small Commercial this is not applicable for a broker service, the requested statement would be provided by the licensed physical supplier.

17. FINANCIAL FITNESS:

- A. Applicant shall provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published parent company financial and credit information.
- Applicant's balance sheet and income statement for the most recent fiscal year. Published financial information such as 10K's and 10Q's may be provided, if available.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements

• Such other information that demonstrates Applicant's financial fitness.

Refer to Attachment 3

- B. Applicant must provide the following information:
- Provide proof of compliance with bonding/credit requirements for each NGDC the applicant is proposing to provide service in. This requirement is designated by each NGDC and can commonly be found in the NGDC supplier tariff.

Refer to Attachment 3 for letters from the NGDC's stating there are no bonding/credit requirements for our plans to operate as a broker/marketer.

• Identify Applicant's chief officers including names and their professional resumes.

Dana A. LeSage, P.E. – Owner & Principal Refer to Attachment 4 for professional experience

• Provide the name, title, address, telephone number and FAX number of Applicant's custodian for its accounting records.

Dana A. LeSage, P.E. – Owner & Principal 9 Berkshire Road Landenberg, PA 19350 610-255-5070 phone 610-200-5030 fax

- 18. **TECHNICAL FITNESS:** To ensure that the present quality and availability of service provided by natural gas utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
 - The identity of the Applicant's officers directly responsible for operations, including names and their professional resumes.
 - A copy of any Federal energy license currently held by the Applicant.
 - Proposed staffing and employee training commitments.
 - Business plans.

Refer to Attachment 4 for demonstration of Technical Fitness

- 19. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2208(D). Transferee will be required to file the appropriate licensing application.
- 20. UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.
- 21. **REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
 - a. Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on an annual basis no later than 30 days following the end of the calendar year.

Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 22 pertaining to reliability and to inform

the Governor and Legislature of the progress of the transition to a fully competitive natural gas market.

- 22. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.
- 23. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.
- 24. **FEE:** The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

Applicant::Berkshire Energy Partners, LLC

By:

Title: Owner & Principal



AFFIDAVIT

:

Commonwealth of Pennsylvania :

SS.

County of Chester :

Dana A. LeSage, P.E., Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the Owner & Principal of Berkshire Energy Partners, LLC;

That he is authorized to and does make this affidavit for said Applicant;

That Berkshire Energy Partners, LLC, the Applicant herein, acknowledges that Berkshire Energy Partners, LLC may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Berkshire Energy Partners, LLC, the Applicant herein, asserts that it possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Berkshire Energy Partners, LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That Berkshire Energy Partners, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Commission's Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Signature of Affiant

11m Sworn and subscribed before me this _ day of ____December__ 2013 .

Signature of official administering oath

My commission expires

Kevin A Sadler Notary Public State of Delaware My Commission Expires 03/13/2014

PA.P.U.C. SECRETARY'S BUREAU []]]

AFFIDAVIT

Commonwealth of Pennsylvania :

County of Chester :

SS.

2013 DEC 1 2 PM 2:00

County of Chester : SECRETARY'S BUREAU Dana A. LeSage, P.E., Affiant, being duly [sworn/affirmed] according to law, deposes and says that 'S BUREAU

He is the Owner & Principal of Berkshire Energy Partners, LLC ;

That he is authorized to and does make this affidavit for said Applicant;

That Berkshire Energy Partners, LLC, the Applicant herein certifies that it has caused the notice of the filing of its license application to be published in the following newspapers on _____

	ing intercepting on
The Patriot News	11/26/2013
The Scranton Times	11/26/2013
The Erie Ties-News	11/29/2013
Johnstown Tribune-Democrat	11/26/2013
Pittsburgh Post-Gazette	11/27/2013
Philadelphia Daily News	11/27/2013
Williamsport Sun-Gazette	11/27/2013

A copy of the notice as it appeared in each of the above newspapers is attached. Noted on each copy is the newspaper section (name, number or letter), if applicable, and the page number on which the notice appeared.

That Berkshire Energy Partners, LLC, the Applicant will submit to the Commission the proof of publication from each newspaper in which notice of the application filing was published as soon as it is available.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Sworn and subscribed before me this _____ day of December . 2013

Signature of official administering oath

12014 13

Notary Public State of Delaware My Commission Expires 03/13/2014 My commission expires

Kevin A Sadler

AFFIDAVIT

SS.

RECEIVED

2013 DEC 12 PH 2:00

Commonwealth of Pennsylvania :

County of Chester :

PA.P.U.C. TARY'S BUREAU Dana A. LeSage, P.E., Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the Owner & Principal of Berkshire Energy Partners, LLC;

That he is authorized to and does make this affidavit for said Applicant;

That the Applicant herein Berkshire Energy Partners, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. §2208(c)(1).

That the Applicant herein Berkshire Energy Partners, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Berkshire Energy Partners, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Berkshire Energy Partners, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Sworn and subscribed before me this _____ day of ____ December . 2013 .

Signature of official administering oath

My commission expires

3/13/2014

Kevin A Sadier **Notary Public** State of Delaware My Commission Expires 03/13/2014

APPENDIX A

COMMONWEALTH OF PENNSYLVANIA PUBLIC UTILITY COMMISSION

TAX CERTIFICATION STATEMENT

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 81/2" x 11" paper. Type or print all information requested.

1. CORPORATE OR APPLICANT NAME Berkshire Energy Partners, LL	c	2. BUSINESS PHONE I CONTACT PERSON	NO. (610) 255-5070 (S) FOR TAX ACCOUNTS: Dana A. LeSage, P.E.
3. TRADE/FICTITIOUS NAME (IF ANY) N/A			
4. LICENSED ADDRESS (STREET, R 9 Berkshire Road Landenberg, PA 19350	URAL ROUTE, P.O. BO	X NO.) (POST	OFFICE) STATE) (ZIP)
5. TYPE OF ENTITY SOLE PROPRIETO	R	PARTNERSHI	
8. LIST OWNER(S), GENERAL PARTNERS, OR COR	RPORATE OFFICER(S)		
NAME (PRINT)		SOCIAL SECURITY	Y NUMBER (OPTIONAL)
Dana A. LeSage, P.E.			- L L
NAME (PRINT)		SOCIAL SECURITY	Y NUMBER (OPTIONAL)
		·	- [] - []
NAME (PRINT)		SOCIAL SECURITY	Y NUMBER (OPTIONAL)
		<u> </u>	• [] • []
NAME (PRINT)		SOCIAL SECURITY	Y NUMBER (OPTIONAL)
		<u> </u>	-
NAME (PRINT)	["	SOCIAL SECURITY	Y NUMBER (OPTIONAL)
			• [] - []
9. LIST THE FOLLOWING STATE TAX IDENTIFICAT	TION NUMBERS. (ALL	. ITEMS: A, B, AND C M	MUST BE COMPLETED).
	CATION DING N/A	C. CORPORATE BOX	
	CATION DING N/A		
10. Do you have PA employes either resident or non-resid	ent?		yes X No
11. Do you own any assets or have an office in PA?			X YES NO
NAME AND PHONE NUMBER OF PERSON(S) RESPO Dana	NSIBLE FOR FILING T Dana	AX RETURNS	
LeSage	LeSage	<u> </u>	Dana LeSage
PA SALES AND USE TAX	MPLOYER TAXES		CORPORATE TAXES
PHONE 610-255-5070 Pi	HONE 610-255-5070		PHONE 610-255-5070

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

Appendix B

Sample Disclosure Statement Format for Natural Gas Suppliers

This is an agreement for natural gas services, between <u>NGS name</u> and <u>customer's name and full address</u>.

Background

We at <u>NGS Company Name</u> are licensed by the Pennsylvania Public Utility Commission to offer and supply natural gas services in Pennsylvania. Our PUC license number is <u>A-110XXX</u>.

- We set the prices and charges that you pay. The Public Utility Commission regulates distribution or delivery prices and services. The Federal Energy Regulatory Commission regulates interstate pipeline prices and services.
- If you ask us, we can bill you directly for our service.
- Right of Recision You may cancel this agreement at any time before midnight of the third business day after receiving this disclosure.

Definitions

- Interstate Pipeline Charges Charges for moving natural gas to the distribution lines of a distribution company.
- Nonbasic Charges Define each nonbasic service being offered.

Terms of Service

1. (a) Basic Service Prices - Itemize Basic Services you are billing for and their prices.

You will pay <u>rate per</u> (Mcf/Dth/ccf) for the commodity of natural gas. Suppliers are to include any variable pricing conditions and limits, if charging a variable rate.

You will pay <u>rate per</u> (Mcf/Dth/ccf) for other natural gas service. Suppliers are to include transmission service prices if billed.

(b) Nonbasic Service Prices - Itemize Nonbasic Services you are offering and their prices.

2. Length of Agreement

You will buy your natural gas services for the above street address from <u>company's name</u> beginning <u>date</u> through <u>date of</u> <u>expiration, if any</u>.

3. Special Terms and Conditions - List and explain all that apply. Sign-up bonuses

Add-ons Limited time offers Other Sales Promotions Exclusions

4. Special Services - *Provide explanation of price, terms and conditions, including advanced metering deployment, if applicable.*

5. Penalties, Fees and Exceptions - List any that apply including a late payment charge. The print size for this section must be larger than the print in the rest of the agreement.

6. Cancellation Provisions - This category may consist of both customer initiated cancellation provisions and supplier initiated cancellation provisions.

7. Renewal Provision - If this is a fixed term agreement with automatic renewal, explain the procedure here.

8. Agreement Expiration/Change in Terms

If you have a fixed term agreement with us and it is approaching the expiration date **or** if we propose to change our terms of service, we will send you written notice in each of our last three bills or in separate mailings before either the expiration date or the effective date of the changes. We will explain your options in these three advance notices.

9. Dispute Procedures

Contact us with any questions concerning our terms of service. You may call the PUC if you are not satisfied after discussing your terms with us.

10. Contact Information

Supplier Name:	
Address:	
Phone Number:	
Internet Address:	
Distribution Company Name:	<u></u>
Provider of Last Resort Name:	
Address:	
Phone Number:	
Public Utility Commission (PUC)	
Address:	P.O. Box 3265 Harrisburg, PA 17105-3265
Natural Gas Competition Hotline Number:	<u>1-888-xxx-xxxx</u>
Universal Service Program Name:	
Phone Number:	

APPENDIX C EXAMPLE FORM OF NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Berkshire Energy Partners, LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Berkshire Energy Partners, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Berkshire Energy Partners, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Berkshire Energy Partners, LLC proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Berkshire Energy Partners, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Berkshire Energy Partners, LLC at the address listed below.

By and through: Dana A. LeSage, P.E. Berkshire Energy Partners, LLC

> 9 Berkshire Road Landenberg, PA 19350 610-255-5070 Phone 610-200-5030 FAX

The Patriot-News Co. 2020 Technology Pkwy Suite 300 Mechanicsburg, PA 17050 Inquiries - 717-255-8213



RECEIVED

2013 DEC 12 PH 2: 01

BERKSHIRE ENERGY PARTNERS **9 BERKSHIRE ROAD**

PA.P.U.C. SECRETARY'S BUREAU

LANDENBERG

PA 19350

THE PATRIOT NEWS THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929 Commonwealth of Pennsylvania, County of Dauphin} ss

Marianne Miller, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300. in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said

Miscellaneous Notices

PENNSYLVANIA PUBLICUTILITY COMMISSION NOTICE Applications of Barkshire Energy Pariners, LLC For Approval To Ottar, Render, or Furnish Services as a Marketor/Broker Enogod in Tho Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania. Berkshire Energy Pariners, LLC will be filling on application with the Ponnsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a

Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Berkshire Energy Partners, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Berkshire Energy Partners, LLC proposes to sell electricity, natural gas, and related services throughout all of *Pennsylvania under the provisions of the* new Natural Gas Cholec and Competition Act and the Electricity Generation Customer Choice and Competition Act and the Electricity deneration without a hearing. Protests directed to the technical or financial filmess of Berkshire Energy Partners, LLC any be filled within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 2365, Harrisburg, PA 17105-3265. You should send copies of any protest to Berkshire Energy Partners, LLC at the address listed below. By and through;

By and through: By and through: Dana A. LeSage, P.E. Berkshire Energy Partners, LLC 9 Berkshire Road Landenberg, PA 19350 610-255-6070 Phone 610-200-5030 FAX tct matter of said printed notice or advertising, and that all of the allegations of this statement as publication are true; and

wledge of the facts aforesaid and is duly authorized and empowered to verify this statement on presaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the s of the said Company and subsequently duly recorded in the office for the Recording of Deeds in Miscellaneous Book "M", Volume 14, Page 317.

This ad # 0002283205 ran on the dates shown below: November 26, 2013

Sworn to and subscribed before methis 26 day of November, 2013 A.D. Notary Public

COMMONIALTH OF VENNSYLVANIA Pisterial Seal Hall Contract, Methon Public Sectors on Tup, Devolto County My Convelsion Explose Dec. 11, 2016 MEMOUR, PENNSULVAUD, ASSOCIATS OF NOTA OF NOTARIES

(Under act P.L. 877 No 160. July 9, 1976) 2013 DEC 13 PM 2: 01 The Scranton Times Commonwealth of Pennsylvania, County of Lackawanna

BERKSHIRE ENERGY PARTNERS DANA A LESAGE, P.E. 9 BERKSHIRE ROAD LANDENBERG PA 19350 SECRETARY'S BUREAU

RECEIVED

Account # 590707 Order # 81392225 Ad Price: 238.05

LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

Being duly sworn according to law deposes and says that she is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

11/26/2013

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true BINA CAUNANN

Sworn and subscribed to before me this 26th day of November A.D., 2013

(Notary Public)

CCIMMONWEALTH OF PENNSYLVANIA Notarial Seal Sharon Venturi, Notary Public City of Scranton, Lackaviatina County My Commission Expires Feb. 12, 2014 Member, Pennsylvania Association of Notaries LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Applications of Berkshire Energy Part-ners, LIC For Approval To Offer, Ren-der, or Furnish Services as a Marketer/ Broker Engaged in The Business Of Supplying Natural Gas Supply Ser-vices and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Berkshire Energy Partners, LLC will be filling an application with the Parnsyl-vania Public Uility Commission (PUC") for a license to provide natural gas supply services as a broker/mar-keter engaged in the business of pro-viding natural gas services. Berkshire Energy Partners, LLC will also be filling an application with the PUC for a li-cense to supply electricity or electric generation services as a broker/mar-keter engaged in the business of sup-phying electricity. Berkshire Energy Partners, LLC proposes to sell electric ty, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choles and Competition Act and the Electricity Generation Customer Choice and Competition Act. Berkshire Energy Partners, LLC will be and Competition Act.

1: The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Berkshire Energy Partners, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, 'Harrisburg, 'PA 17105-3265, You should send copies of any protest to Berkshire Energy Partners, LLC at the address listed below.

By and through: Dana A. LeSage, P.E. Berkshire Energy Partners, LC3030, F.C Berkshire Energy Partners, LLC 9 Berkshire Road Landenberg, PA 19350 610-255-5070 Phone 610-200-5030 FAX

PROOF OF PUBLICATION In THE ERIE TIMES-NEWS

COMPINIATION EDITION

Dana LeSage	
Berkshire Energy Partners, LLC	
9 Berkshire Road	
Landenberg PA 19350	
g,,,_,,_,g,,,,_,,,,,,,,,,,,,,,,,,	
REFERENCE: 77885 40199	
PUC Notice	
	SE 20
STATE OF PENNSYLVANIA)	
COUNTY OF ERIE) SS:	
Thomas Mezler, being duly sworn, deposes and	
says that: (1) he/she is a designated agent of the	ECEIVED ECI& PH 2:01 PA.P.U.C. TARY'S BUREAU
Times Publishing Company (TPC) to execute Proofs	S E
of Publication on behalf of the TPC; (2) the TPC,	
whose principal place of business is at	UREA
205 W. 12th Street, Erie, Pennsylvania, owns and	
publishes the Erie Times-News, established October	
2, 2000, a daily newspaper of general circulation,	
and published at Erie, Erie County Pennsylvania;	
(3) the subject notice or advertisement, a true	
and correct copy of which is attached, was	
published in the regular edition(s) of said	
newspaper on the date(s) referred to below.	
Affiant further deposes that he/she is duly	PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
authorized by the TPC, owner and publisher of the	Applications of Berkshire Energy Partners, LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of
Erie Times-News, to verify the foregoing statement	Supplying Natural Gas Supply Services and Electricity Supply or Electric
under oath, and affiant is not interested in the	Generation Services, To The Public In The Commonwealth Of Pennsylvania.
	Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of
subject matter of the aforesaid notice or	providing natural gas services. Berkshire Energy Partners, LLC will also be
advertisement, and that all allegations in the	filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying
foregoing statement as to time, place and	electricity. Berkshire Energy Partners, LLC proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions
character of publication are true.	of the new Natural Gas Choice and Competition Act and the Electricity
	Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing, Protests directed
PUBLISHED ON: 11/29/13	to the technical or financial fitness of Berkshire Energy Partners, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC,
	P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any
TOTAL COST: \$409.00 AD SPACE: 0 Lines	protest to Berkshire Energy Partners, LLC at the address listed below. By and through: Dana A, LeSage, P.E.
•	Berkshire Energy Partners, LLC
FILED ON: 11/29/13	9 Berkshire Road Landenberg, PA 19350
	Landenberg, PA 19350 610-255-5070 Phone
10 11.1	·· · · · · · · · · · · · · · · · · · ·
Sworn to and subscribed before me this $\frac{29}{2}$ day of $6000000000000000000000000000000000000$	12013
I m '	COMMONWEALTH OF PENNSYLVANIA
Affiant: Alumnos	Notarial Seal Laurene A. Daugherty, Notary Public
Ta plant	City of Erie, Erie County
NOTARY accese & Wallshertz	My Commission Expires Nov. 5, 2017 HEH352, FENSYLVANIA ASSOCIATION OF NOTARIES

COMMONWEALTH OF PENNSYLVANIA

County of Cambria

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Berkshire Energy Partners, LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

Commonwealth Of Pennsylvania. Berkshire Energy Partners, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Berkshire Energy Partners, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Berkshire Energy Partners, LLC proposes to soll electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

publish that the of The interes: charac The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Berkshire Energy Partners, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Berkshire Energy Partners; LLC at the address listed below.

By and through: Dana A. LeSage, P.E. Berkshire Energy Partners, LLC 9 Berkshire Road Landenberg, PA 19350 610-255-5070 Phone 610-200-5030 FAX

Sworn and Subscribed before me this 27th day of November, 2013.

lila COMMONWEALTH OF PENNSYLVANIA Notarial Seal

Vivian Ohs, Notary Public City of Johnstown, Cambria County My Commission Expires Dec. 6, 2016 MEMBER, rannsylvania ASSOCIATION OF NOTARIES

0.00 Lines @ \$2.50 per line	0.00
7.5 Inches @ \$25.00 per inch	187.50
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	195.00

To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

for publisher of _

a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and buildication costs and certifies that the same has been duly paid.

DEC 1 2 2013

(Name of Newspaper)

PA PUBLIC UTILITY COMMISSIONS

≻ SS

On this 27th day of November A.D. 2013, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law. deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8,1952, of the Johnstown Tribune, established December 7,1853; and of the Johnstown Democrat, established March 5, 1863,

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ity of Cambria, and Commonwealth of Pennsylvania and ne matter published in said publication in the regular issues 1, on November 26, 2013; and that the Affiant is not rtising and that all of the allegations as to time, place and

STATEMENT OF ADVERTISING COSTS

Term

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

, being duly sworn, deposes and says that the Commonwealth of Pennsylvania, County of Allegheny, ss C. Mohamed Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates. viz:

27 of November, 2013

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true,

NAME

PG Publishing Company Sworn to and subscribed before me this day of: November 27, 2013

Hijda M. Maert	تـــا
Notarial Seal	
Linda M. Gaertner, Notary Public	
City of Pittsburgh, Allegheny County	
My Commission Expires Jan. 31, 2019	

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIE

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU DEC 1 2 2013

STATEMENT OF ADVERTISING COSTS Berkshire Energy Partners, LLC 9 Berkshire Rd. Attn: Dana A. LeSage, P.E. Landenberg PA 19350

To PG Publishing Company

--- \$585.00 Total -----

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforsaid advertising and publication costs and certifies that the same have been fully paid.

Office 34 Boulevard of the Allies PITTSBURGH, PA 15222 Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation

vea ANA By

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE **OR PUBLICATION**

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Applications of Berk-shire Energy Partners, LLC For Approval To Of-LLC FOR Approval to Un-fer, Render, Or Furnish S e r v i C e S a s a Marketer/Broker En-gaged in The Business Of Supply Services and Electric Generation Ser-vices, To The Public in The Commonwealth Of Pennsylvania. Berkshire Energy Partners, LLC will be fil-ing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas sup-ply services as a broker/marketer en-gaged in the business of providing natural gas services. Berkshire En-ergy Partners, LLC will also be filing an applica-tion services as a broker/marketer en-gaged in the business of supplying electric genera-tion services as a broker/marketer en-gaged in the business of supplying electricity. Berkshire Energy Part-ners, LLC proposes to sell electricity, natural gas, and related servic-es throughout alf of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Efectricity Generation Customer Choice bas directed to the technical or financial fitness of Berkshire Energy Part-ners, LLC may be filed within 15 days of the date of this notice with the Secretary on the PUC Secretary on the PUC or financial fitness of Berkshire Energy Part-ners, LLC may be filed within 15 days of the date of this notice with the Secretary on the PUC. D. Box 3265, Hartis-burg, PA 17105-3265. You should send coples

below/

By and through: Dana A. LeSage, P.E. Borkshire Energy Parmers, LLC 9 Berkshire Road Landenberg, PA 19350 610-255-5070 Phone 610-200-5030 FAX

Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

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STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

November 27, 2013

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

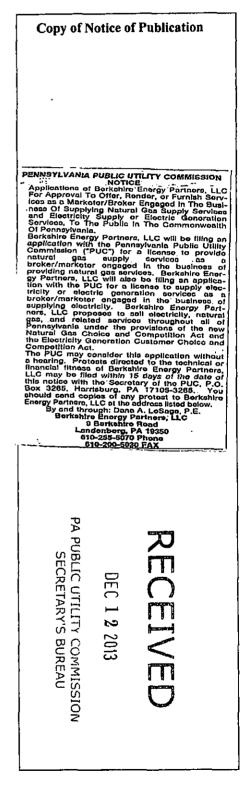
House Dally

Sworn to and subscribed before me this 27th day of November, 2013.

Mary ane Lo

My Commission Expires:

COSMICSNWEALTH OF PENNEYLVACA NOTARIAL SEAL MARY ANNE LOGAN, Notery Public City of Philadelphia, Phila. County My Commission Expires March 30, 2017

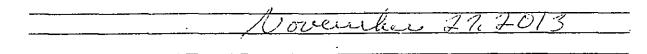


PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street. Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:



Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, PENNSYLVANIA PUBLIC UTILITY COMMISSION ath and also declares that affiant is not interested in the subject matter of the aforesaid notice to verify the foreg of publication, an-: in the foregoing statement as to time, place and character of publication are true.

NOTICE Applications C. Berkshire Energy Partners, LLC. For Appro-val 'To. Oller, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Sup-there Natural Gas Supply Applications plying Natural Gas Supply Services and Electricity Supply or Electric Genera-tion Services, To The Public. In The Com-manwealth Of Pennsylva-nin nia

Berkshire Energy Partners, LLC will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply ser-vices as a broker/ markeler engaged in the busi-ness of providing natural gas services. Berkshire Energy Partners, LLC will also be filing an applica-tion with the PUC for a license to supply clear lon with the PUC for a license to supply dectri-city or electric generation sorvices as a broker/ marketer. engaged in the business of supplying electricity. Berkshire En-ergy Partners, LLC pro-poses to sell electricity, natural gas, and related sorvices throughout all of Pennsylvania under the provisions of the new Na-tural Gas Choice and Competition Act and the Electricity Generation Electricity Gener Customer Choice Competition Act. and

Bout A. Ch SUN-GAZETTE COMPANY

Sworn to and subscribed before me day of December 293 atkey Cl. TSiller the 7 Kil Notary Public

MOTARIAL SEAL CATHY A. BILLEY, Plotary Public City of Williamsport, Lycoming County My Commission Explices May 15, 2015

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.: For publishing the notice attached Probated same.....\$ Total......\$ 786. 64

The PUC may considered in this application without Friends and publication of the aforesaid advertising advertisin

THE SUN-GAZ directed to the technical hereby acknow or financial filness of Barkehire Energy een fully paid. Partnors, LLC. may be filed within 15 days of the date of this notice with the Socretary of the PUC, P.O. Box 3265, Harris-burg, PA 17105-3265, You should sand copies/of any protest to Barkshire Ener-gy Partners, LLC at the address listed below.

By and through Dana A. LaSago, P.E. Berkshiro Energy Partners, LLC 9 Bortabline Road Landonberg, PA 19350 610-255-5070 Phone <u>610-200-5070</u> B10-200-5030 FAX

SUN-GAZETTE COMPANY

Bernard A. Oravec

RECEIVED DEC 12 2013 DEC 12 2013

APPENDIX D

Standards of Conduct

- (1) The [natural gas distribution company] should apply its tariffs in a nondiscriminatory manner to its affiliate, its own marketing division and any nonaffiliate.
- (2) The [natural gas distribution company] should likewise not apply a tariff provision in any manner that would give its affiliate or division an unreasonable preference over other marketers with regard to matters such as scheduling, balancing, transportation, storage, curtailment, capacity release and assignment, or nondelivery, and all other services provided to its affiliated suppliers.
- (3) If a tariff provision is mandatory, the [natural gas distribution company] should not waive the provision for its affiliate or division absent prior approval of the Commission.
- (4) If a tariff provision is not mandatory or provides for waivers, the [natural gas distribution company] should grant the waivers without preference to affiliates and divisions or non-affiliates.
- (5) The [natural gas distribution company] should maintain a chronological log of tariff provisions for which it has granted waivers. Entries should include the name of the party receiving the waiver, the date and time of the request, the specific tariff provision waived and the reason for the waiver. Any chronological log should be open for public inspection during normal business hours.
- (6) The [natural gas distribution company] should process requests for transportation promptly and in a nondiscriminatory fashion with respect to other requests received in the same or a similar period. The [natural gas distribution company] should maintain a chronological log showing the processing of requests for transportation services. Any chronological log should be open for public inspection during normal business hours.
- (7) Transportation discounts and fee waivers and rebates provided to the [natural gas distribution company's] or its marketing affiliate's favored customers should be offered to other similarly situated customers and should not be tied to any unrelated service, incentive or offer on behalf of either the parent of affiliate. A chronological

log should be maintained showing the date, party, time and rationale for the action. Any chronological log should be open for public inspection during normal business hours.

- (8) The [natural gas distribution company] should not disclose any customer proprietary information to its marketing affiliate or division, and to the extent that it does disclose customer information, it should contemporaneously paovide this same information to other similarly situated marketers in a similar fashion so as not to selectively disclose, delay disclosure, or give itself or its affiliate any undue advantage related to the disclosure. A chronological log should be maintained showing the date, time and rationale for the disclosure. Any chronological log should be open for public inspection during normal business hours. A natural gas distribution company should not provide information received from non-affiliated customers or suppliers to its affiliated natural gas suppliers.
- (9) The [natural gas distribution company] should justly and reasonably allocate to its marketing affiliate or division the costs or expenses for general administration or support services.
- (10) The [natural gas distribution company] selling surplus gas supplies and/or upstream capacity on a short-term basis (as defined by the Federal Energy Regulatory Commission) to its affiliate should make situated available to similarly marketers supplies on a The [natural gas distribution company] nondiscriminatory basis. should not make any gas supplies and/or upstream capacity available through private disclosure to the [natural gas distribution company's] affiliate unless the availability is made simultaneously with public dissemination in a manner that fairly apprises interested parties of the availability of the gas supplies and/or upstream capacity. The [natural gas distribution company] should maintain a chronological log of these public disseminations. Any chronological log should be open for public inspection during normal business hours.
- (11) The [natural gas distribution company] should not condition or tie agreements to release interstate pipeline capacity to any service in which the [natural gas distribution company] or affiliate is involved.
- (12) The [natural gas distribution company] should not directly or by implication . . . represent to any customer, supplier or third party that an advantage may accrue to any party through use of the [natural gas distribution company's] affiliate or subsidiary.

- (13) The [natural gas distribution company] should establish and file with the Commission a complaint procedure for dealing with any alleged violations of any of the standards listed in paragraphs (1) through (12), this paragraph or paragraphs (14) and (15), excepting for paragraph (9), which should be exclusively under the purview of the Commission. These procedures should be developed in consultation with interested parties during consideration of any tariff guided by this section and §69.191 (relating to general). The Commission may expect establishment of a complaint procedure or other recordkeeping requirements if warranted by subsequent facts or circumstances.
- (14) The [natural gas distribution company] should keep a chronological log of any complaints, excepting paragraph (9), regarding discriminatory treatment of natural gas suppliers. This chronological log should include the date and nature of the complaint and the [natural gas distribution company's] resolution of it. Any chronological log should be open for inspection during normal business hours.
- (15) Parties alleging violations of these standards may pursue their allegations through the Commission's established complaint procedures. A complainant bears the burden of proof consistent with 66 Pa. C.S. (relating to Public Utility Code) in regard to the allegations.
- (16) Licensees shall provide accurate information about their natural gas supplier services using plain language and common terms. Where new terms are used, such terms must be defined again using plain language: Information should be provided in a format which will allow for comparison of the various natural gas supply services offered and the prices charged for each type of service.
- (17) Licensees shall provide notification of the change in conditions of service, intent to cease operation as an natural gas supplier, explanation of denial of service, proper handling of deposits and proper handling of complaints in accordance with Commission regulations where applicable.
- (18) Licensees shall maintain the confidentiality of customers' historic payment information and right of access to their own load and billing information.

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- (19) Licensees shall not discriminate in the provision of natural gas supply services as to availability and terms of service based on race, color, religion, national origin, sex, marital status, age receipt of public assistance income, and exercise of rights under the Consumer Credit Protection Act, 15 U. S. C. §§1691-1691f; Regulation B, 12 C.F.R. §§202-202.14.
- (20) Licensees will be responsible for any fraudulent deceptive or other unlawful marketing or billing acts performed by their agents or representatives. Licensee shall inform consumers of state consumer protection laws that govern the cancellation or rescission of natural gas supply service contracts. 73 P. S. §201-7.
- (21) The natural gas distribution company shall not give any affiliate or marketing division preference over a non-traditional affiliate in the provision of goods and services such as processing requests for information, complaints and responses to service interruptions. The natural gas distribution company shall provide comparable treatment without regard to a customer's chosen natural gas supplier.
- (22) No transaction between the natural gas distribution company and an affiliated natural gas supplier shall involve an anti-competitive cross-subsidy and all such transactions shall comply with applicable law.
- (23) Natural gas distribution company employees who have responsibility for operating the distribution system, including natural gas delivery or billing and metering, shall not be shared with an affiliated or divisional Supplier, and their offices shall be physically separated from the office(s) used by those working for the Supplier. Such natural gas distribution company employees may transfer to a Supplier provided such transfer is not used as a means to circumvent these interim standards of conduct. Any supplier shall have its own direct line management. Any shared facilities shall be fully and transparently allocated between the natural gas distribution company function and the Supplier function. The natural gas distribution company accounts and records shall be maintained such that the costs a Supplier incurs may be clearly identified.
- (24) (a) Neither the natural gas distribution company nor an affiliated or divisional Supplier may directly or by implication falsely and unfairly represent:

• that the Pa PUC jurisdictionally regulated services provided by the natural gas distribution company are of a superior quality when power is purchased from an affiliated or divisional Supplier; or

• that the merchant services (for natural gas) are being provided by the natural gas distribution company rather than an affiliated or divisional Supplier;

• that the natural gas purchased from a Supplier that is not an affiliate or division of the natural gas distribution company may not be reliably delivered;

• that natural gas must be purchased from an affiliate or divisional Supplier to receive Pa PUC jurisdictional regulated services.

(b) The natural gas distribution company shall not jointly market or jointly purchase its Pa PUC jurisdictional regulated services with the services of an affiliated or divisional Suppler. This prohibition includes prohibiting the natural gas distribution company from including bill inserts in its natural gas distribution company bills promoting an affiliated or divisional Supplier's services, and further precludes a reference or link from the natural gas distribution company's web-site to any affiliated or divisional supplier.

(c) When an affiliated or divisional Supplier markets or communicates to the public using the natural gas distribution company name or logo, it shall include a disclaimer that states:

(i) That the Supplier is not the same company as the natural gas distribution company; (2) that the prices of the Supplier are not regulated by the Pa PUC; and (3) that a customer does not have to by natural gas or other products from the Supplier in order to receive the same quality service from the natural gas distribution company. When a Supplier advertises or communicates verbally through radio or television to the public using the natural gas distribution company name or logo, the Supplier shall include at the conclusion of any such communication a disclaimer that includes all of the disclaimers listed in this paragraph.

(25) The natural gas distribution company must: (a) make interstate capacity available for release, assignment, or transfer to its affiliated or divisional Supplier only through the intestate pipeline electronic bulletin boards and the competitive bidding procedures in place on those interstate systems; (b) not give its affiliated or divisional Supplier any preference over nonaffiliated or non-divisional Suppliers, or potential non-affiliated or nondivisional Suppliers, in matters relating to the assignment, release, or other transfer of the natural gas distribution company's capacity rights on interstate pipeline systems; and (c) not condition or tie its agreement to release, assign, or otherwise transfer interstate pipeline capacity to any agreement by a gas Supplier, customer or other third party relating to any service in which its marketing affiliate is involved.

Attachment 1

#5. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS

COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE

DECEMBER 4, 2013

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

Berkshire Energy Partners, LLC

is duly organized as a Pennsylvania Limited Liability Company under the laws of the Commonwealth of Pennsylvania and remains subsisting so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT, This Subsistence Certificate shall not imply that all fees, taxes, and penalties owed to the Remonwealth ED Pennsylvania are paid.

DEC 1 2 2013

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

Care Dille

Secretary of the Commonwealth



Certification Number: 11494040-1 Verify this certificate online at http://www.corporations.state.pa.us/corp/soskb/verify.asp Page 2 of 2

BUREAU OF CORPORATION TAXES PO BOX 280705 HARRISBURG PA 17128-0705

pennsylvania DEPARTMENT OF REVENUE

NOTICE OF CORPORATE REGISTRATION

BERKSHIRE ENERGY PARTNERS, LLC 9 BERKSHIRE RD LANDENBERG PA 19350-1249

REVENUE ID:	1000737266
FEIN:	Not Available
NOTICE NUMBER:	BU1000377926
MAIL DATE:	12/02/2013
FISCAL YR END:	
INCORPORATION DATE:	11/18/2013

TAXES SUBJECT:

Corporate Net Income Loans Capital Stock

Welcome to Pennsylvania's business community. The Department of Revenue has been advised that you are authorized to conduct business in Pennsylvania. The above Revenue ID number has been assigned to your business for tax reporting purposes. Please reference this number on all correspondence with the department.

Carefully review your name, address and tax information above for accuracy. If no federal employer identification number (FEIN) is indicated, please provide this number to the department as soon as it is available from the federal government. Write the FEIN and other changes or additions in the top, right-hand corner above and return this letter to the PA DEPARTMENT OF REVENUE, PO BOX 280705, HARRISBURG PA 17128-0705.

FILING REQUIREMENTS

The taxes you are required to report annually are identified above. Tax reports must be filed timely, even if there is no business activity or if the first year in business is less than 12 months. You are obligated to pay timely and file tax returns until you formally dissolve your corporate charter, file an out of existence affidavit or cancel a license or authorization. Failure to file and pay timely may result in penalties and liens. For information on tax due dates, visit the department's website at www.revenue.state.pa.us.

Pay particular attention to the month your fiscal year ends, identified above, for the following reasons:

- For capital stock/foreign franchise, corporate net income and mutual thrift taxes, the first quarterly estimated payments are due within 75 days following the incorporation/authority date.
- A federal subchapter S corporation desiring not to be taxed as a PA S corporation is required to file Form REV-976 on or before the due date or extended due date of the first tax period for which it is to be in effect. REV-976 is available at <u>www.revenue.state.pa.us</u>.

SUBJECTIVITY TO CORPORATE TAXES FOR LIMITED LIABILITY COMPANIES AND BUSINESS TRUSTS

- According to Section 601 of the Tax Reform Code, limited liability companies and business trusts are considered corporations for purposes of capital stock/foreign franchise tax, regardless of how they file with the Internal Revenue Service (IRS).
- Under Section 401, any entity that files as a corporation with the IRS is subject to PA corporate net income tax. A limited liability company or business trust that does not file as a corporation with the IRS is not subject to the PA corporate net income tax.

ELECTRONIC FILING

Payments of \$10,000 or more must be remitted electronically. Register online through the department's e-Services Center at <u>www.revenue.state.pa.us</u> to send tax payments to the department online using e-TIDES.

The Department of Revenue appreciates your cooperation and wishes your business success in Pennsylvania. If you have any questions, visit the Online Customer Service Center at <u>www.revenue.state.pa.us</u> or call the Taxpayer Service & Information Center at 717-787-1064.



Attachment 3

#17. FINANCIAL FITNESS

Berkshire Energy Partners LLC is a newly formed LLC (Nov 18, 2013) in the process of gaining approvals by various State Utility Commissions to do business as an energy broker/marketer. As a newly formed entity, we presently have no historical Financial Statements yet available other than essentially an initial funding Balance Sheet below. Our tax accounting will be managed by the CPA firm Fischer Cunnane & Associates Ltd. Based in West Chester, PA.

The Company will be adequately funded by the Owner.

There are no parent, affiliated or subsidiary companies.

7:23 AM

12/03/13 Cash Basis

Berkshire Energy Partners, LLC Balance Sheet As of December 3. 2013

	Dec 3. 13
ASSETS Current Assets Checking/Savings Cash	
Wells Fargo Checking	20,000.00
Total Cash	20,000.00
Total Checking/Savings	20,000.00
Total Current Assets	20,000.00
TOTAL ASSETS	20,000.00
LIABILITIES & EQUITY Equity	
Opening Balance Equity	20,000.00
Total Equity	20,000.00
TOTAL LIABILITIES & EQUITY	20,000.00

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VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840 800/998-4427 • 570/888-9664 • FAX 570/888-6199

December 10, 2013

Mr. Dana A. LeSage, P.E.-Principal Berkshire Energy Partners, LLC 9 Berkshire Rd. Landenberg, PA 19350

Dear Mr. LeSage:

We understand that Berkshire Energy Partners, LLC has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Berkshire Energy Partners, LLC intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that Berkshire Energy Partners, LLC will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided or failure to meet our requirements for credit worthiness changes in the future, we reserve the right to require security from Berkshire Energy Partners, LLC as deemed appropriate.

If you have any questions, please contact Mrs. Marjorie Johnston at 570-888-9664.

Sincerely,

Robert J. Crocker President & CEO

RJC/ss

cc: M. Johnston, Valley Energy

RECEIVED DEC 1 2 2013 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU



225 North Shore Drive Pittsburgh PA 15212-5861 www.equitablegas.com

TEL 412.395.3370

December 4, 2013

Mr. Dana A. Lesage, P.E. – Principal Berkshire Energy Partners, LLC 9 Berkshire Road Landenberg, PA 19350

Dear Mr. Lesage:

We are pleased that Berkshire Energy Partners, LLC has applied for a license to provide natural gas broker/marketer services on the distribution system of Equitable Gas Company, LLC ("Equitable").

Berkshire Energy Partners, LLC has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Berkshire Energy Partners, LLC does not need a bond or other financial security requirement to provide these services to Equitable's customers.

If the creditworthiness requirement or Equitable's exposure to Berkshire Energy Partners, LLC changes in the future, Equitable may deem it appropriate to require Berkshire Energy Partners, LLC to provide a bond or other financial instrument.

Should you have any additional questions or concerns regarding a bond or other financial security instruments of Equitable, please do not hesitate to contact me at (412) 395-3370.

Sincerely,

Matthew D. Stanczak Director, Process Improvement



DEC 1 2 2013

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU



National Fuel

December 4, 2013

Attn: Mr. Dana LeSage Berkshire Energy Partners, LLC 9 Berkshire Rd. Landenberg, PA 19350

KELEIV.

DEC 1 2 2013 Security Requirement for Berkshire Energy Partners, LLC:

Dear Mr. LeSage,

Re:

National Fuel Gas Distribution Corporation ("NFGDC") is aware that Berkshire Energy Partners, LLC ("BEP") has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, BEP must furnish acceptable security to each utility where BEP will do business. As such, under its tariff, NFGDC could require BEP to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that BEP intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, BEP will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, BEP does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by BEP change in the future, NFGDC reserves the right to require security from BEP as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7599.

You's traily

Nathan E. Barnes Transportation Services Department



375 North Shore Drive Suite 600 Pittsburgh PA 15212

www.peoples-gas.com

December 2, 2013

Dana A. LeSage, P.E. - Principal Berkshire Energy Partners, LLC 9 Berkshire Road Landenberg, PA 19350

Dear Mr. LeSage:

This letter serves as notification that Peoples Natural Gas Company does not require Berkshire Energy Partners, LLC to provide a security or credit enhancement. Our decision is based on the fact that your company is not currently operating, and has no immediate plans to operate, a Non-Priority One Pool or a Priority One Pool on the Peoples Natural Gas system. However, if in the future your company desires to establish a Non-Priority One Pool or a Priority One Pool on the Peoples Natural Gas Company system, it may be required to establish a security or credit enhancement based on the terms set forth under Paragraphs 6 and 7 of the Rules and Regulations of The Peoples Natural Gas Company Supplier Tariff.

If you have any questions feel free to contact me at 412-208-6528 or by email at Lynda.W.Petrichevich@peoples-gas.com.

Sincerely,

Yynika / Durchanck

Lynda W. Petrichevich Manager, Rates and Regulatory Affairs Peoples Natural Gas Company LLC RECEIVED

DEC 1 2 2013

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

SAFETY CUSTOMER COMMITMENT | TRUST | COMMUNITY



205 North Main Street Butler, PA 16001

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December 2, 2013

Dana A. LeSage, P.E. - Principal Berkshire Energy Partners, LLC 9 Berkshire Road Landenberg, PA 19350

Dear Mr. LeSage:

This letter serves as notification that Peoples TWP LLC does not require Berkshire Energy Partners, LLC to provide a security or credit enhancement at this time. Our decision is based on the fact that your company is not currently operating, and has no immediate plans to operate, a Non-Priority One Pool or a Priority One Pool on the Peoples TWP system. However, if in the future your company desires to establish a Non-Priority One Pool or a Priority One Pool on the Peoples TWP system, it may be required to establish a security or credit enhancement based on the terms set forth under Paragraphs 19 and 20 of the Rules and Regulations of the Peoples TWP Tariff.

If you have any questions feel free to contact me at 724-431-4935 or by email at Andrew.Wachter@peoplestwp.com.

Sincerely,

Andrew Wachter Manager, Rates and Regulatory Affairs Peoples TWP LLC

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DEC 1 2 2013

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

SAFETY + CUSTOMER COMMITMENT + TRUST + COMMUNITY

Columbia Gas of Pennsylvania

A NiSource Company

December 2, 2013

Dana A. LeSage Berkshire Energy Partners, LLC 9 Berkshire Rd Landenberg, PA 19350

Dear Mr. LeSage:

We are pleased that Berkshire Energy Partners ("BERKSHIRE") has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, BERKSHIRE could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. BERKSHIRE has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that BERKSHIRE does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to BERKSHIRE changes in the future, Columbia Gas might deem it appropriate to require BERKSHIRE to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-4844 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Georgeanna Sturm Manager, Gas Transportation and Sales Support

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Philadelphia Gas Works



Raymond M. Snyder – Vice President, Gas Management

800 W. Montgomery Avenue, Philadelphia, PA 19122 Telephone: (215) 684-6405 Fax: (215) 684-6602

December 12, 2013

Mr. Dana A. LeSage Berkshire Energy Partners, LLC 9 Berkshire Rd. Landenberg, PA 19350

RE: Security Requirement Bond for Berkshire Energy Partners, LLC

Dear Mr. LeSage:

Philadelphia Gas Works (PGW") is aware that Berkshire Energy Partners, LLC has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Berkshire Energy Partners, LLC must furnish acceptable security to each utility where Berkshire Energy Partners, LLC will do business. As such, under its tariff, Philadelphia Gas Works could require Berkshire Energy Partners, LLC to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that Berkshire Energy Partners, LLC intends only to provide natural gas aggregating brokering and consulting services at this time. You have stated that, in performing these services, Berkshire Energy Partners, LLC will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Berkshire Energy Partners, LLC does not need to post a bond or other form of security to operate in its services territory. If the services provided by Berkshire Energy Partners, LLC should change, Philadelphia Gas Works reserves the right to require security from Berkshire Energy Partners, LLC as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at (215) 684-6405.

Sincerely

Raymond M. Snyder Vice President Gas Management

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DEC 12 2013

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

RMS:b



December 4th, 2013

Dana LeSage Berkshire Energy Partners, LLC 9 Berkshire Rd Landenberg, Pa 19350

Re: Bonding Requirements

Dear Dana LeSage

PECO is aware Berkshire Energy Partners, LLC has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, Berkshire Energy Partners, LLC could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. Berkshire Energy Partners, LLC has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that Berkshire Energy Partners, LLC does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided Berkshire Energy Partners, LLC or the creditworthiness requirement for PECO's exposure to Berkshire Energy Partners, LLC changes in the future, PECO reserves the right to require Berkshire Energy Partners, LLC to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,

Calor Tuble

Carlos P. Thillet Manager, Gas Supply and Transportation 2301 Market St S9-1 Philadelphia, Pa 19103



DEC 1 2 2013

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Attachment 4

#18. TECHNICAL FITNESS

Description of Applicant's Experience and Evidence of Technical fitness:

Berkshire Energy Partners is a newly formed Energy Consulting Entity, and has concurrently submitted applications to register as an Electricity Broker in Delaware, and an Energy Agent for electricity and natural gas in New Jersey.

Mr. Dana A. LeSage, P.E. is the Owner and Principal of Berkshire Energy Partners, LLC. His background and experience is summarized below.

Mr. Dana A. LeSage, P.E. – Owner & Principal of Berkshire Energy Partners, LLC
B.S. Chemical Engineering - Drexel University
MBA - University of Delaware
Registered Professional Engineer - State of Delaware (#8513)

Mr. LeSage worked in Industry and Heavy Manufacturing for 22 years in various Engineering and Management positions, and in the deregulated Energy Supply and Marketing business for nearly 7 years.

Mr. LeSage is well qualified to assist clients in the purchasing and management of their energy requirements, having served as an Energy Manager in heavy industry, and as an Account Manager for a major energy commodities supplier in the Eastern U.S.

Mr. LeSage's industry experience includes 13-1/2 years as Energy Manager at CitiSteel and Claymont Steel, located in Claymont Delaware. He managed an energy portfolio and procured physical supply of over 1 BCF of natural gas per year and 45 MW of electricity load, managed Demand Response activities, and controlled an energy spend reaching \$25MM annually.

After leaving Claymont Steel, Mr. LeSage spent close to 7 years with Hess Corp as an account Manager working with Commercial and Industrial customers to supply natural gas, electricity and fuel oil commodities and Demand Response services. Hess was one of the largest electricity, natural gas and oil suppliers in the Eastern U.S. and Mr. LeSage worked primarily in the PJM control area. Mr. LeSage is well versed in the Mid-Atlantic area Utilities and their respective tariffs.

Attachment 2

Valley Energy Inc.

#13 Proof of Service of the Application and attachments

CERTIFICATE OF SERVICE

On this the $12^{7^{H}}$ day of _____ December____ 2013, I certify that a true and correct copy of the

foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric

Generation Supplier and all attachments have been served upon the following:

Irwin A. Popowsky Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120-1921

William R. Lloyd, Jr. Commerce Building, Suite 1102 Small Business Advocate 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

National Fuel Gas Distribution Corp.



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aney chergy mc.	National Tuel Oas Distribution oorp.
Robert Crocker	David D. Wolford
523 South Keystone Avenue	6363 Main Street
Sayre, PA 18840-0340	Williamsville, NY 14221
PH: 570.888-9664	PH: 716.857.7483
FAX: 570.888.6199	FAX: 716.857.7479
email: rcrocker@ctenterprises.org	email: wolfordd@natfuel.com
UGI Central Penn	Peoples Natural Gas Company LLC
David Beasten	Lynda Petrichevich
2525 N. 12 th Street, Suite 360	375 North Shore Drive, Suite 600
Reading, PA 19612-2677	Pittsburgh, PA 15212
PH: 610.796.3425	email: Lynda.w.petrichevich@peoples-gas.com
FAX: 610.796.3559	PH: 412.208.6528
	FAX: 412.208.6577
Peoples TWP LLC (Formerly T. W. Phillips)	UGI
Andrew Wachter	David Beasten
375 North Shore Drive, Suite 600	2525 N. 12 th Street, Suite 360
Pittsburgh, PA 15212	Reading, PA 19612-2677
PH: 724,431,4935	PH: 610.796.3425
FAX: 724.287.5021	FAX: 610.796.3559
email: <u>Andrew.Wachter@peoplestwp.com</u>	
UGI Penn Natural	Equitable Gas Company
David Beasten	Jason Daiton
2525 N. 12 th Street, Suite 360	225 North Shore Drive
Reading, PA 19612-2677	Pittsburgh, PA 15212-5352
PH: 610.796.3425	PH: 412.395.3266
FAX: 610.796.3559	FAX: 412.395.3166
PECO	Columbia Gas of Pennsylvania Inc.

Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 email: <u>carlos.thillet@exeloncorp.com</u> PH: 215.841.6452	Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX:614.460.6442 email: theckathorn@nisource.com
Philadelphia Gas Works Douglas Moser 800 West Montgomery Avenue Philadelphia, PA 19122 email: <u>douglas.moser@pgworks.com</u> PH: 215.684.6899	

Dans l. Ulay

Dana A. LeSage, P.E., Owner & Principal of Berkshire Energy Partners, LLC











POSTAGE

