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JAN 1 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Pennsylvania Public Utility Commission Keystone Building, 400 North Street 2<sup>nd</sup> Floor, Room N201 Harrisburg, PA 17120 January 7, 2014

RE: Infinity Power Partners, LLC – Application for Electric Generation Supplier License (Natural gas Broker/Consultant only) to the public in the Commonwealth of Pennsylvania

Please find attached an original, one copy, and a cd-rom with searchable PDF version of the application of Infinity Power Partners for a license to provide natural gas supply services as a consultant/broker.

The table of contents will provide directions to references and detail for the documentation required.

Should further explanations or questions be required, please contact me at the following address:

Peter Selber, Managing Partner

peter@infinitypowerpartners.com

(Office) 713-559-0551

(Cell) 713-419-6828

Very truly yours,

Peter Selber

Application of Infinity Power Partners, LLC for approval to offer, render, furnish, or supply as a Broker/Marketer engaged in the business of supplying natural gas services to the public in the Commonwealth of Pennsylvania.

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#### BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Infinity Power Partners, LLC for approval to offer, render, furnish, or as a broker marketer engaged in the business of supplying natural gas services to the public in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

1. IDENTITY OF THE APPLICANT: The name, address, telephone number, and FAX number of the Applicant are:

> Infinity Power Partners LLC 1990 Post Oak Blvd., Ste. 2450 Houston, TX 77056 713-559-0551 (Fax) 832-623-6687

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

None.

2. a. CONTACT PERSON: The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are:

> Peter Selber **Managing Partner** Infinity Power Partners LLC 1990 Post Oak Blvd., Ste. 2450 Houston, TX 77056 713-559-0551 (Fax) 832-623-6687

b. CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY: The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA:

> Peter Selber Managing Partner Infinity Power Partners LLC 1990 Post Oak Blvd., Ste. 2450 Houston, TX 77056 713-559-0551 (Fax) 832-623-6687

3.a. ATTORNEY: If applicable, the name, address, telephone number, and FAX number of the Applicant's attorney are: RECEIVED

Not applicable.

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b. **REGISTERED AGENT**: If the Applicant does not maintain a principal office in the Commonwealth, the required name, address, telephone number and FAX number of the Applicant's Registered Agent in the Commonwealth are:

C T Corporation System 116 Pine Street, Suite 320 Harrisburg, Pennsylvania 17101

Phone number: 717.234.6004 Fax number: (717) 234-6024

- 4. FICTITIOUS NAME: (select and complete appropriate statement)
  - x The Applicant will not be using a fictitious name.
- 5. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:** (select and complete appropriate statement)

X foreign limited liability company (15 Pa. C.S. §8981)

Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Additionally, provide a copy of the Applicant's Articles of Incorporation.

Please find attached Attachments "A" & "B"

Give name and address of officers.

President: Sean Finn

Business Address: 952 Echo Lane, Suite 330

Houston, TX 77024

Vice President: Khaled Salem

Business Address: 7 Riverway, #2107

Houston, TX 77056

Secretary: Nicholas Herschel Altman Business Address: 1900 Stoneybrook

Houston, TX 77063

Treasurer: Peter Ryan Selber

Business Address: 3506 Glen Arbor

Houston, TX 77025

The Applicant is incorporated in the state of Texas.

- 6. **AFFILIATES AND PREDECESSORS WITHIN PENNSYLVANIA:** (select and complete appropriate statement)
  - X The Applicant has no affiliates doing business in Pennsylvania or predecessors which have done business in Pennsylvania.
- 7. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)
  - X The Applicant is presently doing business in Pennsylvania as a broker/marketer of electricity.

	A-2013-2373187	Infinity Power Partners, LLC 1990 Post Oak Blvd. Suite 2450 Houston, TX 77056	Entire Commonwealth of PA Large Commercial, Industrial and Governmental
	6/11/2013 12:00:00 AM (F) 9/26/2013 12:00:00 AM (A)	Broker/Marketer	Peter Selber Phone:713-559-0551
8.	(T)	DIOVENMENCIÉI	Fax:

APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:

- x Broker/Marketer engaged in the business of supplying natural gas services.
- 9. PROPOSED SERVICES: Generally describe the natural gas services which the Applicant proposes to offer.

Applicant will provide procurement services to clients inclusive of analytics, recommendation, negotiation on behalf of the clients, structuring and managing as required, provision of back office support and otherwise act on their behalf.

10. **SERVICE AREA:** Provide each Natural Gas Distribution Company (NGDC) in which Applicant proposes to offer services.

All of Pennsylvania

- 11. **CUSTOMERS:** Applicant proposes to initially provide services to:
  - x Commercial Customers (Less than 6,000 Mcf annually)
  - x Commercial Customers (6,000 Mcf or more annually)
  - x Industrial Customers
  - x Governmental Customers
- 12. **START DATE:** The Applicant proposes to begin delivering services on approval of license.

13. **NOTICE:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of the signed and verified Application with attachments on the following:

Irwin A. Popowsky
Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120-1921

Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

William R. Lloyd, Jr. Commerce Building, Suite 1102 Small Business Advocate 300 North Second Street Harrisburg, PA 17101 Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Any of the following Natural Gas Distribution Companies through whose transmission and distribution facilities the

applicant intends to supply customers:	
Valley Energy Inc.	National Fuel Gas Distribution Corp.
Robert Crocker	David D. Wolford
523 South Keystone Avenue	6363 Main Street
Sayre, PA 18840-0340	Williamsville, NY 14221
PH: 570.888-9664	PH: 716.857.7483
FAX: 570.888.6199	FAX: 716.857.7479
email: rcrocker@ctenterprises.org	email: wolfordd@natfuel.com
UGI Central Penn	Peoples Natural Gas Company LLC
David Beasten	Lynda Petrichevich
2525 N. 12 <sup>th</sup> Street, Suite 360	375 North Shore Drive, Suite 600
Reading, PA 19612-2677	Pittsburgh, PA 15212
PH: 610.796.3425	email: Lynda.w.petrichevich@peoples-gas.com
FAX: 610.796.3559	PH: 412.208.6528
	FAX: 412.208.6577
Peoples TWP LLC (Formerly T. W. Phillips)	UGI
Andrew Wachter	David Beasten
375 North Shore Drive, Suite 600	2525 N. 12 <sup>th</sup> Street, Suite 360
Pittsburgh, PA 15212	Reading, PA 19612-2677
PH: 724.431.4935	PH: 610.796.3425
FAX: 724.287.5021	FAX: 610.796.3559
email: Andrew.Wachter@peoplestwp.com	
UGI Penn Natural	Equitable Gas Company
David Beasten	Jerald Moody
2525 N. 12 <sup>th</sup> Street, Suite 360	225 North Shore Drive
Reading, PA 19612-2677	Pittsburgh, PA 15212-5352
PH: 610.796.3425	PH: 412.395.3209
<u>F</u> AX: 610.796.3559	FAX: 412.395.3335
PECO	Columbia Gas of Pennsylvania Inc.
Carlos Thillet, Manager, Gas Supply and Transportation	Thomas C. Heckathorn
2301 Market Street, S9-2	200 Civic Center Drive
Philadelphia, PA 19103	Columbus, OH 43215
email: carlos.thillet@exeloncorp.com	PH: 614.460.4996
PH: 215.841.6452	FAX:614.460.6442
	email: theckathorn@nisource.com
Philadelphia Gas Works	
Douglas Moser	
800 West Montgomery Avenue	

Philadelphia, PA 19122	]	 
email: douglas.moser@pgworks.com		
PH: 215.684.6899		

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14.

14. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix B to this application.

Please find attached "C"

15. **COMPLIANCE:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings.

Applicant has no proceedings to list.

- 16. STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND CONSUMER EDUCATION: All services should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.
  - a. Contacts for Consumer Service and Complaints: Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant, the Distribution Company, the Pennsylvania Public Utility Commission or other agencies.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

b. Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

If proposing to serve Residential and/or Small Commercial customers, provide a disclosure statement.
 A sample disclosure statement is provided as Appendix B to this Application.

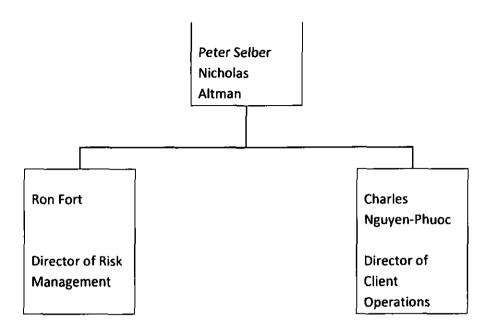
Not applicable.

#### 17. FINANCIAL FITNESS:

- A. Applicant shall provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.

Organizational Chart and Managerial Licensing Qualifications of Infinity Power Partners, LLC

Managing Partners



Peter Selber Managing Partner

Peter Selber has four and a half years of experience working in deregulated electricity and natural gas markets. Prior to forming Infinity Power Partners, Peter was an energy consultant at Choice Energy Services Retail where he started as an intern and worked his way up to consulting for large/mid commercial and industrial customers across Texas. In this role, Peter was also responsible for managing a team of four sales professionals where he assisted with the facilitation of structuring and negotiating retail electricity contracts, and successfully growing the group's sales year-over-year. By his final year of employment at Choice Energy Services, and through his sales efforts during the three year period, Peter grew his portfolio of cliental to an annual load exceeding 100,000 mWh's of electricity, and over 300,000 MMBtu of natural gas.

Since forming Infinity Power Partners twelve months ago, Peter has been instrumental in helping to build on their Texas portfolio with an annual consumption exceeding 200,000 mWh's of electricity and 300,000 MMBtu of natural gas. Through Peter's commitment and dedication, Infinity Power Partners has been successful in building a team capable of offering industry expertise, while providing their clients with an honest and transparent first class approach to energy procurement.

Nick Altman Managing Partner

Nick Altman has three and a half years of experience working in deregulated electricity and natural gas markets.

Immediately prior to forming Infinity Power Partners, Nick was an energy consultant at Choice Energy Services Retail where he focused his efforts on large/mid commercial real estate portfolios within ERCOT. In this role, Nick was responsible for managing a team of three sales professionals, and overseeing the facilitation and execution of retail electricity contracts. During his two years of employment at Choice Energy Services, Nick successfully grew his portfolio to where he was managing an annual load of 150,000 mWh's of electricity for his clients.

Nick and has been an integral part of the early success Infinity Power Partners has realized. As co-managing partner and head of sales, Nick recently finalized an eight year electricity contract for a publicly traded institution totaling over 150,000 mWh's over the term of the contract. Nick continues to devote his time and effort to growing Infinity Power Partners the right way, ensuring that the business conducted is that of shared common goals and values between himself, their team, and the portfolio of existing client.

Technical Licensing Qualifications of Infinity Power Partners, LLC

Ron Fort

**Director of Risk Management** 

As Director of Risk Management, Ron is responsible for expanding and maintaining Infinity Power Partners ever-growing presence in ERCOT, and the other U.S. markets we intend to enter in the future. Infinity Power Partners prides itself on the wealth of knowledge Ron is able to offer with his thirty-five years of industry experience, appreciation and respect for client's needs, and unique ability to provide an honest and transparent view of the market place. Before joining Infinity Power Partners, Ron was instrumental in developing advanced energy pricing structures innovative ideas for the purchasing, hedging and monitoring the energy market, ensuring his clients capture the most value possible for their business.

His experience includes managing forward NYMEX and Heat Rate curves, analyzing those curves against client specific appetite and risk, while making sure the client's goals are always met. Throughout his thirty-five years of experience, Ron continues to successfully do such things as assembling and facilitating power price management tools, on-going risk management and analysis for the Infinity Power Partners portfolio, and structuring client specific products and contracts for execution.

Viewed as a top expert of price curve models and his well-known creative success with structuring procurement strategies, Ron is frequently asked to contribute commentary and offer insight to media outlets within in the industry. In the past, Ron has presented to forums which include The Houston Chronicle and Energy Risk magazine.

Charles Nguyen-Phuoc Director of Client Operations

With over ten years of energy industry experience, specifically that of operations, Charles provides Infinity Power Partners and their clients with the necessary expertise and focus required in order to be a successful energy management firm in the retail power, oil and gas markets. He has a strong knowledge base in contract negotiations, excellent customer service, and a wide range of industry contacts that help to ensure client's needs are always met.

His participation in the retail power market began with validation of client data and contract creation and execution. While at Reliant Energy, his experience with retail energy providers and ERCOT protocol has helped to maintain a high level of customer service. He holds a Bachelor of Science degree from the University of Houston. As the Director of Client Operations at Infinity Power Partners, Charles primary role is to handle and mange any customer related issue that may arise, facilitate the creation and release of customer analysis, and communicate clear and concise information to both clients and suppliers.

Published parent company financial and credit information.

Not applicable as no parent company (available financial information provided below)

 Applicant's balance sheet and income statement for the most recent fiscal year. Published financial information such as 10K's and 10Q's may be provided, if available.

Please find attached Balance Sheet for Fiscal 2011 & Fiscal 2012, Accountant's Compilation Report, and Revenue and five year projections (Attachments "D', "E", "F", & "G")

• Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form or other independent financial service reports.

Please find attached "H"

• A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.

Please find attached "I"

Audited financial statements

Not applicable – please see Balance Sheet for Fiscal 2011 & Fiscal 2012, Accountant's Compilation Report, and Revenue and five year projections (Attachments "D', "E", "F", & "G"), above

Such other information that demonstrates Applicant's financial fitness.

Please find attached "J"

- B. Applicant must provide the following information:
- Provide proof of compliance with bonding/credit requirements for each NGDC the applicant is proposing to
  provide service in. This requirement is designated by each NGDC and can commonly be found in the NGDC
  supplier tariff.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

Identify Applicant's chief officers including names and their professional resumes.

Peter Selber, Managing Partner (please see att "K")

Nicholas Altman, Managing Partner (please see att "L")

 Provide the name, title, address, telephone number and FAX number of Applicant's custodian for its accounting records.

David D. Selmon Certified Tax Practicioner 3118 West Parkwood Ave. PMB 111 Webster, TX 77598 713-253-7968 (Fax) 281-554-9561

- 18. **TECHNICAL FITNESS:** To ensure that the present quality and availability of service provided by natural gas utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
  - The identity of the Applicant's officers directly responsible for operations, including names and their professional resumes.

Peter Selber and Nicholas Altman (resumes above att "K" & "L")

Charles Phuoc -Nguyen (please see att "M")

Ron Fort – (please see att "N")

A copy of any Federal energy license currently held by the Applicant.

None.

Proposed staffing and employee training commitments.

IPP employees are seasoned veterans (5) and one newcomer from a sales background; we intern new hires for 6 months prior to associate status. We have a step-by-step training program based on a mentoring approach.

Business plans.

IPP acquires most of our client base from existing relationships and referrals. Our approach is outlined on attachment (please see att "O")

19. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2208(D). Transferee will be required to file the appropriate licensing application.

Understood.

20. UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.

Agreed.

21. **REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

a. Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on an annual basis no later than 30 days following the end of the calendar year.

Agreed.

Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 22 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive natural gas market.

Understood and Acknowledged.

22. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.

Understood and acknowledged.

FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

Understood.

24. **FEE:** The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

Applicant: Infinity Power Partners LLC

By: Peter Selber

Title: Managing Partner

# Attachment A Pennsylvania Department of State Filing – Application for Registration of Foreign LLC 2 Pages

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Regi Regi Lim	cation for Registrati (15 Pa.C.S.) istered Limited Liability Gestered Limited Liability Limited Partnership (§ 8582) ited Liability Company (§ 1	meral Partnership (§ 8 mited Partnership (§ 8 8981)		vania N 3 Page(s)
\$726380 S	o Paris	===	T1310911009	CIVI ETETY (PA II
In compliance with the requirementer to do business in this Commonwealth		ions (relating to regist	rallon), the undersigned, desiri	ing to
The name of the limited liability conwhich it is formed:      Infinity Power Partn	.,	nership/limited partne	rship in the jurisdiction in	•
2. The name under which the limited I register and do business in this Com Infinity Power Par	monwealth is:	bility partnership/limi	ited partnership proposes to	
3. The name of the jurisdiction under to  Jurisdiction: Texas Date of	( (	anized and the date of	ils formation:	
The (a) address of its initial registers office provider and the county of versions.		calth or (b) name of its	s commercial registered	•
(a) Number and street	City S	State Zip	County ·	
(b) Name of Commercial Registered	1 Office Provider	<u> </u>	County ANPhin	

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## Attachment B Texas Articles of Incorporation 3 Pages

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SECRETARY'S BUREAU

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### CERTIFICATE OF FILING OF

Infinity Power Partners, LLC File Number: 801472840

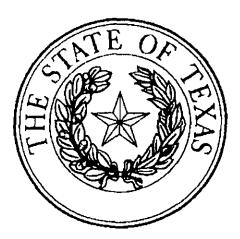
The undersigned, as Secretary of State of Texas, hereby certifies that a Certificate of Formation for the above named Domestic Limited Liability Company (LLC) has been received in this office and has been found to conform to the applicable provisions of law.

ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing filing effective on the date shown below.

The issuance of this certificate does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

Dated: 08/29/2011

Effective: 08/29/2011



Hope Andrade Secretary of State

TID: 10306

Phone: (512) 463-5555 Prepared by: Delores Moore Dial: 7-1-1 for Relay Services Document: 386417760002

AUG 20 2011

**Corporations Section** 

#### CERTIFICATE OF FORMATION OF INFINITY POWER PARTNERS, LLC A LIMITED LIABILITY COMPANY

### This certificate of formation is submitted for filing pursuant to the applicable provisions

of the Texas Business Organizations Code.

#### Article I - Entity Name and Type

The name and type of filing entity being formed are: Infinity Power Partners, LLC, a Texas limited liability company (hereinafter "Company").

#### Article II - Purpose

The purpose for which the Company is organized is any lawful purpose which may be undertaken by the company in accordance with the applicable provisions of the Texas Business Organizations Code.

#### Article III - Registered Office and Registered Agent

The initial registered agent is an individual resident of the state whose name is Sharon C. Stodghill. The business address of the initial registered agent and the initial registered office is: 952 Echo Lane, Suite 330, Houston, Texas 77024.

#### Article IV - Principal Office

The address of the Company's principal office in this state is: 952 Echo Lane, Suite 330, Houston, Texas 77024.

#### Article V - Organizer

The name and address of the organizer is:

Name

Address

Sharon C. Stodghill

952 Echo Lane, Suite 330 Houston, Texas 77024

#### Article VI - Governing Authority

The company shall be managed by its Managers. The names and addresses of the persons who are to serve as Managers until the first annual meeting of the company's Members or until successors are elected and qualified are:

#### Name

#### Address

River Ridge Energy Holdings, LLC

952 Echo Lane, Suite 330 Houston, Texas 77024

Khaled Salem

7 Riverway, Suite 2107 Houston, Texas 77056

#### Article VII - Effective Date of Filing

This certificate of formation becomes effective when the document is filed by the secretary of state.

#### Article VIII - Execution

The undersigned affirms that the person designated as registered agent has consented to the appointment. The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument and certifies under penalty of perjury that the undersigned is authorized to execute the filing instrument.

Date: <u>August 29, 2011</u>

Sharon C. Stodghill

#### Attachment C

### Tax Certification Statement and Notification of Corporate Registration 2 Pages

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



#### NOTICE OF CORPORATE REGISTRATION

INFINITY POWER PARTNERS, LLC STE 2450 1990 POST OAK BLVD HOUSTON TX 77056-3891

TAXES SUBJECT:

Corporate Net Income Loans Foreign Franchise

Welcome to Pennsylvania's business community. The Department of Revenue has been advised that you are authorized to conduct business in Pennsylvania. The above Revenue ID number has been assigned to your business for tax reporting purposes. Please reference this number on all correspondence with the department.

Carefully review your name, address and tax information above for accuracy. If no federal employer identification number (FEIN) is indicated, please provide this number to the department as soon as it is available from the federal government. Write the FEIN and other changes or additions in the top, right-hand corner above and return this letter to the PA DEPARTMENT OF REVENUE, PO BOX 280705, HARRISBURG PA 17128-0705.

#### **FILING REQUIREMENTS**

The taxes you are required to report annually are identified above. Tax reports must be filed timely, even if there is no business activity or if the first year in business is less than 12 months. You are obligated to pay timely and file tax returns until you formally dissolve your corporate charter, file an out of existence affidavit or cancel a license or authorization. Failure to file and pay timely may result in penalties and liens. For information on tax due dates, visit the department's website at <a href="https://www.revenue.state.pa.us">www.revenue.state.pa.us</a>.

Pay particular attention to the month your fiscal year ends, identified above, for the following reasons:

- For capital stock/foreign franchise, corporate net income and mutual thrift taxes, the first quarterly estimated payments are due within 75 days following the incorporation/authority date.
- A federal subchapter S corporation desiring not to be taxed as a PA S corporation is required to file Form REV-976 on or before the due date or extended due date of the first tax period for which it is to be in effect. REV-976 is available at <a href="https://www.revenue.state.pa.us">www.revenue.state.pa.us</a>.

#### SUBJECTIVITY TO CORPORATE TAXES FOR LIMITED LIABILITY COMPANIES AND BUSINESS TRUSTS

- According to Section 601 of the Tax Reform Code, limited liability companies and business trusts are
  considered corporations for purposes of capital stock/foreign franchise tax, regardless of how they file with the
  Internal Revenue Service (IRS).
- Under Section 401, any entity that files as a corporation with the IRS is subject to PA corporate net income tax.
   A limited liability company or business trust that does not file as a corporation with the IRS is not subject to the PA corporate net income tax.

#### **ELECTRONIC FILING**

Payments of \$10,000 or more must be remitted electronically. Register online through the department's e-Services Center at <a href="https://www.revenue.state.pa.us">www.revenue.state.pa.us</a> to send tax payments to the department online using e-TIDES.

The Department of Revenue appreciates your cooperation and wishes your business success in Pennsylvania. If you have any questions, visit the Online Customer Service Center at <a href="https://www.revenue.state.pa.us">www.revenue.state.pa.us</a> or call the Taxpayer Service & Information Center at 717-787-1064.



JAN 1 3 2014

#### **Attachment D**

#### Balance Sheet thru 12312011

2 Pages

J.

#### Attachment E

Balance Sheet thru 12312012

3 Pages

#### Attachment F

**Accountant's Compilation Report** 

1 Page

#### **Attachment G**

Revenue and Five Years Projection

5 Pages

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

### Infinity Power Partners LLC Balance Sheet

As of December 31, 2011

ASSETS	
Current Assets	
Bank of America	209,553.66
Total Current Assets	209,553,66
Fixed Assets	
Computers & equipment	23,029.52
Less Accumulated Depreciation	-9,211.81
Net Fixed Assets	13,817.71
TOTAL ASSETS	223,371.37
LIABILITIES & EQUITY	
Liabilities	0.00
Total Liabilities	0.00
Equity	
Member Contributions	
River Ridge Energy Holdings LLC	125,000.00
Williamsburg Technology, Ltd	125,000.00
Peter Selber	50,000.00
Nicholas Altman	50,000.00
Total Member Contributions	350,000.00
Net Income	126,628.63
Total Equity	223,371.37
TOTAL LIABILITIES & EQUITY	223,371.37



JAN 1 3 0014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

### Infinity Power Partners LLC Profit & Loss

### For the period ended December 31, 2011 (Inception)

	Jan 07
Ordinary Income/Expense	
income	0.00
Expense	
Advertising & Promotions	2,702.62
Charity & Community Relations	600.00
Consultant Fees	67,053.00
Courier & Postage	45.33
Depreciation	9,211.81
Dues and Subscriptions	307.00
Insurance	4,212.23
interest	1.33
Legal Fees	4,615.50
Meals & Entertainment	2,463.90
Office & Miscellaneous	5,117.37
Rent	15,039.87
Tele-connectivity	2,324.55
Website Development	12,934.12
Total Expense	126,628.63
Net Income	-126,628.63

### Balance Sheet As of December 31, 2012

		Inception	
	Dec 31, 12	Dec 31, 11	\$ Change
ASSETS			
Current Assets			
Checking/Savings			
Bank of America - 3rd Prty Acct	342.83	0.00	342.83
Bank of America - Payables Acct	12,955.36	209,553.66	-196,598.30
Bank of America - Rec'bles Acct	34,636.82	0.00	34,636.82
Total Checking/Savings	47,935,01	209,553.66	-161,618.65
Other Current Assets			•
Due From			
Peter Seiber	1,493.36	0.00	1,493.36
Total Due From	1,493.36	0.00	1,493.36
Total Other Current Assets	1,493.36	0.00	1,493.36
Total Current Assets	49,428.37	209,563. <del>66</del>	~160,125.29
Fixed Assets			
Computers & Equipment	28,163.73	23,029.52	5,134.21
Less Accumulated Depreciation	-14,346.02	9,211.81	-5,134.21
Total Fixed Assets	13,817.71	13,817.71	0.00
TOTAL ASSETS	63,246.08	223,371.37	-160,125.29

### **RECEIVED**

JAN 1 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

### Infinity Power Partners LLC Balance Sheet As of December 31, 2012

	_	Inception	
	Dec 31, 12	Dec 31, 11	\$ Change
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Other Current Liabilities			
Due To			
Nicholas Altman	10,133.80	0.00	10,133.8
Total Due To	10,133.80	0.00	10,133.80
Total Other Current Liabilities	10,133.80	0.00	10,133.60
Total Current Liabilities	10,133.80	0.00	10,133.60
Total Liabilities	10,133.80	0.00	10,133.80
Equity			
Member Contributions			
. Nicholas Altman	67,988.75	50,000.00	17,988.75
Peter Selber	<b>67,968.75</b>	50,000.00	17,988.75
River Ridge Energy Holdings LLC	142,968.75	125,000.00	17,968.75
Williamsburg Technology, Ltd	143,000.00	125,000.00	18,000.00
· Total Member Contributions	421,906.25	350,000.00	71,906.25
Member Earnings Allocations			
Nicholas Altman	-31,657.15	-31,657.15	0.00
Peter Selber	-31,657.16	<b>-31,657.16</b>	0.00
River Ridge Energy Holdings LLC	-31,657.16	-31,657.16	0.00
Williamsburt Technology, Ltd	-31,857.16	-31,657.16	0.00
Total Member Earnings Allocations	-126,628.63	-126,628.63	0.00
Net Income	-242,165.34	0.00	-242,165.34
Total Equity	53,112.28	223,371.37	-170,259.09
OTAL LIABILITIES & EQUITY	63,246.08	223,371.37	-160,125.29

### Infinity Power Partners LLC Profit & Loss

January through December 2012

	· <u>·</u>	Inception	
	Jan - Dec 12	Jan - Dec 11	\$ Change
Ordinary Income/Expense			
Income			
Commission Income	245,691.99	0.00	245,691.99
Total income	245,691.99	0.00	245,691.99
Cost of Goods Sold			
Sales Returns	100.00	0.00	100.00
Total COGS	100.00	0.00	100.00
Gross Profit	246,591.99	0.00	245,591.99
Expense			
Advertising and Promotion	5,134.06	2,702.62	2,431.44
Automobile Expense	193.47	0.00	193.47
Bank Service Charges	144.32	0.00	144.32
Charity	7,105.00	600.00	6,505.00
Consultants/Commissions	35,186.11	67,053.00	-31,866.89
Depreciation Expense	5,134.21	9,211.81	-4,077.60
Dues and Subscriptions	9,744.45	307.00	9,437.45
Insurance Expense	5,904.12	4,212.23	1,691.89
Interest Expense	204.32	1.33	202.99
Licenses & Permits	200.00	0.00	200.00
Meals and Entertainment	14,736.60	2,483.90	12,272.70
Office Supplies	4,025.09	5,162.70	-1,137.61
Parking & Tolis	211.00	0.00	211.00
Payroli Expenses	311,620.86	0.00	311,620.88
Postage and Delivery	128.35	0.00	128.35
Professional Fees			
Accountant Fees	1,405.00	0.00	1,405.00
Legal Fees	3,449.00	4,615.50	-1,166.50
Total Professional Fees	4,854.00	4,615.50	238.50
Rent Expense	67,178.69	15,039.87	62,138.82
Telephone Expense	8,241.57	2,324.55	5,917.02
Travel Expense	3,525.68	0.00	3,525.68
Utilides	2,728.09	0.00	2,728.09
Website Maintenance	1,557.34	12,934.12	-11,376.78
Total Expense	487,757.33	126,628.63	361,128.70
let Ordinary Income	-242,165.34	-126,628.63	-115,636.71



MEMBER NATIONAL INSTITUTE TAX PRACTITIONERS \* NATIONAL SOCIETY OF ACCOUNTANTS \* NATIONAL SOCIETY OF TAX PROFESSIONALS TEXAS ASSOCIATION OF FINANCIAL AND TAX SPECIALISTS

#### **Accountant's Compilation Report**

To The Partners of:

Infinity Power Partners LLC Post Oak Central Three 1990 Post Oak Blvd Suite 2450 Houston, Texas 77056

I have compiled the accompanying balance sheet of INFINITY POWER PARTNERS LLC (a Texas limited liability company) as of December 31, 2011, and the related statement of income for the twelve months then ended. I have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

My responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial Information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures and the statement of cash flows required by accounting principles generally accepted in the United States of America. If the omitted disclosures and statement of cash flows were included in the financial statements, they might influence the user's conclusions about the Company's financial position, results of operations, and cash flows. Accordingly, these financial statements are not designed for those who are not informed about such matters.

Sincerely,

DAVID D. SELMON

**Certified Tax Practitioner** 

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JAN 1 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU



#### 5 Year Projections

Year	Projected Revenue to Date (1/29/13)	
2013	\$	287,806
2014	\$	132,463
2015	\$	103,670
2016	\$	49,878
2017	\$	10,240
Total	\$	584,057

### RECEIVED

JAN 1 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Year	Projected Deal Value (Closed Business as of 1/29/13)	
2013	\$	1,250,000
2014	\$	2,000,000
2015	\$	3,000,000
2016	\$	4,000,000
2017	\$	5,000,000

Supplier	Jan-13_	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13	Jan-14	Feb-14
APG&E							4	953	7,414					
Brillant Energy	789	812	789	795	701	685	687	683	686	679	664	664	867	674
CenterPoint Energy	1.436	1,713	2,512	2,512	2,560	2,367	2,367	1,399	1,399	1,399	1,399	1,399	1,399	1,399
Champion Energy	454	454	979	979	526	526	526	511	126	126	126	126	126	126
Cirro Energy	212	383	383	383	383	383	383	383	383	383	383	275	275	218
Cokinos	312								<del>                                     </del>					
ConEdision Solutions														
Constellation New Energy	5,046	5,024	4,983	4,948	4,945	8,141	8,185	4,575	4,182	3,642	3,532	4,601	3,482	3,249
Direct Energy	1,297	1,188	2,465	2,358	10,195	2,522	3,439	3,745	4,223	4,296	4,102	5,226	3,794	3,395
ENCOA	62	62	62	62	62	161	181	181	516	516	516	516	516	516
GDF Suez	1,067	1,067	1,067	1,067	1,067	1,067	1,067	1,067	1.087	1,067	1,067	1,067	1,067	1,067
Gexa Energy	141	137	120	119	115	121	128	133	132	132	128	126	141	137
Green Mountain Energy	3,467	2,953	3,029	3,496	3,839	4,413	4,885	5,195	5,447	7,803	7,480	4,497	4,374	4,108
Hudson Energy	1,550	1,523	2,180	2,433	1,713	2,582	3,115	3,363	3,898	3,808	4,682	4,673	4,583	4.682
Mid American Energy	11	11	11	11	11	11	11	11	11	11	11	11	11	11
MP2 Energy	537	537	537	537	493	493	493	483	483	483	483	483	483	483
Reliant	236	236	777	236	63	924	63	376	1,035	376	376	1,027	376	376
Various REPs	1,069	1,393	1,393	1,393	1,393	1,393	1,393	1,406	1,408	1,406	1,408	1,408	1,406	1,082
Startex Power	1,919	1,941	2,128	1,957	1,936	1,729	1,722	1,745	1,726	1,445	1,335	1,323	1,318	1,302
Trieagle	- 6	2	37	37	37	37	37	37	37	37	37	37	37	37
Total	19,611	19,436	23,452	23,322	30,038	27,554	28,664	26,225	34,168	27,608	27,727	27,457	24,054	22,883

Mar-14	Apr-14	May-14	Jun-14	Jul-14	Aug-14	Sep-14	Oct-14	Nov-14	Dec-14	Jan-15	Feb-15	Mar-15	Арт-15	May-15	Jun-15	Jul-15
					953									Ι		
660	666	660	688	655	655	657	652	638	227	210	219	203	138	138	107	25
727	727	679	679	679	679	679	679	551	551	219	128					
126	126	126	126	126	126	126	126	126	126	128	126	911	911	911	911	911
218	218	218	218	218	218	218	155	155	155	155	40	40	40	40	40	40
		<del>                                     </del>	<del>                                     </del>	1,396	1,396	1,396	1,396	1,396	1,396	1,396	1,396	1,396	1,396	1,396	1,398	
3,402	3,424	3,856	4,137	3,939	4,302	3,909	3,369	3,259	4,327	3,209	2,976	2,863	2,921	3,388		
3,756	3,991	2,648	2,263	2,471	2,554	3,108	3,246	3,203	2,914	2,554	2,265	2,443	2,786	2,648	2,263	1,404
516	516	516	516	518	518	516	516	516	516	516	516	516	516	516	516	516
1,067	1,067	494	494	301				<u> </u>		T						
120	119	115	121	128	133	132	132	128	126							
4,203	4,742	2,135	2,144	2,227	1,954	1,888	2,149	2,128	2,313	2,483	2,416	2,327	2,285	2,308	2,317	2,408
4,673	4,583	4.682	4,673	4,274	4,625	4,616	4,274	4,625	3,945	4,274	4,625	3,945	4,274	4,483	3,180	3,509
	11											L				
483	483	483	483	483	483	483	483	483	483	209	209	209	209	209	209	209
916	376	376	1,756	895	895	1,554	895	895	1,546	895	895	1,436	895	895	1,756	895
1,082	1,082	1,082	644	86	76	76	76	76	51	51	51	51	51	51	51	51
1,32	1,263	1,219	1,228	1,141	1,142	1,109	1,108	1,109	1,088	1,074	1,078	1.081	1,064	1,026	807	807
	2 2	2	2	2	2	2	1	1	1	1	1	1	1	1	1	1
23,28	23,397	19.291	20,153	19,538	20,711	20,489	19,257	19,289	19,765	17,372	16,942	17,424	17,488	18,011	13,555	10,778

Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	Jul-16	Aug-16	Sep-16
953								<u> </u>	1	<u> </u>		1	
6	0	0	0	0	0	0	0	0	0	0	0	0	O
911	911	911	911	911	911	911	918	2,099	2,099	2,099	2,099	2,099	2,099
1,404	1,401	1,401	1,401	1,401		1,401	276	276	276	276	24	24	24
516	516	454	454	454	454	454	454	454	454	454	454	454	454
2,421	2,007	2,007	2,007	2,007	1,767	1,767	1,748	1,541	1,541	1,318	1,318	1,162	1,144
3,468			3,258		2,907	3,258				2,176		<del></del>	2,176
209	174	174	35	<del></del>		<del>                                     </del>		<del>                                     </del>	+	<del>                                     </del>	<del>                                     </del>	†	† <u>-</u>
895	1,554	895	895	895	895	895	895	895	895	895	832	519	519
51	51	51	51	51	51	51	51	51	51	51	51		ī
771	771	771	771	771	771	771	740	740	740	727	727	727	727
	1 1	1	1	T 1	1	1	1	1	1	1	1	1	1
11,608	9,965	9,573	9,786	8,936	9,159	9,510	7,304	8,702	8,238	7,997	8,146	7,163	7,144

<del>d-16</del>	Nov-16	Dec-16	Jan-17	Feb-17	Mar-17	Арг-17	May-17	Jun-17	Jui-17	Aug-17	Sep-17	Oct-17	Nov-17	Dec-17	> 60 Mo.	Total
0	0		0	<del> </del>			ļ			<u> </u>						10,270
<u>`</u>	<del></del>	<del>                                     </del>	<u>υ</u>	<del>                                      </del>	<del> </del> -		<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>				17,15
2,099	2,099	2.099	2.099	2,099	0.000	2.000			<u> </u>	<u> </u>	<u> </u>					32,23
_,,,,,,		2.035	2.099	2,099	2,099	2,099	2,099	2,099	2,099	2,099	2,099	2.099	2,099	2,099	70,053	133,21
	<del> </del>	<del></del>	<del> </del>	<del> </del> -	<del> </del>	<del></del>			<del>                                      </del>		<u> </u>			<u> </u>		7,19
	-	<del>                                     </del>	<del> </del>	<del>                                     </del>	<del> </del>			<b>-</b>	<u> </u>		<u> </u>		<u> </u>	ļ		31
				<del></del>	<del>                                      </del>		<u> </u>		<del> </del>				<u> </u>		ļ	16,75
24	24	24	24	24	24	24	24	24					<del> </del>	ļ	<u> </u>	121,81
454	454	454	454	454	454	454	454	24	24		ļ		ļ			110,01
		<del></del>			7.54	- 404	754	356	356	356	356	356	356	356	2,845	
		<del>†                                      </del>		<del>†</del>	<del>                                      </del>	<del>                                     </del>	<del> </del>	<del> </del>	<del>├</del>	<del> </del>		<del> </del>		ļ	Ļ	18,35
967	967	967	967	967	967	967	686	559	559	232		<del>-</del>		<del>                                     </del>	ļ	3,06
1,400	1,400	1,400		1,400	1,400		1,400	<del></del>			232	4 204	4 004	4.004	4 222	140,20
		<del>                                     </del>		†	<del>                                     </del>	1,100	1,400	1,400	1,400	1,291	1,291	1,291	1,291	1,291	1,338	176,88
		T		<del>                                     </del>	<b>†</b>	<del>                                     </del>	<del>                                     </del>		<del> </del>	<del></del>	<del>                                     </del>	-		<del>├</del>	<b>├</b> ┈ —	17
519	519	519	519	519	519	519	519	519	519	519	519	519	519	519	4.000	13,88
									1 3.3	+	313	1 318	319	318	1,038	
727	727	727	727	727	160	†	†		<del>                                     </del>		<del> </del> -	<del> </del>	+	┼──	<del> </del>	24,24
1	1	1	1	1			<del>                                     </del>	<u> </u>	<del>                                     </del>	+	+	<del>                                     </del>	+	<del> </del>	<del>                                     </del>	56,52
6,192	6,192	6,192	6,192	6,192	5,623	5,464	5,183	4,957	4,957	4,521	4,497	4,265	4,265	4,265	75,275	956,37

.

## Attachment H DNBi Professional Report for Infinity Power Partners LLC 11 Pages



JAN 1 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Printed on: 05/09/2013



**D&B** Report for:

#### INFINITY POWER PARTNERS, LLC

D-U-N-S® Number: 07-830-5139 Location Type:

Single

Registered Address:

1990 Post Oak Blvd # 2450, Houston, TX 77056

Phone: 713 559-0550

This report Includes:

✓ Core Report

Enhanced Data Upgrade **♥** Enhanced Trade Upgrade

◆ Enhanced Analytics Upgrade

#### **Trade Payments**



Examining how a company has paid its bills in the past can strongly indicate, but may not necessarily predict, how it will pay its bilts in the

D&B does not have sufficient data to calculate a trade payment risk for this company.

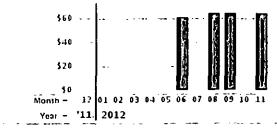
#### **Detailed Trade Risk Insight**



The charts in this section show two important aspects of the company's payment behavior during the past year: 1) the aging profile of the company's accounts, and 2) amounts owed that have had to be written off or placed for collection (Derogatory Events). Use the links at the bottom of the section to see more details.

#### **Amounts Current and Past Due - Past 12 Months**

This chart shows the company's account aging profile over the past year. The chart lets you see at a glance the proportion of payments that were paid promptly versus those that were paid late.



#### **Key Data**



Key Data shows you how stable and well-established a company is, which can indicate its overall ability to pay its bills. Some companies are riskier than others, so review revenue, net worth, and number of employees to determine the company's general health. Look at years in business, length of management tenure, and the people involved in the company to determine how stable it is. Note whether the company is involved in a relatively high-risk industry. You can also check to see if there are any special events, such as a management or location change, that could adversely affect the company's ability to pay its bills. Click the links for more detailed information.

Key Principal	PETER SELBER, MNG PRTN						
Line of Business	Management consulting services (SIC: 8742)						
Number of Employees	5	Years in Business	2 Years				
Total Sales	\$310,000						
Overall Status	No negative information in D&B's files.						
Facilities	Occupies premises in building.						



**D&B** Report for:

### INFINITY POWER PARTNERS, LLC

### **D&B Predictive Scores and Insights**



D&B Predictive Scores and Insights allow to you make more informed credit decisions by identifying the potential risks based on past behavior. D&B's prodictive scores are based on empirically validated statistical models. While you can use them as one factor in making your credit decisions, you should be aware that the predictive scores represent statistical probabilities, not guarantees of future behavior.

### **D&B Credit Limit Recommendation**



**D&B's recommended Credit** Limit is based on the company profile and on profiles of other companies of similar size, industry, and credit usage.

D&B Conservative Credit Limit: \$2,500

D&B Aggressive Credit Limit: \$10,000

#### Risk of Financial Failure in the Coming 12 Months



D&B calculates the Financial Stress Score using a statistically valid model derived from our extensive data files. This score predicts the likelihood in the coming 12 months that the company will cease business without paying all creditors in full, or undergo financial reorganization, or try to obtain relief from creditors under state or federal law,

- . In D&B's view, this company has a Moderate risk of severe financial stress, such as a bankruptcy, over the next 12 months.
- Companies in the same Financial Stress Class as this company have around a 0.24% (24 per 10,000) likelihood of experiencing severe financial distress or financial failure in the coming 12 months.
- . This is 50% Lower than the predicted failure rate for all businesses in D&B's database of 0.48% (48 per 10,000) in the coming 12 months.

### Risk of Financial Failure

These graphs let you select 3 ways of viewing the company's risk of financial distress in the coming year. They are all based on D&'s Financial Stress Score (FSS). The Score graph shows the actual FSS as calculated by D&. The Percentile graph shows how the company's failure risk compares to all companies in the D&B database. The Class graph aggregates all companies into five groups, which makes it easier to identify a particular company's risk profile,

### Risk of Financial Failure - 12 Month Trend

This chart shows how the company's risk of financial failure has trended over the past 12 months. Companies whose risk of financial failure is increasing represent a higher risk than companies whose risk is steady or declining.

#### Based on D&B's Financial Stress Score Class

### Financial Stress Score Class





D&B Report for:

### **INFINITY POWER PARTNERS, LLC**

a particular company's risk profile.

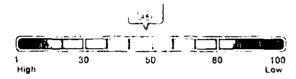
Based on D&B's Financial Stress Score Class

Financial Stress Score Class



Based on D&B's Financial Stress Score Percentile

Financial Stress Score Percentile



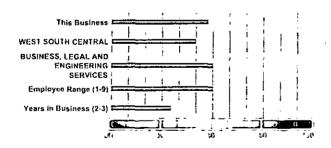
Based on D&B's Financial Stress Score

Financial Stress Score

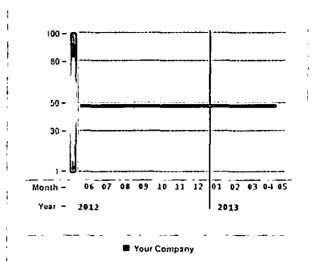


### Comparative Risk of Financial Failure

The Comparative Risk of Financial Failure chart lets you see how the company's risk of financial failure compares to the average risk of failure for its various peer groups, including companies in the same region, in the same industry, with the same employee size range, and with a comparable number of years in business.



Risk of Payment Delinquency in the Coming 12 Months



### Factors Related to Risk of Financial Failure

The risk factors listed below indicate the principal issues or conditions that contributed to the assessment of the company's risk of financial failure.

- · Limited time under present management control.
- Higher risk legal structure.



**D&B** Report for:

### **INFINITY POWER PARTNERS, LLC**



D&B calculates the Commercial Credit Score using a statistically valid model derived from our extensive data files. This score predicts the likelihood in the coming 12 months that the company will pay its bills in a severely delinquent manner (90 days or more past terms), or try to obtain legal relief from creditors, or cease operations without paying all creditors in full.

- In D&B's view, this company has a Moderate risk of severe payment delinquency over next 12 months.
- Companies in the same Commercial Credit Class as this company have around a 18.40% likelihood of severe payment delinquency in the coming 12 months.
- This is 22% Lower than the predicted delinquency rate for all businesses in D&B's database of 23.50% in the coming 12 months.

### Risk of Payment Delinquency

These graphs let you select 3 ways of viewing the company's risk of payment delinquency in the coming year. They are all based on D&'s Commercial Credit Score (CCS). The Score graph shows the actual CCS as calculated by D&. The Percentile graph shows how the company's delinquency risk compares to all companies in the D&B database. The Class graph aggregates all companies into five groups, which makes it easier to identify a particular company's risk profile.

Based on D&B's Commercial Credit Score Class

Commercial Credit Score Class



Based on D&B's Commercial Credit Score Percentile

Commercial Credit Score Percentile



Based on D&B's Commercial Credit Score

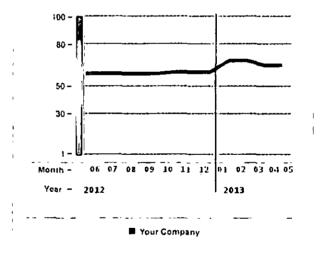
Commercial Credit Score



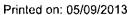
**Comparative Risk of Payment Delinquency** 

### Risk of Payment Delinquency - 12 Month Trend

This chart shows how the company's risk of payment delinquency has trended over the past 12 months. Companies whose risk of payment delinquency is increasing represent a higher risk than companies whose risk is steady or declining.



Factors Related to Risk of Payment Delinquency

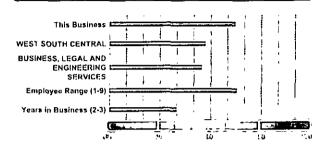




**D&B** Report for:

### **INFINITY POWER PARTNERS, LLC**

The Comparative Risk of Payment Delinquency chart lets you see how the company's risk of not paying its bills promptly compares to the average delinquency risk for its various peer groups, including companies in the same region, in the same industry, with the same employee size range, and with a comparable number of years in business.



The risk factors listed below indicate the principal issues or conditions that contributed to the assessment of the company's risk of payment delinquency.

- · Insufficient number of payment experiences.
- Low number of satisfactory payments.
- General area credit conditions.

### Size & Creditworthiness: The D&B Rating

The D&B Rating can help you quickly assess a firm's size and overall creditworthiness.

. D&B has no financial information on file for this company

Date Assigned	D&B Rating	Size (Net Worth or Number of Employees)	Overall Creditworthiness
Current	_		_
11/22/2011			-

The blank rating symbol should not be interpreted as indicating that credit should be denied. It simply means that the information available to D&B does not permit us to classify the company within our rating key and that further enquiry should be made before reaching a decision. Some reasons for using a "--" symbol include: deficit net worth, bankruptcy proceedings, insufficient payment information, or incomplete history information. The blank ("--") rating symbol should not be interpreted as indicating that credit should be denied. It simply means that the information available to D&B does not permit us to classify the company within our rating key and that further enquiry should be made before reaching a decision.



**D&B** Report for:

### INFINITY POWER PARTNERS, LLC

### **Industry Classification**



When evaluating credit, it is important to consider the company's primary industry and understand the risk pertaining to that industry based on current economic or local conditions. The SIC and NAICS codes on this page identify the type of economic or industrial activity the company engages in,

### **SIC Codes**

The 4-digit SIC numbers link to the industry classification descriptions on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window. D&B adds an additional 4 digits to the end of the SIC code. This enables D&B to be more specific about a company's operations,

·SIC Code	SIC Code Description
8742 9902	Business management consultant

### **NAICS Codes**

The North American Industry Classification System (NAICS) is a six-digit industry classification code. The first two digits specify the business sector, the third digit specifies the subsector, the fourth digit specifies the industry group, and the fifth digit specifies the industry. The sixth digit is designed for country specific classifications and may differ from one nation to the next.

NAICS Code	NAICS Code Description	
541611	Administrative Management and General Management Consulting Services	



**D&B** Report for:

### **INFINITY POWER PARTNERS, LLC**

### **Company History**



Review details about the company's history to tearn more about the company's stability and ownership history. Examine the recent events to see if there is anything that may impact the company's ability to pay its bills.

The following information was reported on: 05/03/2013

### Officer(s):

PETER SELBER, MNG PRTN NICHOLAS ALTMAN, MNG PARTNER

The Texas Secretary of State's business registrations file showed that INFINITY POWER PARTNERS, LLC was registered as a limited liability company on August 29, 2011.

Ownership information provided verbally by PETER SELBER, Mng Partner, on May 02 2013.

Business started 2011.

PETER SELBER born 1985. Antecedents not available.



**D&B** Report for:

### **INFINITY POWER PARTNERS, LLC**

### **Company Operations Summary**



Review the operations summary to learn more about the kinds of business the company conducts. You can also see more details about special events that may impact the company's ability to pay its bills, banking information, and government programs the company may be involved with.

### **Operations Summary**

### As on 05/03/2013

### Description:

Provides management consulting services, specializing in business management (100%).

Terms are undetermined. Sells to UNDETERMINED.

#### Employees:

5 which includes partners.

#### Facilities:

Occupies premises in building.

### **Government Activity**

Government Activity Summary	`
Borrower (Dir/Guar)	No
Administrative Debt	No
Contractor	No
Grantee	No
Party excluded from federal program(s)	No

Possible Candidate for Socioeconomic I	Program Consideration	
Labor Surplus Area		Yes (2013)
Small Business		Yes (2013)

The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources.

**D&B** Report for:

### **INFINITY POWER PARTNERS, LLC**

### **Trade Payments Summary**



The Trade Payment Summary shows the company's payment patterns organized by industry. You can use this summary to get a sense of whether the company pays certain types of suppliers more quickly or slowly than others, and in particular, how it has paid obligations owed to vendors in your industry segment.

# of trade payment experiences in D&B's file - past 24 months:	1
# of trade payment experiences in D&B's file - past 3 months:	0
Highest \$ amount now owed:	\$50
Highest \$ amount past due:	\$0

VI.	# Payment Amounts Experiences (USD) High Credit Amounts (USD)		High Credit	%	Days Slow (%)					
Industries/Categories			Amounts (USD)	Payments : Within Terms	0- 30	31- 60	61- 90	91+		
Top Industries					•					
Data processing svcs	1	50	50	100	0	0	0	0		
Other Payments Categor	ies									
Cash experiences	0	0	0			[				
Unfavorable comments	o	o	0			'				
Payment record unknown	О	0	0							
Placement for Collection										
Total in D&B File	0	0	0							

**D&B** Report for:

### INFINITY POWER PARTNERS, LLC

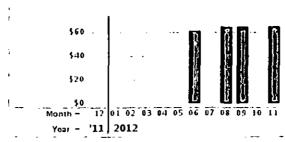
### **Complete Detailed Trade Risk Insight**



The Detailed Trade Risk Insight shows two important aspects of the company's payment behavior during the past year: 1) the aging profile of the company's accounts (Accounts Current and Past Due), and 2) amounts owed to creditors that have had to be written off or placed for collection (Derogatory Events).

### **Amounts Current and Past Due**

The Accounts Current and Past Due section shows the aging profile of the company's accounts over the past year. This lets you determine the proportion of payments that were paid promptly versus those that were paid late. The chart allows you to see aging trends - has the company started to reduce or increase the proportion of obligations it pays promptly, or has this proportion remained constant over time.



配 Current 日 1-30 Days 12 31-60 Days 日 61-90 Days 日 91+ Days

### \$ in thousands

Status	2011		i				2012					
(Days Past due)	12 5	. 01	02	03	04	05	06	07	80	09	10	11
Current Amount	•		-				0	-	0	0	-	0
1-30 Days		-	-	-	-	-	-		-	-	-	-
31-60 Days		_	-	-	-	-	-	-		-	-	-
61-90 Days	-	-	-	-	-	-	-	-	-	-	-	_
91+ Days	-		-	-	-	-	-	-		-		-
91+ Days		1		•	•			•		•		



**D&B** Report for:

### **INFINITY POWER PARTNERS, LLC**

### **Detailed Trade Payment History**



This table shows the details of individual trade payment experiences that have been reported to D&B's during the past three months. Each record reflects the payment record to one creditor, and each record is updated when a new payment to that supplier is reported. It should be noted that in some cases, a payment may be delayed beyond terms as a result of a dispute, missing invoice, or other similar circumstance.

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

Date Reported (month/year)	Payment Record	High Credit	Now Owes	Past Due	Selling Tarms	Recency of Last Sale
11/12	Prompt	50	50	0		1 mo

Anticipated - Payments are received prior to date of invoice; Discount - Payments are received within trade discount period; Prompt - Payments are received within terms granted; Slow - Payments are beyond vendor's terms. For example, Slow 30" means payments are 30 days due; Prompt-Slow - Some Payments are within terms, while others are paid beyond terms.

# Attachment I Proof of Insurance 4 Pages

## RECEIVED

JAN 1 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU



### TO CERTIFICATE HOLDER

Our agency has issued the enclosed certificate of insurance on behalf of our client. We want to share with you some important information regarding certificates of insurance.

The Texas Legislature passed and Governor Perry signed Senate Bill 425 to become effective January 1, 2012. After this date it will be illegal for an agent or insurance company to issue evidence of insurance on a certificate form that has not been approved by the Texas Department of Insurance (TDI). It is also illegal for anyone to require an agent or insurance company to use a certificate form that has not been approved by the State.

The law provides some guidance on the content and limitations of certificates, as follows:

- A certificate is not an insurance policy and does not amend, extend, or alter the coverage afforded by the referenced insurance policy.
- A certificate shall not confer to a certificate holder new or additional rights beyond what the policy and endorsements provide.
- A certificate may not contain a reference to a legal or insurance requirement contained in a contract other than the underlying contract of insurance or endorsement to the insurance policy.
- A person may have a legal right to notice of cancellation, nonrenewal or material change or any similar notice only if (1) the person is named within the policy or an endorsement to the policy, and (2) the policy or endorsement, or a state or rule, requires notice to be provided.
- The law confirms that "a certificate of insurance that is executed, issued, or required and that is in violation with this chapter is void and has no effect."

Additional information concerning the new law may be obtained by contacting the TDI (800) 252-3439 or visiting the web site <a href="http://www.tdi.texas.gov/rules/informal1216.html">http://www.tdi.texas.gov/rules/informal1216.html</a>.

For this reason, we may not be able to provide some of the specific certificate holder requested information which is outside the scope of the approved document. We are willing to explain each of these items and provide policy endorsements or other information subject to our client's approval.

We hope you will understand our position and ask that you give us a call if you have any questions or comments.



### **CERTIFICATE OF LIABILITY INSURANCE**

DATE (MM/DD/YYYY)

10/2/2012

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(les) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

L	certificate holder in lieu of such endo	orsen	ent(s	s)							
	PRODUCER John L. Wortham & Son,	L.P.				ONTACT NAME:					
P. O. Box 1388 Houston, TX 77251-1388				PHONE (A/C, No. Ext): 713-526-3366 FAX (A/C, No): 713-521-195							
ĺ	Houston, 12 77231-1360				E-MAIL ADDRESS:						
l							BURER(8) AFFO	RDING COVERAGE			NAIC #
L					INSUF	ERA: Sentio	el Insurance i	Company Ltd.			11000
ŀ	Infinity Power Partners, LLC				<b>ENSUR</b>	ERB: Hartlo	d Accident &	Indemnity Co.			22357
l	Post Oak Central Three				INSUR	ER C :					
١	1990 Post Oak Blvd, Suite 2450	)			INSUR	ERD:					<u> </u>
l	Houston TX 77056				INSUR	ER E :	<u>.</u>				
L					INSUR	ERF:					<u> </u>
_				E NUMBER: 14305554				REVISION NUM			
	THIS IS TO CERTIFY THAT THE POLICIE INDICATED. NOTWITHSTANDING ANY F CERTIFICATE MAY BE ISSUED OR MAY EXCLUSIONS AND CONDITIONS OF SUCH	REQUI PER H POL	REME TAIN, ICIES	ENT, TERM OR CONDITION THE INSURANCE AFFORD LIMITS SHOWN MAY HAVE	OF AN	IY CONTRACT THE POLICIE REDUCED BY	r or other es describe paid claims	DOCUMENT WITH D HEREIN IS SUI	1 RESPE	CT TO	WHICH THIS
M	NSR TYPE OF INSURANCE	ADD	NVO	POLICY NUMBER		POLICY EFF	POLICY EXP		LIMI	TB	
Г.	A GENERAL LIABILITY	1		61SBAPD6322		2/15/2012	2/15/2013	EACH OCCURRENC		\$	1,000,00
	COMMERCIAL GENERAL LIABILITY							DAMAGE TO RENTE PREMISES (En occu	:D mence)	\$	1,000,00
	CLAIMS-MADE / OCCUR							MED EXP (Any one p	xerson)	\$	10,00
		_		j				PERSONAL & ADV II	NJURY	\$	1,000,00
								GENERAL AGGREG	ATE	5	2,000,00
	GENL AGGREGATE LIMIT APPLIES PER:	1	ļ					PRODUCTS - COMP	/OP AGG	5	2,000,00
_	POLICY PRO. LOC									\$	
Α	AUTOMOBILE LIABILITY			61SBAPD6322		2/15/2012	2/15/2013	(Ea eccident)	LIMIT	3	1,000,00
	ANY AUTO	1	ļ					BODILY INJURY (Per	person)	3	
	ALL OWNED SCHEDULED AUTOS	1						BODILY INJURY (Per		5	
	✓ HIRED AUTOS ✓ AUTOS	1						PROPERTY DAMAGE (Per accident)	i :	\$	
	<u>                                     </u>									3	
		<u> </u>								5	
A	UMBRELIA LIAB OCCUR			61SBAPD6322		2/15/2012	2/15/2013	EACH OCCURRENCE	Ē	\$	1,000,000
	EXCESS LIAB CLAIMS-MADE							AGGREGATE		\$	1,000,000
	DED RETENTION\$10,000									\$	
		li						<del></del>		\$	
		$\square$						1 1000 000 000	<u></u>	\$	<del></del>
В	AND EMPLOYERS' LIABILITY Y/N		l	61WBCAF3945	İ	5/23/2012	2/15/2013	✓ WC STATU- TORY LIMITS	QT;		
	ANY PROPRIETOR/PARTNER/EXECUTIVE N	N/A	- (			ĺ	}.	E.L. EACH ACCIDENT	<b></b> ↓	\$	1,000,000
	(Mandatory in NH) If yes, describe under	]			i	ŀ		E.L. DISEASE - EA EM	PLOYEE	\$	1,000,000
	DESCRIPTION OF OPERATIONS below							E.L. DISEASE - POLIC	Y LIMUT	\$	1,000,000
					i						
E	SCRIPTION OF OPERATIONS / LOCATIONS / VEHICL	LES (A	Hach A	CORD 101, Additional Remarks Se	chedule,	f more space is	required)				
						<del></del>					
E	RTIFICATE HOLDER				CANC	LLATION					·
Sample Certificate					SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.						
				^	UTHORE	ED REPRESENT		has L. Wo		m i	Soul #

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John L. Wortham & Son, L.P.

### **EXTENSION SCHEDULE OF UNDERLYING INSURANCE POLICIES**



This extension schedule forms a part of the policy designated in the Declarations. Carrier, Policy Number and Policy Period:

ļ	A. SENTINEL INSURANCE COM			
	61 SBA PD6322 Type of Coverage	02/15/13 TO 02/15/14	Anni	licable Limits
	(X) Business Liability - includin	g:		nd Property Damage
			\$1,000,000	each occurrence
			\$2,000,000	general aggregate
	Employees as Addition	al Insureds		
	Contractual Liability			
	Limited Non-Owned Wa	alercraft		
	Additional Insureds	50 - 1 (FF V	D 4 D	4 7 4 1414
	Damages To Premises	Rented to You	Property Dama; \$1,000,000	
			\$1,000,000	each occurrence
	(X) Personal and Advertis	ina tajury	\$1,000,000	
	(X) Products/Completed C		\$2,000,000	Prod./Comp. Ops.
	( x ) 1 roducts/completes c	, po ( 100 10	, , , , , , , , ,	aggregate
	(X) Hired Auto and Non-Owner	d Auto	\$1,000,000	Limit of Liability
В.				
	( ) Comprehensive Automobile	Liability -	Bodily Injury Lia	bility
	Owned Automobiles			each person
	211112111111111111111111111111111111111			each accident
			Property Damag	e Liability
	( ) Non-Owned Automobiles			each accident
	. ,		Bodily Injury and	Property Damage
	( ) Hired Automobiles			Liability Combined
				each accident
	( ) Uninsured Motorist			each occurrence
C.	e s e e companya da			anah assida-11
	( ) Employer's Liability			each accident*
				each employee by disease*
				total policy by disease
				total policy by diseast
D.				
	( ) Liquor Liability			
٩n	"X" marked in the box indicates the	coverage is provided in the U	Inderlying Policies.	

(Note Maintenance of Underlying Insurance Condition SX 80 02 or SX 80 03)

\*Except that in any jurisdiction where the amount of Employers Liability Coverage afforded by the underlying insurer is by law unlimited, the limit stated does not apply and the policy of which this extension schedule forms a part shall afford no insurance with respect to Employers Liability in such jurisdiction.

Form SX 80 04 10 08 Process Date: 12/05/12

Page 1 of 2 Policy Expiration Date: 02/15/14

### EXTENSION SCHEDULE OF UNDERLYING INSURANCE POLICIES (Continued)

POLICY NUMBER: 61 SBA PD6322

carrier, Policy Number and Policy Period:							
Type of Coverage	Applicable Limits						
( ) Foreign Commercial General Liability- including:	each occurrence						
Personal and Advertising Injury	Personal and Advertising						
Products/Completed Operations	Injury aggregate Products/Completed Operations aggregate						
( ) Foreign Contingent Auto Liability	each accident						
( ) Foreign Employer's Liability	each accident * each employee by disease* total policy by disease*						

An "X" marked in the box indicates the coverage is provided in the Underlying Policies.

(Note Maintenance of Underlying Insurance Condition SX 80 02 or SX 80 03)

\*Except that in any jurisdiction where the amount of Employers Liability Coverage afforded by the underlying insurer is by law unlimited, the limit stated does not apply and the policy of which this extension schedule forms a part shall afford no insurance with respect to Employers Liability in such jurisdiction.

Form SX 80 04 10 08

Process Date: 12/05/12 Policy Expiration Date: 02/15/14

Page 2 of 2

# Attachment J Financial Responsibility Bond 2 Pages

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

# Attachment K Peter Selber Resume 1 Page

Attachment L
Nicholas Altman Resume
1 Page

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JAN 1 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

### Peter R. Selber

3506 Glen Arbor Houston, TX 77025 713-419-6828

Peter@infinitypowerpartners.com

Objective:

Provide electric and natural gas procurement services, on-going market insight, and continuous account maintenance through the development of client-specific strategies. Day-to-day objectives include rate negotiation and communication between customers and providers, contract creation and modification and maintenance of current and future client relations.

Education:

St. Edwards University

May 2008

**BA Communications** 

Cum Laude

Experience:

**Infinity Power Partners** 

Houston, TX

Co-Founder and Managing Partner

November 2011 - Present

### **Lead Accounts and Success**

- Recently lead RFP and assisted Discovery Green in structuring, facilitating, and executing the sponsorship and commercial electricity contracts with retail provider, Green Mountain.
- Lead on the AMI, Inc. account, over 250 associations and 2,000 meters.
  - o Ongoing maintenance and management of overall portfolio
  - Recoup sales tax and gross receipts tax for over \$100,000 in refunds to date

### Responsibilities

- Act as the liaison between the clients and the retail electric providers to address and procure power requirements.
- Maintain current client accounts while actively seeking new clients and growing provider relationships.
- Providing on-going management services to clients throughout duration of their contract.
- Head of management for client utility bill audits and tax research and recoveries.
- Obtain customer load data, format and upload for procurement functions as well as auditing purposes.
- Responsible for creating and facilitate pricing analysis, updating exhibits and reports for current and potential customers.
- Assist with the development and maintenance back-office operations, both internally and externally.

**Choice Energy Services** 

Houston, TX

**Energy Consultant** 

September 2008 – August 2011

- Acted as the liaison between the clients and the retail electric providers to address and resolve issues.
- Responded to customer inquiries, requests and maintain customer energy accounts
- Lead consultant on the City of Houston Green Office Building Challenge
- · Lead consultant for natural gas procurement
- Obtained customer load data, formatted and uploaded into system for procurement functions as well as auditing purposes.
- Submitted RFP's to retail electric providers for contract quotes and proposals.
- Communicated and distributed unexecuted and partially executed contract documents between the clients and the retail electric providers.

References:

Available upon request.

### Nicholas Altman

1900 Stoney Brook, Houston, TX, 77063

2 713.559.0052 713.539.7941

#=7 Nick@infinitypowerpartners.com

### SALES & MARKETING • BUSINESS DEVELOPMENT • OPERATIONS

Strategic Planning • Process Development • Market Analysis & Planning • New Business Development • Presentation • Negotiating & Closing • Key Account Management • Campaign Development / Management • Cost Reduction • Quality • Customer Service • Troubleshooting• Risk Management

Energetic, proactive sales professional with strong marketing acumen, adept at growing key accounts while driving initiatives to source and close new business with both domestic and international customers. Proficient at leveraging strong communication talents to expand networks and improve organizational recognition. Dedicated to providing significant contributions to both top and bottom lines.

### **CAREER TRACK**

### **Co-Founder and Managing Partner**Infinity Power Partners, LLC

November 2011 - Present Houston, TX

- Play critical role in marketing initiatives by forging and growing key relationships with partners, vendors, and existing /new customers.
- Head of sales including management of existing portfolio of clients and all new business.
- Responsible for generating new business through organic growth, cold calling, and marketing through various channels.
- Instrumental in development and execution of large portfolio of multi-family clients which consist of over 50,000 doors in the greater Houston area.
- Facilitate in the creation of effective sales campaigns while maintaining awareness of market trends by participating and leading regular sales meetings and attending market related seminars.

### Senior Energy Consultant

Choice Energy Services, LLC

November 2009 – August 2011 Houston, TX

- Drove negotiations to close sales agreements with clients located throughout Texas.
- Enhanced organizational visibility and expanded network by acting as representative at industry trade shows and events.
- Address customer issues, quickly resolved problems, ensure customer satisfaction, and engender repeat business.
- Optimized sales of commodities contracts by networking, sourcing leads, and setting appointments;
   awarded "Top Sales Performer" in Q1, 2010.

### **LEARNING CREDENTIALS**

**BACHELORS OF BUSINESS ADMINISTRATION, BUSINESS MARKETING** 

2004

**TEXAS TECH UNIVERSITY** 

Lubbock, Texas

### REFERENCES

Available upon request

# Attachment M Charles Nguyen-Phuoc Resume 2 Pages

Attachment N
Ron Fort Resume
3 Pages



JAN 1 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

### Charles B. Nguyen-Phuoc

11511 Carson Field Ln Cypress, TX 77433 281-948-5166

Charles@infinitypowerpartners.com

Objective:

To seek a position in the energy industry where I may utilize my professional experience and skills to support customer and operational functions as well as developing procedures to maximize efficiency and accuracy.

Education:

University of Houston,

December 2001

**Bachelor of Science** 

Political Science

Experience:

**Infinity Power Partners** 

Houston, TX

**Director of Client Operations** 

February 2012 - Present

- Act as the liaison between the clients and the retail electric providers to address and resolve issues.
- Providing meter-management services to clients when they require location additions or deletions to their contract.
- Initiate and manage client utility bill audits and tax research and recoveries.
- Obtain customer load data, format and upload for procurement functions as well as auditing purposes.
- Creating pricing exhibits and reports for customer presentations.
- Developing and maintaining all back-office operations, internally and externally.
- Providing support to the sales team to allow them to maximize their efforts with clients.

**Acclaim Energy Advisors** 

Houston, TX

**Client Services Manager** 

April 2007 - May 2011

- Acted as the liaison between the clients and the retail electric providers to address and resolve issues.
- Responded to customer inquiries, requests and maintain customer energy accounts for 300+ clients.
- Coordinated, analyzed and resolved issues pertaining to regulatory agencies and utilities to recover misapplied charges.
- Managed and coordinated the CenterPoint Demand Response Program as well as the ERCOT EILS Program for qualified clientele.
- Created documentation for actions taken to resolve energy-related issues to provide a historical record of customer account activities.
- Updated and maintained process documentation and training/reference material for internal use.
- Obtained customer load data, formatted and uploaded into system for procurement functions as well as auditing purposes.
- Submitted RFP's to retail electric providers for contract quotes and proposals.
- Communicated and distributed unexecuted and partially executed contract documents between the clients and the retail electric providers.
- Integrated information systems and perform system administrator functions

**Reliant Energy** 

Houston, TX

Contract Management Analyst

September 2004 - August 2006

- Ensured timely, accurate, and courteous customer support in the creation and administration of the contractual documents in accordance with specified deadlines.
- Tracked and reported the status of all unexecuted contracts.
- Resolved issues associated with executed contracts.
- Proactively worked with various internal groups to ensure timely routing, resolution of issues and execution of contracts.

Assisted in the implementation of process changes required on standard contract forms,
 Contract generation procedures and tracking systems to assure consistency and conformity with internal and industry changes.

Reliant Energy Houston, TX

Operations Business Analyst December 2001 – September 2004

- Amended customer contracts and exhibits and reconciled site information for material and immaterial contract additions and deletions.
- Maintain customer site and billing information in CCS module of SAP.
- Performing research and analysis of commercial utility information for marketing proposals.
- Prepared statistics and developed energy marketing exhibits prior to contract pricing.
- Managed contract and potential client information in Siebel database.
- Tested and assisted in the development and migration of tools and applications in the Siebel environment in conjunction with the Siebel IT department.

Skills:

Proficient in MS Office applications (Word, Excel, Outlook), Microsoft CRM, Salesforce, Energy CAP, Siebel, SAP, FileNet, Documentum, Adobe Acrobat, Apple OS, Lotus Notes, Internet/E-Mail, 10-Key and general data entry.

Bilingual and bi-literate in Vietnamese.

References:

Available upon request.



### Ron L. Fort

3908 Southwestern Street Houston, TX 77005 713-882-1117

Ron@infinitypowerpartners.com

JAN 1 3 2014

PA PUBLIC UTILITY COMMISSION

SECRETARY'S BUREAU

Objective:

Provide analysis, management, and execution of the forward curve of natural gas - NYMEX - as well as the forward curve of ERCOT Heat Rates as they relates to ERCOT power pricing - wholesale to retail - inclusive of switching to real time market if circumstances dictate. Create new and "out of the box" answers for managing these curves. Provide perspectives of market action, issues, and recommendations to various interested parties, including end users, consultants, Retail Electric Providers and other entities as required by their specific situation.

**Education:** 

Oxford College of Emory University

Emory College of Emory University

Houston Baptist University

University of Houston Law School

Houston, TX

1963 - 1972

Experience:

Infinity Power Partners Houston, TX

Director of Risk Management November 2011 - Present

- Provide risk management services for client base, inclusive of structuring and forward curve management
- Provide specific recommendations client-specific
- Prepare weekly newsletters and special reports for distribution to client and prospects
- Maintain relationships with REP community

Independent Energy Consultant Houston, TX

October 2011 – November 2011

- Provide analysis, perspective, and recommendation for ERCOT power pricing structures and related issues, client-specific
- Provide economic outsourcing for continuing management of procurement positions
- "Trouble shoot" as required

Acclaim Energy Advisors Houston, TX

Director, Transaction Structuring February 2009 – September 2011

- Provide technical analysis of energy markets
- "Managed Power Procurement Program"
- Create, update, and manage distribution material to clients
- Collaborate in creating innovative procurement strategies
- Participate in sales efforts for prospective clients

Amerex Retail Energy Services Houston, TX

Sr. Analyst/Manager Price Structuring August 2004 – January 2009

- Provide technical analysis of energy markets
- "Managed Power Procurement Program"
  - Created managed power procurement strategy (ERCOT) based on forward Heat
     Rate curve, forward natural gas curve (NYMEX) and real time market
  - Facilitated acceptance of managed account program with 8 ERCOT providers
  - Managed positions with respective providers, executing natural gas locks and Heat Rate resell as warranted
  - 43 individual accounts, encompassing total annual usage of about 2,000,000,000 kWhs (approximately 240 MW peak demand)
- Create, update, and manage distribution material to clients

- Market Alerts create when market price action or information concerning possible issue affecting market stability evident
- Newsletters write monthly newsletter articles concerning power and natural gas pricing issues (educate audience on what market volatility represents, from both risk and reward perspectives
- Special Reports write special reports when circumstances dictate
- Market Alerts create when market price action or information concerning possible issue affecting market stability evident
- Newsletters write monthly newsletter articles concerning power and natural gas pricing issues (educate audience on what market volatility represents, from both risk and reward perspectives
- Special Reports write special reports when circumstances dictate
- Collaborate in creating innovative procurement strategies
  - "10 Year" power contract brought together institutional client, investment bank, and Retail Electric Provider
  - Provide cooperative effort to expand load-side use of gas index products to various jurisdictions
- Participate in sales efforts for prospective clients
  - Educate lay audience to power procurement ("Energy 101")
  - o Design procurement strategy tailored to client-specific criteria
  - Close the sale

### **Experience Continued:**

### Pipeline Supply and Distribution:

Manage the logistics involved in inquiring, securing, and delivering materials necessary for pipeline construction (and related fields). Develop protocols to marry antiquated purchasing procedures with the efficiencies of the Internet, including price transparency and accessibility. Promote and deliver to the end-user the advantages of procurement with individualized service. Provide and promote Powercrete© liquid epoxies to the pipeline industry as master distributor, inclusive of field applications.

Coating Supply & Service Co., LLC Houston, TX

August 2003 - August 2004

 Acquire and manage a master distributorship for liquid epoxy coatings. Begin marketing effort and internalize kitting operations for girth weld applications.

Pipeline Supply & Service Co., Inc.

Houston, TX 2002 - July 2003

- Manage satellite store servicing 4 spreads of a major pipeline construction project (Kern River Expansion)
- Establish local vendor network to compliment sales from inventory and accommodate specialized needs of contractor
- Coordinate accessibility of Home Office computer connectivity via the Internet
- Provide day-to-day presence for pipeline contractor material personnel
- o Provide solutions to unique procurement situations

### **Energy Efficiency Products and Procedures, and Deregulation:**

Design and implement energy efficient projects and programs utilizing current technology via an Energy Service Provider Company. Integrate current and proposed incentives and tax benefits with commercial interests, homebuilders, multi-family and single residence sites, and other energy users to provide full menu of services in most cost-effective manner. Promote the advantages of deregulation programs as it pertains to the cost and financing of such projects. Provide strategy and implementation of diagnostic efforts to pre-qualify facilities for Retail Electric Providers.

PolarShield, Inc.

Houston, TX 1999 - 2002

- o Promote unique technology for HVAC application
- Develop performance contract vehicles with energy efficiency criteria;
   successfully co-authored proposal resulting in Master Service Contract from leading energy service company
- Manage day-to-day office logistics and support, including risk management tools
- Manage service contract with Enron Energy Services

### **Energy Brokerage:**

Manage the analysis, execution and back office support for futures, options, and derivatives of energy and power transactions, including trading parameters, compliance, and controls. Interact with trading team to streamline order flow and reconciliation.

### Registered Principal, Phoenix Trading Co. Houston, TX 1984 ~ 1999

- Managed all aspects of futures and options activities in crude oil, refined products, and natural gas for multi-national integrated oil company
- Provide execution and clearing services for numerous natural gas marketers
- Provide technical and logistical support for industry consultants and clients
- Participate in National Futures Association Arbitration Program as panel member

**Various Futures Commission Merchants** Houston, TX Associated Person 1972 – 1984

References: Available upon request.

# Attachment O Infinity Power Partners LLC Company Profile 4 Pages

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### About Us

Infinity Power Partners is a recently established energy management and consulting firm whose mission is to provide procurement and risk management services, ongoing market insight, and continuous account maintenance through the development of client-specific strategies. With over 50 years of combined industry expertise, IPP offers a unique, transparent, and technical approach ensuring our clients' energy-related needs are always fulfilled.

The beginning of our search for what works best for you is a dialogue that allows us to understand your needs and concerns. In our initial discussion will address items such as:

- When should one procure power?
- What term best fits your requirements?
- Which provider offers the most advantageous terms and structure?

The answers to these questions, plus many others, are the basis for IPP's value proposition. During the process we will provide transparency for where the market has been, where it is currently, and where it may go in the future, all results of several empirical data inputs. Furthermore, we understand that the quality providers soliciting your business directly do so with integrity and desire to do the right thing, but still come to the table at their agenda and not yours. In contrast, IPP's sole focus is your agenda, inclusive of budget requirements and product suitability which are identified by our analysis and defined by you.

### **Infinity's Approach**

Infinity's Director of Risk Management, Ron Fort, has structured and managed the power procurement for many large loads. IPP's approach addresses the primary component of energy cost, the price of wholesale power, as a financial instrument. This allows the forward curve of wholesale power price to be viewed and managed with a high degree of transparency and the ability to execute.

- Components Forward Curves
  - Natural Gas
  - o Heat Rate

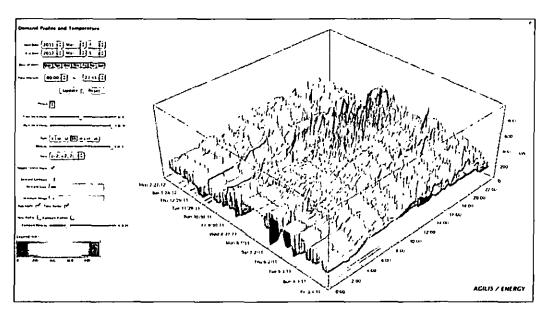
The basis of our decisions, strategies, and the metrics that measure progress is technical analysis, a discipline that believes price action best translates all fundamental changes of supply and demand into signals to act upon. Having this discipline enables decisions that provide us with unemotional answers and metrics to measure results.





### Offered Services

- Electricity and Natural Gas Procurement
- Risk Management Services
- Energy Efficency Opportunities\*



Agilis Energy uses its patent pending energy analytics tools to assist commercial and industrial customers find ways to dramatically reduce energy consumption, save money and enhance sustainability. To date, Agilis Energy has deployed its technology at more than 360 buildings representing over 100 clients. Typical annual savings exceed 10-20%.

- Demand Response/Load Curtailment Programs\*
- Tariff Analysis and Tax Resolution
- Bill Pay\*
- In-House Bill Audits
- In-House Billing and Issue Resolution



<sup>\*</sup>Through strategic third party service partners



### References: Past & Present Clients

- Baylor College of Medicine Rock Morille, 713.798.1346
- M.D. Anderson Cancer Center, Univ. of Texas Jonathan Katz, 713.563.1145
- PM Realty Group Bruce Edwards, 713.209.5800
- TPMC Realty Corporation Steven Seltzer, 713.963.9955
- Martin Fein Interests, Ltd. Julie Batche, 713.683.4800
- MidSouth Bank Bill Simmons, 713.974.5552
- Discovery Green Conservancy Barry Mandel, 713.400.7336
- Schiller Del-Grande Restaurant Group Lonnie Schiller, 713.502.3400
- Pipeline Supply & Service, Inc. Chuck Dalio, 713.747.0090
- Service Wire Company Martha Barvin, 713.674.6666

### References: Retail Electricity Providers

- Champion Energy Services Heath Kendall, 281.653.6873
- Constellation New Energy Dean Pittman, 972.813.6123
- MP2 Energy Trey Price, 832.239.5184
- Oasis Energy Mike Osowski, 281.822.8701
- Reliant Energy Jeff Bailey, 713.537.2337

\*Other major users and suppliers references available upon request



### **Contact Information**

### **Nick Altman**

**Managing Partner** 

### **Infinity Power Partners**

Post Oak Central Three | 1990 Post Oak Blvd | Suite 2450 | Houston, TX 77056 T: 713.559.0552 | F: 832.623.6687 | C: 713.539.7941 nick@infinitypowerpartners.com

### **Peter Selber**

**Managing Partner** 

### **Infinity Power Partners**

Post Oak Central Three | 1990 Post Oak Blvd | Suite 2450 | Houston, TX 77056 T: 713.559.0551 | F: 832.623.6687 | C: 713.419.6828 peter@infinitypowerpartners.com

#### Ron Fort

Director of Risk Management

### **Infinity Power Partners**

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### **Charles Nguyen-Phuoc**

**Director of Client Operations** 

### **Infinity Power Partners**

Post Oak Central Three | 1990 Post Oak Blvd | Suite 2450 | Houston, TX 77056 T: 713.559.0555 | F: 832.623.6687 | C: 281.948.5166 <a href="mailto:charles@infinitypowerpartners.com">charles@infinitypowerpartners.com</a>

### **Gary Yeoman**

Senior Energy Consultant

### **Infinity Power Partners**

Post Oak Central Three | 1990 Post Oak Blvd | Suite 2450 | Houston, TX 77056 T: 713.559.0556 | F: 832.623.6687 | C: 832.877.4141 gary@infinitypowerpartners.com

For more information about Infinity Power Partners please visit us at our website: <u>www.infinitypowerpartners.com</u>



# Attachment P Application Affidavit 2 Pages

### RECEIVED

JAN 1 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

### **AFFIDAVIT**

	: ss.
County of Harris :	
Peter Selber, Affiant, being duly [sworn/affirmed] according to	o law, deposes and says that:
He is the Managing Partner (Office of Affiant) of Infinity Powe	r Partners LLC (Name of Applicant);
That he is authorized to and does make this affidavit for said	Applicant;]
That Infinity Power Partners LLC, the Applicant herein, acknowled may have obligations pursuant to this Application consistent Commonwealth of Pennsylvania, Title 66 of the Pennsylvania	with the Public Utility Code of the

State of Texas:

business in Pennsylvania.

That Infinity Power Partners LLC, the Applicant herein, asserts that it possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing

That Infinity Power Partners LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That Infinity Power Partners LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Commission's Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.  Signature of Affiant
Sworn and subscribed before me this
SPENCER WINFIELD CRON Notary Public STATE OF TEXAS My Comm. Exp. 11-16-14 Signature of official administering oath
My commission expires

# Attachment R Application Filing Affidavit 1 Page

## RECEIVED

JAN 1 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

### **AFFIDAVIT**

State of Texas:
: <b>ss</b> .
County of Harris :
Peter Selber, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:
He is the Managing Partner (Office of Affiant) of Infinity Power Partners LLC (Name of Applicant);
That he is authorized to and does make this affidavit for said Applicant;
That the Applicant herein Infinity Power Partners LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. §2208(c)(1).
That the Applicant herein Infinity Power Partners LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.
That the Applicant herein Infinity Power Partners LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.
That the Applicant herein Infinity Power Partners LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.  Signature of Affiant
Sworn and subscribed before me this
SPENCER WINFIELD CRON Notary Public STATE OF TEXAS My Comm. Exp. 11-16-14 Signature of official administering oath
My commission expires

# Attachment T Proof of Service 3 Pages



JAN 1 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

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**David Beasten** 

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City, State, ZIP+4Reading, PA 19612-2677

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Total Postage & Fees	\$ \$8.70



Equitable Gas Company

Jerald Moody

Street, Apt. No.: 225 North Shore Drive

City, State, ZIP+Pittsburgh, PA 15212-5352

PS Form 3800,

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Peoples Natural Gas Compan

Street, Apt. No. 375 North Shore Drive, Suite 600

Lynda Petrichevich

City, State, ZIP-Piitsburgh, PA 15212

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Philadelphia Gas Works

**Douglas Moser** 

Street, Apt. No.; 800 West Montgomery Avenue City, State, ZIP+4Philadelphia, PA 19122

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National Fuel Gas Distribution Corp

David D. Wolford Street, Apt. No.; 6363 Main Street

City, State, ZIP+Williamsville, NY 14221

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**David Beasten** 

Street, Apt. No.; 2525 N. 12th Street, Suite 360 or PO Box No.

City, State, ZIP+4 Reading, PA 19612-2677

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Robert Crocker

Street, Apt. No.; 523 South Keystone Avenue or PO Box No.

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Peoples TWP LLC

**Andrew Wachter** Street, Apt. No.; 375 North Shore Drive, Suite 600

City, State, ZIP+4Pittsburgh, PA 15212

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William R. Lloyd, Jr. Commerce Building, Suite 1102 Street, Apt. No.;

**Small Business Advocate** or PO Box No. City, State, ZIP+4300 North Second Street

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**UGI Central Penn David Beasten** 

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\* Domestic only

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PENNSYLVANIA PUBLIC UTILITY GMMISSION KEYSTONE BUILDING, 400 NORTH STREET 2 PLOOR, ROOM N201 HARRISBURG, PA 17120

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