

August 25, 2006

Mr. James McNulty Secretary PPUC P.O. Box 3265 Harrisburg, PA 17105-3265



RE: Electric Suppliers List- License No. A-110072

Dear Secretary McNulty:

Please update our information on the Licensed Electric Suppliers list, as well as any and all other lists maintained by your office, to the following:

Richards Energy Group, Inc. 3901 Nolt Rd Bldg 1 Landisville, PA 17538

Frank J. Richards

Email: frichards@richardsenergy.com

Phone: (717) 898-6330 Fax: (717) 898-6331

Website: www.richardsenergy.com

Sincerely,

Frank J. Richards

President

**KUR** 

DOCUMENT FOLDER



## COMMONWEALTH OF PENNSYLVANIA PENNSYLVANIA PUBLIC UTILITY COMMISSION P.O. BOX 3265, HARRISBURG, PA 17105-3265

IN REPLY PLEASE REFER TO OUR FILE

Docket No. A-110072

November 20, 2006

THE RICHARDS' ENERGY GROUP 3901 NOLT RD BLDG #1 LANDISVILLE PA 17538-



ATTENTION: FRANK J RICHARDS PE

RE: License Bond or Other Financial Security

Dear Mr. Richards PE:

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Pursuant to 66 Pa. C.S. §2809(c), no electric supplier license shall remain in force unless the licensee furnishes a bond or other security approved by the Commission to ensure the financial responsibility of the electric generation supplier and the supply of electricity at retail. The Commission's records indicate that the bond or other approved security provided by The Richards' Energy Group will have been in place for approximately one year on January 23, 2007.

The Commission's regulations at 52 Pa. Code §54.40(d) require "the security level for each licensee will be reviewed annually and modified primarily based upon the licensee's reported annual gross receipts information. The security level will be 10% of the licensee's reported gross receipts." The minimum security level provided may not be less than the initial security level provided when the license was granted. Unless approved by the Commission, the initial security level is \$250,000.

The Commission's regulations at 52 Pa. Code §54.39(b) require a licensee to file an annual report on or before April 30 of each year, for the previous calendar year, in order to comply with 66 Pa. C.S. §2810(c)(6). This report discloses the total amount of gross receipts from the sale of electricity and the total amount of electricity sold during the preceding calendar year. You must use this same information in calculating the appropriate security level necessary to maintain your license.

In order for your company to maintain its status as a licensed electric supplier in the Commonwealth of Pennsylvania, it must provide proof to the Commission that a bond or other approved security has been obtained.

Your response in this matter is requested within fifteen (15) days of the date of this letter. Submit your response to the Commission's Secretary. Additionally, fax a copy of your response to James Shurskis at (717) 787-4750, Bureau of Fixed Utility Services.

Failure to respond to this matter within fifteen (15) days will cause Commission staff to initiate a formal proceeding, that may lead to the following: cancellation of your company's electric supplier license, removal of your information from the Commission's website and notification to all electric distribution companies, in which your company is licensed to do business, of the cancellation of the license.

Please direct any questions to James Shurskis at (717) 787-8763, Bureau of Fixed Utility Services.

Sincerely,

Robert A. Rosenthal

Director, Bureau of Fixed Utility Services

cc: J. McNulty, Secretary



November 29, 2006

Mr. James McNulty Secretary **PPUC** P.O. Box 3265 Harrisburg, PA 17105-3265

Docket/No. A-110072/-

Dear Secretary McNulty:

Please find enclosed proof that Bond is renewed & in effect per letter dated 11/20/06.

A copy was also faxed to James Shurskis.

Sincerely,

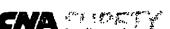
Stephon Rock

Stephanie Baylor

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CECRETARY STUREAU

enclosure



CNA SURETY 2199 INNOVATION WAY 2006 NOV 30 MI CHEZ CHICAGO, IL 60682-0021

Transaction Report & involce

Principal Information:

ID: 005442996

THE RICHARDS' ENERGY GROUP 3901 HOLT RD., BLDG. #1

LANDISVILLE PA 17538

SECRETARY & LYNEAU

JUCUMENT

Agency Code: 37-02806

SIOUX FALLS

800-331-6053

INSURANCE & SURETY INC P D BOX 698 CAMP HILL PA 17011-06 17011-0698

FOLDFR

NOV 1 3 2006

Transaction Description: RENEWAL

69449704

Written By: Description: WESTERN SURETY COMPANY

ELECTRICAL PROVIDER LICENSE

Obligee:

Number:

OBLIGEE ADDRESS UNKNOWN

Effective Date:

Expiration Date: Current Penalty: 01-23-2008 \$10,000.00

Renewal Method:

01-23-2007

Gross Premium Charge:

PHONE:

Commission Amount: **Net Premium Due:** 

FILE LOCATION:

PREMIUM

\$100.00

\$100.00 a 30.000Z

930.00 970.00

Change Detail:



Transaction Effective Date: 01/23/2007

Agent: You may remove atub below to use as a billing/ credit invoice

**CNA Surety** 

INVOICE

FILE NO. **EFFECTIVE DATE** 01-23-07 ENERGY GROUP 0601

ANNIVERSARY DATE <u>01-23-08</u> PROCESS DATE 11-07-06 PENALTY <u>\$10,000.0</u>0

PRINCIPAL

THE RICHARDS' ENERGY GRO 3901 HOLT RD., BLDG. #1 LANDISVILLE PA 17538

FISK STATE DESCRIPTION

PA ELECTRICAL PROVIDER LICENSE

SF

OBLIGEE

AGENCY CODE 37-02806

CHARGE

\$100.00

Your egentie:

INSURANCE & SURETY INC P D BOX 698 CAMP HILL PA 17011-0698 February 22, 2007

Mr. Jim Shurskis PUC Commonwealth Keystone Building PO Box 3265 Harrisburg, PA. 17105-3265

A-110072

Dear Jim,

Enclosed is the original RIDER showing our "revised" name and address per your request. Should you have any questions, please give us a call.

Sincerely,

*Stylim Daylin* Stephanie Baylor

Enclosure

FOLDER

SECRETARY'S BUREAU

RECEIVED

OTFEB27 MIND: 44

It is hereby mutually agreed and understood by and between the Principal and Western ... ... Surety Company, that instead of as originally written:

The Principal's name has been changed to read: Richards Energy Group, Inc.

The address has been changed to read:

3901 Nolt Road, Bldg. #1 Landisville, PA 17538

No further changes other than above.

DOCUMENT FOLDER

OTFER 27 AMID: 44
FINED BUREAU OF

MAR 05 2007

Nothing herein contained shall be held to vary, alter, waive or extend any of the to	erms, limits or
conditions of the Bond , except as hereinabove set forth.	
This Ridge becomes effective on the14th day ofFebruary,	, _2007, at
twelve and one minute o'clock a.m., standard time.	
Attached to and forming part of Bond No. 69449704	
issued to and forming part of Sond No. 69449704 issued to and forming part of Sond No. 69449704	Dakota, to
Richards Energy Group, Inc.	<del></del>
Signed this day ofFebruary,2007	

WESTERN SURETY COMPANY

Paul T. Bruflat, Semor Vice President

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