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2014 APR 17 AM 9:52
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SECRETARY'S BUREAU

**ENERGY INITIATIVES INC.
A – 2012-2304788**

1. RE: CSP Renewal Application – Identity of the Applicant

1a. Legal Name: Energy Initiatives Inc.

1b. Trade Name: Same, Applicant will not be using a fictitious name.

1c. Address: P.O. Box 479, Chester Heights, PA 19017

1d. Applicant Phone #: 610-358-3721

1e. Applicant Fax #: 610-558-3048

1f. Applicant Email Address: energyinitiatives@msn.com

1g. Contact Name: Michael T. DeCarlo

Contact Address: P.O. Box 479, Chester Heights, PA 19017

Contact Phone/Fax: PH – 610-358-3721. Fax – 610-558-3048

Contact Email Address: energyinitiatives@msn.com

1h. Not Applicable

1i. Not Applicable

1j. Not Applicable

1k. Attached Exhibit – “Exhibit C” – Company Officers and Organization
“Exhibits A and B” – Company Capability/Services

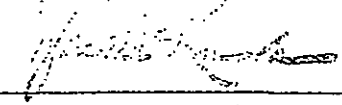
1l. Attached Exhibit – “Exhibit C” – Professional Information

Microfilm Number _____

Filed with the Department of State on _____

NOV 30 1995

Entity Number 2667681


Secretary of the Commonwealth *MC*

ARTICLES OF INCORPORATION

DSCB:15-1306 (Rev 89)

The type of domestic corporation is:

Business-stock (15 Pa. C.S. § 1306)

Professional (15 Pa. C.S. § 2903)

1. The name of the corporation is:

Energy Initiatives, Inc.

This corporation is incorporated under the provisions of the Business Corporation Law of 1988.

2. The address of this corporation's initial registered office in this Commonwealth is:

41 Carter Way
Glen Mills, PA 19342

County of Delaware

3. The aggregate number of shares authorized to be issued is:

1,000 Shares Without Par Value

4. The name and address of the incorporator is:

Michael T. DeCarlo

41 Carter Way
Glen Mills, PA 19342


Michael T. DeCarlo 10-4-95
Date

Exhibit "A"

ENERGY INITIATIVES specializes in energy efficient lighting and window systems. With 26 years of lighting and energy conservation experience, we provide the following services targeting the commercial office, retail, industrial, and institutional markets.

CONSULTATION AND PLANNING

Provide Lighting System Evaluation and Technical Guidance

PROJECT MANAGEMENT AND CONSTRUCTION SERVICES

Perform Preliminary Scoping Audits
Perform Comprehensive Investment Grade Audits
Pre Construction Project Management
Full Service Project Management
Project Construction
Coordinate Post Construction Inspection
Prepare Operating and General Maintenance Reports
Coordinate Disposal of HAZMAT Materials
Planned System Maintenance

DESIGN

Develop Custom Fluorescent and HID Lighting System Design
Design Custom Fluorescent and HID Retrofit Lighting Systems
Lighting Control Technologies
LED Technologies

CONSTRUCTION/ELECTRICAL SERVICES

Lighting Project Construction
Lighting System Maintenance
General Electrical Services
Outdoor Lighting Maintenance

WINDOW FILMS

Sun Control and Designer Style Window Films
Safety and Security Films
Anti Graffiti and Privacy Films

UTILITY SERVICES

Demand Response – CSP Services
Commodity Contracting
Smart Metering And Demand Response Software System Integration
Hardware Monitoring
Shadow Metering and Sub Metering Services
Communication and Alerting Services
Automated Control Integration and Services

FINANCING

Lease Options Available
Performance Contracting

Phone (610) 358-3721/Fax (610) 558-3048

EXHIBIT "B"

Energy Initiatives plans to market directly to the commercial, industrial and manufacturing markets. Energy Initiative currently maintains a direct sales force to introduce its services to potential clients.

Energy Initiatives utilizes energy consultants and former utility persons to assist in the development of customer proposals and the training of its staff. Depending on the utility zone and product requirements. Energy Initiatives typically has a bi weekly sales meeting to set and adjust goals, handle customer issues and discuss new proposal product option. Technical training is an ongoing process with formal sessions scheduled on a monthly basis.

Energy Initiatives employs a Director of Technical Operations, a full time customer service representative, two full time field technicians and a part time customer service representative. Energy Initiatives has the capability to contract with outside energy consultants in such areas as rate analysis, training, etc.

EXHIBIT "C"

***Energy Initiatives
Company Structure***

Michael T. DeCarlo- President

Susan B. DeCarlo- Secretary

Nicholas J. DeCarlo- Director of Sales

Lance Wheeler- Director of Technical Operations

David Anzaldo- Manager of Field Technical Operations

Address

P.O. Box 479
Chester Heights, PA 19017

Phone - (610) 358-3721

Fax -- (610) 558-3048

Michael T. DeCarlo

Professional Experience

9/97 to Present- President, Energy Initiatives Inc.

- Responsible for the management and operation of Energy Initiatives Inc. primarily focusing on market and project development and the positioning of the company as a professional and high quality provider of energy services in the region.
- Energy Initiatives Inc. has successfully developed energy conservation projects as part of the PECO Smart Ideas program, the New Jersey Standard Offer and Smart Start Programs as well as working with additional utilities in the development and execution of projects in the PEPCO, BG&E and Delmarva Utility Zones.

6/89-9/97- National Sales Director of The Robert Group and Geissenberger Manufacturing Co. Responsibilities included:

- The recruitment, development and management of a regional sales force focused on the sale of turnkey energy efficient lighting products direct to the end user.
- The development of a national sales agent organization focused on the sale of energy efficient lighting equipment through electrical distribution.
- The development of over \$70,000,000 in equipment and project sales.
- The reorganization and direction of the national sales agent program for Robert Manufacturing Co.
- Sales and Marketing liaison to the Energy Control Products and Construction markets Divisions of the 3M Company.
- Interfaced with Utility personnel and programs.

Company Accomplishments:

3M Energy Control Products Manufacturer of the Year (Volume Based, 1993, 1994)

Member 3M Energy Control Products Manufacturers/Distributors Advisory Council

PEPCO Energy Power Watchers Award (1993, 1994)

PSE&G Energy Performance Contractor/Energy Performance Network Member

Con Edison Apple Power Program

BG&E Conserve 2000 Contractor Network

9/84-6/89 – Area Manager, 3M Energy Control Products

Responsibilities included:

- The development of the 3M Silverlux Manufacturing and Sales Network in the Eastern United States.
- The recruitment, training and management of 3M dealer sales personnel.
- Direct system sales to Fortune 500 Commercial and Top 100 Financial Accounts.
- Indirect Management of distributor sales and marketing programs.
- Utility company interface.

Exhibit "C" Continued

Dave Anzaldo

P.E. Director of Field Technical Operations 9/97-Present

- Responsible for the design, installation and operation of Utility Grade Monitoring Equipment Systems.
- Responsible for the development and management of the highly/highest servers.
- Responsible for the design/engineering of Energy Efficient/Lighting agents.
- Project management responsibilities.
- Previously held the same position for:
 - The Robert Group: 1990-1997
 - Energy Performance Services 2000-2001

Provider of U6 Monitoring to the Curtailment Service Provider such as MAPP

Nicholas J. DeCarlo

Education

University of Delaware, BS
Finance and Marketing

Professional Experience

2007 to Present

- Responsible for the design and implementation of sales and marketing strategies on a regional basis.
- Develop and enhance manufacturer relations.
- Manage utility affairs.

2002 – 2007

- Sales Development.
- Utility program interface.

Exhibit "C" Continued

Lance Wheeler

Ph: 610-358-3721

Education: Arizona State University
Bachelor of Science of Engineering in Computer Systems Engineering

Dec 2006

Languages:

SQL, Java, C++, VB, VBScript, MIPS, ASP, PHP, Scheme (LISP dialect), FORTRAN, Pascal, Basic, assembly language for 68000 processors

Tools:

Dreamweaver, Excel, MATLAB, Mysql, MINITAB, Rational Rose, Automated hardware testing, RF Testing, EnergyICT EIServer®, Microsoft Suite,

Skills:

AMI/Smart Metering, meter acquisition/collection/communication/configuration/verification/validation, PM, Database Metering Integration, database design and communication structure, metering field support, metering tech support, hardware analysis, hardware/software integration, automated control structures, automated customer event driven alerting

Work Experience:

Mid Atlantic Power Partners

Oct 2009 - Current

- Consultation and contract services for Demand Response application
- Smart Metering and Demand Response software and system integration
- Hardware monitoring/importing/reporting/storage for all metering applications
- Shadow metering, sub metering, and billing services
- Engineering and certification support to meet grid guidelines for Curtailment Providers
- Customer Alerting/Communication services
- Automated control structures

Energy Connect Inc.

Aug 2007 - Feb 2010

Project Management. Consulting Services

- Oversaw on-boarding of new customers
- Integration of existing metering systems to ECI's storage systems
- Led Software Development to optimize and automate existing practices
- Became the company Electrical Metering Specialist
- Led all Smart Grid Integration
- Worked with Utilities and Grids to assure compliance with Demand Response services
- Developed the processes and programs to collect interval data from multiple utilities in many different formats
- Designed Installation process and hardware selection process to create a standardized engineering model for metering projects
- Designed techniques and concepts for validation of metering accuracy
- Worked in a team to provide reporting and storage solutions for metering and other projects

Freescale Semiconductor, inc.

Application Specific Products Operation Engineer

Dec 2006 – Aug 2007

- Designed and developed RF tests using spec sheets and verbal guidelines
- Converted Visual Basic code to Labview modules
- Modified and created a test executive that standardized all the communication and testing and results
- Stepped into an existing LabVIEW project from a contractor and eliminated the need for another contractor for a new project saving Freescale over \$27,000.00 on the projects.

ACORD CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

4/14/2014

PRODUCER SASSA & CONCANNON INSURANCE PO Box 187 Norwood, PA 19074-0187 (610) 583-3523		THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.	
INSURED ENERGY INITIATIVES, INC. PO BOX 479 CHESTER HEIGHTS, PA 19017		INSURERS AFFORDING COVERAGE	NAIC#
		INSURER A: ZURICH INSURANCE COMPANY	19356
		INSURER B: LEBANON VALLEY INSURANCE COMPANY	14370
		INSURER C:	
		INSURER D:	
		INSURER E:	

COVERAGES

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	ADD'L INFO	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YYYY)	POLICY EXPIRATION DATE (MM/DD/YYYY)	LIMITS
B		GENERAL LIABILITY	990001059	05/03/2014	05/03/2015	EACH OCCURRENCE \$ \$1,000,000
		<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY				DAMAGE TO RENTED PREMISES (Ea occurrence) \$ \$100,000
		<input type="checkbox"/> CLAIMSMADE <input checked="" type="checkbox"/> OCCUR				MED EXP (Any one person) \$ \$10,000
		GEN'L AGGREGATE LIMIT APPLIES PER:				PERSONAL & ADV INJURY \$ \$1,000,000
		<input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC				GENERAL AGGREGATE \$ \$2,000,000
						PRODUCTS - COM/POP AGG \$ \$2,000,000
B		AUTOMOBILE LIABILITY	990001059	05/03/2014	05/03/2015	COMBINED SINGLE LIMIT (Ea accident) \$ \$1,000,000
		<input type="checkbox"/> ANY AUTO				BODILY INJURY (Per person) \$
		<input type="checkbox"/> ALL OWNED AUTOS				BODILY INJURY (Per accident) \$
		<input type="checkbox"/> SCHEDULED AUTOS				PROPERTY DAMAGE (Per accident) \$
		<input checked="" type="checkbox"/> HIRED AUTOS				
		<input checked="" type="checkbox"/> NON-OWNED AUTOS				
		GARAGE LIABILITY				AUTO ONLY - EA ACCIDENT \$
		<input type="checkbox"/> ANY AUTO				OTHER THAN EA ACC \$
						AUTO ONLY: AGG \$
B		EXCESS/UMBRELLA LIABILITY	990001059	05/03/2014	05/03/2015	EACH OCCURRENCE \$ \$2,000,000
		<input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMSMADE				AGGREGATE \$ \$2,000,000
		<input type="checkbox"/> DEDUCTIBLE				\$
		RETENTION \$				\$
A		WORKERS COMPENSATION AND EMPLOYERS' LIABILITY	WC01994492 00	10/26/13	10/26/14	<input checked="" type="checkbox"/> WC STATUTORY LIMITS <input type="checkbox"/> OTHER
		ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED?				E.L. EACH ACCIDENT \$ \$500,000
		If yes, describe under SPECIAL PROVISIONS below				E.L. DISEASE - EA EMPLOYEE \$ \$500,000
		OTHER				E.L. DISEASE - POLICY LIMIT \$ \$500,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES / EXCLUSIONS ADDED BY ENDORSEMENT / SPECIAL PROVISIONS

EVIDENCE OF INSURANCE

CERTIFICATE HOLDER

ENERGY INITIATIVES

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.

AUTHORIZED REPRESENTATIVE

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PA.P.U.C.
SECRETARY'S BUREAU

AFFIDAVIT

[Commonwealth/State] of Pennsylvania :

: ss.

County of Delaware :

M. Chad DeCato, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of ENERGY INITIATIVES INC. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein ENERGY INITIATIVES INC. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be registered as a conservation service provider pursuant to Act 129 of 2008.

That the Applicant herein ENERGY INITIATIVES INC. acknowledges that it has answered the questions on the application correctly, truthfully and completely and has provided supporting documentation as required.

That the Applicant herein ENERGY INITIATIVES INC. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein ENERGY INITIATIVES INC. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Signature]
Signature of Affiant

Sworn and subscribed before me this 14 day of April, 2014.

[Signature]
Signature of official administering oath

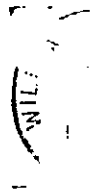
My commission expires: October 15, 2017

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
MELISSA A. DAVIS, Notary Public
Chadds Ford Township, Delaware County
My Commission Expires October 15, 2017



ENERGY INITIATIVES

P.O. Box 479
Chester Heights, PA 19017-0479



Rosemary Chirvello
Secretary
Pennsylvania Public Utility Commission
P.O. Box 3265
Harrisburg PA 17105-3265

171053265

