

Avalon Energy Services, LLC

Experience. Independence. Objectivity.

Secretary of the Commission
Keystone Building, 2nd Floor Room N201
Harrisburg, PA 17120

May 31, 2014

Dear Secretary of the Commission:

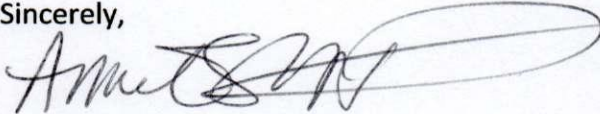
Enclosed is Avalon Energy Services, LLC's Application Form for Parties Wishing to Offer, Render, Furnish, or Supply Natural Gas Supply Services to the Public in the Commonwealth of Pennsylvania. Included in this packet are the following:

Application Form for Parties Wishing to Offer, Render, Furnish, or Supply Natural Gas Supply Services to the Public in the Commonwealth of Pennsylvania

- Exhibit A Proof of Compliance with Department of State filings and Articles of Incorporation
- Exhibit B Proof of Service of Application
- Exhibit C Tax Certification
- Exhibit D Financial Fitness
- Exhibit E Technical Fitness

A check in the amount of \$350, payable to the Commonwealth of Pennsylvania, is enclosed as is a signed and verified original of the application along with an electronic copy on a CD.

Sincerely,



Anne Elisa McDonnell
President
Avalon Energy Services, LLC
5507 Lambeth Road
Bethesda, MD 20814
aemcdonnell@avalonenergy.us

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Avalon Energy Services LLC, d/b/a _____, for approval to offer, render, furnish, or as a Broker Aggregator to the public in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

1. **IDENTITY OF THE APPLICANT:** The name, address, telephone number, and FAX number of the Applicant are:

Avalon Energy Services LLC
5507 Lambeth Rd.
Bethesda, MD 20814
Phone: 888-484-8096 Fax: 888-484-8096

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

2. a. **CONTACT PERSON:** The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are:

James C. McDonnell, COO
5507 Lambeth Rd.
Bethesda, MD 20814
Phone: 888-484-8096 Fax: 888-484-8096

- b. **CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY:** The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA:

James C. McDonnell, COO
5507 Lambeth Rd.
Bethesda, MD 20814
Phone: 888-484-8096 Fax: 888-484-8096

- 3.a. **ATTORNEY:** If applicable, the name, address, telephone number, and FAX number of the Applicant's attorney are:

- b. **REGISTERED AGENT:** If the Applicant does not maintain a principal office in the Commonwealth, the required name, address, telephone number and FAX number of the Applicant's Registered Agent in the Commonwealth are:

Maureen Farley
1279 Stonegate Rd.
Hummelstown, PA 17036
Phone: 717-566-1350

4. **FICTITIOUS NAME:** (select and complete appropriate statement)

- The Applicant will be using a fictitious name or doing business as ("d/b/a"):

Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

- x The Applicant will not be using a fictitious name.

5. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:** (select and complete appropriate statement)

- The Applicant is a sole proprietor.

If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

- The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

- The Applicant is a:
- domestic corporation (none)
 - foreign corporation (15 Pa. C.S. §4124)
 - domestic limited liability company (15 Pa. C.S. §8913)
 - foreign limited liability company (15 Pa. C.S. §8981)
 - Other _____

Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Additionally, provide a copy of the Applicant's Articles of Incorporation. **(EXHIBIT A)**

Give name and address of officers.

Anne Elisa McDonnell, President
5507 Lambeth Rd., Bethesda, MD 20814

James C. McDonnell, COO
5507 Lambeth Rd., Bethesda, MD 20814

The Applicant is incorporated in the state of Maryland.

6. **AFFILIATES AND PREDECESSORS WITHIN PENNSYLVANIA:** (select and complete appropriate statement)

- Affiliate(s) of the Applicant doing business in Pennsylvania are:

Give name and address of the affiliate(s) and state whether the affiliate(s) are jurisdictional public utilities.

- Does the Applicant have any affiliation with or ownership interest in:
- (a) any other Pennsylvania retail natural gas supplier licensee or licensee applicant,
 - (b) any other Pennsylvania retail licensed electric generation supplier or license applicant,
 - (c) any Pennsylvania natural gas producer and/or marketer,
 - (d) any natural gas wells or
 - (e) any local distribution companies (LDCs) in the Commonwealth

If the response to parts a, b, c, or d above is affirmative, provide a detailed description and explanation of the affiliation and/or ownership interest.

- Provide specific details concerning the affiliation and/or ownership interests involving:
- (a) any natural gas producer and/or marketers,
 - (b) any wholesale or retail supplier or marketer of natural gas, electricity, oil, propane or other energy sources.

- Provide the Pa PUC Docket Number if the applicant has ever applied:
- (a) for a Pennsylvania Natural Gas Supplier license, or
 - (b) for a Pennsylvania Electric Generation Supplier license.

Avalon Energy Services LLC applied for and was granted a Pennsylvania Electric Generation Supplier license. The PUC Docket Number is: A-2010-2150814. The PUC License Number is: A-2010-2150814

- If the Applicant or an affiliate has a predecessor who has done business within Pennsylvania, give name and address of the predecessor(s) and state whether the predecessor(s) were jurisdictional public utilities.

or

- The Applicant has no affiliates doing business in Pennsylvania or predecessors which have done business in Pennsylvania.

7. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

- The Applicant is presently doing business in Pennsylvania as a
- natural gas interstate pipeline.
 - municipal providing service outside its municipal limits.
 - local gas distribution company
 - retail supplier of natural gas services in the Commonwealth
 - a natural gas producer
- x Other. (Identify the nature of service being rendered.)

Avalon Energy Services LLC is currently a licensed broker of electricity in Pennsylvania. As part of serving a PA electric customer, Avalon Energy Services LLC brokered a small natural gas transaction during November of 2013 (approximately 4,600 Mcf/yr) and one small natural gas transaction during February of 2014 (approximately 2,300 Mcf/yr). One of these transactions was a renewal for an account that was being serviced prior to the requirement of a license for natural gas brokers. The other account is part of the same organization.

or

- The Applicant is not presently doing business in Pennsylvania.

8. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:

- supplier of natural gas services.
- Municipal supplier of natural gas services.
- Cooperative supplier of natural gas services.
- x Broker/Marketer engaged in the business of supplying natural gas services.
- x Aggregator engaged in the business of supplying natural gas services.
- Other (Describe):

9. PROPOSED SERVICES: Generally describe the natural gas services which the Applicant proposes to offer.

Avalon Energy Services LLC will provide energy audits, energy procurement consulting and energy brokering to commercial, industrial and governmental customers.

10. **SERVICE AREA:** Provide each Natural Gas Distribution Company (NGDC) in which Applicant proposes to offer services.

All in the Commonwealth of Pennsylvania

11. **CUSTOMERS:** Applicant proposes to initially provide services to:

- Residential Customers
- Commercial Customers - (Less than 6,000 Mcf annually)
- Commercial Customers - (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):

12. **START DATE:** The Applicant proposes to begin delivering services on upon approval (approximate date).

13. **NOTICE:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of the signed and verified Application with attachments on the following:

Irwin A. Popowsky
Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120-1921

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

William R. Lloyd, Jr.
Commerce Building, Suite 1102
Small Business Advocate
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Any of the following Natural Gas Distribution Companies through whose transmission and distribution facilities the applicant intends to supply customers:

<p>Valley Energy Inc. Robert Crocker 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: rcrocker@ctenterprises.org</p>	<p>National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 email: wolfordd@natfuel.com</p>
<p>UGI Central Penn David Beasten 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559</p>	<p>Peoples Natural Gas Company LLC Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 email: Lynda.w.petrichevich@peoples-gas.com PH: 412.208.6528 FAX: 412.208.6577</p>
<p>Peoples TWP LLC (Formerly T. W. Phillips) Andrew Wachter 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 724.431.4935 FAX: 724.287.5021 email: Andrew.Wachter@peoplestwp.com</p>	<p>UGI David Beasten 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559</p>
<p>UGI Penn Natural David Beasten 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559</p>	<p>Equitable Gas Company Jason Dalton 225 North Shore Drive Pittsburgh, PA 15212-5352 PH: 412.395.3266 FAX: 412.395.3166</p>
<p>PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 email: carlos.thillet@exeloncorp.com PH: 215.841.6452</p>	<p>Columbia Gas of Pennsylvania Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX: 614.460.6442 email: theckathorn@nisource.com</p>
<p>Philadelphia Gas Works Douglas Moser 800 West Montgomery Avenue Philadelphia, PA 19122 email: douglas.moser@pgworks.com PH: 215.684.6899</p>	

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. **(EXHIBIT B)**

14. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as **Appendix A** to this application.
(EXHIBIT C)

15. **COMPLIANCE:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings.

No applicants have been convicted of or have been involved in any crime.

16. **STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND CONSUMER EDUCATION:** All services should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

a. **Contacts for Consumer Service and Complaints:** Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant, the Distribution Company, the Pennsylvania Public Utility Commission or other agencies.

b. Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers.

Avalon Energy Services LLC works as an energy broker. Our customers sign standard contracts provided by the natural gas supplier that they choose to work with.

c. If proposing to serve Residential and/or Small Commercial customers, provide a disclosure statement. A sample disclosure statement is provided as Appendix B to this Application.

17. **FINANCIAL FITNESS:**

A. Applicant shall provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published parent company financial and credit information.
- Applicant's balance sheet and income statement for the most recent fiscal year. Published financial information such as 10K's and 10Q's may be provided, if available. **(EXHIBIT D)**
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form or other independent financial service reports. **(EXHIBIT D)**
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements
- Such other information that demonstrates Applicant's financial fitness. **(EXHIBIT D)**

B. Applicant must provide the following information:

- Provide proof of compliance with bonding/credit requirements for each NGDC the applicant is proposing to provide service in. This requirement is designated by each NGDC and can commonly be found in the NGDC supplier tariff.
- Identify Applicant's chief officers including names and their professional resumes. **(EXHIBIT E)**
- Provide the name, title, address, telephone number and FAX number of Applicant's custodian for its accounting records.

James C. McDonnell, COO
 5507 Lambeth Rd
 Bethesda, MD 20814
 888-484-8096 ext 202
 888-484-8096

18. **TECHNICAL FITNESS:** To ensure that the present quality and availability of service provided by natural gas utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
- The identity of the Applicant's officers directly responsible for operations, including names and their professional resumes. **(EXHIBIT E)**
 - A copy of any Federal energy license currently held by the Applicant.
 - Proposed staffing and employee training commitments.
 - Business plans.
19. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2208(D). Transferee will be required to file the appropriate licensing application.
20. **UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.
21. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
- a. Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on an annual basis no later than 30 days following the end of the calendar year.
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 22 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive natural gas market.**
22. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.
23. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

24. **FEE:** The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

Applicant: Avalon Energy Services LLC

By: Anne Elisa McDonnell

Title: President

AFFIDAVIT

State of Maryland :

: ss.

County of Montgomery :

Anne Elisa McDonnell, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

She is the President of Avalon Energy Service LLC;

That he/she is authorized to and does make this affidavit for said Applicant;

That Avalon Energy Services LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Avalon Energy Services LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Avalon Energy Services LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That Avalon Energy Services LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Commission's Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 30 day of May, ~~19~~ 2014



Signature of official administering oath



My commission expires July 7, 2017

LEE ANN KNAPP
Notary Public-Maryland
Montgomery County
My Commission Expires
July 07, 2017

AFFIDAVIT

State of Maryland :

SS.

County of Montgomery :

Anne Elisa McDonnell, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

She is the President of Avalon Energy Services LLC;

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Avalon Energy Services LLC, the Applicant herein certifies that it has caused the notice of the filing of its license ap to be published in the following newspapers on June 1, 2014 to June 10, 2014.
(date)

Newspapers listed on next page.

A copy of the notice as it appeared in each of the above newspapers is attached. Noted on each copy is the newspaper section (name, number or letter), if applicable, and the page number on which the notice appeared.

That Avalon Energy Services LLC, the Applicant will submit to the Commission the proof of publication from each newspaper in which notice of the application filing was published as soon as it is available.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Anne McDonnell

Signature of Affiant

Sworn and subscribed before me this 30th day of May, 2014

LEE ANN KNAPP
Notary Public-Maryland
Montgomery County
My Commission Expires
July 07, 2017

Lee Ann Knapp

Signature of official administering oath

My commission expires July 7, 2017

Philadelphia Daily News
1-215-854-5450
advertisingrequests@phillynews.com

Harrisburg Patriot-News
1-717-255-8100 or 19
noname@patriot-news.com

Scranton Times Tribune
1-800-228-4637
legals@timeshamrock.com

Williamsport Sun Gazette
1-570-326-1551
classifieds@sungazette.com

Erie Times-News
1-800-352-9191
1-814-456-7021
legals@timesnews.com

Pittsburgh Post-Gazette
1-412-263-1440
Fax 1-412-263-4872
mcoleman@post-gazette.com

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of Avalon Energy Services LLC For Approval To Offer, Render, Furnish Natural Gas Supply Services as a Marketer/Broker or Aggregator Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

On May 31, 2014, Avalon Energy Services LLC filed an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a broker/marketer engaged in the business of supplying natural gas, and (2) an aggregator engaged in the business of providing natural gas supply services. Avalon Energy Services LLC proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Avalon Energy Services LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to James C. McDonnell, COO at the address listed below. Please include the company name on any correspondence.

By and through James C. McDonnell, COO

Avalon Energy Services LLC

5507 Lambeth Rd.

Bethesda, MD 20814

1-888-484-8096 ext. 202

Fax 1-888-484-8096

AFFIDAVIT

State of Maryland :

: ss.

County of Montgomery :

Anne Elisa McDonnell, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

She is the President of Avalon Energy Services LLC;

She is authorized to and does make this affidavit for said Applicant;

That the Applicant herein Avalon Energy Services LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. §2208(c)(1).

That the Applicant herein Avalon Energy Services LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Avalon Energy Services LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Avalon Energy Services LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



Signature of Affiant

Sworn and subscribed before me this 30th day of May, 2014



Signature of official administering oath

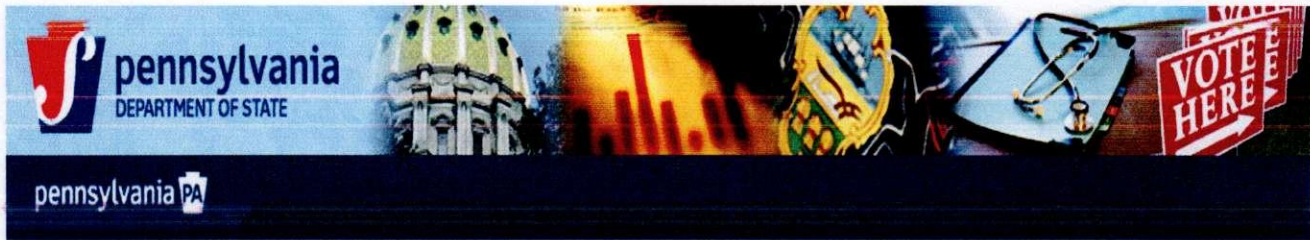


My commission expires July 7, 2017

LEE ANN KNAPP
Notary Public - Maryland
Montgomery County
My Commission Expires
July 07, 2017

Exhibit A

**Proof of Compliance with Department of State filings
and Articles of Incorporation**



Corporations

[Online Services](#) | [Corporations](#) | [Forms](#) | [Contact Corporations](#) | [Business Services](#)

- [Search](#)
- [By Business Name](#)
- [By Business Entity ID](#)
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- [Verify Certification](#)
- [Online Orders](#)
- [Register for Online Orders](#)
- [Order Good Standing](#)
- [Order Certified Documents](#)
- [Order Business List](#)
- [My Images](#)
- [Search for Images](#)

Business Entity Filing History

Date: 5/19/2014 (Select the link above to view the Business Entity's Filing History)

Business Name History

Name	Name Type
Avalon Energy Services, LLC	Current Name
Limited Liability Company - Foreign - Information	
Entity Number:	3924186
Status:	Active
Entity Creation Date:	12/21/2009
State of Business.:	MD
Registered Office Address:	1279 Stonegate Road Hummelstown PA 17036 Dauphin
Mailing Address:	No Address

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[Privacy Policy](#) | [Security Policy](#)

ARTICLES OF ORGANIZATION

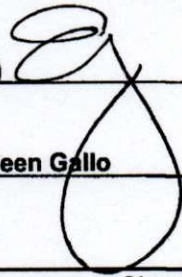
The undersigned, with the intention of creating a Maryland Limited Liability Company files the following Articles of Organization:

(1) The name of the Limited Liability Company is: Avalon Energy Services, LLC

(2) The purpose for which the Limited Liability Company is filed is as follows: Engaging in the business of Energy consulting services.

(3) The address of the Limited Liability Company in Maryland is 5507 Lambeth Road, Bethesda, Maryland 20814

(4) The resident agent of the Limited Liability Company in Maryland is National Registered Agents, Inc. of MD
whose address is 836 Park Avenue, 2nd Floor, Baltimore, MD 21201

(5) 
Eileen Gallo
Signature(s) of Authorized Person(s)

(6) 
Resident Agent
I hereby consent to my designation in this document.
By: Jacob Varghese, Assistant Secretary
National Registered Agents, Inc. of MD

Filing party's return address:
(7) C/O Legalzoom.com, Inc.
7083 Hollywood Blvd., Suite 180
Los Angeles, CA 90028

RECEIVED
DEPARTMENT OF
ASSESSMENTS & TAXATION
2009 APR - 7 P 3 43

CUST ID:0002265017
WORK ORDER:0001708055
DATE:04-10-2009 04:57 PM
AMT. PAID:\$197.00

Exhibit B

Proof of Service of Application

Exhibit D

CERTIFICATE OF SERVICE

On this the 31 day of May 2014, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Natural Gas Supplier and all attachments have been served upon the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Columbia Gas of PA, Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX: 614.460.6442 theckathorn@nisource.com	Equitable Gas Company Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com
National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: wolfordd@natfuel.com	PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com
The Peoples Natural Gas Company Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com	Philadelphia Gas Works Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: nicholas.lapergola@pgworks.com
Peoples TWP LLC (Formerly T. W. Phillips) Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com	UGI David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com

UGI Central Penn David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com	UGI Penn Natural David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com
Valley Energy Inc. Robert Crocker 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: bobc@ctenterprises.org	



Anne Elisa McDonnell, President
Avalon Energy Services LLC

Exhibit C

Tax Certification Statement

APPENDIX A

COMMONWEALTH OF PENNSYLVANIA
PUBLIC UTILITY COMMISSION

TAX CERTIFICATION STATEMENT

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 8 1/2" x 11" paper. Type or print all information requested.

1. CORPORATE OR APPLICANT NAME Avalon Energy Services LLC	2. BUSINESS PHONE NO. (888-484-8096) CONTACT PERSON(S) FOR TAX ACCOUNTS: James C. McDonnell
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3. TRADE/FICTITIOUS NAME (IF ANY)

4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BOX NO.) (POST OFFICE) STATE (ZIP)
 5507 Lambeth Rd, Bethesda, MD 20814

5. TYPE OF ENTITY SOLE PROPRIETOR PARTNERSHIP CORPORATION (LLC)

8. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICER(S)

NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)
Anne Elisa McDonnell, President	_____ - _____ - _____
James C McDonnell, COO	_____ - _____ - _____
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL) _____ - _____ - _____
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL) _____ - _____ - _____
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL) _____ - _____ - _____

9. LIST THE FOLLOWING STATE TAX IDENTIFICATION NUMBERS. **(ALL ITEMS: A, B, AND C MUST BE COMPLETED)**.

A. SALES TAX LICENSE (8 DIGITS) APPLICATION PENDING N/A _____ - _____ - _____ <input type="checkbox"/> <input type="checkbox"/>	C. CORPORATE BOX NUMBER (7 DIGITS) APPLICATION PENDING N/A 3 9 2 4 1 8 6 <input type="checkbox"/> <input type="checkbox"/>
B. EMPLOYER ID (EIN) (9 DIGITS): APPLICATION PENDING N/A 2 6 - 4 7 3 4 5 9 6 <input type="checkbox"/> <input type="checkbox"/>	

10. Do you have PA employes either resident or non-resident? YES NO

11. Do you own any assets or have an office in PA? YES NO

NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS

PA SALES AND USE TAX	James C McDonnell EMPLOYER TAXES	James C McDonnell CORPORATE TAXES
PHONE	PHONE 888-484-8096 x202	PHONE 888-484-8096 x202

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers:
 (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

Exhibit D

Financial Fitness

**This page intentionally left blank as documents are considered
privileged and confidential**

Exhibit E

Technical Fitness

EXHIBIT E

Section 17 B - Chief Officers

Anne Elisa McDonnell, President (resume following pages)

James C. McDonnell, Chief Operating Officer (resume following pages)

Section 18 - Chief Officers directly responsible for operations

Anne Elisa McDonnell, President (resume following pages)

James C. McDonnell, Chief Operating Officer (resume following pages)

ANNE ELISA SPECIALE-McDONNELL, BS / BEP

Professional Experience

Avalon Energy Services, LLC *President and Principal*

Bethesda, MD

Energy consulting company specializing in electricity and natural gas commodity procurement services, energy efficiency projects and electricity generation project development. Licensed electricity and natural gas broker with established relationships with multiple competitive energy suppliers.

Responsible for customer relationships, business development, project scheduling, quality control, and overall administration of the company.

Develop and manage project partner alliances. Coordinate assignments, schedule projects and oversee their implementation through completion.

Lead energy audit practice helping customers realize significant reductions in costs on their energy bills. Oversee onsite surveys, data collection, identification of energy conservation measures and cost/benefit analyses, development of recommendations and plans to achieve customer cost savings.

Review proposed electricity and natural gas supplier agreements and negotiate terms and condition on behalf of clients. Oversee customer service.

Responsible for all compliance reporting to federal, state and local government entities, public service commissions, and energy efficiency program administrators.

Imagination Stage *Manager, Gift Shop*

Bethesda, MD

Founder and manager of the gift shop at a regional theatre specializing in the development of creativity in children. Designed, developed, and managed all aspects of this start-up organization.

Increased sales more than 13% per year for the first four years of operation.

Responsible for: project management; financial management; sales planning and forecasting; customer service; vendor relations; merchandise selection, procurement and pricing; presentation; inventory management; employee management, training and scheduling,

United States Secret Service ERA *Customer Service Associate*

Washington, DC

Customer Service Associate in store exclusively for Secret Service agents. Assisted with procurement, order fulfillment, shipping, and invoicing.

Thorne Consultants

Kensington, MD

Associate

Real Estate Appraisal Associate for small commercial and residential real estate. Responsible for data gathering, analysis, and report writing.

ONEOK, Oklahoma Natural Gas Company

Tulsa, OK

Engineer

Oklahoma City, OK

A leading natural gas gathering, processing, storage and transportation company with residential delivery systems covering Oklahoma, Kansas, and Texas.

First woman to participate in the ONEOK management training program. Trained in all aspects of managing a natural gas utility including financial and project planning and management, construction and customer service.

Specialist in low-pressure distribution pipe installation. Developed procedures and programs for installing pipelines. Inspected distribution line installation projects.

Assisted in the engineering and design of transmission and distribution pipeline systems. Inspected large scale transmission pipeline installations as well as low-pressure distribution line installations.

Education

Cornell University, College of Engineering
Bachelor of Science, Civil and Environmental Engineering

Polley Associates, Real Estate Fundamentals and Practices
Montgomery College, Classes in Management of Construction Certificate Program

Real Estate Appraisal Institute
National Appraisal Principals and Procedures
National Uniform Standards of Professional Appraisal Practice

Montgomery College, Small Business Development

Professional Certifications

Building Energy Professional, Association of Energy Engineers

Affiliations

Cornell Alumni Admissions Ambassador Network (CAAAN)
Association of Energy Engineers

JIM McDONNELL, CFA / CEM / PG

Professional Experience

AVALON ENERGY SERVICES, LLC, Bethesda, MD Chief Operating Officer

Senior officer of this service company focused on energy consulting, electricity and natural gas procurement, electricity and thermal energy generation project development, and energy efficiency projects.

Oversee energy procurement consulting services for government, institutional and commercial clients. Licensed electricity and natural gas broker with established relationships with multiple energy suppliers. Develop energy procurement strategies based on customer's needs and risk tolerance, assess contract terms and conditions, and conduct competitive auctions. Create an environment of competition for energy suppliers in order to obtain most favorable supply contracts for customers.

Develop strategies that help commercial customers realize significant reductions in costs on their energy bills by performing comprehensive energy audits and economic analyses of energy conservation measures. Perform utility bill analyses, survey facilities, identify energy savings opportunities, rigorously quantify cost/benefit trade-offs and assist customers in implementing ECMs.

Perform general energy consulting including: assisting marketing companies in establishing electricity and natural gas supply and credit support agreements with large wholesale energy suppliers; valuing and conducting financial and operational due diligence on acquisition targets; analyzing and developing energy master plans, and assessing electricity generation and combined heat and power development opportunities.

PEPCO ENERGY SERVICES, INC., Arlington, VA Senior Vice President and Chief Financial Officer

Key player in the development and growth of this competitive energy company involved in retail energy marketing, energy efficiency construction, and O&M projects. Senior financial executive of team that grew electricity and natural gas commodity business from start-up to managing electricity load in excess of 4,400 megawatts with annual sales of 20 million megawatt-hours and natural gas sales in excess of 30 million dekatherms per year.

COLUMBIA ENERGY GROUP, Herndon, VA, and Wilmington, DE Vice President, Principal, Director of Capital Allocation, Director of Finance

Lead deal-maker in Columbia's mergers and acquisition group. Identified acquisition and joint venture targets, initiated relationships, developed and negotiated transactions. Managed the financial affairs of Columbia's energy marketing company. Team leader for the design and implementation of a uniform, corporate-wide capital allocation, performance measurement, and compensation system based on the concept of economic value-added.

Prior Experience

ANDERSEN CONSULTING, New York, NY
Manager

DRESSER ATLAS, a division of Dresser Industries
Field Engineer

Education

CORNELL UNIVERSITY, College of Engineering
Bachelor of Science

OKLAHOMA STATE UNIVERSITY, College of Business Administration
Master of Business Administration, Finance, *Beta Gamma Sigma Honor Society*

Professional Certifications

Chartered Financial Analyst (CFA), CFA Society
Certified Energy Manager (CEM), Association of Energy Engineers
Registered Professional Geologist, #12, Commonwealth of Pennsylvania

Affiliations

CFA Institute, CFA Society of Washington
George P. Munro, Jr. Scholarship Committee, Cornell University (Chair)
Cornell Energy Institute, Advisory Council (Founding Member)