

March 4, 2014

Pennsylvania Public Utilities Commission Secretary Keystone Building 2nd Floor, Room N201 Harrisburg, PA 17120

Dear Secretary,

Please find attached the PUC filing for Marathon Power LLC. Please note that the financial statements submitted in response to Section 7 – Financial Fitness and certain answers in Section 8 – Technical Fitness are to be treated as Confidential and not to be made available to the public. These items have been clearly stamped CONFIDENTIAL and have been submitted under seal.

Please let me know any questions.

We are looking forward to completing the process and commencing operations in Pennsylvania.

Best Regards,

hygier

Jeff Grygier CFO Marathon Energy Corp. Main: 718.564.2211 Cell: 201.757.2964 Jeff@mecny.com

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JUN 0 3 2014

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of _____Marathon Power LLC______, d/b/a ______, d/b/a ______, for approval to offer, render, furnish, or supply electricity or electric generation services as a <u>supplier, aggregator, and</u> <u>broker/marketer</u> to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Marathon Power LLC	www.mecny.com
868 39 th Street	718-435-2200
Brooklyn, NY 11232	888-779-7255

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

InCorp Services, Inc. 7208 Red Top Road Hummelstown, PA 17036

c. **REGULATORY CONTACT**: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Jeff Grygier, CFO	T. 718-564-2211
Jeff Grygier, CFO 868 39 th Street	F. 718-435-3096
Brooklyn, NY 11232	E. jeff@mecny.com

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

No attorney.

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Penny Guida, Director of Operations 868 39th Street Brooklyn, NY 11232 T. 718-564-2210 F. 718-435-3096 E. penny@mecny.com



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2. **BUSINESS ENTITY FILINGS AND REGISTRATION**

a. FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.)

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

X The Applicant will not be using a fictitious name.

b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. _ C.S. §4124 relating to Department of State filing requirements.

or

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The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)
 - Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
 - Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
 - Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
 - * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

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- X The Applicant is a:
 - domestic corporation (15 Pa. C.S. §1308)

foreign corporation (15 Pa. C.S. §4124)

- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):
 - Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
 - Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. New York
 - Give name and address of officers. Jerry Drenis, President
 Jeff Grygier, CFO
 Address for both:
 868 39th Street
 Brooklyn, NY 11232

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Marathon Energy Corp. Marathon Mechanical LLC

Neither are applying to do business in Pennsylvania

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

None.

4. **OPERATIONS**

a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- Other; Identify the nature of service being rendered.

or

✓ The Applicant is not presently doing business in Pennsylvania.

b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (may check multiple):

- Generator of electricity
- X Supplier of electricity
- X Aggregator engaged in the business of supplying electricity
- X Broker/Marketer engaged in the business of supplying electricity services
- Electric Cooperative and supplier of electric power
- Other (Describe):

Definitions

- Supplier an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator an entity that purchases electric energy and <u>takes title to electric energy</u> as an intermediary for sale to retail customers.
- Broker/Marketer an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.
- c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Company proposes to offer competitive electricity supply to commercial, industrial, & residential customers under a plethora of pricing plans including variable, fixed, indexed, and capped options.

Company may offer the above services as a supplier, aggregator, or broker/marketer.

d. PROPOSED SERVICE AREA: Provide a list of each Electric Distribution Company for which the Applicant proposes to provide service.

PPL, PECO

- e. CUSTOMERS: Applicant proposes to provide services to:
 - **Residential Customers** Small Commercial Customers - (25 kW and Under) Large Commercial Customers - (Over 25 kW) Industrial Customers **Governmental Customers** All of above Other (Describe):
- f. **PROPOSED MARKETING METHOD** (check all that apply)
 - Internal Applicant will use its own internal resources/employees for marketing Х
 - X □ External EGS - Applicant will contract with a PUC LICENSED EGS broker/marketer
 - Affiliate Applicant will use a NON-EGS affiliate marketing company and or individuals.
 - External Third-Party Applicant will contract with a NON-EGS third party marketing company and or Х individuals
 - Other (Describe):
- g. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

	Yes
Х	No

If yes, will the Applicant be using a Third Party Verification procedure?

Ĺ		
	1	

Yes

No

If yes, describe the Applicant's Third Party Verification procedures.

h. START DATE: Provide the approximate date the Applicant proposes to begin services within the Commonwealth.

August 1, 2014 or as soon as licensed.

5. COMPLIANCE

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

None.

b. SUMMARY: If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not applicable.

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

None.

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not applicable,

6. PROOF OF SERVICE

(Example Certificate of Service is attached at Appendix C)

a.) STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

b.) EDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, aprovide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Allegheny Power:

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Duquesne Light:

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

Met-Ed, Penelec, and Penn Power:

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company:

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

Wellsboro Electric Company:

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

PECO:

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

PPL:

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

UGI:

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Pike County Light & Power Company:

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

7. FINANCIAL FITNESS

- a. BONDING: In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...
 - X Furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
 - Furnishing the **original** (along with copies) of another initial security for Commission approval, to ensure financial responsibility.
 - Filing for a modification to the \$250,000 requirement and furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.
 - At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.
 - Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.
 - Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.
- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following: <u>APPLICANT HAS SUBMITTED CONFIDENTIALLY UNDER SEAL</u>
 - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
 - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
 - Applicant's accounting statements, including balance sheet and income statements for the past two years.
 - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
 - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
 - Audited financial statements exhibiting accounts over a minimum two year period.
 - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
- c. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records. <u>Marathon Power LLC, 868 39th Street,</u> <u>Brooklyn, NY 11232</u>

d. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a.) EXPERIENCE, PLAN, STRUCTURE: such information may include:

APPLICANT HAS SUBMITTED CONFIDENTIALLY UNDER SEAL

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.
- **b.)** OFFICERS: Identify Applicant's chief officers including names and their professional resumes.

APPLICANT HAS SUBMITTED CONFIDENTIALLY UNDER SEAL

- c.) FERC FILING: Applicant has:
 - Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
 - X Received approval from FERC to be a Power Marketer at Docket or Case Number ER11-4357-000.
 - Not applicable

9. DISCLOSURE STATEMENT:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
 - proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- X AGREED Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information
- **b. STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.
 - X AGREED

- c. **REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
 - Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
 - Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
 - The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
 - Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
 - Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.
 - X AGREED
- d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.
 - X AGREED
- e. ASSESSMENT: The Commission does not <u>presently</u> assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see *PPL Energyplus*, *LLC v. Commonwealth*, 800 A.2d 360 (*Pa. Cmwlth. 2002*).
 - X ACKNOWLEDGED
- f. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.
 - X AGREED

- g. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.
 - X AGREED
- h. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.
 - X AGREED
- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.
 - X AGREED
- **j.** Electronic Data Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at appendix J.
 - X AGREED
- **k. FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.
 - X PAYMENT ENCLOSED

11. AFFIDAVITS

- **a.) APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- **b.)** OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Duquesne	Met Ed	PECO	Penelec	<u>Penn</u> Power	<u>PPL</u>	UGI	<u>West</u> Penn	<u>Entire</u> <u>Commonwealth</u>
Philadelphia Daily News		x	×			x			×
Harrisburg Patriot- News		x		×		х		x	x
Scranton Times Tribune		x		×		x	x		×
Williamsport Sun Gazette				×		×		x	×
Johnstown Tribune Democrat				X				x	×
Erie Times-News				x	х				x
Pittsburgh Post-Gazette	x				х			x	x

(Example Publication is provided at Appendix H)

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13. SIGNATU Applicant: Marahan Power LLC Leff Grygier By: Title:

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of	NewYork	:	
		:	SS.
County of Kings		:	
County of Kings	, Affiant, being duly [sworn/af	firmed] acco	ording to law, deposes and says that:
[He/she is the $_CFO$	(Office of Affiant)	or Marat	Lon Power LLS(Name of Applicant);]
[That he/she is authorized to	and does make this affidavit fo	or said Appli	cant;}
demonstrating its technical and 2809 (B).	financial fitness to be licensed as	an electric g	information and supporting documentation eneration supplier pursuant to 66 Pa. C.S. §
That the Applicant herein MA-22 completely and provided suppo	<u>Alton Port LLC</u> has answered t rting documentation as required.	he questions	on the application correctly, truthfully, and
That the Applicant herein Mar	Athon Tower Wacknowledges t	hat it is unde	r a duty to update information provided in

.

answer to questions on this application and contained in supporting documents. That the Applicant herein Maralun Power LL acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Sworn and subscribed before me this ______ day of ______ March 2014 Signature of official administering oath

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JUN - 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

My commission expires

CHRISOULA VLACHOU DRENIS Notery Public, State of New York No. 01VL8130085 Ouslified in Kings County Commission Expires July 05, 20_

Appendix B



OPERATIONS AFFIDAVIT

JUN - 3 2014

[Commonwealth/State] of \underline{N}	en York	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU
	:	SS.
County of Kings		
defo (Fryg.er deposes and says that:	, Affiant, being duly [sworn/affirm	ned] according to law,
[He/she is the <u>CFO</u> (Name of Applicant);]	(Office of Affiant) of	Marathon PowerLL C

[That he/she is authorized to and does make this affidavit for said Applicant;]

That MARN Hon Power LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That MARA flow Row LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Mara Jun How Will Ghe Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That MArghan Powell L, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Sworn and subscribed before me this _____ day of March 20

Signature of official administering oath

My commission expires

CHRISOLLA VLACHOU DRENIS Notury Public, State of New York No. 01VL8130065 Olyaitmo in Kings County Commission Expires July 05, 20_

CERTIFICATE OF SERVICE

On this day, March 3, 2014, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and a Natural Gas Supplier and all attachments have been served upon:

Office of Consumer Advocate

5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120 Office of the Attorney General **Bureau of Consumer Protection** Strawberry Square, 14th Floor Harrisburg, PA 17120 **Small Business Advocate** Commerce Bldg, Suite 1102 300 North 2nd Street Harrisburg, PA 17101 **Commonweath of Pennsylvania** Department of Revenue **Bureau of Compliance** Harrisburg, PA 17128-0946 Manager Energy Acquisition **PECO Energy Co** 2301 Market Street Philadelphia, PA 19101-8699 PPL Legal Department Attn Paul Russell 2 North 9th Street Allentown, PA 18108-1179

Jeff Grygier CFO Marathon Power LLC

Appendix G

Sample Disclosure Statement Format for Electric Generation Suppliers

This is an agreement for electric generation service, between <u>EGS name</u> and <u>customer's name and full</u> address .

Background

- We at <u>EGS's name</u> are licensed by the Pennsylvania Public Utility Commission to offer and supply electric generation services in Pennsylvania. Our PUC license number is <u>A-XXXX-XXXXXXX</u>.
- We set the generation prices and charges that you pay. The Public Utility Commission regulates distribution prices and services. The Federal Energy Regulatory Commission regulates transmission prices and services.
- Include one of the two following options:

1) You will receive a single bill from <u>billing agent name</u> that will contain <u>distribution utility name</u> charges and <u>EGS's name</u> charges.

2) You will receive a separate bill from <u>EGS Name</u> for generation charges and a separate bill from <u>distribution utility name</u> for its charges.

 Right of Rescission - You may cancel this agreement at any time before midnight of the third business day after receiving this disclosure.

Definitions

- Generation Charge Charge for production of electricity.
- Transmission Charge Charge for moving high voltage electricity from a generation facility to the distribution lines of an electric distribution company.
- Nonbasic Charges Define each nonbasic service being offered.

Terms of Service

1. (a) Basic Service Prices - Itemize Basic Services you are billing for and their prices.

You will pay <u>EGS rate</u> per kWh for electric generation service. Suppliers are to include any variable pricing conditions and limits, if charging a variable rate.

(Note to EGS applicants: this statement should include transmission charges if applicable. Contact the electric distribution utility to determine if transmission charges are included in their price-to-compare.)

(b) Nonbasic Service Prices - Itemize Nonbasic Services you are offering and their prices.

2. Length of Agreement

You will buy your electricity generation service for the above street address from <u>EGS's name</u> beginning <u>date</u> through <u>date of expiration, if any</u>.

Appendix G (Continued)

3. Special Terms and Conditions - List and explain all that apply. Sign-up bonuses Add-ons Limited time offers Other Sales Promotions Exclusions

4. Special Services - *Provide explanation of price, terms and conditions, including advanced metering deployment, if applicable.*

5. Penalties, Fees and Exceptions - *List any that apply including a late payment charge.* The print size for this section must be larger than the print in the rest of the agreement.

6. Cancellation Provisions - This category may consist of both customer initiated cancellation provisions and supplier initiated cancellation provisions.

7. Renewal Provision/Agreement Expiration/Change in Terms

If you have a fixed term agreement with us and it is approaching the expiration date or if we propose to change our terms of service, we will send you two advance written notices either in our bills or in separate mailings between 45 and 90 days before either the expiration date or the effective date of the changes. We will explain your options in these two advance notices.

8. Dispute Procedures

Contact us with any questions concerning our terms of service. You may call the PUC if you are not satisfied after discussing your terms with us.

9. Contact Information

Generation Supplier Name:	
Address:	
Phone Number:	
Internet Address:	
Electric Distribution Company Name:	

Appendix G (Continued)

Page 3 of 3

Provider of Last Resort Name:	
Address:	
Phone Number:	
Public Utility Commission (PUC) Address: Choice Hotline Number:	P.O. Box 3265 Harrisburg, PA 17105-3265_ 1-800-692-7380
Universal Service Program Name: Phone Number:	

.

Appendix H

EXAMPLE FORM OF NOTICE PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of <u>Company Name</u> (d/b/a "<u>Trade Name</u>") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Company Name will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. **Company Name** proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Company Name** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Company's Name** attorney at the address listed below.

By and through Counsel: Attorney's Name

Company Name Address

Address Phone FAX

The Patriot-News Co. 2020 Technology Pkwy Suite 300 Mechanicsburg, PA 17050 Inquiries - 717-255-8213

868 39TH STREET

The Patriot-News Now you know

MARATHON ENERGY CORPORATION

JUN - 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

RECEIVED

BROOKLYN

NY 11232

THE PATRIOT NEWS THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929 Commonwealth of Pennsylvania, County of Dauphin} ss

Marianne Miller, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the rectors of the said Company and subsequently duly recorded in the office for the Recording of Deeds

PUBLIC UTILITY COMMISSION NOTICE Application of Morathon Power Ltuc tor approval to offer render; furnish or supply betricity or electric generation services as a generator and supplier of electric power, a marketer/broker. electric power. electric power a marketer/broker. electric power a marketer broker. electric power a marketer b

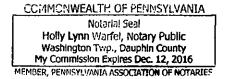
in the business of supplying electricity, to the public in the Commonwealth of Pennsylvania. Marathon Power LLC will be filing an application with the Pennsylvania Public Utility Commission (*PUC*) for a license to supply alectricity as the supply Public Utility Commission (*PUC*); for a flectise to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer.orgiged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Marchon, iPower, LUC proposed to sell electricity and related services throughout of a Power: LUC proposed to sell electricity and related services throughout all of. Pennsylvania under the provisions of the Electricity Generation Customer Choice and Competition Act. The PUC may consider this. application without a hearing. Protests directed to the technical or financial fitness of Marathon Power LUC may be

Illed within 15 days of the date of this! notice with the Secretary of the PUC, PO Box 3245, Harrisburg, PA.17105-3265, You should send copies of any protest to Marathan Power LLC at the address listed below. Marathan Power LLC at the address listed below. Marathan Power LLC is the address for the secretary of the secretary of the Marathan Power LLC is the address for the secretary of the secretary of the Marathan Power LLC is the address for the secretary of the secretary for the secretary of the secretary of

March 11, 2014 Sworn to and subscribed before me this 17 day of March, 2014 A.D.

This ad # 0002292950 ran on the dates shown below:

Notary Public



PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

LEGAL NOTICES Bent A. Un PENNSYLVANIA PUBLIC UTILITY SUN-GAZETTE COMPANY NOTICE 6 .4 Application of Marathon PowerILLC for approval to offer, render, furnish, of Sworn to and subscribed before me the 2014 supply; electricity or , electricity or day of as a igenerator, and "sup pliar.' of electric 'power, a The PUC, may, consider this application without /a hearing. Protests directed to the technical or finanmarketer/broker, engaged in the business; of supply ing , electricity, and an aggregator engaged in the business of supplying cial fitness of Marathon Rower LLC (may be filed within 15 days of the date of this notice with "the Secretary of the PUC, PO Notary Public business of supplying electricity, to the public in the Commonwealth of NOTARIAL SEAL Pennsylvania CATHY A. BILLEY, Notary Public Box 3265; Harrisburg, PA · . 17105-3265. You ishould send copies of any pio-test to Marathon, Power Marathon, Power (LLC, will City of Williamsport, Lycoming County be filing an application with the the Pennsylvania My Commission Expires May 15, 2015 with a the + Pennsylvania Public Utility Commission ("PUCT), for a license to supply electricity or elec-tric generation services las (1) a generator, and suppler of electric power, (2) a brokermarketer en-garchuid, the the internation LLC, at the address listed below! 19 17 ×51 STATEMENT OF ADVERTISING COSTS Marathon Power LLC Attn: General Counsel 1868 39thStreet Brooklyn, NY 11232 gaged in the business, of HE:718:435.3096 supplying! electricity, tand (3) an aggregator engaged in the "business of sup plying "electricity Marathon Power LLC pro-To the Sun-Gazette Company, Dr.: For publishing the notice attached posed to sell electricity and , related + services throughout, all of Pennsyl-Probated same\$ vania under the provisions of the Electricity Genera-Total.....\$743 Competition Act. 4 PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

Copy of Notice of Publication STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA Florence Devlin being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper PENNSYLVANIA PUBLIC UTILITY COMMISSION Notice: Application of Marathon Power LLC for appro-val to offor, randor, furniah, or supply electricity or olectric generation services as a generator and supplier bi electric power, a marketer/ broker, engaged in the business of supplying electricity, and an aggregator; engaged in the business of supplying electricity, to the public in the Commonwealth of Pennsylvania; Marathon Power LLC will be illing an applica-tion with the Pennsylvania; Public Utility Com-mission ("PUCC);for a license to supply electricity to of electric generation services as (1) a genera-ation with the Pennsylvania; Public Utility Com-mission ("PUCC);for a license to supply electricity to electric generation services as (1) a genera ator, and supplior of electric power, (2) a broker/marketor engaged in the business of supplying electricity, and, (3) an aggregator en-gaged in the business of supplying electricity therethen Power LLC proposed to soli electricity and related services throughout all of Penn-sylvania under, the provisions of the Electricity Generation Customer Choleo and Competition Act. has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates: March 7, 2014 Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized Act. The PUC may consider this application with a hearing. Protest directed to the technical linancial fitness of Marathen Pewer LLC n bo filod within 16 days of the date of this not with the Secretary of the PUC; IPO Box 32 Harrisburg, PA 17105-3265, You should as copies of any protest to Marathen Power L at the address listed below. Marathen Power LLC Attn: General Counsel 868 39th Street, Brooklyn, NY 11232.1 T.718.435.2200 F, 718.435.3086 to verify the foregoing statement and that she is not interested LLC m in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true. France Darley Sworn to and subscribed before me this 7th day of March, 2014. Ungline COMMONWEALTH OF PENNEYLVANS/

My Commission Expires:

NOTARIAL SEAL MARY ANNE LOGAN, Notary Public City of Philadelphia, Phila. County My Commission Expires March 30, 2017

The Scranton Times (Under act P.L. 877 No 160. July 9,1976) Commonwealth of Pennsylvania, County of Lackawanna

MARATHON ENERGY CORPORATION JEFF GRYGIER 868 39TH STREET BROOKLYN NY 11232

Account # 593561 Order # 81465409 Ad Price: 233.95

LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

03/06/2014 03/06/2014

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true to the bush bush and the subject matter of the aforesaid notice or advertisement as time, place and the subject matter of the aforesaid bush and the subject matter of the aforesaid notice or advertisement as time, place and the subject matter of the aforesaid bush and the subject matter of the aforesaid notice or advertisement as time, place and the subject matter of the aforesaid bush and the subject matter of the aforesaid bush and the subject matter of the aforesaid notice or advertisement as time, place and the subject matter of the aforesaid bush and the subject matter of the subject matter

Sworn and subscribed to before me this 6th day of March A.D., 2014

entin CILON

(Notary Públic)

COMMONWEALTH OF PENNSYLVANIA Notarial Seal Sharon Venturi, Notary Public City of Scranton, Lackawanna County My Commission Expires Feb. 12, 2018 MEMBLE, PENNSYLVANIA ASSOCIATION OF NOTARIES LEGAL NOTICE PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Marathon JPower. LLC for approval to offer, render, furnish, or supply electricity or electric generation services as a generator and supplier of electric power, a marketer/broker engaged in the business of supplying electricity, and an aggrator engaged in the 'business' of supplying electricity, to the public in the 'Commonwealth of Pennsylvania.

Marathon Power. LLC will be filling an application with the Pennsylvania Public Utility Commission (PUC) for a license to supply electricity or electric generation services as (11) a generator, and ssupplier of fieldetric power, (2) at broker/marketer engaged in the business of supplying electricity and (3) an aggregator engaged in the business of supplying electricity and (3) an aggregator engaged in the business of supplying electricity and related services throughout all of Pennsylvania, under the, provisions of the Electricity Generation Customer Choice and Competition Act. (

and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Marathon Power LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, PO Box 3265, Harrisburg: PA 17105-3265. You should send copies of any protest to Marathon Power LLC at the address listed below.

Marathon Power LLC Attn: General Counsel, Attn: 868 39th Street J.

Brooklyn, NY 11232 T.718.435.2200 F. 718.435.3096

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Appendix I

Electronic Data Interchange and Internet Requirements

Prior to doing business in an EDC service territory, the EGS must meet the Electronic Data Interchange (EDI) certification requirements of the EDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the EGS is encouraged to contact the EDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. EDC requirements of new suppliers may be found on the respective EDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at

http://www.puc.pa.gov/filing_resources/issues_laws_regulations/electronic_data_exchange.aspx. The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

To keep current with Pennsylvania EDI practices and policies, a newly licensed EGS is strongly encouraged to participate in the EDEWG by contacting the following:

PA EDEWG EDI Contacts

Entity Name	Contact Name	Telephone	Email	Preference
PAPUC	Lee Yalcin	717-787-6723	lyalcin@pa.gov	None
PAPUC	Jeff McCracken	717-783-6163	jmccracken@pa.gov	None
PA EDEWG LDC Co-Chair	Sue Scheetz	610-774-3616	smscheetz@pplweb.com	Email
PA EDEWG ESP Co-Chair	Matthew Sigg	713-236-4311	Matt.Sigg@Constellation.com	Email
PA EDEWG Secretary & Regional EDI Change Control Manager	Brandon Siegel	412-817-8004	Brandon.Siegel@itelometry.com	Email

PA EDC EDI Contacts

Company Name	Contact Name	Telephone	Email	Preference
Duquesne Light Co	Supplier Service Center	412-393-6282	DLC_SSC@duglight.com	Email
FirstEnergy - Metropolitan Edison Co, Pennsylvania Electric, Penn Power, JCP&L, WPP & Potomac Edison	Supplier Support	330-761-4348	SupplierSupport@firstenergycorp.com	Email
PECO	Electric & Gas Choice Hotline	215-841-3700	egc@exeloncorp.com	Email
PPL Electric Utilities	Donna M. Hirst	610-774-6349	dmhirst@pplweb.com	None
	Susan Scheetz	610-774-3616	smscheetz@pplweb.com	Email
	Supplier Support	610-774-6396	PPLUtilitiesSupplier@pplweb.com	Email
	EDI Team	610-774-5757	EDIUtilAdm@pplweb.com	Email
UGI Utilities Inc.	EDI Technical Support	610-736-5471	edi@ugi.com	Email

State of New York Department of State } ss:

I hereby certify, that MARATHON POWER LLC a NEW YORK Limited Liability Company filed Articles of Organization pursuant to the Limited Liability Company Law on 12/24/2010, and that the Limited Liability Company is existing so far as shown by the records of the Department.



JUN - 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU



**

WITNESS my hand and the official seal of the Department of State at the City of Albany, this 05th day of May two thousand and eleven.

First Deputy Secretary of State

201105060200 02

FILING RECEIPT

ENTITY NAME: MARATHON POWER LLC

DOCUMENT TYPE: ARTICLES OF ORGANIZATION (DOM LLC) COUNTY: KING

FILED:12/24/2010 DURATION:******* CASH#:101224000358 FILM #:101224000318

FILER:

THEODORE PAVLOUNIS ESQ 172 GRAVESEND NECK ROAD

BROOKLYN, NY 11223

ADDRESS FOR PROCESS: THE LLC 868 39TH STREET BROOKLYN, NY 11232

REGISTERED AGENT:



	GERALD WEINBERG,	SERVICE CODE:	
FEES 225.	00	PAYMENTS	225.00

FILING	200.00	CASH	0.00
ТАХ	0.00	CHECK	0.00
CERT	0.00	CHARGE	0.00
COPIES	0.00	DRAWDOWN	225,00
HANDLING	25.00	OPAL	0.00
		REFUND	0.00
		DOS-1025	(04/2007)

EXIST DATE

ARTICLES OF ORGANIZATION

.

.

OF

MARATHON POWER LLC

Filed by:

•

Theodore Pavlounis, Esq. 172 Gravesend Neck Road Brooklyn, New York 11223

.

ARTICLES OF ORGANIZATION

OF

MARATHON POWER LLC

Under Section 203 of the Limited Liability Company Law.

FIRST: The name of the limited liability company is MARATHON POWER LLC.

<u>SECOND</u>: The county within the state in which the office of the limited liability company is to be located is Kings.

<u>THIRD:</u> The Company does not have a specific date of dissolution in addition to the events of dissolution set forth by law.

FOURTH: The Secretary of State is designated as agent of the limited liability company upon whom process against it may be served. The post office address within or without this state to which the Secretary of State shall mail a copy of any process against the limited liability company served upon him or her is:

> 868 39th Street Brooklyn, New York 11232

<u>FIFTH:</u> The effective date of the Articles of Organization shall be the date of filing with the Secretary of State.

SIXTH: The limited liability company is to be managed by 1 or more members.

IN WITNESS WHEREOF, this certificate has been subscribed to this 23rd day of December, 2010 by the undersigned who affirms that the statements made herein are true under the penalties of perjury.

Laurence a Kirsch

Lawrence A. Kirsch, Organizer

PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU

	R R		General Partnership (§ 8211) Limited Partnership (§ 8211)
Name Marathon Pov	wer LLC		Document will be returned to the name and address you enter to
(Address 838 39th Stre	et		the left. RECEIVED
Brooklyn	State NY	Zip Code 11232	JUN - 3 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Fee: \$250

In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in this Commonwealth, hereby states that:

1.	The name of the limited liability company/limited liability partnership/limited partnership in the jurisdiction in
	which it is formed:

Marathon Power LLC

2. The name under which the limited liability company/limited liability partnership/limited partnership proposes to register and do business in this Commonwealth is:

Marathon Power LLC

3. The name of the jurisdiction under the laws of which it was organized and the date of its formation:

4. The (a) address of its initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street	City	State	Zip	County
7208 Red Top Road	Hummelstown	PA	17036	Dauphin
(b) Name of Commercial Regination (b) Name of Commercial Regination (b) (b) (b) (b) (c) (c) (c) (c) (c) (c) (c) (c) (c) (c		County		

The address of the office requi that jurisdiction is:	red to be maintained by it in th	e jurisdiction of its o	organization by the laws o
868 39th Street	Brooklyn	NY	11232
Number and street	City	State	Zip
It is not required by the laws of	f its jurisdiction of organizatio	on to maintain an off	ice therein and the addres
of its principal office is:			

6. For Restricted Professional Limited Liability Company Only. Strike out if inapplicable: The company is a restricted professional company organized to render the following professional service(s):

Limited Liability Partnership and Limited Partnership: Complete paragraphs 7 and 8

7. The name and business address		
Name	Business Address	
·		

3. The address of the office at which is kept a list of the names and addresses of the limited partners and their capital contribution is:					
Number and street	City	State	Zip	County	
The registered partnership her Commonwealth is canceled o		ose records until it	s registration	to do business in the	

IN TESTIMONY WHEREOF, the undersign this Application for Registration to be signed authorized officer/member or manager there	l by a duly
4th day of March £2014.	
Marathon Power LLC	
Name of Partnership/Company	,
CF0	
Title	<u> </u>

Docketing Statement DSCB:15-134A (Rev 2012) Departments of State and Revenue	BUREAU USE ONLY: Dept. of State Entity #
One (1) required	Dept. of Rev. Box #
	Filing Period Date 3 4 5
	SIC/NAICS Report Code
Check proper box:	
Pennsylvania Entities	Foreign Entities State/Country NY/USA Date ^{12/24/10}
 business stock business non-stock professional nonprofit stock nonprofit non-stock statutory close management cooperative insurance benefit limited liability company restricted professional limited liability company business trust 1. Entity Name: Marathon Power LLC	
2. Individual name and mailing address responsib	e for initial tax reports:
Jeff Grygier 868 39th	
Name Number and	street City State Zip
3. Description of business activity: Electricity supply sales	
4. Specified effective date, if any: 04/01/2010 month/day/year hour, if any	5. EIN (Employer Identification Number), if any: 27-4929330

6. Fiscal Year End: December 31

7. Fictitious Name (only if foreign corporation is transacting business in PA under a fictitious name):

DSCB: 15-8981/8211/8582

A Department of State Corporation Bureau P.O. Box 8722 Harrisburg, PA 17105-8722 (717) 787-1057 Web site: www.dos.state.pa.us/corps

Instructions for Completion of Form:

- A. Typewritten is preferred. If not, the form shall be completed in black or blue-black ink in order to permit reproduction. The filing fee for this form is \$250 made payable to the Commonwealth of Pennsylvania. Checks must contain a commercially pre-printed name and address.
- B. A foreign limited liability company/limited liability partnership/limited partnership may adopt a name to register and do business in the Commonwealth of Pennsylvania that is different from the name in its formation documents filed in its state of domicile. Both boxes 1 and 2 should be completed, even if the name of the entity will be the same in both jurisdictions.
- C. Designators: Limited Partnership: The name may contain the word "company", "limited" or "limited partnership" or abbreviation. Limited Liability Partnership: The name must contain the word "company", "limited" or "limited liability partnership" or abbreviation. Limited Liability Company: The name must contain the word "company," "limited" or "limited" or "limited "company," "limited" or "limited "company," "limited" or "limited "company," "limited" or "
- D. Under 15 Pa.C.S. § 135(c) (relating to addresses) an actual street or rural route box number must be used as an address, and the Department of State is required to refuse to receive or file any document that sets forth only a post office box address.
- E. The following, in addition to the filing fee, shall accompany this form:
 - (1) For Limited Liability Company Only: One copy of a completed form DSCB:15-134A (Docketing Statement).
 - (2) Any necessary copies of form DSCB:17.2.3 (Consent to Appropriation of Name).
 - (3) Any necessary governmental approvals.
- F. Under 15 Pa.C.S. § 8981 or § 8583, upon the filing of this form the applicant shall be authorized to do business in the Commonwealth of Pennsylvania and no certificate of authority will be issued to the applicant by the Department.
- G. This form and all accompanying documents shall be mailed to the address stated above.

DSCB: 15-8981/8211/8582

A Department of State Corporation Bureau P.O. Box 8722 Harrisburg, PA 17105-8722 (717) 787-1057 Web site: www.dos.state.pa.us/corps

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- D. Under 15 Pa.C.S. § 135(c) (relating to addresses) an actual street or rural route box number must be used as an address, and the Department of State is required to refuse to receive or file any document that sets forth only a post office box address.
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 - (3) Any necessary governmental approvals.
- F. Under 15 Pa.C.S. § 8981 or § 8583, upon the filing of this form the applicant shall be authorized to do business in the Commonwealth of Pennsylvania and no certificate of authority will be issued to the applicant by the Department.
- G. This form and all accompanying documents shall be mailed to the address stated above.

Financial Statement ARGONAUT INSURANCE COMPANY Statutory Basis as of 12/31/12

CASH & INVESTED CASH	80,689,125
BONDS	688,461,185
STOCKS	385,904,297
INVESTMENT INCOME DUE AND ACCRUE	7,237,135
PREMIUM BALANCES	83,020,356
NET DEFERRED TAX ASSET	33,524,093
REINSURANCE RECOVERABLE	40,748,534
OTHER ASSETS	17,700,545
TOTAL ASSETS	\$ 1,337,285,270

• -.

UNEARNED' PREMIUMS		152;541,086
LOSSES		473,453,954
LOSS ADJUSTMENT EXPENSES		102,992,272
COMMISSIONS		(3,694,098)
TAXES, LICENSES AND FEES		21,792,970
OTHER EXPENSES		4,392,666
FUNDS HELD UNDER REINSURANCE TREATIES		137,581,691
CURRENT FEDERAL AND FOREIGN INCOME TAXES		0
REMITTANCES AND ITEMS NOT ALLOCATED'		2,156,187
AMOUNTS WITHHELD/RETAINED BY COMPANY FOR OTHERS		7,834,879
PAYABLE TO PARENT, SUBSIDIARIES & AFFILIATES		3,629,736
RETROACTIVE REINSURANCE RESERVE		0
POLICYHOLDER DIVIDENDS		7,559
PROVISIONS FOR REINSURANCE		.15,419,003
CEDED REINSURANCE NET PREMIUMS PAYABLE		31, 194, 487
OTHER ACCRUED EXPENSES AND LIABILITIES		7,462,239
TOTAL LIABILITIES	,\$	956,764,631
CAPITAL STOCK		4,500,000
PAID IN SURPLUS		183.407:124
SPECIAL SURPLUS FUNDS - SSAP10R		0
OTHER SURPLUS		192,613,515
TOTAL SURPLUS TO POLICYHOLDERS	\$:	
TOTAL LIABILITIES & SURPLUS	\$1.	1,337,285,270
	-	and the second

Janice W. Zwinggi, being duly sworn, says that she is Vice President, CFO & Treasurer of Argonaut Insurance Company and that to the best of her knowledge and belief, the foregoing statement is a true and correct statement of the financial condition of said Company as of the 31st of December, 2012.

Subscribed and sworn to before me this 12 ... day of Masa 2013

Ali 1 n

Notary Public

Janice W. Zwinggi, Vice President, CFO & Ireasurer

MICHELE HENSLEE Notary Public, State of Texas My Commission Expires June 27, 2016

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