

# BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Premiere Marketing LLC, d/b/a Premiere Energy Auctions, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

## 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Premiere Marketing LLC dba Premiere Energy Auctions  
75 Lane Road, Suite 203, Fairfield, NJ 07004  
[www.myenergyauction.com](http://www.myenergyauction.com)  
862 210-8770

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Registered Agents, Inc.  
1150 First Ave, Ste 511  
King of Prussia, PA 19406  
Montgomery County

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Paul Shagawat, Managing Partner  
75 Lane Road, Suite 203, Fairfield, NJ 07004  
Phone – (862) 210-8770  
Fax – (877) 335-7306  
[pauls@myenergyauction.com](mailto:pauls@myenergyauction.com)

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- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

We are not using an attorney.

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGG.

Paul Shagawat, Managing Partner  
75 Lane Road, Suite 203, Fairfield, NJ 07004  
Phone – 862 210-8770  
Fax – 877 335-7306  
[pauls@myenergyauction.com](mailto:pauls@myenergyauction.com)

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

**OR**

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**OR**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**OR**

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. **See attachment A.**

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. **See attachment B (1&2).**

- Give name and address of officers.

**Paul Shagawat, Managing Partner**  
**62 Lotz Hill Road, Clifton, NJ 07013**

**Dustin Scarpa, Managing Partner**  
**100 Paterson Plank Road, #510, Jersey City, NJ 07307**

### 3. **AFFILIATES AND PREDECESSORS**

*(both in state and out of state)*

a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

**WE DO NOT HAVE ANY AFFILIATES CURRENTLY APPLYING TO DO BUSINESS IN PENNSYLVANIA**

b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

**WE DO NOT HAVE ANY PREDECESSORS THAT HAVE DONE BUSINESS IN PA.**

#### 4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- Other; Identify the nature of service being rendered.

or

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple):*

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- X Broker/Marketer engaged in the business of supplying electricity services**
- Electric Cooperative and supplier of electric power
- Other (Describe):

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

**Premiere Energy Auctions acts as an intermediary in the sale and purchase of electric energy but does not take title. Others services include but are not limited to: Market Research, Risk Management , Procurement, Sustainability.**

d. **PROPOSED SERVICE AREA:** Provide a list of each Electric Distribution Company for which the Applicant proposes to provide service.  
**Allegheny Power, PECO, Duquesne Light, PPL, MetEd, Penelec, PennPower, UGI, Citizens Electric, Pike County Light and Power, Wellsboro Electric**

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Large Commercial Customers - (Over 25 kW) Industrial Customers
- Governmental Customers
- X All of above**
- Other (Describe):

f. **PROPOSED MARKETING METHOD** (*check all that apply*)

- X - Internal – Applicant will use its own internal resources/employees for marketing**
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

g. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- X- No**

If yes, will the Applicant be using a Third Party Verification procedure?

- Yes
- No

If yes, describe the Applicant's Third Party Verification procedures.

h. **START DATE:** Provide the approximate date the Applicant proposes to begin services within the Commonwealth.

**AUGUST 2014**

## 5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

**NO APPLICANT, AFFILIATE, PREDECESSOR, OR PERSON IDENTIFIED ON THIS APPLICATION HAS BEEN OR IS CURRENTLY THE DEFENDANT OF A CRIMINAL OR CIVIL PROCEEDING WITHIN THE LAST 5 YEARS.**

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

**WE DO NOT HAVE ANY COMPLAINTS**

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

## 6. PROOF OF SERVICE

*(Example Certificate of Service is attached at Appendix C)*

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of the Small Business Advocate  
Commerce Building, Suite 1102  
300 North Second Street  
Harrisburg, PA 17101

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

- b.) **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

**Allegheny Power:**  
Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

**PECO:**  
Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

**Duquesne Light:**  
Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

**PPL:**  
Legal Department  
Attn: Paul Russell  
PPL  
Two North Ninth Street  
Allentown, PA 18108-1179

**Met-Ed, Penelec, and Penn Power:**  
Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

**UGI:**  
UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

**Citizens' Electric Company:**  
Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

**Pike County Light & Power Company:**  
Director of Customer Energy Services  
Orange and Rockland Company  
390 West Route 59  
Spring Valley, NY 10977-5300

**Wellsboro Electric Company:**  
Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

## 7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

Furnishing the **original** (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.

Furnishing the **original** (along with copies) of another initial security for Commission approval, to ensure financial responsibility.

**X** **Filing for a modification to the \$250,000 requirement and furnishing the original (along with copies) of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000.** Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

- *At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.*

- *Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.*

- *Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.*

b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.

- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)

Applicant's accounting statements, including balance sheet and income statements for the past two years. **See Attachment C.**

- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports. **See Attachment D.**

- Audited financial statements exhibiting accounts over a minimum two year period. **See Attachment C.**

- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness. **See Attachment C.**

c. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.



Paul Shagawat, Managing Partner  
75 Lane Road, Suite 203, Fairfield, NJ 07004  
Fax – 877 335-7306  
Phone – 862 – 210 - 8770  
[pauls@myenergyauction.com](mailto:pauls@myenergyauction.com)

- d. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.  
**Completed in Appendix F as instructed.**

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

## **8. TECHNICAL FITNESS:**

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a.) **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.  
Experience with overseeing a team of 6 analysts for a leading institutional energy brokerage, managing pricing procurement for all North American Energy RFP's in a high intensity, high volume, fast-paced environment  
Managed over 70 Supplier relationships in North America over a 6 year period  
Executed over 2,000 contracts in deregulated energy markets annually  
Experience in building of all RFP formats, reports, and proposal templates  
Analyze customer usage data, generate over 3,000 RFP's per year, build and populate all reports and proposals used as sales materials in front of customers  
360 degree service to customers, including energy procurement, green energy procurement, demand response, energy bill auditing, utility data management, market monitoring, market transparency, independent and unbiased cost-effective solutions  
Other duties include: contract negotiation, price negotiation, maintaining and building supplier relationships, problem solving, contract execution, and identifying market trends  
Experience includes working with organizations of all types, including: government, distribution, printing, automotive, restaurants, food & beverage, entertainment, hospitality, manufacturing, metals, property management, education, telecom, churches, medical, insurance, and financial services
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.  
**NJ License #PA-0153 See Attachment E.**
- Type of customers and number of customers Applicant currently serves in other jurisdictions.  
**Commercial, Industrial, Residential, Government and Municipal Entities**
- Staffing structure and numbers as well as employee training commitments.  
**We have two divisions, Sales and Operations. Sales consists of 10 account executives with 3 salaried employees in Operations.**

- Business plans for operations within the Commonwealth.  
**Assist commercial and industrial customers with their purchase, negotiation, and timing of energy supply contracts.**
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.

**NOT APPLICABLE**

- Any other information appropriate to ensure the technical capabilities of the Applicant.

**b.) OFFICERS:** Identify Applicant's chief officers including names and their professional resumes.

Paul Shagawat and Dustin Scarpa  
Managing Partners

Professional resumes attached See Attachments F&G

↓  
FL1)

**c.) FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number \_\_\_\_\_.
- X Not applicable

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## 9. DISCLOSURE STATEMENT:

**Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

*Not applicable for an applicant applying for a license exclusively as a broker/marketer.*

## 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

*(Select only one of the following)*

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- X ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43.

X      AGREED

c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201–54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis:
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

X      AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

X      AGREED

e. **ASSESSMENT:** The Commission does not presently assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see *PPL Energyplus, LLC v. Commonwealth*, 800 A.2d 360 (Pa. Cmwlth. 2002).

X      ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

X      AGREED

**g. FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

X      AGREED

**h. NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

X      AGREED

**i. CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

X      AGREED

**j. Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at appendix J.

X      AGREED

**k. FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

X      PAYMENT ENCLOSED

## 11. AFFIDAVITS

**a.) APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A. **See attachment H.**

**b.) OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B. **See attachment I.**

## 12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	<u>Duquesne</u>	<u>Met Ed</u>	<u>PECO</u>	<u>Penelec</u>	<u>Penn Power</u>	<u>PPL</u>	<u>UGI</u>	<u>West Penn</u>	<u>Entire Commonwealth</u>
Philadelphia Daily News		X	X			X			X
Harrisburg Patriot-News		X		X		X		X	X
Scranton Times Tribune		X		X		X	X		X
Williamsport Sun Gazette				X		X		X	X
Johnstown Tribune Democrat				X				X	X
Erie Times-News				X	X				X
Pittsburgh Post-Gazette	X				X			X	X

(Example Publication is provided at Appendix H)

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## 13. SIGNATURE

Applicant: Premiere Marketing LLC dba Premiere Energy Auctions

X By: 

Title: Managing Partner

## 14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

**Applicant:** Premiere Marketing LLC dba Premiere Energy Auctions

	<b>Signature</b>	
	<b>Filing Fee</b>	
	<b>Application Affidavit</b>	
	<b>Operations Affidavit</b>	
	<b>Proof of Publication</b>	
	<b>Bond or Letter of Credit</b>	
	<b>Tax Certification Statement</b>	
	<b>Commonwealth Department of State Verification</b>	
	<b>Certificate of Service</b>	

Applicant's Use

PUC Secretary's Bureau Use

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of New Jersey :

:Fairfield ss.

County of Essex :

Paul Shagawat, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Managing Partner (Office of Affiant) of Premiere Marketing LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Premiere Marketing LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Premiere Marketing LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Premiere Marketing LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Premiere Marketing LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Paul Shagawat  
Signature of Affiant

Sworn and subscribed before me this 18<sup>th</sup> day of April, 2014.

Karen Pavei  
Signature of official administering oath

My commission expires 5/4/19.

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Appendix B

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OPERATIONS AFFIDAVIT

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[Commonwealth/State] of New Jersey

:Fairfield ss.

County of Essex

Paul Shagawat, Affiant, being duly [sworn/affirmed] according to law,  
deposes and says that:

[He/she is the Managing Partner (Office of Affiant) of Premiere Marketing LLC  
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Premiere Marketing LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Premiere Marketing LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Premiere Marketing LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2 ), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

That Premiere Marketing LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

ⓧ Paul Sheehan  
Signature of Affiant

Sworn and subscribed before me this 18<sup>th</sup> day of April, 2014.

Karen Pauci  
Signature of official administering oath

My commission expires 5/4/19

**Appendix C**

**Example  
CERTIFICATE OF SERVICE**

On this the 2nd day of June, 2014, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Small Business Advocate  
Commerce Building, Suite 1102  
300 North Second Street  
Harrisburg, PA 17101

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Legal Department  
Attn: Paul Russell  
PPL  
Two North Ninth Street  
Allentown, PA 18108-1179

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

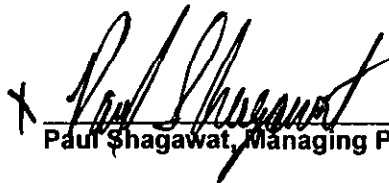
Director of Customer Energy Services  
Orange and Rockland Company  
390 West Route 59  
Spring Valley, NY 10977-5300

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

RECEIVED

JUL 26 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



Paul Shagawat, Managing Partner

**Appendix D  
NOT APPLICABLE**

**Electric Generation Supplier License Bonds**

Page 1 of 2

Bonds submitted in order to satisfy the licensing process must comply with the following:

The bond in the amount of \$250,000 should name the Pennsylvania Public Utility Commission as the obligee or beneficiary, and should contain the following language:

*This bond is written in accordance with Section 2809(c)(1)(i) of the Public Utility Code, 66 Pa. C.S. § 2809(c)(1)(i), to assure compliance with applicable provisions of the Public Utility Code, 66 Pa. C.S. §§101, et seq., and the rules and regulations of the Pennsylvania Public Utility Commission by the Principle as a licensed electric generation supplier; to ensure the payment of Gross Receipts Tax as required by Section 2810 of the Public Utility Code, 66 Pa. C.S. § 2810; and to ensure the supply of electricity at retail in accordance with contracts, agreements or arrangements. Payment of claims shall have the following priority: (i) The Commonwealth; (ii) Electric Distribution Companies for the reimbursement of Gross Receipts Tax; and (iii) Private individuals. Proceeds of the bond may not be used to pay any penalties or fines levied against the Principal for violations of the law, or for payment of any other tax obligations owed to the Commonwealth.*

The original and two copies of the bond must be submitted to the Office of the Secretary, Pa. Public Utility Commission, P.O. Box 3265, Harrisburg, PA 17105-3265. The entry date of the Commission's Order will be the effective date for the license.

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

HARTFORD, CONNECTICUT 06183

FINANCIAL STATEMENT AS OF DECEMBER 31, 2010

CAPITAL STOCK \$ 6,480,000

ASSETS		LIABILITIES & SURPLUS	
CASH AND INVESTED CASH	\$ 40,788,178	UNEARNED PREMIUMS	\$ 813,054,297
BONDS	3,817,487,280	LOSSES	920,220,402
INVESTMENT INCOME DUE AND ACCRUED	53,909,217	REINSURANCE PAYABLE ON PAID LOSSES / LOSS ADJ. EXPENSES	2,526,742
PREMIUM BALANCES	179,028,702	LOSS ADJUSTMENT EXPENSES	477,495,945
NET DEFERRED TAX ASSET	67,783,379	COMMISSIONS	31,967,828
REINSURANCE RECOVERABLE	28,990,685	TAXES, LICENSES AND FEES	53,079,669
RECEIVABLES FROM PARENT, SUBSIDIARIES AND AFFILIATES	34,025,860	OTHER EXPENSES	34,523,822
SECURITIES LENDING REINVESTED COLLATERAL ASSETS	11,844,000	FUNDS HELD UNDER REINSURANCE TREATIES	96,187,983
UNDISTRIBUTED PAYMENTS	4,507,656	CURRENT FEDERAL AND FOREIGN INCOME TAXES	3,320,537
OTHER ASSETS	613,768	REMITTANCES AND ITEMS NOT ALLOCATED	9,428,732
		AMOUNTS WITHHELD / RETAINED BY COMPANY FOR OTHERS	25,591,395
		RETROACTIVE REINSURANCE RESERVE ASSUMED	3,289,979
		POLICYHOLDER DIVIDENDS	7,478,605
		PROVISION FOR REINSURANCE	5,357,827
		PAYABLE FOR SECURITIES LENDING	11,844,000
		CEDED REINSURANCE NET PREMIUMS PAYABLE	(80,388,527)
		OTHER ACCRUED EXPENSES AND LIABILITIES	1,053,875
		TOTAL LIABILITIES	\$ 2,436,034,011
		CAPITAL STOCK	\$ 6,480,000
		PAID IN SURPLUS	433,803,760
		OTHER SURPLUS	1,361,940,752
		TOTAL SURPLUS TO POLICYHOLDERS	\$ 1,802,224,512
<b>TOTAL ASSETS</b>	<b>\$ 4,238,258,523</b>	<b>TOTAL LIABILITIES &amp; SURPLUS</b>	<b>\$ 4,238,258,523</b>

STATE OF CONNECTICUT )  
 COUNTY OF HARTFORD ) SS.  
 CITY OF HARTFORD )

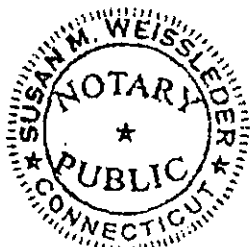
MICHAEL J. DOODY, BEING DULY SWORN, SAYS THAT HE IS SECOND VICE PRESIDENT, OF TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA, AND THAT TO THE BEST OF HIS KNOWLEDGE AND BELIEF, THE FOREGOING IS A TRUE AND CORRECT STATEMENT OF THE FINANCIAL CONDITION OF SAID COMPANY AS OF THE 31ST DAY OF DECEMBER, 2010.

*Michael J. Doody*  
 SECOND VICE PRESIDENT

*Susan M. Weissleder*  
 NOTARY PUBLIC

SUSAN M. WEISSLEDER  
 Notary Public  
 My Commission Expires November 30, 2012

SUBSCRIBED AND SWORN TO BEFORE ME THIS  
 15TH DAY OF APRIL, 2011



**Appendix E**  
**Example Letter of Credit**  
**SEE ATTACHMENT L**

<b>ISSUING BANK:</b> XYZ Bank address	<b>IRREVOCABLE          STANDBY          LETTER OF CREDIT</b>	<b>NUMBER</b>
<b>PLACE AND DATE OF ISSUE:</b> Location Date	<b>PLACE AND DATE OF EXPIRY:</b> Expiration Date AT OUR COUNTERS	
<b>APPLICANT:</b>	<b>BENEFICIARY:</b> PENNSYLVANIA PUBLIC UTILITY COMMISSION P.O. BOX 3265. HARRISBURG, PA 17105-3265	
	<b>AMOUNT: USD \$ _____</b> UP TO AN AGGREGATE THEREOF	
<b>PARTIAL DRAWINGS PERMITTED</b>	<b>CREDIT AVAILABLE WITH:</b> XYZ Bank Division Address  <b>BY: PAYMENT</b> AGAINST PRESENTATION OF DOCUMENTS DETAILED HEREIN AND DRAFTS AT SIGHT  <b>DRAWN ON:</b> XYZ Bank Location	

LADIES/GENTLEMEN,

WE HEREBY ISSUE OUR IRREVOCABLE LETTER OF CREDIT NO. \_\_\_\_\_ IN YOUR FAVOR FOR THE ACCOUNT \_\_\_\_\_ FOR AN AGGREGATE AMOUNT UP TO USD \_\_\_\_\_ (\_\_\_\_\_ US DOLLARS). THIS LETTER OF CREDIT WILL EXPIRE ON \_\_\_\_\_.

THIS LETTER OF CREDIT IS WRITTEN IN ACCORDANCE WITH SECTION 2809 (C)(1)(I) OF THE PUBLIC UTILITY CODE, 66 PA. C.S. SECTION 2809 (C)(1)(I), AND WE ARE ADVISED THAT IT WILL ASSURE COMPLIANCE WITH THE APPLICABLE PROVISIONS OF THE PUBLIC UTILITY CODE, 66 PA. C.S. SECTION 101, ET SEQ, AND THE RULES AND REGULATIONS OF THE PENNSYLVANIA PUBLIC UTILITY COMMISSION BY \_\_\_\_\_, AS A LICENSED ELECTRIC GENERATION SUPPLIER; TO ENSURE THE PAYMENT OF GROSS RECEIPTS TAX AS REQUIRED BY SECTION 2810 OF THE PUBLIC UTILITY CODE, 66 PA. C.S. SECTION 2810 AND TO ENSURE THE SUPPLY OF ELECTRICITY AT RETAIL IN ACCORDANCE WITH CONTRACTS, AGREEMENTS OR ARRANGEMENTS.

WE ARE ADVISED THAT; 1. PAYMENTS MADE PURSUANT TO THIS LETTER OF CREDIT SHALL ENSURE FIRST TO THE BENEFIT OF THE COMMONWEALTH, AND SECOND, TO ANY AND ALL RETAIL ELECTRIC GENERATION CUSTOMERS TO WHOM \_\_\_\_\_ MAY BE HELD LEGALLY LIABLE FOR FAILURE TO SUPPLY ELECTRIC GENERATION PURSUANT TO CONTRACT, AGREEMENTS OR ARRANGEMENTS. 2. ANY CLAIMS MADE BY THE COMMONWEALTH SHALL HAVE PRIORITY OVER CLAIMS MADE BY PRIVATE INDIVIDUALS. 3. PROCEEDS OF THE LETTER OF CREDIT MAY NOT BE USED TO PAY PENALTIES OR FINES LEVIED AGAINST \_\_\_\_\_ FOR VIOLATIONS OF THE LAW, OR FOR PAYMENT OF ANY OTHER OBLIGATIONS OWED TO THE COMMONWEALTH.

Appendix E (Continued)

N/A

THE SAID AMOUNT IS AVAILABLE BY DRAFTS ON US BY THE PENNSYLVANIA PUBLIC UTILITY COMMISSION ("PUC") AT SIGHT ACCOMPANIED BY THE PUC'S LETTER REQUESTING PAYMENT UNDER THIS CREDIT AND PURPORTEDLY SIGNED BY AN OFFICER OF THE PUC. SUCH LETTER FROM THE PUC SHALL INCLUDE A STATEMENT OF THE AMOUNT OWED THE PUC AND THAT "SUCH AMOUNT IS DUE TO THE PUC PURSUANT TO THE PUBLIC UTILITY CODE, GROSS RECEIPTS TAX OR ELECTRICITY SUPPLY OBLIGATIONS OF \_\_\_\_\_ LICENSE TO OFFER, RENDER, FURNISH OR SUPPLY ELECTRICITY OR ELECTRIC GENERATION SERVICES TO THE PUBLIC IN THE COMMONWEALTH OF PENNSYLVANIA; AND, THAT \_\_\_\_\_ FAILED TO PAY THAT AMOUNT."

PARTIAL DRAWINGS ARE PERMITTED UNDER THIS CREDIT.

THIS LETTER OF CREDIT EXPIRES AT THIS OFFICE AT OUR CLOSE OF BUSINESS ON \_\_\_\_\_.

IT IS A CONDITION OF THIS LETTER OF CREDIT THAT IT WILL BE AUTOMATICALLY EXTENDED FOR PERIODS OF ONE YEAR EACH FROM THE PRESENT OR ANY FUTURE EXPIRY DATE, UNLESS WE NOTIFY YOU IN WRITING AT LEAST 90 DAYS PRIOR TO SUCH EXPIRY DATE THAT WE ELECT NOT TO FURTHER EXTEND THIS LETTER OF CREDIT UPON RECEIPT OF SUCH NOTICE, YOU MAY DRAW ON THIS LETTER OF CREDIT, UP TO THE UNUSED BALANCE, BY PRESENTATION OF YOUR SIGHT DRAFT DRAWN ON \_\_\_\_\_ Bank, \_\_\_\_\_ Location, ON OR BEFORE THE THEN RELEVANT EXPIRY DATE.

EACH DRAFT MUST BE MARKED "DRAWN UNDER ON \_\_\_\_\_ Bank, \_\_\_\_\_ Location, LETTER OF CREDIT NUMBER \_\_\_\_\_."

EXCEPT SO FAR AS OTHERWISE EXPRESSLY STATED HEREIN, THIS LETTER OF CREDIT IS SUBJECT TO THE "UNIFORM CUSTOMS AND PRACTICE FOR DOCUMENTARY CREDITS (1993 REVISION), INTERNATIONAL CHAMBER OF COMMERCE PUBLICATION NO. 500".

WE HEREBY AGREE WITH YOU THAT YOUR DRAFTS DRAWN HEREUNDER AND IN COMPLIANCE WITH THE TERMS OF THIS LETTER OF CREDIT WILL BE DULY HONORED IF PRESENTED TO US AT OUR ADDRESS SHOWN ABOVE BEFORE OUR CLOSE OF BUSINESS ON THE ABOVE MENTIONED DATE.

\_\_\_\_\_  
AUTHORIZED SIGNATURE

\_\_\_\_\_  
AUTHORIZED SIGNATURE

**Sample Disclosure Statement Format  
for Electric Generation Suppliers  
NOT APPLICABLE**

This is an agreement for electric generation service, between EGS name and customer's name and full address.

**Background**

- We at EGS's name are licensed by the Pennsylvania Public Utility Commission to offer and supply electric generation services in Pennsylvania. Our PUC license number is A-XXXX-XXXXXXX.
- We set the generation prices and charges that you pay. The Public Utility Commission regulates distribution prices and services. The Federal Energy Regulatory Commission regulates transmission prices and services.
- Include one of the two following options:
  - 1) You will receive a single bill from billing agent name that will contain distribution utility name charges and EGS's name charges.
  - 2) You will receive a separate bill from EGS Name for generation charges and a separate bill from distribution utility name for its charges.
- *Right of Rescission - You may cancel this agreement at any time before midnight of the third business day after receiving this disclosure.*

**Definitions**

- Generation Charge - Charge for production of electricity.
- Transmission Charge - Charge for moving high voltage electricity from a generation facility to the distribution lines of an electric distribution company.
- Nonbasic Charges - *Define each nonbasic service being offered.*

**Terms of Service**

**1. (a) Basic Service Prices** - *Itemize Basic Services you are billing for and their prices.*

You will pay EGS rate per kWh for electric generation service.  
*Suppliers are to include any variable pricing conditions and limits, if charging a variable rate.*

*(Note to EGS applicants: this statement should include transmission charges if applicable. Contact the electric*



**Appendix G (Continued)**

N/A

- 3. **Special Terms and Conditions** - *List and explain all that apply.*  
Sign-up bonuses Add-  
ons  
Limited time offers  
Other Sales Promotions  
Exclusions
  
- 4. **Special Services** - *Provide explanation of price, terms and conditions, including advanced metering deployment, if applicable.*
  
- 5. **Penalties, Fees and Exceptions** - *List any that apply including a late payment charge. The print size for this section must be larger than the print in the rest of the agreement.*
  
- 6. **Cancellation Provisions** - *This category may consist of both customer initiated cancellation provisions and supplier initiated cancellation provisions.*
  
- 7. **Renewal Provision/Agreement Expiration/Change in Terms**

If you have a fixed term agreement with us and it is approaching the expiration date or if we propose to change our terms of service, we will send you two advance written notices either in our bills or in separate mailings between 45 and 90 days before either the expiration date or the effective date of the changes. We will explain your options in these two advance notices.

**8. Dispute Procedures**

Contact us with any questions concerning our terms of service. You may call the PUC if you are not satisfied after discussing your terms with us.

**9. Contact Information**

Generation Supplier Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Phone Number: \_\_\_\_\_

Internet Address: \_\_\_\_\_

Electric Distribution Company Name: \_\_\_\_\_

Appendix G (Continued)

N/A

Provider of Last Resort Name:

\_\_\_\_\_

Address:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Phone Number:

\_\_\_\_\_

Public Utility Commission (PUC)

Address:

P.O. Box 3265 Harrisburg, PA 17105-3265

Choice Hotline Number:

1-800-692-7380

Universal Service Program Name:

\_\_\_\_\_

Phone Number:

\_\_\_\_\_

## Appendix H

### EXAMPLE FORM OF NOTICE PUBLICATION

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

*Application of **Premiere Marketing LLC** (d/b/a "**Premiere Energy Auctions**") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.*

**Premiere Marketing LLC** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. **Premiere Marketing LLC** proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Premiere Marketing LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Premiere Marketing LLC** attorney at the address listed below.

By and through Counsel: Ryan C. Scarpa, Esq.

**Premiere Marketing LLC**

75 Lane Road, Suite 203, Fairfield, NJ 07004

Phone: 862 210-8770

Fax: 877 335-7306

## Appendix I

### Electronic Data Interchange and Internet Requirements

Prior to doing business in an EDC service territory, the EGS must meet the Electronic Data Interchange (EDI) certification requirements of the EDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the EGS is encouraged to contact the EDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. EDC requirements of new suppliers may be found on the respective EDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at [http://www.puc.pa.gov/filing\\_resources/issues\\_laws\\_regulations/electronic\\_data\\_exchange.aspx](http://www.puc.pa.gov/filing_resources/issues_laws_regulations/electronic_data_exchange.aspx). The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

To keep current with Pennsylvania EDI practices and policies, a newly licensed EGS is strongly encouraged to participate in the EDEWG by contacting the following:

#### PA EDEWG EDI Contacts

Entity Name	Contact Name	Telephone	Email	Preference
PA PUC	Matthew Wurst	717-787-5553	<a href="mailto:mwurst@pa.gov">mwurst@pa.gov</a>	None
PA EDEWG LDC Co-Chair	Sue Scheetz	610-774-3616	<a href="mailto:smscheetz@pplweb.com">smscheetz@pplweb.com</a>	Email
PA EDEWG ESP Co-Chair	Matthew Sigg	713-236-4311	<a href="mailto:Matt.Sigg@Constellation.com">Matt.Sigg@Constellation.com</a>	Email
PA EDEWG Secretary & Regional EDI Change Control Manager	Brandon Siegel	412-817-8004	<a href="mailto:bsiegel@ista-na.com">bsiegel@ista-na.com</a>	Email

#### PA EDC EDI Contacts

Company Name	Contact Name	Telephone	Email	Preference
Duquesne Light Co	Supplier Service Center	412-393-6282	<a href="mailto:DLC_SSC@duqlight.com">DLC_SSC@duqlight.com</a>	Email
FirstEnergy - Metropolitan Edison Co, Pennsylvania Electric, Penn Power, JCP&L, WPP & Potomac Edison	Supplier Support	330-761-4348	<a href="mailto:SupplierSupport@firstenergycorp.com">SupplierSupport@firstenergycorp.com</a>	Email
PECO	Electric & Gas Choice Hotline	215-841-3700	<a href="mailto:egc@exeloncorp.com">egc@exeloncorp.com</a>	Email
PPL Electric Utilities	Donna M. Hirst	610-774-6349	<a href="mailto:dmhirst@pplweb.com">dmhirst@pplweb.com</a>	None
	Susan Scheetz	610-774-3616	<a href="mailto:smscheetz@pplweb.com">smscheetz@pplweb.com</a>	Email
	Supplier Support	610-774-6396	<a href="mailto:PPLUtilitiesSupplier@pplweb.com">PPLUtilitiesSupplier@pplweb.com</a>	Email
	EDI Team	610-774-5757	<a href="mailto:EDIUtilAdm@pplweb.com">EDIUtilAdm@pplweb.com</a>	Email
UGI Utilities Inc.	EDI Technical Support	610-736-5471	<a href="mailto:edi@ugi.com">edi@ugi.com</a>	Email

Attachment A

Entity #: 4282538  
Date Filed: 07/10/2014  
Carol Aichele  
Secretary of the Commonwealth

PENNSYLVANIA DEPARTMENT OF STATE  
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

RECEIVED

Application for Registration of Fictitious Name

54 Pa.C.S. § 311

JUL 26 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Name <b>Premiere Energy Auctions</b>		
Address <b>75 Lane Road, Suite 203</b>		
City <b>Fairfield, NJ</b>	State <b>07004</b>	Zip Code

Document will be returned to the name and address you enter to the left.

Commonwealth of Pennsylvania  
FICTITIOUS NAME 2 Page(s)



Fee: \$70

In compliance with the requirements of 54 Pa.C.S. § 311 (relating to registration), the undersigned entity(ies) desiring to register a fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby state(s) that:

1. The fictitious name is:  
**Premiere Energy Auctions**

2. A brief statement of the character or nature of the business or other activity to be carried on under or through the fictitious name is:  
**Energy Broker**

3. The address, including number and street, if any, of the principal place of business (P.O. Box alone is not acceptable):  
**75 Lane Road, Suite 203, Fairfield, NJ 07004 Essex County**

Number and street	City	State	Zip	County
-------------------	------	-------	-----	--------

4. The name and address, including number and street, if any, of each individual interested in the business is:

Name	Number and Street	City	State	Zip
Paul Shagawat	62 Lotz Hill Road	Clifton	NJ	07013
Dustin Scarpa	100 Paterson Plank Road, #510	Jersey City	NJ	07307

PA DEPT. OF STATE  
JUL 14 2014

5. Each entity, other than an individual, interested in such business is (are):

Premiere Marketing LLC	Limited Liability Company	New Jersey
Name	Form of Organization	Organizing Jurisdiction

75 Lane Road, Suite 203, Fairfield, NJ 07004

Principal Office Address

PA Registered Office, if any

Registered Agents, Inc.	Registered Agent	Pennsylvania
Name	Form of Organization	Organizing Jurisdiction

1150 First Avenue, Suite 511, King of Prussia, PA

Principal Office Address

PA Registered Office, if any

6. The applicant is familiar with the provisions of 54 Pa.C.S. § 332 (relating to effect of registration) and understands that filing under the Fictitious Names Act does not create any exclusive or other right in the fictitious name.

7. Optional): The name(s) of the agent(s), if any, any one of whom is authorized to execute amendments to, withdrawals from or cancellation of this registration in behalf of all then existing parties to the registration, is (are):

IN TESTIMONY WHEREOF, the undersigned have caused this Application for Registration of Fictitious Name to be executed this

4th day of JUNE, 2014.

x Dustin Scuzza  
Individual Signature

x Paul Shrawwat  
Individual Signature

Individual Signature

Individual Signature

Premiere Marketing LLC dba  
Premiere Energy Auctions  
Entity Name

Premiere Marketing LLC dba  
Premiere Energy Auctions  
Entity Name

Dustin Scuzza  
Signature

Paul Shrawwat  
Signature

Managing Partner  
Title

Managing Partner  
Title

Attachment A(1)

Entity #: 4238331  
Date Filed: 12/10/2013  
Carol Aichele  
Secretary of the Commonwealth

RECEIVED  
JAN 2 2013  
By \_\_\_\_\_

PENNSYLVANIA DEPARTMENT OF STATE  
CORPORATION BUREAU

Application for Registration - Foreign  
(15 Pa.C.S.)

- Registered Limited Liability General Partnership (§ 8211)
- Registered Limited Liability Limited Partnership (§ 8211)
- Limited Partnership (§ 8582)
- Limited Liability Company (§ 8981)

Name <b>Premiere Marketing LLC</b>		
Address <b>75 Lane Rd, Ste 203</b>		
City <b>Fairfield</b>	State <b>NJ</b>	Zip Code <b>07004</b>

Commonwealth of Pennsylvania  
APPLICATION FOR REGISTRATION 3 Page(s)



Fee: \$250

In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in this Commonwealth, hereby states that:

1. The name to be registered is:  
**Premiere Marketing LLC**

2. (If the name set forth in paragraph 1 is not available for use in this Commonwealth, complete the following):  
The name under which the limited liability company/limited liability partnership/limited partnership proposes to register and do business in this Commonwealth is:  
**Premiere Marketing LLC**

3. The name of the jurisdiction under the laws of which it was organized and the date of its formation:  
Jurisdiction: **New Jersey** Date of Formation: **08/11/2009**

4. The (a) address of its initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street	City	State	Zip	County
(b) Name of Commercial Registered Office Provider <b>Registered Agents Inc</b>				County <b>Montgomery</b>

Dept. of State  
DEC 10 2013

2014 JAN -8 PM 2: 53  
PA DEPT OF STATE

RECEIVED  
JAN 2 2013  
By \_\_\_\_\_

5. Check and complete one of the following:

The address of the office required to be maintained by it in the jurisdiction of its organization by the laws of that jurisdiction is:

Number and street                      City                      State                      Zip

It is not required by the laws of its jurisdiction of organization to maintain an office therein and the address of its principal office is:

75 Lane Road, Ste 203                      Fairfield                      NJ                      07004  
Number and street                      City                      State                      Zip

~~6. For Restricted Professional Limited Liability Company Only. State only if inapplicable. The company is a restricted professional company organized to render the following professional service(s):~~

Limited Liability Partnership and Limited Partnership: Complete paragraphs 7 and 8

7. The name and business address of each general partner.

Name                      Business Address

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

8. The address of the office at which is kept a list of the names and addresses of the limited partners and their capital contribution is:

Number and street                      City                      State                      Zip                      County

The registered partnership hereby undertakes to keep those records until its registration to do business in the Commonwealth is canceled or withdrawn.

IN TESTIMONY WHEREOF, the undersigned has caused this Application for Registration to be signed by a duly authorized officer/member or manager thereof this

5<sup>th</sup> day of Dec 2013.

Premiere Marketing LLC  
Name of Partnership/Company

Paul Shayswat  
Signature

Managing Partner  
Title





BUREAU OF CORPORATION TAXES  
PO BOX 280705  
HARRISBURG PA 17128-0705

**NOTICE OF CORPORATE REGISTRATION**

**PREMIERE MARKETING LLC  
STE 203  
75 LANE RD  
FAIRFIELD NJ 07004-1000**

REVENUE ID:..... 1000746773  
FEIN:..... 270710325  
NOTICE NUMBER:..... BU1000424438  
MAIL DATE:..... 01/24/2014  
FISCAL YR END:..... 12/31  
CERT OF AUTHORITY DATE:..... 12/10/2013

**TAXES SUBJECT:**

- Corporate Net Income
- Loans
- Foreign Franchise

Welcome to Pennsylvania's business community. The Department of Revenue has been advised that you are authorized to conduct business in Pennsylvania. The above Revenue ID number has been assigned to your business for tax reporting purposes. Please reference this number on all correspondence with the department.

Carefully review your name, address and tax information above for accuracy. If no federal employer identification number (FEIN) is indicated, please provide this number to the department as soon as it is available from the federal government. Write the FEIN and other changes or additions in the top, right-hand corner above and return this letter to the PA DEPARTMENT OF REVENUE, PO BOX 280705, HARRISBURG PA 17128-0705.

**FILING REQUIREMENTS**

The taxes you are required to report annually are identified above. Tax reports must be filed timely, even if there is no business activity or if the first year in business is less than 12 months. You are obligated to pay timely and file tax returns until you formally dissolve your corporate charter, file an out of existence affidavit or cancel a license or authorization. Failure to file and pay timely may result in penalties and liens. For information on tax due dates, visit the department's website at [www.revenue.state.pa.us](http://www.revenue.state.pa.us).

Pay particular attention to the month your fiscal year ends, identified above, for the following reasons:

- For capital stock/foreign franchise, corporate net income and mutual thrift taxes, the first quarterly estimated payments are due within 75 days following the incorporation/authority date.
- A federal subchapter S corporation desiring not to be taxed as a PA S corporation is required to file Form REV-976 on or before the due date or extended due date of the first tax period for which it is to be in effect. REV-976 is available at [www.revenue.state.pa.us](http://www.revenue.state.pa.us).

**SUBJECTIVITY TO CORPORATE TAXES FOR LIMITED LIABILITY COMPANIES AND BUSINESS TRUSTS**

- According to Section 601 of the Tax Reform Code, limited liability companies and business trusts are considered corporations for purposes of capital stock/foreign franchise tax, regardless of how they file with the Internal Revenue Service (IRS).
- Under Section 401, any entity that files as a corporation with the IRS is subject to PA corporate net income tax. A limited liability company or business trust that does not file as a corporation with the IRS is not subject to the PA corporate net income tax.

**ELECTRONIC FILING**

Payments of \$1,000 or more must be remitted electronically. Register online through the department's e-Services Center at [www.revenue.state.pa.us](http://www.revenue.state.pa.us) to send tax payments to the department online using e-TIDES.

The Department of Revenue appreciates your cooperation and wishes your business success in Pennsylvania. If you have any questions, visit the Online Customer Service Center at [www.revenue.state.pa.us](http://www.revenue.state.pa.us) or call the Taxpayer Service & Information Center at 717-787-1064.



STATE OF NEW JERSEY  
DEPARTMENT OF THE TREASURY  
SHORT FORM STANDING

**PREMIERE MARKETING LLC**

400301313

With the Previous or Alternate Name

**PREMIERE ENERGY AUCTIONS (Alternate Name)**

I, the Treasurer of the State of New Jersey, do hereby certify that the above-named New Jersey Domestic Limited Liability Company was registered by this office on August 11, 2009.

As of the date of this certificate, said business continues as an active business in good standing in the State of New Jersey, and its Annual Reports are current.

I further certify the registered agent and registered office are:

Dustin Scarpa  
75 Lane Road Suite 203  
Fairfield, NJ 07004



IN TESTIMONY WHEREOF, I have  
hereunto set my hand and affixed  
my Official Seal at Trenton, this  
7th day of July, 2014

Andrew P Sidamon-Eristoff  
State Treasurer

Certificate Number: 132782744

Verify this certificate online at

[https://www1.state.nj.us/TYTR\\_StandingCert/JSP/Verify\\_Cert.jsp](https://www1.state.nj.us/TYTR_StandingCert/JSP/Verify_Cert.jsp)

NEW JERSEY DEPARTMENT OF TREASURY  
DIVISION OF REVENUE

CERTIFICATE OF FORMATION

PREMIERE MARKETING LLC

0400301313

The above-named DOMESTIC LIMITED LIABILITY COMPANY was duly filed in accordance with New Jersey state law on 08/11/2009 and was assigned identification number 0400301313. Following are the articles that constitute its original certificate.

1. Name:

PREMIERE MARKETING LLC

2. Registered Agent:

DUSTIN D. SCARPA

3. Registered Office:

67 GREENLAWN AVENUE  
CLIFTON, NJ 07013 3211

4. Business Purpose:

MARKETING

5. Members/Managers:

DUSTIN D. SCARPA  
67 GREENLAWN AVENUE  
CLIFTON, NJ 07013 3211

PAUL M. SHAGAWAT  
62 LOTZ HILL ROAD  
CLIFTON, NJ 07013

6. Main Business Address:

67 GREENLAWN AVENUE  
CLIFTON, NJ 07013 3211

Signatures:

DUSTIN D. SCARPA  
AUTHORIZED REPRESENTATIVE



IN TESTIMONY WHEREOF, I have  
hereunto set my hand and affixed my  
Official Seal at Trenton, this  
11th day of August, 2009

R. David Rousseau  
State Treasurer

Certification# 115049772

Verify this certificate at  
[https://www1.state.nj.us/TYTR\\_StandingCert/ISP/Verify\\_Cert.jsp](https://www1.state.nj.us/TYTR_StandingCert/ISP/Verify_Cert.jsp)

Mail to: PO Box 308  
Trenton, NJ 08646

STATE OF NEW JERSEY  
DIVISION OF REVENUE

Overnight to: 15 West State St.  
5th Floor  
Trenton, NJ 08608-1214

**FEE REQUIRED**

REGISTRATION OF ALTERNATE NAME

C-150G

Complete the following applicable information, and sign in the space provided. Please note that once filed, the information contained in the filed form is considered public. Refer to the instructions on page 26 for filing fees and field-by-field requirements. Remember to remit the appropriate fee amount. Use attachments if more space is required for any field.

Check Appropriate Statute:

- Title 14A:2-2.1 (2) New Jersey Business Corporation Act
- Title 42:2B-4 Limited Liability Company
- Title 15A:2-2-3 (b) New Jersey Nonprofit Corporation Act
- Title 42:2A-6 Limited Partnership

Pursuant to the provisions of the appropriate statute, checked above, of the New Jersey Statutes, the undersigned corporation/business entity hereby applies for the registration of an Alternate Name in New Jersey for a period of five (5) years, and for that purpose submits the following application:

1. Name of Corporation/Business: PREMIERE MARKETING LLC ANA
2. NJ 10-digit ID number: 0400301313
3. Set forth state of Original Incorporation/Formation: NEW JERSEY
4. Date of Incorporation/Formation: August 11, 2009
- Date of Authorization (Foreign): \_\_\_\_\_
5. Alternate Name to be used: PREMIERE ENERGY AUCTIONS 0400301313
6. State the purpose or activity to be conducted using the Alternate Name: CONSULTING MARKETING & SALES
7. The Business intends to use the Alternate Name in this State.
8. The Business has not previously used the Alternate Name in this State in violation of this Statute, or, if it has, the month and year in which it commenced such use is: \_\_\_\_\_

**FILED**  
APR 18 2011  
STATE TREASURER

Signature requirements:

For Corporations  
For Limited Partnerships  
For all Other Business Types

Chairman of the Board., President, Vice-President  
General Partner  
Authorized Representative

Dustin Scarpa  
SIGNATURE:

MANAGING MEMBER  
TITLE:

DUSTIN SCARPA  
NAME (please type):

4/5/11  
DATE:

THE PURPOSE OF THIS FORM IS TO SIMPLIFY THE FILING REQUIREMENTS. IT DOES NOT REPLACE THE NEED FOR COMPETENT LEGAL ADVICE.

**PREMIERE MARKETING LLC T/A PREMIERE ENERGY AUCTIONS**

**Profit & Loss**

January through December 2011

Cash Basis

	<u>Jan - Dec 11</u>	<u>% of Income</u>
Ordinary Income/Expense		
Income		
4000 - Income		
4010 - Income - Energy	163,349.98	88.2%
4020 - Income - Solar	21,760.00	11.8%
Total 4000 - Income	<u>185,109.98</u>	<u>100.0%</u>
Total Income	<u>185,109.98</u>	<u>100.0%</u>
Gross Profit	185,109.98	100.0%
Expense		
5500 - Guaranteed Payments - D S	62,037.15	33.5%
5510 - Guaranteed Payments - P S	33,962.85	18.3%
6000 - Outside Sales	52,558.51	28.4%
6080 - Outside Services	1,238.50	0.7%
6100 - Accounting Fees	727.00	0.4%
6120 - Rent Expense	25,000.00	13.5%
6130 - Telephone	633.76	0.3%
6160 - Stationery & Office Supplies	2,994.17	1.6%
6170 - Office Expense	1,217.18	0.7%
6210 - Advertising	901.69	0.5%
6230 - Auto Fuel & Repairs Expense	163.22	0.1%
6260 - Automobile Parking & Tolls	5.00	0.0%
6280 - Meals & Entertainment	1,084.89	0.6%
6340 - Computer Expense	35.82	0.0%
6342 - Internet & Website Services	250.60	0.1%
6360 - Licenses & Fees	125.00	0.1%
6370 - Professional Dues	1,310.00	0.7%
6460 - Bank Charges	41.99	0.0%
6520 - Depreciation - Compr Equipment	427.99	0.2%
Total Expense	<u>184,695.32</u>	<u>99.8%</u>
Net Ordinary Income	<u>414.66</u>	<u>0.2%</u>
Net Income	<u><u>414.66</u></u>	<u><u>0.2%</u></u>

**PREMIERE MARKETING LLC T/A PREMIERE ENERGY AUCTIONS**  
**Balance Sheet**  
As of December 31, 2011

	<u>Dec 31, 11</u>
<b>ASSETS</b>	
<b>Current Assets</b>	
<b>Checking/Savings</b>	
1001 - Cash - PNC Bank #9588	59,622.95
1002 - Cash - PNC Bank #5205	26,941.30
<b>Total Checking/Savings</b>	<u>86,564.25</u>
<b>Total Current Assets</b>	86,564.25
<b>Fixed Assets</b>	
1540 - Computer Equipment	427.99
1541 - Accum Depreciation - Cpr Equip	<u>(427.99)</u>
<b>Total Fixed Assets</b>	0.00
<b>Other Assets</b>	
1800 - Security Deposits	<u>5,000.00</u>
<b>Total Other Assets</b>	<u>5,000.00</u>
<b>TOTAL ASSETS</b>	<u><u>91,564.25</u></u>
<b>LIABILITIES &amp; EQUITY</b>	
<b>Equity</b>	
3000 - Members' Capital	
3100 - Capital - D Scarpa	
3101 - Capital - D Scarpa	3,898.60
3120 - Capital Contributions - D S	68,081.52
3150 - Drawings - D Scarpa	<u>(1,773.63)</u>
<b>Total 3100 - Capital - D Scarpa</b>	70,206.49
3200 - Capital - Paul Shagawat	
3220 - Capital Contributions - P S	20,943.10
<b>Total 3200 - Capital - Paul Shagawat</b>	<u>20,943.10</u>
<b>Total 3000 - Members' Capital</b>	91,149.59
Net Income	414.66
<b>Total Equity</b>	<u>91,564.25</u>
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<u><u>91,564.25</u></u>



# CreditScore<sup>SM</sup> Report

as of: 01/16/14 14:34 ET

## Premiere Marketing

Address:	75 Lane Rd Ste 203 Fairfield, NJ 07004-1000 United States	SIC Code:	8742-Marketing Programs & Services
Phone:	862-210-8770	Business Type:	Unavailable
Experian BIN:	951793292	Experian File Established:	September 2011
Agent:	Registered Agents Inc	Experian Years on File:	3 Years
Agent Address:	2012 W 25TH Cleveland, OH	Years in Business:	More than 3 Years
		Total Employees:	7
		Sales:	\$1,524,000
		Filing Data Provided by:	New Jersey
		Date of Incorporation:	12/16/2013

This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few Payment Tradelines.

UCC Filings:	0
<b>X</b> Businesses Scoring Worse:	38%
✓ Bankruptcies:	0
✓ Liens:	0
✓ Judgments Filed:	0
✓ Collections:	0

## Credit Summary

**Credit Ranking Score: 38**

High Risk

Low Risk

The objective of the Credit Ranking Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

### Key Score Factors:

- Company's business type.
- Risk associated with the company's industry.
- Number of employees.

Recommended Action: Medium Risk

\* The information herein is furnished in confidence for your exclusive use for legitimate business purposes and shall not be reproduced. Neither Experian nor its sources or distributors warrant such information nor shall they be liable for your use or reliance upon it.

# State of New Jersey



## Board of Public Utilities

44 S. Clinton Ave., P.O. Box 350, Trenton, New Jersey 08625

### HEREBY REGISTERS

**Premiere Marketing LLC  
d/b/a Premiere Energy Auctions**  
75 Lane Road, Suite 203  
Fairfield, New Jersey 07004

To conduct business in the State of New Jersey as a

**Private Aggregator**



*Kristi Izzo*

Kristi Izzo  
Secretary of the Board

Registration No. PA-0153  
Effective Date: February 19, 2014  
Expiration Date: February 18, 2015



**Experience**

**Premiere Energy Auctions – Energy Consulting Firm, Fairfield, NJ**

*Managing Partner*

August 2009 - Present

- Responsible for day-to-day operations of the company
- Coordinate all contract pricing and negotiations
- Compile and analyze all financial information for the company
- Create marketing materials for distribution to sales reps
- Supervise all sales reps, office manager and interns
- Acts as liaison between suppliers and the company

**The Baron Financial Group – Wealth Management Firm ♦ Fairlawn, NJ**

*Financial Planner*

January 2009 – Present

- Prepare tailored investment policy statements derived from data gathering sessions with the client and analysis of their risk tolerance
- Monitor the daily collection of investment transactions by the Chief Financial Officer
- Manage team of interns responsible for the daily operations within the office
- Project lead relating to Roth conversion opportunities and exposure in the upcoming year
- Gather and evaluate various financial statements necessary to transition new clients into the firm
- Coordinate bi-monthly seminars at various venues to attract potential new clients, including developing all marketing materials and necessary advertisements
- Conduct financial projections to meet client's goals based upon the investment strategy and the individual security selections
- Develop Roth conversion criteria to determine the appropriate strategy for each individual client
- Utilize Morningstar software to analyze various funds and create customized financial/retirement plans

*Intern*

June 2008 – October 2008

- Researched and developed a competitive matrix of rival firms
- Developed leads for new business opportunities
- Interviewed web designer to revamp website
- Instituted new marketing strategies
- Engaged prospective clients

**Discount Furniture Mart ♦ Clifton, NJ**

*Assistant Sales Manager*

September 2001 - August 2004

- Promoted to manager of stockroom and inventory
- Established schedules for employees based on sales pattern analysis
- Collaborated with upper management to determine fair commission percentages for the staff
- Trained employees in both the stock and sales departments

**Education**

**Rutgers University – New Brunswick, NJ**

January 2009

B.A. in Economics, Minor in Sociology

Cumulative GPA - 3.76/4.00 - Magna Cum Laude

**Penn State University – University Park, PA**

September 2004 - January 2005

Smeal School of Business

Overall GPA - 3.80/4.00

**Awards**

Rutgers University and Penn State University Dean's List (every semester)

Rutgers College Academic Excellence Award Winner

Intuit Scholarship Foundation Award (awarded \$20,000)

Trust Company Merit Scholarship Award (awarded \$1,000)

**Activities**

**Volunteering**

- Assisted in planning Rock the Mountain, a concert eventually raising over \$5000 for Spectrum for Living
- Tutored elementary school students as part of a transition program for children with learning disabilities
- Daughters of Miriam Nursing Home volunteer for Alzheimer's patients

**Interests**

- Tennis, Skiing, Film, Flag Football, Weight Training

# Dustin Scarpa

100 Paterson Plank Road, #510, Jersey City, NJ 07307

(732) 552-4139

Dustin.Scarpa@gmail.com

---

## Work Experience

### ***Premiere Energy Auctions***

Fairfield, NJ

2009 - Present

#### **Managing Partner**

- Provides support and guidance to sales representatives and channel partners in a fast paced environment
- Manages staff of 10 individuals
- Oversees contract and pricing negotiations for the entire company
- Responsible for problem solving with customer issues
- Identify and track market trends
- Works closely with Pricing Desk
- Heavy networking and referral activities

### ***Troy Marketing***

White Plains, NY

2008-2009

#### **Account Executive**

- Developed approaches and managed marketing campaigns
- Conducted business-to-business sales
- Interviewed and hired potential employees
- Detailed training of new team members as well as ensuring all facets of client satisfaction

## **Education:**

Rutgers University - New Brunswick

Graduation: May, 2009

**Major** BA in Communication

Major GPA: 3.75

**Minor** Economics

Cumulative GPA: 3.7

## **Honors**

Dean's List: Fall 2005, Fall 2006, Spring 2007,  
Fall 2007, Spring 2008, Fall 2008

National Honor Society  
Clifton High School

Participating Member of Lambda Pi Eta  
Rutgers University Communication Honor Society

Rutgers University Academics  
Excellence Award

## **Related Courses:**

Fundamentals of Speech, Introduction to Communication and Information, Persuasive Communications, Introduction to Computers and Applications, Introduction to Media Systems Process, Principles of Public Relations, Communication Theory, Mediated Communication Theory, Interpersonal Communication Processes, Public Relations Management, Communication Research

## **Interests**

- Skiing, Basketball, Golf, Computer Science

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of New Jersey :

:Fairfield ss.

County of Essex :

Paul Shagawat, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Managing Partner (Office of Affiant) of Premiere Marketing LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Premiere Marketing LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Premiere Marketing LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Premiere Marketing LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Premiere Marketing LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Paul Shagawat  
Signature of Affiant

Sworn and subscribed before me this 18<sup>th</sup> day of April, 2014.

Karen Pauer  
Signature of official administering oath

My commission expires 5/4/19.

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State]of New Jersey

:Fairfield ss.

County of Essex

Paul Shagawat, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Managing Partner (Office of Affiant) of Premiere Marketing LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Premiere Marketing LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Premiere Marketing LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Premiere Marketing LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2 ), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

That Premiere Marketing LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

*Paul Shrean*  
Signature of Affiant

Sworn and subscribed before me this 18<sup>th</sup> day of April, 2014.

*Karen Pavei*  
Signature of official administering oath

My commission expires 5/4/19.

**12. NEWSPAPER PUBLICATIONS**

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

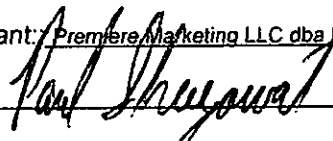
Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	<u>Duquesne</u>	<u>Met Ed</u>	<u>PECO</u>	<u>Penelec</u>	<u>Penn Power</u>	<u>PPL</u>	<u>UGI</u>	<u>West Penn</u>	<u>Entire Commonwealth</u>
Philadelphia Daily News		X	X			X			X
Harrisburg Patriot-News		X		X		X		X	X
Scranton Times Tribune		X		X		X	X		X
Williamsport Sun Gazette				X		X		X	X
Johnstown Tribune Democrat				X				X	X
Erie Times-News				X	X				X
Pittsburgh Post-Gazette	X				X			X	X

(Example Publication is provided at Appendix H)

**13. SIGNATURE**

Applicant: Premiere Marketing LLC dba Premiere Energy Auctions

X By: 

Title: Managing Partner

Proof of Publication in The Philadelphia Daily News  
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

December 9, 2013

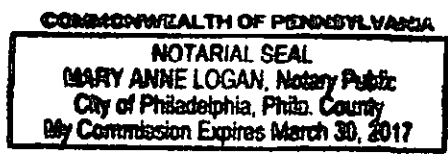
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

*Florence Devlin*

Sworn to and subscribed before me this 9th day of  
December, 2013.

*Mary Anne Logan*  
Notary Public

My Commission Expires:



**Copy of Notice of Publication**

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

Applications of **Premiere Marketing LLC** (d/b/a "Premiere Energy Auctions") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

**Premiere Marketing LLC** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide electricity supply services as a broker/marketer. **Premiere Marketing LLC** proposes to sell electricity and related services throughout all of Pennsylvania under the provisions the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Premiere Marketing LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3285, Harrisburg, PA 17105-3285. You should send copies of any protest to **Premiere Marketing LLC** attorney at the address listed below.

By and through Counsel: Attorney's Name  
Ryan C. Scarpa  
75 Lane Road, Suite 203  
Fairfield, NJ 07004

The Patriot-News Co.  
2020 Technology Pkwy  
Suite 300  
Mechanicsburg, PA 17050  
Inquiries - 717-255-8213

Attachment J(2)

The Patriot-News  
Now you know

PREMIERE ENERGY AUCTIONS  
75 LANE RD, SUITE #203

FAIRFIELD NJ 07004

THE PATRIOT NEWS  
THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929  
Commonwealth of Pennsylvania, County of Dauphin} ss

Marianne Miller, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

PUBLICATION COPY

This ad # 0002284577 ran on the dates shown below:

December 12, 2013

Miscellaneous Notices  
PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE  
Applications of Premiere Marketing LLC (d/b/a "Premiere Energy Auctions") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.  
Premiere Marketing LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide electricity supply services as a broker/marketer.  
Premiere Marketing LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions the Electricity Generation Customer Choice and Competition Act.  
The PUC may consider this application without a hearing. Protests

Miscellaneous Notices  
directed to the technical or financial fitness of Premiere Marketing LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Premiere Marketing LLC attorney at the address listed below.  
By and through Counsel:  
Attorney's Name  
Ryan C. Scarpa  
75 Lane Road, Suite 203  
Fairfield, NJ 07004

*[Handwritten signature]*

Subscribed before me this 12 day of December, 2013 A.D.

*[Handwritten signature]*  
Notary Public

COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Holly Lynn Warfel, Notary Public  
Washington Twp., Dauphin County  
My Commission Expires Dec. 12, 2016  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES



The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)  
Commonwealth of Pennsylvania, County of Lackawanna

PREMIERE MARKETING LLC  
SUITE 203  
75 LANE ROAD FAIRFIELD NJ 07004

Account # 591038  
Order # 81400741  
Ad Price: 197.10

PENNSYLVANIA PUBLIC UTILI  
Gina Krushinski

Being duly sworn according to law deposes and says that she is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

12/07/2013

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me  
this 9th day of December A.D., 2013

Sharon Venturi

(Notary Public)

COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Sharon Venturi, Notary Public  
City of Scranton, Lackawanna County  
My Commission Expires Feb. 12, 2014  
Member, Pennsylvania Association of Notaries

PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE

Applications of **Premiere Marketing LLC** (d/b/a "**Premiere Energy Auctions**") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

**Premiere Marketing LLC** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide electricity supply services as a broker/marketer. **Premiere Marketing LLC** proposes to sell electricity and related services throughout all of Pennsylvania under the provisions the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Premiere Marketing LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Premiere Marketing LLC** attorney at the address listed below.

By and through Counsel:  
Attorney's Name  
Ryan C. Scarpa  
75 Lane Road, Suite 203  
Fairfield, NJ 07004

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

December 7, 2013

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
*Applications of Premiere Marketing LLC (d/b/a "Premiere Energy Auctions") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer / Broker Engaged In The Business Of Supplying Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

Premiere Marketing LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide electricity supply services as a broker/marketer. Premiere Marketing LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Premiere Marketing LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Premiere Marketing LLC attorney at the address listed below.

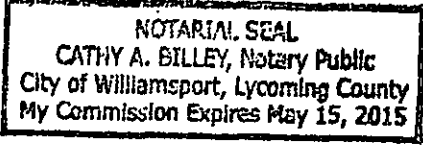
THE SUN-GAZETTE and certifies that

By and through Counsel:  
Attorney's Name  
Ryan C. Scarpa  
75 Lane Road, Suite 203  
Fairfield, NJ 07004

Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me  
the 9<sup>th</sup> day of December 2013  
Cathy A. Billey  
Notary Public



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:  
For publishing the notice attached  
hereto on the above state dates.....\$ 227.12  
Probated same.....\$  
Total.....\$ 227.12

REC'D RECEIPT FOR ADVERTISING COSTS

By \_\_\_\_\_ hereby acknowledges receipt of the aforesaid advertising and publication costs fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

COMMONWEALTH OF PENNSYLVANIA }  
County of Cambria } SS

PENNSYLVANIA PUBLIC  
UTILITY COMMISSION  
NOTICE

Applications of Premiere Marketing LLC (d/b/a "Premiere Energy Auctions") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/ Broker Engaged. In The Business Of Supplying Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Premiere Marketing LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide electricity supply services as a broker/marketer. Premiere Marketing LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Premiere Marketing LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Premiere Marketing LLC attorney at the address listed below.

By and through Counsel:  
Attorney's Name  
Ryan C. Scarpa  
75 Lane Road, Ste 203  
Fairfield NJ, 07004

Pa. in the County of Cambria, and Commonwealth of Pennsylvania and notice in the above matter published in said publication in the regular issues of Johnstown, PA, on December 7, 2013; and that the Affiant is not a notice or advertising and that all of the allegations as to time, place and

*Christine Marhefka*

STATEMENT OF ADVERTISING COSTS

54 Lines @	\$2.50 per line	135.00
0.00 Inches @	\$25.00 per inch	0.00
Notary Fee		5.00
Clerical Fee		2.50
<b>Total Cost</b>		<b>142.50</b>

COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Vivian Ohs, Notary Public  
City of Johnstown, Cambria County  
My Commission Expires Dec. 6, 2016  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA  
For publishing the notice or publication  
attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

\_\_\_\_\_ for publisher of \_\_\_\_\_  
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid  
and publication costs and certifies that the same has been duly paid.

Johnstown Tribune  
(Name of Newspaper)

By \_\_\_\_\_

published conti  
that the annex  
of The Johnstoa  
interested in th  
character of su

Sworn and Sub  
9th day of Dece

*Scarpa*

PROOF OF PUBLICATION  
In  
THE ERIE TIMES-NEWS  
COMBINATION EDITION

Premiere Marketing LLC  
75 Lane Rd Suite 203  
Fairfield NJ 07004

REFERENCE: 78035 42528  
PUC Notice

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 12/12/13

TOTAL COST: \$409.00

AD SPACE: 0 Lines

FILED ON: 12/12/13

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

*Applications of **Premiere Marketing LLC** (d/b/a "**Premiere Energy Auctions**") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

Premiere Marketing LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide electricity supply services as a broker/marketer. Premiere Marketing LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Premiere Marketing LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Premiere Marketing LLC attorney at the address listed below.

By and through Counsel: Attorney's Name  
Ryan C. Scarpa  
75 Lane Road, Suite 203, Fairfield, NJ 07004

#109412528

Sworn to and subscribed before me this 12th day of December 2013

Affiant: Debra McGraw

NOTARY: Barbara J. Moore

**COMMONWEALTH OF PENNSYLVANIA**  
Notarial Seal  
Barbara J. Moore, Notary Public  
City of Erie, Erie County  
My Commission Expires March 23, 2016  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**Proof of Publication of Notice in Pittsburgh Post-Gazette**

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss C. Mohamed, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

**07 of December, 2013**

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

C. Mohamed

PG Publishing Company

Sworn to and subscribed before me this day of:  
December 09, 2013

Linda M. Gaertner

COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Linda M. Gaertner, Notary Public  
City of Pittsburgh, Allegheny County  
My Commission Expires Jan. 31, 2015  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**STATEMENT OF ADVERTISING COSTS**

Premiere Marketing LLC  
75 Lane Road, Suite 203  
Fairfield NJ 07004

To PG Publishing Company

Total ----- \$405.00

**Publisher's Receipt for Advertising Costs**

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office  
34 Boulevard of the Allies  
PITTSBURGH, PA 15222  
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of  
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Maria Jucea

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

**COPY OF NOTICE OR PUBLICATION**

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE  
Applications of Premiere Marketing LLC (d/b/a "Premiere Energy Auctions") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.  
Premiere Marketing LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide electricity supply services as a broker/marketer. Premiere Marketing LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions the Electricity Generation Customer Choice and Competition Act.  
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Premiere Marketing LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Premiere Marketing LLC attorney at the address listed below.  
By and through Counsel: Ryan C. Scarpa, 75 Lane Road, Suite 203, Fairfield, NJ 07004

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

HARTFORD, CONNECTICUT 06183

FINANCIAL STATEMENT AS OF DECEMBER 31, 2010

CAPITAL STOCK \$ 6,480,000

ASSETS		LIABILITIES & SURPLUS	
CASH AND INVESTED CASH	\$ 40,788,178	UNEARNED PREMIUMS	\$ 813,054,297
BONDS	3,817,487,280	LOSSES	920,220,402
INVESTMENT INCOME DUE AND ACCRUED	53,309,217	REINSURANCE PAYABLE ON PAID LOSSES / LOSS ADJ. EXPENSES	2,528,742
PREMIUM BALANCES	179,028,702	LOSS ADJUSTMENT EXPENSES	477,495,945
NET DEFERRED TAX ASSET	57,793,379	COMMISSIONS	31,967,828
REINSURANCE RECOVERABLE	28,980,885	TAXES, LICENSES AND FEES	53,070,689
RECEIVABLES FROM PARENT, SUBSIDIARIES AND AFFILIATES	34,025,650	OTHER EXPENSES	34,523,822
SECURITIES LENDING REINVESTED COLLATERAL ASSETS	11,844,000	FUNDS HELD UNDER REINSURANCE TREATIES	98,187,983
UNDISTRIBUTED PAYMENTS	4,507,658	CURRENT FEDERAL AND FOREIGN INCOME TAXES	3,320,537
OTHER ASSETS	613,788	REMITTANCES AND ITEMS NOT ALLOCATED	9,428,732
		AMOUNTS WITHHELD / RETAINED BY COMPANY FOR OTHERS	25,591,395
		RETROACTIVE REINSURANCE RESERVE ASSUMED	3,289,979
		POLICYHOLDER DIVIDENDS	7,479,605
		PROVISION FOR REINSURANCE	5,357,827
		PAYABLE FOR SECURITIES LENDING	11,844,000
		CEDED REINSURANCE NET PREMIUMS PAYABLE	(60,386,527)
		OTHER ACCRUED EXPENSES AND LIABILITIES	1,053,975
		TOTAL LIABILITIES	\$ 2,438,034,011
		CAPITAL STOCK	\$ 6,480,000
		PAID IN SURPLUS	433,803,760
		OTHER SURPLUS	1,361,940,752
		TOTAL SURPLUS TO POLICYHOLDERS	\$ 1,802,224,512
TOTAL ASSETS	\$ 4,238,258,523	TOTAL LIABILITIES & SURPLUS	\$ 4,238,258,523

STATE OF CONNECTICUT )  
 COUNTY OF HARTFORD ) SS.  
 CITY OF HARTFORD )

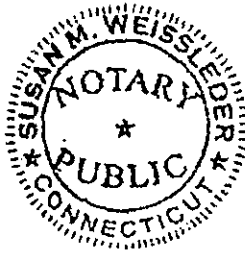
MICHAEL J. DOODY, BEING DULY SWORN, SAYS THAT HE IS SECOND VICE PRESIDENT, OF TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA AND THAT TO THE BEST OF HIS KNOWLEDGE AND BELIEF, THE FOREGOING IS A TRUE AND CORRECT STATEMENT OF THE FINANCIAL CONDITION OF SAID COMPANY AS OF THE 31ST DAY OF DECEMBER, 2010.

*Michael J. Doody*  
 SECOND VICE PRESIDENT

SUBSCRIBED AND SWORN TO BEFORE ME THIS 15TH DAY OF APRIL, 2011

*Susan M. Weissleder*  
 NOTARY PUBLIC

SUSAN M. WEISSLEDER  
 Notary Public  
 My Commission Expires November 30, 2012





Credit Investigations Department  
8800 Tincum Boulevard  
Philadelphia, Pa 19163  
(Telephone) 215-749-6199  
(Fax) 215-749-6193  
(Email) PNC Credit Investigations@pnc.com

April 11, 2014

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Pennsylvania Public Utility Commission  
PO Box 3265  
Harrisburg, PA 17106

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RE: Premiere Energy Auctions

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Dear Sir/Madam:

The above mentioned customer has asked PNC to provide you with information regarding their relationship with PNC.

The subject has maintained checking accounts with us since July 21, 2010. Twelve month aggregate average balances is \$95,395.00 and the accounts are handled in a satisfactory manner .

We hope the foregoing information has been of some assistance.

Sincerely,

*Lestley Holmes*  
Lestley Holmes

Operations Analyst  
PNC Bank

The information herein is given as a business courtesy in response to your request with the understanding that it will be received in strict confidence and without any responsibility upon this bank, its officers or agents. Such information is provided as of the date set forth above and this bank has no obligation or responsibility to provide updated information. Although obtained from sources believed to be reliable, the accuracy of the information provided herein is not guaranteed

**APPENDIX G**  
**EXAMPLE FORM OF NOTICE FOR COMBINED EGS AND NGS**

**PENNSYLVANIA**  
**PUBLIC UTILITY COMMISSION**  
**NOTICE**

*Applications of **Premiere Marketing, LLC** (d/b/a "**Premiere Energy Auctions**") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

**Premiere Marketing, LLC** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. **Premiere Marketing, LLC** will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. **Premiere Marketing LLC** proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Premiere Marketing LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Premiere Marketing LLC** attorney at the address listed below.

By and through Counsel: Attorney's Name

**Premiere Marketing LLC**

**Ryan Scarpa, Esq.**

**75 Lane Road, Suite 203, Fairfield, NJ 07004**

**Phone: 862 210-8770**

**Fax: 877 335-7306**





**PREMIERE**  
ENERGY AUCTIONS

75 Lane Road, Suite 203  
Fairfield, NJ 07004

PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT  
OF THE RETURN ADDRESS. FOLD AT DOTTED LINE.

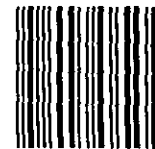
**CERTIFIED MAIL™**



7014 1200 0002 2036 4309



1004



17120

U.S. POSTAGE  
PAID  
LAKE HAWTHA, NJ  
07034  
JUL 26, 14  
AMOUNT

**\$13.35**  
00054450-02

Pennsylvania Public Utility Commission Secretary  
Keystone Building, 2nd Floor  
Room N201

Harrisburg PA 17120  
**RECEIVED**

JUL 26 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**PRIORITY**  
★ MAIL ★

USPS.COM