

1. REPORT DATE: 00/00/ :  
 2. BUREAU: TAS :  
 3. SECTION(S) : :  
 5. APPROVED BY: : 4. PUBLIC MEETING DATE:  
   DIRECTOR: : 00/00/00  
   SUPERVISOR: :  
 6. PERSON IN CHARGE: BEARD : 7. DATE FILED: 02/24/03  
 8. DOCKET NO: A-00107838C0301 : 9. EFFECTIVE DATE: 00/00/00

PARTY/COMPLAINANT: TRANSPORTATION & SAFETY, BUREAU OF  
 RESPONDENT/APPLICANT: BRODERICK, JAMES  
 COMP/APP COUNTY: UTILITY CODE: 718375

ALLEGATION OR SUBJECT

WAS COMPLAINT VS. JAMES BRODERICK FOR VARIOUS VIOLATIONS.

DOCUMENT  
FOLDER

DOCKETED  
FEB 26 2002

ORIGINAL

RECEIVED

BEFORE THE  
PENNSYLVANIA PUBLIC UTILITY COMMISSION

FEB 24 2003

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY  
COMMISSION, BUREAU OF TRANSPORTATION  
AND SAFETY

v.

DOCKET NO. A-00107838C0301

James Broderick

COMPLAINT

The Pennsylvania Public Utility Commission (Commission) is a duly constituted agency of the Commonwealth of Pennsylvania empowered to regulate public utilities within the Commonwealth. The Commission has delegated its authority to initiate proceedings which are prosecutory in nature to the Bureau of Transportation and Safety and other bureaus with enforcement responsibilities. Pursuant to that delegated authority and Section 701 of the Public Utility Code, the Bureau of Transportation and Safety Prosecutory Staff hereby represents as follows:

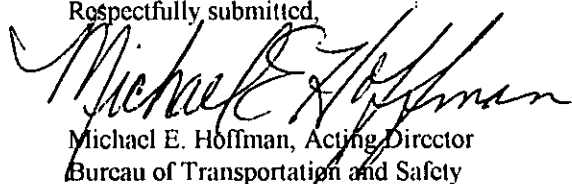
1. That James Broderick, respondent, maintain his principal place of business at 807 Fay Drive, Feasterville, PA 19047.
2. That respondent was issued a certificate of public convenience by this Commission on August 2, 1988, at Application Docket No. A-00107838.
3. That respondent, on March 7, 2002, transported household goods for compensation for Ms. Dorothy Kohler from 7311 Woodcrest Avenue, Philadelphia, Philadelphia County, Pennsylvania to 1001 City Line Avenue, Wynnwood, Montgomery County, Pennsylvania. Respondent failed to furnish the shipper with an "Information for Shippers" statement.
4. That respondent, in performing the acts described in Paragraph 3 of this complaint, violated 52 Pa. Code §31.121(a) in that respondent failed to furnish the shipper with an "Information for Shipper" statement. The penalty is \$250.00.

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WHEREFORE, the Bureau of Transportation and Safety Prosecutory Staff hereby requests that the Commission fine James Broderick the sum of two hundred fifty dollars (\$250.00) for the illegal activity described in this complaint and order such other remedy as the Commission may deem to be appropriate.

Respectfully submitted,

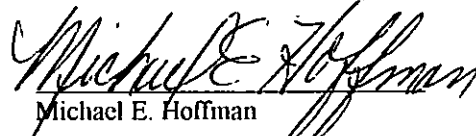
  
Michael E. Hoffman, Acting Director  
Bureau of Transportation and Safety  
P.O. Box 3265  
Harrisburg, PA 17105-3265

VERIFICATION

I, Michael E. Hoffman, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief and that I expect to be able to prove the same at any hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. §4904 relating to unsworn falsification to authorities.

Date:

2/21/03

  
Michael E. Hoffman

NOTICE

A. You must file an answer within twenty (20) days of the date of service of this complaint. The date of service is the mailing date as indicated at the top of the Secretarial cover letter for this complaint and notice, 52 Pa. Code §1.56(a). An answer is a written explanation of circumstances wished to be considered in determining the out come. The answer shall raise all factual and legal arguments that you wish to claim in your defense and must include the reference number of this complaint. Your answer must be verified and the original and three (3) copies sent to:

James J. McNulty, Secretary  
Pennsylvania Public Utility Commission  
P.O. Box 3265  
Harrisburg, PA 17105-3265

B. If you fail to answer this complaint within twenty (20) days, the Bureau of Transportation and Safety will request that the Commission issue a Secretarial Letter imposing a penalty. The penalty could include a fine, the suspension or revocation of your certificate of public convenience or other remedy.

C. You may elect not to contest this complaint by paying the fine proposed in this complaint by certified check or money order. Payment must be made to the Pennsylvania Public Utility Commission, P.O. Box 3265, Harrisburg, PA 17105-3265. Your payment is an admission that you committed the alleged violation and an agreement to cease and desist from further violations. Upon receipt of your payment, the complaint proceeding shall be closed.

D. If you file an answer which either admits or fails to deny the allegations of the complaint, the Bureau of Transportation and Safety will request that the Commission issue a Secretarial Letter imposing a penalty (see B).

E. If you file an answer which contests the complaint, the matter will be assigned to an administrative law judge for hearing and decision. The judge is not bound by the optional fine set forth in C, above.

F. Alternative formats of this material are available, for persons with disabilities, by contacting the Technical Unit at (717) 783-5945.

COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
P.O. BOX 3265, HARRISBURG PA 17105-3265

Date of Service: FEBRUARY 26, 2003  
Docket Number: A-00107838C0301

JAMES BRODERICK  
807 FAY DRIVE  
FEASTERVILLE PA 19047

DOCUMENT  
FOLDER  
DOCKETED  
FEB 26 2002

Pennsylvania Public Utility Commission Bureau of Transportation and Safety  
v.  
JAMES BRODERICK

Dear Sir/Madam:

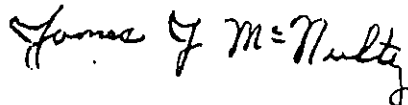
The Pennsylvania Public Utility Commission has delegated its authority to initiate prosecutory proceedings to several bureaus in the Commission with enforcement responsibilities.

Pursuant to this delegated authority and Section 701 of the Public Utility Code, the Bureau of Transportation and Safety Prosecutory Staff has filed the attached complaint against you.

Please refer to the Notice page at the conclusion of this complaint for detailed instructions on how to proceed. Alternative formats of this material are available for persons with disabilities.

Any questions may be directed to the Technical Review Section of the Bureau of Transportation and Safety at (717) 787-1168.

Very truly yours,



James J. McNulty  
Secretary

dbb

2. Article Number



7106 4575 1293 1585 7532

3. Service Type **CERTIFIED MAIL**

4. Restricted Delivery? (Extra Fee) Yes

1. Article Addressed to:

A - 00107838 C0301  
James Broderick

**COMPLETE THIS SECTION ON DELIVERY**

A. Received by (Please Print Clearly)

B. Date of Delivery

C. Signature

D. Is delivery address different from item 1?  
If YES, enter delivery address below:

- Agent
- Addressee
- Yes
- No

PENNSYLVANIA PUBLIC UTILITY COMMISSION

RECEIPT

*The addressee named here has paid the PA P.U.C. for the following bill:*

JAMES BRODERICK  
807 FAY DR  
FEASTERVILLE, PA 19053

DATE 3/18/201  
RECEIPT # 182798

PUC VS. JAMES BRODERICK

Fine for the violation of Pa Public Utility Law

Docket Number A-00107838C0301..... \$250.00

REVENUE ACCOUNT: 001420-017000-101

CHECK NUMBER: CC 3199838

CHECK AMOUNT: \$ 250.00

C. Joseph Meisinger  
(for Department of Revenue)

SRB

**DOCKETED**  
MAR 19 2003

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FOLDER