



September 16, 2014

Ms. Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

Dear Ms. Chiavetta:

Enclosed please find the letter we received from your office dated September 4, 2014. Attached you will also find the necessary revisions requested in your letter. Please note that we did originally include 2 contact names under 1e. I have highlighted our revisions in yellow.

Please let me know if you need anything else from us.

Thank you.

Sincerely,

Sharon Butler  
Office Manager

Enclosures

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SEP 16 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Executive Office: 75 Lane Road, Suite 203, Fairfield, NJ 07004  
Phone: 862-210-8770 Fax: 877 - 335 - 7306  
[www.myenergyauction.com](http://www.myenergyauction.com)



COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
P.O. BOX 3265, HARRISBURG, PA 17105-3265

IN REPLY PLEASE  
REFER TO OUR FILE

September 4, 2014

Docket No. A-2014-2435427

Utility Code: 1116761

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SEP 16 2014

PAUL SHAGAWAT  
PREMIERE MARKETING LLC  
D/B/A PREMIERE ENERGY AUCTIONS  
75 LANE RD STE 203  
FAIRFIELD NJ 07004

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

RE: Electric Generation Supplier License Application of Premiere Marketing, LLC as a  
Broker/Marketer

Dear Mr. Shagawat:

On August 11, 2014, Premiere Marketing, LLC's application for an Electric Generation Supplier license as a Broker/Marketer was accepted for filing and docketed with the Public Utility Commission. The application was incomplete. In order for us to complete our analysis of your application, the Energy Industry Group requires answers to the attached question(s).

Please forward the information to the Secretary of the Commission at the address listed below **within ten (10) working days** from the date of this letter. Please note that some responses may be e-filed to your case, <http://www.puc.pa.gov/efiling/default.aspx>. A list of document types allowed to be e-filed can be found at <http://www.puc.pa.gov/efiling/DocTypes.aspx>.

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
P.O. Box 3265  
Harrisburg, PA 17105-3265

or  
Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

**Your answers should be verified per 52 Pa Code § 1.36.** Accordingly, you must provide the following statement with your responses:

I, Paul Shagawat hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

The blank should be filled in with the name of the appropriate company representative, and the signature of that representative should follow the statement.

In addition, to expedite completion of the application, please also e-mail the information to [dbacker@pa.gov](mailto:dbacker@pa.gov). Please direct any questions to Debra Backer, Bureau of Technical Utility Services, at [dbacker@pa.gov](mailto:dbacker@pa.gov) or (717) 783-9787.

Sincerely,

A handwritten signature in black ink, appearing to read "Darren D. Gill". The signature is fluid and cursive, with a long horizontal stroke at the beginning.

Darren D. Gill, Deputy Director  
Bureau of Technical Utility Services

Enclosure

cc: Debra Backer

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Docket No. A-2014-2435427  
Premiere Marketing, LLC  
Data Request

SEP 16 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

- ✓ 1. Reference application, Section 1.b, Pennsylvania Address/Registered Agent – Applicant claims they have a registered agent, and they list a name and address, but no contact (phone number for this agent. It is required that Applicant have a registered agent with all contact information for the agent before the application process can be completed. Please provide an original document by using the submission method listed above.
- ✓ 2. Reference application, Section 1.e, Contacts for Consumer Service and Complaints – Applicant has included only one contact for consumer services and complaints. However, the application requires both a primary and an alternate contact person. Please provide full contact information for the alternate contact person for customer service and complaints. Please provide an updated page in question and then resubmit the updated (page. Please provide an original document by using the submission method listed above.
- ✓ 3. Reference application, Section 2.a, Fictitious Name – Applicant has inadvertently left this question unanswered. Please provide an updated page with this question answered and then resubmit the updated page. Please provide an original document by using the submission method listed above.
- ✓ 4. Reference application, Section 7.a, Bonding – The Bond that the Applicant has submitted with its application package has been substantially changed from the template. Please refer to Appendix D of the application instructions for an example of a correctly worded bond.
  - a. In the first part of the bond that begins with “THE CONDITION OF THIS OBLIGATION IS SUCH” was omitted and the part “to offer, render, furnish or supply electricity or electric generation services to the public” has also been omitted.
  - b. The next part that begins “NOW THEREFORE, the condition of this obligation” the applicant has omitted the remainder of this paragraph specifically the wording beginning with “the Principal must act in accordance with provisions of the Public Utility Code, 66 Pa. C.S. §2809(c)(1)(i), to assure compliance with the Pennsylvania Public Utility Commission by the Principal as a licensed electric generation supplier; to ensure the payment of Gross Receipts Tax as required by Section 2810 of the Public Utility Code, 66 Pa, C.S. 2810” and the remainder of the paragraph.
  - c. The following paragraph beginning “PROVIDED, the Surety may terminate its future liability” has also been significantly edited.
  - d. The final paragraph beginning “THIS BOND WILL EXPIRE” was also omitted.

An accurate, legally valid and binding Bond is required with the submission of this application. Please provide an original document by using the submission method listed above. Applicant can elect to mark submitted information as confidential.

5. Reference application, Section 7.d, Taxation – The Tax Certification Statement submitted with the application package is missing information. The applicant is required to have a fully completed Tax Certification Form. Please provide a completed Tax Certification Form, including updating the answer for question 5 from Partnership to LLC (the name Premiere Marketing, LLC identifies the Company as an LLC). Also, the Company inadvertently left the answers for question 7 unanswered. Please mark N/A for 7A for the Sales Tax License if the applicant does not have a number. In that case, provide either a 7 digit Corporate Box Number or a 10 digit Revenue ID Number for 7B, and provide the Employer ID number for 7C. Please provide an original document by using the submission method listed above. Applicant can elect to mark submitted information as confidential.

# BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Premiere Marketing LLC, d/b/a Premiere Energy Auctions, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

## 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Premiere Marketing LLC dba Premiere Energy Auctions  
75 Lane Road, Suite 203, Fairfield, NJ 07004  
[www.myenergyauction.com](http://www.myenergyauction.com)  
862 210-8770

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Registered Agents, Inc.  
1150 First Ave, Ste 511  
King of Prussia, PA 19406  
Montgomery County  
(610) 456-2133

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Paul Shagawat, Managing Partner  
75 Lane Road, Suite 203, Fairfield, NJ 07004  
Phone – (862) 210-8770  
Fax – (877) 335-7306  
[pauls@myenergyauction.com](mailto:pauls@myenergyauction.com)

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

We are not using an attorney.

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGG.

Paul Shagawat, Managing Partner  
75 Lane Road, Suite 203, Fairfield, NJ 07004  
Phone – 862 210-8770  
Fax – 877 335-7306  
[pauls@myenergyauction.com](mailto:pauls@myenergyauction.com)

Nick Gerome, COO  
75 Lane Road, Suite 203, Fairfield, NJ 07004  
Phone – 862 210-8770  
Fax – 877 335-7306

[Nicholas.gerome@myenergyauction.com](mailto:Nicholas.gerome@myenergyauction.com)

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

X The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

**OR**

U The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

U The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**OR**

U The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**OR**

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS  
401 NORTH STREET, ROOM 206  
P.O. BOX 8722  
HARRISBURG, PA 17105-8722  
WWW.CORPORATIONS.STATE.PA.US/CORP

Premiere Marketing LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4238331

Premiere Marketing LLC  
75 Lane Road, Suite 203  
Fairfield, NJ 07004



COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS  
401 NORTH STREET, ROOM 206  
P.O. BOX 8722  
HARRISBURG, PA 17105-8722  
WWW.CORPORATIONS.STATE.PA.US/CORP

**Premiere Energy Auctions**

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4282538

Premiere Energy Auctions  
75 Lane Road, Suite 203  
Fairfield, NJ 07004

## Appendix F

**COMMONWEALTH OF  
PENNSYLVANIA  
PUBLIC UTILITY COMMISSION**

**TAX CERTIFICATION  
STATEMENT**

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 8 1/2" x 11" paper. Type or print all information requested.

1. CORPORATE OR APPLICANT NAME  Premiere Marketing LLC	2. BUSINESS PHONE NO. (862-210-8770 ) CONTACT PERSON(S) FOR TAX ACCOUNTS: Paul Shagawat
3. TRADE/FICTITIOUS NAME (IF ANY)  Premiere Energy Auctions	
4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BOX NO.) (POST OFFICE) STATE (ZIP)  75 Lane Road, Suite 203, Fairfield, NJ 07004	
5. TYPE OF ENTITY <input type="checkbox"/> SOLE PROPRIETOR <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> CORPORATION <input checked="" type="checkbox"/> <b>LLC</b> <input type="checkbox"/> OTHER (Describe...)	

6. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICERS(S)	
NAME (PRINT)  Paul Shagawat	SOCIAL SECURITY NUMBER (OPTIONAL)  [ ] [ ] [ ] - [ ] [ ] [ ] - [ ] [ ] [ ] [ ] [ ] [ ]
NAME (PRINT)  Dustin Scarpa	SOCIAL SECURITY NUMBER (OPTIONAL)  [ ] [ ] [ ] - [ ] [ ] [ ] - [ ] [ ] [ ] [ ] [ ] [ ]
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)  [ ] [ ] [ ] - [ ] [ ] [ ] - [ ] [ ] [ ] [ ] [ ] [ ]
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)  [ ] [ ] [ ] - [ ] [ ] [ ] - [ ] [ ] [ ] [ ] [ ] [ ]
NAME (PRINT)	SOCIAL SECURITY NUMBER (OPTIONAL)  [ ] [ ] [ ] - [ ] [ ] [ ] - [ ] [ ] [ ] [ ] [ ] [ ]

**7. LIST THE FOLLOWING STATE & FEDERAL TAX IDENTIFICATION NUMBERS (ALL ITEMS A, B, & C MUST BE COMPLETED)**

Applicant must provide explanation if submitting N/A for any items

Item A - Designated by the Pennsylvania Department of Revenue.

Item B - Designated by the Internal Revenue Service.

Item C - Designated by the Pennsylvania Department of Revenue. The Corporate Box number may also be referred to as the Corporate Account number.

<b>A. SALES TAX LICENSE (8 DIGITS)</b> [ ] [ ] - [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] APPLICATION PENDING <input type="checkbox"/> N/A <input checked="" type="checkbox"/>	<b>C. CORPORATE BOX NUMBER (7 DIGITS)</b> 16000746    77713 APPLICATION PENDING <input type="checkbox"/> N/A <input type="checkbox"/>
<b>B. EMPLOYER ID (EIN) (9 DIGITS)</b> 27-107110325 APPLICATION PENDING <input type="checkbox"/> N/A <input checked="" type="checkbox"/>	

8. Do you have PA employees; resident or non-resident? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
9. Do you own any assets or have an office in PA? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO

NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS		
Paul Shagawat	Paul Shagawat	Paul Shagawat
PA SALES AND USE TAX <u>Dustin Scarpa</u>	EMPLOYER TAXES <u>Dustin Scarpa</u>	CORPORATE TAXES <u>Dustin Scarpa</u>
PHONE <u>862 210 8770</u>	PHONE <u>862 210 - 8770</u>	PHONE <u>862 210 - 8770</u>

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)