# Joseph S. Streb Co., L.P.A.

Law Offices 736 Neil Avenue Columbus, Ohio 43215 Telephone (614) 224-0200 Fax (614) 224-9323 E-mail: Streblaw@sbcglobal.net

August 31, 2014

Secretary of the Commission Pennsylvania Public Utility Commission Keystone Building, 2<sup>nd</sup> Fl. Rm. N201 Harrisburg, PA 17120

RE: Muirfield Energy, Inc./Gas Broker Application.

Dear Secretary:

.

Please be advised that I represent Muirfield Energy, Inc., an Ohio for-profit corporation, licensed to do business in Pennsylvania. Enclosed herewith please find an original executed verified Gas Broker Application for Muirfield Energy, Inc., and one (1) copy of said Application. Also enclosed please find one CD-ROM diskette containing a searchable PDF format copy of said Application. Also enclosed please find an original and two copies of the required bond.

If you have any questions, please do not hesitate to contact me.

Very truly yours Joseph S. Streb

JSS:dc Encl.

COPIES TO:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate 300 North Second Street Harrisburg, PA 17101

RECEIVED

SEP 0.2 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

,

ŗ

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Valley Energy, Inc. Robert Crocker 523 S. Keystone Avenue Sayre, PA 18840-0340

UGI Central Penn David Beasten 2525 N. 12<sup>th</sup> Street, Suite 360 Reading, PA 19612-2677

Peoples TWP, LLC Andrew Wachter 375 North Shore Drive, Sutie 600 Pittsburgh, PA 15212

UGI Penn Natural David Beasten 2525 N. 12<sup>th</sup> Street, Suite 360 Reading, PA 19612-2677

National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221

Peoples Natural Gas Company LLC Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212

# RECEIVED

SEP 02 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU UGI David Beasten 2525 N. 12<sup>th</sup> Street, Suite 360 Reading, PA 19612-2677

i,

Equitable Gas Company Jerald Moody 225 North Shore Drive Pittsburgh, PA 15212-5352

PECO Carlos Thillet, Manager 2301 Market Street, S9-2 Philadelphia, PA 19103

Columbia Gas of Pennsylvania, Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215

Philadelphia Gas Works Douglas Moser 800 West Montgomery Avenue Philadelphia, PA 19122

RECEIVED

SEP. 0 2 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

# **CERTIFICATE OF SERVICE**

I hereby certify that a true copy of the Pennsylvania Gas Broker Application Of Muirfield Energy, Inc. was served upon all of the parties set forth hereinabove on the date set forth hereinabove.

1/10 Atty. Joseph S. Streb

.



5

COMMONWEALTH OF PENNSYLVANIA PENNSYLVANIA PUBLIC UTILITY COMMISSION P.O. BOX 3265, HARRISBURG, PA 17105-3265

# **Contents of Natural Gas Supplier** (NGS) License Application Package

- I. Introduction.
- II. License Application.
- III. Tax Certification Statement (Appendix A).
- IV. Sample Disclosure Statement (Appendix B).
- V. Sample Form of Notice (Appendix C).
- VI. Chapter 56 Standards and Billing Practices for Residential Utility Service. Available from Fry Communications (717) 766-0211 ext. 339.
- VII. Standards of Conduct (Appendix D).



SEP 022014 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

# BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of <u>Muirfield Energy, Inc.</u>, for approval to offer, render, furnish, or as a(n) <u>[as specified in item</u> <u>#8 below] to</u> the public in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

1. **IDENTITY OF THE APPLICANT:** The name, address, telephone number, and FAX number of the Applicant are:

Muirfield Energy, Inc. 425 Metro Place North, Suite 550 Dublin, OH 43017 Phone: (614) 336-8877, ext. 222 Fax: 888-370-8878 c/o Perry Oman, President poman@muirfieldenergy.com

RECEIVED

SEP 0 2 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

N/A.

÷

2. a. **CONTACT PERSON:** The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are:

Perry Oman, President Muirfield Energy, Inc. 425 Metro Place North, Suite 550 Dublin, OH 43017 Phone: (614) 336-8877, ext. 222 Fax: 888-370-8878 c/o Perry Oman, President poman@muirfieldenergy.com

b. **CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY:** The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA:

### Perry Oman, President

Muirfield Energy, Inc. 425 Metro Place North, Suite 550 Dublin, OH 43017 Phone: (614) 336-8877, ext. 222 Fax: (888) 370-8878 c/o Perry Oman, President <u>poman@muirfieldenergy.com</u>

3.a. **ATTORNEY:** If applicable, the name, address, telephone number, and FAX number of the Applicant's attorney are:

# Atty. Joseph S. Streb

736 Neil Ave. Columbus, OH 43215 Phone: (614) 224-0200 Fax: (614) 224-9323; <u>streblaw@sbcglobal.net</u> b. REGISTERED AGENT: If the Applicant does not maintain a principal office in the Commonwealth, the required name, address, telephone number and FAX number of the Applicant's Registered Agent in the Commonwealth are:

Incorp 7208 Red Top Road Hummelstown, PA 17036 Phone: 800-246-2677 Fax: 702-865-2689

- 4. **FICTITIOUS NAME:** (select and complete appropriate statement)
  - The Applicant will be using a fictitious name or doing business as ("d/b/a"):

Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

# or

- X The Applicant will not be using a fictitious name.
- 5. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:** (select and complete appropriate statement)



÷

The Applicant is a sole proprietor.

If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

### or

The Applicant is a:

domestic general partnership (\*)

domestic limited partnership (15 Pa. C.S. §8511)

foreign general or limited partnership (15 Pa. C.S. §4124)

domestic limited liability partnership (15 Pa. C.S. §8201)

foreign limited liability general partnership (15 Pa. C.S. §8211)

foreign limited liability limited partnership (15 Pa. C.S. §8211)

# Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

\* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

# or

The Applicant is a:

Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Additionally, provide a copy of the Applicant's Articles of Incorporation. See, "Exhibit 5" attached hereto.

Give name and address of officers.

Perry	Oman	i, Pre	sident
N A:			1

Muirfield Energy, Inc. 425 Metro Place North, Suite 550 Dublin, OH 43017 Phone: (614) 336-8877, ext. 222 Fax: (888) 370-8878 c/o Perry Oman, President poman@muirfieldenergy.com

The Applicant is incorporated in the state of \_\_Ohio\_\_\_\_\_

6. AFFILIATES AND PREDECESSORS WITHIN PENNSYLVANIA: (select and complete appropriate statement)

Affiliate(s) of the Applicant doing business in Pennsylvania are: There are no predecessors or affiliates.

Give name and address of the affiliate(s) and state whether the affiliate(s) are jurisdictional public utilities.

Does the Applicant have any affiliation with or ownership interest in:

- (a) any other Pennsylvania retail natural gas supplier licensee or licensee applicant,
- (b) any other Pennsylvania retail licensed electric generation supplier or license applicant,
- (c) any Pennsylvania natural gas producer and/or marketer,
- (d) any natural gas wells or
- (e) any local distribution companies (LDCs) in the Commonwealth

N/A.

If the response to parts a, b, c, or d above is affirmative, provide a detailed description and explanation of the affiliation and/or ownership interest. **N/A**.

Provide specific details concerning the affiliation and/or ownership interests involving:

(a) any natural gas producer and/or marketers,

(b) any wholesale or retail supplier or marketer of natural gas, electricity, oil, propane or other energy sources.

Provide the Pa PUC Docket Number if the applicant has ever applied:

- (a) for a Pennsylvania Natural Gas Supplier license, or
- (b) for a Pennsylvania Electric Generation Supplier license.

If the Applicant or an affiliate has a predecessor who has done business within Pennsylvania, give name and address of the predecessor(s) and state whether the predecessor(s) were jurisdictional public utilities.

# or

- X The Applicant has no affiliates doing business in Pennsylvania or predecessors which have done business in Pennsylvania.
- 7. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)
  - The Applicant is presently doing business in Pennsylvania as a
    - natural gas interstate pipeline.
      - municipal providing service outside its municipal limits.
    - local gas distribution company
    - retail supplier of natural gas services in the Commonwealth
    - a natural gas producer
    - X Other. (Identify the nature of service being rendered.) Applicant is licensed as an electricity broker, Docket No. A-2010-2204832. See, "Exhibit 7" attached hereto.

# or

# 8. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- supplier of natural gas services.
- Municipal supplier of natural gas services.
- Cooperative supplier of natural gas services.
- X Broker/Marketer engaged in the business of supplying natural gas services.
- Aggregator engaged in the business of supplying natural gas services.
- Other (Describe):

The Applicant is not presently doing business in Pennsylvania.

9. **PROPOSED SERVICES:** Generally describe the natural gas services which the Applicant proposes to offer.

# Muirfield Energy, Inc. is an energy consulting and brokering company providing energy procurement services to commercial and industrial customers.

10. **SERVICE AREA:** Provide each Natural Gas Distribution Company (NGDC) in which Applicant proposes to offer services.

Columbia Gas Of Pennsylvania, Inc.

Equitable Gas Company

4

National Fuel Gas Distribution Corporation

PECO Gas (Exelon Corporation)

Peoples Natural Gas Company LLC

Peoples TWP LLC (Formerly TW Phillips)

Philadelphia Gas Works (PGW)

UGI Central Penn Gas

UGI Penn Natural Gas

UGI Utilities Inc. (Gas)

Valley Energy

11. **CUSTOMERS:** Applicant proposes to initially provide services to:

Residential Customers
Commercial Customers - (Less than 6,000 Mcf annually)
Commercial Customers - (6,000 Mcf or more annually)
Industrial Customers
Governmental Customers
All of above
Other (Describe):

9-30-14

- START DATE: The Applicant proposes to begin delivering services on \_ (approximate date).
  - 13. **NOTICE:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of the signed and verified Application with attachments on the following:

Irwin A. Popowsky Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120-1921

.

William R. Lloyd, Jr. Commerce Building, Suite 1102 Small Business Advocate 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Any of the following Natural Gas Distribution Companies through whose transmission and distribution facilities the applicant intends to supply customers:

Valley Energy Inc.	National Fuel Gas Distribution Corp.
Robert Crocker	David D. Wolford
523 South Keystone Avenue	6363 Main Street
Sayre, PA 18840-0340	Williamsville, NY 14221
PH: 570.888-9664	PH: 716.857.7483
FAX: 570.888.6199	FAX: 716.857.7479
email: rcrocker@ctenterprises.org	email: wolfordd@natfuel.com
UGI Central Penn	Peoples Natural Gas Company LLC
David Beasten	Peoples Division
2525 N. 12 <sup>th</sup> Street, Suite 360	Lynda Petrichevich
Reading, PA 19612-2677	375 North Shore Drive, Suite 600
PH: 610.796.3425	Pittsburgh, PA 15212
FAX: 610.796.3559	PH: 412.208.6528
	FAX: 412.208.6577
	email: Lynda.W.Petrichevich@peoples-gas.com
Peoples TWP LLC (Formerly T. W. Phillips)	UGI
Lynda Petrichevich	David Beasten
375 North Shore Drive, Suite 600	2525 N. 12 <sup>th</sup> Street, Suite 360
Pittsburgh, PA 15212	Reading, PA 19612-2677
PH: 412.208.6528	PH: 610.796.3425
FAX: 412.208.6577	FAX: 610.796.3559
email: Lynda.W.Petrichevich@peoples-gas.com	
UGI Penn Natural	Peoples Natural Gas Company LLC
David Beasten	Equitable Division
2525 N. 12 <sup>th</sup> Street, Suite 360	Lynda Petrichevich
Reading, PA 19612-2677	375 North Shore Drive, Suite 600
PH: 610.796.3425	Pittsburgh, PA 15212
FAX: 610.796.3559	PH: 412.208.6528
	FAX: 412.208.6577
	email: Lynda.W.Petrichevich@peoples-gas.com
PECO	Columbia Gas of Pennsylvania Inc.
Carlos Thillet, Manager, Gas Supply and Transportation	Thomas C. Heckathorn
2301 Market Street, S9-2	200 Civic Center Drive
Philadelphia, PA 19103	Columbus, OH 43215
PH: 215.841.6452	PH: 614.460.4996
email: <u>carlos.thillet@exeloncorp.com</u>	FAX:614.460.6442
	email: theckathorn@nisource.com
Philadalphia Can Marka	
Philadelphia Gas Works	
Douglas Moser	

800 West Montgomery Avenue	
Philadelphia, PA 19122	
PH: 215.684.6899	
email: douglas.moser@pgworks.com	

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14.

# See, "Exhibit 13" attached hereto.

- 14. **TAXATION:** Complete the <u>TAX CERTIFICATION STATEMENT</u> attached as Appendix B to this application.
- 15. **COMPLIANCE:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings.

# Neither the Applicant nor any affiliates, predecessors or persons identified herein have been Convicted of a crime involving fraud or similar activity.

- 16. **STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND CONSUMER EDUCATION:** All services should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.
  - a. Contacts for Consumer Service and Complaints: Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant, the Distribution Company, the Pennsylvania Public Utility Commission or other agencies.

Perry Oman, President Anne Marie Oman, Manager Muirfield Energy, Inc. 425 Metro Place North, Suite 550 Dublin, OH 43017 Phone: (614) 336-8877, ext. 222 Fax: (888) 370-8878 c/o Perry Oman, President poman@muirfieldenergy.com

b. Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers. See, "Exhibit 16b" attached hereto.

c. If proposing to serve Residential and/or Small Commercial customers, provide a disclosure statement. A sample disclosure statement is provided as Appendix B to this Application. See, "Exhibit 16c" attached hereto.

# 17. FINANCIAL FITNESS:

A. Applicant shall provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published parent company financial and credit information.
- Applicant's balance sheet and income statement for the most recent fiscal year. Published financial information such as 10K's and 10Q's may be provided, if available.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements

1

• Such other information that demonstrates Applicant's financial fitness.

# See, "Exhibit 17A" attached hereto.

- B. Applicant must provide the following information:
- Provide proof of compliance with bonding/credit requirements for each NGDC the applicant is proposing to provide service in. This requirement is designated by each NGDC and can commonly be found in the NGDC supplier tariff. See, "Exhibit 17B1" attached hereto.
- Identify Applicant's chief officers including names and their professional resumes.

Perry Oman, President Muirfield Energy, Inc. 425 Metro Place North, Suite 550 Dublin, OH 43017 Phone: (614) 336-8877, ext. 222 Fax: (888) 370-8878 c/o Perry Oman, President poman@muirfieldenergy.com

### See, Resume attached hereto as "Exhibit 17B2.

 Provide the name, title, address, telephone number and FAX number of Applicant's custodian for its accounting records.

Perry Oman, President Muirfield Energy, Inc. 425 Metro Place North, Suite 550 Dublin, OH 43017 Phone: (614) 336-8877, ext. 222 Fax: (888) 370-8878 c/o Perry Oman, President poman@muirfieldenergy.com

- 18. **TECHNICAL FITNESS:** To ensure that the present quality and availability of service provided by natural gas utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
  - The identity of the Applicant's officers directly responsible for operations, including names and their professional resumes.
  - A copy of any Federal energy license currently held by the Applicant.

- Proposed staffing and employee training commitments.
- Business plans.

See, "Exhibit 18, attached hereto.

- 19. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2208(D). Transferee will be required to file the appropriate licensing application.
- 20. UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.
- 21. **REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
  - a. Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on an annual basis no later than 30 days following the end of the calendar year.

Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 22 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive natural gas market.

- 22. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.
- 23. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.
- 24. **FEE:** The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

Science Applicant: By: Title:

# AFFIDAVIT

SS.

[Commonwealth/State] of Ohio

**County of Franklin** 

PERRY S. OMAN, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the PRESIDENT (Office of Affiant) of MUIRFIELD ENERGY, INC. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That MUIRFIELD ENERGY, INC., the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That MUIRFIELD ENERGY, INC., the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That MUIRFIELD ENERGY, INC., the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That MUIRFIELD ENERGY, INC., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, and the standards and billing practices of 52 PA. Code Chapter 56.



SEP 0 2 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Commission's Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

.....

ignature of Affiant Ø Sworn and subscribed before me this 2014. day of Signature of official administering oath .::•• JC/SEPH S. STREB ATTORNEY AT LAW NOTARY PUBLIC - STATE OF OHIO MY COMMISSION HAS NO EXPIRATION DATE My commission expires SEGILUN 147.00 K. W.

# AFFIDAVIT

:

SS.

[Commonwealth/State] of Ohio

County of Franklin

PERRY S. OMAN, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the PRESIDENT (Office of Affiant) of MUIRFIELD ENERGY, INC. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That MUIRFIELD ENERGY, INC., the Applicant herein certifies that it has caused the notice of the filing of its license applic published in the following newspapers on

(date)

see attached proofs

A copy of the notice as it appeared in each of the above newspapers is attached. Noted on each copy is the newspaper section (name, number or letter), if applicable, and the page number on which the notice appeared.

That MUIRFIELD ENERGY, INC., the Applicant will submit to the Commission the proof of publication from each newspaper in which notice of the application filing was published as soon as it is available.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

nature of Affiant

\_\_\_\_day of \_\_\_\_\_AUG Sworn and subscribed before me this 22014.

Signature/of official administering oath

RECEIVED

My commission expires

SEP. 0 2 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

JOSEPH S. STRUB ATTORNEY AT LAW NOTARY PUBLIC - STATE OF OHIO MY COMMISSION HAS NO EXPIRATION DATE SECTION 147.03 R. C.

# PROOF OF PUBLICATION In THE ERIE TIMES-NEWS

# COMBINATION EDITION

Muirfield Energy Metro Center IV 425 Metro Place North Suite 550 Dublin OH 43017

REFERENCE: 81938 88208 PUC Notice

STATE OF PENNSYLVANIA)

COUNTY OF ERIE ) SS: Debra McGraw, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie. Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 08/20/14

TOTAL COST: \$409.00

AD SPACE: 0 Lines

Sworn to and subscribed before me this 27 h day of aust 2014 Affiant: NOTARY



SEP. 0 2 2014

# PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### Pennsylvania Public Utility Commission Notice

Application of Muirfield Energy, Inc. For Approval To-Offer, Render, Furnish Natural Gas Supply Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Services, To The Public in the Commonwealth of Pennsylvanla.

MUIRFIELD ENERGY, INC. will be fitting an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas services as a broker/marketer engaged in the business of supply natural gas. MUIRFIELD ENERGY, INC. proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Cholce and Competition Act.

The PUC may consider this application without hearing. Protests directed to the technical or financial fitness of MUIRFIELD ENERGY, INC, may be filed within 15 days of the date of this notice with the Secretary of the PUC. P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to MUIRFIELD ENERGY, INC. attorney at the address listed below.

By and through Counsel: ATTY, JOSEPH S. STREB JOSEPH S. STREB CO. LPA 736 Nell Avenue Columbus, OH 43215 Phone (614) 224-0200 Fax: (614) 224-9323

-----

#### COMMONWEALTH OF PENNSYLVANIA Notarial Sea:

Barbara ). Moore, Notary Public City of Ene, Erie County My Commission Expires March 23, 2016 Menesh, remanusculation of NotARIES

# Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

### STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

August 20, 2014

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

House Wesley

Sworn to and subscribed before me this  $20^{th}$  day of August, 2014.

Marylane Lo

My Commission Expires:

HOTARIAL DE OF PERRISYLVANA HOTARIAL DEAL DARY AUNE LOGAN, "Cary Public Day of Phadeonia, 75 AL County Dy Commission Expensives March 20, 2017

#### Copy of Notice of Publication

#### enneylvania PubBa Utility Commission

Application of Medias proval To Offer, Render, Furnish Netural Gas Supply Services as a Marketer/Broker Engeged In The Business Of Supplying Natural Gas Servloss. To The Public in the Commonwealth of Pennsylvania.

Demoviousling the second secon

nancina tied within 15 days of the date of this may be with the Secretary of the PUC, PO. Box 2015, Harrisburg, PA 17105-3285. You should 2014, Wojke of any protest to ButHRFILLD EN-UNE, INC. sitorney at the eddress listed beinet.

By and through Counsel: ATTY. JOSEPH 5. STRED JOSEPH 5. STRED CO. LPA 736 NeS Avenue Celumbus, OH 43215 Phone (514) 224-0320 Fax (514) 224-0323

# PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

# STATE OF PENNSYLVANIA COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly eworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

1 IAUA

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

· .		1 Bout A. Chan
	Pennsylvania Public Utility Commission Notice	SUN-GAZETTE COMPANY
Eni To	plication of Muinfield ergy, Inc. For Approval Offer, Rander, Furnish brat Gas Supply Ser-	Sworn to and subscribed before me the Z/ I day of Olleguist 20/4
Brc Bu: Nat	tural Gas Supply Sar- as as a Marketer/ okar Engaged in The siness Of Supplying tural Gas Services, To	Cathag of Billey
The	nwealth of Pennsylva-	Notary Public (/
l INC	IRFIELD ENERGY, , will be filing an appli- ion with the Pennsyl- la Public Utility Com- sion ("PUC") for a nse to provide natural	NOTWILL LINK CATHY A. BILLEY, Notary Fubile City of Williamsport, Lycoming County My Commission Expires May 15, 2015
gas bas gas Sy	kervices as a broker/ rkotar engaged in the iness of supply natural . MUIAFIELD ENER- INC monotes in set	STATEMENT OF ADVERTISING COSTS
nati serv Per prov	vices provide and related vices throughout all of insylvania under the visions of the new Na-	
tura	I Gas Choice and npetition Act	To the Sun-Gazette Company, Dr.:
The	PUC may consider application without ring. Protests directed	For publishing the notice attached hereto on the above state dates
1011	the tochnight of Sabo I	Probated same\$
maj day	litriess of MUIR- LD ENERGY, INC. y be, filed within 15 s of the date of this	Total\$ 77740
01 (326	the PUC, P.O. Box, 5, Harrisburg, PA	SHER'S RECEIPT FOR ADVERTISING COSTS
THE SUN-GA test	d copies of any pro- to MUIRFIELD EN- GY, INC. attorney at	hereby acknowledges receipt of the aforesaid advertising and publication costs
ma	adoress listed below,	SUN-GAZETTE COMPANY
1	ATTY. JOSEPH S. STREB SEPH S. STREB CO.	BY Bernard A. Gravec
Ç P	LPA 736 Nell Avenue columbus, OH 43215 thone (514) 224-0200 Fax: (614) 224-8321	

### The Scranton Times (Under act P.L. 877 No 160. July 9,1976) Commonwealth of Pennsylvania, County of Lackawanna

MUIRFIELD ENERGY KIMBERLY WOODS 425 METRO PLACE NORTH, STE 550 DUBLIN OH 43017

Account # 599659 Order # 81574781 Ad Price: 206.20

#### LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

08/19/2014

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true  $Mus_{1}^{c}$ 

Sworn and subscribed to before me this 19th day of August A.D., 2014

(Notary Public)

COMMONWEALTH OF PENNSYLVANIA Notanaf Seal Joseph D. Strubeck, Notary Public City of Scranton, Lackawanna County My Commission Expires Aug. 19, 2017 "Events Functional Association of Instances

#### LEGAL NOTICE

#### Pennsylvania Public Utility Commission Notice

Application of Muinfield Energy, Inc. For Approval To Offer, Render, Furnish Natural Gas Supply Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Services, To The Public in the Commonwealth of Pennsylvania.

MURFIELD ENERGY, INC. will be filing an application with the Pannsylvania Public URINY Commission (PUC) for a license to provide natural gas services as a brokertmanketer engeged in the business of supply natural gas. MUR-FIELD ENERGY, INC. proposes to call natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without heating. Protests directed to the tachnical or financial fitness of MUIRRELD ENERGY, INC. may be filed within 15 days of the data of this notics with the Secretary of the PUC. P.O. Box 3265, Harrisburg (PA 17105-3265, You should send copies of any protest to MUIRRELD ENERGY, INC attorney at the address listed below.

By and through Counsel: ATTY. JOSEPH 8. STREE

JOSEPH 8. STREB CO. LPA 735 Nell Avenus Columbus, OH 43213 Phone (514) 224-0200 Fax: (514) 224-0323

No.

Term,

# Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss <u>K. Flaherty</u>, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Post-Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the <u>regular</u> editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

### 20 of August, 2014

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PG Publishing Comply Sworn to and subscribed before me this day of: August 20, 2014

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Melanie L. Goodwin, Notary Public City of Pittsburgh, Allegheny County My Commission Expires May 12, 2018 MEVBER, PENNSYLVANIA ASSOCIATION OF NOTANIES

STATEMENT OF ADVERTISING COSTS Muirfield Energy 425 METRO PL N STE 550 METROCENTER IV Dublin OH 43017-5347

To PG Publishing Company

Total ----- \$420.00

# **Publisher's Receipt for Advertising Costs**

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforsaid advertising and publication costs and certifies that the same have been fully paid.

Office 34 Boulevard of the Allies PITTSBURGH, PA 15222 Phone 412-263-1338

PG Publishin	Company, a Corporation, Publisher of
Pittsburgh Po	a-Gazette, a Newspaper of General Circulation
Ву	st-Gazette, a Newspaper of General Circulation
БУ	<i>E</i> _

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE

OR PUBLICATION
Pennsylvania Public Ublity Commission Notice
Application of Multi- tivity Energy, inc. For Ap- provid To Olfer, Render, Furnish Natural Gas Supply Services as a Marketer/Eroker En gozetin the Ensiness of Supplying Natural Gas Services, To The Public in the Commonwealth of Demonstration
Furnish Natural Gas Supply Services as A Marketer/Eroker En
soged in the Business Of Supplying Natural Gas Services, to The Public
Services, to the Public in the Commonwealth of Peursylvana MURFIELD ENERGY.
MURFIELD ENERGY. INC will be filing an ap- plication with the Penn- sylvania Public Utility Commission ("PUC") for
Commission (PUC) for a license to provide nat ural gas services as a proker (marketer en-
broker/marketer en- gaged in the business of supply natural gas
and the second s
sylvania under the provi-
Gas Chore and Compe- tation Act The PUC may consid- er this anglecation with- mut heaving Plotosis di- rected to the reclaused or financial friness of Mularield ENERGY, INC may be filed within 15 days of the dare of this notice with the Secre- tary of the PUC, PU Bex 3265, Harrisburg, FA 37105-3265 You should Send copies of any pro- test to MUSFIELD ENER GY, INC antorney at the andress histed below
mitheoirg Protests di- rected to the technical
MURFIELD ENERGY, INC may be filed within 15 days of the days of this
notice with the Secre- tary of the PUC, P.O. Box
17105-3265 You should send copies of any pro- test to My SEIELD ENER
GY, INC attorney at the address listed below By and through Coun-
SEI ATTY JOSEPHS STREB, JOSEPHS STRED CO LPA 735 Nei AVC
ancress disted Deriver Private Strain States Strain STREN, JOSEPH S STREN, JOSEPH S CO LPA, 735 NEI ALC- NUP, COLUMIN DUS, OH 43215, Phiphe (614) 224-9323
224-9323

Attomey For

The Patriot-News Co. 2020 Technology Pkwy Suite 300 Mechanicsburg, PA 17050 Inquiries - 717-255-8213

Che Patriot-News Now you know

MUIRFIELDENERGY METROCENTER IV 425 METRO PLACE NORTH. SUITE 550 DUBLIN

OH 43017

# THE PATRIOT NEWS THE SUNDAY PATRIOT NEWS

# Proof of Publication

Under Act. No. 587, Approved May 16, 1929 Commonwealth of Pennsylvania, County of Dauphin) ss

Amy Kotula, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949. respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and oursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

#### PUBLICATION COPY

This ad # 0002309924 ran on the dates shown below:

August 21, 2014

Sworn to and subscribed before me this 21 day of August, 2014 A.D. Notary Poblic COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Sheryl Marie Leggore, Notary Public

Hampden Twp., Cumberland County My Commission Expires July 16, 2018 NEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Application of Multiplied Energy, Inc. For Approval To Offer, Render, Furnish Natural Gas Supply Services as a Markeir/Braker Engogad in The Business Of Supplying Natural Gas Services. To The Public in like Commonwealth of Penasylvania. MURFIELD ENERGY, INC. will be filing an application with the Panasylvania Public Ulility Commission (? PUC?) for a licenses to provide natural oras services as a braker/marketer engoged in the business of supply. InC. process to sub natural goes and related services throughout all of Penasylvania Under the provisions of the new Natural Gas Choice and Competition Act. The PUC may consider this application without hearing. Profests directed to the technical of financial fitness of MUIRFIELD ENERGY, INC. may be filed within 15 days of the date of this notice with the Secretary of the PUC P.O. Box 3055. Horrisburg, PA 17105-3265. You should tend copies of ony arotest to MUIRFIELD ENERGY, INC. may be filed within the Secretary of the puce P.O. Box 3055. Horrisburg, PA 17105-3265. You should tend copies of ony arotest to MUIRFIELD ENERGY, INC. By and though Counsal; By and though Counsal; Notice Application of Mulrileid Energy, Inc

Pennsylvania Public Utility Commission

By and through Countiel: ATTY.JOSEPH S. STRED JOSEPH S. STREB CO. LPA 734 Net! Avenue Columbur. 0H 4315 Phene (814) 224-920 Fac: (814) 224-922

# AFFIDAVIT

1

•



SEP 0 2 2014

[Commonwealth/State] of Ohio

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

County of Franklin

PERRY S. OMAN, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the PRESIDENT (Office of Affiant) of MUIRFIELD ENERGY, INC. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein MUIRFIELD ENERGY, INC. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. §2208(c)(1).

That the Applicant herein MUIRFIELD ENERGY, INC. has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein MUIRFIELD ENERGY, INC. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein MUIRFIELD ENERGY, INC. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Signature of Affian

\_day of Ale 6. Sworn and subscribed before me this  $\underline{27}$ 2014.

1

Signature of official administering oath

My commission expires

JOJEP'I S. STRUB ATTOL MY ALLAW NOTARY PIGLIC-STATE OF OHIO MY COMMISSION HAS NO LUMBLINGM DAYE SUCHOR 147.03 R. C.

# RECEIVED

# SEP: 0 2 2014

# Appendix B

# PA PUBLIC UTILITY COMMISSION Page 1 of 2 Sample Disclosure Statement Format for Natural Gas Suppliers

This is an agreement for natural gas services, between MUIRFIELD ENERGY, INC. name and customer's name and full address.

## Background

We at MUIRFIELD ENERGY, INC. are licensed by the Pennsylvania Public Utility Commission to offer and supply natural gas services in Pennsylvania. Our PUC license number is A-110XXX.

- We set the prices and charges that you pay. The Public Utility Commission regulates distribution or delivery prices . and services. The Federal Energy Regulatory Commission regulates interstate pipeline prices and services.
- If you ask us, we can bill you directly for our service. .
- Right of Recision You may cancel this agreement at any time before midnight of the third business day after receiving this disclosure.

# Definitions

- Interstate Pipeline Charges Charges for moving natural gas to the distribution lines of a distribution company.
- Nonbasic Charges Define each nonbasic service being offered.

## **Terms of Service**

1. (a) Basic Service Prices - Itemize Basic Services you are billing for and their prices.

You will pay rate per (Mcf/Dth/ccf) for the commodity of natural gas. Suppliers are to include any variable pricing conditions and limits, if charging a variable rate.

You will pay rate per (Mcf/Dth/ccf) for other natural gas service. Suppliers are to include transmission service prices if billed.

Nonbasic Service Prices - Itemize Nonbasic Services you are offering and their prices. (b)

#### 2. Length of Agreement

You will buy your natural gas services for the above street address from company's name beginning date through date of expiration, if any.

Special Terms and Conditions - List and explain all that apply. 3.

Sign-up bonuses Add-ons Limited time offers Other Sales Promotions Exclusions

Special Services - Provide explanation of price, terms and conditions, including advanced metering deployment, 4. if applicable.

**5. Penalties, Fees and Exceptions -** *List any that apply including a late payment charge. The print size for this section must be larger than the print in the rest of the agreement.* 

**6. Cancellation Provisions -** This category may consist of both customer initiated cancellation provisions and supplier initiated cancellation provisions.

7. Renewal Provision - If this is a fixed term agreement with automatic renewal, explain the procedure here.

# 8. Agreement Expiration/Change in Terms

If you have a fixed term agreement with us and it is approaching the expiration date or if we propose to change our terms of service, we will send you written notice in each of our last three bills or in separate mailings before either the expiration date or the effective date of the changes. We will explain your options in these three advance notices.

# 9. Dispute Procedures

Contact us with any questions concerning our terms of service. You may call the PUC if you are not satisfied after discussing your terms with us.

# 10. Contact Information

Supplier Name:	
Address:	
Phone Number:	
Internet Address:	
Distribution Company Name:	
Provider of Last Resort Name:	
Address:	
Phone Number:	
Public Utility Commission (PUC) Address:	P.O. Box <u>3265 Harrisburg, PA 17105-3265</u>
Natural Gas Competition Hotline Number:	1-888-xxx-xxxx
Universal Service Program Name:	
Phone Number:	

# APPENDIX C EXAMPLE FORM OF NOTICE

# PENNSYLVANIA PUBLIC UTILITY COMMISSION <u>NOTICE</u>

Application of <u>MUIRFIELD ENERGY, INC.</u> For Approval To Offer, Render, Furnish Natural Gas Supply Services as a Marketer/Broker or Aggregator Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania, Docket No. <u>A-</u> <u>125XXX.</u>

On Month Date, Year, Company Name filed an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, (2) a broker/marketer engaged in the business of supplying natural gas, and (3) an aggregator engaged in the business of providing natural gas supply services. MUIRFIELD ENERGY, INC. proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of MUIRFIELD ENERGY, INC. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to MUIRFIELD ENERGY'S attorney at the address listed below. Please include the PUC's "docket number" on any correspondence, which is

<u>A-125XXX.</u>

By and through Counsel: ATTY. JOSEPH S. STREB JOSEPH S. STREB CO. LPA 736 Neil Ave. Columbus, Ohio 43215 Phone (614) 224-0200 Fax: (614) 224-9323



SEP 0 2 2014

# APPENDIX D

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

# **Standards of Conduct**

- (1) The [natural gas distribution company] should apply its tariffs in a nondiscriminatory manner to its affiliate, its own marketing division and any nonaffiliate.
- (2) The [natural gas distribution company] should likewise not apply a tariff provision in any manner that would give its affiliate or division an unreasonable preference over other marketers with regard to matters such as scheduling, balancing, transportation, storage, curtailment, capacity release and assignment, or nondelivery, and all other services provided to its affiliated suppliers.
- (3) If a tariff provision is mandatory, the [natural gas distribution company] should not waive the provision for its affiliate or division absent prior approval of the Commission.
- (4) If a tariff provision is not mandatory or provides for waivers, the [natural gas distribution company] should grant the waivers without preference to affiliates and divisions or non-affiliates.
- (5) The [natural gas distribution company] should maintain a chronological log of tariff provisions for which it has granted waivers. Entries should include the name of the party receiving the waiver, the date and time of the request, the specific tariff provision waived and the reason for the waiver. Any chronological log should be open for public inspection during normal business hours.
- (6) The [natural gas distribution company] should process requests for transportation promptly and in a nondiscriminatory fashion with respect to other requests received in the same or a similar period. The [natural gas distribution company] should maintain a chronological log showing the processing of requests for transportation services. Any chronological log should be open for public inspection during normal business hours.
- (7) Transportation discounts and fee waivers and rebates provided to the [natural gas distribution company's] or its marketing affiliate's favored customers should be offered to other similarly situated customers and should not be tied to any unrelated service, incentive or offer on behalf of either the parent of affiliate. A chronological

log should be maintained showing the date, party, time and rationale for the action. Any chronological log should be open for public inspection during normal business hours.

- (8) The [natural gas distribution company] should not disclose any customer proprietary information to its marketing affiliate or division, and to the extent that it does disclose customer information, it should contemporaneously paovide this same information to other similarly situated marketers in a similar fashion so as not to selectively disclose, delay disclosure, or give itself or its affiliate any undue advantage related to the disclosure. A chronological log should be maintained showing the date, time and rationale for the disclosure. Any chronological log should be open for public inspection during normal business hours. A natural gas distribution company should not provide information received from non-affiliated customers or suppliers to its affiliated natural gas suppliers.
- (9) The [natural gas distribution company] should justly and reasonably allocate to its marketing affiliate or division the costs or expenses for general administration or support services.
- (10) The [natural gas distribution company] selling surplus gas supplies and/or upstream capacity on a short-term basis (as defined by the Federal Energy Regulatory Commission) to its affiliate should make supplies available to similarly situated marketers on nondiscriminatory basis. The [natural gas distribution company] should not make any gas supplies and/or upstream capacity available through private disclosure to the [natural gas distribution company's] affiliate unless the availability is made simultaneously with public dissemination in a manner that fairly apprises interested parties of the availability of the gas supplies and/or upstream capacity. The [natural gas distribution company] should maintain a chronological log of these public disseminations. Any chronological log should be open for public inspection during normal business hours.
- (11) The [natural gas distribution company] should not condition or tie agreements to release interstate pipeline capacity to any service in which the [natural gas distribution company] or affiliate is involved.
- (12) The [natural gas distribution company] should not directly or by implication . . . represent to any customer, supplier or third party that an advantage may accrue to any party through use of the [natural gas distribution company's] affiliate or subsidiary.

- (13) The [natural gas distribution company] should establish and file with the Commission a complaint procedure for dealing with any alleged violations of any of the standards listed in paragraphs (1) through (12), this paragraph or paragraphs (14) and (15), excepting for paragraph (9), which should be exclusively under the purview of the Commission. These procedures should be developed in consultation with interested parties during consideration of any tariff guided by this section and §69.191 (relating to general). The Commission may expect establishment of a complaint procedure or other recordkeeping requirements if warranted by subsequent facts or circumstances.
- (14) The [natural gas distribution company] should keep a chronological log of any complaints, excepting paragraph (9), regarding discriminatory treatment of natural gas suppliers. This chronological log should include the date and nature of the complaint and the [natural gas distribution company's] resolution of it. Any chronological log should be open for inspection during normal business hours.
- (15) Parties alleging violations of these standards may pursue their allegations through the Commission's established complaint procedures. A complainant bears the burden of proof consistent with 66 Pa. C.S. (relating to Public Utility Code) in regard to the allegations.
- (16) Licensees shall provide accurate information about their natural gas supplier services using plain language and common terms. Where new terms are used, such terms must be defined again using plain language: Information should be provided in a format which will allow for comparison of the various natural gas supply services offered and the prices charged for each type of service.
- (17) Licensees shall provide notification of the change in conditions of service, intent to cease operation as an natural gas supplier, explanation of denial of service, proper handling of deposits and proper handling of complaints in accordance with Commission regulations where applicable.
- (18) Licensees shall maintain the confidentiality of customers' historic payment information and right of access to their own load and billing information.

- (19) Licensees shall not discriminate in the provision of natural gas supply services as to availability and terms of service based on race, color, religion, national origin, sex, marital status, age receipt of public assistance income, and exercise of rights under the Consumer Credit Protection Act, 15 U. S. C. §§1691-1691f; Regulation B, 12 C.F.R. §§202-202.14.
- (20) Licensees will be responsible for any fraudulent deceptive or other unlawful marketing or billing acts performed by their agents or representatives. Licensee shall inform consumers of state consumer protection laws that govern the cancellation or rescission of natural gas supply service contracts. 73 P. S. §201-7.
- (21) The natural gas distribution company shall not give any affiliate or marketing division preference over a non-traditional affiliate in the provision of goods and services such as processing requests for information, complaints and responses to service interruptions. The natural gas distribution company shall provide comparable treatment without regard to a customer's chosen natural gas supplier.
- (22) No transaction between the natural gas distribution company and an affiliated natural gas supplier shall involve an anti-competitive cross-subsidy and all such transactions shall comply with applicable law.
- (23) Natural gas distribution company employees who have responsibility for operating the distribution system, including natural gas delivery or billing and metering, shall not be shared with an affiliated or divisional Supplier, and their offices shall be physically separated from the office(s) used by those working for the Supplier. Such natural gas distribution company employees may transfer to a Supplier provided such transfer is not used as a means to circumvent these interim standards of conduct. Any supplier shall have its own direct line management. Any shared facilities shall be fully and transparently allocated between the natural gas distribution company function and the Supplier function. The natural gas distribution company accounts and records shall be maintained such that the costs a Supplier incurs may be clearly identified.
- (24) (a) Neither the natural gas distribution company nor an affiliated or divisional Supplier may directly or by implication falsely and unfairly represent:

• that the Pa PUC jurisdictionally regulated services provided by the natural gas distribution company are of a superior quality when power is purchased from an affiliated or divisional Supplier; or

• that the merchant services (for natural gas) are being provided by the natural gas distribution company rather than an affiliated or divisional Supplier;

• that the natural gas purchased from a Supplier that is not an affiliate or division of the natural gas distribution company may not be reliably delivered;

• that natural gas must be purchased from an affiliate or divisional Supplier to receive Pa PUC jurisdictional regulated services.

(b) The natural gas distribution company shall not jointly market or jointly purchase its Pa PUC jurisdictional regulated services with the services of an affiliated or divisional Suppler. This prohibition includes prohibiting the natural gas distribution company from including bill inserts in its natural gas distribution company bills promoting an affiliated or divisional Supplier's services, and further precludes a reference or link from the natural gas distribution company's web-site to any affiliated or divisional supplier.

(c) When an affiliated or divisional Supplier markets or communicates to the public using the natural gas distribution company name or logo, it shall include a disclaimer that states:

(i) That the Supplier is not the same company as the natural gas distribution company; (2) that the prices of the Supplier are not regulated by the Pa PUC; and (3) that a customer does not have to by natural gas or other products from the Supplier in order to receive the same quality service from the natural gas distribution company. When a Supplier advertises or communicates verbally through radio or television to the public using the natural gas distribution company name or logo, the Supplier shall include at the conclusion of any such communication a disclaimer that includes all of the disclaimers listed in this paragraph.

(25) The natural gas distribution company must: (a) make interstate capacity available for release, assignment, or transfer to its affiliated or divisional Supplier only through the intestate pipeline electronic bulletin boards and the competitive bidding procedures in place on those interstate systems; (b) not give its affiliated or divisional Supplier any preference over nonaffiliated or non-divisional Suppliers, or potential non-affiliated or nondivisional Suppliers, in matters relating to the assignment, release, or other transfer of the natural gas distribution company's capacity rights on interstate pipeline systems; and (c) not condition or tie its agreement to release, assign, or otherwise transfer interstate pipeline capacity to any agreement by a gas Supplier, customer or other third party relating to any service in which its marketing affiliate is involved.

-

# **EXHIBIT 5** (Compliance with 15 Pa. C.S. §4124)



SEP 0 2 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

**EXHIBIT 5** 

### COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU 401 NORTH STREET, ROOM 206 P.O. BOX 8722 HARRISBURG, PA 17105-8722 WWW.CORPORATIONS.STATE.PA.US/CORP

Muirfield Energy, Inc.

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT <u>WWW.CORPORATIONS.STATE.PA.US/CORP</u> OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 3984286

RECEIVED

SEP 0 2 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Streb, J. S. 736 Neil Ave Columbus, OH 43215

### PENNSYLVANIA DEPARTMENT OF STATE **CORPORATION BUREAU**

### Application for Certificate of Authority (15 Pa.C.S.)

\_X\_ Foreign Business Corporation (§ 4124) Foreign Nonprofit Corporation (§ 6124)

Name Atty. J. S. Streb	Document will be returned to the name and address you enter to
Address 736 Neil Avc.	the left.
City State Zip Code Columbus, OH 43215	Commonwealth of Pennsylvania CERTIFICATE OF AUTHORITY 3 Page(9)
e: \$250	

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations), the undersigned, hereby states that:

i

1. The name of the corporation is: Muirfield Energy, Inc.

2. Complete only when the corporation must adopt a corporate designator for use in Pennsylvania. The name which the corporation adopts for use in this Commonwealth is:-

3. If the name set forth in paragraph 1 or 2 is not available for use in this Commonwealth, complete the following: The fictitious name which the corporation adopts for use in transacting business in this Commonwealth is: Muirfield Energy Source, Inc.

The corporation shall do business in Pennsylvania only under such fictitious name pursuant to the attached resolution of the board of directors under the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations) and the attached form DSCB:54-311 (Application for Registration of Fictitious Name).

4. The name of the jurisdiction under the laws of which the corporation is incorporated is: Ohio

5. The address of its principal office under the laws of the jurisdiction in which it is incorporated is: 5850 Venture Drive, Suite C, Dublin, Ohio 43017 City Zip Number and street State

PA DEPT. OF STATE

OCT 0 7 2010

### DSCB:15-4124/6124-2

<ol> <li>The (a) address of this corporation's pro commercial registered office provider an</li> </ol>			inition weater o	a (b) naine or na
(a) Number and street	City	State	Zip	County
(b) Name of Commercial Registered Off c/o: InCorp Services, Inc.	ice Provider		County Daupt	

7. Check one of the following:

2

\_ Business Corporation: The corporation is a corporation incorporated for a purpose or purposes involving pecuniary profil, incidental or otherwise.

\_ Nonprofit Corporation: The corporation is a corporation incorporated for a purpose or purposes not involving pecuniary profit, incidental or otherwise.

IN TESTIMONY WHEREOF, the undersigned corporation has caused this Application for Certificate of Authority to be signed by a duly authorized officer thereof this <u>8th</u> day of September,
<u>2010                                   </u>
Muirfield Energy, Inc.
In Signature
President Title
11//0

## **EXHIBIT 7**

(Summary & Proof of Licenses as Gas Supplier in Other States and Electricity in Pa.)



SEP 0 2 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

**EXHIBIT 7** 

#### PENNSYLVANIA PUBLIC UTILITY COMMISSION Harrisburg, PA 17105-3265

#### Public Meeting held December 16, 2010

#### Commissioners Present:

James H. Cawley, Chairman Tyrone J. Christy, Vice Chairman John F. Coleman, Jr. Wayne E. Gardner Robert F. Powelson

License Application of Muirfield Energy, Inc. for Approval to Offer, Render, Furnish or Supply Electricity or Electric Generation Services as a Broker/Marketer

#### Docket No A-2010-2204832

#### ORDER

#### **BY THE COMMISSION:**

On October 14, 2010, Muirfield Energy, Inc. (Muirfield) filed an application seeking to become a licensed electric generation supplier (EGS) in the electric distribution company (EDC) service territories of Allegheny Power, Citizens' Electric Company, Duquesne Light Company, Metropolitan Edison Company, PECO Energy, Inc., Pennsylvania Power Company, Pennsylvania Electric Company, Pike County Light & Power Company, PPL Electric Utilities, Inc., UGI Utilities Inc., Electric Division, and Wellsboro Electric Company. The application was filed pursuant to the Commission's regulations at 52 Pa. Code §§ 54.31-54.43, which became effective on August 8, 1998, and which were established under section 2809 of the Public Utility Code, 66 Pa. C.S. § 2809. Section 2809 provides in pertinent part that:

License Requirement.--No person or corporation, including municipal corporations which choose to provide service outside their municipal limits except to the extent provided prior to the effective date of this chapter, brokers and marketers, aggregators and other entities, shall engage in the business of an electric generation supplier in this Commonwealth unless the person or corporation holds a license issued by the Commission.

An electric generation supplier is defined as:

A person or corporation, including municipal corporations which choose to provide service outside their municipal limits except to the extent provided prior to the effective date of this chapter, brokers and marketers, aggregators or any other entities, that sells to end-use customers electricity or related services utilizing the jurisdictional transmission and distribution facilities of an electric distribution company, or that purchases, brokers, arranges or markets electricity or related services to end-use customers utilizing the jurisdictional transmission and distribution facilities of an electric distribution company.

66 Pa. C.S. § 2803.

Muirfield is a foreign corporation, organized under the laws of the state of Ohio, effective as of June 1, 2009. Muirfield is registered to do business in Pennsylvania as of September 29, 2010. Muirfield proposes to act as a broker/marketer for small commercial (25kw demand and under), large commercial (over 25kw demand) and industrial customers. Muirfield will not take title to electricity. Customers will continue to pay bills directly to the EDC or EGS, as appropriate. Muirfield will be compensated for its services by the supplier over the life of the contracts via broker fees. Currently,

Muirfield does not intend to provide these services to residential customers. Therefore, Chapter 56 residential service regulations do not apply.

If Muirfield chooses to provide broker/marketer services to residential customers in the future, however, Muirfield must file a request for a license modification with the Commission Secretary by letter immediately and shall be required to comply with, and be governed by, applicable Chapter 56 residential service regulations as set forth in the Commission Order Guidelines for Maintaining Customer Service at the Same Level of Quality Pursuant to 66 Pa. C.S. § 2807(d), and Assuring Conformance with 52 Pa. Code Chapter 56 Pursuant to 66 Pa. C.S. § 2809(e) and (f) at Docket No. M-00960890F0011, Order entered July 11, 1997. Thus, we deem it appropriate to reiterate certain items with respect to Chapter 56 of our regulations. Chapter 56 (52 Pa. Code Chapter 56) is applicable to residential accounts. An EGS cannot physically disconnect a residential customer from the electricity grid; therefore, the rules relating to residential service termination are not applicable to EGSs. An EGS may seek to terminate its generation service through an appropriate written notice to the customer and the distribution company. The residential customer can then attempt to repair their relationship with the supplier, seek a new supplier, or default to utility service at capped rates in accordance with the utility's obligations under section 2807(e), 66 Pa. C.S. § 2807(e). The customer would only be disconnected from the electricity grid pursuant to appropriate regulations if the customer failed to meet its obligations to the utility or the EGS that has been designated by the Commission as the provider of last resort.

Additionally, we specifically note that the licensee must comply with, and ensure that its employees, agents, representatives and independent contractors comply with the standards of conduct and disclosure for licensees set out in Commission regulations at 52 Pa. Code § 54.43 that were enacted to protect consumers of this Commonwealth. These standards include, *inter alia*, the provision of timely and accurate information about the services offered by the licensee, the practice of nondiscrimination

in service in regard to race, color, religion, national origin, marital status, etc., the safeguarding of a consumer's personal information, and compliance with applicable state and federal consumer protection laws. Also, we take this opportunity to remind the licensee of its agreement to abide by, and to ensure that its employees, representatives, agents and independent contractors abide by all applicable federal and state laws, and Commission regulations, procedures and orders, including Emergency Orders, which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of business.

Muirfield has provided proofs of publication in Pennsylvania newspapers and proofs of service to the interested parties as required by the Commission.

Regarding the financial and technical requirements of the license application, Muirfield has supplied a compiled, Statement of Assets, Liabilities and Owner's Equity as of September 30, 2010. Muirfield has also provided compiled projected financial statements for the years ended December 31, 2010, 2011, and 2012. Muirfield has also provided professional employment information for its sole shareholder and chief executive, indicating that he has the necessary utility, technical and marketing experience. Muirfield has been a certified Competitive Retail Electric Service Provider in Ohio since August 1, 2009 and currently serves over 200 customers with over 500 locations throughout Ohio. Sufficient information has been provided by Muirfield to demonstrate its financial and technical fitness in order to be licensed as a broker/marketer in the Commonwealth of Pennsylvania.

Muirfield did not provide a \$250,000 bond as required by the license application; however, it did provide a bond in the amount of \$10,000 and requests that the Commission reduce its security requirement from \$250,000 to \$10,000. In support of this request, Muirfield explains that it will not be collecting any gross receipts from its

customers or taking title to electricity. Its services include providing energy procurement services to its clients.

The Commission believes that the reasoning on which Muirfield bases its request for a bond reduction from \$250,000 to \$10,000 is similar to that offered by the other EGSs that have successfully petitioned the Commission for a bonding level of \$10,000. Two of the EGSs are Co-eXprise, Inc. (Co-eX), at Docket No. A-110166, whose request was granted January 20, 2006, and Premier Energy Solutions (Premier), at Docket No. A-110170, whose request was granted July 26, 2006. In the case of Co-eX, the company explained that, as a consultant, it will provide its customers with an evaluation and selection process from which to select the best positioned licensed electricity supplier/generator and award business. In the case of Premier, the company explained that it requested an EGS license to become EDI certified with the utilities, in order to be able to efficiently and effectively obtain electricity usage information for the customers to whom it provides consulting services. Premier intended to utilize the information to help its customers reduce their overall spending for electricity. Premier also stated that it will not take title to power.

The Commission believes that Muirfield operates in a similar manner and therefore should be granted similar relief and be permitted to provide a bond or other approved security in the reduced amount of \$10,000. However, Muirfield's bonding level is contingent upon the company's business model as described in this Order. If Muirfield takes title to generation supply for its customers, and/or charges customers directly for that generation supply, a \$10,000 level of bonding may not be appropriate. Therefore, we will direct Muirfield to notify the Commission 45 days prior to a change in its business model, whereby Muirfield takes title to generation supply and/or bills its customers directly for that generation supply. This will provide the Commission with an opportunity to review and adjust Muirfield's approved bonding level prior to Muirfield implementing those changes.

Muirfield has provided the required Pennsylvania Emergency Management Agency (PEMA) contact information.

As of December 7, 2010, no protests have been filed.

We find that the applicant:

- Is fit, willing and able to properly perform the service proposed in conformance with applicable provisions of the Public Utility Code and lawful Commission orders and regulations, specifically including 52 Pa. Code Chapter 56 (relating to Standards and Billing Practices for Residential Utility Service).
- 2. Has agreed to lawfully abide by all Commission regulations, procedures and orders, including Emergency Orders, which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

We further find that the proposed service, to the extent authorized by the license, will be consistent with the public interest and the policy declared in the Electricity Generation Customer Choice and Competition Act.

Upon full consideration of all matters of record, we find that approval of this application is necessary and proper for the service, accommodation and convenience of the public; **THEREFORE**,

6

#### **IT IS ORDERED:**

1. That the application of Muirfield Energy, Inc. is hereby approved, consistent with this Order.

2. That Muirfield Energy, Inc.'s request for a reduction in the bond level from \$250,000 to \$10,000 is hereby granted.

3. That the security amount of \$10,000 shall remain in effect for Muirfield Energy, Inc. as long as Muirfield Energy, Inc. does not make a change to its business model in Pennsylvania, whereby it would take title to generation supply and/or bill its customers directly for generation supply.

4. That a license be issued authorizing Muirfield Energy, Inc. to begin to offer, render, furnish or supply electric generation supplier services to small commercial (25kw and under), large commercial (over 25kw demand) and industrial customers in the EDC service territories throughout the Commonwealth of Pennsylvania, as specified in the Order.

5. That if Muirfield Energy, Inc. proposes to change its business model as described in Ordering Paragraph No. 3, it must notify the Commission at least 45 days prior to the changes. With the notice, the Company must provide an update to the nature and scope of business information that was required by Pa. Code § 54.40(c) to justify the modification granted in Ordering Paragraph No. 3. This will provide the Commission the opportunity to review Muirfield Energy, Inc.'s bonding level and adjust as appropriate, prior to Muirfield Energy, Inc. implementing the proposed changes to its business model.

6. That if Muirfield Energy, Inc. changes its business model without providing to the Commission the notice and information required in Ordering Paragraph No. 5, then the reduced bonding level permitted by Ordering Paragraph No. 2 shall cease

effective with the date of the change in the business model. The level of bonding effective at that time shall be \$250,000 or the level required by 52 Pa. Code § 54.40, whichever is greater.

7. That this proceeding at Docket No. A-2010-2204832 be closed.

BY THE COMMISSION,

Rosemary Chiavetta Secretary

(SEAL).

· · .

ORDER ADOPTED: December 16, 2010 ORDER ENTERED: December 20, 2010

## The Public Utilities Commission of Ohio Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate

Issued pursuant to Case Number(s): 09-0605-GA-AGG

ls

Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number: 09-158G(3)

Granted to: Muirfield Energy, Inc.

Whose office or principal place of business is located at: **450 Metro Place North, Suite 550, Dublin, Ohio 43017** 

And is hereby certified to provide: **Retail Natural Gas Aggregator/Broker Services** within the state of Ohio, for a two-year period.

Certification Effective: October 31, 2013 Through October 31, 2015

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

> This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio Dated: November 1, 2013



SEP 0 2 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU By Order of The Public Utilities Commission of Ohio

1/20

Barcy F. MoNeal, Secretary Betty McCauley, Acting Secretary Tanowa M. Troupe, Acting Secretary





John Kasich, Governor Todd A. Snitchler, Chairman Steven D. Lesser Asim Z. Haque Lynn Slaby M. Beth Trombold

Commissioners

## **PUBLIC UTILITIES COMMISSION OF OHIO**

Certified as a Competitive Retail Electric Service Provider

#### RENEWAL

Certificate Number:

<u>09-161E (3)</u>

Issued Pursuant to Case Number(s):

#### 09-604-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Muirfield Energy, Inc.** whose office or principal place of business is located at **425 Metro Place North**, **Suite 550, Dublin,Ohio 43017** to provide <u>aggregation and power broker</u> services within the State of Ohio effective October 31, 2013.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: November 4, 2013

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

11:16al

Barcy F. McNeal, Secretary Betty McCauley, Acting Secretary Tanowa M. Troupe, Acting Secretary

Certificate Expires: October 31, 2015

# **EXHIBIT 13** (Proof of Service of Application)



## **EXHIBIT 13**

.

### Joseph S. Streb Co., L.P.A.

Law Offices 736 Neil Avenue Columbus, Ohio 43215 Telephone (614) 224-0200 Fax (614) 224-9323 E-mail: <u>Streblaw@sbcglobal.net</u>

August 29, 2014

Secretary of the Commission Pennsylvania Public Utility Commission Keystone Building, 2<sup>nd</sup> Fl. Rm. N201 Harrisburg, PA 17120

RE: Muirfield Energy, Inc./Gas Broker Application.

Dear Secretary:

Please be advised that I represent Muirfield Energy, Inc., an Ohio for-profit corporation, licensed to do business in Pennsylvania. Enclosed herewith please find an original executed verified Gas Broker Application for Muirfield Energy, Inc., and one (1) copy of said Application. Also enclosed please find one CD-ROM diskette containing a searchable PDF format copy of said Application. Also enclosed please find an original and two copies of the required bond.

If you have any questions, please do not hesitate to contact me.

Very truly your

oseph S. Streb

RECEIVED SEP 02 2014 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

JSS:dc Encl.

COPIES TO:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Valley Energy, Inc. Robert Crocker 523 S. Keystone Avenue Sayre, PA 18840-0340

UGI Central Penn David Beasten 2525 N. 12<sup>th</sup> Street, Suite 360 Reading, PA 19612-2677

Peoples TWP, LLC Andrew Wachter 375 North Shore Drive, Sutie 600 Pittsburgh, PA 15212

UGI Penn Natural David Beasten 2525 N. 12<sup>th</sup> Street, Suite 360 Reading, PA 19612-2677

National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221

Peoples Natural Gas Company LLC Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 UGI David Beasten 2525 N. 12<sup>th</sup> Street, Suite 360 Reading, PA 19612-2677

Equitable Gas Company Jerald Moody 225 North Shore Drive Pittsburgh, PA 15212-5352

PECO Carlos Thillet, Manager 2301 Market Street, S9-2 Philadelphia, PA 19103

Columbia Gas of Pennsylvania, Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215

Philadelphia Gas Works Douglas Moser 800 West Montgomery Avenue Philadelphia, PA 19122

#### **<u>CERTIFICATE OF SERVICE</u>**

I hereby certify that a true copy of the Pennsylvania Gas Broker Application Of Muirfield Energy, Inc. was served upon all of the parties set forth hereinabove on the date set forth hereinabove.

Atty. Joseph S. Streb

## EXHIBIT 16b

.

## (Sample Standard Form Contracts; Not Applicable)

RECEIVED SEP 0 2 2014 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

## **EXHIBIT 16b**





SEP. 0 2 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

EXHIBIT 16c





SEP 0 2 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

## EXHIBIT 17a

## C. A. Fidler & Associates, Inc.

Certified Public Accountants Business Advisors and Valuators 5025 Arlington Centre Blvd. Suite 230 Columbus, Ohio 43220

www.cafidlercpa.com

#### Accountant's Compilation Report

Shareholder Muirfield Energy, Inc.

We have compiled the accompanying statement of assets, liabilities, and shareholder's equity – modified cash basis as of December 31, 2012, and the related statement of revenues and expenses for the year then ended. We have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with the modified cash basis of accounting.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with the cash basis of accounting and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit the cash flow statement and substantially all of the disclosures ordinarily included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the company's financial position, results of operations, and cash flows. Accordingly, the financial statements are not designed for those who are not informed about such matters.

C. a. Sudlev + associates, Inc.

C.A. Fidler & Associates, Inc. June 23, 2014

RECEIVED

SEP. 0 2 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### MUIRFIELD ENERGY, INC. STATEMENT OF ASSETS, LIABILITIES, AND SHAREHOLDERS' EQUITY -- MODIFIED CASH BASIS As of December 31, 2012

#### ASSETS

.

CURRENT ASSETS	
Cash and Cash Equivalents	\$ 305
Total Current Assets	305
PROPERTY, PLANT AND EQUIPMENT	
Total Property, Plant and Equipment	61,020
Less: Accum. Depreciation	(6,811)
Net Property, Plant and Equipment	54,209
OTHER ASSETS	
Security deposits	2,042
second acposes	
TOTAL ASSETS	\$ 56,556
LIABILITIES AND SHAREHOLDERS' EQUITY	
LIABILITIES	
TOTAL LIABILITIES	
SHAREHOLDERS' EQUITY	
Common Stock	500
Retained Earnings	56,056
Total Sharahaldare' Equitu	56,556
Total Shareholders' Equity	00,000
TOTAL LIABILITIES AND	\$ 56,556
SHAREHOLDERS' EQUITY	
·	

Unaudited - See Accountant's Compilation Report

#### MUIRFIELD ENERGY, INC.

#### STATEMENT OF REVENUES AND EXPENSES MODIFIED CASH BASIS For the Year ended December 31, 2012

Revenues Customer Reimbursement	\$ 1,223,175 (1,372) 1,221,803
Expenses	
Payroll and Payroll Tax	853,832
Employee Benefits	44,068
Rent and Utilities	30,996
Information Technology Expense	8,338
Office Supplies	5,954
Postage	4,394
Telephone and Cell Phone	5,937
Dues and Subscriptions	1,786
Advertising and promotion	33,393
Legal and Accounting	8,590
Equipment Rental	6,622
Consultants	3,148
Travel and Mileage	57,910
Meals and Staff Meeting	5,138
Business Insurance	802
Depreciation	4,366
Misc. Expenses	16,544
Total Expense	1,091,818
Net Operating Profit	\$ 129,985
State and Local Taxes	2,004
NET INCOME	\$ 131,989

.

,

Unaudited - See Accountant's Compilation Report

## C. A. Fidler & Associates, Inc.

Certified Public Accountants Business Advisors and Valuators 5025 Arlington Centre Blvd. Suite 230 Columbus, Ohio 43220

www.cafidlercpa.com

Accountant's Compilation Report

Shareholder Muirfield Energy, Inc.

We have compiled the accompanying statement of assets, liabilities, and shareholder's equity – modified cash basis as of December 31, 2013, and the related statement of revenues and expenses for the year then ended. We have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with the modified cash basis of accounting.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with the cash basis of accounting and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit the cash flow statement and substantially all of the disclosures ordinarily included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the company's financial position, results of operations, and cash flows. Accordingly, the financial statements are not designed for those who are not informed about such matters.

C. a. Hidler & associated, Inc.

C.A. Fidler & Associates, Inc. June 23, 2014

(614) 451-5522

#### MUIRFIELD ENERGY, INC. STATEMENT OF ASSETS, LIABILITIES, AND SHAREHOLDERS' EQUITY -- MODIFIED CASH BASIS As of December 31, 2013

#### ASSETS

CURRENT ASSETS Cash and Cash Equivalents Total Current Assets	\$ 69,031 69,031
PROPERTY, PLANT AND EQUIPMENT Total Property, Plant and Equipment Less: Accum. Depreciation Net Property, Plant and Equipment	61,020 (13,714) 47,306
OTHER ASSETS Security Deposits	2,042
TOTAL ASSETS	\$ 118,379
LIABILITIES AND SHAREHOLDERS' EQUITY	
LIABILITIES Current portion of long-term debt Total Current Liabilities	<u>\$ 24,800</u> 24,800
Long-term Debt Bank loans payable Less current portion TOTAL LIABILITIES	134,004 (24,800) 134,004
SHAREHOLDERS' EQUITY Common Stock Retained Earnings	500 (16,125)
Total Shareholders' Equity	(15,625)
TOTAL LIABILITIES AND SHAREHOLDERS' EQUITY	\$ 118,379

Unaudited - See Accountant's Compilation Report

.

#### MUIRFIELD ENERGY, INC.

#### STATEMENT OF REVENUES AND EXPENSES MODIFIED CASH BASIS For the Year ended December 31, 2013

Revenues	\$ 1,438,301
Customer Reimbursement	(2,535)
	1,435,766
Expenses	
Payroll and Payroll Tax	923,908
Employee Benefits	84,384
Rent and Utilities	36,742
Information Technology Expense	29,710
Office Supplies	9,115
Postage	2,675
Telephone and Cell Phone	6,955
Dues and Subscriptions	2,914
Advertising and promotion	49,652
Legal and Accounting	19,623
Repairs and maintenance	7,648
Payroll fees	10,339
Contractors and commissions	110,985
Travel and Mileage	76,711
Meals and Staff Meeting	4,192
Staff appreciation	9,496
Business Insurance	802
Regulatory fees	1,834
Depreciation	6,903
Interest Expense	3,357
Misc. Expenses	10,766
Total Expense	1,408,711
Net Operating Profit	\$ 27,055
State and Locai Taxes	6,219
NET INCOME	\$ 33,274

.

.

.

Unaudited - See Accountant's Compilation Report

.

## C. A. Fidler & Associates, Inc.

Certified Public Accountants Business Advisors and Valuators 5025 Arlington Centre Blvd. Suite 230 Columbus, Ohio 43220

www.cafidlercpa.com

Accountant's Compilation Report

Shareholder Muirfield Energy, Inc.

We have compiled the accompanying statement of assets, liabilities, and shareholder's equity – modified cash basis as of June 30, 2014, and the related statement of revenues and expenses for the six months then ended. We have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with the modified cash basis of accounting.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with the cash basis of accounting and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit the cash flow statement and substantially all of the disclosures ordinarily included in financial statements prepared in accordance with accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the company's financial position, results of operations, and cash flows. Accordingly, the financial statements are not designed for those who are not informed about such matters.

C. a. Fidles + Associates, Inc.

C.A. Fidler & Associates, Inc. July 3, 2014

#### MUIRFIELD ENERGY, INC. STATEMENT OF ASSETS, LIABILITIES, AND SHAREHOLDERS' EQUITY -- MODIFIED CASH BASIS

As of June 30, 2014

#### ASSETS

.

CURRENT ASSETS Cash and Cash Equivalents	\$	79,868
Total Current Assets		79,868
PROPERTY, PLANT AND EQUIPMENT		
Total Property, Plant and Equipment		61,020
Less: Accum. Depreciation		(17,165)
Net Property, Plant and Equipment	<u> </u>	43,855
		-
TOTAL ASSETS	\$	123,723
LIABILITIES AND SHAREHOLDERS' EQUITY		
LIABILITIES		
Current portion of long-term debt	\$	24,800
Total Current Liabilities		24,800
Long-term Debt		
Bank loans payable		79,868
Less current portion		(24,800)
TOTAL LIABILITIES		79,868
SHAREHOLDERS' EQUITY		500
Common Stock		
Retained Earnings		43,361
Total Shareholders' Equity		43,861
TOTAL LIABILITIES AND	\$	123,729
SHAREHOLDERS' EQUITY		

#### MUIRFIELD ENERGY, INC.

#### STATEMENT OF REVENUES AND EXPENSES MODIFIED CASH BASIS For the Six Months ended June 30, 2014

Revenues Customer Reimbursement	\$ 844,092 (2,535) 841,557
Expenses	
Payroll and Payroll Tax	512,341
Employee Benefits	42,192
Rent and Utilities	18,371
Information Technology Expense	14,855
Office Supplies	4,558
Postage	1,338
Telephone and Cell Phone	3,478
Dues and Subscriptions	1,457
Advertising and promotion	24,826
Legal and Accounting	9,812
Repairs and maintenance	3,824
Payroll fees	5,170
Contractors and commissions	55,493
Travel and Mileage	38,356
Meals and Staff Meeting	2,096
Staff appreciation	4,748
Business Insurance	401
Regulatory fees	917
State and Local Taxes	3,110
Depreciation	3,451
Interest Expense	1,679
Misc. Expenses	 5,383
Total Expense	 757,852
NET INCOME	\$ 83,705

.

Unaudited - See Accountant's Compilation Report

## EXHIBIT 17B1

(Bonds and/or Waivers)

Note: Waivers are attached from all suppliers, except PICO and National Fuel who will not affirmatively state whether the bond requirement will be waived until after receipt of the completed application.



SEP 02 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

**EXHIBIT 17B1** 



A NiSource Company

June 9, 2014

Perry S. Oman Muirfield Energy 425 Metro Place North, Suite 550 Dublin, OH 43017

Dear Mr. Oman:

We are pleased that Muirfield Energy has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Muirfield Energy could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Muirfield Energy has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Muirfield Energy does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Muirfield Energy changes in the future, Columbia Gas might deem it appropriate to require Muirfield Energy to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-4881 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Deblin Var

Debbie Vair Team Leader of Choice



375 N. Shore Drive, Suite 600 Pittsburgh, PA 15212

Lynda W. Petrichevich Manager, Rates and Regulatory Affairs

**Peoples Service Company LLC** Phone: 412-208-6528; Fax: 412-208-6577 Email: lpetrichevich@peoples-gas.com

June 11, 2014

Perry S Oman Muirfield Energy MetroCenter IV 425 Metro Place North Suite 550 Dublin, OH 43017

Dear Mr. Oman:

We are pleased that Muirfield Energy has applied for a license to provide natural gas broker/marketer services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples TWP, and Peoples Natural Gas LLC – Equitable Division ("the Companies").

Since Muirfield Energy is not currently operating, and has no immediate plan to operate a Pool on the Peoples systems, we have determined at this time that Muirfield Energy does not need a bond or other financial security requirement to provide these services to the Company's customers.

If the creditworthiness requirement or the Company's exposure to Muirfield Energy provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at Lynda.W.Petrichevich@peoples-gas.com.

Sincerely,

emchance

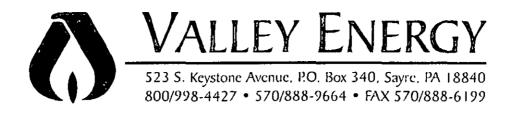
Lynda W. Petrichevich Manager, Rates and Regulatory Affairs Peoples Natural Gas Company LLC

Cc: Steven Kolich Carol Miller

SAFET

TRUST

COMMUNITY



June 10, 2014

#### VIA EMAIL

Mr. Perry S. Oman Muirfield Energy Metro Center IV 425 Metro Place North, Suite 550 Dublin, OH 43017 poman@muirfieldenergy.com

Dear Mr. Oman:

We understand that Muirfield Energy has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Muirfield Energy intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that Muirfield Energy will not be required to post a bond or other form of financial security instrument to provide this service in our service area. However, if the service provided or failure to meet our requirements for credit worthiness changes in the future, we reserve the right to require security from Muirfield Energy as deemed appropriate.

If you have any questions, please contact Mrs. Marjorie Johnston at 570-888-9664.

Sincerely.

Robert J. Crocker President & CEO

RJC/ss

cc: M. Johnston, Valley Energy



UGI Utilities, Inc. 2525 North 12th Street Suite 350 Post Office Box 12577 Reading, PA 19612-2577

(610) 796-3400 Telephone

June 10, 2014

Peter S. Oman President Muirfield Energy Inc. Metro Center IV 425 Metro Place North Suite 550 Dublin, OH 43017

RE: Muirfield Energy Inc. application to serve as a broker/marketer

Dear Mr. Oman,

Based on your assertion that Muirfield Energy Inc. ("MUIRFIELD ENERGY") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities Inc.("UGIU") has concluded that MUIRFIELD ENERGY will not need to post security with UGI-Central Penn Gas ("CPG"), UGI-Penn Natural Gas ("PNG") or UGI Utilities Gas Division ("UGI"). This is based on the declaration that MUIRFIELD ENERGY will not be taking title to gas or directly serving end use customers. This also assumes that MUIRFIELD ENERGY will be acting on the behalf of a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU tariffs. If MUIRFIELD ENERGY wishes to directly serve Choice customers in the service territories of UGI, PNG and/or CPG in the future as a natural gas supplier, it will have to post security as specified in the respective UGI tariffs prior to the commencement of the service.

Please feel free to contact me with any additional questions that you may have.

Sincerely, il E. Luff

David E. Lahoff Manager, Tariff & Supplier Administration UGI Utilities, Inc.

Philadelphia Gas Works



800 W. Montgomery Ave., Philadelphia, PA 19122 Telephone: 215-236-0500

June 10, 2014

Mr. Perry S. Oman MuirfieldEnergy MetroCenter IV 425 Metro Place No, Suite 550 Dublin, OH 43017

Re: Security Bond MuirfieldEnergy

Dear Mr. Oman:

Philadelphia Gas Works ("PGW") is aware that MuirfieldEnergy has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, MuirfieldEnergy must furnish acceptable security to each utility where MuirfieldEnergy will do business. As such, under its tariff, Philadelphia Gas Works could require MuirfieldEnergy to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, since you are just beginning the process with the PAPUC and the Philadelphia Gas Works to become a supplier, the Philadelphia Gas Works at this time will not require any type of bond or security.

If circumstances should change during the vetting of the application, Philadelphia Gas Works reserves the right to require security from MuirfieldEnergy as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at (215) 684-6405.

Sincerely Raymond M. Snyder

Vice President Gas Management, Inc. RMS:b

## EXHIBIT 17B2 (Resume of Chief Officer)



SEP 02 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

## EXHIBIT 17B2

### PERRY S. OMAN

Email - poman@muirfieldenergy.com

### **PROFESSIONAL EXPERIENCE**

#### **MUIRFIELD ENERGY, Dublin, Ohio**

Owner-Founder (3/09 - Present)

- Founded independent brokerage marketing commercial and industrial power supply to customers served by First Energy operating utilities, AEP operating utilities, DPL and Duke Energy Ohio. Muirfield Energy currently serves over 2000 power customers the state of Ohio.
- Currently employ 18 personnel supplying power and natural gas supply and demand services in 7 states.
- Established power and natural gas supply agreements, implementing processes to track quotes, proposals and administer contract executions.

#### DELTA ENERGY RESOURCES LLC, Columbus, Ohio

Director – Commercial Services (9/08 – 1/09)

• Created and implemented business plan for entry into Ohio commercial natural gas market.

#### **DIRECT ENERGY, Dublin, Ohio**

Senior Sales Manager – Midwest Region (7/08 – 9/08)

- Directed C & I power and natural gas sales in Ohio, Michigan and western Pennsylvania.
- Responsible for the development of alternative sales channels throughout the Midwest.

#### Senior Sales Manager -- U.S. North (2/07 -- 6/08)

- Responsible for C & I power and natural gas sales in New York State and natural gas sales in Ohio.
- Directed 2 sales managers and 19 sales personnel operating from offices in Dublin, Ohio; Akron, Ohio and Iselin, New Jersey.

#### Sales Manager – Midwest Sales (7/05 – 2/07)

- Designed a Midwest business plan identifying market segments and demographics to maximize sales efficiencies.
- Standardized Ohio commercial contracts, Contract Administration procedures and Ohio Sales Support processes.
- Created and lead Midwest Sales, a Midwest Alternate Channels Sales Group for broker sales, electronic platform bids and Government bids. This group was responsible for efforts in Ohio, Illinois and Michigan.

#### AMERADA HESS CORPORATION, Woodbridge, New Jersey

#### General Manager – Commercial Energy Sales (9/98 – 6/05)

- Directed and monitored all aspects of domestic commercial sales and marketing, including strategic planning, program development and implementation as well as contract administration.
- Responsible for commercial natural gas and electric sales to 12,000 commercial customers in a 7 state, 30 utility
  area designated by the Corporation as core market and brand recognition area.
- Managed staff of 45 people. Including Commercial Account Sales, Marketing, Collections, Customer Service and a centralized Account Retention group. In addition, supervised Industrial Electric Sales Support.

#### EQUITABLE RESOURCES / ERI ENERGY, Pittsburgh, Pennsylvania

Regional Manager – Director, Northeast Region/Saddle Brook, NJ (4/97- 9/98)

- Responsible for the creation of a regional sales office in Saddle Brook, New Jersey. This region was tasked to handle all Commercial and Industrial sales/marketing activities in New York City, lower New York State, New Jersey, Connecticut and eastern Pennsylvania. Area of responsibilities included the territories of 14 utilities.
- Developed a comprehensive business plan for the New York metropolitan area. This plan detailed sales staff
  requirements for each area, timing of hires and minimum performance standards. In addition, market and industry
  segments, allocation of financial resources and New York/New Jersey demographics were analyzed.
- Represented the corporation at New York Public Service Commission and New Jersey Board of Public Utilities sessions. Advocated company policies and objectives at utility meetings and industry associations.

#### NORSTAR ENERGY, Montvale, New Jersey

Sales Representative (5/96-3/97)

• Developed a large volume interruptible clientele in Orange & Rockland and ConEd territories. Reactivating former customers as well as aggressively closing new business.

### EDUCATION

Fordham College, Bronx NY BA History 1980



•



SEP 0 2 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

## **EXHIBIT 18**

.

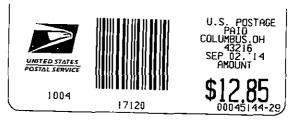
Prior to the creation of Muirfield Energy in 2009, Perry Oman, president of Muirfield Energy spent 4 years (2005 – 2008) as Senior Manager for Direct Energy Business in Ohio. During that time he was in charge of Direct Energy's natural gas commercial & industrial sales efforts in Ohio. In addition, Mr. Oman also managed Direct Energy's New York State C&I electric and natural gas efforts in 2007 and 2008. In New York, Mr. Oman was responsible for the supervision of 4 Commercial and 3 Industrial Sales Staff offering electric retail electric purchasing services to customers in the service areas of Rochester Gas & Electric, Niagara Mohawk, NYSEG and Consolidated Edison. In Ohio, Mr. Oman was responsible for the supervision of 8 commercial and industrial sales personnel and 3 support personnel offering natural gas supply arrangements to customers in Dominion and COH territories.

Prior to Direct Energy, Mr. Oman was employed by Amerada Hess Corporation as General Manager of Commercial Energy Sales for 4 years. In that capacity he was responsible for commercial electric and natural gas sales in a 5 state, 15 utility service area servicing 9,000 commercial customers. This group was responsible for the delivery of 30 bcf of natural gas and 600,000,000 Kwh of electricity annually.

Overall, Mr. Oman has over 15 years experience in natural gas and electricity commercial markets. In addition to Direct Energy and Amerada Hess prior energy employers have included Equitable Resources as Northeast Regional Sales Manager and Norstar Energy as an Industrial Sales Representative.

Currently, Muirfield Energy currently serves over 200 customers with over 500 locations throughout the state of Ohio.





#### JOSEPH S. STREB Attorney at Law 736 Neil Ave. Columbus, OH 43215

**TO:**...

Secretary of the Commission Pennsylvania Public Utility Commission Keystone Building, 2<sup>nd</sup> Fl. Rm. N201 Harrisburg, PA 17120