

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Unified Energy Services, LLC, for approval to offer, render, furnish, or supply natural gas supply services as a Broker/Marketer engaged in the business of supplying natural gas services to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Legal Name: Unified Energy Services, LLC
Address: 3900 Essex Lane Suite 750, Houston, TX 77027
Telephone No.: (713) 820-6181
Fax No.: (832) 240-6394

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Resident Agent:

InCorp Services, Inc.
7208 Red Top Road
Hummelstown, PA 17036

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Name: Michael Harris
Title: CEO
Business Address: 3900 Essex Lane Suite 750, Houston, TX 77027
Telephone No.: (713) 820-6181
Fax No.: (832) 240-6394

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

No attorney being used

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSS.

MAIN CONTACT

Name: Michael Harris
Title: CEO
Business Address: 3900 Essex Lane Suite 750, Houston, TX 77027
Telephone No.: 800-757-2700 Fax No.: (832) 240-6394

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ALTERNATIVE PERSON

Name: Christian Skov
Title: Director of Sales
Business Address: 3900 Essex Lane Suite 750, Houston, TX 77027
Telephone No.: 832-319-7781
Fax No.: (832) 240-6394

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

Or

X The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**
(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

Or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

X The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

❖ Certificate of Good Standing dated July 25th, 2014

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

❖ Certificate of Filing dated 11/13/2008

❖ Certificate of Formation dated 11/13/2008

- Give name and address of officers.

President/General Partner/Managing Partner:

Name: Bennett Rowe

Business Address: 3900 Essex Lane, Suite 750

Houston, Texas 77027

CEO:

Name: Michael Harris

Business Address: 3900 Essex Lane, Suite 750

Houston, Texas 77027

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

N/A – No affiliates

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

N/A – No affiliates

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

- The Applicant is presently doing business in Pennsylvania as a
- natural gas interstate pipeline
 - municipality providing service outside its municipal limits
 - local gas distribution company
 - retail supplier of natural gas services in the Commonwealth
 - a natural gas producer
 - a broker/marketer engaged in the business of supplying natural gas services
 - Other. (Identify the nature of service being rendered)

or

- The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- Broker/Marketer engaged in the business of supplying natural gas services
 - Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

Broker services engaging in the business of supplying natural gas services in all deregulated territories for small, medium, large commercial and industrial customers

d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- | | |
|---|--|
| <input type="checkbox"/> Columbia | <input type="checkbox"/> Philadelphia Gas Works |
| <input type="checkbox"/> National Fuel Gas | <input type="checkbox"/> UGI Central Penn |
| <input type="checkbox"/> PECO | <input type="checkbox"/> UGI Penn natural |
| <input type="checkbox"/> Peoples Gas – Equitable Div. | <input type="checkbox"/> UGI Utilities |
| <input type="checkbox"/> Peoples Natural Gas | <input type="checkbox"/> Valley Energy |
| <input type="checkbox"/> Peoples TWP | <input checked="" type="checkbox"/> All of the above |

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (Less than 6,000 Mcf annually)
- Residential and Small Commercial as Mixed Meter ONLY (**CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE**)
- Large Commercial Customers - (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth, September 2014.

5. COMPLIANCE

a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

X Application has no proceedings as such

b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

X Application has no proceedings as such

c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

X Application has no proceedings as such

d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

X Application has no proceedings as such

6. PROOF OF SERVICE

(Example Certificate of Service is attached at Appendix C)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

- b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

<p>Columbia Gas of PA, Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX: 614.460.6442 theckathorn@nisource.com</p>	<p>Equitable Gas Company Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com</p>
<p>National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: wolfordd@natfuel.com</p>	<p>PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com</p>
<p>The Peoples Natural Gas Company Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com</p>	<p>Philadelphia Gas Works Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: nicholas.lapergola@pgworks.com</p>

<p>Peoples TWP LLC (Formerly T. W. Phillips) Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com</p>	<p>UGI David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>
<p>UGI Central Penn David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>	<p>UGI Penn Natural David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>
<p>Valley Energy Inc. Robert Crocker 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: bobc@ctenterprises.org</p>	

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.
- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies. (see attached)
 - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K); (SEC/EDGAR web addresses are sufficient)
 - Applicant's accounting statements, including balance sheet and income statements for the past two years. (see attached)
 - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
 - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
 - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

N/A

- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Unified Energy Services, will be paid by the REP (Natural Gas Supplier whom takes title to the Natural Gas and whom collects payments directly from the customers).

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

James Moodhe
Assistant Controller
Tullett Prebon (Americas) Holdings Inc.
101 Hudson Street, 24th floor
Jersey City, NJ 07302
201.557-5636 (Phone)
201.324.6020 (Fax)

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions. (see attached Natural Gas License Licenses for Ohio, New Jersey and New Hampshire)
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant. (see attached Technical Fitness and Experience of Unified Energy Sales Team)

- b. **PROPOSED MARKETING METHOD** (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
 External NGS – Applicant will contract with a **PUC LICENSED NGS**
 Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**

- External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or nonselling marketer**
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- X No

- Yes
- X No

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

We use firm contracts, will provide TPVs from customers when necessary, and directly close business opportunities via full time employees

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations.

Michael Harris – CEO Phone: 832.818.7000

Michael brings more than 15 years of experience in business development and energy solutions sales to Unified Energy Services. Prior to founding Unified Energy Services as CEO, he was a Senior Account Executive for Integrys Energy Services (Wisconsin Public Service), founding that business in Texas and creating a national consultant model for the organization. Additionally, he worked with consultants while a Senior Account Executive with Constellation NewEnergy, also founding the indirect sales channel for the company. Michael holds a Master's in Marketing from Northwestern University and a BS from the University of Kansas.

9. DISCLOSURE STATEMENT:

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. **VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS**

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

X AGREED

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:
- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.

X AGREED

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

X AGREED

- d. **ASSESSMENT:** The Commission does not presently assess Natural Gas Suppliers for the purposes of recovery of regulatory expenses.

X ACKNOWLEDGED

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing; See 52 Pa. Code § 62.105.

X AGREED

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

X AGREED

- g. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

X AGREED

- h. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

X AGREED

- i. **FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

X PAYMENT ENCLOSED

11. AFFIDAVITS

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Natural Gas Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each NGDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

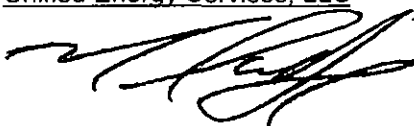
Please file with the Commission the Certification of Publication, along with a Photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburg Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
Equitable Gas	X			X			
National Fuel Gas				X			
PECO			X				
Peoples Natural Gas	X			X			X
Peoples TWP LLC				X			
Philadelphia Gas Works			X				
UGI		X	X		X		
UGI Central Penn	X	X	X	X	X	X	X
UGI Penn Natural		X			X	X	
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

13. SIGNATURE

Applicant: Unified Energy Services, LLC



By: _____

Name: Michael Harris, CEO

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14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

Applicant: Unified Energy Services, LLC

Applicant's Use	✓	Signature	
	✓	Filing Fee	
	✓	Application Affidavit	
	✓	Operations Affidavit	
	✓	Proof of Publication	
	✓	Tax Certification Statement	
	✓	Commonwealth Department of State Verification	
	✓	Certificate of Service	
			PUC Secretary's Bureau Use

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Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Texas:

ss.

County of Harris:

Michael Harris, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the CEO (Office of Affiant) of Unified Energy Services, LLC (Name of Applicant);

That he is authorized to and does make this affidavit for said Applicant;

That the Applicant herein Unified Energy Services, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein Unified Energy Services, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Unified Energy Services, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Unified Energy Services, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his knowledge, information, and belief, and that he expects said Applicant to be able to prove the same at hearing.



Signature of Affiant

Sworn and subscribed before me this 19th day of September, 2014.



Signature of official administering oath

My commission expires: October 1st, 2017



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Appendix B

OPERATIONS AFFIDAVIT

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[Commonwealth/State] of Texas:

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County of Harris:

ss.

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Michael Harris, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the CEO (Office of Affiant) of Unified Energy Services, LLC, (Name of Applicant);

That he is authorized to and does make this affidavit for said Applicant;

That Unified Energy Services, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Unified Energy Services, LLC, the Applicant herein, asserts that he possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Unified Energy Services, LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That Unified Energy Services, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 19th day of September, 2014.



Signature of official administering oath

My commission expires:

10/1/17



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Appendix C

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CERTIFICATE OF SERVICE

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

On this the 19th day of September, 2014, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Columbia Gas of PA, Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX: 614.460.6442 theckathorn@nisource.com	Equitable Gas Company Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com
National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: wolfordd@natfuel.com	PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com
The Peoples Natural Gas Company Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com	Philadelphia Gas Works Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: nicholas.lapergola@pgworks.com
Peoples TWP LLC (Formerly T. W. Phillips) Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577	UGI David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com

e-mail: Lynda.w.petrachevich@peoples-gas.com	
UGI Central Penn David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com	UGI Penn Natural David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com
Valley Energy Inc. Robert Crocker 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: bobc@ctenterprises.org	



Michael Harris, CEO ~ Unified Energy Services, LLC

RECEIVED

SEP 22 2014

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Exhibit 2b. Business Entity Filings and Registration

- ❖ **Certificate of Good Standing**
- ❖ **Certificate of Filing**
- ❖ **Certificate of Formation**

2



Office of the Secretary of State

Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Certificate of Formation for Unified Energy Services, LLC (file number 801051774), a Domestic Limited Liability Company (LLC), was filed in this office on November 13, 2008.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on July 25, 2014.



NANDITA BERRY

Nandita Berry
Secretary of State

12



Office of the Secretary of State

CERTIFICATE OF FILING OF

Unified Energy Services, LLC
File Number: 801051774

The undersigned, as Secretary of State of Texas, hereby certifies that a Certificate of Formation for the above named Domestic Limited Liability Company (LLC) has been received in this office and has been found to conform to the applicable provisions of law.

ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing filing effective on the date shown below.

The issuance of this certificate does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

Dated: 11/13/2008

Effective: 11/13/2008



A handwritten signature in black ink, appearing to read "Hope Andrade".

Hope Andrade
Secretary of State

#02

FILED
In the Office of the
Secretary of State of Texas
NOV 13 2008

**CERTIFICATE OF FORMATION
OF
UNIFIED ENERGY SERVICES, LLC**

Corporations Section

The undersigned, acting as the sole organizer of a limited liability company under the Texas Business Organization Code (the "Code"), does hereby adopt the following Certificate of Formation for Unified Energy Service, LLC (the "Company"):

ARTICLE I

The name of the Company is Unified Energy Services, LLC.

ARTICLE II

The purpose for which the Company is formed is for the transaction of any or all lawful business for which limited liability companies may be organized under the Code.

ARTICLE III

The name of the initial registered agent of the Company in the State of Texas is Robert W. James, and the address of the registered office of such initial registered agent is 1111 Bagby, Suite 4900, Houston, Texas 77002.

ARTICLE IV

The Company is to be managed by one or more managers. The number of initial managers shall be one (1). The number of managers constituting managers of the Company shall be set forth in the operating agreement of the Company. The name and address of the person who is to serve as the initial manager until the first meeting of the members, or until his successor is elected and qualified, is as follows:

<u>NAME</u>	<u>ADDRESS</u>
Michael W. Harris II	1111 Bagby, Suite 4900 Houston, Texas 77002

ARTICLE V

No member shall have a preemptive right to acquire any membership interests or securities of any class that may at any time be issued, sold, or offered for sale by the Company.

ARTICLE VI

The right of members to cumulative voting in the election of managers is expressly prohibited.

ARTICLE VII

The Company shall indemnify members, managers and officers of the Company for whom indemnification is permitted by the Code or to the fullest extent permitted by law. The Company may indemnify employees, agents or other persons for whom indemnification is permitted by the Code to the fullest extent permitted by law.

ARTICLE VIII

To the fullest extent permitted by law, managers or officers and former managers or officers of the Company shall not be liable to the Company or its members for monetary damages for an act or omission in the manager's or officer's capacity as a manager or officer, respectively. No amendment of this Article VIII shall adversely affect any right or protection of a manager or officer that exists at the time of such amendment, modification or repeal.

ARTICLE IX

Any action which may be taken at any meeting of members, or which is required by law or by the Certificate of Formation or regulations of the Company to be taken at any meeting of members, may be taken without a meeting, without prior notice and without a vote, if a consent or consents in writing, setting forth the action so taken, shall be signed by the holder or holders of membership interests having not less than the minimum number of votes that would be necessary to take such action at a meeting at which the holders of all membership interests entitled to vote on the action were present and voted. Prompt notice of the taking of any action by the members without a meeting by less than unanimous written consent shall be given to those members who did not consent in writing to the action.

ARTICLE XII

The name and address of the organizer is as follows:

<u>NAME</u>	<u>ADDRESS</u>
Robert W. James	1111 Bagby, Suite 4900 Houston, Texas 77002

The undersigned, the organizer of this Company, has signed this Certificate of Formation on this 12th day of November, 2008.



Robert W. James, Organizer

Exhibit 6 a. Proof of Service (Statutory Agencies)

- 1. Office of Consumer Advocate**
- 2. Office of the Small Business Advocate**
- 3. Office of the Attorney General**
- 4. Commonwealth of Pennsylvania**

#69

From: (617) 470-8516
Kirsty-Lee Norvell

Origin ID: HOUA



Ship Date: 07AUG14
ActWgt: 0.5 LB
CAD: 105902470/INET3550

3900 Essex Lane
Suite # 750
Houston, TX 77027



J142014061903uv

Delivery Address Bar Code



SHIP TO: (617) 470-8516

BILL SENDER

Irwin Popowsky
Office of Consumer Advocate
5 TH FLOOR FORUM PL
555 Walnut Street
HARRISBURG, PA 17120

Ref # PA - Natural Gas License
Invoice #
PO #
Dept #

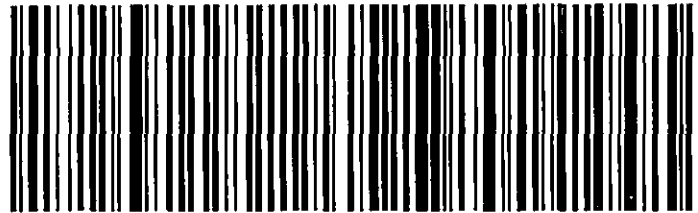
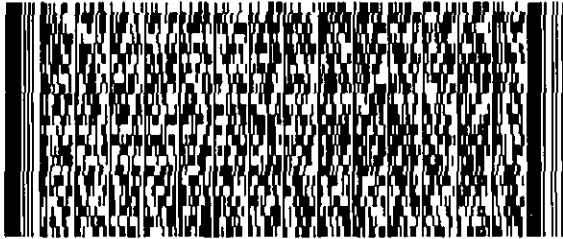
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MORNING 2DAY

TRK# 7707 8728 1521

0201

ASR
17120
PA-US
MDT

SK MDTA



522G1/ECF28AC9

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Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.

#6a

From: (617) 470-8516
Kirsty-Lee Norvell

Origin ID: HOUA



Ship Date: 07AUG14
ActWgt: 0.5 LB
CAD: 105902470/INET3550

3900 Essex Lane
Suite # 750
Houston, TX 77027



J142014061903uv

Delivery Address Bar Code



SHIP TO: (617) 470-8516

BILL SENDER

**Bureau of Consumer Protection
Office of the Attorney General
Strawberry Square
14th Floor
HARRISBURG, PA 17120**

Ref # PA - Natural Gas License
Invoice #
PO #
Dept #

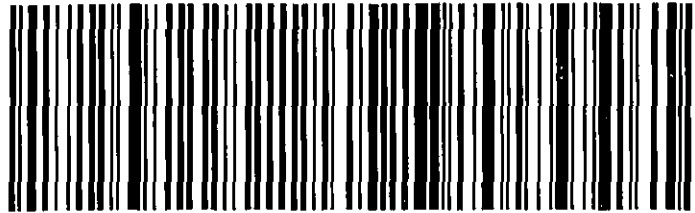
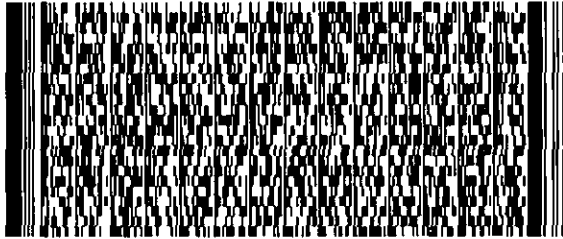
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MORNING 2DAY

TRK# 7707 8739 9581

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6a

From: (617) 470-8516
Kirsty-Lee Norvell

Origin ID: HOUA



Ship Date: 07AUG14
ActWgt: 0.5 LB
CAD: 105902470/INET3550

3900 Essex Lane
Suite # 750
Houston, TX 77027



J142014061903uv

Delivery Address Bar Code



SHIP TO: (617) 470-8516
William R. Loyd
Small Business Advocate
COMMERCE BUILDING Suite # 1102
300 North Second Street
HARRISBURG, PA 17101

BILL SENDER

Ref # PA - Natural Gas License
Invoice #
PO #
Dept #

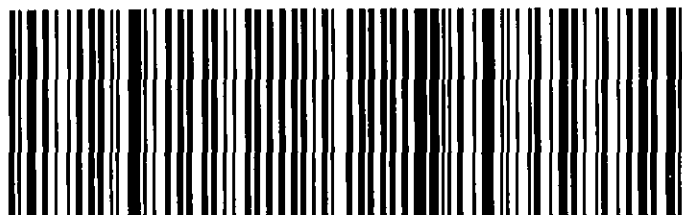
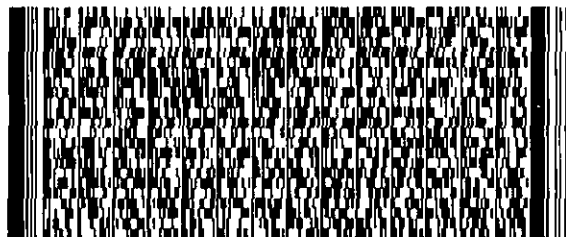
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MORNING 2DAY

TRK# 7707 8734 1634

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#6a

From: (617) 470-8516
Kirsty-Lee Norvell

Origin ID: HOUA



Ship Date: 07AUG14
ActWgt: 0.5 LB
CAD: 105902470/INET3550

3900 Essex Lane
Suite # 750
Houston, TX 77027



J142014061903uv

Delivery Address Bar Code



SHIP TO: (617) 470-8516 **BILL SENDER**

Department of Revenue
Commonwealth of Pennsylvania
Bureau of Compliance

Ref # PA - Natural Gas License
Invoice #
PO #
Dept #

HARRISBURG, PA 17120-0946

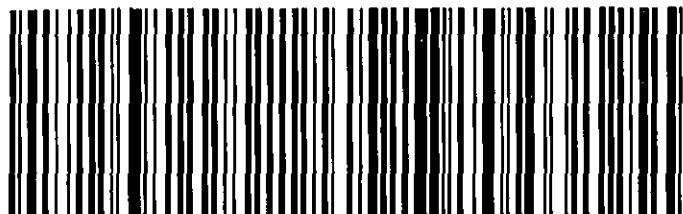
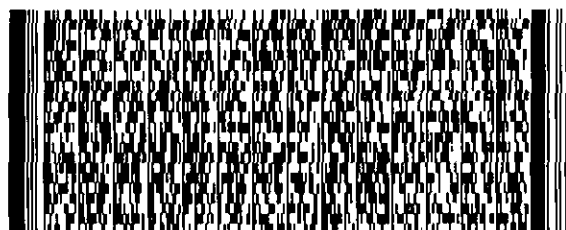
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MORNING 2DAY

TRK# 7707 8752 6732

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17120
PA-US
MDT

SK MDTA



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Exhibit 6 b. Proof of Service (Nat Gas Dist Companies)

- 1. Columbia of Gas of PA**
- 2. National Fuel Gas Distribtuion**
- 3. PECO**
- 4. Philadelphia Gas Works**
- 5. Valley Energy Inc**

- 6. UGI**
- 7. UGI Penn Natural**
- 8. UGI Central Penn**

- 9. Equitable Gas Company**
- 10. The Peoples of Natural Gas Compoany**
- 11. Peoples TWP, LLC**

#6b

From: (617) 470-8516
Kirsty-Lee Norvell

Origin ID: HOUA



3900 Essex Lane
Suite # 750
Houston, TX 77027



J142014061903uv

Ship Date: 08AUG14
ActWgt: 0.5 LB
CAD: 105902470/NET3550

Delivery Address Bar Code



SHIP TO: (610) 796-3425 **BILL SENDER**

~~David Beaster~~ **DMIO LAHOFF**
UGI Central Penn, UGI Penn Natural
2525 N. 12th Street
Suite 360
READING, PA 19612

Ref # Gas App Notification
Invoice #
PO #
Dept #

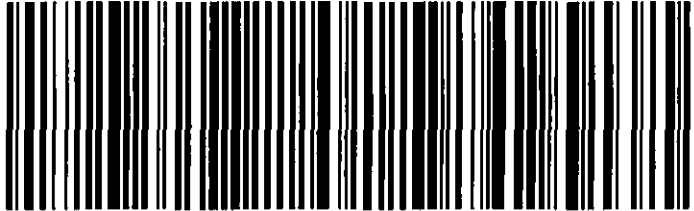
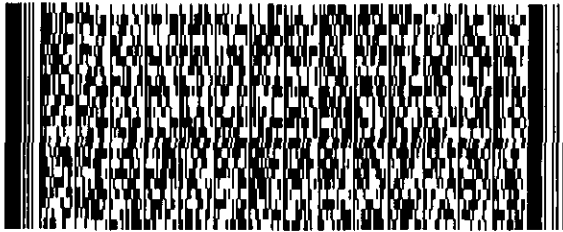
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STANDARD OVERNIGHT

TRK# 7707 9248 9343

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6 b

From: (617) 470-8516
Kirsty-Lee Norvell

Origin ID: HOUA



Ship Date: 08AUG14
ActWgt: 0.5 LB
CAD: 105902470/NET3550

3900 Essex Lane
Suite # 750
Houston, TX 77027



J142014061903uv

Delivery Address Bar Code



SHIP TO: (215) 841-6452

BILL SENDER

Carlos Thillett
PECO
Manager, Gas Supply & Transportatio
2301 Market Street, S9-2
Philadpehia, PA 19103

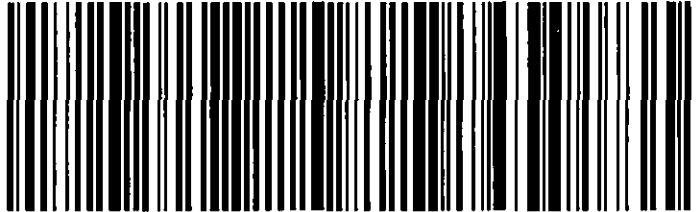
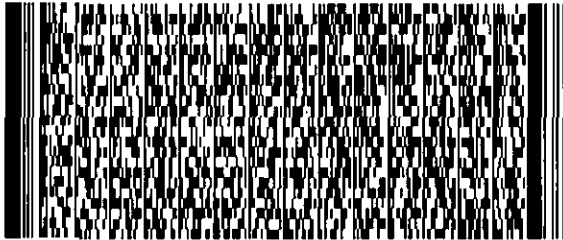
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Invoice #
PO #
Dept #

MON - 11 AUG AA
STANDARD OVERNIGHT

TRK# 7707 9279 8840
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ASR
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PA-US
PHL

XB REDA



522G1/ECF2/8AC9

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#6b

From: (617) 470-8516
Kirsty-Lee Norvell

Origin ID: HOUA



3900 Essex Lane
Suite # 750
Houston, TX 77027



J142014061903uv

Ship Date: 08AUG14
ActWgt: 0.5 LB
CAD: 105902470/NET3550

Delivery Address Bar Code



SHIP TO: (215) 684-6899
~~Douglas Moser~~ **NICOLAS**
Philadelphia Gas Works
800 W MONTGOMERY AVE

BILL SENDER
La Pergola

Ref # Gas App Notification
Invoice #
PO #
Dept #

PHILADELPHIA, PA 19122

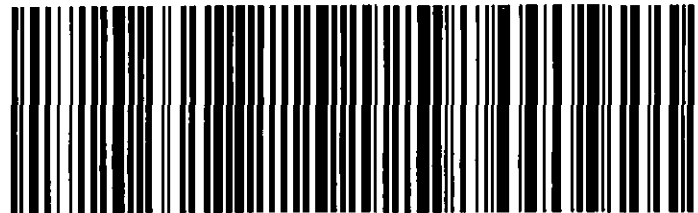
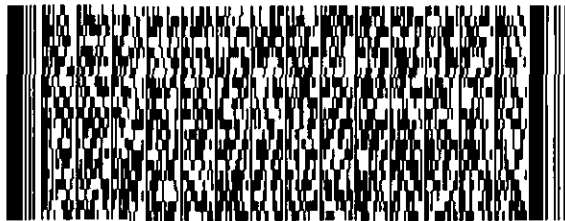
MON - 11 AUG AA
STANDARD OVERNIGHT

TRK# 7707 9283 5697

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#6 b

From: (617) 470-8516
Kirsty-Lee Norvell

Origin ID: HOUA



Ship Date: 07AUG14
ActWgt: 0.5 LB
CAD: 105902470/NET3550

3900 Essex Lane
Suite # 750
Houston, TX 77027



J142014061903uv

Delivery Address Bar Code



SHIP TO: (832) 559-8096
Robert Crocker
Valley Energy Inc.
523 South Keystone

BILL SENDER

Ref # Pa Gas App (Proof of Service)
Invoice #
PO #
Dept #

Sayre, PA 18840

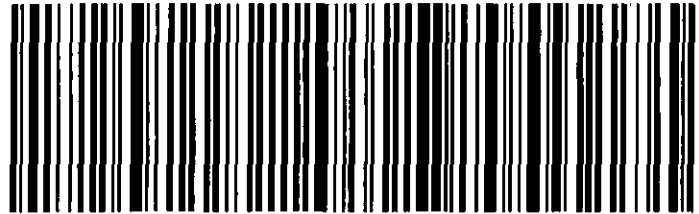
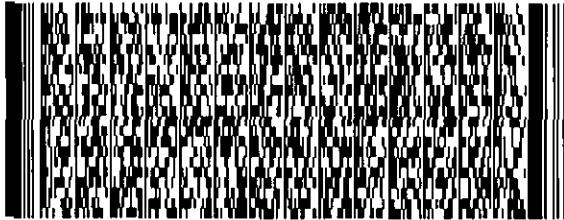
MON - 11 AUG 10:30A
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TRK# 7707 8785 5531

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SK ELMA



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Enrollment Authorization
between Green Mountain Energy Company and the Legal entity known as:
DANCE WORKS

By signing below, you acknowledge and agree that:

- You are at least 18 years old and the customer of a person authorized to act on the customer's behalf with respect to the electricity service at the service address(es) above.
- You have received and read/been given a reasonable opportunity to read this Enrollment Authorization form, and the Terms of Service and Electricity Facts Label for the product for which you are enrolling.
- You are voluntarily choosing to select or change the entity that supplies the customer's electric service to Green Mountain Energy Company and you authorize us to take the steps necessary to enroll the above service address(s) for service on the Green Mountain Energy product and pricing indicated above.
- It is your responsibility to ensure that you understand whether you may be subject to an early termination fee on charge with your current provider. Green Mountain is not responsible for any such fee or charges. You are knowingly choosing to switch your service to Green Mountain regardless of whether any such fee or charge is assessed by your current provider.
- Green Mountain Energy company may review the customer's eligibility, including requesting information from credit reporting agencies and/or requesting a payment reference letter from previous electric provider(s) verifying customer's payment history. We will determine eligibility in compliance with 25.478 of the PUCT Substantive Rules. In the event that the customer does not meet our eligibility requirement, we may refuse to provide service or, at our option, the customer may be required to pay a deposit and/or provide other security.

(Required for Processing)

In compliance of PUCT Substantive Rule: § 25.474 Selection of Retail Electric Providers, section (e) (6), please provide one of the following forms of account access verification data.

DL # / Government issued ID #: _____ Last 4 digits of Social Security #: _____ Mother's Maiden Name: _____
City of Birth: Houston Month & Day of Birth: _____ Federal Tax ID #: _____

You have the right to review and, in the case of a switch request, rescind the Terms of Service without penalty within three federal business days after receiving the Terms of Service. We will mail you a written copy of the Terms of Service document that will explain all the terms of the agreement and how to exercise the right of rescission.

Signature: Charisse Kral Title: President
Printed Name: Charisse Kral Date: 5-8-2013

PERSONAL GUARANTY AND AUTHORIZATION TO INVESTIGATE PERSONAL CREDIT

In the event that the customer's business credit does not meet our eligibility requirements, you agree that we may investigate your personal credit, including requesting credit reports from consumer credit reporting agencies. You understand that by signing below, you agree to personally guarantee the obligations of the business customer enrolling for service under this enrollment authorization. In consideration of Green Mountain Energy Company initiating and providing electric service to the business customer applying for service, you acknowledge and agree that you will benefit from the customer obtaining electric service with Green Mountain and that you will be personally responsible, jointly and severally, for the payment of all charges and costs related to or arising out of electricity service provided to such customer by Green Mountain, including all costs and expenses of collection, suit, or other legal action (including attorney's fees) brought in connection with such business's Green Mountain electricity account. You hereby waive presentment and demand for payment, notice of non-payment, protest and notice of protest, and consent without notice to any extensions of time increase in the amount of credit given to the customer. This guarantee shall continue as to all new charges incurred by the customer in connection with its electricity account.

Signature: Charisse Kral Date: 5-8-2013
Printed Name: Charisse Kral Social Security #: 456712150

Nodal Market Transition Charge Not Included. Customer's Contract does not include the Nodal Market Transition Charge and Customer agrees that a Nodal Market Transition Charge, once applicable, shall be passed through as an adjustment to Customer's Contract Price, and that the Nodal Market Transition Charge may result in a credit or additional charge to Customer's Contract Price.

- PLEASE KEEP A COPY OF THIS FORM FOR YOUR RECORDS - Return Fax# 866-246-1840

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From: (617) 470-8516
Kirsty-Lee Norvell

Origin ID: HQUA



Ship Date: 08AUG14
ActWgt: 0.5 LB
CAD: 105902470/NET3550

#6b

3900 Essex Lane
Suite # 750
Houston, TX 77027



J142014061903UV

Delivery Address Bar Code



SHIP TO: (832) 559-8096

BILL SENDER

David D. Wolford
National Fuel Gas Distribution
6363 MAIN ST

Ref # Gas App Notification
Invoice #
PO #
Dept #

Williamsville, NY 14221

MON - 11 AUG AA
STANDARD OVERNIGHT

TRK# 7707 9126 9383

0201

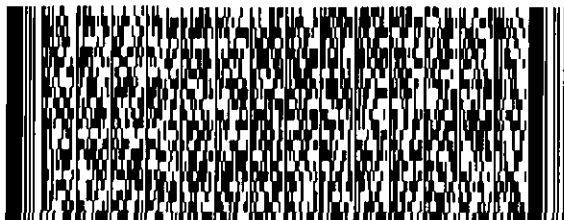
ASR

14221

NY-US

BUF

XX BUFA



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Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.

Additional Baggage Information

Carry-on baggage information

United accepts one carry-on item of no more than 45 linear inches or 114 linear centimeters in the aircraft cabin, along with one personal item (such as a shoulder or laptop bag).

Due to FAA regulations, operating carriers may have different carry-on requirements. Please check with the operating carrier for

more information or go to united.com.

General Baggage Information

First and second bag service charges do not apply to active-duty members of the U.S. military and their accompanying dependents. For additional information regarding baggage charges

allowances, weight/size restrictions, exceptions or embargoes, or charges for overweight, oversized, excess, odd-sized baggage, special items

or sporting equipment, visit united.com/baggage.

eTicket Reminders

- **Check-in Requirement** - Bags must be checked and boarding passes obtained at least 30 minutes prior to scheduled departure. Baggage will not be accepted and advance seat assignments may be cancelled if this condition is not met.

EXCEPTION:when departing from Anchorage, Atlanta, Chicago, Cincinnati, Cleveland, Denver, Honolulu, Houston, Indianapolis, Jacksonville, Kahului, Kona, Las Vegas, Los Angeles, Maui, Miami, Newark, Orange County (SNA), Orlando, Philadelphia, Phoenix, Reno, San Francisco, San Juan, PR, St. Louis, Seattle, Tampa or Washington, DC (both IAD and DCA), the check in requirement time for Passengers and Bags is 45 minutes.

- **Boarding Requirement** - Passengers must be prepared to board at the departure gate with their boarding pass at least 15 minutes prior to scheduled departure.
- Failure to meet the **Boarding Requirements** may result in cancellation of reservations, denied boarding, removal of checked baggage from the aircraft and loss of eligibility for denied boarding compensation.
- Bring your boarding pass or this eTicket Receipt along with photo identification to the airport.
- The FAA now restricts carry-on baggage to one bag plus one personal item (purse, briefcase, laptop computer, etc.) per passenger.
- For up to the minute flight information, sign-up for your Flight Status E-mail at united.com or call 1-800-784-4444; in Spanish 1-800-579-3938.
- If flight segments are not flown in order, your reservation will be cancelled. Rebooking will be subject to the fare rules governing your ticket.
- For the most current status of your reservation, flights and other important policies, go to united.com.
- Your eTicket is non transferable and valid for 1 year from the issue date unless otherwise noted in the fare rules above.

From: (617) 470-8516
Kirsty-Lee Norvell

Origin ID: HOUA



Ship Date: 08AUG14
ActWgt: 0.5 LB
CAD: 105902470/NET3550

#6 b

3900 Essex Lane
Suite # 750
Houston, TX 77027



J142014061903uv

Delivery Address Bar Code



SHIP TO: (832) 559-8096

BILL SENDER

Lynda Petrichevich
Peoples Natural Gas Company
375 N SHORE DR
STE 600
PITTSBURGH, PA 15212

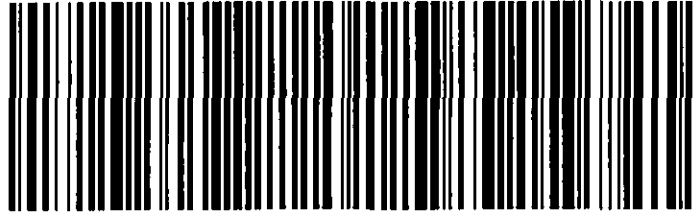
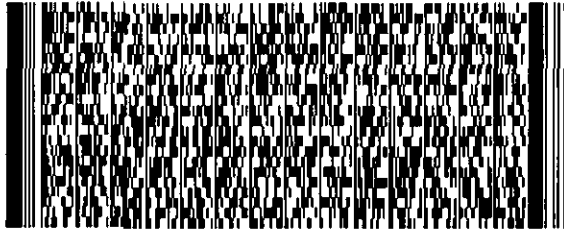
Ref # Gas App Notification
Invoice #
PO #
Dept #

MON - 11 AUG AA
STANDARD OVERNIGHT

TRK# 7707 9128 6011
0201

ASR
15212
PA-US
PIT

XH AGCA



522G1E:CF28AC9

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Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.

the U.S., United excludes liability for fragile, valuable or perishable items carried in all baggage including jewelry, computers, cash, camera equipment and similar valuables. If any of these items are lost, damaged or delayed, you will not be entitled to any reimbursement. You can declare excess valuation on certain baggage at the airport, additional fees will apply.

- **ADVICE TO INTERNATIONAL PASSENGERS ON CARRIER LIABILITY** – Passengers on a journey involving an ultimate destination or stop in a country other than the country of departure are advised that international treaties known as the Montreal Convention, or its predecessor, the Warsaw Convention, including its amendments, may apply to the entire journey, including any portion thereof within a country. For such passengers, the treaty, including special contracts of carriage embodied in applicable tariffs, governs and may limit the liability of the Carrier in respect of death of or injury to passengers, and for destruction or loss of, or damage to, baggage, and for delay of passengers and baggage.
- **Notice—Overbooking of Flights** - Airline flights may be overbooked, and there is a slight chance that a seat will not be available on a flight for which a person has a confirmed reservation. If the flight is overbooked, no one will be denied a seat until airline personnel first ask for volunteers willing to give up their reservation in exchange for compensation of the airline's choosing. If there are not enough volunteers, the airline will deny boarding to other persons in accordance with its particular boarding priority. With few exceptions, including failure to comply with the carrier's check-in deadline which are available upon request from the air carrier, persons denied boarding involuntarily are entitled to compensation. *The complete rules for the payment of compensation and each airline's boarding priorities are available at all airport ticket counters and boarding locations. Some airlines do not apply these consumer protections to travel from some foreign countries, although other consumer protections may be available. Check with your airline or your travel agent.*
- **Personal Health** - For important health tips before your flight, including information on a serious condition called Deep Vein Thrombosis, please go to united.com.

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For assistance, please contact United Airlines via telephone or via e-mail.

#6b

From: (617) 470-8516
Kirsty-Lee Norvell

Origin ID: HOUA



Ship Date: 08AUG14
ActWgt: 0.5 LB
CAD: 105902470/NET3550

3900 Essex Lane
Suite # 750
Houston, TX 77027



J142014061903uv

Delivery Address Bar Code



SHIP TO: (614) 460-4996
Thomas C. Heckathorn
Columbia Gas of PA
200 CIVIC CENTER DR

BILL SENDER

Ref # Gas App Notification
Invoice #
PO #
Dept #

COLUMBUS, OH 43215

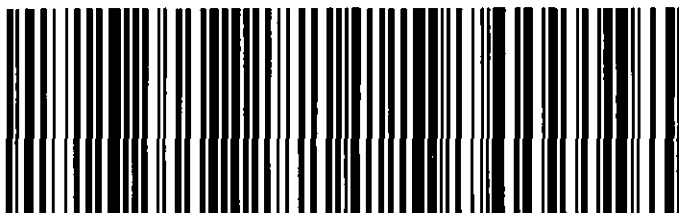
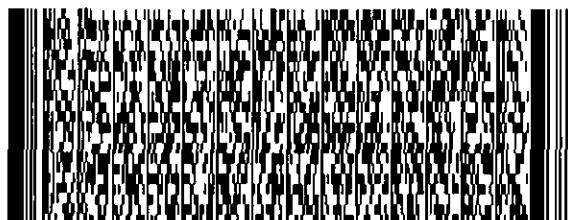
MON - 11 AUG AA
STANDARD OVERNIGHT

TRK# 7707 9252 5850

0201

ASR
43215
OH-US
LCK

XX GQQA



522G1/ECF2/8AC9

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Exhibit 7a Bonding (Nat Gas Dist Companies Bonding Letters)

1. Columbia of Gas of PA

2. PECO

3. Philadelphia Gas Works

4. Valley Energy Inc

5. UGI

UGI Penn Natural

UGI Central Penn

6. Equitable Gas Company

The Peoples of Natural Gas Company

Peoples TWP, LLC

7 a

August 12, 2014

Kirsty-Lee Norvell
Unified Energy Services, LLC
3900 Essex Lane
Suite 750
Houston, TX 77027

Dear Kirsty-Lee:

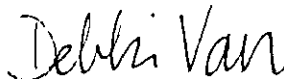
We are pleased that Unified Energy Services, LLC has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, *Unified Energy Services, LLC could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Unified Energy Services, LLC has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Unified Energy Services, LLC does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.*

If the creditworthiness requirement or Columbia Gas' exposure to Unified Energy Services, LLC changes in the future, Columbia Gas might deem it appropriate to require Unified Energy, LLC to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-6841 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,



Debbie Vair
Team Leader of Choice

7a



PEOPLES NATURAL GAS



PEOPLES TWP

375 N. Shore Drive, Suite 600
Pittsburgh, PA 15212

Lynda W. Petrichevich
Manager, Rates and Regulatory Affairs

Peoples Service Company LLC
Phone: 412-208-6528; Fax: 412-208-6577
Email: lpetrichevich@peoples-gas.com

August 12, 2014

Kirsty-Lee Norvell
Unified Energy Services, LLC
3900 Essex Lane
Suite # 750
Houston, TX 77027

Dear Ms. Norvell:

We are pleased that Unified Energy Services, LLC has applied for a license to provide natural gas broker/marketer services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples TWP, and Peoples Natural Gas LLC – Equitable Division ("the Companies").

Since Unified Energy Services, LLC is not currently operating, and has no immediate plan to operate a Pool on the Peoples systems, we have determined at this time that Unified Energy Services, LLC does not need a bond or other financial security requirement to provide these services to the Company's customers.

If the creditworthiness requirement or the Company's exposure to Unified Energy Services, LLC provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at Lynda.W.Petrichevich@peoples-gas.com.

Sincerely,

Lynda W. Petrichevich
Manager, Rates and Regulatory Affairs
Peoples Natural Gas Company LLC

Cc: Steven Kolich
Carol Miller

SAFETY

CUSTOMER
COMMITMENT

TRUST

COMMUNITY

7a



PHILADELPHIA GAS WORKS

800 West Montgomery Avenue • Philadelphia, PA 19122

August 14, 2014

Ms. Kristy-Lee Norvell
Vice President of Operations
Unified Energy Services, LLC
3900 Essex Lane, Suite #750
Houston, TX 77027

Re: Security Requirement Bond for Unified Energy Services, LLC

Dear Ms. Norvell:

Philadelphia Gas Works ("PGW") is aware that Unified Energy Services, LLC has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Unified Energy Services, LLC must furnish acceptable security to each utility where Unified Energy Services, LLC will do business. As such, under its tariff, Philadelphia Gas Works could require Unified Energy Services, LLC to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, since you are just beginning the process with the PAPUC and the Philadelphia Gas Works to become a supplier, the Philadelphia Gas Works at this time will not require any type of bond or security.

If circumstances should change during the vetting of the application, Philadelphia Gas Works reserves the right to require security from Unified Energy Services, LLC as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at (215) 684-6278.

Sincerely,

A handwritten signature in cursive script that reads "Nicholas LaPergola".

Nicholas LaPergola
Director
Gas Supply, Transportation & Control

NL:b

7a



An Exelon Company

July 14, 2014

Kirsty-Lee Norvell
Unified Energy Services, LLC
Vice President of Operations
3900 Essex Lane, Suite #750
Houston, Texas 77027

Re: Bonding Requirements

Dear Kirsty-Lee Norvell:

PECO is aware that Unified Energy Services, LLC has applied for a license to provide brokering and consulting services to gas customers on the distribution system of PECO.

In making such an application, Unified Energy Services, LLC could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. Unified Energy Services, LLC has indicated that it intends to provide only brokering and consulting services to gas customers, will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that Unified Energy Services, LLC does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by Unified Energy Services, LLC or the creditworthiness requirement for PECO's exposure to Unified Energy Services, LLC changes in the future, PECO reserves the right to require Unified Energy Services, LLC to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,

A handwritten signature in cursive script that reads "Carlos Thillet".

Carlos P. Thillet
Manager, Gas Supply and Transportation
2301 Market St S9-1
Philadelphia, Pa 19103

7a



UGI Utilities, Inc.
2525 North 12th Street
Suite 350
Post Office Box 12677
Reading, PA 19612-2677
(610) 796-3400 Telephone

August 12, 2014

Kirsty-Lee Norvell
Unified Energy Services, LLC.
Vice President of Operations
3900 Essex Lane, Suite #750
Houston, Texas 77027

RE: Unified Energy Services, LLC. application to serve as a broker/marketer

Dear Ms. Norvell,

Based on your assertion that Unified Energy Services, LLC. ("UNIFIED ENERGY") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities Inc. ("UGIU") has concluded that UNIFIED ENERGY will not need to post security with UGI-Central Penn Gas ("CPG"), UGI-Penn Natural Gas ("PNG") or UGI Utilities Gas Division ("UGI"). This is based on the declaration that UNIFIED ENERGY will not be taking title to gas or directly serving end use customers. This also assumes that UNIFIED ENERGY will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU tariffs. If UNIFIED ENERGY wishes to directly serve Choice customers in the service territories of UGI, PNG and/or CPG in the future as a natural gas supplier, it will have to post security as specified in the respective UGI tariffs prior to the commencement of the service.

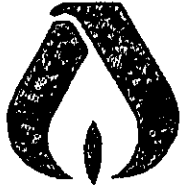
Please feel free to contact me with any additional questions that you may have.

Sincerely,

A handwritten signature in black ink, appearing to read "David E. Lahoff".

David E. Lahoff
Manager, Tariff & Supplier Administration
UGI Utilities, Inc.

#7a



VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840
800/998-4427 • 570/888-9664 • FAX 570/888-6199

August 19, 2014

VIA EMAIL

Ms. Kirsty-Lee Norvell
Unified Energy Services, LLC
Vice President of Operations
3900 Essex Lane, Suite 750
Houston, TX 77027
kirsty.norvell@unifiedenergy.com

Dear Ms. Norvell:

We understand that Unified Energy Services, LLC has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Unified Energy Services, LLC intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that Unified Energy Services, LLC will not be required to post a bond or other form of financial security instrument to provide this service in our service area. However, if the service provided or failure to meet our requirements for credit worthiness changes in the future, we reserve the right to require security from Unified Energy Services, LLC as deemed appropriate.

If you have any questions, please contact Mrs. Marjorie Johnston at 570-888-9664.

Sincerely,

A handwritten signature in black ink, appearing to read "Robert J. Crocker". The signature is fluid and cursive, with a long horizontal stroke at the end.

Robert J. Crocker
President & CEO

RJC/ss

cc: M. Johnston, Valley Energy

Exhibit 7b – Financial Records, Statements & Ratings

1. **Unified Energy Organizational Chart**

2. **Unified Energy Balance Sheet for 2012 & 2013**

7b - 2012

UNIFIED ENERGY SERVICES LLC

FINANCIAL STATEMENTS AS OF AND
FOR THE YEAR ENDED DECEMBER 31, 2012

UNAUDITED

UNIFIED ENERGY SERVICES LLC

Statement of Financial Condition December 31, 2012

Assets

Cash and cash equivalents	\$	229,400
Prepaid expenses		<u>12,600</u>
	\$	<u>242,000</u>

Liabilities and Stockholder's Equity

Due to affiliates	\$	813,200
Accrued personnel costs		77,700
Accounts payable and accrued liabilities		<u>76,600</u>
Total Liabilities		967,500

Retained earnings		<u>(725,500)</u>
Total equity		<u>(725,500)</u>
	\$	<u>242,000</u>

UNAUDITED

UNIFIED ENERGY SERVICES LLC

Statement of Loss For the Year Ended December 30, 2012

<u>Revenues</u>	
Commissions	\$ <u>2,462,300</u>
TOTAL REVENUES	<u>2,462,300</u>
 <u>Expenses</u>	
Personnel costs	1,811,500
General and administrative charges	254,000
Professional and consulting fees	793,000
Travel and entertainment	137,400
Equipment costs	53,100
Facilities	49,700
Communications	37,900
Other	<u>69,800</u>
TOTAL EXPENSES	<u>3,206,400</u>
OPERATING LOSS	(744,100)
Provision for income taxes /(tax benefit)	<u>5,400</u>
NET LOSS	<u><u>(749,500)</u></u>

UNAUDITED

76 - 2013

UNIFIED ENERGY SERVICES LLC

FINANCIAL STATEMENTS AS OF AND
FOR THE YEAR ENDED DECEMBER 31, 2013

UNAUDITED

UNIFIED ENERGY SERVICES LLC
Statement of Financial Condition
December 31, 2013

Assets

Cash	\$ 80,600
Other assets	<u>4,812,800</u>
TOTAL ASSETS	\$ <u>4,893,400</u>

Liabilities and Stockholder's Equity

Liabilities

Due to affiliates	\$ 1,921,400
Payables to brokers or dealers and clearing organizations	1,020,100
Accrued personnel costs	595,900
Current tax payable	36,700
Accounts payable and accrued liabilities	<u>323,000</u>
TOTAL LIABILITIES	<u>3,897,100</u>

Retained earnings	<u>996,300</u>
TOTAL LIABILITIES AND STOCKHOLDER'S EQUITY	\$ <u>4,893,400</u>

UNAUDITED

UNIFIED ENERGY SERVICES LLC
Statement of Operations
For the Year Ended December 31, 2013

Revenues	
Commissions	\$ 7,718,300
Other revenues	<u>2,400</u>
TOTAL REVENUES	<u>7,720,700</u>
Expenses	
Personnel costs	2,880,600
Professional and consulting fees	1,573,800
General and administrative charges	812,700
Other expenses	337,000
Travel and entertainment	259,900
Communications	51,900
Equipment costs	46,100
Facilities	<u>300</u>
TOTAL EXPENSES	<u>5,962,300</u>
INCOME BEFORE INCOME TAXES	1,758,400
Provision for income taxes	<u>36,700</u>
NET INCOME	<u>\$ 1,721,700</u>

UNAUDITED

#17b

Unified Energy Services, Inc.

Actual organizational structure including parent, affiliated or subsidiary companies.

Unified Energy Services is a Limited Liability Corporation and is a wholly-owned subsidiary of Tullett Prebon as of January 1st, 2012. Unified Energy Services, LLC operates under their own name, and Tax ID.

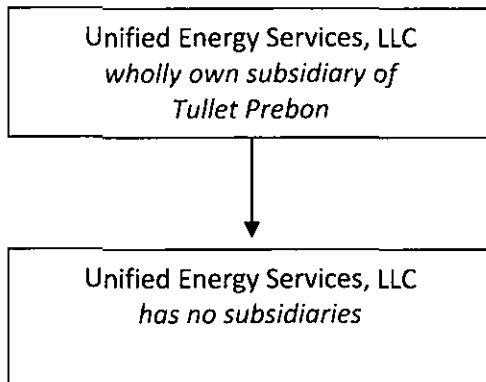
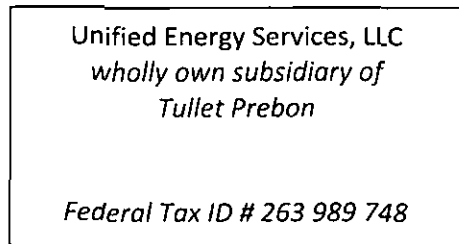


Exhibit 7f – Taxation Certification Statement

Exhibit 8 a – Technical Fitness

Natural Gas Licenses for Ohio, New Jersey & New Hampshire

8a

The Public Utilities Commission of Ohio
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate

Issued pursuant to Case Number(s):

13-1359-GA-AGG

Is

Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number:

13-312G(1)

Granted to:

Unified Energy Services, LLC

Whose office or principal place of business is located at:

3900 Essex Lane, Suite 750, Houston, TX 77027

And is hereby certified to provide:

Retail Natural Gas Aggregator/Broker Services

within the state of Ohio, for a two-year period.

Certification Effective:

July 13, 2013 Through July 13, 2015

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio

Dated: **July 15, 2013**



By Order of
The Public Utilities Commission of Ohio


Barcy F. McNeal, Secretary
Betty McCauley, Acting Secretary
Tanowa M. Troupe, Acting Secretary

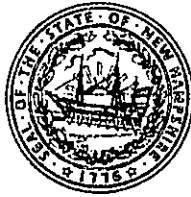
#8a

THE STATE OF NEW HAMPSHIRE

CHAIRMAN
Amy L. Ignatius

COMMISSIONERS
Robert R. Scott
Martin P. Honigberg

EXECUTIVE DIRECTOR
Debra A. Howland



PUBLIC UTILITIES COMMISSION
21 S. Fruit Street, Suite 10
Concord, N.H. 03301-2429

TDD Access: Relay NH
1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website:
www.puc.nh.gov

August 28, 2014

Kirsty-Lee Norvell, VP of Operations
Unified Energy
3900 Essex Lane, Suite 750
Houston, TX 77027

Re: DM 14-215 Unified Energy Services, LLC
Application to Register as Provider of Natural Gas Aggregation Service

Dear Ms. Norvell:

On August 14, 2014, Unified Energy Services, LLC filed an application with the Commission to register as a provider of natural gas aggregation service. Staff reviewed the application and determined that it is complete.

The Commission approves your application effective August 28, 2014. The registration is for a term of 2 years and expires at the end of business on August 27, 2016. Pursuant to N.H. Code Admin. Rules Puc 3003.02(a), you must submit your next renewal application at least 60 days prior to the expiration of the registration approved here, on or before June 29, 2016.

Please be aware that registered providers of natural gas aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 3000 -- Competitive Natural Gas Supplier and Aggregator Rules. These rules are available at: <http://www.puc.nh.gov/Regulatory/Rules/PUC3000.pdf>.

Please note that, effective as of July 1, 2014, each registered natural gas aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,

A handwritten signature in black ink that reads "Debra A. Howland".

Debra A. Howland
Executive Director

cc: Service List/Docket File

State of New Jersey



Board of Public Utilities

44 S. Clinton Ave., P.O. Box 350, Trenton, New Jersey 08625

HEREBY REGISTERS

Unified Energy Services, LLC

101 Hudson Street
Jersey City, New Jersey 07302

To conduct business in the State of New Jersey as an

Energy Agent



Kristi Izzo

Kristi Izzo
Secretary of the Board

Registration No. EA-0104
Effective Date: November 22, 2013
Expiration Date: November 21, 2014

#88

State of New Jersey



Board of Public Utilities

44 S. Clinton Ave., P.O. Box 350, Trenton, New Jersey 08625

HEREBY REGISTERS

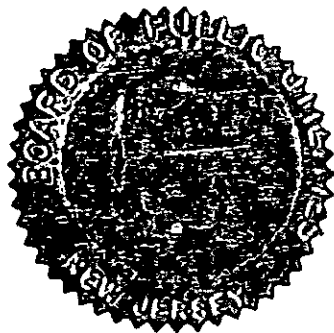
Unified Energy Services, LLC

101 Hudson Street

Jersey City, New Jersey 07302

To conduct business in the State of New Jersey as an

Energy Consultant



Kristi Izzo

Kristi Izzo
Secretary of the Board

Registration No. EC-0055
Effective Date: November 22, 2013
Expiration Date: November 21, 2014

#80w

Exhibit 8 a – Technical Fitness

Unified Energy Sales Team Experience



unified energy

a tullett prebon company

Unified Energy Sales Team Bio's

Michael Harris

Michael brings more than 15 years of experience in business development and energy solutions sales to Unified Energy Services. He is the President of TEPA (The Energy Professionals Association) and prior to founding Unified Energy Services as CEO, he was a Senior Account Executive for Integrys Energy Services (Wisconsin Public Service), founding that business in Texas and creating a national consultant model for the organization. Additionally, he worked with consultants while a Senior Account Executive with Constellation NewEnergy, also founding the indirect sales channel for the company. Michael holds a Master's in Marketing from Northwestern University and a BS from the University of Kansas.

Bennett Rowe

Bennett is President of Unified Energy Services and has more than 20 years' experience in retail, and 12 in the energy markets, primarily as Senior Vice President with Choice Energy Services Retail, the oldest consulting company in Texas. Mr. Rowe is a founding member of TEPA (The Energy Professionals Association) and is widely regarded as one of the premier energy consultants in North America. Bennett holds a BS from the University of Houston in marketing and a minor in Psychology.

Sarah Salinas

Sarah has more than ten years of energy consulting experience, working with Mr. Rowe at Choice Energy Services Retail & Unified Energy. She focuses on commercial real estate, but also has had marked success working with manufacturing associations on a national basis. As Vice President of Sales at Unified Energy, Ms. Salinas focuses on sales strategies while managing her existing portfolio of customers and downstream consultants. Sarah holds a BS from the University of Houston.

Shannon McGriff

Shannon has over 6 years of experience working in the retail energy consulting space, working as The Director of Operations at Choice Energy with Mr. Rowe and Mrs. Salinas. Her previous work experience has helped her focus on the multifamily and commercial real estate sectors, but she has had success working with clients of all industries in every deregulated market. As the current Conference Chair for TEPA (The Energy Professionals Association) she is responsible for organizing TEPA's multiple events each year, including Social Mixers, Educational Seminars, Webcasts, and The Annual TEPA Conference where over 300 energy professionals from both The Consultant and Supplier communities come together to discuss current market conditions with speakers, legislators, and panel discussions. Shannon holds a BS from Texas A&M University.

Christian Skov

Christian Skov is a Director of Sales for Unified Energy Services. He has over six years of experience in retail energy markets and specializes in procurement for large commercial and industrial customers. Christian has extensive business development expertise which has allowed him to successfully implement hedging strategies and custom tailor energy solutions for multinational enterprises, hedge funds and private equity firms. Prior to joining UE, Christian was a Sales Manager at GDF Suez, spearheading the entry of the Illinois and Ohio markets and employing a successful national indirect sales channel module for the organization. Christian holds a BS from the University of Houston.

Duncan McKeever

Duncan has more than 25 years experience as a senior-level executive with extensive finance, accounting, operational and sales experience in diverse industries including Healthcare, Security, Energy, Printing, Manufacturing, Employment and Financial Services. For the past 2 years he has worked with Unified Energy developing sales training, recruiting, management and pricing structures. Duncan holds a MBA from The University of Texas and a BS from Southwest Texas State University.

Exhibit 12 – Newspaper Publications

- 1. Erie Times**
- 2. Harrisburg Patriot News**
- 3. Philadelphia Daily News**
- 4. Pittsburg Post-Gazette**
- 5. Scranton Times-Tribune**
- 6. Williamsport Sun-Gazette**
- 7. Johnstown Tribune-Democrat**

#12

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

Kirsty-Lee Norvell
Unified Energy Services, LLC
3900 Essex Lane Suite 750
Houston TX 77027

REFERENCE: 81828 87151
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 08/14/14

TOTAL COST: \$409.00 AD SPACE: 0 Lines

FILED ON: 08/14/14

**PENNSYLVANIA PUBLIC UTILITY COMMISSION
NOTICE**

Application of Unified Energy Services, LLC for Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In This Commonwealth Of Pennsylvania.

Unified Energy Services, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Unified Energy Services, LLC proposes to sell natural gas and related services in Columbia Gas of PA, Equitable Gas Company, National Fuel Gas Distribution Corp, PECO, The Peoples Natural Gas, Peoples TWP, LLC, Philadelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural Valley Energy, Inc. under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Unified Energy Services, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Unified Energy Services, LLC attorney at the address listed below.

By and through Counsel:
Tullett Prabon
ATTN: Legal Department
101 Hudson Street • 24th Floor
Jersey City, NJ 07302
201-557-5045

en0047151

Sworn to and subscribed before me this 14th day of August 2014

Affiant: Debra McGraw

NOTARY: Barbara J Moore

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2016
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

The Patriot-News Co.
2020 Technology Pkwy
Suite 300
Mechanicsburg, PA 17050
Inquiries - 717-255-8213

#12

The Patriot-News
Now you know

UNIFIED ENERGY SERVICES, LLC
3900 ESSEX LANE
SUITE 750
ATTN: KIRSTY-LEE
HOUSTON TX 77027

THE PATRIOT NEWS
THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929
Commonwealth of Pennsylvania, County of Dauphin} ss

Marianne Miller, being duly sworn according to law, deposes and says:

That she is the Assistant Controller of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds uphin in Miscellaneous Book "M", Volume 14, Page 317.

This ad # 0002310830 ran on the dates shown below:

August 14, 2014

Sworn to and subscribed before me this 14 day of August, 2014 A.D.

Notary Public

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Sheryl Marie Leggore, Notary Public
Hampden Twp., Cumberland County
My Commission Expires July 16, 2018
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE
Application of Unified Energy Services, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.
Unified Energy Services, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas; and (2) a broker/marketer engaged in the business of providing natural gas services. Unified Energy Services, LLC proposes to sell natural gas and related services in Columbia Gas of PA, Equitable Gas Company, National Fuel Gas Distribution Corp, PECO, The Peoples Natural Gas, Peoples TWP, LLC, Philadelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, Valley Energy, Inc under the provisions of the new Natural Gas Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Unified Energy Services, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Unified Energy Services, LLC attorney at the address listed below.
By and through Counsel:
Tuffett Prabon
ATTN: Legal Department
107 Hudson Street
24th Floor
Jersey City, NJ 07302

#12

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

August 14, 2014

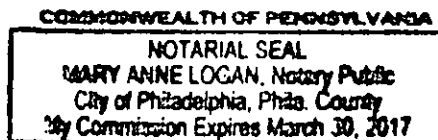
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Florence Devlin

Sworn to and subscribed before me this 14th day of August, 2014.

Mary Anne Logan
Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA PUBLIC UTILITY COMMISSION
NOTICE
Application of Unified Energy Services, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged in the Business of Supplying Natural Gas Supply Services. To The Public in The Commonwealth Of Pennsylvania. Unified Energy Services, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Unified Energy Services, LLC proposes to sell natural gas and related services in Columbia; Gas of PA, Equitable Gas Company, National Fuel Gas Distribution Corp, PECO, The Peoples Natural Gas, Peoples TWP, LLC, Philadelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, Valley Energy, Inc under the provisions of the new Natural Gas Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Unified Energy Services, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3268, Harrisburg, PA 17105-3268. You should send copies of any protest to Unified Energy Services, LLC attorney at the address listed below.
By and through Counsel:
Tullitt Prebon
ATTN: Legal Department
101 Hudson Street
24th Floor
Jersey City, NJ 07302
201-557-6046

#12

No. _____ Term, _____

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

13 of August, 2014

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

K. Flaherty
PG Publishing Company
Sworn to and subscribed before me this day of:
August 13, 2014

Linda M. Gaertner
COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Linda M. Gaertner, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires Jan. 31, 2015
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

STATEMENT OF ADVERTISING COSTS
Unified Energy Services, LLC
3900 ESSEX LN STE 750
ATTN: KRISTY-LEE NORVEL
HOUSTON TX 77027-5489

To PG Publishing Company

Total ----- \$517.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
34 Boulevard of the Allies
PITTSBURGH, PA 15222
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By [Signature]

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

Attorney For

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of United Energy Services, LLC For Approval to Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged in the Business of Supplying Natural Gas Supply Services to the Public in the Commonwealth of Pennsylvania.
United Energy Services, LLC will be filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. United Energy Services, LLC proposes to sell natural gas and related services in Columbia Gas of PA, Equitable Gas Company, National Fuel Gas Distribution Corp, PECO, The Peoples Natural Gas, Peoples WPP, LLC, Philadelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, Valley Energy, Inc under the provisions of the new Natural Gas Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of United Energy Services, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to United Energy Services, LLC attorney at the address listed below. By and through Counsel:
Tullett Preben
ATTN: Legal Department
101 Hudson Street
24th Floor
Jersey City, NJ 07302
201-557-5045

#12

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

UNIFIED ENERGY SERVICES LLC
3900 ESSEX LANE STE 750
HOUSTON TX 77027

Account # 443852
Order # 81579252
Ad Price: 238.50

LEGAL NOTICE PENNSYLVANIA

Amanda Kakareka

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

08/13/2014 08/13/2014

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Amanda Kakareka

Sworn and subscribed to before me
this 13th day of August A.D., 2014

Joseph D. Strubeck
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Joseph D. Strubeck, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Aug. 19, 2017
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

LEGAL NOTICE

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Application of Unified Energy Services, LLC For Approval To Offer, Render, or, Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services To The Public In The Commonwealth Of Pennsylvania.

Unified Energy Services, LLC will be filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Unified Energy Services, LLC proposes to sell natural gas and related services in Columbia Gas of PA, Equitable Gas Company, National Fuel Gas Distribution Corp, PECO, The Peoples Natural Gas, Peoples TWP, LLC, Philadelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, Valley Energy, Inc under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Unified Energy Services, LLC may

be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Unified Energy Services, LLC attorney at the address listed below.

By and through Counsel:
Tullitt Proben
ATTN: Legal Department
101 Hudson Street
24th Floor
Jersey City, NJ 07302
201-557-8048

#12

No.

Term, 19

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

August 14, 2014

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that the facts and circumstances in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE
Application of Unified Energy Services, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services To The Public In The Commonwealth Of Pennsylvania.

Unified Energy Services, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as: (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Unified Energy Services, LLC proposes to sell natural gas and related services in Columbia Gas of PA, Equitable Gas Company, National Fuel Gas Distribution Corp., PECO, The Peoples Natural Gas, Peoples TWP, LLC, Philadelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, Valley Energy, Inc. under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Unified Energy Services, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Unified Energy Services, LLC attorney at the address listed below.

By and through Counsel:
Tullett Proban

Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me

the 18th day of August 2014

Cathy A. Billey
Notary Public

NOTARIAL SEAL
CATHY A. BILLEY, Notary Public
City of Williamsport, Lycoming County
My Commission Expires May 15, 2015

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:
For publishing the notice attached
hereto on the above state dates.....\$ 291.36
Probated same.....\$
Total.....\$ 291.36

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

#12

COMMONWEALTH OF PENNSYLVANIA

County of Cambria

} SS

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Unified Energy Services, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services To The Public In The Commonwealth Of Pennsylvania.

Unified Energy Services, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Unified Energy Services, LLC proposes to sell natural gas and related services in Columbia Gas of PA, Equitable Gas Company, National Fuel Gas Distribution Corp, PECO, The Peoples Natural Gas, Peoples TWP, LLC, Philadelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, Valley Energy, Inc under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Unified Energy Services, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Unified Energy Services, LLC attorney at the address listed below:

By and through Counsel: Tullett Prebon ATTN: Legal Department 101 Hudson Street 24th Floor Jersey City, NJ 07302 201-557-5045

publish that th of The in the of said

County of Cambria, and Commonwealth of Pennsylvania and the matter published in said publication in the regular issues of the Tribune-Democrat, Johnstown, PA, on August 13, 2014; and that the Affiant is not interested in the matter and that all of the allegations as to time, place and character

On this 15th day of August A.D. 2014, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863.

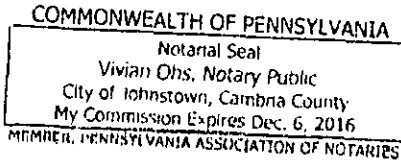
Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 15th day of August, 2014.

[Signature]

0.00 Lines @ \$2.50 per line	0.00
7.5 Inches @ \$25.00 per inch	187.50
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	195.00



To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____ a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____

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Kirsty-Lee Norvell

Origin ID: HOUA



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2 KEYSTONE BUILDING 400 NORTH ST
2nd Floor, Room N201
HARRISBURG, PA 17120

Delivery Address Bar Code



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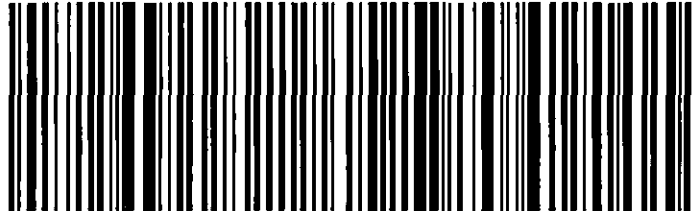
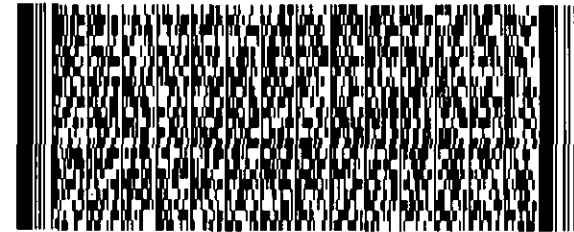
SEP 22 2014

MON - 11 AUG 10:30A
MORNING 2DAY

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TRK# 7707 8792 0117
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SEP 22 2014

17120

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PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU
MDT



FTD 397233 225F.P14 L.KSA 522C1/CDB4/65DD

**Enrollment Authorization
between Green Mountain Energy Company and the Legal entity known as:
DANCE WORKS**

**Green Mountain Energy Company
Terms of Service For Small Commerical Customers**

REP Certificate Number 10009

1. Small Commercial Terms of Service.

Thank you for choosing Green Mountain Energy Company ("Green Mountain", "we" or "us") to be your retail electric provider ("REP"). This document explains the terms and conditions of your agreement to purchase electricity service from Green Mountain. Your agreement with us ("Agreement" or "Contract") includes the Terms of Service, your Enrollment Authorization (in writing, by telephone, or the internet), the Electricity Facts Label ("EFL"), and the Your Rights as a Customer disclosure document ("YRAC"), as they may be amended from time to time. By accepting electric service from Green Mountain, you are entering into a contract with us and you will be bound by the terms of our Agreement. All of your electricity generation requirements at the electricity delivery point you enrolled with us will be served under this Agreement. Each point of delivery is identified by an Electric Service Identifier ("ESI ID") assigned by the Electric Reliability Council of Texas ("ERCOT"). Please keep this Agreement for future reference.

2. Spanish Language (Idioma Español)

Contract documents are available in Spanish by contacting us at 866-767-5817.
Usted puede obtener los documentos de contrato comunicándose con nosotros al 866-767-5817.

3. Term of Agreement.

The initial term of this Agreement will begin on the date the ESI ID you enrolled with us begins receiving service from Green Mountain under the applicable product ("Enrollment Date") and will continue, unless terminated sooner as provided herein, for the number of months stated in the Contract Term section of your EFL ("Minimum Term"), ending on the last day of a regular monthly meter read cycle. You will receive additional information about your contract expiration date in a contract expiration notice. Upon the expiration of any Minimum Term, this Agreement shall continue indefinitely on a month-to-month basis, until you switch to another provider, select another Green Mountain Energy® electricity product, or we terminate or disconnect your service. The regular monthly billing period for each ESI ID is established by your transmission and distribution service provider ("TDSP") based on their meter read schedule. If the Contract Term stated in the EFL is "0", "month-to-month" or "None", your term is month-to-month.

4. Right of Rescission.

If you are switching service to Green Mountain at the ESI ID (s) enrolled, you may rescind this Agreement without penalty by contacting us before midnight of the third federal business day after the date of your enrollment authorization and receipt of the contract documents. To cancel you may (i) call us at 1-866-767-5817; (ii) write to us at our customer care address, Green Mountain, 1255 W. 15th Street, Suite 100, Plano, Texas 75075; (iii) send us a fax at (800) 524-9616; or (iv) send an e-mail to txbizcs@greenmountain.com. Please include the following: 1) request to cancel contract; 2) name, address, phone number; 3) Account Number or ESI ID Number(s). If you notify us of your desire to cancel after the expiration of the three business day period described above, we are not required to cancel your enrollment, but you have the right to select another REP and may do so by contacting that REP. If you do select another REP in these circumstances, you will still be responsible for charges assessed by Green Mountain for our service until your switch to another REP is made, as well as any applicable early termination fee. This right of rescission does not apply if you are moving into a new premises.

5. Pricing.

(a) The pricing for your Green Mountain Energy electricity product will be as described on the applicable EFL for the product you are purchasing and/or as specified in your Enrollment Authorization. The product you are purchasing is indicated in your Enrollment Authorization. The EFL shows representative example average prices as of the Issue Date indicated on the EFL. You agree to pay the price and all amounts shown on your Green Mountain bill. Depending on which Green Mountain Energy electricity product you elect to purchase, your product may be a fixed rate or variable price product, as provided in the applicable EFL.

(b) If you are enrolled under a fixed rate product with a Minimum Term, unless otherwise indicated in the EFL for such product, the per kWh energy charge and monthly base charge described in the EFL is a fixed price that will not change for the Minimum Term for any reason except that the price may vary to reflect actual changes in transmission and distribution utility charges, changes to ERCOT or Texas Regional Entity administrative fees charged to loads, or changes to federal, state, or local laws that result in new or modified fees or costs that are not within the retail electric provider's control. Any pricing change made in these limited circumstances may be made without prior notice to you and without your having the right to cancel without penalty.

(c) If you are enrolled under a month-to-month variable price product (including any default renewal product upon the expiration of any Minimum Term of any fixed rate or other term product), unless otherwise indicated in the EFL for such product, your pricing

- PLEASE KEEP A COPY OF THIS FORM FOR YOUR RECORDS - Return Fax# 866-246-1840

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