

**BEFORE**

**PENNSYLVANIA PUBLIC UTILITIES COMMISSION**

MUIRFIELD ENERGY, INC. :  
For Retail Natural Gas Aggregator/Broker : Docket No. A-2014-2445211  
License :

**APPLICANT, MUIRFIELD ENERGY, INC.'S, REPLY  
TO DATA REQUEST**

Now comes Muirfield Energy, Inc. ("the Applicant") and hereby responds to the Commission's Data Request dated October 14, 2014, copy of which is attached hereto as "Exhibit A":

**RESPONSE TO NO. 1:** Attached hereto as Response 1 please find copies of Applicant's Ohio PUC Licenses.

**RESPONSE TO NO. 2:** Applicant hereby amends its response to Section 9 to state: "Muirfield Energy, Inc. is an energy consulting and brokering company providing energy procurement services to residential, commercial (less than 6,000 Mcf annually), commercial (6,000 Mcf or more annually), industrial, and government customers."

**RESPONSE TO NO. 3:** Attached hereto as Response 3 please find bond waiver letters from PECO and NFG.

**RESPONSE TO NO. 4:** Applicant agrees with the statements at Sections 19 through 24 of its Application.

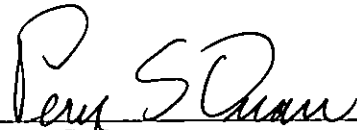
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**VERIFICATION:**

I, Perry S. Oman, President of Applicant, Muirfield Energy, Inc., hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to penalties of 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities).

  
\_\_\_\_\_  
Perry S. Oman  
President of Muirfield Energy, Inc.  
425 Metro Place North, Suite 550  
Dublin, OH 43017  
Phone: (614) 336-8877, ext. 222  
Fax: (888) 370-8878  
E-mail: [poman@muirfieldenergy.com](mailto:poman@muirfieldenergy.com)

SWORN TO (or affirmed) before me and signed in my presence on this 20<sup>th</sup> day of October, 2014.

  
\_\_\_\_\_  
Notary Public



ABBY CLARK  
Notary Public, State of Ohio  
My Comm. Expires Aug. 10, 2019

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# **EXHIBIT A**

(Copy of Request For Additional Information)

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**EXHIBIT A**



COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
P.O. BOX 3265, HARRISBURG, PA 17105-3265

IN REPLY PLEASE  
REFER TO OUR FILE

October 14, 2014

Docket No. A-2014-2445211

Utility Code: 1216959

PERRY OMAN PRESIDENT  
MUIRFIELD ENERGY INC  
425 METRO PLACE NORTH STE 550  
DUBLIN OH 43017

RE: Natural Gas Supplier License Application of Muirfield Energy, Inc

Dear Mr. Oman:

On September 30, 2014, Resource Energy Systems, LLC's application for a Natural Gas Supplier license was accepted for filing and docketed with the Public Utility Commission. The application was incomplete. In order for us to complete our analysis of your application, the Energy Industry Group requires answers to the attached question(s).

Please forward the information to the Secretary of the Commission at the address listed below **within ten (10) working days** from the date of this letter. Please note that some responses may be e-filed to your case, <http://www.puc.pa.gov/efiling/default.aspx>. A list of document types allowed to be e-filed can be found at <http://www.puc.pa.gov/efiling/DocTypes.aspx>.

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
P.O. Box 3265  
Harrisburg, PA 17105-3265

or  
Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

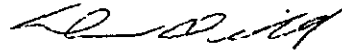
**Your answers should be verified per 52 Pa Code § 1.36.** Accordingly, you must provide the following statement with your responses:

I, \_\_\_\_\_, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities).

The blank should be filled in with the name of the appropriate company representative, and the signature of that representative should follow the statement.

In addition, to expedite completion of the application, please also e-mail the information to Debra Backer at [dbacker@pa.gov](mailto:dbacker@pa.gov). Please direct any questions to Debra Backer, Bureau of Technical Utility Services, at [dbacker@pa.gov](mailto:dbacker@pa.gov) or (717) 783-9787.

Sincerely,



Darren D. Gill, Deputy Director  
Bureau of Technical Utility Services

Enclosure  
cc: Debra Backer

Docket No. A-2014-2445211  
Muirfield Energy, Inc.  
Data Request

1. Reference application, Section 7, Applicant's Present Operations – Applicant has submitted they offer broker services in the state of Ohio. However, applicant has not provided any proofs of licenses for Ohio. Please provide any original documents by using the submission method listed above.
2. Reference application, Section 9, proposed services – Applicant has listed they will be *providing energy procurement services to commercial and industrial customers*. However, Applicant listed it will be providing services to all the customer classes. Please correct this page with the correct customers you will be providing services to. Please provide any original documents by using the submission method listed above.
3. Reference application, Section 17.b, Financial Fitness – Applicant has provided NGDC bonding letters for all the NGDCs except PECO and NFG. If applicant wants to operate in these 2 NGDCs, please provide the NGDC bonding letter for these companies. The NGDC's contact information is available on page 7 of your application package. Please provide original documents by using the submission method listed above.
4. Reference Application, Sections 19 through 24 – Applicant has left these questions blank on their application. However, these questions are required. Please resubmit these particular pages of the application with responses. Please note, it is acceptable to simply type AGREED in response to these questions. Please provide an original document by using the submission method listed above.

# **Response 1**

(Applicant's Ohio Licenses)

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**Response 1**

FILE

# The Public Utilities Commission of Ohio

## Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate

Issued pursuant to Case Number(s):

**09-0605-GA-AGG**

Is

Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number:

**09-158G(3)**

Granted to:

**Muirfield Energy, Inc.**

Whose office or principal place of business is located at:

**450 Metro Place North, Suite 550, Dublin, Ohio 43017**

And is hereby certified to provide:

**Retail Natural Gas Aggregator/Broker Services**

within the state of Ohio, for a two-year period.

Certification Effective:

**October 31, 2013 Through October 31, 2015**

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio

Dated: **November 1, 2013**

By Order of  
The Public Utilities Commission of Ohio



Barcy F. McNeal, Secretary  
Betty McCauley, Acting Secretary  
Tanowa M. Troupe, Acting Secretary



## CRNGS AUTOMATIC CASE ACTION FORM

Case No. **09-0605-GA-AGG**

Date Sent: **November 1, 2013**

Effective Date of Certificate: **October 31, 2013**

Certificate Expires: **October 31, 2015**

Company Name and Company Name d/b/a: **Muirfield Energy, Inc.**

Renewal

### Action Needed:

Issue Certificate Number \_\_\_\_\_ to: \_\_\_\_\_ at (address): \_\_\_\_\_

Certified To Provide the Following Services:

- Retail Generation
- Aggregation
- Retail Natural Gas Marketer Services
- Retail Natural Gas Brokerage Services
- Governmental Aggregation

Renew Certificate No. **09-158G(2)** to **09-158G(3)**

Revise Certificate No. \_\_\_\_\_ to (check all applicable):

Reflect name change from \_\_\_\_\_ to \_\_\_\_\_

Reflect address change from \_\_\_\_\_ to \_\_\_\_\_

Add new service offering to certificate:

- Retail Generation
- Aggregation
- Power Marketer
- Power Broker
- Governmental Aggregation

Correct Administrative Error

Reflect Change of Ownership to: \_\_\_\_\_

Cancel Certificate No. \_\_\_\_\_

Protect Un-redacted copies until \_\_\_\_\_

Close Case File, Case Withdrawn at Applicant's Request

Close Case File



**Ohio****Public Utilities  
Commission**John Kasich, Governor  
Todd A. Sntichler, Chairman

Commissioners

Steven D. Lesser  
Asim Z. Haque  
Lynn Slaby  
M. Beth Trombold**PUBLIC UTILITIES COMMISSION OF OHIO***Certified as a Competitive Retail Electric Service Provider***RENEWAL**

Certificate Number:

**09-161E (3)**

Issued Pursuant to Case Number(s):

**09-604-EL-AGG**

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Muirfield Energy, Inc.** whose office or principal place of business is located at **425 Metro Place North, Suite 550, Dublin, Ohio 43017** to provide **aggregation and power broker** services within the State of Ohio effective **October 31, 2013**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **November 4, 2013**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO



Barcy F. McNeal, Secretary

Betty McCauley, Acting Secretary

Tanowa M. Troupe, Acting Secretary

This is to certify that the images appearing are accurate and complete reproduction of a case file document delivered in the regular course of business. Technician SMC Date Processed 11/4/13

**Certificate Expires: October 31, 2015**

## CRES AUTOMATIC CASE ACTION FORM

Case No. **09-0604-EL-AGG**

Date Sent: **November 1, 2013**

Effective Date of Certificate: **October 31, 2013 (Note new date)**

Certificate Expires: **October 31, 2015**

Company Name and Company Name d/b/a: **Muirfield Energy, Inc.**

Renewal

**Action Needed:**

- Issue Certificate Number to:        at (address):
  
- Certified To Provide the Following Services:
  - Retail Generation
  - Aggregation
  - Power Marketer
  - Power Broker
  - Governmental Aggregation
  
- Renew Certificate No. **09-161E (2) to 09-161E (3)**
  
- Revise Certificate No.        to (check all applicable):
  - Reflect name change from        to
  
  - Reflect address change from        to
  
  - Add new service offering to certificate:
    - Retail Generation
    - Aggregation
    - Power Marketer
    - Power Broker
    - Governmental Aggregation
  
  - Correct Administrative Error
  
  - Reflect Change of Ownership to:
  
- Cancel Certificate No.
  
- Protect Un-redacted copies until
  
- Close Case File, Case Withdrawn at Applicant's Request
  
- Close Case File

**CASE NUMBER:** 09-0604-EL-AGG  
**CASE DESCRIPTION:** MUIRFIELD ENERGY INC  
**DATE OF SERVICE:** 11/4/2013  
**DOCUMENT SIGNED ON:** 11/4/13

**Sign Here:** \_\_\_\_\_ *LOA*

<b>PARTY OF RECORD</b>	<b>APPLICANT</b>	<b>ATTORNEY</b>
MUIRFIELD ENERGY INC PRESIDENT PERRY S OMAN 425 METRO PLACE NORTH SUITE 550 DUBLIN,OH 43017 Phone:(614) 336-8877 x222 Fax:(888) 370-8878 Email:poman@muirfieldenergy.com	NONE	

<b>PARTY OF RECORD</b>	<b>ATTORNEY</b>	<b>ATTORNEY</b>
none	*Coffey, Sandra Public Utilities Commission of Ohio 180 E. Broad St. Columbus,OH 43215 Phone:(614) 728-2516 Fax:(614) 728-8373 Email:Sandra.Coffey@puc.state.oh.us	

# Response 2

(Response re section 9)

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**Response 2**

9. PROPOSED SERVICES: Generally describe the natural gas services which the Applicant proposes to offer.

Muirfield Energy, Inc. is an energy consulting and brokerage company providing energy procurement services to residential, commercial (less than 6,000 Mcf), commercial (6,000 Mcf or more annually) industrial and government customers.

10. SERVICE AREA: Provide each Natural Gas Distribution Company (NGOC) in which Applicant proposes to offer services.

Columbia Gas Of Pennsylvania, Inc.

Equitable Gas Company

National Fuel Gas Distribution Corporation

PECO Gas (Exelon Corporation)

Peoples Natural Gas Company LLC

Peoples TW PLLC (Formerly TW Phillips)

Philadelphia Gas Works (PGW)

UGI Central Penn Gas

UGI Penn Natural Gas

UGI Utilities Inc. (Gas)

Valley Energy

11. CUSTOMERS: Applicant proposes to initially provide services to:

Residential Customers

Commercial Customers - (Less than 6,000 Mcf annually)

Commercial Customers - (6,000 Mcf or more annually)

Industrial Customers

Governmental Customers

X All of above

Other (Describe):

# **Response 3**

(Bond Waiver Letters from PECO and NFG)

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**Response 3**





PECO Energy Company  
Gas  
2301 Market Street S9-1  
Philadelphia, PA 19101

www.exeloncorp.com

September 30, 2014

John Streb Co., L.P.A.  
Law Offices  
736 Neil Avenue  
Columbus, Ohio 43215

Re: Bonding Requirements

Dear John Streb:

PECO is aware that Muirfield Energy, Inc. applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, Muirfield Energy, Inc. could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. Muirfield Energy, Inc. has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that Muirfield Energy, Inc. does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by Muirfield Energy, Inc. or the creditworthiness requirement for PECO's exposure to Muirfield Energy, Inc. changes in the future, PECO reserves the right to require Muirfield Energy, Inc. to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,

A handwritten signature in cursive script that reads "Carlos P. Thillet".

Carlos P. Thillet  
Manager, Gas Supply and Transportation  
2301 Market St S9-1  
Philadelphia, Pa 19103



# National Fuel

October 2, 2014

Perry Oman, President  
Muirfield Energy, Inc.  
425 Metro Place North, Suite 550  
Dublin, OH 43017

Re: Security Requirement for Muirfield Energy, Inc:

Dear Perry,

National Fuel Gas Distribution Corporation ("NFGDC") is aware Muirfield Energy, Inc. (MEI) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, MEI must furnish acceptable security to each utility where MEI will do business. As such, under its tariff, NFGDC could require MEI to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that MEI intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, MEI will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, MEI does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by MEI change in the future, NFGDC reserves the right to require security from MEI as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7599.

Yours truly,

Nathan E. Barnes  
Transportation Services Department

# Response 4

(Response re sections 19 through 24)

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Response 4

19. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2208(0). Transferee will be required to file the appropriate licensing application.

I agree

20. UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.

I agree

21. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- a. Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on an annual basis no later than 30 days following the end of the calendar year.

Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 22 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive natural gas market.

I Agree

22. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.

I agree

23. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

I agree

24. FEE: The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

I agree

Applicant: Moufield Energy, Inc.  
By: Perry S. Orren  
Title: President

# **Additional Information**

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SECRETARY'S BUREAU**



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'02/2009	200915300278	DOMESTIC ARTICLES/FOR PROFIT (AF	125.00	100.00	.00	.00	.00

**Receipt**

This is not a bill. Please do not remit payment.

JOSEPH S STREB  
736 NEIL AVE  
COLUMBUS, OH 43215

**STATE OF OHIO  
CERTIFICATE**  
Ohio Secretary of State, Jennifer Brunner

1860942

It is hereby certified that the Secretary of State of Ohio has custody of the business records for  
**MUIRFIELD ENERGY, INC**

and, that said business records show the filing and recording of:

Document(s)  
**DOMESTIC ARTICLES/FOR PROFIT**

Document No(s):  
**200915300278**



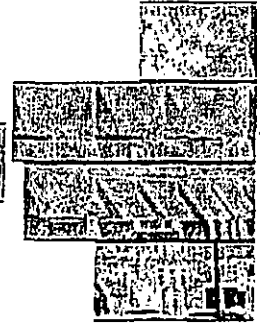
United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio  
this 1st day of June, A.D. 2009.

Ohio Secretary of State

JENNIFER BRUNNER  
OHIO SECRETARY OF STATE

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**Business Information**

Total Row Count in Report - 1

Row(s) 1 - 1

Business Name	Charter / Registration Number	Type	Original Filing Date	Status	Expiration Date	Location / County / State	Agent / Contact Info	Business Filing Info	Prior Business Name Info
MUIRFIELD ENERGY, INC	1860942	Corporation For Profit	Jun 01 2009	Active		Dublin Franklin	<a href="#">Click for Details</a>	<a href="#">Click for Details</a>	<a href="#">Click for Details</a>

[help](#)

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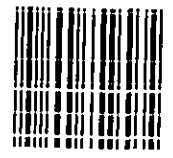
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**MuirfieldEnergy**  
Energy Solutions for Your Business  
425 Metro Place North, Suite 550, Dublin, OH 43017

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
P.O. Box 3265  
Harrisburg, PA 17105