

# BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of H. P. Technologies, Inc., d/b/a \_\_\_\_\_, for approval to offer, render, furnish, or supply natural gas supply services as a(n) Marketer/Broker of natural gas [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

## 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

RECEIVED

**Dennis J. Giancola, H. P. Technologies, Inc.**  
**33648 Saint Francis Drive, Avon, Ohio 44011**  
**www.utility-savings.net**  
**866-892-0910**

SEP 19 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

**Ryan Boucher**  
**320 Marsh Marigold Rd, Kennerdell, PA 16374**  
**Phone - 724-991-0692**  
**Fax - 440-536-9553**

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

**Dennis J. Giancola, President**  
**33648 Saint Francis Drive, Avon, Ohio 44011**  
**Phone - 866-892-0910**  
**Fax - 440-536-9553**  
**Email - giancoladenny@gmail.com**

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

**Applicant is not using an attorney.**

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSS.

**David Ramos, Project Manager**  
**33648 Saint Francis Drive, Avon, Ohio 44011**  
**Phone - 440-822-3188**  
**Fax - 440- 536-9553**  
**Email - davidmramos@outlook.com**

**Main Contact**

**Dennis J. Giancola, President**  
**33648 Saint Francis Drive, Avon, Ohio 44011**  
**Phone - 866-892-0910**  
**Fax - 440-536-9553**  
**Email - giancoladenny@gmail.com**

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

**Or**

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**Or**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**or**

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. **See Attachment A**
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. **Ohio - See Attachment B**
- Give name and address of officers.

**Dennis J. Giancola, President**  
**33648 St. Francis Dr.**  
**Avon, OH 44011**

**Incorporated in Ohio on February 10, 1984**

### **3. AFFILIATES AND PREDECESSORS**

*(both in state and out of state)*

a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

**The Applicant does not have any affiliates(s) currently doing business in the State of Pennsylvania or in any other states.**

**The Applicant does not have any affiliates(s) currently applying to do business in the State of Pennsylvania or in any other states.**

b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

**Applicant does not have any predecessors that have done business in the state of Pennsylvania or in any other state.**

#### 4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of a natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

- The Applicant is presently doing business in Pennsylvania as a
- natural gas interstate pipeline
  - municipality providing service outside its municipal limits
  - local gas distribution company
  - retail supplier of natural gas services in the Commonwealth
  - a natural gas producer
  - a broker/marketer engaged in the business of supplying natural gas services
  - Other. (Identify the nature of service being rendered)

**Marketer/ Broker engaged in the business of supplying electricity services**

**or**

- The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- Broker/Marketer engaged in the business of supplying natural gas services
  - Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

**See Attachment C**

d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- |   |  |
|---|--|
| <input type="checkbox"/> Columbia                     | <input type="checkbox"/> Philadelphia Gas Works      |
| <input type="checkbox"/> National Fuel Gas            | <input type="checkbox"/> UGI Central Penn            |
| <input type="checkbox"/> PECO                         | <input type="checkbox"/> UGI Penn natural            |
| <input type="checkbox"/> Peoples Gas – Equitable Div. | <input type="checkbox"/> UGI Utilities               |
| <input type="checkbox"/> Peoples Natural Gas          | <input type="checkbox"/> Valley Energy               |
| <input type="checkbox"/> Peoples TWP                  | <input checked="" type="checkbox"/> All of the above |

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (Less than 6,000 Mcf annually)
- Residential and Small Commercial as Mixed Meter ONLY **(CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- Large Commercial Customers - (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

**10 - 6 - 2014**

## 5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

**The applicant has no proceedings to list.**

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

**Not applicable**

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

**The Applicant has not had any formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the applicant within the prior five (5) years.**

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

**Not Applicable**

## 6. PROOF OF SERVICE

*(Example Certificate of Service is attached at Appendix C)*

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of the Small Business Advocate  
Commerce Building, Suite 1102  
300 North Second Street  
Harrisburg, PA 17101

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

- b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

<p><b>Columbia Gas of PA, Inc.</b>  Thomas C. Heckathorn  200 Civic Center Drive  Columbus, OH 43215  PH: 614.460.4996  FAX: 614.460.6442  <a href="mailto:theckathorn@nisource.com">theckathorn@nisource.com</a></p>	<p><b>Peoples Gas – Equitable Division</b>  Lynda Petrichevich  375 North Shore Drive, Suite 600  Pittsburg, PA 15212  PH: 412.208.6528  FAX: 412.208.6577  e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>
<p><b>National Fuel Gas Distribution Corp.</b>  David D. Wolford  6363 Main Street  Williamsville, NY 14221  PH: 716.857.7483  FAX: 716.857.7479  e-mail: <a href="mailto:wolfordd@natfuel.com">wolfordd@natfuel.com</a></p>	<p><b>PECO</b>  Carlos Thillet, Manager, Gas Supply and Transportation  2301 Market Street, S9-2  Philadelphia, PA 19103  PH: 215.841.6452  Email: <a href="mailto:carlos.thillet@exeloncorp.com">carlos.thillet@exeloncorp.com</a></p>
<p><b>The Peoples Natural Gas Company</b>  Lynda Petrichevich  375 North Shore Drive, Suite 600  Pittsburg, PA 15212  PH: 412.208.6528  FAX: 412.208.6577  e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>Philadelphia Gas Works</b>  Nicholas LaPergola  800 West Montgomery Avenue  Philadelphia, PA 19122  PH: 215.684.6278  email: <a href="mailto:nicholas.lapergola@pgworks.com">nicholas.lapergola@pgworks.com</a></p>
<p><b>Peoples TWP LLC (Formerly T. W. Phillips)</b>  Lynda Petrichevich  375 North Shore Drive, Suite 600  Pittsburg, PA 15212  PH: 412.208.6528  FAX: 412.208.6577  e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>UGI</b>  David Lahoff  2525 N. 12<sup>th</sup> Street, Suite 360  Reading, PA 19612-2677  PH: 610.796.3520  Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>
<p><b>UGI Central Penn</b>  David Lahoff  2525 N. 12<sup>th</sup> Street, Suite 360  Reading, PA 19612-2677  PH: 610.796.3520  Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>	<p><b>UGI Penn Natural</b>  David Lahoff  2525 N. 12<sup>th</sup> Street, Suite 360  Reading, PA 19612-2677  PH: 610.796.3520  Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>
<p><b>Valley Energy Inc.</b>  Robert Crocker  523 South Keystone Avenue  Sayre, PA 18840-0340  PH: 570.888-9664  FAX: 570.888.6199  email: <a href="mailto:bobc@ctenterprises.org">bobc@ctenterprises.org</a></p>	

## 7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require. See Attachment D
- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies. See Attachment E
  - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient) Not Applicable
  - Applicant's accounting statements, including balance sheet and income statements for the past two years. See Attachment F
  - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports. See Attachment G
  - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee. See Attachment H
  - Audited financial statements exhibiting accounts over a minimum two year period. See Attachment I
  - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness. See Attachment J
- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

### **Broker/Marketer only**

- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

**H. P. Technologies, Inc. will collect fees from the suppliers that supply the natural gas.**

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Steve Deluca, Partner      Fax: 440-842-0400  
EZ Tax, Inc.                      Email: steve.deluca@eztaxinc.biz  
6482 Ridge Rd.  
Parma, OH 44129  
Phone (440) 843-6400

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

## 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the natural gas industry.  
See attachment K
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.  
See attachment L
- Type of customers and number of customers Applicant currently serves in other jurisdictions.  
See attachment M
- Staffing structure and numbers as well as employee training commitments.  
See attachment N
- Business plans for operations within the Commonwealth.  
See attachment O
- Any other information appropriate to ensure the technical capabilities of the Applicant.  
See attachment P

- b. **PROPOSED MARKETING METHOD** (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External NGS – Applicant will contract with a **PUC LICENSED NGS**
- Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or nonselling marketer**
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes  
 No

If yes, will the Applicant be using verification procedures?

- Yes  
 No    Not applicable

If yes, describe the Applicant's verification procedures.

Not applicable

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

**H. P. Technologies, Inc has internal methods and a code of conduct all employees must follow. Our strict guidelines state that all employees must have the highest level of ethics in all decision making. H. P. Technologies, Inc. holds entities and people we do business with to the same high standards. These processes and standards are over seen directly by the president of H. P. Technologies, Dennis J. Giancola.**

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations.

**See attachment Q**

**Dennis J. Giancola  
33648 Saint Francis Drive, Avon, Ohio 44011  
www.utility-savings.net  
866-892-0910**

## **9. DISCLOSURE STATEMENT:**

**DISCLOSURE STATEMENTS:** If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

***Not applicable for an applicant applying for a license exclusively as a broker/marketer.***

## 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

AGREED

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:

- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30<sup>th</sup> following the end of the calendar year per 52 Pa. Code § 62.110.

AGREED

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

AGREED

- d. **ASSESSMENT:** The Commission does not presently assess Natural Gas Suppliers for the purposes of recovery of regulatory expenses.

ACKNOWLEDGED

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

AGREED

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

**g. NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

AGREED

**h. CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations:

AGREED

**i. FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

PAYMENT ENCLOSED

## **11. AFFIDAVITS**

**a.) APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

**b.) OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

## 12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Natural Gas Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each NGDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a Photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburg Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
Equitable Gas	X			X			
National Fuel Gas				X			
PECO			X				
Peoples Natural Gas	X			X			X
Peoples TWP LLC				X			
Philadelphia Gas Works			X				
UGI		X	X		X		
UGI Central Penn	X	X	X	X	X	X	X
UGI Penn Natural		X			X	X	
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

*(Example Publications are provided at Appendices F and G)*

## 13. SIGNATURE

Applicant: Dennis J. Giancola

By: 

Title: President

RECEIVED

SEP 19 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

## 14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

**Applicant:** H. P. Technologies, Inc.

X	<b>Signature</b>	
X	<b>Filing Fee</b>	
X	<b>Application Affidavit</b>	
X	<b>Operations Affidavit</b>	
X	<b>Proof of Publication</b>	
X	<b>Tax Certification Statement</b>	
X	<b>Commonwealth Department of State Verification</b>	
X	<b>Certificate of Service</b>	

Applicant's Use

PUC Secretary's Bureau Use

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Ohio :

ss.

County of Lorain :

Dennis Giancola, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of H. P. Technologies, Inc. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Dennis J. Giancola has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein Dennis J. Giancola has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Dennis J. Giancola acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Dennis J. Giancola acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Dennis J. Giancola  
Signature of Affiant

Sworn and subscribed before me this 26<sup>th</sup> day of August, 2014.

[Signature]  
Signature of official administering oath

My commission expires 03/03/2019.

RECEIVED

SEP 19 2014



MEAGHAN MCMILLIN  
Notary Public, State of Ohio  
My Commission Expires March 3, 2019  
Recorded in Cuyahoga County

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Ohio :

SS.

County of Lorain :

Dennis J. Giancola, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of H. P. Technologies, Inc. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Dennis J. Giancola, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Dennis J. Giancola, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Dennis J. Giancola, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant; by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

That Dennis J. Giancola, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Dennis J. Giancola  
Signature of Affiant

Sworn and subscribed before me this 26 day of August, 2014.

Meaghan McMillin  
Signature of official administering oath

My commission expires 03/03/2019.



**MEAGHAN MCMILLIN**  
Notary Public, State of Ohio  
My Commission Expires March 3, 2019  
Recorded in Cuyahoga County

RECEIVED

SEP 19 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

## Appendix C

### Example CERTIFICATE OF SERVICE

On this the 17 day of September 2014, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

**Office of Consumer Advocate**  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

**Office of the Attorney General**  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

**Office of the Small Business Advocate**  
Commerce Building, Suite 1102  
300 North Second Street  
Harrisburg, PA 17101

**Commonwealth of Pennsylvania**  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

<b>Columbia Gas of PA, Inc.</b> Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX: 614.460.6442 <a href="mailto:theckathorn@nisource.com">theckathorn@nisource.com</a>	<b>Equitable Gas Company</b> Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a>
<b>National Fuel Gas Distribution Corp.</b> David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: <a href="mailto:wolfordd@natfuel.com">wolfordd@natfuel.com</a>	<b>PECO</b> Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: <a href="mailto:carlos.thillet@exeloncorp.com">carlos.thillet@exeloncorp.com</a>
<b>The Peoples Natural Gas Company</b> Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a>	<b>Philadelphia Gas Works</b> Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: <a href="mailto:nicholas.lapergola@pgworks.com">nicholas.lapergola@pgworks.com</a>
<b>Peoples TWP LLC (Formerly T. W. Phillips)</b> Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a>	<b>UGI</b> David Lahoff 2525 N. 12 <sup>th</sup> Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a>

<p><b>UGI Central Penn</b>  David Lahoff  2525 N. 12<sup>th</sup> Street, Suite 360  Reading, PA 19612-2677  PH: 610.796.3520  Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>	<p><b>UGI Penn Natural</b>  David Lahoff  2525 N. 12<sup>th</sup> Street, Suite 360  Reading, PA 19612-2677  PH: 610.796.3520  Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>
<p><b>Valley Energy Inc.</b>  Robert Crocker  523 South Keystone Avenue  Sayre, PA 18840-0340  PH: 570.888-9664  FAX: 570.888.6199  email: <a href="mailto:bobc@ctenterprises.org">bobc@ctenterprises.org</a></p>	


---

Dennis Giancola, President

RECEIVED

SEP 19 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**Sample Disclosure Statement Format  
for Natural Gas Suppliers**

This is an agreement for natural gas services, between NGS name and customer's name and full address.

**Background**

We at NGS Company Name are licensed by the Pennsylvania Public Utility Commission to offer and supply natural gas services in Pennsylvania. Our PUC license number is A-XXXX-XXXXXXX.

- We set the prices and charges that you pay. The Public Utility Commission regulates distribution or delivery prices and services. The Federal Energy Regulatory Commission regulates interstate pipeline prices and services.
- If you ask us, we can bill you directly for our service.
- Right of Recision - You may cancel this agreement at any time before midnight of the third business day after receiving this disclosure.

**Definitions**

- Interstate Pipeline Charges - Charges for moving natural gas to the distribution lines of a distribution company.
- Nonbasic Charges - *Define each nonbasic service being offered.*

**Terms of Service**

**1. (a) Basic Service Prices** - *Itemize Basic Services you are billing for and their prices.*

You will pay rate per (Mcf/Dth/ccf) for the commodity of natural gas.

*Suppliers are to include any variable pricing conditions and limits, if charging a variable rate.*

You will pay rate per (Mcf/Dth/ccf) for other natural gas service.

*Suppliers are to include transmission service prices if billed.*

**(b) Nonbasic Service Prices** - *Itemize Nonbasic Services you are offering and their prices.*

**2. Length of Agreement**

You will buy your natural gas services for the above street address from company's name beginning date through date of expiration, if any.

**3. Special Terms and Conditions** - *List and explain all that apply.*

Sign-up bonuses  
Add-ons

4. **Special Services** - *Provide explanation of price, terms and conditions, including advanced metering deployment, if applicable.*
  
5. **Penalties, Fees and Exceptions** - *List any that apply, including a late payment charge. The print size for this section must be larger than the print in the rest of the agreement.*
  
6. **Cancellation Provisions** - *This category may consist of both customer initiated cancellation provisions and supplier initiated cancellation provisions.*
  
7. **Renewal Provision** - *If this is a fixed term agreement with automatic renewal, explain the procedure here.*
  
8. **Agreement Expiration/Change in Terms**

If you have a fixed term agreement with us that is longer than 3 months and it is approaching the expiration date, we will send you advance written notices at about 90 days and 60 days before the expiration date. If we propose to change our terms of service in any type of agreement, we will send you advance written notices at about 90 days and 60 days before the effective date of the change. If we are billing you directly for our services, then we will provide the notices as a bill message, a bill insert, or in a separate corresponding mailing. If the NGDC is billing our charges for us, then we will provide the notices in separate corresponding mailings. We will explain your options to you in these two advance notifications.

**9. Dispute Procedures**

Contact us with any questions concerning our terms of service. You may call the PUC if you are not satisfied after discussing your terms with us.

**10. Contact Information**

Supplier Name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Phone Number: \_\_\_\_\_

Internet Address: \_\_\_\_\_

Distribution Company Name: \_\_\_\_\_

Provider of Last Resort Name: \_\_\_\_\_

Address: \_\_\_\_\_

Phone Number:

---

---

---

Page 3 of 3

Public Utility Commission (PUC)

Address:

P.O. Box 3265 Harrisburg, PA 17105-3265

Natural Gas Competition Hotline Number: 1-888-xxx-xxxx

Universal Service Program Name:

---

Phone Number:

---

**Appendix F**  
**EXAMPLE FORM OF NOTICE FOR NGS ONLY**

**PENNSYLVANIA**  
**PUBLIC UTILITY COMMISSION**  
**NOTICE**

*Application of **Company Name** (d/b/a "**Trade Name**") For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.*

**Company Name** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. **Company Name** proposes to sell natural gas and related services in [LIST NGDCS HERE] under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Company Name** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Company's Name** attorney at the address listed below.

By and through Counsel: Attorney's Name

**Company Name**

**Address**

**Address**

**Phone**

**FAX**

**Appendix G**  
**EXAMPLE FORM OF NOTICE FOR COMBINED EGS AND NGS**

**PENNSYLVANIA**  
**PUBLIC UTILITY COMMISSION**  
**NOTICE**

*Applications of **Company Name** (d/b/a "**Trade Name**") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

**Company Name** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. **Company Name** will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. **Company Name** proposes to sell electricity, natural gas, and related services [LIST NGDCSs AND EDCS HERE] under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Company Name** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Company's Name** attorney at the address listed below.

By and through Counsel: Attorney's Name

**Company Name**

**Address**

**Address**

**Phone**

**FAX**

Not applicable

**Appendix H**

**Electronic Data Interchange and Internet Requirements**

Any NGS suppliers and/or broker/marketers that wish to use the Electronic Data Interchange (EDI), in the NGDCs where its available, must meet the EDI certification requirements of the NGDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the NGS is encouraged to contact the NGDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. NGDC requirements of new suppliers may be found on the respective NGDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at [http://www.puc.pa.gov/filing\\_resources/issues\\_laws\\_regulations/electronic\\_data\\_exchange.aspx](http://www.puc.pa.gov/filing_resources/issues_laws_regulations/electronic_data_exchange.aspx). The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

To keep current with Pennsylvania EDI practices and policies, a newly licensed NGS may choose to participate in the EDEWG by contacting the following:

**PA EDEWG EDI Contacts**

Entity Name	Contact Name	Telephone	Email	Preference
PA PUC	Jeff McCracken	717-783-6163	<a href="mailto:jmccracken@pa.gov">jmccracken@pa.gov</a>	Email
PA PUC	Lee Yalcin	717-787-6723	<a href="mailto:lyalcin@pa.gov">lyalcin@pa.gov</a>	Email
PA EDEWG LDC Co-Chair	Sue Scheetz	610-774-3616	<a href="mailto:smscheetz@pplweb.com">smscheetz@pplweb.com</a>	Email
PA EDEWG ESP Co-Chair	Matthew Sigg	713-236-4311	<a href="mailto:Matt.Sigg@Constellation.com">Matt.Sigg@Constellation.com</a>	Email
PA EDEWG Secretary & Regional EDI Change Control Manager	Brandon Siegel	412-817-8004	<a href="mailto:brandon.siegel@intelometry.com">brandon.siegel@intelometry.com</a>	Email

**PA NGDC EDI Contacts**

Company Name	Contact Name	Telephone	Email	Preference
PGW	Sherri Pennington	215-684-6588	<a href="mailto:Sherri.Pennington@pqworks.com">Sherri.Pennington@pqworks.com</a>	Email
UGI Utilities Inc.	EDI Technical Support		<a href="mailto:edi@uqi.com">edi@uqi.com</a>	Email
UGI Utilities Inc.	David Lahoff	610-796-3520	<a href="mailto:dlahoff@uqi.com">dlahoff@uqi.com</a>	email

# Attachment A

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
CORPORATION BUREAU  
401 NORTH STREET, ROOM 206  
P.O. BOX 8722  
HARRISBURG, PA 17105-8722  
WWW.CORPORATIONS.STATE.PA.US/CORP

H.P. Technologies, Inc.

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4054181

H.P. Technologies, Inc.  
7782 W Ridge Rd  
Fairview, PA 16417

PENNSYLVANIA DEPARTMENT OF STATE  
CORPORATION BUREAU

Application for Certificate of Authority  
(15 Pa.C.S.)

- Foreign Business Corporation (§ 4124)  
 Foreign Nonprofit Corporation (§ 6124)

Name H. P. Technologies, Inc.		
Address 33648 St. Francis Dr.		
City Avon	State Ohio	Zip Code 44011

Document will be returned to the name and address you enter to the left.

←

Fee: \$250

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations), the undersigned, hereby states that:

1. The name of the corporation is:  
H. P. Technologies, Inc.

2. Complete only when the corporation must adopt a corporate designator for use in Pennsylvania.  
The name which the corporation adopts for use in this Commonwealth is:  
H. P. Technologies, Inc.

3. If the name set forth in paragraph 1 or 2 is not available for use in this Commonwealth, complete the following:  
The fictitious name which the corporation adopts for use in transacting business in this Commonwealth is:

The corporation shall do business in Pennsylvania only under such fictitious name pursuant to the attached resolution of the board of directors under the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations) and the attached form DSCB:54-311 (Application for Registration of Fictitious Name).

4. The name of the jurisdiction under the laws of which the corporation is incorporated is: State of Ohio

5. The address of its principal office under the laws of the jurisdiction in which it is incorporated is:

180 East Broad St # 16	Columbus	Ohio	43215
Number and street	City	State	Zip

Commonwealth of Pennsylvania  
CERTIFICATE OF AUTHORITY 3 Page(s)

PA DEPT. OF STATE

MAY 31 2011



T1125067121

2311 SEP -7 PM 2:40

PA DEPT OF STATE

6. The (a) address of this corporation's proposed registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street	City	State	Zip	County
7782 W. Ridge Rd	Fairview	PA	16417	Eric

(b) Name of Commercial Registered Office Provider \_\_\_\_\_ County \_\_\_\_\_  
c/o: \_\_\_\_\_

7. Check one of the following:

**Business Corporation:** The corporation is a corporation incorporated for a purpose or purposes involving pecuniary profit, incidental or otherwise.

**Nonprofit Corporation:** The corporation is a corporation incorporated for a purpose or purposes not involving pecuniary profit, incidental or otherwise.



*Christine M. Jatzek*  
CHRISTINE M. JATZEK  
Notary Public, State of Ohio  
My Commission Expires April 2, 2014

IN TESTIMONY WHEREOF, the undersigned corporation has caused this Application for Certificate of Authority to be signed by a duly authorized officer thereof

this 25 day of May  
2011.

H. P. Technologies, Inc.

Name of Corporation

*Christine M. Jatzek*  
Signature

President

Title

# Attachment B

The following is the Good Standing Certificate issued by the State of Ohio Secretary of State.

Charter No. 628783

UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF THE SECRETARY OF STATE

*I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show H. P. TECHNOLOGIES, INC., an Ohio corporation, Charter No. 628783, having its principal location in Avon, County of Lorain, was incorporated on February 10, 1984 and is currently in GOOD STANDING upon the records of this office.*



*Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 9th day of September, A.D. 2014.*

*Jon Husted*

Ohio Secretary of State

Validation Number: 201425200311

# Attachment C

## 4c. Proposed Services

**H. P. Technologies, Inc. will arrange for the sale of natural gas by representing the seller(s) of natural gas to the end user. The sales are executed by employing the supplier's legal agreements.**

**H. P. Technologies, Inc. secures the client signature on the supplier's legal agreement. H. P. Technologies intends to be engaged in customer acquisition, the presentation and completion of natural gas sales agreements on behalf of licensed power generation, suppliers and marketers.**

## Attachment D

# Bonding Letters

The following are the bonding letters from the each of the required Natural Gas Distribution Companies in the Commonwealth of Pennsylvania.

September 3, 2014

Dennis Giancola  
President  
H. P. Technologies, Inc.  
33648 Saint Francis Drive  
Avon, OH 44011

Dear Mr. Giancola:

We are pleased that H. P. Technologies, Inc. has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, H. P. Technologies, Inc, could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. H. P. Technologies, Inc. has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that H. P. Technologies, Inc. does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to H. P. Technologies, Inc. changes in the future, Columbia Gas might deem it appropriate to require H. P. Technologies, Inc., to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-6841 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,



Michele Caddell  
Manager of Choice and Nominations



PEOPLES NATURAL GAS



PEOPLES TWP

375 N. Shore Drive, Suite 600  
Pittsburgh, PA. 15212

Lynda W. Petrichevich  
Manager, Rates and Regulatory Affairs

Peoples Service Company LLC  
Phone: 412-208-6528; Fax: 412-208-6577  
Email: lpetrichevich@peoples-gas.com

September 2, 2014

Dennis Giancola  
President  
H. P. Technologies, Inc.  
33648 Saint Francis Drive  
Avon, Ohio 44011

Dear Mr. Giancola:

We are pleased that H. P. Technologies, Inc. has applied for a license to provide natural gas broker/marketer services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples TWP, and Peoples Natural Gas LLC – Equitable Division, (“the Companies”).

Since H. P. Technologies, Inc. is not currently operating, and has no immediate plan to operate a Pool on the Peoples systems, we have determined at this time that H. P. Technologies, Inc. does not need a bond or other financial security requirement to provide these services to the Company’s customers.

If the creditworthiness requirement or the Company’s exposure to H. P. Technologies, Inc. provision of services on the Peoples’ system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at  
Lynda.W.Petrichevich@peoples-gas.com.

Sincerely,

Lynda W. Petrichevich  
Manager, Rates and Regulatory Affairs  
Peoples Natural Gas Company LLC

Cc: Steven Kolich  
Carol Miller

SAFETY

CUSTOMER  
COMMITMENT

TRUST

COMMUNITY



**PHILADELPHIA GAS WORKS**

800 West Montgomery Avenue • Philadelphia, PA 19122

---

August 29, 2014

Mr. Dennis Giancola  
President  
H.P. Technologies, Inc.  
33648 Saint Francis Drive  
Avon, OH 44011

Re: Security Requirement Bond for H. P. Technologies, Inc.

Dear Mr. Giancola:

Philadelphia Gas Works ("PGW") is aware that H.P. Technologies, Inc. has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, H.P. Technologies, Inc. must furnish acceptable security to each utility where H.P. Technologies, Inc. will do business. As such, under its tariff, Philadelphia Gas Works could require H.P. Technologies, Inc. to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that H.P. Technologies, Inc. intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, H.P. Technologies, Inc. will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, H.P. Technologies, Inc. does not need to post a bond or other form of security to operate in its service territory. If the services provided by H.P. Technologies should change, Philadelphia Gas Works reserves the right to require security from H.P. Technologies, Inc. as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at (215) 684-6278.

Sincerely,

A handwritten signature in black ink that reads "Nicholas LaPergola". The signature is written in a cursive style.

Nicholas LaPergola  
Director  
Gas Supply, Transportation & Control

NL:b



UGI Utilities, Inc.  
2525 North 12th Street  
Suite 360  
Post Office Box 12677  
Reading, PA 19612-2677

(610) 796-3400 Telephone

August 29, 2014

Dennis Giancola  
President  
H. P. Technologies, Inc.  
33648 Saint Francis Drive  
Avon, Ohio 44011

RE: H. P. Technologies, Inc. Application to serve as a Natural Gas broker

Dear Mr. Giancola,

Based on your assertion that H. P. Technologies, Inc. ("HP TECHNOLOGIES") is applying with the State of Pennsylvania to operate as a natural gas broker, UGI Utilities Inc. ("UGIU") has concluded that HP TECHNOLOGIES will not need to post security with UGI-Central Penn Gas ("CPG"), UGI-Penn Natural Gas ("PNG") or UGI Utilities Gas Division ("UGI"). This is based on the declaration that HP TECHNOLOGIES will not be taking title to gas or directly serving end use customers. This also assumes that HP TECHNOLOGIES will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU tariffs. If HP TECHNOLOGIES wishes to directly serve Choice customers in the service territories of UGI, PNG and/or CPG in the future as a natural gas supplier, it will have to post security as specified in the respective UGI tariffs prior to the commencement of the service.

Please feel free to contact me with any additional questions that you may have.

Sincerely,

A handwritten signature in black ink, appearing to read "David E. Lahoff". The signature is fluid and cursive, written over a white background.

David E. Lahoff  
Manager, Tariff & Supplier Administration  
UGI Utilities, Inc.

September 11, 2014

Dennis Giancola, President  
H. P. Technologies, Inc.  
33648 Saint Francis Drive  
Avon, Ohio 44011

Re: Bonding Requirements

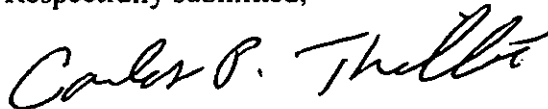
Dear Dennis Giancola:

PECO is aware that H. P. Technologies, Inc. has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

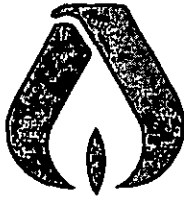
In making such an application, H. P. Technologies, Inc. could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. H. P. Technologies, Inc. has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that H. P. Technologies, Inc. does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by H. P. Technologies, Inc. or the creditworthiness requirement for PECO's exposure to H. P. Technologies, Inc. changes in the future, PECO reserves the right to require H. P. Technologies, Inc. to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,



Carlos P. Thillet  
Manager, Gas Supply and Transportation  
2301 Market St S9-1  
Philadelphia, Pa 19103



# VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840  
800/998-4427 • 570/888-9664 • FAX 570/888-6199

September 15, 2014

**VIA EMAIL**

Mr. David M. Ramos, Project Manager  
H.P. Technologies, Inc.  
Avon, OH 44011  
[davidmramos@outlook.com](mailto:davidmramos@outlook.com)

Dear Mr. Ramos:

We understand that H.P. Technologies, Inc. has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because H.P. Technologies, Inc. intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that H.P. Technologies, Inc. will not be required to post a bond or other form of financial security instrument to provide this service in our service area. However, if the service provided or failure to meet our requirements for credit worthiness changes in the future, we reserve the right to require security from H.P. Technologies, Inc. as deemed appropriate.

If you have any questions, please contact Mrs. Marjorie Johnston at 570-888-9664.

Sincerely,

Robert J. Crocker  
President & CEO

RJC/ss

cc: M. Johnston, Valley Energy



# National Fuel

September 18, 2014

Dennis J. Giancola  
H. P. Technologies, Inc.  
33648 Saint Francis Drive  
Avon, Ohio 44011

Re: Security Requirement for H. P. Technologies, Inc:

Dear Dennis,

National Fuel Gas Distribution Corporation ("NFGDC") is aware H. P. Technologies, Inc. (HPT) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, HPT must furnish acceptable security to each utility where HPT will do business. As such, under its tariff, NFGDC could require HPT to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that HPT intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, HPT will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, HPT does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by HPT change in the future, NFGDC reserves the right to require security from HPT as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7599.

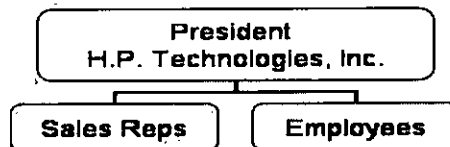
Yours truly,

Nathan E. Barnes  
Transportation Services Department

# Attachment E

H.P. Technologies, Inc. is a legal Ohio corporation established in February, 1984. It is solely owned by Dennis J. Giancola, its president. The company has a combination of employec(s) and independent sales representatives in its organization. There are no subsidiaries or affiliate companies that supply natural gas to customers in North America.

## H.P. Technologies, Inc. Organizational Chart



# Attachment F

**H.P. Technologies, Inc.**  
**Balance Sheet**  
As of December 31, 2012

	<u>Dec 31, 12</u>
<b>ASSETS</b>	
Current Assets	230,279.48
Fixed Assets	25,178.20
<b>TOTAL ASSETS</b>	<u><u>255,457.68</u></u>
<b>LIABILITIES &amp; EQUITY</b>	255,457.68

1:39 PM

09/14/14

Cash Basis

# H.P. Technologies, Inc.

## Balance Sheet

As of December 31, 2013

	<u>Dec 31, 13</u>
<b>ASSETS</b>	
Current Assets	287,152.28
Fixed Assets	25,178.20
<b>TOTAL ASSETS</b>	<u><u>312,330.48</u></u>
<b>LIABILITIES &amp; EQUITY</b>	
Liabilities	-7,118.25
Equity	319,448.73
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<u><u>312,330.48</u></u>

1:29 PM  
09/14/14  
Cash Basis

H.P. Technologies, Inc.  
**Profit & Loss**  
January through December 2012

	<u>Jan - Dec 12</u>
Ordinary Income/Expense	
Income	
4000 - Consulting Income	477,867.60
4900 - Other Regular Income	1,080.00
	<u>478,947.60</u>
Total Income	478,947.60
Gross Profit	478,947.60
Expense	454,282.54
	<u>24,665.06</u>
Net Ordinary Income	24,665.06
Net Income	<u><u>24,665.06</u></u>

1:37 PM  
09/14/14  
Cash Basis

**H.P. Technologies, Inc.**  
**Profit & Loss**  
January through December 2013

	<u>Jan - Dec 13</u>
Ordinary Income/Expense	
Income	<u>664,955.48</u>
Gross Profit	664,955.48
Expense	<u>593,742.63</u>
Net Ordinary Income	71,212.85
Other Income/Expense	<u>-367.22</u>
Net Income	<u><u>70,845.63</u></u>

# Attachment G

## Credit Reports

The following are the Experian creditscore report  
and the Dun and Broadstreet credit report.

---


 For help reading this report, please review our [sample report](#).

Search inquiry: 748239837 / (My company)

## CreditScore<sup>SM</sup> Report

as of: 09/16/14 13:46 ET

### H. P. Technologies, Inc

<b>Address:</b>	33648 Saint Francis Dr Avon, OH 44011-3723 United States	<b>Key Personnel:</b>	Dennis J Giancola
<b>Phone:</b>	440-937-7937	<b>SIC Code:</b>	4925-Petroleum Production
<b>Website:</b>	utility-savings.net	<b>NAICS Code:</b>	221210-Natural Gas Distribution
<b>Experian BIN:</b>	748239837	<b>Business Type:</b>	Corporation
<b>Agent:</b>	Incorp Services, Inc.	<b>Experian File Established:</b>	April 2003
<b>Agent Address:</b>	17888 67TH Court North Loxahatchee, FL	<b>Experian Years on File:</b>	11 Years
<b>Family Linkage:</b>		<b>Years in Business:</b>	100 Years
Ultimate Parent	H. P. Technologies, Inc 33648 Saint Francis Dr Avon, OH	<b>Total Employees:</b>	4
Branches / Alternative Locations	H. P. Technologies, Inc 6482 Ridge Rd Parma, OH United States	<b>Sales:</b>	\$3,608,000
		<b>Filing Data Provided by:</b>	Ohio
		<b>Date of Incorporation:</b>	08/18/2014

<b>Payment Tradelines (see <a href="#">charts</a>):</b>	5
<b>Banking/Insurance/Leasing (see <a href="#">detail</a>):</b>	1
<b>UCC Filings:</b>	1

This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few Payment Tradelines.

<input type="checkbox"/> <b>Businesses Scoring Worse:</b>	52%
✓ <b>Bankruptcies:</b>	0
✓ <b>Liens:</b>	0
✓ <b>Judgments Filed:</b>	0
✓ <b>Collections:</b>	0



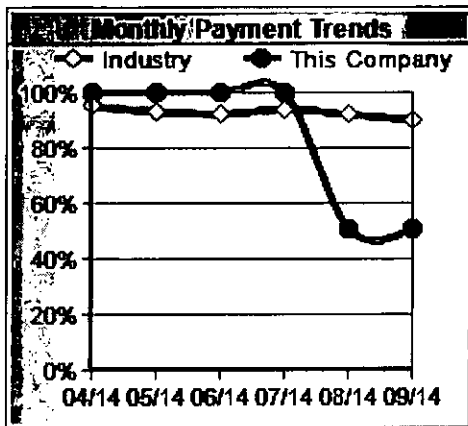
The objective of the Credit Ranking Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

**Key Score Factors:**

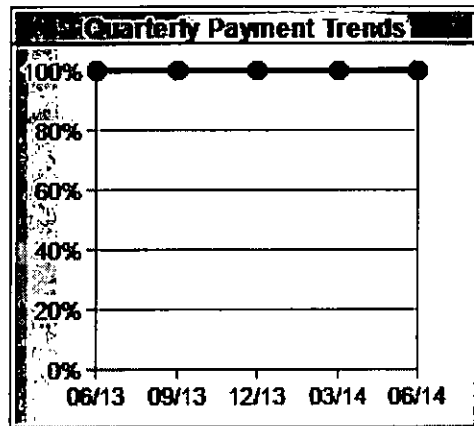
- Number of active commercial accounts.
- Risk associated with the business type.
- Percent of commercial accounts delinquent.
- Past commercial derogatory balance.
- Number of commercial accounts with net 1-30 days term.
- Nbr of active commercial accts within the last 12 mos.
- Number of recently active commercial accounts.
- Percent of delinquent commercial accounts.

**Recommended Action:** Low-Medium Risk

**Payment Summary**



\*Percentage of on-time payments by month.



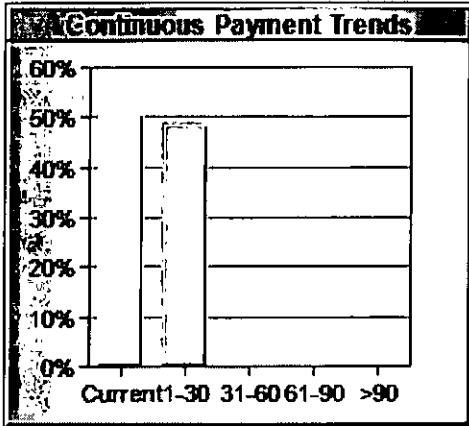
\*Percentage of on-time payments by quarter.

**Monthly Payment Trends - Recent Activity**

Date	Balance	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT
04/14	\$400	100%	0%	0%	0%	0%
05/14	\$200	100%	0%	0%	0%	0%
06/14	\$200	100%	0%	0%	0%	0%
07/14	\$200	100%	0%	0%	0%	0%
08/14	\$600	51%	49%	0%	0%	0%
09/14	\$600	51%	49%	0%	0%	0%

**Quarterly Payment Trends - Recent Activity**

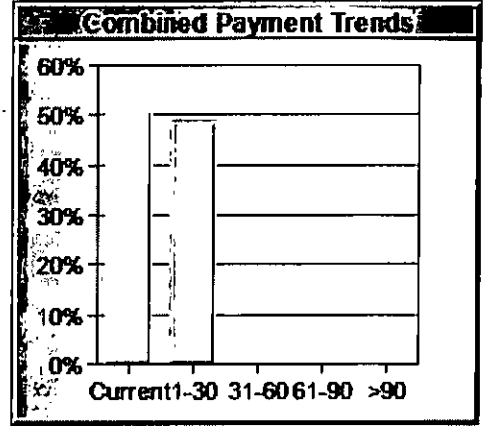
Date	Balance	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT
06/13	\$400	100%	0%	0%	0%	0%
09/13	\$400	100%	0%	0%	0%	0%
12/13	\$600	100%	0%	0%	0%	0%
03/14	\$0	0%	0%	0%	0%	0%
06/14	\$200	100%	0%	0%	0%	0%



\*Continuous distribution with DBT.

Number of Accounts: 2  
 Present Balance: \$600  
 Highest Balance: \$1,000

Insufficient information to produce  
 Newly Reported Payment  
 Trends  
 chart.



\*Combined distribution with DBT.

Number of Accounts: 2  
 Present Balance: \$600  
 Highest Balance: \$1,000

**Commercial Banking, Insurance, Leasing**

[Back to top](#)

**Commercial Banking and Insurance**

Type: Commercial Banking  
 Institution Name: Huntington National Bank  
 Address: 41 S. High Street Columbus Oh43215  
 Phone: 317-237-2518  
 Open Date: 11/12

**\* The information herein is furnished in confidence for your exclusive use for legitimate business purposes and shall not be reproduced. Neither Experian nor its sources or distributors warrant such information nor shall they be liable for your use or reliance upon it.**

© 2014 Experian Information Solutions Inc.

[Back to top](#)

[Home](#) | [My companies](#) | [My account](#) | [Products and pricing](#) | [Help](#) | [Business services](#)

Use of this Web site constitutes acceptance of the [SmartBusinessReports.com Terms and Conditions](#) and [Privacy Policy](#).  
 © 2014 Experian Information Solutions, Inc. All rights reserved. Experian and the marks used herein are service marks or registered trademarks of Experian Information Solutions,



**Live Report : H.P. TECHNOLOGIES, INC.**

D-U-N-S® Number: 62-153-1029

Endorsement/Billing Reference: Joe.Woodall@huntington.com

<b>D&amp;B Address</b>			
<b>Address</b>	33648 Saint Francis Dr Avon, OH, US - 44011	<b>Location Type</b>	Single Location
<b>Phone</b>	440 937-7937	<b>Web</b>	
<b>Fax</b>	440-716-2241		

<b>Endorsement :</b>	Joe.Woodall@huntington.com
----------------------	----------------------------

**Company Summary**

Currency: Shown in USD unless otherwise indicated

**Score Bar**

<b>PAYDEX®</b>		<b>80</b>	Paying on time.				
<b>Commercial Credit Score Percentile</b>		<b>84</b>	Low to Moderate Risk of severe payment delinquency.				
<b>Financial Stress Score National Percentile</b>		<b>94</b>	Low to Moderate Risk of severe financial stress.				
<b>D&amp;B Viability Rating</b>		<table border="1"> <tr> <td>3</td> <td>2</td> <td>B</td> <td><b>G</b></td> </tr> </table>	3	2	B	<b>G</b>	View More Details
3	2	B	<b>G</b>				
<b>Bankruptcy Found</b>		<b>No</b>					
<b>D&amp;B Rating</b>		<b>1R2</b>	1R indicates 10 or more Employees, Credit appraisal of 2 is good				

**Detailed Trade Risk Insight™**

**Days Beyond Terms Past 3 Months**

There is not sufficient reporting trading activity to generate 3 months Days Beyond Terms (a minimum of 3 trade experiences from at least 2 suppliers)


**Recent Derogatory Events**

Jun-14 Jul-14 Aug-14

<b>Placed for Collection</b>	-	-	-
<b>Bad Debt Written Off</b>	-	-	-

**D&B Viability Rating**

<b>3</b>	<b>Viability Score : 3</b>
<b>2</b>	<b>Portfolio Comparison : 2</b>
<b>B</b>	<b>Data Depth Indicator : B</b>
<b>Company Profile : G</b>	
Financial Data	Trade Payments
Company Size	Years in Business

	Not Available	Available (3+Trade)	Largo	Established

### D&B Company Overview

#### This is a single location

Chief Executive	DENNIS J GIANCOLA, PRESIDENT
Year Started	1984
Employees	14
SIC	8748 , 5172
Line of business	Utilities broker
NAICS	541618
History Status	CLEAR

### FirstRain Company News

This Company is not currently tracked for Company News

Powered by FirstRain

### Public Filings

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	Number of Records	Most Recent Filing Date
Bankruptcies	0	-
Judgments	0	-
Liens	0	-
Suits	0	-
UCCs	1	11/29/12

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

## Attachment H

# Insurance

The following are the insurances H. P. Technologies, Inc. carries to support its fitness to perform its obligations as a licensee.




# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
09/12/2014

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b>  Jim Dickerson Insurance Agency Inc Jim Dickerson CLU, Agent 13022 Pearl Rd., Ste 1 Strongsville OH 44136-3442	<b>CONTACT NAME:</b> Jim Dickerson <b>PHONE (A/C, No, Ext):</b> 440-572-2688 <b>E-MAIL ADDRESS:</b> Jim@JimDickerson.biz	<b>FAX (A/C, No):</b> 440-572-2795
	<b>INSURER(S) AFFORDING COVERAGE</b>	
<b>INSURED</b> Dennis Giancola 33648 Saint Francis Dr. Avon OH 44011-3723	<b>INSURER A:</b> State Farm Mutual Automobile Insurance Company <b>NAIC #:</b> 25178	
	<b>INSURER B:</b>	
	<b>INSURER C:</b>	
	<b>INSURER D:</b>	
	<b>INSURER E:</b>	
	<b>INSURER F:</b>	

**COVERAGES**                      **CERTIFICATE NUMBER:**                      **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WYD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	<b>GENERAL LIABILITY</b> <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
A	<b>AUTOMOBILE LIABILITY</b> <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS			717 2397 A29 35	07/29/2014	01/29/2015	COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ 1,000,000 BODILY INJURY (Per accident) \$ 1,000,000 PROPERTY DAMAGE (Per accident) \$ 100,000 \$
	<b>UMBRELLA LIAB</b> <input type="checkbox"/> OCCUR <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE DED    RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICE/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below						WC STATUTORY LIMITS    OTH-ER E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$

**DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)**  
County of Cuyahoga, Ohio and its employees are named as additional insured under the Automobile Liability policy as required under written contract.

<b>CERTIFICATE HOLDER</b>  Additional Insured County of Cuyahoga 2079 E. 9th St. Cleveland, OH 44115	<b>CANCELLATION</b>  SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE
---	--

**CERTIFICATE OF LIABILITY INSURANCE**

DATE (MM/DD/YYYY)

09/08/2014

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> United Agencies, Inc. 1422 Euclid Avenue, Suite 900 Cleveland, OH 44115 United Agencies, Inc.	<b>CONTACT NAME:</b> Dawn Helwig
	<b>PHONE (A/C, No, Ext):</b> 216-696-8044 <b>FAX (A/C, No):</b>
<b>E-MAIL ADDRESS:</b>	
<b>INSURER(S) AFFORDING COVERAGE</b>	
<b>INSURER A:</b> Auto-Owners Group	<b>NAIC #</b> 18988
<b>INSURER B:</b>	
<b>INSURER C:</b>	
<b>INSURER D:</b>	
<b>INSURER E:</b>	
<b>INSURER F:</b>	

**INSURED** H.P. Technologies, Inc.  
33648 St. Francis Drive  
Avon, OH 44011

**COVERAGES**      **CERTIFICATE NUMBER:**      **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADD'L SUBR INSR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<b>GENERAL LIABILITY</b>	X	05645007	12/04/2013	12/04/2014	EACH OCCURRENCE \$ 1,000,000
	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY					DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR					MED EXP (Any one person) \$ 10,000
	GEN'L AGGREGATE LIMIT APPLIES PER:					PERSONAL & ADV INJURY \$ 1,000,000
	<input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC					GENERAL AGGREGATE \$ 2,000,000
	<b>AUTOMOBILE LIABILITY</b>					PRODUCTS - COMP/OP AGG \$ 1,000,000
	<input type="checkbox"/> ANY AUTO					
	<input type="checkbox"/> ALL OWNED AUTOS	<input type="checkbox"/> SCHEDULED AUTOS				COMBINED SINGLE LIMIT (Ea accident) \$
	<input type="checkbox"/> HIRED AUTOS	<input type="checkbox"/> NON-OWNED AUTOS				BODILY INJURY (Per person) \$
	<b>UMBRELLA LIAB</b>	<input type="checkbox"/> OCCUR				BODILY INJURY (Per accident) \$
	<b>EXCESS LIAB</b>	<input type="checkbox"/> CLAIMS-MADE				PROPERTY DAMAGE (PER ACCIDENT) \$
	DED	RETENTION \$				\$
	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b>	<input type="checkbox"/> Y <input checked="" type="checkbox"/> N				WC STATU-TORY LIMITS
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	<input type="checkbox"/> Y <input checked="" type="checkbox"/> N/A				OTH-ER
	If yes, describe under DESCRIPTION OF OPERATIONS below					E.L. EACH ACCIDENT \$
						E.L. DISEASE - EA EMPLOYEE \$
						E.L. DISEASE - POLICY LIMIT \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Cuyahoga County and its employees are included as additional insureds as req by written contract.

**CERTIFICATE HOLDER****CANCELLATION**

County of Cuyahoga  
2079 East 9th Street  
Cleveland, OH 44115

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE



### Certificate of Premium Payment

This certifies the employer listed below has paid into the Ohio State Insurance Fund as required by law. Therefore, the employer is entitled to the rights and benefits of the fund for the period specified. For more information, call 1-800-OHIOBWC.

This certificate must be conspicuously posted.

Policy No. and Employer

Period Specified Below

1036784

07/01/2014 THRU 02/28/2015

H P TECHNOLOGIES INC  
ENERGY DEALS LLC  
6482 RIDGE RD  
PARMA OH 44129-5039

*Stephen Bucher*  
Administrator/CEO

ohiobwc.com

You can reproduce this certificate as needed.

### Ohio Bureau of Workers' Compensation

### Required Posting

Effective Oct. 13, 2004, Section 4123.54 of the Ohio Revised Code requires notice of rebuttable presumption. Rebuttable presumption means an employee may dispute or prove untrue the presumption (or belief) that alcohol or a controlled substance not prescribed by the employee's physician is the proximate cause (main reason) of the work-related injury.

The burden of proof is on the employee to prove the presence of alcohol or a controlled substance was not the proximate cause of the work-related injury. An employee who tests positive or refuses to submit to chemical testing may be disqualified for compensation and benefits under the Workers' Compensation Act.



# Attachment I

## H.P. Technologies, Inc. Statement of Cash Flows January through December 2012

	<u>Jan - Dec 12</u>
<b>OPERATING ACTIVITIES</b>	
Net Income	23,010.90
Adjustments to reconcile Net Income to net cash provided by operations:	
Net cash provided by Operating Activities	6,438.13
<b>FINANCING ACTIVITIES</b>	<u>-66.37</u>
Net cash increase for period	6,371.76
Cash at beginning of period	<u>13,866.54</u>
Cash at end of period	<u><u>20,238.30</u></u>

1:35 PM

09/14/14

**H.P. Technologies, Inc.**  
**Statement of Cash Flows**  
January through December 2013

	<u>Jan - Dec 13</u>
<b>OPERATING ACTIVITIES</b>	
Net Income	72,231.89
Adjustments to reconcile Net Income to net cash provided by operations:	
Net cash provided by Operating Activities	60,665.14
<b>FINANCING ACTIVITIES</b>	<u>-12,790.42</u>
Net cash increase for period	47,874.72
Cash at beginning of period	<u>20,238.30</u>
Cash at end of period	<u><u>68,113.02</u></u>

# Attachment J

## Tax Returns & Bank Good Standing

The following are U.S. Income tax returns for the years of 2012 and 2013 and a good standing letter from Huntington Bank.

**U.S. Income Tax Return for an S Corporation**

Department of the Treasury  
Internal Revenue Service

▶ Do not file this form unless the corporation has filed or is attaching Form 2553 to elect to be an S corporation.

**2012**

▶ Information about Form 1120S and its separate instructions is at [www.irs.gov/form1120s](http://www.irs.gov/form1120s).

For calendar year 2012 or tax year beginning \_\_\_\_\_, 2012, ending \_\_\_\_\_, 20

<b>A</b> S election effective date 02-01-1984	<b>TYPE OR PRINT</b>	Name H P TECHNOLOGIES INC	<b>D</b> Employer identification number 34-1424228
<b>B</b> Business activity code number (see instructions) 561110		Number, street, and room or suite no. If a P.O. box, see instructions. 33648 ST FRANCIS DR	<b>E</b> Date incorporated 02-01-1984
<b>C</b> Check if Sch. M-3 attached <input type="checkbox"/>		City or town, state, and ZIP code AVON OH 44011-3723	<b>F</b> Total assets (see instructions) \$ 248,731

**G** Is the corporation electing to be an S corporation beginning with this tax year?  Yes  No If "Yes," attach Form 2553 if not already filed

**H** Check if: (1)  Final return (2)  Name change (3)  Address change (4)  Amended return (5)  S election termination or revocation

**I** Enter the number of shareholders who were shareholders during any part of the tax year ▶ 1

**Caution.** Include only trade or business income and expenses on lines 1a through 21. See the instructions for more information.

<b>Income</b>	<b>1 a</b> Gross receipts or sales	<b>1a</b>	478,948	
	<b>b</b> Returns and allowances	<b>1b</b>		
	<b>c</b> Balance. Subtract line 1b from line 1a			<b>1c</b> 478,948
	<b>2</b> Cost of goods sold (attach Form 1125-A)			<b>2</b> 95,776
	<b>3</b> Gross profit. Subtract line 2 from line 1c			<b>3</b> 383,172
	<b>4</b> Net gain (loss) from Form 4797, line 17 (attach Form 4797)			<b>4</b>
<b>5</b> Other income (loss) (see instructions - attach statement)			<b>5</b>	
<b>6</b> Total income (loss). Add lines 3 through 5			<b>6</b> 383,172	
<b>Deductions (see instructions for limitations)</b>	<b>7</b> Compensation of officers			<b>7</b> 122,000
	<b>8</b> Salaries and wages (less employment credits)			<b>8</b> 83,487
	<b>9</b> Repairs and maintenance			<b>9</b> 5,199
	<b>10</b> Bad debts			<b>10</b>
	<b>11</b> Rents			<b>11</b>
	<b>12</b> Taxes and licenses		ATT STL	<b>12</b> 23,191
	<b>13</b> Interest			<b>13</b> 1,620
	<b>14</b> Depreciation not claimed on Form 1125-A or elsewhere on return (attach Form 4562)			<b>14</b> 2,950
	<b>15</b> Depletion (Do not deduct oil and gas depletion.)			<b>15</b>
	<b>16</b> Advertising			<b>16</b> 15,188
	<b>17</b> Pension, profit-sharing, etc., plans			<b>17</b>
	<b>18</b> Employee benefit programs			<b>18</b>
	<b>19</b> Other deductions (attach statement)		Statement #2	<b>19</b> 104,841
	<b>20</b> Total deductions. Add lines 7 through 19			<b>20</b> 358,476
	<b>21</b> Ordinary business income (loss). Subtract line 20 from line 6			<b>21</b> 24,696
<b>Tax and Payments</b>	<b>22 a</b> Excess net passive income or LIFO recapture tax (see instructions)	<b>22a</b>		
	<b>b</b> Tax from Schedule D (Form 1120S)	<b>22b</b>		
	<b>c</b> Add lines 22a and 22b (see instructions for additional taxes)			<b>22c</b>
	<b>23 a</b> 2012 estimated tax payments and 2011 overpayment credited to 2012	<b>23a</b>		
	<b>b</b> Tax deposited with Form 7004	<b>23b</b>		
	<b>c</b> Credit for federal tax paid on fuels (attach Form 4136)	<b>23c</b>		
	<b>d</b> Add lines 23a through 23c			<b>23d</b>
	<b>24</b> Estimated tax penalty (see instructions). Check if Form 2220 is attached <input type="checkbox"/>			<b>24</b>
	<b>25</b> Amount owed. If line 23d is smaller than the total of lines 22c and 24, enter amount owed			<b>25</b>
	<b>26</b> Overpayment. If line 23d is larger than the total of lines 22c and 24, enter amount overpaid			<b>26</b>
<b>27</b> Enter amount from line 26 Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>			<b>27</b>	

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)?  Yes  No

**Sign Here** DENNIS GIANCOLA 06-25-2013 PRESIDENT  
Signature of officer Date Title

**Paid Preparer Use Only**

Print/Type preparer's name Ralph A DeLuca CPA	Preparer's signature Ralph A DeLuca CPA	Date 06-25-2013	Check <input type="checkbox"/> if self-employed <input type="checkbox"/> if PTIN P00170624
Firm's name EZ TAX INC	Firm's EIN 34-1130320	Phone no. (440) 843-6400	
Firm's address 6482 RIDGE ROAD PARMA OH 44129-5039			

Schedule K Shareholders' Pro Rata Share Items (continued)		Total amount
Other Information	17 a Investment income	17a
	b Investment expenses	17b
	c Dividend distributions paid from accumulated earnings and profits	17c
	d Other items and amounts (attach statement)	
Reconciliation	18 Income/loss reconciliation. Combine the amounts on lines 1 through 10 in the far right column. From the result, subtract the sum of the amounts on lines 11 through 12d and 14l	18 24,696

Schedule L Balance Sheets per Books		Beginning of tax year		End of tax year	
Assets		(a)	(b)	(c)	(d)
1	Cash		13,120		19,510
2 a	Trade notes and accounts receivable	203,000		210,041	
b	Less allowance for bad debts	( )	203,000	( )	210,041
3	Inventories				
4	U.S. government obligations				
5	Tax-exempt securities (see instructions)				
6	Other current assets (attach statement)				
7	Loans to shareholders				
8	Mortgage and real estate loans				
9	Other investments (attach statement)				
10 a	Buildings and other depreciable assets	69,670		69,670	
b	Less accumulated depreciation	( 47,540 )	22,130	( 50,490 )	19,180
11 a	Depletable assets				
b	Less accumulated depletion	( )		( )	
12	Land (net of any amortization)				
13 a	Intangible assets (amortizable only)				
b	Less accumulated amortization	( )		( )	
14	Other assets (attach statement)				
15	Total assets		238,250		248,731
Liabilities and Shareholders' Equity					
16	Accounts payable		32,306		282
17	Mortgages, notes, bonds payable in less than 1 year				
18	Other current liabilities (attach statement)	Statement #22	44,708	Statement #22	5,404
19	Loans from shareholders		11,946		11,780
20	Mortgages, notes, bonds payable in 1 year or more				
21	Other liabilities (attach statement)				
22	Capital stock		500		500
23	Additional paid-in capital				
24	Retained earnings		148,790		230,765
25	Adjustments to shareholders' equity (attach statement)				
26	Less cost of treasury stock	( )		( )	
27	Total liabilities and shareholders' equity		238,250		248,731

Summary of Stock Ownership

2012

CORPORATION NAME					EIN	
H P TECHNOLOGIES INC					34-1424228	
Shareholder Information			Shares		% Ownership	
Name	EIN/SSN	Type	Beginning	Ending	Beginning	Ending
DENNIS J. GIANCOLA	300-50-9123		<u>100</u>	<u>100</u>	100.00000	100.00000
Total			<u>100</u>	<u>100</u>		

Client Copy

Schedule K-1 (Form 1120S)

Department of the Treasury Internal Revenue Service

2012

For calendar year 2012, or tax

year beginning \_\_\_\_\_, 2012 ending \_\_\_\_\_, 20

Final K-1

Amended K-1

OMB No. 1545-0130

Shareholder's Share of Income, Deductions, Credits, etc.

See page 2 of form and separate instructions.

Part I Information About the Corporation

A Corporation's employer identification number 34-1424228
B Corporation's name, address, city, state, and ZIP code H P TECHNOLOGIES INC 33648 ST FRANCIS DR AVON OH 44011-3723
C IRS Center where corporation filed return CINCINNATI

Part II Information About the Shareholder

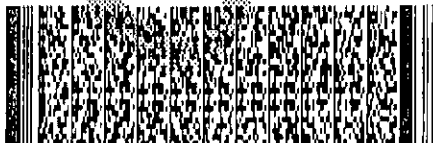
D Shareholder's identifying number 300-50-9123
E Shareholder's name, address, city, state, and ZIP code DENNIS J. GIANCOLA 33648 SAINT FRANCIS DR AVON OH 44011-3723
F Shareholder's percentage of stock ownership for tax year 100.00000 %

Part III Shareholder's Share of Current Year Income, Deductions, Credits, and Other Items

Table with 4 columns: Line number, Description, Amount, and Category. Includes rows for Ordinary business income (24,696), Foreign transactions, Alternative minimum tax (AMT) items (11,205), and Other information.

\* See attached statement for additional information.

For IRS Use Only



U.S. Income Tax Return for an S Corporation

Do not file this form unless the corporation has filed or is attaching Form 2553 to elect to be an S corporation.

2013

Department of the Treasury Internal Revenue Service

Information about Form 1120S and its separate instructions is at www.irs.gov/form1120s.

For calendar year 2013 or tax year beginning 2013, ending 20

Header section containing: A S election effective date (02-01-1984), B Business activity code number (561110), C Check if Sch. M-3 attached, D Employer identification number (34-1424228), E Date incorporated (02-01-1984), F Total assets (288,724), Name (H P TECHNOLOGIES INC), Address (33648 ST FRANCIS DR, AVON OH 44011-3723)

G Is the corporation electing to be an S corporation beginning with this tax year? [ ] Yes [X] No
H Check if: (1) [ ] Final return (2) [ ] Name change (3) [ ] Address change (4) [ ] Amended return (5) [ ] S election termination or revocation
I Enter the number of shareholders who were shareholders during any part of the tax year 1

Caution. Include only trade or business income and expenses on lines 1a through 21. See the instructions for more information.

Main table with columns for Income, Deductions, and Tax and Payments. Rows include: 1a Gross receipts or sales (663,746), 2 Cost of goods sold (162,895), 3 Gross profit (500,851), 6 Total income (500,880), 7 Compensation of officers (139,000), 8 Salaries and wages (123,726), 9 Repairs and maintenance (14,054), 12 Taxes and licenses (21,016), 19 Other deductions (126,399), 20 Total deductions (446,003), 21 Ordinary business income (54,877), 22a Excess net passive income, 23a 2013 estimated tax payments, 27 Enter amount from line 26 credited to 2014 estimated tax (Refunded).

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? [X] Yes [ ] No

Signature section: Sign Here (Dennis Giancola, 03-29-2014, President), Paid Preparer Use Only (Ralph A DeLuca CPA, EZ TAX INC, 6482, RIDGE ROAD, PARMA OH 44129-5039, 03-29-2014, 34-1130320, (440) 843-6400)



Schedule K Shareholders' Pro Rata Share Items		Total amount
Income (Loss)	1 Ordinary business income (loss) (page 1, line 21)	1 54,877
	2 Net rental real estate income (loss) (attach Form 8825)	2
	3a Other gross rental income (loss)	3a
	b Expenses from other rental activities (attach statement)	3b
	c Other net rental income (loss). Subtract line 3b from line 3a	3c
	4 Interest income	4
	5 Dividends: a Ordinary dividends	5a
	b Qualified dividends	5b
	6 Royalties	6
	7 Net short-term capital gain (loss) (attach Schedule D (Form 1120S))	7
Deductions	8a Net long-term capital gain (loss) (attach Schedule D (Form 1120S))	8a
	b Collectibles (28%) gain (loss)	8b
	c Unrecaptured section 1250 gain (attach statement)	8c
	9 Net section 1231 gain (loss) (attach Form 4797)	9
	10 Other income (loss) (see instructions) Type ▶	10
	11 Section 179 deduction (attach Form 4562)	11
	12a Charitable contributions	12a
	b Investment interest expense	12b
	c Section 59(e)(2) expenditures (1) Type ▶ (2) Amount ▶	12c(2)
	d Other deductions (see instructions) Type ▶	12d
Credits	13a Low-income housing credit (section 42(j)(5))	13a
	b Low-income housing credit (other)	13b
	c Qualified rehabilitation expenditures (rental real estate) (attach Form 9468)	13c
	d Other rental real estate credits (see instructions) Type ▶	13d
	e Other rental credits (see instructions) Type ▶	13e
	f Biofuel producer credit (attach Form 6478)	13f
	g Other credits (see instructions) Type ▶	13g
Foreign Transaction	14a Name of country or U.S. possession ▶	
	b Gross income from all sources	14b
	c Gross income sourced at shareholder level Foreign gross income sourced at corporate level	14c
	d Passive category	14d
	e General category	14e
	f Other (attach statement) Deductions allocated and apportioned at shareholder level	14f
	g Interest expense	14g
	h Other Deductions allocated and apportioned at corporate level to foreign source income	14h
	i Passive category	14i
	j General category	14j
	k Other (attach statement) Other information	14k
	l Total foreign taxes (check one): <input type="checkbox"/> Paid <input type="checkbox"/> Accrued	14l
	m Reduction in taxes available for credit (attach statement)	14m
	n Other foreign tax information (attach statement)	
Alternative Minimum Tax (AMT) Items	15a Post-1986 depreciation adjustment	15a
	b Adjusted gain or loss	15b
	c Depletion (other than oil and gas)	15c
	d Oil, gas, and geothermal properties-gross income	15d
	e Oil, gas, and geothermal properties-deductions	15e
	f Other AMT items (attach statement)	15f
Items Affecting Shareholder Basis	16a Tax-exempt interest income	16a
	b Other tax-exempt income	16b
	c Nondeductible expenses	16c 10,432
	d Distributions (attach statement if required) (see instructions)	16d
	e Repayment of loans from shareholders	16e

Schedule K Shareholders' Pro Rata Share Items (continued)		Total amount
Other Information	17 a Investment income	17a
	b Investment expenses	17b
	c Dividend distributions paid from accumulated earnings and profits	17c
	d Other items and amounts (attach statement)	
Reconciliation	18 Income/loss reconciliation. Combine the amounts on lines 1 through 10 in the far right column. From the result, subtract the sum of the amounts on lines 11 through 12d and 14l	18 54,877

Schedule L Balance Sheets per Books		Beginning of tax year		End of tax year	
Assets		(a)	(b)	(c)	(d)
1	Cash		19,510		52,280
2 a	Trade notes and accounts receivable	210,041		219,039	
b	Less allowance for bad debts	( )	210,041	( )	219,039
3	Inventories				
4	U.S. government obligations				
5	Tax-exempt securities (see instructions)				
6	Other current assets (attach statement)				
7	Loans to shareholders				
8	Mortgage and real estate loans				
9	Other investments (attach statement)				
10 a	Buildings and other depreciable assets	69,670		69,670	
b	Less accumulated depreciation	( 50,490 )	19,180	( 52,265 )	17,405
11 a	Depletable assets				
b	Less accumulated depletion	( )		( )	
12	Land (net of any amortization)				
13 a	Intangible assets (amortizable only)				
b	Less accumulated amortization	( )		( )	
14	Other assets (attach statement)				
15	Total assets		248,731		288,724
Liabilities and Shareholders' Equity					
16	Accounts payable		282		2,326
17	Mortgages, notes, bonds payable in less than 1 year				
18	Other current liabilities (attach statement)	Statement #22	5,404	Statement #22	2,157
19	Loans from shareholders		11,780		11,601
20	Mortgages, notes, bonds payable in 1 year or more				
21	Other liabilities (attach statement)				
22	Capital stock		500		500
23	Additional paid-in capital				
24	Retained earnings		230,765		272,140
25	Adjustments to shareholders' equity (attach statement)				
26	Less cost of treasury stock		( )		( )
27	Total liabilities and shareholders' equity		248,731		288,724

Schedule K-1 (Form 1120S)

2013

Department of the Treasury Internal Revenue Service

For calendar year 2013, or tax year beginning ending 2013 20

Final K-1 Amended K-1 OMB No. 1545-0130

Shareholder's Share of Income, Deductions, Credits, etc.

See page 2 of form and separate instructions.

Part I Information About the Corporation

Part I Information About the Corporation
A Corporation's employer identification number 34-1424228
B Corporation's name, address, city, state, and ZIP code H P TECHNOLOGIES INC 33648 ST FRANCIS DR AVON OH 44011-3723
C IRS Center where corporation filed return CINCINNATI

Part II Information About the Shareholder

Part II Information About the Shareholder
D Shareholder's identifying number 300-50-9123
E Shareholder's name, address, city, state, and ZIP code DENNIS J. GIANCOLA 33648 SAINT FRANCIS DR AVON OH 44011-3723
F Shareholder's percentage of stock ownership for tax year 100.00000%

Table with 4 columns: Line number, Description, Line number, Amount. Includes rows for Ordinary business income (54,877), Net rental real estate income, Credits, Foreign transactions, Alternative minimum tax (AMT) items (10,432), and Other information.

\* See attached statement for additional information.

For IRS Use Only





Banking. Investments. Insurance.

**Business Banking Group**  
**Joseph T. Woodall III, Vice President**  
3199 Berea Rd.  
Cleveland, Ohio 44111  
Phone: (216) 898-7646 Fax: (877) 274-8615

15 September 2014

TO WHOM IT MAY CONCERN:

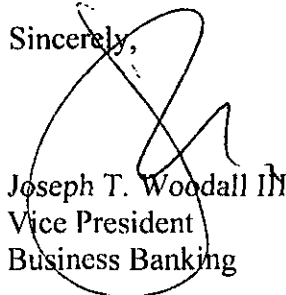
This letter confirms that H.P. Technologies of 33648 St. Francis Avon OH 44011 is a customer in good standing of this Bank.

Number of years with Customer	3
Cash in corporate checking and savings accounts	low 6 figures
High credit limit	high 5 figures
Current credit limit	high 5 figures
Current bank loans outstanding (excluding LOC)	None
Amount of Line of Credit (LOC)	high 5 figures
Amount currently available	high 5 figures
Expiration date	11/28/14
Unsecured or secured by:	Secured
Personal guarantees:	Yes

Other Comments: All credit facilities have been handled as agreed. There are no issues expected with renewing the current line of credit to November 2014 under the same terms and conditions. Additional credit would be available, if needed or requested.

Please feel free to contact me directly with any questions or if there is a need for additional information.

Sincerely,



Joseph T. Woodall III  
Vice President  
Business Banking

## Attachment K

### Applicant's previous experience in the natural gas industry

DENNIS J. GIANCOLA, the president of HPT, has a distinctive blend of engineering, financial and marketing skills, valuable to providing energy services. Many of Dennis' skills were developed through his 36 years in the energy industry. Dennis has extensive experience in energy planning, forecasting and marketing. Mr. Giancola is a graduate materials engineer and also earned a masters degree in business administration. He is also a Chartered Industrial Gas Consultant, a designation achieved through the successful fulfillment of the study of energy sales and applications presented by the Institute of Gas Technology, Chicago, IL.

In 1990, Mr. Giancola and the Edison Materials Technology Center (EMTEC), Kettering, OH were awarded a \$286,000 grant from the Ohio Department of Development to launch a technology transfer organization for firms involved in the treatment of metals. H.P. Technologies, Inc. managed the successful launch and growth of the "Heat Treating Network".

H.P. Technologies, Inc. was instrumental in the start-up of two natural gas marketing companies. In 1994, Mr. Giancola redirected the focus of H.P. Technologies, Inc. into an independent energy sales organization. H.P. Technologies, Inc. has to its credit an industrial and commercial customer following of approximately 2000 companies with an aggregate usage of about 1.0 billion cubic feet of natural gas per year. H.P. Technologies, Inc. has also been involved in the sale of electricity and to a lesser extent telecommunications.

Mr. Giancola has performed over 100 site assessments to identify opportunities to improve equipment energy efficiency. He has been involved in over 40 performance evaluations relating to R & D projects and commercial demonstrations of new and emerging technologies. H.P. Technologies, Inc. has a unique understanding of the usage of energy gained from its hands on experiences inside various industrial and commercial facilities.

Mr. Giancola has had articles published in Entrepreneurial Economy Review, Heat Treating, Industrial Heating, and Wire Journal. Dennis published his own industry newsletter titled Energy Informant News from 1981 - 1987 and published Networking from 1991-1995.

Dennis' merits include many awards and achievements. He is a past recipient of the Cleveland Technical Societies Council - Technical Achievement Award and the American Gas Association - Industrial Achievement Award. In addition, he was inducted into the American Gas Association Commercial and Industrial Hall of Fame and served as past Chairman of the Cleveland Chapter of ASM International. He is listed in six Who's Who publications including Who's Who Worldwide.

## Summary of Gas Licenses in Other States

H. P. Technologies, Inc. currently has licenses to broker natural gas in the states of Illinois and Ohio. The following are the licenses we have recieved from those states.

**The Public Utilities Commission of Ohio**  
**Ohio Competitive Aggregation/Retail Natural Gas**  
**Marketer/Retail Natural Gas Brokerage Services Certificate**

Issued pursuant to Case Number(s):

**03-0751-GA-CRS**

Is

Ohio Competitive Aggregation/Retail Natural Gas Marketer/Retail Natural Brokerage  
Services Certificate Number:

**03-049G(6)**

Granted to:

**H.P. Technologies, Inc.**

Whose office or principal place of business is located at:

**33648 St. Francis Dr., Avon, Ohio 44011**

And is hereby certified to provide:

**Ohio Competitive Aggregation/Retail Natural Gas Marketer/Retail Natural Brokerage  
Services Certificate within the state of Ohio, for a two-year period.**

Certification Effective:

**October 11, 2013, through October 11, 2015**

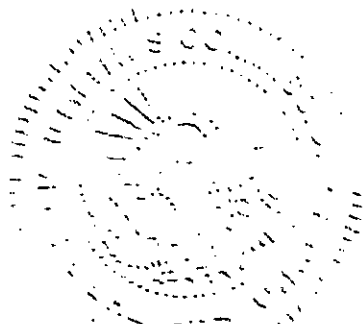
The certification of Ohio competitive retail natural gas marketers is governed by  
Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio  
Revised Code.

This Certificate is revocable if all of the conditions set  
forth in the aforementioned case(s) as well as those  
under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or  
hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio

Dated: **October 17, 2013**



By Order of  
The Public Utilities Commission of Ohio

*Betty McCauley*  
Barcy F. McNeal, Secretary  
Betty McCauley, Acting Secretary  
Tanowa M. Troupe, Acting Secretary

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

H.P. Technologies Inc. :  
: :  
Application for Licensure of :  
Agents, Brokers and Consultants : 11-0456  
under Section 16-115C of the :  
Public Utilities Act. :

ORDER

By the Commission:

**I. PRELIMINARY MATTERS**

On May 31, 2011, H.P. Technologies Inc. ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454 ("Part 454"). The Administrative Law Judge requested additional information and clarification of certain matters contained in the Application. When no response was filed to the Administrative Law Judge's ruling, a Proposed Order was served on the Applicant. Following service of the Proposed Order, the Applicant remedied the issue identified in the Administrative Law Judge's ruling. Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

**II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT**

Applicant is a business organized under the laws of Ohio and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data.

### **III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C**

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant submitted an original surety bond in satisfaction of Section 454.80.

Applicant is required by Part 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment B. Attachment B includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment B includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

Applicant is required by Part 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Part 454.70 with the information provided, including the information included in Attachment C. Attachment C includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

Applicant indicates in its application that it is licensed as a broker/marketer for natural gas in Ohio, as well as an aggregator of natural gas. The Applicant further indicates that it has not had any complaints filed against it for the services it has provided.

Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

### **IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY**

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical and managerial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements.

The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that H.P. Technologies Inc. is granted service authority to operate as an agent, broker or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

**V. FINDINGS AND ORDERING PARAGRAPHS**

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) H.P. Technologies Inc., a business organized under the laws of Ohio, seeks a certificate of service authority to operate as an agent, broker or consultant under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial and technical resources and abilities to provide services as an agent, broker or consultant for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) H.P. Technologies Inc. should be granted the certificate of service authority to operate as an agent, broker or consultant as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that H.P. Technologies Inc. is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that H.P. Technologies Inc. shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 21st day of September, 2011.

(SIGNED) DOUGLAS P. SCOTT

Chairman

# Attachment M

## Technical Fitness

### Section 8-a

Type of customers and number of customers Applicant currently serves in other jurisdictions.

- A total of 2263 commercial, 33 residential, and 39 industrial clients in multiple states.
- 1063 commercial, 25 residential, and 37 industrial electric clients in multiple states
- 1200 commercial, 8 residential, and 2 industrial gas clients in multiple states
- 144,912,258 kwh served in Ohio
- 1,373,197 mcf served in Ohio
- 11,912,918 kwh served in Pennsylvania
- 1,023,000 kwh served in Illinois
- 40,259 mcf served in Florida
- 684 mcf served in West Virginia

# Attachment N

Introduction to:



H.P. Technologies, Inc.

*Our Energy Saves You Money*

President  
H. P. Technologies,  
Inc.

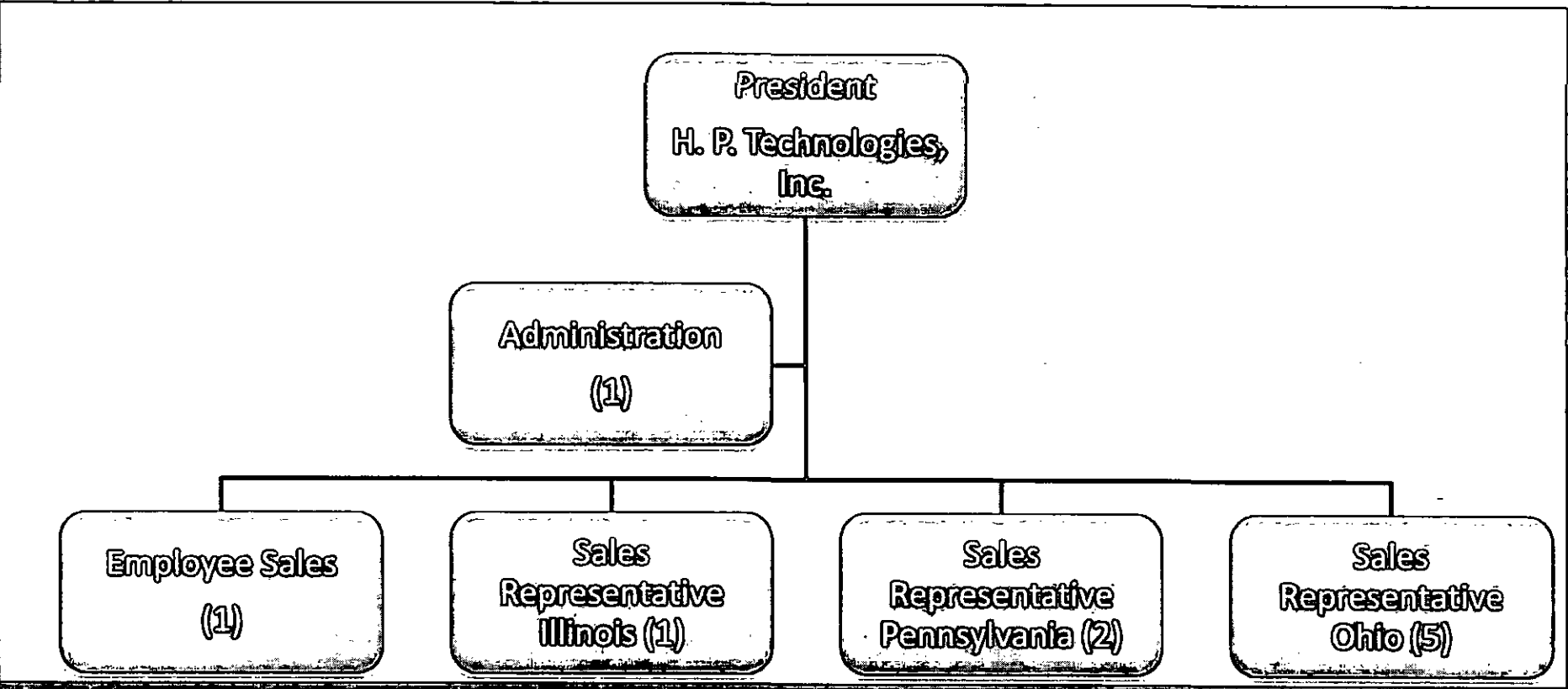
Administration  
(1)

Employee Sales  
(1)

Sales  
Representative  
Illinois (1)

Sales  
Representative  
Pennsylvania (2)

Sales  
Representative  
Ohio (5)



# Lead Generation

- Collect data
  - Copies of invoices
    - No outstanding balances or Termination Notices
  - If possible, copy of current agreement
    - WHY? Verify start and stop dates
  - 12 month historical use
  - Capture signature on LOA (not for just electricity)
  - Contact info.



# From Lead to Quote

## Natural gas – CHOICE

- DEO < 5000 MCF/yr.
  - File by May 29<sup>th</sup> to be considered for June start
- COH < 6000 MCF/yr.
  - Submit agreement by May 10<sup>th</sup> for June start
- 100% swing
- Fixed or NYMEX +

## Natural Gas – GTS

- DEO – submit completed supply agreement by 10<sup>th</sup> of month for start the following month
- COH – submit transport agreement minimum 30 days in advance of start date, i.e. submit in May for July start.



# From Quote to Sale

## Natural gas – CHOICE

- Usually billed by utility
- Credit check is usually fast – payment history or similar
- Matrix pricing vs. custom (discrete) pricing
- Use deal starter form to request quote

## Natural Gas – GTS

- Suggestion: ask for Federal ID and/or Dun & Bradstreet no. it will speed up credit approval
- Legal name? need for contract



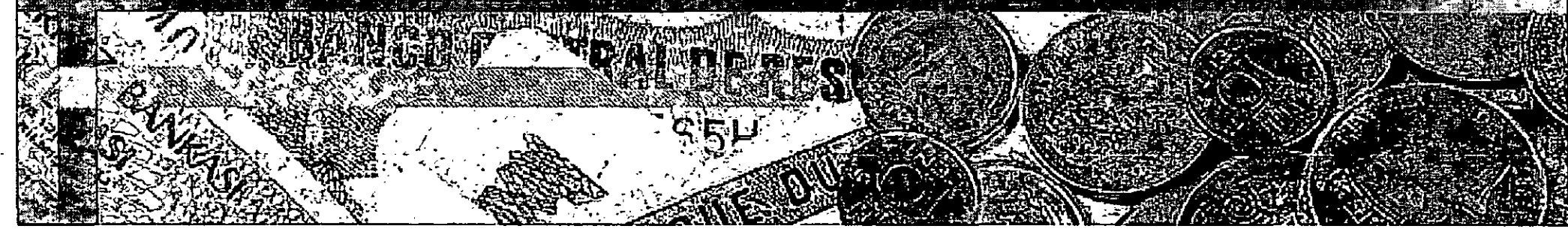
# Agent Program

- H. P. Technologies, Inc. provides “Back Office Support”
  - Agent sends “Request for Quotation” (RFQ) to HPT office
  - HPT sends RFQ to multiple suppliers
  - HPT collects supplier bids then sends them to Agent
  - Agent sends completed agreements to HPT office



# Agent Program

- H. P. Technologies, Inc. to incorporate agent program into website
- New tri-fold brochures – want to build our brand
- NEW SALES ADMINISTRATOR/IT consultant – “in the house”
  - 3 MONTH TRAINING
  - EVENTUAL POINT OF CONTACT



# Agent Program

- Compliance matters
  - Insurance
    - Auto
    - Liability
  - Licensure
    - H. P. Technologies, Inc.
      - Pennsylvania
  - Solicitation via email or fax
  - Use of supplier(s) name in print



# Attachment O

## Business Plans For Pennsylvania

H. P. Technologies, Inc. is trying to obtain a natural gas license to do business as an energy broker in the Commonwealth of Pennsylvania. We plan to service most of the state. We will not take the title of the gas. We will work with clients and suppliers directly. We currently work with electric suppliers as an electric broker in Pennsylvania. Most of these electric suppliers are also involved in natural gas supply. We have a standing relationship with these suppliers, so it will be easy for us to move into brokerage of natural gas.

H. P. Technologies, Inc. has agents in PA that currently broker electricity. We want them to be able to broker natural gas as well. Our company understands the rules and regulations involved in brokering natural gas in Pennsylvania and will follow them all. Our high ethics for our company will be applied to any business we do in Pennsylvania.

H. P. Technologies, Inc. will arrange for the sale of natural gas by representing the seller(s) of natural gas to the end user. The sales are executed by employing the supplier's legal agreements. H. P. Technologies, Inc. secures the client signature on the supplier's legal agreement. H. P. Technologies, Inc. intends to be engaged in customer acquisition, the presentation and completion of natural gas sales agreements on behalf of licensed power generation, suppliers and marketers.

We plan to broker in the service areas of these utilities:

- Columbia Gas of PA, Inc.
- Peoples Gas – Equitable Division
- National Fuel Gas Distribution Corp.
- PECO
- The Peoples Natural Gas Company
- Philadelphia Gas Works
- Peoples TWP LLC
- UGI
- UGI Central Penn
- UGI Penn Natural
- Valley Energy Inc.

## Attachment P

### OHIO

Ohio Competitive Retail Natural Gas Marketer Certificate Number 03-049G(5)

Ohio Competitive Retail Electric Service Provider Certificate Number 11-404E(1)

### Illinois

ABC ICC Docket No. 11-0456 original issue date September 21, 2011

### Pennsylvania

License for Electric Generation Supply issued July 19, 2012

*Other than this application, the applicant operates primarily as an agent to utility suppliers which hold certifications, licenses, registrations or are otherwise authorized to provide natural gas service, or retail or wholesale electric services.*

### Authorized agent for:

Constellation NewEnergy – Gas Division	Integritys
Constellation NewEnergy	Interconn Resources
AEP Energy	Interstate Gas Supply
Champion Energy	Just Energy
Compass Energy	MidAmerican Energy
Direct Energy	NextEra Energy
Dominion Retail	Noble Americas Energy Solutions
DTE Energy	Scioto Energy LLC
Duke Energy Retail	Tiger Natural Gas
Duquesne Light Energy	Term Power & Gas
Energy.me	UGI Energy
Energy Mark, LLC	Western Reserve Energy
FirstEnergy Solutions	TriEagle
Gascarch	Volunteer Energy
GDF Suez	
Hess Small Business Services	
Hudson Energy	
Infinite Energy	

In all of the above circumstances, H.P. Technologies, Inc. is involved in customer acquisition for the aforementioned companies. H.P. Technologies, Inc. does NOT take title to the energy. It is the catalyst for the sales process by and between the supplier and the end use customer. Classification of customers is: residential, commercial and industrial end users.

# Attachment Q

## Dennis J. Giancola

giancoladenny@gmail.com • 33648 St. Francis Dr., Avon, OH 44011 • 440-937-7937  
[www.utility-savings.net]

### Objectives

Foster the rapid growth of H. P. Technologies, Inc. and lead the successful launch of a new business venture organized under the legal entity of Energy Deals, LLC.

### Education

B. E. Metallurgical Engineering, Youngstown State University, 1975

Masters of Business Administration, Baldwin-Wallace College, 1980

DENNIS J. GIANCOLA, the president of HPT, has a distinctive blend of engineering, financial and marketing skills, valuable to providing energy services. Many of Dennis' skills were developed through his 37 years in the energy industry. Dennis has extensive experience in energy planning, forecasting and marketing.

In 1990, Mr. Giancola and the Edison Materials Technology Center (EMTEC), Kettering, OH were awarded a \$286,000 grant from the Ohio Department of Development to launch a technology transfer organization for firms involved in the treatment of metals. H.P. Technologies, Inc. managed the successful launch and growth of the "Heat Treating Network".

H.P. Technologies, Inc. was instrumental in the start-up of two natural gas marketing companies. In 1994, Mr. Giancola redirected the focus of H.P. Technologies, Inc. into an independent energy sales organization. H.P. Technologies, Inc. has to its credit an industrial and commercial customer following of over 2500 companies with an aggregate usage of about 1.2 billion cubic feet of natural gas per year and 157MW of electricity.

Mr. Giancola has performed over 100 site assessments to identify opportunities to improve equipment energy efficiency. He has been involved in over 40 performance evaluations relating to R & D projects and commercial demonstrations of new and emerging technologies. H.P. Technologies, Inc. has a unique understanding of the usage of energy gained from its hands on experiences inside various industrial and commercial facilities.

Dennis J. Giancola

• • •

Another forte for Dennis is marketing communications where he has published articles in Entrepreneurial Economy Review, Heat Treating, Industrial Heating, and Wire Journal. Dennis published his own industry newsletter titled Energy Informant News from 1981 - 1987 and published Networking from 1991-1995.

Dennis' merits include many awards and achievements. He is a past recipient of the Cleveland Technical Societies Council - Technical Achievement Award and the American Gas Association - Industrial Achievement Award. In addition, he was inducted into the American Gas Association Commercial and Industrial Hall of Flame and served as past Chairman of the Cleveland Chapter of ASM International. He is listed in six Who's Who publications including Who's Who Worldwide.

## Attachment R

The following are proof of publications. They are the notices of filing of this application that have been published in newspapers of general circulation covering each county in which H. P. Technologies, Inc. intends to provide service.

PROOF OF PUBLICATION  
In  
THE ERIE TIMES-NEWS  
COMBINATION EDITION

HP TECHNOLOGIES INC  
33648 ST FRANCIS DR  
AVON OH 44011

REFERENCE: 115193 88204  
PUC Notice

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 08/20/14

TOTAL COST: \$409.00 AD SPACE: 0 Lines

FILED ON: 08/20/14

**PENNSYLVANIA PUBLIC UTILITY COMMISSION**  
**NOTICE**  
*Application of H. P. Technologies, Inc. For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/ Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public, In The Commonwealth Of Pennsylvania.*  
H. P. Technologies, Inc. will be filing an application with the Pennsylvania Public Utility (PUC) for a license to supply natural gas or natural gas generation services as (1) a supplier or aggregator of natural gas; and (2) a broker/marketer engaged in the business of providing natural gas services. H. P. Technologies, Inc. proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the Natural Gas Generation Customer Choice and Competition Act.  
The PUC may consider the application without a hearing. Protests directed to the technical or financial fitness of H. P. Technologies, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC: P. O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to the attorney of H. P. Technologies, Inc. at the address listed below.  
By and through Counsel: Contracts Administration  
H. P. Technologies, Inc.  
33648 St. Francis Dr.  
Avon, Ohio 44011  
866-892-0910  
440-536-9553

Sworn to and subscribed before me this 20<sup>th</sup> day of August 2014

Affiant: Debra McGraw

NOTARY: Barbara J Moore

COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Barbara J. Moore, Notary Public  
City of Erie, Erie County  
My Commission Expires March 23, 2016  
MEMBER PENNSYLVANIA ASSOCIATION OF NOTARIES

Proof of Publication in The Philadelphia Daily News  
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

August 20, 2014

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

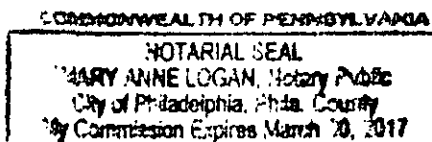


Sworn to and subscribed before me this 20<sup>th</sup> day of August, 2014.



Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE

Application of H. P. Technologies, Inc. For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services To The Public in The Commonwealth Of Pennsylvania.

H. P. Technologies, Inc. will be filing an application with the Pennsylvania Public Utility (PUC) for a license to supply natural gas or natural gas generation services as (1) a supplier or aggregator of natural gas; and (2) a broker/marketer engaged in the business of providing natural gas services. H. P. Technologies, Inc. proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the Natural Gas Generation Customer Choice and Competition Act.

The PUC may consider the application without a hearing. Proteas directed to the technical or financial fitness of H. P. Technologies, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P. O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to the attorney of H. P. Technologies, Inc. at the address listed below.

By and through Counsel:  
Contracts Administration  
H. P. Technologies, Inc.  
33646 St. Francis Dr.  
Avon, Ohio 44011  
888-892-0810  
440-536-9553

### Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

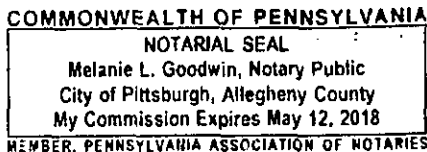
19 of August, 2014

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

K. Flaherty  
PG Publishing Company

Sworn to and subscribed before me this day of:  
August 19, 2014

Melanie L. Goodwin



#### STATEMENT OF ADVERTISING COSTS

HP Technologies  
33648 SAINT FRANCIS DR  
ATTN: DENNIS J GIANCOLA  
AVON, OH 44011-3723

To PG Publishing Company

Total ----- \$457.50

#### Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office  
34 Boulevard of the Allies  
PITTSBURGH, PA 15222  
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of  
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Samuel J. Allen

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

#### COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of H. P. Technologies, Inc. For Approval to Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged in the Business of Supplying Natural Gas Supply Services, to the Public in the Commonwealth of Pennsylvania.

H. P. Technologies, Inc. will be filing an application with the Pennsylvania Public Utility (PUC) for a license to supply natural gas or natural gas generation services as (1) a supplier or aggregator of natural gas and (2) a broker/marketer engaged in the business of providing natural gas services. H. P. Technologies, Inc. proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the Natural Gas Generation Customer Choice and Competition Act.

The PUC may consider the application without a hearing. Protests directed to the technical or financial fitness of H. P. Technologies, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P. O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to the attorney of H. P. Technologies, Inc. at the address listed below.

By and through Counsel:  
Contracts Administration  
H. P. Technologies, Inc.  
33648 St. Francis Dr.  
Avon, Ohio 44011  
866-892-0910  
440-536-9553

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

August 20, 2014

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
*Application of H. P. Technologies, Inc. For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer / Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.*

H. P. Technologies, Inc. will be filing an application with the Pennsylvania Public Utility (PUC) for a license to supply natural gas or natural gas generation services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. H. P. Technologies, Inc. proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the Natural Gas Generation Customer Choice and Competition Act.

The PUC may consider the application without a hearing. Protests directed to the technical or financial fitness of H. P. Technologies, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to the attorney of H. P. Technologies, Inc. at the address listed below. By and through Counsel: Contracts Administration H. P. Technologies, Inc. 33648 St. Francis Dr. Avon, Ohio 44011 866-892-0910 440-536-9553

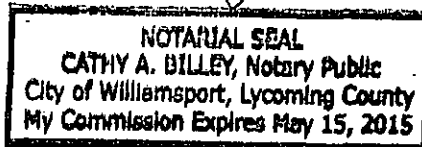
Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me

the 21<sup>st</sup> day of August 2014

Cathy A. Billey  
Notary Public



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:  
For publishing the notice attached  
hereto on the above state dates.....\$ 249.32  
Probated same.....\$  
Total.....\$ 249.32

DEBITOR'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE and certifies that I, Bernard A. Oravec, hereby acknowledges receipt of the aforesaid advertising and publication costs fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

The Patriot-News Co.  
2020 Technology Pkwy  
Suite 300  
Mechanicsburg, PA 17050  
Inquiries - 717-255-8213

The Patriot-News  
Now you know

H.P. TECHNOLOGIES, INC.  
33648 SAINT FRANCIS DRIVE

AVON

OH 44011

THE PATRIOT NEWS  
THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929  
Commonwealth of Pennsylvania, County of Dauphin} ss

Amy Kotula, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds

miscellaneous Book "M", Volume 14, Page 317.

This ad # 0002311270 ran on the dates shown below:

August 21, 2014

*Amy Kotula*

Sworn to and subscribed before me this 21 day of August, 2014 A.D.

*Sheryl Marie Leggere*  
Notary Public

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Sheryl Marie Leggere, Notary Public  
Hampden Twp., Cumberland County  
My Commission Expires July 16, 2018  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Miscellaneous Notices

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of H. P. Technologies, Inc. For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

H. P. Technologies, Inc. will be filing an application with the Pennsylvania Public Utility (PUC) for a license to supply natural gas or natural gas generation services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. H. P. Technologies, Inc. proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the Natural Gas Generation Customer Choice and Competition Act.

The PUC may consider the application without a hearing. Protests directed to the technical or financial fitness of H. P. Technologies, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P. O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to the attorney of H. P. Technologies, Inc. at the address listed below.

By and through Counsel:  
Contracts Administration  
H. P. Technologies, Inc.  
33648 St. Francis Dr.  
Avon, Ohio 44011  
866-892-0910

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)  
Commonwealth of Pennsylvania, County of Lackawanna

H. P. TECHNOLOGIES INC  
33648 ST FRANCIS DR  
AVON OH 44011

Account # 539252  
Order # 81582512  
Ad Price: 211.20

LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

08/19/2014 08/19/2014

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me  
this 19th day of August A.D., 2014

Joseph D. Strubeck  
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Joseph D. Strubeck, Notary Public  
City of Scranton, Lackawanna County  
My Commission Expires Aug. 19, 2017  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**LEGAL NOTICE**  
**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
Services as a Supplier, Aggregator, or Marketer/Broker, Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.  
H. P. Technologies, Inc. will be filing an application with the Pennsylvania Public Utility (PUC) for a license to supply natural gas or natural gas generation services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. H. P. Technologies, Inc. proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the Natural Gas Generation Customer Choice and Competition Act.  
The PUC may consider the application without a hearing. Protests directed to the technical or financial fitness of H. P. Technologies, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P. O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to the attorney of H. P. Technologies, Inc. at the address listed below.  
By and through Counsel:  
Contracts Administration  
H. P. Technologies, Inc.  
33648 St. Francis Dr.  
Avon, Ohio 44011  
888-892-0910  
440-536-9553

COMMONWEALTH OF PENNSYLVANIA }  
 County of Cambria } SS

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**

Application of H. P. Technologies, Inc. For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services To The Public in The Commonwealth Of Pennsylvania.

H. P. Technologies, Inc. will be filing an application with the Pennsylvania Public Utility (PUC) for a license to supply natural gas or natural gas generation services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. H. P. Technologies, Inc. proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the Natural Gas Cost Control Act.

**Gas Generation Customer Choice and Competition Act**

The PUC may consider the application without a hearing. Protests directed to the technical or financial fitness of H. P. Technologies, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P. O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to the attorney of H. P. Technologies, Inc. at the address listed below.

By and through Counsel: Contracts Administration  
 H. P. Technologies, Inc.  
 33648 St. Francis Dr.  
 Avon, Ohio 44011  
 866-892-0910  
 440-536-9553

On this 21st day of August A.D. 2014, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

Johnstown Pa. in the County of Cambria, and Commonwealth of Pennsylvania and copy of a notice in the above matter published in said publication in the regular issues of Tribune-Democrat, Johnstown, PA, on August 19, 2014; and that the Affiant is not interested in said notice or advertising and that all of the allegations as to time, place and character

*Christine Marhefka*

**STATEMENT OF ADVERTISING COSTS**

Sworn and Subscribed before me this 21st day of August, 2014.

*[Signature]*

58 Lines @	\$2.50 per line	145.00
0.00 Inches @	\$25.00 per inch	0.00
Notary Fee		5.00
Clerical Fee		2.50
<b>Total Cost</b>		<b>152.50</b>

COMMONWEALTH OF PENNSYLVANIA  
 Notarial Seal  
 Vivian Ohs, Notary Public  
 City of Johnstown, Cambria County  
 My Commission Expires Dec. 6, 2016  
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA  
 For publishing the notice or publication attached hereto on the above stated dates.

**PUBLISHER'S RECEIPT FOR ADVERTISING COSTS**

\_\_\_\_\_ for publisher of \_\_\_\_\_  
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

\_\_\_\_\_  
 (Name of Newspaper)

By \_\_\_\_\_



1004



17120

U.S. POSTAGE  
PAID  
NORTH RIDGEVILL, OH  
44039  
SEP 19 2014  
AMOUNT

**\$6.35**  
00095766-07

RECEIVED

SEP 19 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

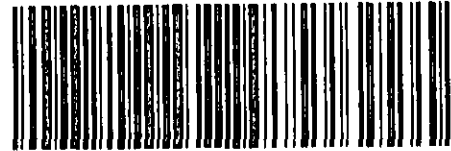
H. P. Technologies, Inc.  
33648 Saint Francis Drive  
Avon, Ohio 44011

SEP 22 2014

Pennsylvania Public Utility  
Commission Secretary  
Keystone Building  
2nd Floor Room N201  
Harrisburg, PA 17120



USPS TRACKING #



9114 9999 4423 8311 5876 21

Label 400, Jan. 2013  
7680-16-000-7948



UNITED STATES POSTAL SERVICE

Visit us at [usps.com](http://usps.com)

Label 107R, January 2008