

Energy solutions for Multi-Family Owners

October 27, 2014

Secretary of the Commission P.O. Box 3265 Harrisburg, PA 17105-3265

RE: Natural Gas Broker Application

Dear Secretary,

Enclosed is our application for Energy Advisory Service LLC to become a natural gas broker and a check for \$350. Copies of the application have also been sent to the parties listed below.

Irwin A. Popowsky
Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120-1921

William R. Lloyd, Jr. Commerce Building, Suite 1102 Small Business Advocate 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Please contact me at 770/419-2313 or sgunther@energyeas.com if you have any questions or need additional information.

Sincerely,

Stephen Gunther
Vice President

RECEIVED

OCT 2.7 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of **Energy Advisory Service**, **LLC**, for approval to offer, render, furnish, or as a(n) **Broker** to the public in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

1. **IDENTITY OF THE APPLICANT:** The name, address, telephone number, and FAX number of the Applicant are:

Energy Advisory Service LLC 5151 Headquarters Drive, Suite 145 Plano, TX 75024 Office 972/791-8367 Fax 972/695-4024

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

#### There are no predecessor entities

2. a. **CONTACT PERSON:** The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are:

Stephen Gunther
Vice President
5151 Headquarters Drive, Suite 145
Plano, TX 75024
Office 770/419-2313
Fax 972/695-4024

b. **CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY:** The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA:

Rhonda Kreitz
President
5151 Headquarters Drive, Suite 145
Plano, TX 75024
Office 972/791-8357
Fax 972/695-4024

3.a. **ATTORNEY:** If applicable, the name, address, telephone number, and FAX number of the Applicant's attorney are: **N/A** 

	the required name, address, telephone number and FAX number of the Applicant's Registered Agent in the Commonwealth are:  National Registered Agents Inc.  116 Pine Street, 3 <sup>rd</sup> Floor, Suite 320
	Harrisburg, PA 17101
4.	FICTITIOUS NAME: (select and complete appropriate statement)
	The Applicant will be using a fictitious name or doing business as ("d/b/a"):
	Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.
	or
	X The Applicant will not be using a fictitious name.
5.	BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (select and complete appropriate statement)
	The Applicant is a sole proprietor.
	If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.
	or
	The Applicant is a:
	domestic general partnership (*) domestic limited partnership (15 Pa. C.S. §8511) foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201) foreign limited liability general partnership (15 Pa. C.S. §8211) foreign limited liability limited partnership (15 Pa. C.S. §8211)
	Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of

the partner entity and identify its partners or officers.

REGISTERED AGENT: If the Applicant does not maintain a principal office in the Commonwealth,

b.

	* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.
	or
	The Applicant is a:
	domestic corporation (none) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913) foreign limited liability company (15 Pa. C.S. §8981) Other
	Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Additionally, provide a copy of the Applicant's Articles of Incorporation.
	Give name and address of officers.
	Rhonda Kreitz, President 5151 Headquarters Drive, Suite 145 Plano, TX 75024
	Stephen Gunther, Vice President 5151 Headquarters Drive, Suite 145 Plano, TX 75024
	The Applicant is incorporated in the state of <u>Georgia</u> .
6.	AFFILIATES AND PREDECESSORS WITHIN PENNSYLVANIA: (select and complete appropriate statement)
	Affiliate(s) of the Applicant doing business in Pennsylvania are: None
	Give name and address of the affiliate(s) and state whether the affiliate(s) are jurisdictional public utilities.
	Does the Applicant have any affiliation with or ownership interest in: NO  (a) any other Pennsylvania retail natural gas supplier licensee or licensee applicant, (b) any other Pennsylvania retail licensed electric generation supplier or license applicant, (c) any Pennsylvania natural gas producer and/or marketer, (d) any natural gas wells or (e) any local distribution companies (LDCs) in the Commonwealth
affiliatio	If the response to parts a, b, c, or d above is affirmative, provide a detailed description and explanation of the n and/or ownership interest.
☐ Pro	vide specific details concerning the affiliation and/or ownership interests involving:  (a) any natural gas producer and/or marketers,  (b) any wholesale or retail supplier or marketer of natural gas, electricity, oil, propane or other energy sources.

	<ul> <li>Provide the Pa PUC Docket Number if the applicant has ever applied:</li> <li>(a) for a Pennsylvania Natural Gas Supplier license, or</li> <li>(b) for a Pennsylvania Electric Generation Supplier license.</li> </ul>
	If the Applicant or an affiliate has a predecessor who has done business within Pennsylvania, give name and address of the predecessor(s) and state whether the predecessor(s) were jurisdictional public utilities.
	or
	X The Applicant has no affiliates doing business in Pennsylvania or predecessors which have done business in Pennsylvania.
7.	APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)
	The Applicant is presently doing business in Pennsylvania as a  natural gas interstate pipeline. municipal providing service outside its municipal limits. local gas distribution company retail supplier of natural gas services in the Commonwealth a natural gas producer Other. (Identify the nature of service being rendered.)
	or
	The Applicant is not presently doing business in Pennsylvania.
8.	APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:
	supplier of natural gas services.  Municipal supplier of natural gas services.  Cooperative supplier of natural gas services.  Broker/Marketer engaged in the business of supplying natural gas services.  Aggregator engaged in the business of supplying natural gas services.  Other (Describe):

9.	<b>PROPOSED SERVICES:</b> Generally describe the natural gas services which the Applicant proposes to offer.
	Energy Advisory Service LLC will provide broker/aggregation service to commercial customers.
10.	<b>SERVICE AREA:</b> Provide each Natural Gas Distribution Company (NGDC) in which Applicant proposes to offer services.
UGI Ce People People UGI People PECO Columi	al Fuel Gas Distribution Corp ntral Penn s Natural Gas Company LLC Peoples Division s TWP LLC (Formerly T. W. Phillips) nn Natural s Natural Gas Company LLC Equitable Division pia Gas of Pennsylvania Inc. elphia Gas Works
11.	CUSTOMERS: Applicant proposes to initially provide services to:
	Residential Customers  Commercial Customers - (Less than 6,000 Mcf annually)  Commercial Customers - (6,000 Mcf or more annually)  Industrial Customers  Governmental Customers  All of above  Other (Describe):
	START DATE: The Applicant proposes to begin delivering services on: As soon as Approved (approximate date).

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14.

Letters from the subject utilities are attached to this filing as confirmation.

- 14. **TAXATION:** Complete the <u>TAX CERTIFICATION STATEMENT</u> attached as Appendix B to this application.
- 15. **COMPLIANCE:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings.

No applicant, affiliate or person identified in this application has been convicted of a crime.

- 16. STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND CONSUMER EDUCATION: All services should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.
  - a. Contacts for Consumer Service and Complaints: Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant, the Distribution Company, the Pennsylvania Public Utility Commission or other agencies.
  - b. Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers.
  - c. If proposing to serve Residential and/or Small Commercial customers, provide a disclosure statement. A sample disclosure statement is provided as Appendix B to this Application.

#### 17. FINANCIAL FITNESS:

- A. Applicant shall provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published parent company financial and credit information.
- Applicant's balance sheet and income statement for the most recent fiscal year. Published financial information such as 10K's and 10Q's may be provided, if available.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements
- Such other information that demonstrates Applicant's financial fitness.
- B. Applicant must provide the following information:
- Provide proof of compliance with bonding/credit requirements for each NGDC the applicant is proposing
  to provide service in. This requirement is designated by each NGDC and can commonly be found in the
  NGDC supplier tariff. Please see attached letter from NGDC's.

- Identify Applicant's chief officers including names and their professional resumes.
   Please see attached
- Provide the name, title, address, telephone number and FAX number of Applicant's custodian for its accounting records.

Stephen Gunther, Vice President, 5151 Headquarters Drive, Suite 145, Plano, TX 770/419-2313, Fax 972/695-4024

- 18. **TECHNICAL FITNESS:** To ensure that the present quality and availability of service provided by natural gas utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
  - The identity of the Applicant's officers directly responsible for operations, including names and their professional resumes.

Please see response to 17B, officer's resumes

- A copy of any Federal energy license currently held by the Applicant.
   N/A
- Proposed staffing and employee training commitments.
   There are currently ten employees in our company including officers with over 50 years of combined energy experience.
- Business plans.
   Energy Advisory Service LLC provides energy brokering and aggregation service to its commercial clients in fifteen states. Several of our existing clients have acquired properties in Pennsylvania which is the reason we are applying for a PUC License. We do not plan to do any direct marketing in Pennsylvania at this time. There are currently ten employees in our company including officers.
- 19. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2208(D). Transferee will be required to file the appropriate licensing application.
- 20. UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.
- 21. **REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
  - a. Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on an annual basis no later than 30 days following the end of the calendar year.

Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 22 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive natural gas market.

- 22. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.
- 23. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds

for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

24. FEE: The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

Applicant: Energy Advisory Service, LLC

Title: Vice President

RECEIVED

OCT 2:7 2014

PA PUBLIC UTILITY COMMISSION

#### **AFFIDAVIT**

[Commonwealth/State] of <u>Georgia</u>		:	
	:	SS.	
County of Floyd	:		
Stephen Gunther, Affiant, being duly [sw	orn/affirmed] accord	ing to law, depose	es and says that:
[He/she is the Vice President (Office of	of Affiant) of <u>Energy</u>	Advisory Service	ce LLC (Name of Applicant);
[That he/she is authorized to and does make thi	is affidavit for said Ap	plicant;]	

That **Energy Advisory Service LLC**, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That <u>Energy Advisory Service LLC</u>, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Energy Advisory Service LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That **Energy Advisory Service LLC**, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, and the standards and billing practices of 52 PA. Code Chapter 56.



That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Commission's Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Signature of Afficient

Sworn and subscribed before me this 2H

day of Octobe

20,4

Signature of official administering oath

NOTARY PUBLIC: FLOYD COUNTY, GEORGIA MY COMMISSION EXPIRES OCT. 30, 2017

OCT 2.7 2014

PA PUBLIC UTILITY COMMISSION

SECRETARY'S BUREAU

#### **AFFIDAVIT**

[Commonwealth/State] of Georgia :	
; <b>ss</b> .	
County of FLOYD :	
STEPHEN GUNTHER, Affiant, being duly [sworn/affirmed] according to law, deposes and says the	at:
[He/she is the VICE PRESIDENT (Office of Affiant) of ENERYG ADVISORY SERVICE, LLC.	
[That he/she is authorized to and does make this affidavit for said Applicant;]	
That ENERYG ADVISORY SERVICE, LLC, the Applicant herein certifies that it has caused the notice of the filing of its license application to be published in the following newspapers on: Pittsburg Post-Gazette, July 7, 2014 The Scranton Times, July 10, 2014 The Patriot-News, July 10, 2014 The Erie Times-News, July 11, 2014 Philadelphia Daily News, July 7, 2014	
A copy of the notice as it appeared in each of the above newspapers is attached. Noted on each copy is the newspaper section (name, number or letter), if applicable, and the page number on which the notice appeared.	
That <u>ENERGY ADVISORY SERVICE</u> , the Applicant will submit to the Commission the proof of publication from each newspaper in which notice of the application filing was published as soon as it is available.	
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.	
OCT 2 7 2014  Signapure of Affiant	
PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU	
Sworn and subscribed before me this 27th day of October 12014  Signature of official administering oath	
My commission expires NOTARY PUBLIC, FLOYD COUNTY, GEORGIA MY COMMISSION EXPIRES OCT. 30, 2017	

#### **AFFIDAVIT**

[Commonwealth/State] of Georgia
: ss.
County of FLOYD :
STEPHEN GUNTHER, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:
[He/she is the <u>VICE PRESIDENT</u> (Office of Affiant) of <u>ENERGY ADVISORY SERVICE, LLC</u> (Name of Applicant);]
[That he/she is authorized to and does make this affidavit for said Applicant;]
That the Applicant herein <b>ENERGY ADVISORY SERVICE</b> , LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. §2208(c)(1).
That the Applicant herein <b>ENERGY ADVISORY SERVICE</b> , <b>LLC</b> has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.
That the Applicant herein <b>ENERGY ADVISORY SERVICE</b> , <b>LLC</b> acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.
That the Applicant herein <b>ENERGY ADVISORY SERVICE</b> , <b>LLC</b> acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.  Signature of Affiliary
Sworn and subscribed before me this 27H day of October, 18-20.14
Signature of official administering oath
My Commission expires

OCT 27 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### Energy Advisory Service LLC Pennsylvania PUC Gas Broker Application Response 17B & 18 Officer Resumes

Rhonda Kreitz – President 2006- Present - Energy Advisory Service

Plano, TX

Ms. Kreitz has over twelve years of combined experience in the energy and multifamily industries. She began her career at Energy Advisory Service in 2006 and moved up through to become President in 2014. Ms. Kreitz is responsible for the procurement, implementation and day to day management of energy contracts for over 900 multifamily properties in fifteen states.

Prior to joining Energy Advisory Service Ms. Kreitz held management positions at USI Energy, Sales, Inc. and Realeum Inc. Rhonda received her Associates in Arts from Strayer University.

#### Stephen J. Gunther – Vice President 2004- Present - Energy Advisory Service

Plano, TX

Stephen J. Gunther has over 33 years of experience in a broad range of energy related enterprises. He currently serves as a partner in Atlanta based, Energy Advisory Service LLC. Prior to joining Energy Advisory Mr. Gunther founded and served as president of EnRG Consulting Partners. In this capacity he assisted utilities, pipeline companies, cities and EMC's in deregulated market assessment and in strategy development for participation in retail energy markets. He has also represented industrial clients in regulatory proceedings before state and federal governmental agencies and has advised utilities regarding revenue enhancement strategies.

Mr. Gunther served as President of Georgia Natural Gas from 1998-2001, during the company's startup and transition to a fully deregulated natural gas market in Georgia. Prior to joining Georgia Natural Gas, Mr. Gunther served in various executive management positions with AGL Resources and Atlanta Gas Light Company. AGL Resources is the holding company for Atlanta Gas Light Company, one of the largest natural gas distribution companies in the United States. The positions he held with AGL Resources/Atlanta Gas Light Company are listed below:

- President-AGL Energy Services
- Senior Vice president- AGL Resources
- Vice President Atlanta Gas Light Company (Gas Supply and Federal Regulation)

Mr. Gunther began his energy career with Conoco Oil Company in 1980 in Houston, Texas. He held various management positions in Conoco's Natural Gas and Gas Products division.

Mr. Gunther holds a Bachelor of Arts Degree in Education from Arizona State University and a Masters in Business Administration Degree from Georgia State University.

### APPENDIX G EXAMPLE FORM OF NOTICE FOR COMBINED EGS AND NGS

## PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of <u>Energy Advisory Service, LLC</u> For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Energy Advisory Service, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Energy Advisory Service, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Energy Advisory Service, LLC proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of <u>Energy Advisory Service</u>, <u>LLC</u> may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to <u>Energy Advisory Service</u>, <u>LLC</u> attorney at the address listed below.

Stephen Gunther

Energy Advisory Service, LLC. 5151 Headquarters Drive Suite 145 Plano, TX 75024 770/419-2313 or 972/695-4027 Financial Report
(compiled)
for
E Services, Inc.
For the years ended
December 31, 2013 and 2012

# RECEIVED

OCT 27 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

### James L. Beddow, PC

Certified Public Accountant

To the Board of Directors E Services, Inc. Plano, TX

I have compiled the accompanying statement of assets, liabilities, and equity—income tax basis of E Services, Inc. (an S corporation) as of December 31, 2013 and 2012, and the related statement of revenues, expenses, and retained earnings—income tax basis for the years then ended. I have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with the income tax basis of accounting.

The owners are responsible for the preparation and fair presentation of the financial statements in accordance with the income tax basis of accounting and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

My responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures, including the statement of cash flows, required by accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Company's financial position, results of operations, and cash flows. Accordingly, the financial statements are not designed for those who are not informed about such matters. I am not independent with respect to E Services, Inc.

, na

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

RECEIVED

OCT 27 2014

April 23, 2014 Kennesaw, Georgia

#### E Services, Inc.

### STATEMENT OF ASSETS, LIABILITIES, AND EQUITY - INCOME TAX BASIS at DECEMBER 31, 2013 and 2012

#### See Accompanying Accountant's Report

Λ	22	E"	rc
~	JJ	_	

CURRENT ASSETS	December 31, 2013		December 31, 2012	
Cash	\$	39,772	\$	151,064
Employee advances		5,500		0
Loan to Energy Advisors, LLC		4,375	<del>-,</del>	4,375
Total current assets		49,647		155,439
FIXED ASSETS, at cost				
Fixed assets		63,073		131,993
Less accumulated depreciation	<del></del>	61,884		122,932
Total fixed assets	-	1,189		9,061
OTHER ASSETS				
Deposits		6,725		3,000
Total other assets		6,725		3,000
	\$	57,561	\$	167,500

#### E Services, Inc.

### STATEMENT OF ASSETS, LIABILITIES, AND EQUITY - INCOME TAX BASIS at DECEMBER 31, 2013 and 2012

#### See Accompanying Accountant's Report

LIABILITIES AND STOCKHOLDERS' EQUITY		December 31,	_	ecember 31,	
LIABILITIES		2013		2012	
Credit card payables	\$_	4,038	\$	0	
Total liabilities	_	4,038		0	
STOCKHOLDERS' EQUITY					
Additional paid in capital Treasury stock Retained earnings	_	107,820 (741,820) 687,523		107,820 (531,385) 591,065	
Total stockholders' equity	_	53,523		167,500	
	\$	57,561	\$	167,500	

#### E Services, Inc.

# STATEMENTS OF REVENUES, EXPENSES, AND RETAINED EARNING - INCOME TAX BASIS for the YEARS ENDED DECEMBER 31, 2013 and 2012

#### See Accompanying Accountant's Report

REVENUES		Year ended December 31, 2013		Year ended December 31, 2012
Sales (net)	\$	1,803,130	9	1,901,672
EXPENSES				
General and administrative		451,791		357,895
Depreciation		16,874		3,334
Salaries and wages		642,613		588,369
TOTAL EXPENSES	-	1,111,278		949,598
OTHER INCOME AND EXPENSES				
Loss on investment in partnership		0		1,306
Loss on fixed assets	_	7,136		2,975
TOTAL OTHER INCOME & EXPENSES		7,136		4,281
NET INCOME		684,716		947,793
BEGINNING RETAINED EARNINGS		591,065		293,239
Distributions to shareholders	-	(588,258)		(649,967)
ENDING RETAINED EARNINGS	\$_	687,523	\$	591,065



June 20, 2014

Stephen Gunther
Eservices, Inc.
5151 Headquarters Drive, Suite 145
Lawrenceville, Georgia 30043

Re: Security Requirement for Energy Advisory Service, LLC:

Dear Stephen,

National Fuel Gas Distribution Corporation ("NFGDC") is aware Eservices, Inc, dba Energy Advisory Service, LLC (EAS) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, EAS must furnish acceptable security to each utility where EAS will do business. As such, under its tariff, NFGDC could require EAS to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that EAS intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, EAS will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, EAS does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by EAS change in the future, NFGDC reserves the right to require security from EAS as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7599.

Nathan E. Barnes

Transportation Services Department



June 13, 2014

Steve Gunther
Vice President
EServices, Inc. dba Energy Advisory Service, LLC
5151 Headquarters Drive, Suite 145
Plano, TX 75024

Dear Mr. Gunther:

We are pleased that Eservices, Inc. dba Energy Advisory Service, LLC has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Energy Advisory Service, LLC could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Energy Advisory Service, LLC has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Energy Advisory Service, LLC does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Energy Advisory Service, LLC changes in the future, Columbia Gas might deem it appropriate to require Energy Advisory Service, LLC to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-4881 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Debbie Vair

Team Leader of Choice

Lynda W. Petrichevich Manager, Rates and Regulatory Affairs

**Peoples Service Company LLC** Phone: 412-208-6528; Fax: 412-208-6577 Email: lpetrichevich@peoples-gas.com

June 13, 2014

Steve Gunther Vice President

RECEIVED

OCT 27 2014

SECRETARY'S BUREAU

PA PUBLIC UTILITY COMMISSION EServices, Inc. dba Energy Advisory Service LLC 5151 Headquarters Drive, Suite 145

Dear Mr. Gunther:

Plano, TX 75024

We are pleased that EServices, Inc. dba Energy Advisory Service LLC has applied for a license to provide natural gas broker/marketer services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples TWP, and Peoples Natural Gas LLC – Equitable Division ("the Companies").

Since EServices, Inc. dba Energy Advisory Service LLC is not currently operating, and has no immediate plan to operate a Pool on the Peoples systems, we have determined at this time that EServices, Inc. dba Energy Advisory Service LLC does not need a bond or other financial security requirement to provide these services to the Company's customers.

If the creditworthiness requirement or the Company's exposure to EServices, Inc. dba Energy Advisory Service LLC provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at Lynda.W.Petrichevich@peoples-gas.com.

Sincerely,

Lynda W. Petrichevich

Manager, Rates and Regulatory Affairs Peoples Natural Gas Company LLC

Cc: Steven Kolich Carol Miller



UGI Utilities, Inc 2525 North 12th Street Suite 360 Post Office Box 12677 Reading, PA 19512-2677

(610) 796-3400 Talephone

June 10, 2014

Steve Gunther CEO Energy Advisory Service, LLC. 1301 Shiloh Road, Ste 1050 Kennesaw, GA 30144

RE: Energy Advisory Service, LLC. Natural Gas Aggregator Application

Mr. Gunther,

UGI Utilities, Inc. ("UGIU") has reviewed the financial information for Escrvices, Inc. dba Energy Advisory Service, LLC. ("Energy Advisory Service"). Based on this review and the requirement that Energy Advisory Service must post security as specified in the UGI Gas ("UGI"), UGI Penn Natural Gas ("PNG") and/or UGI Central Penn Gas ("CPG") Supplier Coordination Tariffs before it serves customers as an Aggregator on the UGI, PNG and/or CPG distribution systems, UGIU has determined that Energy Advisory Service has demonstrated adequate creditworthiness to satisfy any costs UGI, PNG and/or CPG may incur in the event they operate as a supplier of last resort due to a default on the part of Energy Advisory Service.

This determination may change in the event there is a material deterioration in that condition, if Energy Advisory Service's obligations to UGI, PNG and/or CPG exceed the amount of the financial security provided, if the financial security is withdrawn or is deemed to be null and void or inadequate due to the material financial deterioration of any guarantor, or if Energy Advisory Service fails to abide by the terms and conditions of the UGI, PNG and/or CPG Gas Tariffs and the UGI, PNG and/or CPG Natural Gas Supplier Coordination Tariffs.

Please feel free to contact me with any additional questions that you may have. I can be reached at (610) 796-3520

Sincerely,

David E. Lahoff

Manager, Tariff & Supplier Administration

UGI Utilities, Inc.

#### Philadelphia Gas Works



800 W. Montgomery Ave., Philadelphia, PA 19122 Telephone: 215-238-0500

June 13, 2014

RECEIVED

Mr. Steve Gunther Energy Advisory Service 515 HQ Drive Plano, TX 75024

OCT 2:7 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Re: Security Requirement Bond for Energy Advisory Service

Dear Mr. Gunther:

Philadelphia Gas works (PGW") is aware that Energy Advisory Service has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Energy Advisory Service must furnish acceptable security to each utility where Energy Advisory Service will do business. As such, under its tariff, Philadelphia Gas Works could require Energy Advisory Service to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that Energy Advisory Service intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, Energy Advisory Service will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Energy Advisory Service does not need to post a bond or other form of security to operate in its service territory. If the services provided by Energy Advisory Service should change, Philadelphia Gas Works reserves the right to require security from Energy Advisory Service as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at (215) 684-6405.

1 Cham

Sincerely:

Raymond M. Snyder Vice President Gas Management

RMS:b

		No	Term,			
Proof	of Publication of Not	ice in Pittsburgh	Post-Gazette			
Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951						
Commonwealth of Pennsylvania, Pittsburgh Post-Gazette, a newspap established in 1993 by the merging Gazette and Sun-Telegraph was e Pittsburgh Gazette established in 1' been regularly issued in said Courprinted and published in the	er of general circulation publish of the Pittsburgh Post-Gazette stablished in 1960 and the Pitt 786 and the Pittsburgh Post, esta ity and that a copy of said pringer	ed in the City of Pittsbu and Sun-Telegraph and Isburgh Post-Gazette wablished in 1842, since water titled notice or publication	rgh, County and Commonweal The Pittsburgh Press and the as established in 1927 by the which date the said Pittsburgh	Ith aforesaid, was e Pittsburgh Post- e merging of the Post-Gazette has as the same was		
07 of July, 2014 Affiant further deposes that he/she that, as such agent, affiant is duly at of the afore said notice or publicati	athorized to verify the foregoing	statement under oath, the	hat affiant is not interested in t	he subject matter		
true,			СОРУ	OF NOTICE		
	104 6 /		OR PUI	BLICATION		
مهند	Head it			LIC UTILITY		
	PG Publishing Company		PENN	MMISSION ISYLVANIA NO DEE		
	and subscribed before me this c	lay of:	Applica vices, inc	ations of ESer : (d/b/a "Uner-		
July 07, 2	2014		gy Advis 11C*) fo	sory Service, I Approval to		
, ,			Oner, Ren Services	as a Supplier,		
V/ //	(A 4" 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.	20 m	er Broker Ensiness	as a Supplier, or, and Market Engaged in The Of Supplying		
1 f1	blittle vi Sul	(Auca)	Natural G	tas Supply Ser- rif Electricity		
l <sub>e</sub>			Supply of atton Set	Electric Generativices, To The		
60****	SAMARA LTIL OF BRANCH		wealth Of	The Common- Pennsylvania,		
COMM	ONWEALTH OF PENNSYLVANIA NOTARIAL SEAL	ì	filing an a	es, Inc. will be opplication with		
. I M	elanie L. Goodwin, Notary Public		the Penns	sylvama Public Commission or a license to		
Cit	y of Pittsburgh, Allegheny County			atural gas sup-		
My Meubes	Commission Expires May 12, 2018 PENNSYLVANIA ASSOCIATION OF NOTARIES		plier of na	es as (1) a sub- atural gas, and		
======,	PERMATEVANIA ASSOCIATION OF NOTARIES	i	engaged r	m the business		
			Services	eng natural gas EServices, Inc be filing an ap-		
			plication	with the PUC		
STATEM	MENT OF ADVERTISING	COSTS	election	ty of electric on services as		
	ces, Inc.	C0315	(1) a gene	erator and sup lectric power,		
	EADQUARTERS DR STE 1	45	12) a bro	ker/marketer in the business		
Plano	TX 75024-0014		of supply	ing electricity, in aggregator		
			engaged r	in the business		
			EServices to sell ele	ing electricity , inc. proposes actricity, patis-		
	T- PC Politickie C		ratigas, at vices this	nd related ser-		
	To PG Publishing Company	1	provision	ania under the is of the new		
			Competiti	as Choice and on Act and the		
Total		5570.00	Custome	ly Generation of Choice and		
			Competition the PH	C may conside		
			[ out a bea	plication with- umg. Profests o the technical		
Publisher	r's Receipt for Advert	tising Costs	or financia	at littless of £ S- ic may be filed		
PG PUBLISHING COMPA	NY, publisher of the Pittsb	urgh Post-Gazette, a	newspaper willion 15	5 days of the us notice with		
of general circulation, hereby	acknowledges receipt of t	he aforsaid advertisi	ing and the Secret	ary of the PUC, 3265, Darris		
publication costs and certific	s that the same have been t	fully paid.	You shoul	17105-3265. Id send copies		
Office		• •	dantes a Dear	etest to ESei		
Office 34 Boulevard of the Allies	PG Publishing Company, a C Pittsburgh Post-Gazette, a M		ulation the address	n Gunther, ES-		
PITTSBURGH, PA 15222	Phisourgh Post-Gazette a ty		eryides, fill quarters (1	n Gunther, ES- ic , 5151 Head- vive Suita 145,		
Phone 412-263-1338	By	Barruel J. Arbutic:	PTRITO, 770/419- 695-4027	1 X 7 5 0 2 4 ] 2010 iii 972/		
I hereby certify that the foregoing is subject matter of said notice.		and receipt for the Advert				

Attorney For

#### The Scranton Times (Under act P.L. 877 No 160. July 9,1976)

Commonwealth of Pennsylvania, County of Lackawanna

ENERGY ADVISORY SERVICE STEVE GUNTHER 3077 HORSELEG CREEK ROAD ROME GA 30165

Account # 598244 Order # 81550901 Ad Price: 260.80

#### LEGAL NOTICE PENNSYLVANIA

Amanda Kakareka

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

07/10/2014

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true

Sworn and subscribed to before me this 10th day of July A.D., 2014

(Notaty Public)

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Sharon Venturi, Notary Public City of Scranton, Lackawanna County My Commission Expires Feb. 12; 2018

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**LEGAL NOTICE** 

PENNSYLVANIA PUBLIC UTILITY
COMMISSION NOTICE
Applications of EServices, Inc. (d/b/a
"Energy Advisory Service, ILC")
For Approval To Offer, Render, or furnish Services as a Supplier, Aggregator, and Markete/Broker Engaged in
The Business Of Supplying Natural
Gas Supply Services and Electricity
Supply or Electric Generation Services,
To The Public In The Commissionership

EServices, Inc. will be filing an application with the Persystems Purise Critical with a Country Country of the Country Country of the PUC for a Country of the Countr

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Escretices, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Escretices, Inc. attorney at the address listed below.

Stephen Gunther EServices, Inc. 5151 Headquarters Drive Ste. 145 Plane, TX 75024 770/419-2313 or 972/695-4027

The Patriot-News Co. 202<del>0 Technology Pkwy</del> Suite 300 Mechanicsburg, PA 17050 Inquiries - 717-255-8213



**GUNTHER** 3077 HORSELEG CREEK ROAD

ROME

GA 30165

#### THE PATRIOT NEWS THE SUNDAY PATRIOT NEWS

#### **Proof of Publication**

Under Act No. 587, Approved May 16, 1929 Commonwealth of Pennsylvania, County of Dauphin) ss

Marianne Miller, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949. respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as

to the time place and character of publication are true; and

TO The Time Diace and Char
PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE
Applications of Eservices, inc. (d/b/a
"Energy Advisory Service, LLC.") For
Approval To Offer, Render, or Furnish
Services as a Supplier, Aggregator, and
Markeler/Broker Engaged in The
Business of Supplying Natural Gas
Supply Services and Electricity Supply
or Electric Generation Services, To The
Public in The Commonwealth Of
Pennsylvania, Pennsylvania,

Pennsylvania, EServices, Inc. will be filling an application with the Pennsylvania Public Utility Commission (\*PUC\*) for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. EServices, Inc. will also be filling an application with the PUC for a license to supply electricity or electric filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a proker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Espryicas, inc. proposes to sell electricity. Espryicas, inc. proposes to sell electricity, natural gas, and rolated services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generalian Custamer Choice and Competition Act.

The PUC may consider this

Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial filness of EServices, inc. may be filed within 15 days of the date of this natice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265, You should send cooles of any protest to should send copies of any protest to EServices, inc. attorney at the address

Stephen Gunther ESorvices, Inc. 5151 Headquarters Drive Suite 145 Plane, TX 75024 770/419-2313 or 972/695-4027

hal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the irectors of the said Company and subsequently duly recorded in the office for the Recording of Deeds uphin in Miscellaneous Book "M", Volume 14, Page 317.

0002306433 ran on the dates shown below:

July 10, 2014

Sworn to and subscribed before me this 10 day of July, 2014 A.D.

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal

Donna M. Maidonado, Notary Public Susquehanna Twp., Dauphin County My Commission Expires Nov. 5, 2017

member, pennsylvania association of notaries

#### PROOF OF PUBLICATION ln THE ERIE TIMES-NEWS

#### COMBINATION EDITION

**Energy Advisory Service** 3077 Horseleg Creek Road Rome GA 30165

REFERENCE:

81155 80095

PUC Notice

STATE OF PENNSYLVANIA) COUNTY OF ERIE ) SS:

Thomas Mezler, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation. and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 07/11/14

**TOTAL COST: \$409.00** 

AD SPACE: 0 Lines

FILED ON: 07/11/14

PENNSYLVANIA PUBLIC UTILITY COMMISSION

NOTICE

Applications of EServices, Inc., (d/b/a "Energy Advisory Service, LLC") For Approval To Offer, Render, or Furnish Services as a Supplier, Apprepare, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

EServices, Inc., will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Eservees Inc. will also be filling an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electricity, and (3) an aggregator engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity, and (3) an engroposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of <u>EServices</u>, <u>Inc.</u> may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to <u>EServices</u>, <u>Inc.</u> attorney at the address listed below.

Stephen Gunther EServices, Inc. 5151 Headquarters Drive Suite 145 Plano, TX 75024 770/419-2313 or

972/695-4027

Sworn to and subscribed before me this 11-16 day of \_

Affiant:

NOTARY:

2014

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Barbara J. Moore, Notary Public City of Erle, Erle County

My Commission Expires March 23, 2016
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

### I Stephen Gunther, Vice President of Energy Advisory Service LLC have served a true and correct Copy of our natural gas broker application on each of the companies in the territories listed below:

13. **NOTICE:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of the signed and verified Application with attachments on the following:

Irwin A. Popowsky Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120-1921

William R. Lloyd, Jr.
Commerce Building, Suite 1102
Small Business Advocate
300 North Second Street
Harrisburg, PA 17101

Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Any of the following Natural Gas Distribution Companies through whose transmission and distribution facilities the

applicant intends to supply customers:

Valley Energy Inc.	National Fuel Gas Distribution Corp.
Robert Crocker	David D. Wolford
523 South Keystone Avenue	6363 Main Street
Sayre, PA 18840-0340	Williamsville, NY 14221
PH: 570.888-9664	PH: 716.857.7483
FAX: 570.888.6199	FAX: 716.857.7479
email: rcrocker@ctenterprises.org	email: wolfordd@natfuel.com
UGI Central Penn	Peoples Natural Gas Company LLC
David Beasten	Peoples Division
2525 N. 12 <sup>th</sup> Street, Suite 360	Lynda Petrichevich
Reading, PA 19612-2677	375 North Shore Drive, Suite 600
PH: 610.796.3425	Pittsburgh, PA 15212
FAX: 610.796.3559	PH: 412.208.6528
	FAX: 412.208.6577
	email: Lynda.W.Petrichevich@peoples-gas.com
Peoples TWP LLC (Formerly T. W. Phillips)	UGI
Lynda Petrichevich	David Beasten
375 North Shore Drive, Suite 600	2525 N. 12 <sup>th</sup> Street, Suite 360
Pittsburgh, PA 15212	Reading, PA 19612-2677
PH: 412.208.6528	PH: 610.796.3425
FAX: 412.208.6577	FAX: 610.796.3559
email: Lynda.W.Petrichevich@peoples-gas.com	
UGI Penn Natural	Peoples Natural Gas Company LLC
David Beasten	Equitable Division
2525 N. 12 <sup>th</sup> Street, Suite 360	Lynda Petrichevich
Reading, PA 19612-2677	375 North Shore Drive, Suite 600
PH: 610.796.3425	Pittsburgh, PA 15212
FAX: 610.796.3559	PH: 412.208.6528
1771.01011001000	FAX: 412.208.6577
!	email: Lynda.W.Petrichevich@peoples-gas.com
PECO	Columbia Gas of Pennsylvania Inc.
Carlos Thillet, Manager, Gas Supply and Transportation	Thomas C. Heckathorn
2301 Market Street, S9-2	200 Civic Center Drive
Philadelphia, PA 19103	Columbus, OH 43215
PH: 215.841.6452	PH: 614.460.4996
	FAX:614.460.6442
email: carlos.thillet@exeloncorp.com	
<del></del>	email: theckathorn@nisource.com
Philadelphia Gas Works	
Douglas Moser	]
800 West Montgomery Avenue	
Philadelphia, PA 19122	
PH: 215.684.6899	
email: douglas.moser@pgworks.com	

Stephen Gunther, Vice President